Homework3 CSCE633

November 12, 2021

1 Homework_3_432001358_CSCE_633_600

- 1.1 November 12, 2021
- 1.2 CSCE 633 600 (Machine Learning) Homework 3
- 1.2.1 Name: Rohan Chaudhury
- 1.2.2 UIN: 432001358

1.2.3 Question 1: Machine learning for facial emotion recognition

In this problem, we will process face images coming from the Facial Expression Recognition Challenge (presented in the International Conference of Machine Learning in 2013). The data is uploaded under Homework3 folder in the shared Google Drive. You are given three sets of data: training set (i.e., Q1 Train Data.csv), testing set (i.e., Q1 Test Data.csv), and validation set (i.e., Q1 Validation Data.csv). The data consists of 48X48 pixel grayscale images of faces. The faces have been automatically registered so that the face is more or less centered and occupies about the same amount of space in each image. The task is to categorize each face based on the emotion shown in the facial expression in seven categories. More information on the data can also be found in this link. All three files contain two columns: 1. The column labeled as "emotion" contains the emotion class with numeric code ranging from 0 to 6 (0=Angry, 1=Disgust, 2=Fear, 3=Happy, 4=Sad, 5=Surprise, 6=Neutral). 2. The column labeled as "pixels" contains the 2304 (i.e., 48 X 48) space-separated pixel values of the image in row-wise order, i.e., the first 48 numbers correspond to the first row of the image, the next 48 numbers to the second row of the image, etc.

```
import numpy as np
import pandas as pd

import matplotlib.pyplot as plt
import tensorflow as tf

train_data = pd.read_csv('Q1_Train_Data.csv')
test_data = pd.read_csv('Q1_Test_Data.csv')
validation_data = pd.read_csv('Q1_Validation_Data.csv')

print (" ")
print("Shape of Train Data: {}".format(train_data.shape))
print (" ")
```

```
print("Shape of Test Data: {}".format(test_data.shape))
print (" ")
print("Shape of Validation Data: {}".format(validation data.shape))
print (" ")
print(train_data.head)
Shape of Train Data: (28709, 2)
Shape of Test Data: (3589, 2)
Shape of Validation Data: (3589, 2)
<bound method NDFrame.head of</pre>
                                    emotion
pixels
0
            0 70 80 82 72 58 58 60 63 54 58 60 48 89 115 121...
            0 151 150 147 155 148 133 111 140 170 174 182 15...
1
2
            2 231 212 156 164 174 138 161 173 182 200 106 38...
3
            4 24 32 36 30 32 23 19 20 30 41 21 22 32 34 21 1...
            28704
            2 84 85 85 85 85 85 85 85 86 86 86 87 86 86 91 9...
            0 114 112 113 113 111 111 112 113 115 113 114 11...
28705
28706
            4 74 81 87 89 95 100 98 93 105 120 127 133 146 1...
            0 222 227 203 90 86 90 84 77 94 87 99 119 134 14...
28707
28708
            4 195 199 205 206 205 203 206 209 208 210 212 21...
[28709 rows x 2 columns]>
```

1.2.4 (a) (1 points) Visualization: Randomly select and visualize 1-2 images per emotion. Note: You can find a useful link on image pre-processing here: https://www.tensorflow.org/api_docs/python/tf/image/per_image_standardization

```
pixels_int
                 0 ... [-0.22549019607843135, -0.18627450980392157, -...
    0
    1
                 0 ... [0.09215686274509804, 0.08823529411764708, 0.0...
    2
                 2 ... [0.40588235294117647, 0.3313725490196079, 0.11...
    3
                 4 ... [-0.40588235294117647, -0.37450980392156863, -...
                 6 \quad \dots \quad [-0.4843137254901961, -0.5, -0.5, -0.5, -0.5, \dots]
                 2 ... [-0.17058823529411765, -0.16666666666666666, -...
    28704
                 0 ... [-0.052941176470588214, -0.0607843137254902, -...
    28705
                 4 ... [-0.20980392156862743, -0.1823529411764706, -0...
    28706
                 0 ... [0.37058823529411766, 0.3901960784313725, 0.29...
    28707
                 4 ... [0.2647058823529411, 0.2803921568627451, 0.303...
    28708
    [28709 rows x 3 columns]>
[7]: # plt.rcParams["figure.figsize"] = (2,300)
     plt.figure(figsize=(400,400))
     emotion_category={0:'Angry', 1:'Disgust', 2:'Fear', 3:'Happy', 4:'Sad', 5:
     emotions= train_data['emotion'].unique().tolist()
     fig, ax=plt.subplots(len(emotions),2,figsize=(15,15))
     fig.tight_layout(pad=3.0)
     # print (emotions)
     plot_num=0
     for emotion in emotions:
       imgs=train_data[train_data["emotion"]==emotion]
       samples=imgs.sample(n=2)
       for i in range(samples.shape[0]):
         img=samples.iloc[i]
         ax[int(plot_num/2),plot_num%2].set_title("Emotion label: {}".
      →format(emotion_category[emotion]))
         ax[int(plot_num/2),plot_num%2].imshow(np.array(img['pixels_int']).
      →reshape(48,48),cmap=plt.get_cmap('gray'))
         plot_num+=1
```

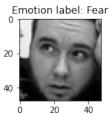
emotion ...

<Figure size 28800x28800 with 0 Axes>

<bound method NDFrame.head of</pre>

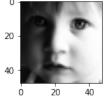
Emotion label: Angry



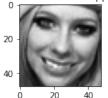




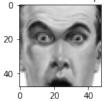
Emotion label: Neutral



Emotion label: Happy



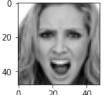
Emotion label: Surprise



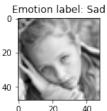
Emotion label: Disgust



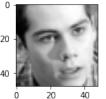
Emotion label: Angry



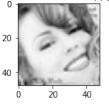




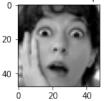
Emotion label: Neutral



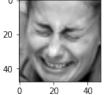
Emotion label: Happy



Emotion label: Surprise



Emotion label: Disgust



1.2.5 (b) (1 points) Data exploration: Count the number of samples per emotion in the training data.

```
[8]: samples_count=train_data.groupby(['emotion']).count()
samples_count=samples_count[['pixels']].rename({'pixels': 'size'}, axis=1)
samples_count
```

```
[8]:
                size
     emotion
                3995
     0
     1
                 436
     2
                4097
     3
                7215
     4
                4830
     5
                3171
                4965
```

1.2.6 (c) (4 points) Image classification with FNNs: In this part, you will use a feed-forward neural network (FNN) (also called multilayer perceptron") to perform the emotion classification task. The input of the FNN comprises of all the pixels of the image.

```
[9]: from tensorflow.keras.models import Sequential
  from tensorflow.keras.layers import Dense, Dropout
  from tensorflow.keras.utils import to_categorical
  from tensorflow.keras import regularizers

train_emotions=np.array(list(train_data['emotion']))
  train_pixels=np.array(list(train_data['pixels_int']))

import time

class TimeHistory(tf.keras.callbacks.Callback):
    def on_train_begin(self, logs={}):
        self.times = []
        self.epoch_time_start = time.time()

# def on_epoch_begin(self, epoch, logs={}):
        self.epoch_time_start = time.time()

def on_train_end(self, epoch, logs={}):
        self.times.append(time.time() - self.epoch_time_start)
```

```
model1 = Sequential([
  Dense(784*2, activation='relu', input_shape=(48*48,),__
 Dense(784, activation='relu', name="second hidden layer"),
 Dense(784//2, activation='relu', name="third hidden layer"),
 Dense(784//4, activation='relu', name="fourth_hidden_layer"),
 Dense(len(emotions), activation='softmax'),
])
model2 = Sequential([
  Dense(784*2, activation='elu', input_shape=(48*48,),_
 Dense(784, activation='elu', name="second_hidden_layer"),
 Dense(784//2, activation='elu', name="third_hidden_layer"),
 Dropout (0.25),
 Dense(len(emotions), activation='softmax'),
])
model3 = Sequential([
  Dense(2000, activation='elu', input_shape=(48*48,),_
 Dense(1000, activation='elu', name="second_hidden_layer"),
 Dense(500, activation='elu', name="third hidden layer"),
 Dropout (0.25),
 Dense(len(emotions), activation='softmax'),
])
model4 = Sequential([
  Dense(2000, activation='elu', input_shape=(48*48,),__
 Dense(1000, activation='elu', name="second hidden layer"),
 Dense(500, activation='elu', name="third hidden layer"),
  Dense(250, activation='elu', name="fourth_hidden_layer"),
  Dense(784, activation='relu', name="fifth_hidden_layer"),
 Dropout (0.25),
 Dense(len(emotions), activation='softmax'),
])
model5 = Sequential([
  Dense(2000, activation='elu', input_shape=(48*48,),__
 →name="first_hidden_layer", kernel_regularizer=regularizers.12(0.0001)),
 Dense(1000, activation='elu', name="second hidden layer", |
```

```
Dense(500, activation='elu', name="third_hidden_layer", __
       →kernel_regularizer=regularizers.12(0.0001)),
       Dense(250, activation='elu', name="fourth_hidden_layer", __
       ⇒kernel regularizer=regularizers.12(0.0001)),
       Dropout(0.25),
       Dense(len(emotions), activation='softmax'),
     ])
[10]: time_callback = TimeHistory()
     model1.compile(optimizer='adam',__
      →loss='categorical_crossentropy',metrics=['accuracy'])
     model2.compile(optimizer='adam', ...
      →loss='categorical_crossentropy',metrics=['accuracy'])
     model3.compile(optimizer='adam',__
      →loss='categorical crossentropy',metrics=['accuracy'])
     model4.compile(optimizer='adam',_
      →loss='categorical_crossentropy',metrics=['accuracy'])
     model5.compile(optimizer='adam',__
      →loss='categorical_crossentropy',metrics=['accuracy'])
     print("Train image shape: ", train_pixels.shape)
     print(train_emotions.shape)
     time_to_train=[]
     flatten_train_images = train_pixels
     history1=model1.fit(np.array(flatten_train_images),__
      →to_categorical(train_emotions), epochs=20, batch_size=256,callbacks =__
      → [time callback])
     time_to_train.append(time_callback.times)
     history2=model2.fit(np.array(flatten_train_images),__
      →to_categorical(train_emotions), epochs=20, batch_size=256,callbacks = u
      →[time_callback])
     time to train.append(time callback.times)
     history3=model3.fit(np.array(flatten train images),
      →to_categorical(train_emotions), epochs=20, batch_size=256,callbacks =
      →[time callback])
     time_to_train.append(time_callback.times)
     history4=model4.fit(np.array(flatten_train_images),__
      →to_categorical(train_emotions), epochs=20, batch_size=256,callbacks = u
```

 \hookrightarrow [time_callback])

```
Train image shape: (28709, 2304)
(28709,)
Epoch 1/20
accuracy: 0.3310
Epoch 2/20
accuracy: 0.3973
Epoch 3/20
accuracy: 0.4306
Epoch 4/20
accuracy: 0.4601
Epoch 5/20
accuracy: 0.4910
Epoch 6/20
accuracy: 0.5309
Epoch 7/20
accuracy: 0.5661
Epoch 8/20
accuracy: 0.6076
Epoch 9/20
accuracy: 0.6470
Epoch 10/20
accuracy: 0.6881
Epoch 11/20
accuracy: 0.7276
Epoch 12/20
accuracy: 0.7582
Epoch 13/20
accuracy: 0.7953
Epoch 14/20
```

```
accuracy: 0.8202
Epoch 15/20
accuracy: 0.8468
Epoch 16/20
accuracy: 0.8711
Epoch 17/20
accuracy: 0.8817
Epoch 18/20
accuracy: 0.8944
Epoch 19/20
accuracy: 0.9122
Epoch 20/20
accuracy: 0.9204
Epoch 1/20
accuracy: 0.2956
Epoch 2/20
accuracy: 0.3464
Epoch 3/20
accuracy: 0.3824
Epoch 4/20
accuracy: 0.4082
Epoch 5/20
accuracy: 0.4392
Epoch 6/20
accuracy: 0.4607
Epoch 7/20
accuracy: 0.4807
Epoch 8/20
accuracy: 0.5060
Epoch 9/20
accuracy: 0.5244
Epoch 10/20
```

```
accuracy: 0.5502
Epoch 11/20
accuracy: 0.5747
Epoch 12/20
accuracy: 0.5981
Epoch 13/20
accuracy: 0.6283
Epoch 14/20
accuracy: 0.6522
Epoch 15/20
accuracy: 0.6791
Epoch 16/20
accuracy: 0.7007
Epoch 17/20
accuracy: 0.7295
Epoch 18/20
accuracy: 0.7594
Epoch 19/20
accuracy: 0.7840
Epoch 20/20
accuracy: 0.8090
Epoch 1/20
accuracy: 0.2895
Epoch 2/20
accuracy: 0.3453
Epoch 3/20
accuracy: 0.3750
Epoch 4/20
accuracy: 0.4118
Epoch 5/20
accuracy: 0.4380
Epoch 6/20
```

```
accuracy: 0.4678
Epoch 7/20
accuracy: 0.4922
Epoch 8/20
accuracy: 0.5095
Epoch 9/20
accuracy: 0.5343
Epoch 10/20
accuracy: 0.5555
Epoch 11/20
accuracy: 0.5798
Epoch 12/20
accuracy: 0.6125
Epoch 13/20
accuracy: 0.6419
Epoch 14/20
accuracy: 0.6613
Epoch 15/20
accuracy: 0.6986
Epoch 16/20
accuracy: 0.7217
Epoch 17/20
accuracy: 0.7454
Epoch 18/20
accuracy: 0.7738
Epoch 19/20
accuracy: 0.7951
Epoch 20/20
accuracy: 0.8173
Epoch 1/20
accuracy: 0.3023
Epoch 2/20
```

```
accuracy: 0.3669
Epoch 3/20
accuracy: 0.3968
Epoch 4/20
accuracy: 0.4160
Epoch 5/20
accuracy: 0.4345
Epoch 6/20
accuracy: 0.4595
Epoch 7/20
accuracy: 0.4803
Epoch 8/20
accuracy: 0.4972
Epoch 9/20
accuracy: 0.5253
Epoch 10/20
accuracy: 0.5493
Epoch 11/20
accuracy: 0.5798
Epoch 12/20
accuracy: 0.6036
Epoch 13/20
accuracy: 0.6318
Epoch 14/20
accuracy: 0.6667
Epoch 15/20
accuracy: 0.6959
Epoch 16/20
accuracy: 0.7306
Epoch 17/20
accuracy: 0.7576
Epoch 18/20
```

```
accuracy: 0.7865
Epoch 19/20
accuracy: 0.8129
Epoch 20/20
accuracy: 0.8345
Epoch 1/20
accuracy: 0.2903
Epoch 2/20
accuracy: 0.3465
Epoch 3/20
accuracy: 0.3712
Epoch 4/20
accuracy: 0.3957
Epoch 5/20
accuracy: 0.4180
Epoch 6/20
accuracy: 0.4341
Epoch 7/20
accuracy: 0.4471
Epoch 8/20
accuracy: 0.4661
Epoch 9/20
accuracy: 0.4777
Epoch 10/20
accuracy: 0.4917
Epoch 11/20
accuracy: 0.5017
Epoch 12/20
accuracy: 0.5153
Epoch 13/20
accuracy: 0.5262
Epoch 14/20
```

```
accuracy: 0.5371
Epoch 15/20
accuracy: 0.5529
Epoch 16/20
accuracy: 0.5584
Epoch 17/20
113/113 [======
       ========== ] - 2s 14ms/step - loss: 1.4015 -
accuracy: 0.5806
Epoch 18/20
accuracy: 0.5989
Epoch 19/20
accuracy: 0.6087
Epoch 20/20
accuracy: 0.6270
```

1.2.7 (c.i) (3 points) Experiment on the validation set with different FNN hyperparameters, e.g.layers, nodes per layer, activation function, dropout, weight regularization, etc. For each hyper-parameter combination that you have used, please report the following: (1) emotion classification accuracy on the training and validation sets; (2) running time for training the FNN; (3) parameters for each FNN. For 2-3 hyper-parameter combinations, please also plot the cross-entropy loss over the number of iterations during training. Note: If running the FNN takes a long time, you can subsample the input images to a smaller size (e.g., 24 x 24).

```
[11]: # print (time_to_train)
    # for i in time_to_train:
    # print (sum(i))

validation_emotions=np.array(list(validation_data['emotion']))
validation_pixels=np.array(list(validation_data['pixels_int']))

flatten_validation_images = validation_pixels
validation_performances=[]
models=[model1,model2,model3,model4,model5]
model_names=['model1','model2','model3','model4','model5']
histories=[history1,history2,history3,history4,history5]
for i in range(len(models)):
    print (" ")
    print ("Required details for {}".format(model_names[i]))
    print (" ")
```

```
performance1 = models[i].evaluate(flatten_train_images,_
→to_categorical(train_emotions))
print("Emotion Classification Accuracy on the Training set: {0}".
→format(performance1[1]))
print (" ")
performance2 = models[i].evaluate(flatten_validation_images,__
→to_categorical(validation_emotions))
validation performances.append(performance2[1])
print("Emotion Classification Accuracy on the Validation set: {0}".
→format(performance2[1]))
print (" ")
print ("Running time for training the FNN: {} ms".

→format(str(time_to_train[i][0])))
print (" ")
print ("Parameters for the model:")
print (" ")
print (models[i].get_config())
print (" ")
print (models[i].summary())
print (" ")
print('Number of Epochs used to train the model: ', len(histories[i].
⇔history['loss']))
print(" ")
 # print(history.history.keys())
 # summarize history for loss
plt.plot(histories[i].history['loss'])
plt.title('{} loss vs epochs'.format(model_names[i]))
plt.ylabel('cross-entropy loss')
plt.xlabel('epoch')
plt.legend(['train'], loc='upper left')
plt.show()
# summarize history for accuracy
plt.plot(histories[i].history['accuracy'])
plt.title('{} accuracy vs epochs'.format(model_names[i]))
plt.ylabel('accuracy')
plt.xlabel('epoch')
plt.legend(['train'], loc='upper left')
plt.show()
print (" ")
print (" ")
```

```
# performance = model1.evaluate(flatten_test_images, __
 \rightarrow to_categorical(validation_emotions))
# print("Accuracy on Test samples: {0}".format(performance[1]))
# performance = model2.evaluate(flatten_test_images,__
 → to categorical(validation emotions))
# print("Accuracy on Test samples: {0}".format(performance[1]))
# performance = model3.evaluate(flatten_test_images,__
 \rightarrow to_categorical(validation_emotions))
# print("Accuracy on Test samples: {0}".format(performance[1]))
# performance = model4.evaluate(flatten_test_images,_
 → to_categorical(validation_emotions))
# print("Accuracy on Test samples: {0}".format(performance[1]))
# performance = model5.evaluate(flatten_test_images,_
 → to_categorical(validation_emotions))
# print("Accuracy on Test samples: {0}".format(performance[1]))
Required details for model1
898/898 [=========== ] - 4s 4ms/step - loss: 0.1703 -
accuracy: 0.9428
Emotion Classification Accuracy on the Training set: 0.9428402185440063
accuracy: 0.4734
Emotion Classification Accuracy on the Validation set: 0.4733909070491791
Running time for training the FNN: 26.956640243530273 ms
Parameters for the model:
{'name': 'sequential', 'layers': [{'class_name': 'InputLayer', 'config':
{'batch_input_shape': (None, 2304), 'dtype': 'float32', 'sparse': False,
'ragged': False, 'name': 'first_hidden_layer_input'}}, {'class_name': 'Dense',
'config': {'name': 'first_hidden_layer', 'trainable': True, 'batch_input_shape':
(None, 2304), 'dtype': 'float32', 'units': 1568, 'activation': 'relu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'second_hidden_layer',
```

'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':

'trainable': True, 'dtype': 'float32', 'units': 784, 'activation': 'relu', 'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',

'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':

{}}, 'kernel_regularizer': None, 'bias_regularizer': None,

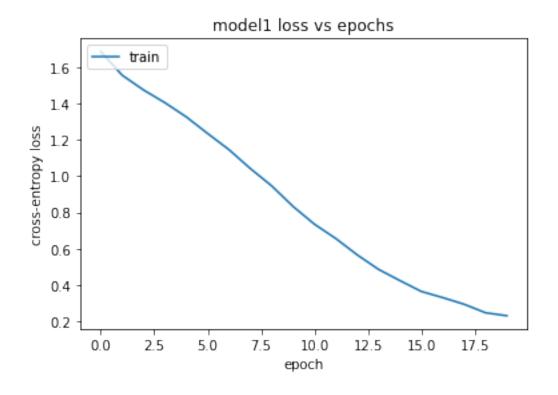
```
None}}, {'class_name': 'Dense', 'config': {'name': 'third_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 392, 'activation': 'relu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel regularizer': None, 'bias regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'fourth_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 196, 'activation': 'relu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'dense', 'trainable': True,
'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}]}
```

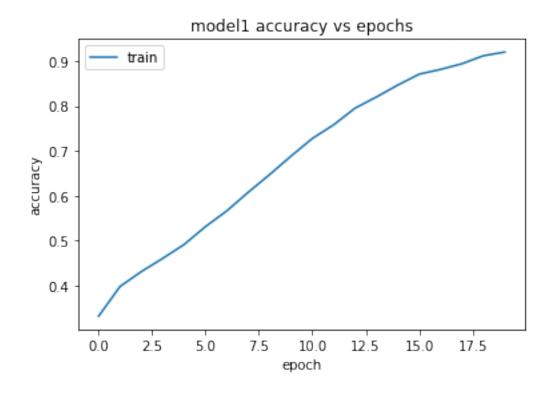
Model: "sequential"

Layer (type)	Output Shape	Param #
first_hidden_layer (Dense)	(None, 1568)	3614240
second_hidden_layer (Dense)	(None, 784)	1230096
third_hidden_layer (Dense)	(None, 392)	307720
fourth_hidden_layer (Dense)	(None, 196)	77028
dense (Dense)	(None, 7)	1379

Total params: 5,230,463 Trainable params: 5,230,463 Non-trainable params: 0

None





Parameters for the model:

```
{'name': 'sequential_1', 'layers': [{'class_name': 'InputLayer', 'config':
{'batch_input_shape': (None, 2304), 'dtype': 'float32', 'sparse': False,
'ragged': False, 'name': 'first_hidden_layer_input'}}, {'class_name': 'Dense',
'config': {'name': 'first_hidden_layer', 'trainable': True, 'batch_input_shape':
(None, 2304), 'dtype': 'float32', 'units': 1568, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'second_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 784, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'third_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 392, 'activation': 'elu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dropout', 'config': {'name': 'dropout', 'trainable':
True, 'dtype': 'float32', 'rate': 0.25, 'noise_shape': None, 'seed': None}},
{'class_name': 'Dense', 'config': {'name': 'dense_1', 'trainable': True,
'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use_bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias initializer': {'class name': 'Zeros', 'config': {}}, 'kernel regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}]}
```

Model: "sequential_1"

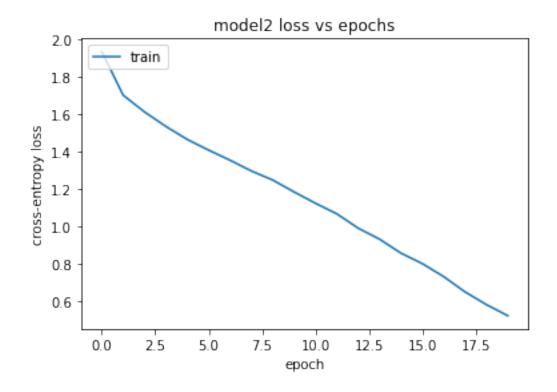
Layer (type)	Output Shape	Param #
first_hidden_layer (Dense)	(None, 1568)	3614240
second_hidden_layer (Dense)	(None, 784)	1230096
third_hidden_layer (Dense)	(None, 392)	307720
dropout (Dropout)	(None, 392)	0
dense_1 (Dense)	(None, 7)	2751

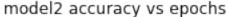
Total params: 5,154,807 Trainable params: 5,154,807 Non-trainable params: 0

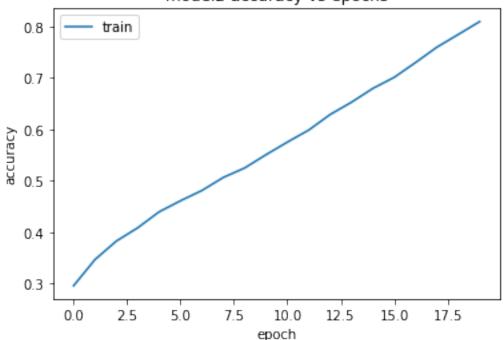
...

None

Number of Epochs used to train the model: 20







Running time for training the FNN: 26.87890362739563 ms

Parameters for the model:

```
{'name': 'sequential_2', 'layers': [{'class_name': 'InputLayer', 'config':
    {'batch_input_shape': (None, 2304), 'dtype': 'float32', 'sparse': False,
    'ragged': False, 'name': 'first_hidden_layer_input'}}, {'class_name': 'Dense',
    'config': {'name': 'first_hidden_layer', 'trainable': True, 'batch_input_shape':
    (None, 2304), 'dtype': 'float32', 'units': 2000, 'activation': 'elu',
    'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
    'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
```

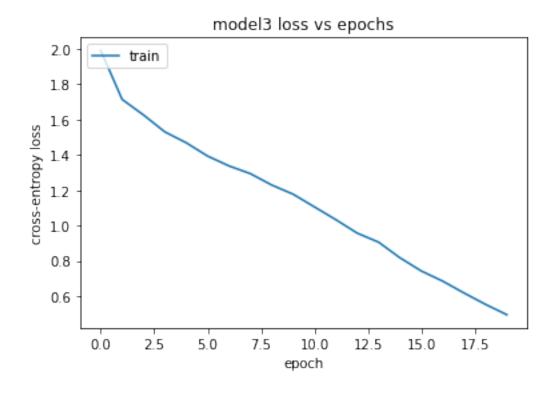
```
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'second_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 1000, 'activation': 'elu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel regularizer': None, 'bias regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'third_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 500, 'activation': 'elu',
'use bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias initializer': {'class name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dropout', 'config': {'name': 'dropout_1', 'trainable':
True, 'dtype': 'float32', 'rate': 0.25, 'noise_shape': None, 'seed': None}},
{'class_name': 'Dense', 'config': {'name': 'dense_2', 'trainable': True,
'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use_bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}]}
```

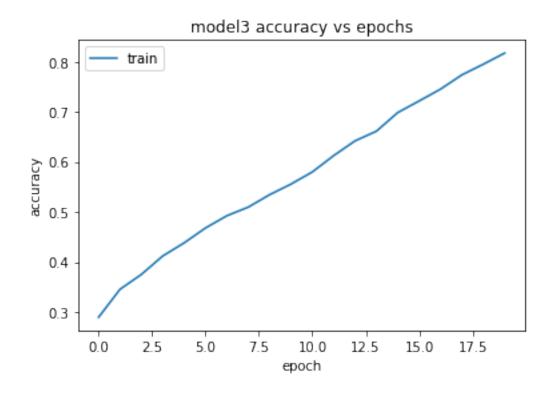
Model: "sequential_2"

Layer (type)	Output Shape	Param #
first_hidden_layer (Dense)	(None, 2000)	4610000
second_hidden_layer (Dense)	(None, 1000)	2001000
third_hidden_layer (Dense)	(None, 500)	500500
<pre>dropout_1 (Dropout)</pre>	(None, 500)	0
dense_2 (Dense)	(None, 7)	3507

Total params: 7,115,007 Trainable params: 7,115,007 Non-trainable params: 0

None





```
898/898 [=============] - 5s 5ms/step - loss: 0.3394 - accuracy: 0.8814
Emotion Classification Accuracy on the Training set: 0.8813612461090088

113/113 [===========================] - 1s 5ms/step - loss: 2.5049 - accuracy: 0.4531
Emotion Classification Accuracy on the Validation set: 0.45305100083351135
Running time for training the FNN: 29.2670316696167 ms
```

Parameters for the model:

```
{'name': 'sequential_3', 'layers': [{'class_name': 'InputLayer', 'config':
{'batch_input_shape': (None, 2304), 'dtype': 'float32', 'sparse': False,
'ragged': False, 'name': 'first_hidden_layer_input'}}, {'class_name': 'Dense',
'config': {'name': 'first_hidden_layer', 'trainable': True, 'batch_input_shape':
(None, 2304), 'dtype': 'float32', 'units': 2000, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'second_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 1000, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'third_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 500, 'activation': 'elu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel regularizer': None, 'bias regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'fourth_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 250, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'fifth_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 784, 'activation': 'relu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
```

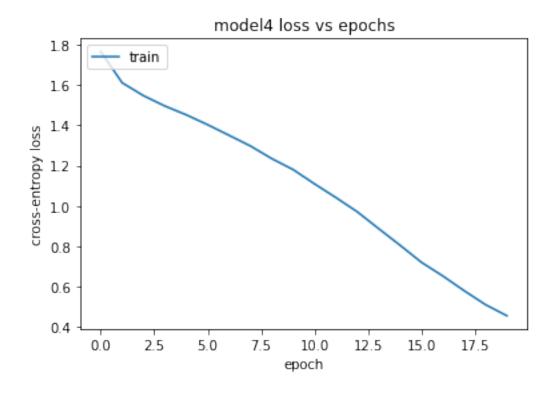
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dropout', 'config': {'name': 'dropout_2', 'trainable':
True, 'dtype': 'float32', 'rate': 0.25, 'noise_shape': None, 'seed': None}},
{'class_name': 'Dense', 'config': {'name': 'dense_3', 'trainable': True,
'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use_bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}]}

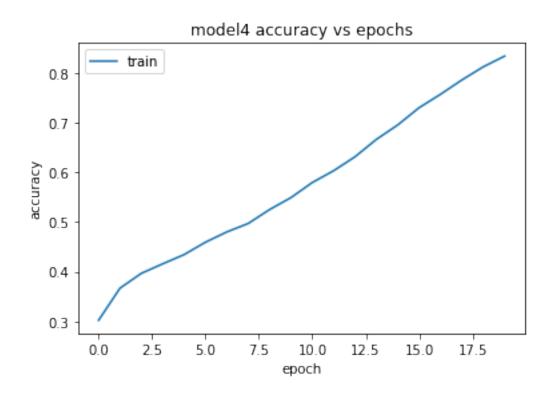
Model: "sequential_3"

Output Shape	Param #
(None, 2000)	4610000
(None, 1000)	2001000
(None, 500)	500500
(None, 250)	125250
(None, 784)	196784
(None, 784)	0
(None, 7)	5495
	(None, 2000) (None, 1000) (None, 500) (None, 250) (None, 784) (None, 784)

Total params: 7,439,029 Trainable params: 7,439,029 Non-trainable params: 0

None





Running time for training the FNN: 32.77064371109009 ms

Parameters for the model:

```
{'name': 'sequential_4', 'layers': [{'class_name': 'InputLayer', 'config':
{'batch_input_shape': (None, 2304), 'dtype': 'float32', 'sparse': False,
'ragged': False, 'name': 'first_hidden_layer_input'}}, {'class_name': 'Dense',
'config': {'name': 'first_hidden_layer', 'trainable': True, 'batch_input_shape':
(None, 2304), 'dtype': 'float32', 'units': 2000, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': {'class_name': 'L2', 'config': {'12':
9.999999747378752e-05}}, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}, {'class_name': 'Dense',
'config': {'name': 'second_hidden_layer', 'trainable': True, 'dtype': 'float32',
'units': 1000, 'activation': 'elu', 'use_bias': True, 'kernel_initializer':
{'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer':
{'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': {'class_name':
'L2', 'config': {'12': 9.999999747378752e-05}}, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'third_hidden_layer',
'trainable': True, 'dtype': 'float32', 'units': 500, 'activation': 'elu',
'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': {'class_name': 'L2', 'config': {'12':
9.999999747378752e-05}}, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}, {'class_name': 'Dense',
'config': {'name': 'fourth_hidden_layer', 'trainable': True, 'dtype': 'float32',
'units': 250, 'activation': 'elu', 'use_bias': True, 'kernel_initializer':
{'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer':
{'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': {'class_name':
'L2', 'config': {'12': 9.999999747378752e-05}}, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dropout', 'config': {'name': 'dropout_3', 'trainable':
True, 'dtype': 'float32', 'rate': 0.25, 'noise_shape': None, 'seed': None}},
```

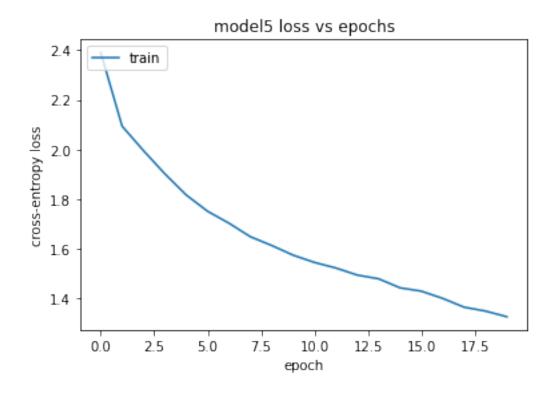
```
{'class_name': 'Dense', 'config': {'name': 'dense_4', 'trainable': True,
  'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use_bias': True,
  'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
  'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
  None, 'bias_regularizer': None, 'activity_regularizer': None,
  'kernel_constraint': None, 'bias_constraint': None}}]}
```

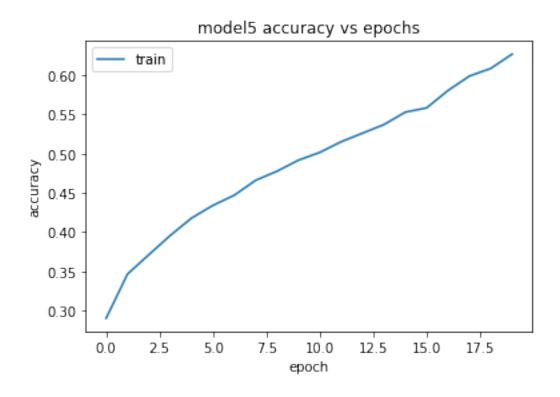
Model: "sequential_4"

Layer (type)	Output Shape	Param #
first_hidden_layer (Dense)	(None, 2000)	4610000
second_hidden_layer (Dense)	(None, 1000)	2001000
third_hidden_layer (Dense)	(None, 500)	500500
<pre>fourth_hidden_layer (Dense)</pre>	(None, 250)	125250
dropout_3 (Dropout)	(None, 250)	0
dense_4 (Dense)	(None, 7)	1757

Total params: 7,238,507 Trainable params: 7,238,507 Non-trainable params: 0

None





1.2.8 Answer:

Five different FNN models have been trained on the training dataset and evaluated on the validation dataset. The required details are shown above. The values in a tabular form is shown below:

Model name	Accuracy on Train dataset (%)	Accuracy on Validation dataset (%)	Training Time (ms)	Parameters Count
model1	94.28	47.34	26.95	5,230,463
model2	88.36	45.44	24.50	5,154,807
model3	90.70	46.95	26.87	7,115,007
model4	88.14	45.31	29.26	7,439,029
model5	67.33	44.78	32.77	7,238,507

1.2.9 (c.ii) (1 point) Run the best model that was found based on the validation set from question (c.i) on the testing set. Report the emotion classification accuracy on the testing set.

1.2.10 Answer:

1.2.11 The best model that was found based on the validation set is:

```
[12]: # print (validation_performances)
max_validation=validation_performances.index(max(validation_performances))
print (model_names[max_validation])
print (models[max_validation].summary())

print("Emotion Classification Accuracy on the Validation set for the model: {}_□

→".format(validation_performances[max_validation]))
```

model1

Model: "sequential"

Layer (type)	Output Shape	Param #
first_hidden_layer (Dense)	(None, 1568)	3614240
second_hidden_layer (Dense)	(None, 784)	1230096
third_hidden_layer (Dense)	(None, 392)	307720
<pre>fourth_hidden_layer (Dense)</pre>	(None, 196)	77028
dense (Dense)	(None, 7)	1379

Total params: 5,230,463

```
Trainable params: 5,230,463
Non-trainable params: 0
-----
None
Emotion Classification Accuracy on the Validation set for the model: 0.4733909070491791
```

1.2.12 The emotion classification accuracy of the model on the testing dataset is:

1.2.13 (d) (4 points) Image classification with CNNs: In this part, you will use a convolutional neural network (CNN) to perform the emotion classification task.

```
Conv2D(128, kernel_size=3, activation='relu',use_bias=True, _
       →kernel_regularizer =tf.keras.regularizers.12( l=0.001)),
                  Conv2D(128, kernel_size=3, activation='relu',use_bias=True, _
       ⇒kernel regularizer =tf.keras.regularizers.12( 1=0.001)),
                  MaxPooling2D(pool_size=(2,2)), Flatten(),]
      classifier_2 = [Dense(512, activation='relu', use_bias=True, kernel_regularizer_
       →=tf.keras.regularizers.12( 1=0.01)), Dense(len(emotions),
       →activation='softmax',use_bias=True),]
      cnn_model_2 = Sequential(common_features_2+classifier_2)
      common_features_3 = [Conv2D(64, kernel_size=3,__
       →activation='elu',input_shape=(48,48,1),use_bias=True),
                  Conv2D(64, kernel_size=3,_
       →activation='elu',padding='same',use_bias=True),
                  MaxPooling2D(pool_size=(2,2)),
                  Conv2D(128, kernel size=3,
       →activation='elu',padding='same',use_bias=True),
                  MaxPooling2D(pool_size=(2,2)),
                  Conv2D(128, kernel_size=3, activation='elu', strides=(2, __
       →2),padding='same',use_bias=True, kernel_regularizer =tf.keras.regularizers.
       \rightarrow11(1=0.001)),
                  Conv2D(128, kernel_size=3, activation='elu', strides=(2, __
       →2), padding='same', use_bias=True, kernel_regularizer =tf.keras.regularizers.
       \rightarrow11( 1=0.001)),
                  MaxPooling2D(pool size=(2,2)), Flatten(),]
      classifier_3 = [Dense(512, activation='elu', use_bias=True, kernel_regularizer_u
       →=tf.keras.regularizers.l1( l=0.01)),Dropout(0.25), Dense(len(emotions),
       →activation='softmax',use_bias=True),]
      cnn_model_3 = Sequential(common_features_3+classifier_3)
[15]: | # print(cnn model 1.summary())  # Compare number of parameteres against FFN
      time_callback = TimeHistory()
      cnn_model_1.compile(optimizer='adam',__
       →loss='categorical_crossentropy',metrics=['accuracy'],)
      cnn_model_2.compile(optimizer='adam',__
      →loss='categorical_crossentropy',metrics=['accuracy'],)
      cnn_model_3.compile(optimizer='adam',__
      →loss='categorical_crossentropy',metrics=['accuracy'],)
      time to train cnn=[]
      train_images_3d = flatten_train_images.
       →reshape(len(flatten_train_images),48,48,1)
```

```
test_images_3d = flatten_test_images.reshape(len(flatten_test_images),48,48,1)
cnn_history_1=cnn_model_1.fit(train_images_3d, to_categorical(train_emotions),_u
→epochs=15, batch_size=256,callbacks = [time_callback])
time to train cnn.append(time callback.times)
cnn_history_2=cnn_model_2.fit(train_images_3d, to_categorical(train_emotions),__
→epochs=20, batch_size=256,callbacks = [time_callback])
time_to_train_cnn.append(time_callback.times)
cnn history 3=cnn model 3.fit(train_images_3d, to_categorical(train_emotions),
⇒epochs=20, batch_size=256,callbacks = [time_callback])
time_to_train_cnn.append(time_callback.times)
Epoch 1/15
 6/113 [>...] - ETA: 7s - loss: 1.8666 - accuracy:
0.2181WARNING:tensorflow:Callback method `on_train_batch_end` is slow compared
to the batch time (batch time: 0.0308s vs `on_train_batch_end` time: 0.0325s).
Check your callbacks.
accuracy: 0.3642
Epoch 2/15
accuracy: 0.4901
Epoch 3/15
accuracy: 0.5498
Epoch 4/15
accuracy: 0.5944
Epoch 5/15
accuracy: 0.6458
Epoch 6/15
accuracy: 0.7065
Epoch 7/15
accuracy: 0.7669
Epoch 8/15
accuracy: 0.8402
Epoch 9/15
accuracy: 0.9036
Epoch 10/15
accuracy: 0.9444
```

```
Epoch 11/15
accuracy: 0.9666
Epoch 12/15
accuracy: 0.9782
Epoch 13/15
accuracy: 0.9792
Epoch 14/15
accuracy: 0.9859
Epoch 15/15
accuracy: 0.9910
Epoch 1/20
6/113 [>...] - ETA: 14s - loss: 9.2549 - accuracy:
0.2122WARNING:tensorflow:Callback method `on train batch end` is slow compared
to the batch time (batch time: 0.0470s vs `on_train_batch_end` time: 0.0726s).
Check your callbacks.
accuracy: 0.3194
Epoch 2/20
accuracy: 0.4212
Epoch 3/20
accuracy: 0.4656
Epoch 4/20
accuracy: 0.4891
Epoch 5/20
accuracy: 0.5104
Epoch 6/20
accuracy: 0.5235
Epoch 7/20
accuracy: 0.5388
Epoch 8/20
accuracy: 0.5519
Epoch 9/20
accuracy: 0.5667
Epoch 10/20
```

```
accuracy: 0.5757
Epoch 11/20
accuracy: 0.5918
Epoch 12/20
accuracy: 0.6038
Epoch 13/20
accuracy: 0.6114
Epoch 14/20
accuracy: 0.6278
Epoch 15/20
accuracy: 0.6353
Epoch 16/20
accuracy: 0.6502
Epoch 17/20
accuracy: 0.6625
Epoch 18/20
accuracy: 0.6580
Epoch 19/20
accuracy: 0.6769
Epoch 20/20
accuracy: 0.6935
Epoch 1/20
6/113 [>...] - ETA: 15s - loss: 38.8504 - accuracy:
0.2337WARNING:tensorflow:Callback method `on_train_batch_end` is slow compared
to the batch time (batch time: 0.0521s vs `on_train_batch_end` time: 0.0814s).
Check your callbacks.
113/113 [============= ] - 18s 141ms/step - loss: 12.8331 -
accuracy: 0.2555
Epoch 2/20
accuracy: 0.2942
Epoch 3/20
accuracy: 0.3161
Epoch 4/20
accuracy: 0.3279
Epoch 5/20
```

```
accuracy: 0.3353
Epoch 6/20
accuracy: 0.3427
Epoch 7/20
accuracy: 0.3494
Epoch 8/20
accuracy: 0.3554
Epoch 9/20
accuracy: 0.3645
Epoch 10/20
accuracy: 0.3721
Epoch 11/20
accuracy: 0.3831
Epoch 12/20
accuracy: 0.3923
Epoch 13/20
accuracy: 0.3960
Epoch 14/20
accuracy: 0.4016
Epoch 15/20
accuracy: 0.4105
Epoch 16/20
accuracy: 0.4138
Epoch 17/20
accuracy: 0.4200
Epoch 18/20
accuracy: 0.4251
Epoch 19/20
accuracy: 0.4305
Epoch 20/20
accuracy: 0.4347
```

1.2.14 (d.i) (3 points) Experiment on the validation set with different CNN hyper-parameters, e.g. layers, filter size, stride size, activation function, dropout, weight regularization, etc. For each hyper-parameter combination that you have used, please report the following: (1) emotion classification accuracy on the training and validation sets; (2) running time for training the FNN; (3) parameters for each CNN. How do these metrics compare to the FNN?

```
[30]: validation images 3d = flatten validation images.
       →reshape(len(flatten_validation_images),48,48,1)
      validation_performances_cnn=[]
      cnn_models=[cnn_model_1,cnn_model_2,cnn_model_3]
      cnn_model_names=['cnn_model_1','cnn_model_2','cnn_model_3']
      cnn_histories=[cnn_history_1,cnn_history_2,cnn_history_3]
      for i in range(len(cnn_models)):
       print (" ")
       print ("Required details for {}".format(cnn_model_names[i]))
       print (" ")
       performance1_cnn = cnn_models[i].evaluate(train_images_3d,__
       →to_categorical(train_emotions))
       print("Emotion Classification Accuracy on the Training set: {0}".
       →format(performance1_cnn[1]))
       print (" ")
       performance2_cnn = cnn_models[i].evaluate(validation_images_3d,__
       →to categorical(validation emotions))
        validation_performances_cnn.append(performance2_cnn[1])
       print("Emotion Classification Accuracy on the Validation set: {0}".
       →format(performance2_cnn[1]))
       print (" ")
       print ("Running time for training the CNN: {} ms".
       →format(str(time to train cnn[i][0])))
       print (" ")
       print ("Parameters for the model:")
       print (" ")
       print (cnn_models[i].get_config())
       print (" ")
       print (cnn models[i].summary())
       print (" ")
       print('Number of Epochs used to train the model: ', len(cnn_histories[i].
       →history['loss']))
        print(" ")
        # print(history.history.keys())
```

```
# summarize history for loss
  plt.plot(cnn_histories[i].history['loss'])
  plt.title('{} loss vs epochs'.format(cnn_model_names[i]))
  plt.ylabel('cross-entropy loss')
  plt.xlabel('epoch')
  plt.legend(['train'], loc='upper left')
  plt.show()
  # summarize history for accuracy
  plt.plot(cnn histories[i].history['accuracy'])
  plt.title('{} accuracy vs epochs'.format(cnn_model_names[i]))
  plt.ylabel('accuracy')
  plt.xlabel('epoch')
  plt.legend(['train'], loc='upper left')
  plt.show()
  print (" ")
  print (" ")
# performance = cnn_model.evaluate(test_images_3d,_
 \rightarrow to_categorical(test_emotions))
# print("Accuracy on Test samples: {0}".format(performance[1]))
Required details for cnn_model_1
accuracy: 0.9948
Emotion Classification Accuracy on the Training set: 0.9947751760482788
accuracy: 0.5737
Emotion Classification Accuracy on the Validation set: 0.5736973881721497
Running time for training the CNN: 144.84634375572205 ms
Parameters for the model:
{'name': 'sequential_5', 'layers': [{'class_name': 'InputLayer', 'config':
{'batch_input_shape': (None, 48, 48, 1), 'dtype': 'float32', 'sparse': False,
'ragged': False, 'name': 'conv2d input'}}, {'class name': 'Conv2D', 'config':
{'name': 'conv2d', 'trainable': True, 'batch_input_shape': (None, 48, 48, 1),
'dtype': 'float32', 'filters': 32, 'kernel_size': (3, 3), 'strides': (1, 1),
```

```
'padding': 'valid', 'data_format': 'channels_last', 'dilation_rate': (1, 1),
'groups': 1, 'activation': 'relu', 'use_bias': True, 'kernel_initializer':
{'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer':
{'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': None,
'bias regularizer': None, 'activity regularizer': None, 'kernel constraint':
None, 'bias_constraint': None}}, {'class_name': 'Conv2D', 'config': {'name':
'conv2d 1', 'trainable': True, 'dtype': 'float32', 'filters': 32, 'kernel size':
(3, 3), 'strides': (1, 1), 'padding': 'valid', 'data_format': 'channels_last',
'dilation_rate': (1, 1), 'groups': 1, 'activation': 'relu', 'use_bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel constraint': None, 'bias_constraint': None}}, {'class_name':
'MaxPooling2D', 'config': {'name': 'max_pooling2d', 'trainable': True, 'dtype':
'float32', 'pool_size': (2, 2), 'padding': 'valid', 'strides': (2, 2),
'data_format': 'channels_last'}}, {'class_name': 'Conv2D', 'config': {'name':
'conv2d_2', 'trainable': True, 'dtype': 'float32', 'filters': 64, 'kernel_size':
(3, 3), 'strides': (1, 1), 'padding': 'valid', 'data_format': 'channels_last',
'dilation_rate': (1, 1), 'groups': 1, 'activation': 'relu', 'use_bias': True,
'kernel initializer': {'class name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}, {'class_name': 'Conv2D',
'config': {'name': 'conv2d_3', 'trainable': True, 'dtype': 'float32', 'filters':
64, 'kernel_size': (3, 3), 'strides': (1, 1), 'padding': 'valid', 'data_format':
'channels_last', 'dilation_rate': (1, 1), 'groups': 1, 'activation': 'relu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'MaxPooling2D', 'config': {'name': 'max_pooling2d_1',
'trainable': True, 'dtype': 'float32', 'pool_size': (2, 2), 'padding': 'valid',
'strides': (2, 2), 'data_format': 'channels_last'}}, {'class_name': 'Flatten',
'config': {'name': 'flatten', 'trainable': True, 'dtype': 'float32',
'data format': 'channels last'}}, {'class name': 'Dense', 'config': {'name':
'dense_5', 'trainable': True, 'dtype': 'float32', 'units': 512, 'activation':
'relu', 'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Dense', 'config': {'name': 'dense_6', 'trainable': True,
'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias initializer': {'class name': 'Zeros', 'config': {}}, 'kernel regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}]}
```

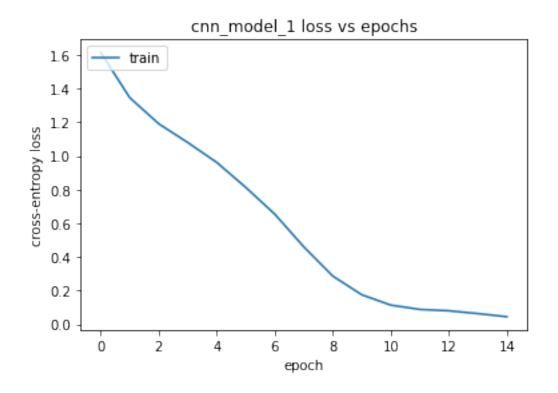
Model: "sequential_5"

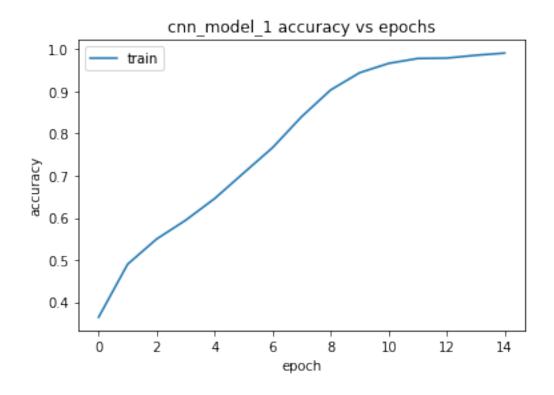
Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 46, 46, 32)	320
conv2d_1 (Conv2D)	(None, 44, 44, 32)	9248
<pre>max_pooling2d (MaxPooling2D)</pre>	(None, 22, 22, 32)	0
conv2d_2 (Conv2D)	(None, 20, 20, 64)	18496
conv2d_3 (Conv2D)	(None, 18, 18, 64)	36928
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 9, 9, 64)	0
flatten (Flatten)	(None, 5184)	0
dense_5 (Dense)	(None, 512)	2654720
dense_6 (Dense)	(None, 7)	3591

Total params: 2,723,303 Trainable params: 2,723,303 Non-trainable params: 0

None

Number of Epochs used to train the model: 15





Required details for cnn_model_2

Running time for training the CNN: 307.31507754325867 ms

Parameters for the model:

```
{'name': 'sequential_6', 'layers': [{'class_name': 'InputLayer', 'config':
{'batch_input_shape': (None, 48, 48, 1), 'dtype': 'float32', 'sparse': False,
'ragged': False, 'name': 'conv2d_4_input'}}, {'class_name': 'Conv2D', 'config':
{'name': 'conv2d_4', 'trainable': True, 'batch_input_shape': (None, 48, 48, 1),
'dtype': 'float32', 'filters': 64, 'kernel_size': (3, 3), 'strides': (1, 1),
'padding': 'valid', 'data_format': 'channels_last', 'dilation_rate': (1, 1),
'groups': 1, 'activation': 'relu', 'use_bias': True, 'kernel_initializer':
{'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer':
{'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': None,
'bias regularizer': None, 'activity regularizer': None, 'kernel constraint':
None, 'bias constraint': None}}, {'class name': 'Conv2D', 'config': {'name':
'conv2d_5', 'trainable': True, 'dtype': 'float32', 'filters': 64, 'kernel_size':
(3, 3), 'strides': (1, 1), 'padding': 'valid', 'data_format': 'channels_last',
'dilation_rate': (1, 1), 'groups': 1, 'activation': 'relu', 'use_bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel constraint': None, 'bias constraint': None}}, {'class name':
'MaxPooling2D', 'config': {'name': 'max_pooling2d_2', 'trainable': True,
'dtype': 'float32', 'pool size': (2, 2), 'padding': 'valid', 'strides': (2, 2),
'data_format': 'channels_last'}}, {'class_name': 'Conv2D', 'config': {'name':
'conv2d_6', 'trainable': True, 'dtype': 'float32', 'filters': 128,
'kernel_size': (3, 3), 'strides': (1, 1), 'padding': 'valid', 'data_format':
'channels_last', 'dilation_rate': (1, 1), 'groups': 1, 'activation': 'relu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': {'class_name': 'L2', 'config': {'12':
0.0010000000474974513}}, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}, {'class_name': 'Conv2D',
'config': {'name': 'conv2d_7', 'trainable': True, 'dtype': 'float32', 'filters':
128, 'kernel_size': (3, 3), 'strides': (1, 1), 'padding': 'valid',
```

'data_format': 'channels_last', 'dilation_rate': (1, 1), 'groups': 1, 'activation': 'relu', 'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': {'class_name': 'L2', 'config': {'12': 0.001000000474974513}}, 'bias regularizer': None, 'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint': None}}, {'class name': 'MaxPooling2D', 'config': {'name': 'max pooling2d 3', 'trainable': True, 'dtype': 'float32', 'pool_size': (2, 2), 'padding': 'valid', 'strides': (2, 2), 'data_format': 'channels_last'}}, {'class_name': 'Flatten', 'config': {'name': 'flatten_1', 'trainable': True, 'dtype': 'float32', 'data format': 'channels last'}}, {'class_name': 'Dense', 'config': {'name': 'dense 7', 'trainable': True, 'dtype': 'float32', 'units': 512, 'activation': 'relu', 'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': {'class_name': 'L2', 'config': {'l2': 0.00999999776482582}}, 'bias regularizer': None, 'activity regularizer': None, 'kernel_constraint': None, 'bias_constraint': None}}, {'class_name': 'Dense', 'config': {'name': 'dense_8', 'trainable': True, 'dtype': 'float32', 'units': 7, 'activation': 'softmax', 'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel regularizer': None, 'bias regularizer': None, 'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint': None \}] }

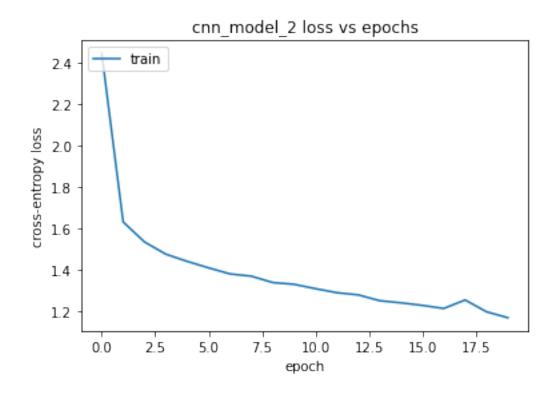
Model: "sequential_6"

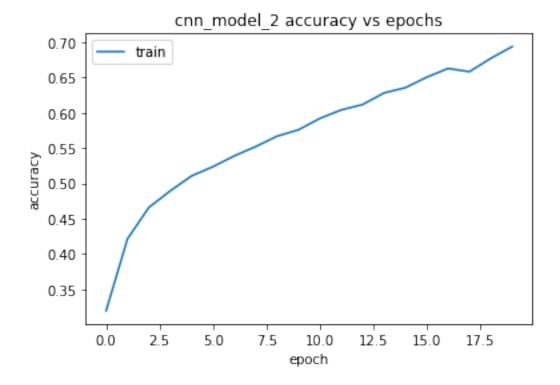
Layer (type)	Output Shape	Param #
conv2d_4 (Conv2D)		640
conv2d_5 (Conv2D)	(None, 44, 44, 64)	36928
<pre>max_pooling2d_2 (MaxPooling 2D)</pre>	(None, 22, 22, 64)	0
conv2d_6 (Conv2D)	(None, 20, 20, 128)	73856
conv2d_7 (Conv2D)	(None, 18, 18, 128)	147584
<pre>max_pooling2d_3 (MaxPooling 2D)</pre>	(None, 9, 9, 128)	0
flatten_1 (Flatten)	(None, 10368)	0
dense_7 (Dense)	(None, 512)	5308928
dense_8 (Dense)	(None, 7)	3591

Total params: 5,571,527 Trainable params: 5,571,527 Non-trainable params: 0

None

Number of Epochs used to train the model: 20





Required details for cnn_model_3

Running time for training the CNN: 316.84715700149536 ms

Parameters for the model:

```
{'name': 'sequential_7', 'layers': [{'class_name': 'InputLayer', 'config':
    {'batch_input_shape': (None, 48, 48, 1), 'dtype': 'float32', 'sparse': False,
    'ragged': False, 'name': 'conv2d_8_input'}}, {'class_name': 'Conv2D', 'config':
    {'name': 'conv2d_8', 'trainable': True, 'batch_input_shape': (None, 48, 48, 1),
    'dtype': 'float32', 'filters': 64, 'kernel_size': (3, 3), 'strides': (1, 1),
    'padding': 'valid', 'data_format': 'channels_last', 'dilation_rate': (1, 1),
    'groups': 1, 'activation': 'elu', 'use_bias': True, 'kernel_initializer':
```

```
{'class_name': 'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer':
{'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': None,
'bias regularizer': None, 'activity regularizer': None, 'kernel constraint':
None, 'bias_constraint': None}}, {'class_name': 'Conv2D', 'config': {'name':
'conv2d 9', 'trainable': True, 'dtype': 'float32', 'filters': 64, 'kernel size':
(3, 3), 'strides': (1, 1), 'padding': 'same', 'data_format': 'channels_last',
'dilation rate': (1, 1), 'groups': 1, 'activation': 'elu', 'use bias': True,
'kernel_initializer': {'class_name': 'GlorotUniform', 'config': {'seed': None}},
'bias_initializer': {'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer':
None, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}, {'class_name':
'MaxPooling2D', 'config': {'name': 'max_pooling2d_4', 'trainable': True,
'dtype': 'float32', 'pool_size': (2, 2), 'padding': 'valid', 'strides': (2, 2),
'data_format': 'channels_last'}}, {'class_name': 'Conv2D', 'config': {'name':
'conv2d_10', 'trainable': True, 'dtype': 'float32', 'filters': 128,
'kernel_size': (3, 3), 'strides': (1, 1), 'padding': 'same', 'data_format':
'channels_last', 'dilation_rate': (1, 1), 'groups': 1, 'activation': 'elu',
'use bias': True, 'kernel initializer': {'class name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
{}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity regularizer': None, 'kernel constraint': None, 'bias constraint':
None}}, {'class_name': 'MaxPooling2D', 'config': {'name': 'max_pooling2d_5',
'trainable': True, 'dtype': 'float32', 'pool_size': (2, 2), 'padding': 'valid',
'strides': (2, 2), 'data_format': 'channels_last'}}, {'class_name': 'Conv2D',
'config': {'name': 'conv2d_11', 'trainable': True, 'dtype': 'float32',
'filters': 128, 'kernel_size': (3, 3), 'strides': (2, 2), 'padding': 'same',
'data_format': 'channels_last', 'dilation_rate': (1, 1), 'groups': 1,
'activation': 'elu', 'use_bias': True, 'kernel_initializer': {'class_name':
'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer': {'class_name':
'Zeros', 'config': {}}, 'kernel_regularizer': {'class_name': 'L1', 'config':
{'11': 0.001000000474974513}}, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'Conv2D', 'config': {'name': 'conv2d_12', 'trainable':
True, 'dtype': 'float32', 'filters': 128, 'kernel_size': (3, 3), 'strides': (2,
2), 'padding': 'same', 'data format': 'channels last', 'dilation rate': (1, 1),
'groups': 1, 'activation': 'elu', 'use_bias': True, 'kernel_initializer':
{'class name': 'GlorotUniform', 'config': {'seed': None}}, 'bias initializer':
{'class_name': 'Zeros', 'config': {}}, 'kernel_regularizer': {'class_name':
'L1', 'config': {'l1': 0.0010000000474974513}}, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}, {'class_name': 'MaxPooling2D', 'config': {'name': 'max_pooling2d_6',
'trainable': True, 'dtype': 'float32', 'pool_size': (2, 2), 'padding': 'valid',
'strides': (2, 2), 'data_format': 'channels_last'}}, {'class_name': 'Flatten',
'config': {'name': 'flatten_2', 'trainable': True, 'dtype': 'float32',
'data_format': 'channels_last'}}, {'class_name': 'Dense', 'config': {'name':
'dense_9', 'trainable': True, 'dtype': 'float32', 'units': 512, 'activation':
'elu', 'use_bias': True, 'kernel_initializer': {'class_name': 'GlorotUniform',
'config': {'seed': None}}, 'bias_initializer': {'class_name': 'Zeros', 'config':
```

{}}, 'kernel_regularizer': {'class_name': 'L1', 'config': {'11':
0.009999999776482582}}, 'bias_regularizer': None, 'activity_regularizer': None,
'kernel_constraint': None, 'bias_constraint': None}}, {'class_name': 'Dropout',
'config': {'name': 'dropout_4', 'trainable': True, 'dtype': 'float32', 'rate':
0.25, 'noise_shape': None, 'seed': None}}, {'class_name': 'Dense', 'config':
{'name': 'dense_10', 'trainable': True, 'dtype': 'float32', 'units': 7,
'activation': 'softmax', 'use_bias': True, 'kernel_initializer': {'class_name':
'GlorotUniform', 'config': {'seed': None}}, 'bias_initializer': {'class_name':
'Zeros', 'config': {}}, 'kernel_regularizer': None, 'bias_regularizer': None,
'activity_regularizer': None, 'kernel_constraint': None, 'bias_constraint':
None}}]}

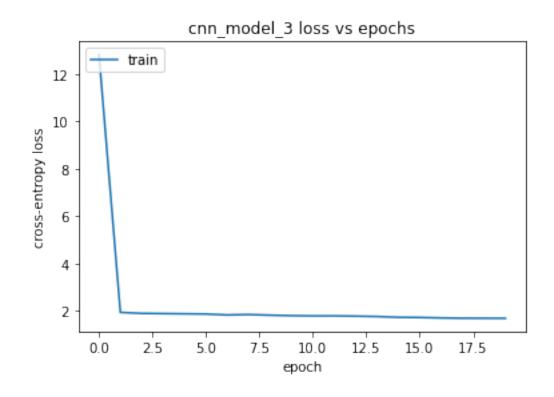
Model: "sequential_7"

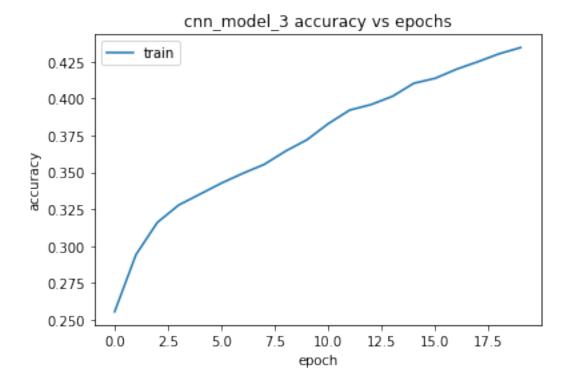
0 01	- · · I	 Param #
conv2d_8 (Conv2D)		
conv2d_9 (Conv2D)	(None, 46, 46, 64)	36928
<pre>max_pooling2d_4 (MaxPooling 2D)</pre>	(None, 23, 23, 64)	0
conv2d_10 (Conv2D)	(None, 23, 23, 128)	73856
<pre>max_pooling2d_5 (MaxPooling 2D)</pre>	(None, 11, 11, 128)	0
conv2d_11 (Conv2D)	(None, 6, 6, 128)	147584
conv2d_12 (Conv2D)	(None, 3, 3, 128)	147584
<pre>max_pooling2d_6 (MaxPooling 2D)</pre>	(None, 1, 1, 128)	0
flatten_2 (Flatten)	(None, 128)	0
dense_9 (Dense)	(None, 512)	66048
<pre>dropout_4 (Dropout)</pre>	(None, 512)	0
dense_10 (Dense)	(None, 7)	3591

Total params: 476,231 Trainable params: 476,231 Non-trainable params: 0

None

Number of Epochs used to train the model: 20





1.2.15 Answer:

Three different CNN models have been trained on the training dataset and evaluated on the validation dataset. The required details are shown above. The values in a tabular form is shown below:

CNN				
Model	Accuracy on Train	Accuracy on Validation	Training	Parameters
number	dataset $(\%)$	dataset (%)	Time (ms)	Count
1	99.48	57.37	$144.84~\mathrm{ms}$	2,723,303
2	76.53	55.87	$307.31~\mathrm{ms}$	$5,\!571,\!527$
3	44.26	42.71	$316.84~\mathrm{ms}$	$476,\!231$

As can be seen,

- 1. The accuracy on train, validation, and test dataset in higher in CNN models than in FNN models.
- 2. The number of parameters to train in a CNN model is also lesser than in FNN model.
- 3. It is taking more time to train a CNN model than a FNN model.

1.2.16 (d.ii) (1 point) Run the best model that was found based on the validation set from question (d.i) on the testing set. Report the emotion classification accuracy on the testing set. How does this metric compare to the FNN?

1.2.17 Answer:

1.2.18 The best model that was found based on the validation set is:

```
[31]: max_validation_cnn=validation_performances_cnn.

→index(max(validation_performances_cnn))

print (cnn_model_names[max_validation_cnn])

print (cnn_models[max_validation_cnn].summary())

print("Emotion Classification Accuracy on the Validation set for the cnn model:

→{} ".format(validation_performances_cnn[max_validation_cnn]))
```

cnn_model_1
Model: "sequential_5"

noder. bequentiar_o

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 46, 46, 32)	320
conv2d_1 (Conv2D)	(None, 44, 44, 32)	9248
<pre>max_pooling2d (MaxPooling2D)</pre>	(None, 22, 22, 32)	0
conv2d_2 (Conv2D)	(None, 20, 20, 64)	18496
conv2d_3 (Conv2D)	(None, 18, 18, 64)	36928
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 9, 9, 64)	0
flatten (Flatten)	(None, 5184)	0
dense_5 (Dense)	(None, 512)	2654720
dense_6 (Dense)	(None, 7)	3591

Total params: 2,723,303 Trainable params: 2,723,303 Non-trainable params: 0

None

Emotion Classification Accuracy on the Validation set for the cnn model:

0.5736973881721497

1.2.19 The emotion classification accuracy of the model on the testing dataset is:

```
[32]: test_images_3d = flatten_test_images.reshape(len(flatten_test_images),48,48,1)

test_performance_cnn = cnn_models[max_validation_cnn].evaluate(test_images_3d,__

to_categorical(test_emotions))

print("Emotion Classification Accuracy on the Testing set for the CNN: {0}".

format(test_performance_cnn[1]))

print(" ")

print("And Emotion Classification Accuracy on the Testing set for the FNN: {0}".

format(test_performance[1]))
```

And Emotion Classification Accuracy on the Testing set for the FNN: 0.47283366322517395

- 1.2.20 We can see that the Emotion Classification Accuracy on the Testing set for the CNN (58.12%) is better than that of the FNN (47.28%).
- 1.2.21 (e) (1 point) Bayesian optimization for hyper-parameter tuning: Instead of performing grid or random search to tune the hyper-parameters of the CNN, we can also try a model-based method for finding the optimal hyper-parameters through Bayesian optimization. This method performs a more intelligent search on the hyper-parameter space in order to estimate the best set of hyper-parameters for the data. Use publicly available libraries (e.g., hyper-parameter space using the validation set. Report the emotion classification accuracy on the testing set.

[19]: | !pip install hyperopt

```
Requirement already satisfied: hyperopt in /usr/local/lib/python3.7/dist-packages (0.1.2)
Requirement already satisfied: tqdm in /usr/local/lib/python3.7/dist-packages (from hyperopt) (4.62.3)
Requirement already satisfied: six in /usr/local/lib/python3.7/dist-packages (from hyperopt) (1.15.0)
Requirement already satisfied: networkx in /usr/local/lib/python3.7/dist-packages (from hyperopt) (2.6.3)
Requirement already satisfied: numpy in /usr/local/lib/python3.7/dist-packages (from hyperopt) (1.19.5)
Requirement already satisfied: scipy in /usr/local/lib/python3.7/dist-packages (from hyperopt) (1.4.1)
Requirement already satisfied: future in /usr/local/lib/python3.7/dist-packages (from hyperopt) (0.16.0)
```

Requirement already satisfied: pymongo in /usr/local/lib/python3.7/dist-packages (from hyperopt) (3.12.1)

[20]: from hyperopt import hp, fmin, tpe, STATUS_OK, Trials

```
[21]: def optimize_cnn(hyperparameter):
       # Defining model using hyperparameters
       cnn model = Sequential([Conv2D(32,___
      →activation=hyperparameter['activation_fn'], input_shape=(48,48,1)),
                 Conv2D(32, kernel_size=hyperparameter['conv_kernel_size'],
      →activation=hyperparameter['activation_fn']),
                 MaxPooling2D(pool_size=(2,2)),__
      →Dropout(hyperparameter['dropout_prob']),
                 Conv2D(64, kernel_size=hyperparameter['conv_kernel_size'], __
      →activation=hyperparameter['activation_fn']),
                 Conv2D(128, kernel_size=hyperparameter['conv_kernel_size'],
      →activation=hyperparameter['activation_fn']),
                 MaxPooling2D(pool_size=(2,2)),__
      →Dropout(hyperparameter['dropout_prob']),
                 Flatten(),
                 Dense(512, hyperparameter['activation_fn']),
                 Dense(len(emotions), activation='softmax'),])
       cnn model.compile(optimizer=hyperparameter['optimizer'],
      →loss='categorical_crossentropy', metrics=['accuracy'],)
       train_X, train_y = train_images_3d, train_emotions
       valid_X, valid_y = validation_images_3d, validation_emotions
       = cnn_model.fit(train_X, to_categorical(train_y), epochs=10,__
       ⇒batch_size=256, verbose=0)
       # Evaluating accuracy on validation data
       performance = cnn model.evaluate(valid_X, to_categorical(valid_y), verbose=0)
       print("Hyperparameters: ", hyperparameter, "Accuracy: ", performance[1])
       return({"status": STATUS_OK, "loss": -1*performance[1], "model":cnn_model})
      # Defining search space for hyper-parameters
     space = {
         # The kernel_size for convolutions:
         'conv_kernel_size': hp.choice('conv_kernel_size', [1, 3, 5]),
```

```
# Uniform distribution in finding appropriate dropout values
    'dropout_prob': hp.uniform('dropout_prob', 0.1, 0.35),
    # Choice of optimizer
    'optimizer': hp.choice('optimizer', ['Adam', 'sgd']),
    #choice of activation function
    'activation_fn': hp.choice('activation', ['relu', 'sigmoid', 'elu']),
}
trials = Trials()
# Finding the best hyperparameters
best = fmin(
       optimize_cnn,
       space,
       algo=tpe.suggest,
       trials=trials,
       max_evals=15,
    )
print("======="")
print("Best Hyperparameters", best)
test_model = trials.results[np.argmin([r['loss'] for r in trials.
 →results])]['model']
performance = test_model.evaluate(test_images_3d, to_categorical(test_emotions))
print("======="")
print("Test Accuracy: ", performance[1])
Hyperparameters:
{'activation_fn': 'sigmoid', 'conv_kernel_size': 5, 'dropout_prob':
0.15266477923626062, 'optimizer': 'sgd'}
Accuracy:
0.24937307834625244
_____
Hyperparameters:
{'activation_fn': 'elu', 'conv_kernel_size': 3, 'dropout_prob':
0.31596639352914324, 'optimizer': 'Adam'}
Accuracy:
0.5539147257804871
Hyperparameters:
{'activation_fn': 'relu', 'conv_kernel_size': 1, 'dropout_prob':
0.1744496091134044, 'optimizer': 'Adam'}
Accuracy:
0.47088325023651123
```

```
Hyperparameters:
{'activation_fn': 'sigmoid', 'conv_kernel_size': 1, 'dropout_prob':
0.287162391630506, 'optimizer': 'sgd'}
Accuracy:
0.24937307834625244
Hyperparameters:
{'activation_fn': 'relu', 'conv_kernel_size': 5, 'dropout_prob':
0.2448089365657019, 'optimizer': 'Adam'}
Accuracy:
0.592644214630127
______
Hyperparameters:
{'activation_fn': 'sigmoid', 'conv_kernel_size': 1, 'dropout_prob':
0.29375151885611395, 'optimizer': 'Adam'}
Accuracy:
0.24937307834625244
_____
Hyperparameters:
{'activation_fn': 'elu', 'conv_kernel_size': 3, 'dropout_prob':
0.12498432433670034, 'optimizer': 'sgd'}
Accuracy:
0.3736416697502136
_____
Hyperparameters:
{'activation_fn': 'elu', 'conv_kernel_size': 5, 'dropout_prob':
0.316955992072036, 'optimizer': 'sgd'}
Accuracy:
0.37977153062820435
______
Hyperparameters:
{'activation_fn': 'sigmoid', 'conv_kernel_size': 3, 'dropout_prob':
0.1439456589291522, 'optimizer': 'sgd'}
Accuracy:
0.1819448322057724
              -----
Hyperparameters:
{'activation_fn': 'sigmoid', 'conv_kernel_size': 1, 'dropout_prob':
0.14360078356291128, 'optimizer': 'Adam'}
Accuracy:
0.24937307834625244
              ._____
Hyperparameters:
{'activation_fn': 'elu', 'conv_kernel_size': 1, 'dropout_prob':
0.14857276563606964, 'optimizer': 'sgd'}
Accuracy:
0.38395094871520996
```

```
Hyperparameters:
    {'activation fn': 'elu', 'conv_kernel_size': 1, 'dropout_prob':
    0.21999128824946373, 'optimizer': 'Adam'}
    Accuracy:
    0.37698522210121155
    Hyperparameters:
    {'activation_fn': 'relu', 'conv_kernel_size': 5, 'dropout_prob':
    0.27824443302614543, 'optimizer': 'Adam'}
    Accuracy:
    0.585121214389801
    _____
    Hyperparameters:
    {'activation_fn': 'relu', 'conv_kernel_size': 1, 'dropout_prob':
    0.1268864685218872, 'optimizer': 'Adam'}
    Accuracy:
    0.4505433142185211
    Hyperparameters:
    {'activation_fn': 'elu', 'conv_kernel_size': 3, 'dropout_prob':
    0.13194258629013292, 'optimizer': 'Adam'}
    Accuracy:
    0.5215937495231628
             | 15/15 [21:24<00:00, 85.63s/it, best loss: -0.592644214630127]
    Best Hyperparameters {'activation': 0, 'conv_kernel_size': 2, 'dropout_prob':
    0.2448089365657019, 'optimizer': 0}
    113/113 [============ ] - 1s 7ms/step - loss: 1.0938 -
    accuracy: 0.5940
    _____
    Test Accuracy: 0.5940373539924622
    1.2.22 The best hypermeters are: {'activation': 'relu', 'conv_kernel_size': 5,
           'dropout_prob': 0.2448089365657019, 'optimizer': 'Adam'}
    1.2.23 The emotion classification accuracy on the testing set with the best hyperpa-
          rameters is as follows:
[22]: performance = test_model.evaluate(test_images_3d, to_categorical(test_emotions))
     print("======="")
     print("Test Accuracy: ", performance[1])
    accuracy: 0.5940
    _____
    Test Accuracy: 0.5940373539924622
```

1.2.24 (f) (Bonus - 1 point) Fine-tuning: Use a pre-trained CNN (e.g., the pre-trained example of the MNIST dataset that we saw in class, or any other available pre-trained CNN) and fine-tune it on the FER data. Please experiment with different fine-tuning hyper-parameters (e.g., layers to fine-tune, regularization during fine-tuning) on the validation set. Report the classification accuracy for all hyper-parameter combinations on the validation set. Also report the classification accuracy with the best hyper-parameter combination on the testing set.

```
[23]: from tensorflow.keras.applications import MobileNetV2
      from tensorflow.keras.applications.inception_v3 import InceptionV3
      from tensorflow.keras.applications.efficientnet import EfficientNetBO
      from tensorflow.keras.applications.resnet50 import ResNet50
      from tensorflow.keras.applications.inception_resnet_v2 import InceptionResNetV2
      from tensorflow.python.keras.layers import Dense, Flatten, __
      →GlobalAveragePooling2D, Activation, Flatten, Dropout, BatchNormalization
      from keras.applications.inception resnet v2 import preprocess input
      from tensorflow.keras.layers import RandomFlip, RandomRotation
      from tensorflow.keras import Input, Model
      from tensorflow.image import grayscale_to_rgb
      from tensorflow import convert_to_tensor
      model_ft_1 = Sequential()
      model_ft_1.add(ResNet50(input_shape=(48,48,3), include_top=False,_
       →pooling='avg', weights="imagenet"))
      # model.trainable=False
      model ft 1.add(Dense(512))
      model_ft_1.add(Activation('relu'))
      model_ft_1.add(Dense(1024))
      model_ft_1.add(Activation('relu'))
      model_ft_1.add(Dense(512))
      model_ft_1.add(Activation('relu'))
      model_ft_1.add(Dropout(0.3))
      model_ft_1.add(Dense(len(emotions), activation='softmax'))
      model_ft_1.layers[0].trainable = False
      model_ft_1.compile(optimizer='adam', loss='categorical_crossentropy',_
      →metrics=['accuracy'])
      model_ft_2 = Sequential()
      model_ft_2.add( MobileNetV2(input_shape=(48,48,3), include_top=False,_

→pooling='avg', weights="imagenet"))
      # model.trainable=False
      model ft 2.add(Dense(512))
```

```
model_ft_2.add(Activation('relu'))
model_ft_2.add(Dense(1024))
model_ft_2.add(Activation('relu'))
model_ft_2.add(Dense(512))
model_ft_2.add(Activation('relu'))
model_ft_2.add(Dropout(0.4))
model ft 2.add(Dense(len(emotions), activation='softmax'))
model_ft_2.layers[0].trainable = False
model_ft_2.compile(optimizer='adam', loss='categorical_crossentropy',__
→metrics=['accuracy'])
model_ft_3 = Sequential()
model_ft_3 .add( MobileNetV2(input_shape=(48,48,3), include_top=False,_
→pooling='avg', weights="imagenet"))
# model.trainable=False
model_ft_3 .add(Dense(512, kernel_regularizer=regularizers.12(0.01)))
model_ft_3 .add(Activation('elu'))
model_ft_3 .add(Dense(1024, kernel_regularizer=regularizers.12(0.01)))
model_ft_3 .add(Activation('elu'))
model_ft_3 .add(Dense(512, kernel_regularizer=regularizers.12(0.01)))
model_ft_3 .add(Activation('elu'))
model_ft_3 .add(Dropout(0.3))
model_ft_3 .add(Dense(len(emotions), activation='softmax'))
model ft 3 .layers[0].trainable = False
model_ft_3 .compile(optimizer='adam', loss='categorical_crossentropy',__
→metrics=['accuracy'])
train_images_3d_3=grayscale_to_rgb(convert_to_tensor(train_images_3d))
history_ft_1 = model_ft_1.fit(train_images_3d_3,__
→to_categorical(train_emotions), epochs=10, batch_size=256, verbose=1)
history_ft_2 = model_ft_2.fit(train_images_3d_3,__
-to_categorical(train_emotions), epochs=15, batch_size=256, verbose=1)
history_ft_3 = model_ft_3.fit(train_images_3d_3,__
→to_categorical(train_emotions), epochs=15, batch_size=256, verbose=1)
```

```
[96, 128, 160, 192, 224]. Weights for input shape (224, 224) will be loaded as
the default.
Downloading data from https://storage.googleapis.com/tensorflow/keras-applicatio
ns/mobilenet_v2/mobilenet_v2_weights_tf_dim_ordering_tf_kernels_1.0_224_no_top.h
5
9412608/9406464 [============ ] - Os Ous/step
9420800/9406464 [============ ] - 0s Ous/step
WARNING:tensorflow: `input_shape` is undefined or non-square, or `rows` is not in
[96, 128, 160, 192, 224]. Weights for input shape (224, 224) will be loaded as
the default.
Epoch 1/10
accuracy: 0.2447
Epoch 2/10
accuracy: 0.2650
Epoch 3/10
accuracy: 0.2810
Epoch 4/10
accuracy: 0.3006
Epoch 5/10
accuracy: 0.3065
Epoch 6/10
accuracy: 0.3203
Epoch 7/10
accuracy: 0.3198
Epoch 8/10
accuracy: 0.3344
Epoch 9/10
accuracy: 0.3336
Epoch 10/10
accuracy: 0.3427
Epoch 1/15
accuracy: 0.3315
Epoch 2/15
accuracy: 0.4143
Epoch 3/15
```

```
accuracy: 0.4745
Epoch 4/15
accuracy: 0.5571
Epoch 5/15
accuracy: 0.6465
Epoch 6/15
accuracy: 0.7327
Epoch 7/15
accuracy: 0.7967
Epoch 8/15
accuracy: 0.8442
Epoch 9/15
accuracy: 0.8786
Epoch 10/15
accuracy: 0.9131
Epoch 11/15
accuracy: 0.9319
Epoch 12/15
accuracy: 0.9402
Epoch 13/15
accuracy: 0.9500
Epoch 14/15
accuracy: 0.9513
Epoch 15/15
accuracy: 0.9588
Epoch 1/15
accuracy: 0.3234
Epoch 2/15
accuracy: 0.3922
Epoch 3/15
accuracy: 0.4257
Epoch 4/15
```

```
accuracy: 0.4960
  Epoch 6/15
  accuracy: 0.5301
  Epoch 7/15
  accuracy: 0.5668
  Epoch 8/15
  accuracy: 0.6091
  Epoch 9/15
  accuracy: 0.6406
  Epoch 10/15
  accuracy: 0.6835
  Epoch 11/15
  accuracy: 0.7248
  Epoch 12/15
  accuracy: 0.7587
  Epoch 13/15
  accuracy: 0.7881
  Epoch 14/15
  accuracy: 0.8257
  Epoch 15/15
  accuracy: 0.8449
[24]: validation_images_3d_3=grayscale_to_rgb(convert_to_tensor(validation_images_3d))
  # performance = model_ft_3.evaluate(validation_images_3d_3,_
   → to_categorical(validation_emotions))
  # print("======"")
  # print("Test Accuracy: ", performance[1])
  validation_performances_ft=[]
  ft_models=[model_ft_1,model_ft_2,model_ft_3]
  ft_model_names=['model_ft_1', 'model_ft_2', 'model_ft_3']
```

accuracy: 0.4622

Epoch 5/15

```
ft_histories=[history_ft_1,history_ft_2,history_ft_3]
for i in range(len(ft_models)):
 print (" ")
 print ("Required details for {}".format(ft_model_names[i]))
 print (" ")
 performance1_ft = ft_models[i].evaluate(train_images_3d_3,__
 →to_categorical(train_emotions))
 print("Emotion Classification Accuracy on the Training set: {0}".
 →format(performance1_ft[1]))
 print (" ")
 performance2_ft = ft_models[i].evaluate(validation_images_3d_3,__
 →to categorical(validation emotions))
 validation_performances_ft.append(performance2_ft[1])
 print("Emotion Classification Accuracy on the Validation set: {0}".
 →format(performance2_ft[1]))
 print (" ")
 print ("Parameters for the model:")
 print (" ")
 print (ft_models[i].summary())
 print (" ")
 print('Number of Epochs used to train the model: ', len(ft histories[i].
 ⇔history['loss']))
 print(" ")
  # print(history.history.keys())
  # summarize history for loss
 plt.plot(ft_histories[i].history['loss'])
 plt.title('{} loss vs epochs'.format(ft_model_names[i]))
 plt.ylabel('cross-entropy loss')
 plt.xlabel('epoch')
 plt.legend(['train'], loc='upper left')
 plt.show()
  # summarize history for accuracy
 plt.plot(ft_histories[i].history['accuracy'])
 plt.title('{} accuracy vs epochs'.format(ft_model_names[i]))
 plt.ylabel('accuracy')
 plt.xlabel('epoch')
 plt.legend(['train'], loc='upper left')
 plt.show()
 print (" ")
 print (" ")
```

Required details for model_ft_1

898/898 [=======] - 33s 35ms/step - loss: 1.6846 -

accuracy: 0.3244

Emotion Classification Accuracy on the Training set: 0.32442787289619446

accuracy: 0.3104

Emotion Classification Accuracy on the Validation set: 0.3103928565979004

Parameters for the model:

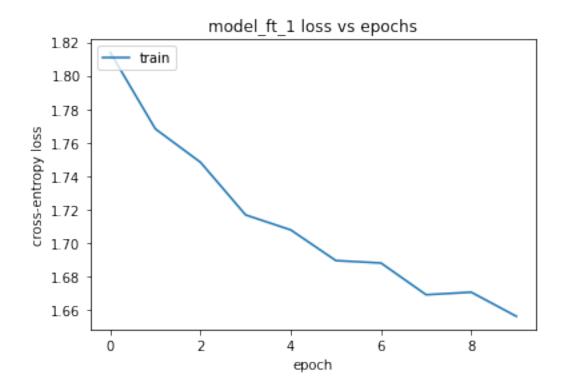
Model: "sequential_23"

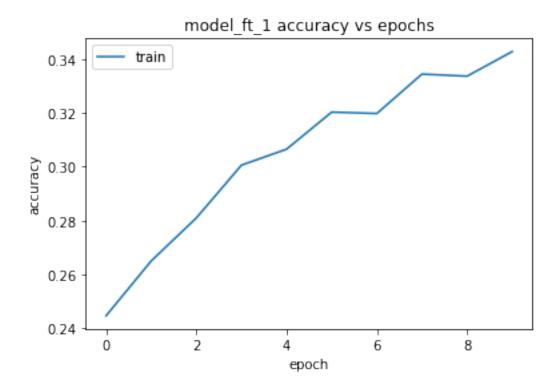
· · · · · ·		Param #
resnet50 (Functional)		23587712
<pre>module_wrapper (ModuleWrapp er)</pre>	(None, 512)	1049088
<pre>module_wrapper_1 (ModuleWra pper)</pre>	(None, 512)	0
<pre>module_wrapper_2 (ModuleWra pper)</pre>	(None, 1024)	525312
<pre>module_wrapper_3 (ModuleWra pper)</pre>	(None, 1024)	0
<pre>module_wrapper_4 (ModuleWra pper)</pre>	(None, 512)	524800
<pre>module_wrapper_5 (ModuleWra pper)</pre>	(None, 512)	0
<pre>module_wrapper_6 (ModuleWra pper)</pre>	(None, 512)	0
<pre>module_wrapper_7 (ModuleWra pper) ====================================</pre>		3591

Total params: 25,690,503 Trainable params: 2,102,791 Non-trainable params: 23,587,712

None

Number of Epochs used to train the model: 10





Required details for model_ft_2

accuracy: 0.9808

Emotion Classification Accuracy on the Training set: 0.9807725548744202

accuracy: 0.4230

Emotion Classification Accuracy on the Validation set: 0.42295902967453003

Parameters for the model:

Model: "sequential_24"

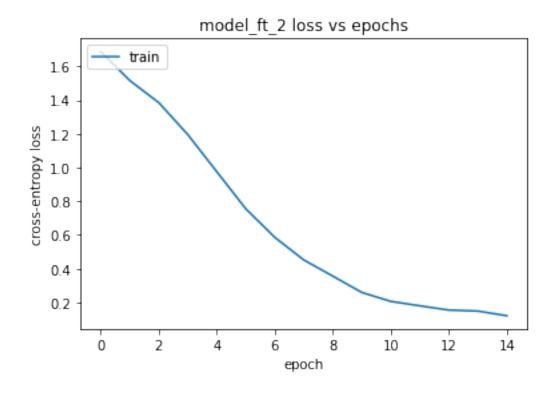
Layer (type)	Output Shape	Param #
mobilenetv2_1.00_224 (Funct ional)	(None, 1280)	2257984
<pre>module_wrapper_8 (ModuleWra pper)</pre>	(None, 512)	655872

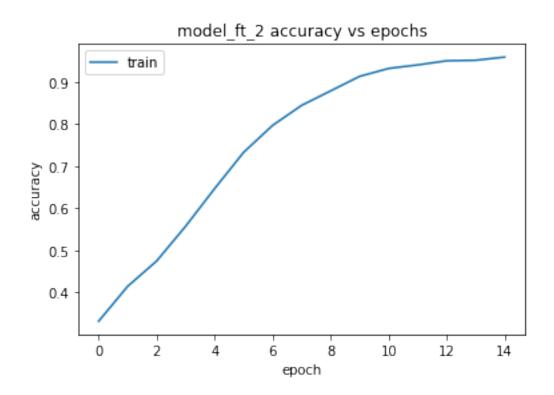
<pre>module_wrapper_9 (ModuleWra pper)</pre>	(None, 512)	0
<pre>module_wrapper_10 (ModuleWr apper)</pre>	(None, 1024)	525312
<pre>module_wrapper_11 (ModuleWr apper)</pre>	(None, 1024)	0
<pre>module_wrapper_12 (ModuleWr apper)</pre>	(None, 512)	524800
<pre>module_wrapper_13 (ModuleWr apper)</pre>	(None, 512)	0
<pre>module_wrapper_14 (ModuleWr apper)</pre>	(None, 512)	0
<pre>module_wrapper_15 (ModuleWr apper)</pre>	(None, 7)	3591

Total params: 3,967,559
Trainable params: 1,709,575
Non-trainable params: 2,257,984

None

Number of Epochs used to train the model: 15





Required details for model_ft_3

accuracy: 0.8713

Emotion Classification Accuracy on the Training set: 0.8713295459747314

accuracy: 0.4322

 ${\tt Emotion~Classification~Accuracy~on~the~Validation~set:~0.4321537911891937}$

Parameters for the model:

Model: "sequential_25"

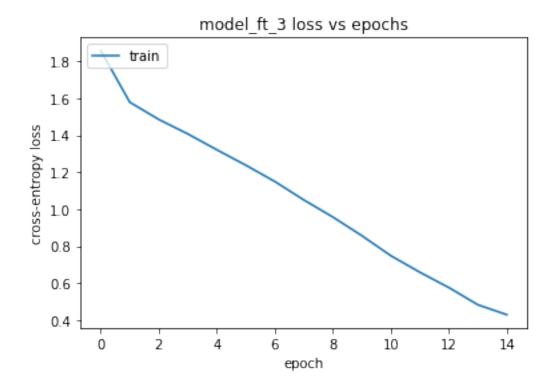
Layer (type)		-	Shape 	Param #
mobilenetv2_1.00_2 ional)				2257984
<pre>module_wrapper_16 apper)</pre>	(ModuleWr	(None,	512)	655872
<pre>module_wrapper_17 apper)</pre>	(ModuleWr	(None,	512)	0
<pre>module_wrapper_18 apper)</pre>	(ModuleWr	(None,	1024)	525312
module_wrapper_19 apper)	(ModuleWr	(None,	1024)	0
<pre>module_wrapper_20 apper)</pre>	(ModuleWr	(None,	512)	524800
<pre>module_wrapper_21 apper)</pre>	(ModuleWr	(None,	512)	0
<pre>module_wrapper_22 apper)</pre>	(ModuleWr	(None,	512)	0
<pre>module_wrapper_23 apper)</pre>	(ModuleWr	(None,	7)	3591

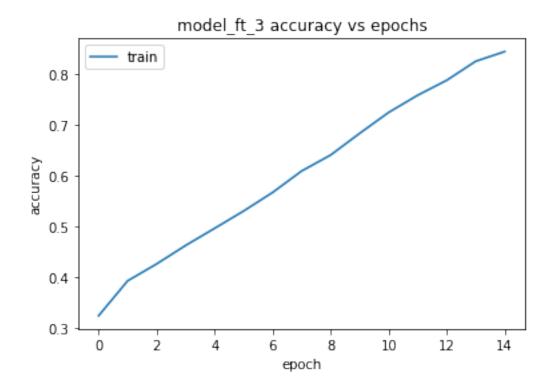
Total params: 3,967,559

Trainable params: 1,709,575 Non-trainable params: 2,257,984

None

Number of Epochs used to train the model: 15





1.2.25 Answer:

Three different fine-tuned models have been trained on the training dataset and evaluated on the validation dataset. The required details are shown above. The values in a tabular form is shown below:

			Total
			Trainable
Model	Accuracy on Train dataset	Accuracy on Validation dataset	Parameters
name	(%)	(%)	Count
$model_ft_1$	32.44	31.04	2,102,791
$model_ft_2$	98.08	42.30	1,709,575
$\underline{\text{model_ft_3}}$	87.13	43.22	1,709,575

1.2.26 The best fine-tuned model that was found based on the validation set is:

```
[42]: max_validation_ft=validation_performances_ft.

→index(max(validation_performances_ft))

print (ft_model_names[max_validation_ft])

print (ft_models[max_validation_ft].summary())
```

model_ft_3

Model: "sequential_25"

Layer (type)		-	•	Param #
mobilenetv2_1.00_22 ional)				2257984
<pre>module_wrapper_16 apper)</pre>	(ModuleWr	(None,	512)	655872
<pre>module_wrapper_17 apper)</pre>	(ModuleWr	(None,	512)	0
module_wrapper_18 (apper)	(ModuleWr	(None,	1024)	525312
module_wrapper_19 (apper)	(ModuleWr	(None,	1024)	0
module_wrapper_20 (apper)	(ModuleWr	(None,	512)	524800
<pre>module_wrapper_21 apper)</pre>	(ModuleWr	(None,	512)	0
<pre>module_wrapper_22 apper)</pre>	(ModuleWr	(None,	512)	0
<pre>module_wrapper_23 apper)</pre>	(ModuleWr	(None,	7)	3591

Total params: 3,967,559
Trainable params: 1,709,575
Non-trainable params: 2,257,984

None

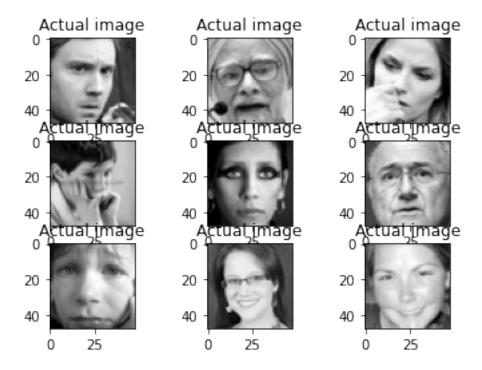
Emotion Classification Accuracy on the Validation set for the fine-tuned model: 0.4321537911891937

1.2.27 The emotion classification accuracy of the best fine-tuned model (created using mobilenetv2) on the testing dataset is:

accuracy: 0.4235
Emotion Classification Accuracy on the Testing set for the fine-tuned model: 0.42351630330085754

1.2.28 (g) (Bonus - 1 point) Data augmentation: Data augmentation is a way to increase the size of our dataset and reduce overfitting, especially when we use complicated models with manyparameters to learn. Using any available toolbox or your own code, implement some of these techniques and augment the original FER data.

```
[41]: from keras.preprocessing.image import ImageDataGenerator
      for i in range(9):
       plt.subplot(330 + 1 + i)
       plt.title('Actual image')
       plt.imshow(train_images_3d[i].reshape(48, 48), cmap=plt.get_cmap('gray'))
      plt.show()
      shift=0.2
      datagen1 = ImageDataGenerator(featurewise center=True,
       →featurewise_std_normalization=True, zca_whitening=True, rotation_range=90,
      →width_shift_range=0.2, height_shift_range=0.2, horizontal_flip=True, __
      →vertical_flip=True)
      datagen2 = ImageDataGenerator(featurewise_center=True,_
       →featurewise_std_normalization=True)
      datagen3 = ImageDataGenerator(zca_whitening=True)
      datagen4 = ImageDataGenerator(rotation range=90)
      datagen5 = ImageDataGenerator(width_shift_range=shift, height_shift_range=shift)
      datagens=[datagen1, datagen2,datagen3, datagen4, datagen5]
      labels=["Augmentation 1", "Augmentation 2", "Augmentation 3", "Augmentation 4",_{\sqcup}
      →"Augmentation 5"]
      for ij,datagen in enumerate(datagens):
          datagen.fit(train_images_3d[:9])
```



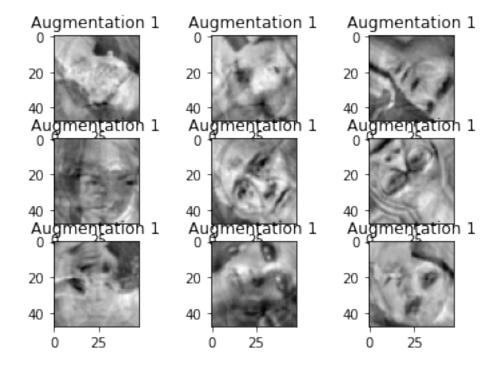
/usr/local/lib/python3.7/dist-

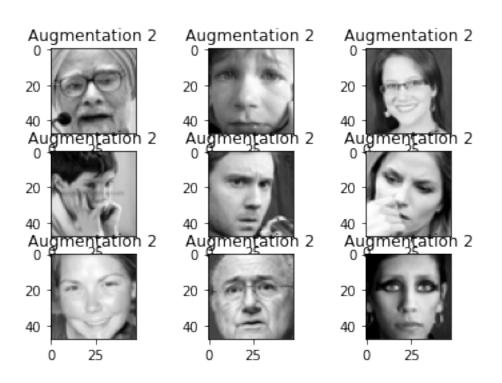
packages/keras_preprocessing/image/image_data_generator.py:342: UserWarning: This ImageDataGenerator specifies `zca_whitening` which overrides setting of `featurewise_std_normalization`.

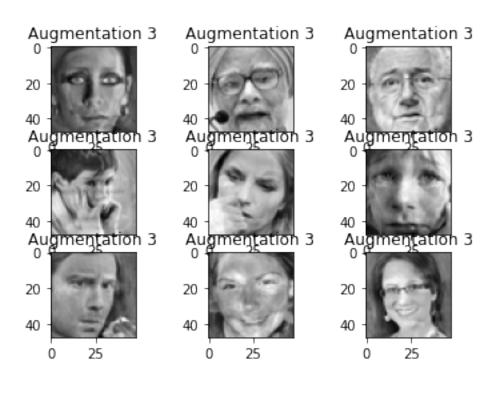
warnings.warn('This ImageDataGenerator specifies '
/usr/local/lib/python3.7/dist-

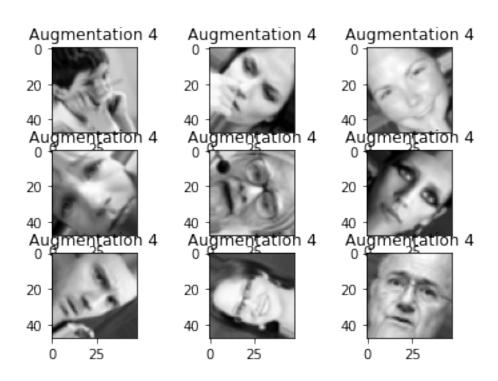
packages/keras_preprocessing/image/image_data_generator.py:337: UserWarning: This ImageDataGenerator specifies `zca_whitening`, which overrides setting of `featurewise_center`.

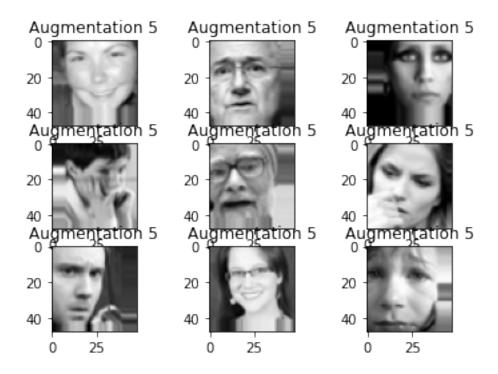
warnings.warn('This ImageDataGenerator specifies '











Answer: Some outputs of the following data augmentation techniques are shown above:

- 1. datagen1 = ImageDataGenerator(featurewise_center=True, feature-wise_std_normalization=True, zca_whitening=True, rotation_range=90, width_shift_range=0.2, height_shift_range=0.2, horizontal_flip=True, vertical_flip=True)
- 2. datagen2 = ImageDataGenerator(featurewise_center=True, feature-wise_std_normalization=True) feature-
- 3. datagen3 = ImageDataGenerator(zca_whitening=True)
- 4. datagen4 = ImageDataGenerator(rotation_range=90)
- 5. datagen5 = ImageDataGenerator(width shift range=shift, height shift range=shift)

Now, training a CNN model using datagen1:

```
MaxPooling2D(pool_size=(2,2)), Flatten(),]
classifier = [Dense(512, activation='relu'), Dense(len(emotions),
 →activation='softmax'),]
cnn_model = Sequential(common_features+classifier)
cnn model.compile(optimizer='adam',___
 →loss='categorical_crossentropy',metrics=['accuracy'],)
history_cnn = cnn_model.fit_generator(it, epochs=10)
/usr/local/lib/python3.7/dist-packages/ipykernel_launcher.py:16: UserWarning:
`Model.fit generator` is deprecated and will be removed in a future version.
Please use `Model.fit`, which supports generators.
 app.launch_new_instance()
Epoch 1/10
898/898 [=========== ] - 69s 76ms/step - loss: 1.8030 -
accuracy: 0.2509
Epoch 2/10
898/898 [============= ] - 68s 76ms/step - loss: 1.7720 -
accuracy: 0.2677
Epoch 3/10
898/898 [=========== ] - 69s 77ms/step - loss: 1.7104 -
accuracy: 0.3034
Epoch 4/10
accuracy: 0.3227
Epoch 5/10
898/898 [============= ] - 69s 77ms/step - loss: 1.6565 -
accuracy: 0.3326
Epoch 6/10
accuracy: 0.3403
Epoch 7/10
accuracy: 0.3488
Epoch 8/10
accuracy: 0.3577
Epoch 9/10
accuracy: 0.3715
Epoch 10/10
898/898 [============ ] - 71s 79ms/step - loss: 1.5837 -
accuracy: 0.3755
```

The accuracy on the test dataset using this model is as follows: