#### Short Answer (10 Points)

1. In the following optimization problem, what values of  $\lambda$  would result in overfitting? What about underfitting? Briefly explain.

$$\min L(w,b) = e^{y\hat{y}} + \lambda ||w||^2$$

2. True/False: False negatives are only important for calculating Recall. Briefly explain.

#### Short Answer (10 Points)

3. True/False: There is no value of K for which K-NN will achieve 100% accuracy on the training data on every dataset. Briefly explain.

4. True/False: The perceptron will always converge to the same w and b. Briefly explain.

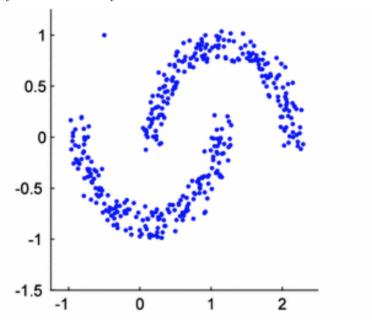
## Decision Trees (20 Points)

5. Assume you have the following training data. Using the Information Gain algorithm from class, build the best depth-1 decision tree for this data. Show your work for full credit.

Sample	Junk Food	Exercise	Label
$s_1$	0	0	0
$s_2$	0	1	1
$s_3$	1	0	0
$s_4$	1	1	0
$s_5$	0	0	1
$s_6$	0	1	1
s <sub>7</sub>	1	0	1
$s_8$	1	1	0

# K-Means (10 Points)

6. How might K-means cluster the following data? Indicate the cluster centers and the rough clusters on the graph. Explain your choices briefly.



#### Linear Classifiers (20 Points)

7. Give the gradient descent update rules for the following regularized loss function. Show your work for partial credit!

$$L(w,b) = \sum_{n} (y_n - (wx_n + b))^2 + \lambda ||w||^2$$

## Perceptron (10 Points)

8. Run the perceptron algorithm on the following data in the order provided for two epochs. Give the final w and b produced by the algorithm at the end of the first epoch.

Sample	$x_1$	$x_2$	y
$s_1$	0	1	1
$s_2$	1	0	1
$s_3$	1	1	1
84	2	2	-1
$s_5$	2	1	-1
$s_6$	1	2	-1

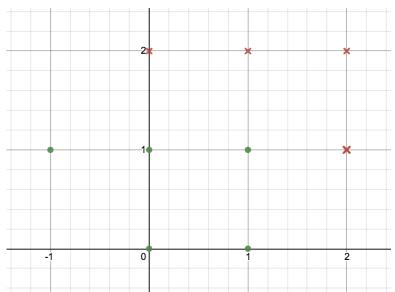
## Gradient Descent (10 Points)

9. Run gradient descent on the following function for two steps. Use the starting point  $x_0 = 5$  and  $\eta = 0.1$ .

$$f(x) = x^2 + 3$$

# KNN (10 Points)

10. Using K=1, 3 and 5, classify the following test data using the plotted training data (x = negative, filled circle = positive). Use the table below to record your final answers. Indicate ties with the answer +/-.



Sample	K = 1	K = 3	K=5
(1.1,1)			
(0.5, 0.5)			
(0,1.5)			
(2,0)			

## Equations

#### **Entropy and Information Gain**

$$H = \sum_{c \in C} -p(c)log_2(p(c))$$

$$IG = H - \sum_{t \in T} p(t)H(t)$$

p	plog(p)
$\frac{1}{8}$	-0.375
$\frac{1}{4}$	-0.5
$\frac{3}{8}$	-0.53
$\frac{1}{2}$	-0.5
<u>5</u> 8	-0.423
$\frac{3}{4}$	-0.311
$\frac{7}{8}$	-0.168
1	0

#### Perceptron

$$a = w \cdot x + b$$

$$w = w + xy$$

$$b = b + y$$