

17.18

Consider a disk with block size $B = 512$ bytes. A block pointer is $P = 6$ bytes long, and a record pointer is $PR = 7$ bytes long. A file has $r = 30,000$ EMPLOYEE records of fixed length. Each record has the following fields: Name (30 bytes), Ssn (9 bytes), Department_code (9 bytes), Address (40 bytes), Phone (10 bytes), Birth_date (8 bytes), Sex (1 byte), Job_code (4 bytes), and Salary (4 bytes, real number). An additional byte is used as a deletion marker.

- a. Calculate the record size R in bytes.
 ➔ Record size (R) = $(30+9+9+40+10+8+1+4+4)+1 = 116$ bytes
- b. Calculate the blocking factor bfr and the number of file blocks b , assuming an unspanned organization.
 ➔ Blocking factor(bfr) = $\text{floor}(B/R) = \text{floor}(512/116) = 4$ records per block
 ➔ Number of file blocks (b) = $\text{ceiling}(r/bfr) = \text{ceiling}(30000/4) = 7500$
- c. Suppose that the file is ordered by the key field Ssn and we want to construct a primary index on Ssn. Calculate (i) the index blocking factor bfr_i (which is also the index fan-out fo); (ii) the number of first-level index entries and the number of first-level index blocks; (iii) the number of levels needed if we make it into a multilevel index; (iv) the total number of blocks required by the multilevel index; and (v) the number of block accesses needed to search for and retrieve a record from the file—given its Ssn value—using the primary index.

- i. Index record size (R_i) = $(Ssn + P)$

$$= (9+6)$$

$$= 15 \text{ bytes}$$

$$\begin{aligned} \text{Index blocking factor } (bfr_i) &= \text{floor}(B/R_i) \\ &= \text{floor}(512/15) \\ &= 34 \end{aligned}$$

- ii. Number of first-level index entries (r_1) = number of file blocks(b)

$$= 7500 \text{ entries}$$
 Number of first-level index blocks (b_1) = $\text{ceiling}(r_1/bfr_i)$

$$= \text{ceiling}(7500/34)$$

$$= 221 \text{ blocks}$$
- iii. Number of second-level index entries (r_2) = number of first-level blocks(b_1)

$$= 221 \text{ entries}$$
 Number of second-level index blocks (b_2) = $\text{ceiling}(r_2/bfr_i)$

$$= \text{ceiling}(221/34) = 7 \text{ blocks.}$$

Number of third-level index entries (r_3) = number of second level index blocks(b_2)
= 7 entries

Number of third-level index blocks (b_3) = ceiling (r_3/bfr_i)
= ceiling (7/34)
= 1

We can see that the third level has only one block. So, it is the top index level. Therefore, there are $x=3$ index levels.

iv. Total number of blocks required by the multilevel index (b_i) = $b_1+b_2+b_3$
= $221+7+1$
= 229 blocks

v. Number of block accesses needed to search = $x+1 = 3+1 = 4$

d. Suppose that the file is not ordered by the key field Ssn and we want to construct a secondary index on Ssn. Repeat the previous exercise (part c) for the secondary index and compare with the primary index

i Index record size (R_i) = (SSN + P)
= $9 + 6 = 15$ bytes

Index blocking factor (bfr_i) = floor (B/R_i) = floor ($512/15$) = 34 index records per block

ii. Number of 1st level index entries (r_1) = number of file records(r) = 30000

Number of 1st level index blocks (b_1) = ceiling (r_1/bfr_i)
= ceiling (30000/34)
= 883 blocks

iii. Number of 2nd level index entries (r_2) = number of 1st level index blocks (b_1)
= 883 entries

Number of 2nd level index blocks (b_2) = ceiling (r_2/bfr_i)
= ceiling (883/34)
= 26 blocks

Number of 3rd level index entries (r_3) = number of 2nd level index blocks(b_2)
= 26 entries

Number of 3rd level index blocks (b_3) = ceiling (r_3/bfr_i) = ceiling(26/34) = 1

The third level has 1 block, so it the top index level. Therefore, the index has 3 levels.

iv. Total number of blocks required by multilevel index (b_i) = $b_1 + b_2 + b_3 = 883 + 26 + 1 = 910$

v. Number of block accesses = $x + 1 = 3 + 1 = 4$

e. Suppose that the file is not ordered by the nonkey field Department_code and we want to construct a secondary index on Department_code, using option 3 of Section 17.1.3, with an extra level of indirection that stores record pointers. Assume there are 1,000 distinct values of Department_code and that the EMPLOYEE records are evenly distributed among these values. Calculate (i) the index blocking factor bfr_i (which is also the index fan-out fo); (ii) the number of blocks needed by the level of indirection that stores record pointers; (iii) the number of first-level index entries and the number of first-level index blocks; (iv) the number of levels needed if we make it into a multilevel index; (v) the total number of blocks required by the multilevel index and the blocks used in the extra level of indirection; and (vi) the approximate number of block accesses needed to search for and retrieve all records in the file that have a specific Department_code value, using the index.

i. Index record size (R_i) = (Department_code + P)

$$= 9 + 6$$

$$= 15 \text{ bytes}$$

$$\text{Index blocking factor (bfr}_i) = \text{floor}(B/R_i) = \text{floor}(512/15)$$

$$= 34 \text{ index records per block}$$

ii. Given,

$$\text{Record pointer size (PR)} = 7 \text{ bytes}$$

$$\text{distinct values of department code} = 1000$$

$$\text{Therefore, the average number of records for each value is } (r/1000) = (30000/1000) = 30$$

The number of bytes needed at the level of indirection for each value of Department code is

$7 * 30 = 210$ bytes, which fits in one block. Therefore, we need 1000 blocks for the level of indirection.

iii. Number of 1st level index entries (r_1) = distinct values of departmentCode = 1000 entries

$$\text{Number of 1}^{\text{st}} \text{ level index blocks (b}_1) = \text{ceiling}(r_1/\text{bfr}_1)$$

$$= \text{ceiling}(1000/34)$$

$$= 30 \text{ blocks}$$

iv. Number of 2nd level index entries (r_2) = number of 1st level index blocks (b_1) = 30 entries

Number of 2nd level index blocks (b_2) = ceiling (r_2/bfr_1) = ceiling (30/34) = 1

Since there is only 1 block at the second level. The index has $x = 2$ levels

v Total number of blocks required by the multilevel index (b_i) = $b_1 + b_2 = 30 + 1 = 31$

vi. Number of block accesses = $x+1 = 2+1 = 3$