

Perturbation Theory in Quantum Mechanics

BIRMD

The Time Independent Perturbation Theory

Non-Degenerate Rayleigh - Schrödinger Perturbation Theory

- **Given:** Hamiltonian

$$\hat{H} = \hat{H}_0 + \delta\hat{H}$$

where \hat{H}_0 is exactly solvable and it's energy spectrum is

$$\hat{H}_0 |\phi_n\rangle = \epsilon_n |\phi_n\rangle$$

Now we need to find the energy spectrum of complete \hat{H} such that

$$\hat{H} |\psi_n\rangle = E_n |\psi_n\rangle$$

- **Assume:**

$$\diamond \hat{H} = \hat{H}_0 + \lambda \delta\hat{H}$$

$$\diamond E_n = E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \cdots = \sum_{i=0}^{\infty} \lambda^i E_n^{(i)}$$

$$\diamond |\psi_n\rangle = |\psi_n^{(0)}\rangle + \lambda |\psi_n^{(1)}\rangle + \lambda^2 |\psi_n^{(2)}\rangle + \cdots = \sum_{i=0}^{\infty} \lambda^i |\psi_n^{(i)}\rangle$$

- Substituting this in Schrödinger equation and comparing powers of λ will give

$$\diamond \lambda^0 : \hat{H}_0 |\psi_n^{(0)}\rangle = E_n^{(0)} |\psi_n^{(0)}\rangle; \text{ and}$$

$$\diamond \lambda^m : \hat{H}_0 |\psi_n^{(m)}\rangle + \delta\hat{H} |\psi_n^{(m-1)}\rangle = \sum_{j=0}^m E_n^{(j)} |\psi_n^{(m-j)}\rangle, \text{ for } \forall m \in \mathbb{N} \quad \star$$

- **Zeroth Order Correction:** Comparing this with Schrödinger equation for \hat{H}_0 will give

$$E_n^{(0)} = \epsilon_n \quad \& \quad |\psi_n^{(0)}\rangle = |\phi_n\rangle$$

- **First Order Correction:** We know

$$\hat{H}_0 |\psi_n^{(1)}\rangle + \delta\hat{H} |\psi_n^{(0)}\rangle = E_n^{(0)} |\psi_n^{(1)}\rangle + E_n^{(1)} |\psi_n^{(0)}\rangle$$

Act by $\langle \phi_m |$ on both side will give

$$\langle \phi_m | \hat{H}_0 | \psi_n^{(1)} \rangle + \langle \phi_m | \delta \hat{H} | \phi_n \rangle = \langle \phi_m | \epsilon_n | \psi_n^{(1)} \rangle + \langle \phi_m | E_n^{(1)} | \phi_n \rangle$$

\hat{H}_0 can act on $\langle \phi_n |$ as it's hermitian and this state is its eigenstate. Thus we get

$$\epsilon_m \langle \phi_m | \psi_n^{(1)} \rangle + \langle \phi_m | \delta \hat{H} | \phi_n \rangle = \epsilon_n \langle \phi_m | \psi_n^{(1)} \rangle + E_n^{(1)} \delta_{m,n}$$

1) For $m = n$, we get

$$\boxed{E_n^{(1)} = \langle \phi_n | \delta \hat{H} | \phi_n \rangle}$$

2) For $m \neq n$, we get

$$\langle \phi_m | \psi_n^{(1)} \rangle = \frac{\langle \phi_m | \delta \hat{H} | \phi_n \rangle}{\epsilon_n - \epsilon_m}$$

which will give

$$\boxed{|\psi_n^{(1)}\rangle = \langle \phi_n | \psi_n^{(1)} \rangle |\phi_n\rangle + \sum_{m \neq n} \frac{\langle \phi_m | \delta \hat{H} | \phi_n \rangle}{\epsilon_n - \epsilon_m} |\phi_m\rangle}$$

• **Overlap of $|\phi_n\rangle$ and $|\psi_n^{(s \geq 1)}\rangle$:**

◇ **Intermediate Normalization:**

$$\langle \phi_n | \psi_n^{(s \geq 1)} \rangle = 0$$

◇ **Conventional Normalization:**

$$\langle \psi_n | \psi_n \rangle = 1 \Rightarrow \sum_{i,j} \lambda^{i+j} \langle \psi_n^{(i)} | \psi_n^{(j)} \rangle = 1$$

rewriting this

$$\sum_i \lambda^i \left(\sum_j \langle \psi_n^{(j)} | \psi_n^{(i-j)} \rangle \right) = \sum_i \lambda^i \delta_{i,0}$$

Thus

$$\boxed{\sum_j \langle \psi_n^{(j)} | \psi_n^{(i-j)} \rangle = \delta_{i,0}}$$

So for $i = 0$, we have

$$\langle \phi_n | \psi_n^{(1)} \rangle + \langle \psi_n^{(1)} | \phi_n \rangle = 0$$

And so on...

• **Second Order Correction:** Again, we know that

$$\hat{H}_0 |\psi_n^{(2)}\rangle + \delta \hat{H} |\psi_n^{(1)}\rangle = E_n^{(0)} |\psi_n^{(2)}\rangle + E_n^{(1)} |\psi_n^{(1)}\rangle + E_n^{(2)} |\psi_n^{(0)}\rangle$$

Act by $\langle \phi_m |$ on both side will give

$$\langle \phi_m | \hat{H}_0 | \psi_n^{(2)} \rangle + \langle \phi_m | \delta \hat{H} | \psi_n^{(1)} \rangle = \langle \phi_m | \epsilon_n | \psi_n^{(2)} \rangle + \langle \phi_m | E_n^{(1)} | \psi_n^{(1)} \rangle + \langle \phi_m | E_n^{(2)} | \phi_n \rangle$$

This will simplify into following

$$(\epsilon_m - \epsilon_n) \langle \phi_m | \psi_n^{(2)} \rangle + \langle \phi_m | \delta \hat{H} | \psi_n^{(1)} \rangle = E_n^{(1)} \langle \phi_m | \psi_n^{(1)} \rangle + E_n^{(2)} \delta_{m,n}$$

1) For $m = n$, we get

$$E_n^{(2)} = \langle \phi_n | \delta \hat{H} | \psi_n^{(1)} \rangle - E_n^{(1)} \langle \phi_n | \psi_n^{(1)} \rangle$$

The term in red can't be determined using perturbation theory. But we don't need that. We can use resolution of identity:

$$E_n^{(2)} = \langle \phi_n | \delta \hat{H} \left(\sum_m |\phi_m\rangle \langle \phi_m| \right) | \psi_n^{(1)} \rangle - E_n^{(1)} \langle \phi_n | \psi_n^{(1)} \rangle$$

This will simplify into following

$$E_n^{(2)} = \sum_{m \neq n} \langle \phi_n | \delta \hat{H} | \phi_m \rangle \langle \phi_m | \psi_n^{(1)} \rangle + \left(\langle \phi_n | \delta \hat{H} | \phi_n \rangle - E_n^{(1)} \right) \langle \phi_n | \psi_n^{(1)} \rangle$$

The term in red goes to zero and we can substitute the term in blue from an expression above in first order correction part, which will give us following result

$$E_n^{(2)} = \sum_{m \neq n} \frac{|\langle \phi_m | \delta \hat{H} | \phi_n \rangle|^2}{\epsilon_n - \epsilon_m}$$

• Remarks

◇ The energy of perturbed Hamiltonian upto second order correction is

$$E_n \approx \epsilon_n + \delta \hat{H}_{nn} + \sum_{m \neq n} \frac{|\delta \hat{H}_{mn}|^2}{\epsilon_n - \epsilon_m}$$

where $\delta \hat{H}_{mn} = \langle \phi_m | \delta \hat{H} | \phi_n \rangle$.

- ◇ The second order correction is important if: (i) The first order correction vanishes; or (ii) There is an energy level very close to ϵ_n .
- ◇ For the ground state, the second order energy correction is always negative.
- ◇ The indeterminacy in the first order correction of the wave function $\left(\left| \psi_n^{(1)} \right\rangle \right)$ does not affect the value of $E_n^{(2)}$.

• If we act by $\langle \phi_n |$ on both side of \star , it'll give

$$E_n^{(m)} = \langle \phi_n | \delta \hat{H} | \psi_n^{(m-1)} \rangle - \sum_{j=1}^{m-1} E_n^{(j)} \langle \phi_n | \psi_n^{(m-j)} \rangle$$

It seems that for knowing m -th order energy correction, we need previous $m - 1$ energy correction, but that is not the case.

- **2s + 1 Rule:** If we know energy and eigenstate correction up to s-th order, then using them, we can find energy correction up to (2s + 1)-th order.

We can re-write the \star equation as

$$(\hat{H}_0 - \epsilon_n) |\psi_n^{(m)}\rangle + (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(m-1)}\rangle = \sum_{j=2}^m E_n^{(j)} |\psi_n^{(m-j)}\rangle$$

Using this, we can find an expression for $\langle \psi_n^{(p)} | (\delta\hat{H} - E_n^{(1)})$ and act it on $|\psi_n^{(q)}\rangle$, which will give

$$\langle \psi_n^{(p)} | (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(q)}\rangle = \langle \psi_n^{(p+1)} | (\epsilon_n - \hat{H}_0) |\psi_n^{(q)}\rangle + \sum_{j=2}^{p+1} E_n^{(j)} \langle \psi_n^{(p+1-j)} | \psi_n^{(q)}\rangle$$

Now again previous expression, we can expand the blue term in this equation and can arrive at following formula

$$\begin{aligned} \langle \psi_n^{(p)} | (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(q)}\rangle &= \langle \psi_n^{(p+1)} | (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(q-1)}\rangle - \sum_{j=2}^q E_n^{(j)} \langle \psi_n^{(p+1)} | \psi_n^{(q-j)}\rangle \\ &\quad + \sum_{j=2}^{p+1} E_n^{(j)} \langle \psi_n^{(p+1-j)} | \psi_n^{(q)}\rangle \end{aligned}$$

Using this formula, we can find energy correction up to 2s + 1 order.

◇ **Third Order Correction:**

$$E_n^{(3)} = \langle \psi_n^{(1)} | (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(1)}\rangle$$

◇ **Fourth Order Correction:**

$$E_n^{(4)} = \langle \psi_n^{(1)} | (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(2)}\rangle + E_n^{(2)} \langle \psi_n^{(2)} | \phi_n \rangle$$

◇ **Fifth Order Correction:**

$$E_n^{(5)} = \langle \psi_n^{(1)} | (\delta\hat{H} - E_n^{(1)}) |\psi_n^{(2)}\rangle - E_n^{(2)} (\langle \psi_n^{(1)} | \psi_n^{(2)}\rangle + \langle \psi_n^{(2)} | \psi_n^{(1)}\rangle)$$