

## LECTURE 2 – SIMPLICIAL SETS

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### 1. NOTATION

Categories will typically be sans serif (*i.e.* **Top**, **sSet**, etc.) or caligraphic (*i.e.*  $\mathcal{C}$ ,  $\mathcal{S}$ , etc.). If  $\mathcal{C}$  is an arbitrary category then we denote the the set of  $\mathcal{C}$ -morphisms from objects  $A, B \in \mathcal{C}$  by  $\mathcal{C}(A, B)$ .

### 2. LECTURES

#### 2.1. stuff from lecture 1?

**Definition 2.1.** A *model category* is a category  $\mathcal{C}$  together with 3 distinct classes of maps - fibrations, cofibrations and weak equivalences - satisfying 5 axioms. If we denote any fibration by  $A \twoheadrightarrow B$ , any cofibration by  $A \rightarrowtail B$ , and any weak equivalence by  $A \xrightarrow{\sim} B$ , then these axioms may be stated as follows:

(M1)  $\mathcal{C}$  is bicomplete

(M2) Weak equivalences satisfy the 2/3 axiom, *i.e.* given any diagram in  $\mathcal{C}$  of the form

$$\begin{array}{ccc} A & \xrightarrow{\quad} & B \\ & \searrow & \nearrow \\ & C & \end{array}$$

such that any two of the maps are weak equivalences, then the third must be one also.

(M3) Any map  $f \in \mathcal{C}(A, B)$  can be factored for some  $C \in \mathcal{C}$  as either

$$A \rightarrowtail \xrightarrow[g]{\sim} C \xrightarrow[h]{\twoheadrightarrow} B \quad \text{or} \quad A \rightarrowtail \xrightarrow[g]{\sim} C \xrightarrow[h]{\twoheadrightarrow} B$$

(M4) Given either of the following commutative squares,

$$\begin{array}{ccc} A & \longrightarrow & X \\ g \downarrow \wr & & \downarrow f \\ B & \longrightarrow & Y \end{array} \quad \text{or} \quad \begin{array}{ccc} A & \longrightarrow & X \\ g \downarrow & & \wr \downarrow f \\ B & \longrightarrow & Y \end{array}$$

then there is a (not necessarily unique) lift  $B \rightarrow X$  which commutes with the given diagram.

(M5) If  $f$  is a retract of  $g$ , and if  $g$  is a fibration/cofibration/weak-equivalence then  $f$  is also.

Recall that we say  $f : A \rightarrow B$  is a retract of  $g : C \rightarrow D$  if their exist maps  $i, r, j, s$  making the following diagram commute,

$$\begin{array}{ccccc} & & \text{id} & & \\ & \curvearrowright & & \curvearrowleft & \\ A & \xrightarrow{i} & C & \xrightarrow{r} & A \\ \downarrow f & & \downarrow g & & \downarrow f \\ B & \xrightarrow{j} & B & \xrightarrow{s} & B \\ & \curvearrowleft & & \curvearrowright & \\ & & \text{id} & & \end{array}$$

**Example 2.2.** The proto-typical example is **Top** with fibrations the Serre fibrations, and cofibrations and weak-equivalences decided accordingly (see chapter 4 of [Hat]).

**2.2. Lecture 2.** Recall the definition of the *standard topological  $n$ -simplex* as the set

$$\Delta_{\text{Top}}^n := \left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum t_i = 1 \text{ and } t_i \geq 0 \text{ for all } i \right\}$$

Alternatively we may think of  $\Delta_{\text{Top}}^n$  as the convex hull of vertices  $v_i = (0, \dots, 1, \dots, 0)$ . We then have *codegeneracy maps*  $s^i : \Delta_{\text{Top}}^{n+1} \rightarrow \Delta_{\text{Top}}^n$ , and *coface maps*  $d^i : \Delta_{\text{Top}}^{n-1} \rightarrow \Delta_{\text{Top}}^n$  defined by

$$s^i(t_0, \dots, t_{n+1}) = (t_0, \dots, t_i + t_{i+1}, \dots, t_{n+1})$$

$$d^i(t_0, \dots, t_{n-1}) = (t_0, \dots, t_i, 0, t_{i+1}, \dots, t_n)$$

Clearly  $d^i$  is just the map embedding  $\Delta_{\text{Top}}^{n-1}$  as the  $i^{\text{th}}$  face of  $\Delta_{\text{Top}}^n$ , and  $s^i$  is a retraction of  $\Delta_{\text{Top}}^{n+1}$  minus the  $i^{\text{th}}$  vertex  $v_i$  onto the face opposite  $v_i$ .

Given any topological space  $X \in \text{Top}$  we define the *singular  $n$ -simplices of  $X$*  to be the set of maps

$$\text{Sing}(X)_n := \text{Top}(\Delta_{\text{Top}}^n, X)$$

This turns out to be an important example of something called a simplicial object. Before we can define what a simplicial object is, we must first define the *simplex category*  $\Delta$ . The objects of  $\Delta$  are the ordered sets  $[n] = \{0, 1, \dots, n\}$ , and the morphisms  $f : [m] \rightarrow [n]$  are the weakly-order-preserving (*i.e.* non-decreasing) functions. Similarly to above we have maps  $s^i : [n+1] \rightarrow [n]$  and  $d^i : [n-1] \rightarrow [n]$  given by repeating the  $i$ , and skipping  $i$  respectively. The following lemma says that these maps are the only maps we care about.

**Lemma 2.3.** *Any map  $f$  in  $\Delta$  is the composition of some  $d^i$  and  $s^j$ .*

*Proof sketch.* If you have a map  $f : [m] \rightarrow [n]$  then you have the inequality  $f(0) \leq f(1) \leq \dots \leq f(m)$ . We obtain a unique chain of elements of the form  $g_0 < \dots < g_k$  for  $k \leq \min\{m, n\}$ . By composing  $d^0$  with itself  $g_0$  times we get a map that sends  $[m]$  to  $[g_0, g_0 + 1, \dots, g_0 + m]$ . We repeat  $g_0$  with  $s^0$  as many times as it occurs in the sequence of  $f(i)$ s. We then simply repeat this process inductively on the  $g_i$  for  $0 < i \leq k$ .  $\square$

**Definition 2.4.** Let  $\mathcal{C}$  be a category. A *simplicial object in  $\mathcal{C}$*  is a functor  $X : \Delta^{\text{op}} \rightarrow \mathcal{C}$ . Similarly a *cosimplicial object in  $\mathcal{C}$*  is a functor  $Y : \Delta \rightarrow \mathcal{C}$ .

We write  $X_n$  and  $Y^n$  for  $X([n])$  and  $Y([n])$  respectively, and hence we will often use the notation  $X_\bullet$  and  $Y^\bullet$  for  $X$  and  $Y$  respectively. Similarly we write  $d_i, s_i$  and  $d^i, s^i$  for the obvious maps in  $\mathcal{C}$ .

**Example 2.5.** The standard simplices  $\Delta_{\text{Top}}^\bullet$  is a cosimplicial space.

**Example 2.6.** Given any space  $X \in \text{Top}$  we therefore have that  $\text{Sing}(X)_\bullet$  is a simplicial set acting on objects by  $[n] \mapsto \text{Top}(\Delta_{\text{Top}}^n, X)$ , and on morphisms by  $(f : [m] \rightarrow [n]) \mapsto f_*$  where  $f_*$  is precomposition with  $f$ .

**Properties 2.7.** *The degeneracy, and face maps belonging to any simplicial object  $X$  in  $\mathcal{C}$  satisfy the following,*

- (1)  $d_i \circ d_j = d_{j-1} \circ d_i$  for  $i < j$
- (2)  $s_i \circ s_j = s_{j+1} \circ s_i$  for  $i \leq j$
- (3) *Lastly,*

$$d_i \circ s_j = \begin{cases} s_{j-1} \circ d_i & \text{if } i < j \\ \text{id} & \text{if } i = j, j+1 \\ s_j \circ d_{i-1} & \text{if } i > j+1 \end{cases}$$

We refer to these properties as the *simplicial properties*. A dual statement holds for cosimplicial objects. These (dual) properties are obvious in the case of  $\Delta$ . We next construct a functor which is adjoint to  $\text{Sing}(-)_\bullet$ .

Let  $X$  be a simplicial object in a category  $\mathcal{C}$ .

**Definition 2.8.** An  $n$ -simplex  $x \in X_n$  is *degenerate* if there exists some  $y \in X_{n-1}$  such that  $x = s_i(y)$  for some  $0 \leq i \leq n-1$ .

**Definition 2.9.** The *geometric realisation* of  $X$  is the space given by

$$|X_\bullet| = \coprod_{n \geq 0} X_n \times \Delta_{\text{Top}}^n / \sim$$

where the equivalence relation is given by identifying  $(f^*x, u) \sim (y, f_*u)$  for any  $f \in \Delta([m], [n])$ , and  $x \in X_n$ , and  $u \in \Delta_{\text{Top}}^m$ .

By 2.3 it is enough to consider when  $f$  is a degeneracy, or face map.

We have the following useful lemma,

**Lemma 2.10.** *Every object  $x \in X_n$  may be written uniquely as  $s_{i_1} \circ s_{i_2} \circ \cdots \circ s_{i_l}(y)$  such that  $i_1 > \cdots > i_n$  and  $y \in X_\bullet$  is non-degenerate.*

*Proof.* By (2) of 2.7 it is easy to see that we can find an increasing sequence  $i_j$ . Furthermore this sequence must terminate since  $x \in X_n$  and each degeneracy map increases degree by 1. Thus we obtain a  $y$  such that  $x = s_{i_1} \circ \cdots \circ s_{i_n}(y)$ . All that is left to check is that these are unique. This is immediate by starting at  $x$  and working backwards.  $\square$

Given two simplicial sets  $X$  and  $Y$  we obtain another simplicial set  $X \times Y$  defined by  $(X \times Y)_n = X_n \times Y_n$ . The above lemma implies that we may combine two degenerate simplices  $x \in X_n$  and  $y \in Y_n$  to get a non-degenerate simplex  $(x, y)$ . This will be important below.

**Lemma 2.11.** *Given a simplicial set  $X$  its geometric realisation  $|X|$  is a CW-complex with an  $n$ -cell for every non-degenerate  $n$ -simplex  $x \in X_n$ .*

For a classical proof of this see page 56 of [May].

**Proposition 2.12.** *The functors  $|-| : \mathbf{sSet} \rightleftarrows \mathbf{Top} : \mathbf{Sing}$  are adjoint.*

*Proof.* We wish to show that, given any  $X_\bullet \in \mathbf{sSet}$  and any  $Y \in \mathbf{Top}$ , the sets  $\mathbf{sSet}(X_\bullet, \mathbf{Sing}(Y)_\bullet)$  and  $\mathbf{Top}(|X_\bullet|, Y)$  are in bijection. This proof relies on the fact that any map  $f_n \in \mathbf{sSet}(X_n, \mathbf{Sing}(Y)_n)$  corresponds to a map  $\widetilde{f}_n : X_n \times \Delta_{\text{Top}}^n \rightarrow Y$  where  $\widetilde{f}_n(x, u) = f_n(x)(u)$ . If we now consider any map  $g \in \Delta([m], [n])$  then we get the following commutative diagram by definition

$$\begin{array}{ccc} X_n & \xrightarrow{f_n} & \mathbf{sSet}(\Delta_{\text{Top}}^n, Y) \\ \downarrow X(g) & & \downarrow (\Delta_{\text{Top}}^\bullet(g))^* \\ X_m & \xrightarrow{f_m} & \mathbf{sSet}(\Delta_{\text{Top}}^m, Y) \end{array}$$

By the existence of  $\widetilde{f}_n$  in relation to  $f_n$  this gives us the following commutative diagram

$$\begin{array}{ccc} X_n \times \Delta_{\text{Top}}^m & \xrightarrow{\text{id} \times \Delta_{\text{Top}}^\bullet(g)} & X_n \times \Delta_{\text{Top}}^n \\ \downarrow X(g) \times \text{id} & & \downarrow \widetilde{f}_n \\ X_m \times \Delta_{\text{Top}}^m & \xrightarrow{\widetilde{f}_m} & \mathbf{sSet}(\Delta_{\text{Top}}^m, Y) \end{array}$$

Taking  $g$  to be (a composition of the)  $s^i$  gives us that  $\widetilde{f}_n$  respects the equivalence relation defining  $|X_\bullet|$  and hence is a map  $\widetilde{f}_n \in \mathbf{Top}(|X_\bullet|, Y)$ . We can go backwards in a similar fashion.  $\square$

**Example 2.13.** We define the *standard  $n$ -simplex*  $\Delta^n$  as the simplicial set given by letting its  $k$ -simplices be the set of maps  $\Delta([k], [n])$ .

**Lemma 2.14.** *A  $k$ -simplex  $x \in \Delta([k], [n])$  is non-degenerate if and only if  $x$  is injective.*

*Proof.* This is immediate once one considers the effect of  $s_i$  (which is precomposition by  $s^i$ ).  $\square$

**Lemma 2.15.** *The geometric realisation of  $\Delta^n$  is  $\Delta_{\text{Top}}^n$ . Similarly the geometric realisation of  $\Delta^n \times \Delta^m$  is  $\Delta_{\text{Top}}^n \times \Delta_{\text{Top}}^m$ .*

*Proof.* This first statement is a result of 2.11. The second statement also requires 2.10  $\square$

**Example 2.16.** We then define a simplicial set called *the boundary*  $\partial\Delta^n$  of  $\Delta^n$ . We define this by specifying that  $(\partial\Delta^n)_k$  is the set of non-surjective maps  $x \in \mathbf{sSet}([n], [k])$ . Indeed  $\partial\Delta^n$  is a subsimplex of  $\Delta^n$ , and it matches our intuition from  $\Delta_{\text{Top}}^n$  since any element of  $(\Delta^n)_k$  which is the image of some  $d^i$  is clearly not surjective.

**Lemma 2.17** (The Yoneda Lemma). *Let  $A$  be an object in a category  $\mathcal{C}$  and let  $F : \mathcal{C} \rightarrow \mathbf{Set}$  be a functor. There is an isomorphism of sets*

$$\text{Nat}(\mathcal{C}(A, -), F) \cong F(A)$$

It is not hard to find a proof of this, and even though the lemma is ubiquitous throughout category theory the proof is very straightforward.

An immediate consequence of the Yoneda lemma is,

**Lemma 2.18.** *Given a simplicial set  $X_\bullet$  we have that*

$$X_n \cong \mathbf{sSet}(\Delta^n, X_\bullet)$$

### 3. HORNS AND NERVES

**Definition 3.1.** The horn  $\Lambda_k^n$  is the simplicial subset of  $\partial\Delta^n$  defined by

$$(\Lambda_k^n)_i = \{x \in \Delta_k^n \mid x([k]) \cup \{i\} \supsetneq [n]\}$$

Intuitively one thinks of this as the boundary of  $\Delta^n$  excluding the  $i^{\text{th}}$  face.

**Definition 3.2.** Let  $\mathcal{C}$  be a small category. The *nerve* of  $\mathcal{C}$  is the simplicial set  $N\mathcal{C}$  defined by

$$N\mathcal{C}_n = \left\{ C_0 \xrightarrow{f_1} C_1 \xrightarrow{f_2} \dots \xrightarrow{f_n} C_n \right\}$$

The maps  $s_i$  and  $d_i$  are defined as follows. Let  $(f_1, \dots, f_n)$  be an ordered  $n$ -tuple of composable maps in  $\mathcal{C}$ . We define  $s_i$  to simply be the map  $(f_1, \dots, \text{id}, \dots, f_n) \in N\mathcal{C}_{n+1}$ . The map  $d_i$  is slightly more complicated.

$$d_i(f_1, \dots, f_n) = \begin{cases} (f_2, \dots, f_n) & \text{if } i = 0, \\ (f_1, \dots, f_i \circ f_{i+1}, \dots, f_n) & \text{if } 0 < i < n, \\ (f_1, \dots, f_{n-1}) & \text{if } i = n \end{cases}$$

An important example of such a construction is the nerve of the category  $\mathbf{G}$  associated to a group  $G$ . In this case  $N\mathbf{G}_n = G^n$  and thus the geometric realisation of  $N\mathbf{C}$  is

$$\coprod G^n \times \Delta_{\text{Top}}^n / \sim$$

This is isomorphic to the Eilenberg MacLane space  $K(G, 1)$ .

Let  $X$  be a simplicial set. We define  $M : \mathbf{sSet} \rightarrow \mathbf{Ch}_{\geq 0}$  by specifying  $M(X)_n$  to the free abelian group generated by the non-degenerate  $n$ -simplices of  $X$ . Our boundary maps are then the maps  $d(x) = \sum_i (-1)^i d_i(x)$ . Another way of stating this is that, by 2.11,  $M(X)$  is the singular chain complex of  $|X|$ .

**Theorem 3.3.** *Let  $X$  and  $Y$  be simplicial sets. Then  $M(X \times Y) \cong M(X) \otimes M(Y)$*

This follows from the following theorem,

**Theorem 3.4** (The Eilenberg-Zilber theorem). *Given topological spaces  $X$ ,  $Y$ , and their product  $X \times Y$ , Then*

$$C_\bullet(X) \otimes C_\bullet(Y) \cong C_\bullet(X \times Y)$$

*in the category of chain complexes.*

*Proof.* See [EZ]. □

*Proof of 3.3.*  $(M(X) \otimes M(Y))_n = \bigoplus_{i+j=n} M(X)_i \otimes M(Y)_j$ . By 2.3 we have that  $(x, y)$  is non-degenerate when either  $x$  or  $y$  is non-degenerate, or when they are incompatibly degenerate. By incompatibly degenerate I mean that they decompose as  $x = s_{i_l} \circ \dots \circ s_{i_1} x'$  and  $y = s_{j_k} \circ \dots \circ s_{j_1} y'$  such that  $s_{i_r} \neq s_{j_s}$  for all  $r, s$ . Let us refer to  $l$  and  $k$  from the decomposition above as the order of degeneracy for arbitrary degenerate elements  $x$  and  $y$  respectively. A non-degenerate element has order of degeneracy 0. To see that the inequality holds note that if  $(x, y)$  is non-degenerate in  $(X \times Y)_n$  then we must have that the total order of degeneracy  $l + k$  is less than or equal to  $n$ . This follows since if  $l + k > n$  then  $x$  and  $y$  could not possibly be incompatibly degenerate by the pigeonhole principle. We may restate this succinctly as  $(x, y)$  non-degenerate in  $X_n \times Y_n$  is a pair  $(s_{i_l} \circ \dots \circ s_{i_1} x', s_{j_k} \circ \dots \circ s_{j_1} y')$  in  $X_n \times Y_n$  where  $j_r \neq i_s$  and where  $x'$  is non-degenerate in  $X_{n-l}$  and  $y'$  is non-degenerate in  $Y_{n-k}$ , where  $l + k \leq n$ . Consider the pair  $(x', y')$  in  $X_{n-l} \times Y_{n-k}$ . We therefore have that  $|X \times Y| = |X| \times |Y|$ . This allows us to use the Eilenberg-Zilber theorem, which gives us the result (by the discussion preceeding 3.3). □

#### 4. sSet AS A MODEL CATEGORY

We can put a model category structure on the category of simplicial sets. We first define two sets  $I = \bigcup_{n \geq 0} \text{sSet}(\partial \Delta^n, \Delta^n)$  and  $J = \{\text{sSet}(\Lambda_k^n, \Delta^n) \mid n > 0 \text{ and } 0 \leq k \leq n\}$ .

**Definition 4.1.** Given a map  $f \in \text{sSet}(X, Y)$ , we say  $f$  is

- a fibration if  $J \sqsubset f$ , which is to say that if we have any map  $p \in J$ , and any  $\Lambda_k^n \rightarrow X$  and  $\Delta^n \rightarrow Y$ , making the following diagram commute,

$$\begin{array}{ccc} \Lambda_k^n & \longrightarrow & X \\ \downarrow p & & \downarrow f \\ \Delta^n & \longrightarrow & Y \end{array}$$

then we must have a lift  $h : \Delta^n \rightarrow X$  which commutes with the diagram,

- a weak equivalence if  $|f| : |X| \rightarrow |Y|$  is a weak equivalence in **Top**,
- a cofibration if it is injective.

**Lemma 4.2.** *This defines a model structure on sSet.*

*Proof.* (I think we're showing this next lecture). □

I'm not entirely sure why we introduced the set  $I$ . I thought it would be used to define cofibrations but apparently not. Perhaps this will become apparent next week.

#### 5. WEAK FACTORISATION SYSTEMS

Update: it became apparent.

Sadly, given an arbitrary model category  $\mathcal{M}$ , we can't expect the factorisation of a map (axiom M3) to be functorial. Indeed if you take (bounded) chain complexes of abelian groups then the factorisation of the composite of two maps is not necessarily the same as the composite of the factorisation of the individual maps. This occurs because of an extension problem. One way to rectify this is to simply require your factorisations to be functorial in M3 as in [Hov]. Another way, however is to consider a nice subclass of model categories called *cofibrantly generated model categories* which we set out to define below. First though we observe some properties of model categories as we have defined them.

From now on, given an arbitrary model category  $\mathcal{M}$ , let us denote the classes of fibrations by  $Fib$ , cofibrations by  $coFib$  and weak-equivalences by  $\mathcal{W}$ .

**Lemma 5.1.** *Given a model category  $\mathcal{M}$  and a map  $f \in \mathcal{M}(A, B)$ , then*

- (1)  *$f$  is a cofibration if and only if  $f \sqsubset (Fib \cap \mathcal{W})$*

(2)  $f$  is an acyclic cofibration if and only if  $f \sqsubset \text{Fib}$

The obvious mirror statement is true for fibrations,

**Lemma 5.2.** *Given a model category  $\mathcal{M}$  and a map  $f \in \mathcal{M}(A, B)$ , then*

- (1)  $f$  is a fibration if and only if  $(\text{coFib} \cap \mathcal{W}) \sqsubset f$
- (2)  $f$  is an acyclic fibration if and only if  $\text{coFib} \sqsubset f$

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