

SWASTHYA: Quality & Security Framework

1. Executive Overview

Security and Quality are not optional features of **Project Swasthya** — they form its *core foundation*.

Given the highly sensitive nature of health data and the importance of real-time accuracy, the system follows two guiding principles:

- **Privacy-by-Design** – Security and privacy are embedded at every layer.
- **Quality-by-Default** – Every dataset and process is designed to ensure reliability and accuracy.

This document defines the **end-to-end framework** for ensuring security, privacy, data quality, and operational reliability across the system architecture.

2. Pillar 1: Data Security (The "Fortress")

This pillar focuses on protecting project data against unauthorized access during transfer (**in transit**) and while stored (**at rest**).

2.1. Data in Transit Security

All data moving into, out of, or within the cloud is **encrypted end-to-end**.

- **IoT Sensor-to-Cloud:**
Each **ESP32 sensor** is provisioned with a unique **X.509 certificate**. Communication occurs via **MQTT over TLS 1.2/1.3**, ensuring encryption and authentication, preventing interception or spoofing.
- **Application-to-Cloud:**
All communication from the **Citizen PWA**, **Clinic Portal**, and **Dashboard** occurs over **HTTPS (SSL/TLS)**, enforced by **Amazon API Gateway** and **Amazon CloudFront**.
This ensures encryption of all credentials, submissions, and user requests.
- **Internal Cloud Traffic:**
Data movement between AWS services (Lambda → Timestream, SageMaker → S3, etc.) occurs over the **private AWS network**, isolated from the public internet.

2.2. Data at Rest Security

All data is **encrypted by default** when stored.

- **Time-Series Data:**
Amazon Timestream (C1) uses AWS-managed keys for automatic encryption at rest.
- **Relational & Geospatial Data:**
Amazon RDS (PostgreSQL) (C3) uses **AWS KMS** for encrypting storage, snapshots, and backups.
- **Data Lake & Logs:**
Amazon S3 (C2) stores raw sensor data, ML datasets, and logs with **Server-Side Encryption (SSE-S3 or SSE-KMS)**.
Bucket policies **enforce encryption** for every upload.

3. Pillar 2: Privacy by Design (The "Core Principle")

Privacy is not a feature — it is a **mandatory design rule**.

All system layers are structured to guarantee user anonymity and ethical data use.

3.1. The Federated Learning (FL) Architecture

The main privacy-preserving mechanism for citizen health data.

- **The Challenge:**
Collecting symptom data without creating a centralized health database.
- **The Solution:**
Implement a **Federated Learning (FL)** system using the **Flower framework** and **TensorFlow.js**.
- **The Process:**
 1. The Citizen PWA downloads the global model from the **Flower Server (D1)**.
 2. The model trains locally using the user's private symptom data (stored only on-device).
 3. The app uploads only **mathematical model updates** (gradients), not personal data.
 4. The server aggregates all updates into an improved global model.
- **Privacy Guarantee:**
No raw or identifiable health data ever leaves the device.
The central system only receives **anonymous, aggregated weight updates** — ensuring zero risk of re-identification.

3.2. Handling of Aggregated Clinic Data

Clinic data is anonymized **before** submission.

- **Anonymized at Source:**
Clinics submit data as statistical summaries (e.g., “50 cold cases in Kothrud, Oct 1–10”). No Personal Identifiable Information (PII) is included.
- **Secure Handling:**
Even though anonymized, clinic data follows the same **encryption, storage, and access** protocols as all other datasets.

4. Pillar 3: Application & Access Security (The "Gatekeeper")

Controls who can access the system and what operations they can perform.

4.1. Authentication & User Management

- **Centralized Authentication:**
Managed through **Amazon Cognito (E4)**, serving as the single, secure identity provider.
- **Lifecycle Security:**
Handles registration, email/phone verification, password policies, and login using **Secure Remote Password (SRP)** protocol.
- **Token-Based Authentication:**
After login, users receive a **JSON Web Token (JWT)**, required for every API call.

4.2. Role-Based Access Control (RBAC)

Access permissions are enforced via **Cognito Groups** and validated by **Amazon API Gateway (E3)**.

Role	Access Point	Permissions
Citizen	PWA (E1)	Submit anonymized health data via FL. Cannot access dashboards.
ClinicStaff	Dashboard (E2)	Submit aggregated clinic data only. No access to insights or maps.
HealthOfficial / Researcher	Dashboard (E2)	Access full insights, maps, and AI analytics. Cannot submit data.

4.3. API Security

- All endpoints are secured through **API Gateway (E3)**.
- Requests without valid, unexpired JWTs or incorrect roles are **automatically rejected**.
- Each API action enforces **fine-grained access policies** to ensure least-privilege operation.

5. Pillar 4: Data Quality & Integrity (The "Foundation")

The accuracy of insights depends entirely on the quality of incoming data. This pillar enforces validation, consistency, and trustworthiness.

5.1. Real-Time Validation Pipeline

The **AWS Lambda (B5)** layer ensures rigorous quality control for all incoming data.

- **Schema Validation:**
Validates every incoming payload against a strict JSON schema. Invalid or incomplete entries are rejected.
- **Range & Plausibility Checks:**
Filters unrealistic data (e.g., PM2.5 = -50 or 9999).
- **Dead-Letter Queues (DLQ):**
Invalid entries are stored in a dedicated **S3 DLQ bucket** for manual inspection and debugging, ensuring **no silent data loss**.

5.2. Data Triangulation (The "3-Source Method")

The model's confidence is enhanced by verifying findings against **three independent data sources**:

1. **Citizen FL Data:**
Real-time, high-frequency data (unverified, but broad-scale).
2. **Clinic Aggregated Data:**
Low-frequency, high-reliability ground truth from verified entities.
3. **Contextual Data:**
Weather, traffic, or pharmacy sales data — used for cross-validation.

Result:

Correlations are trusted only when supported by **two or more sources**, significantly improving data accuracy and model robustness.

6. Pillar 5: System Quality & Reliability (The "Bedrock")

Ensures the platform is fault-tolerant, scalable, and continuously operational.

6.1. Resilience & Fault Tolerance

- **Resilient Core:**
Amazon Kinesis Data Streams (B2) buffers data between ingestion and processing.
- **Failure Scenario:**
If Lambda (B5) or Timestream (C1) fails temporarily, Kinesis stores the data safely for up to 7 days.
- **Automatic Recovery:**
Once services are restored, data resumes flow automatically — ensuring **zero data loss**.

6.2. Scalability

Project Swasthya is built with a **serverless-first architecture** — automatically scaling with workload.

- **Ingestion Layer:**
AWS IoT Core and Kinesis handle thousands to millions of sensor connections concurrently.
- **Processing Layer:**
AWS Lambda scales horizontally, spawning parallel functions for concurrent sensor events.
- **Storage & Frontend:**
Amazon S3, Timestream, and CloudFront provide fully managed, elastic scaling for both data and web traffic.

7. Conclusion

The **Quality & Security Framework** of Project Swasthya establishes an **industrial-grade foundation** of trust, reliability, and ethical integrity.

By combining **federated learning for privacy**, **multi-source validation for quality**, and **serverless AWS architecture for scalability**, the project ensures that real-time public health intelligence is **secure, accurate, and sustainable** — ready to serve citizens, researchers, and policymakers responsibly.