# 1. DB Normalisation

# **Prerequisites**

- PK
- Candidate Key
- Prime Attributes
- Non-prime Attributes

Student (EnrollmentNumber, Email, Name, Phone, City, Age)

### **CandidateKeys:**

- 1. Email
- 2. Phone
- 3. EnrollmentNumber

#### PK

EnrollmentNumber

Following are the important differences between Primary Key and Candidate key.

| ## | <u>Aa</u><br>criteria | <b>■</b> Primary Key   | ■ Candidate key   |
|----|-----------------------|--|---|
| 1  | <u>Definition</u>     | Primary Key is a unique and non-null key which identify a record uniquely in table. A table can have only one primary key. | Candidate key is also a unique key to identify a record uniquely in a table but a table can have multiple candidate keys. |
| 2  | <u>Null</u>           | Primary key column value can not be null.  | Candidate key column can have null value.   |
| 3  | <u>Objective</u>      | Primary key is most important part of any relation or table.   | Candidate key signifies as which key can be used as Primary Key.  |

| ### | <u>Aa</u><br>criteria | <b>■</b> Primary Key            | ■ Candidate key                                |
|-----|-----------------------|---------------------------------|--|
| 4   | <u>Use</u>            | Primary Key is a candidate key. | Candidate key may or may not be a primary key. |

Attribute aka column aka properties.

Prime and Non-prime Attributes

The columns in a candidate key are called prime attributes, and a column that does not occur in any candidate key is called a non-prime attribute.

Non-Prime Attributes: Name, Age, City

Prime Attributes: EnrollmentNumber, Email, Name, Phone

# **Normalisation**

**Normalization** is a process of organizing the data in database to avoid data redundancy (duplication), insertion anomaly, update anomaly & deletion anomaly. Let's discuss about anomalies first then we will discuss normal forms with examples.

The purpose of normalization is to maximize the efficiency of a database.

**An anomaly** is something that is different from what is normal or usual.

## **Anomalies in DBMS**

There are three types of anomalies that occur when the database is not normalized. These are – Insertion, update and deletion anomalies. Let's take an example to understand this.

**Example**: Suppose a manufacturing company stores the employee details in a table named employee that has four attributes: emp\_id for storing employee's id, emp\_name for storing employee's name, emp\_address for storing employee's address and emp\_dept for storing the department details in which the employee works. At some point of time the table looks like this:

#### **Employee Table**

#### **Employee Table**

| # emp_id | Aa emp_name   | = emp_address | <b>≡</b> emp_dept |
|----------|---------------|---------------|-------------------|
| 101      | Rick          | Delhi         | D001              |
| 101      | Rick          | Delhi         | D002              |
| 123      | <u>Maggie</u> | Agra          | D890              |
| 166      | Glenn         | Chennai       | D900              |
| 166      | Glenn         | Chennai       | D004              |

The above table is not normalized. We will see the problems that we face when a table is not normalized.

**Update anomaly**: In the above table we have two rows for employee Rick as he belongs to two departments of the company. If we want to update the address of Rick then we have to update the same in two rows or the data will become inconsistent. If somehow, the correct address gets updated in one department but not in another then as per the database, Rick would be having two different addresses, which is not correct and would lead to inconsistent data.

**Insert anomaly**: Suppose a new employee joins the company, who is under training and currently not assigned to any department then we would not be able to insert the data into the table if emp\_dept field doesn't allow nulls.

**Delete anomaly**: Suppose, if at a point of time the company closes the department D890 then deleting the rows that are having emp\_dept as D890 would also delete the information of employee Maggie since she is assigned only to this department.

To overcome these anomalies we need to normalize the data. In the next section we will discuss normalization.

# **Normalization**

Here are the most commonly used normal forms, each new normal form provides a different level of refinement.

- First normal form(1NF)
- Second normal form(2NF)

- Third normal form(3NF)
- Boyce & Codd normal form (BCNF)

# First normal form (1NF)

Many databases require that you plan your tables so they can handle multiple occurrences of the same type of item.

A book might have several authors. The following table shows one way to handle such a circumstance

BookID ISBN Title Author1 Author2 Date Pages Publisher City

Book table containing columns that are not ideal for data storing.

The Author1 and Author2 fields are able to handle up to two authors for each book, but there are two obvious problems with the table:

- 1. If a book has only one author, the Author2 column is wasted space.
- 2. There is no place to store the name of a third, fourth, or succeeding author. There is also a problem from a theoretical standpoint. One of the rules of tables is that every column in a table must represent a *unique attribute* of an entity. In the case of the Books table, the Author1 and Author2 columns represent the same attribute: an author. The technical term for columns that represent the same attribute is a *repeating group*. (Do not let the semantics fool you, since the lead and second authors of a book are separate individuals, but they are both members of the set of Authors.)

For a table to be in *first normal form (1NF)*, the table must not contain any repeating groups. *first normal form (1NF)*: A table is in first normal form if it contains no repeating groups.

#### **First Normal Form Defined**

A table is in first normal form (1NF) if it meets the following criteria:

- 1. The data are stored in a two-dimensional table.
- 2. There are no repeating groups.

By definition, an entity that does not have any repeating columns or data groups can be termed as the First Normal Form. In the First Normal Form, every column is unique.

As per the rule of first normal form, an attribute (column) of a table cannot hold multiple values. It should hold only atomic values.

Let's take another example:

**Example**: Suppose a company wants to store the names and contact details of its employees. It creates a table that looks like this:

| # emp_id | Aa emp_name     | <b>≡</b> emp_address | emp_mobile            |
|----------|-----------------|----------------------|-----------------------|
| 101      | <u>Herschel</u> | New Delhi            | 8912312390            |
| 102      | <u>Jon</u>      | Kanpur               | 8812121212 9900012222 |
| 103      | <u>Ron</u>      | Chennai              | 7778881212            |
| 104      | Lester          | Bangalore            | 9990000123 8123450987 |

Two employees (Jon & Lester) are having two mobile numbers so the company stored them in the same field as you can see in the table above.

This table is **not in 1NF** as the rule says "each attribute of a table must have atomic (single) values", the emp mobile values for employees Jon & Lester violates that rule.

To make the table complies with 1NF we should have the data like this:

| # emp_id | Aa emp_name     | ■ emp_address | emp_mobile |
|----------|-----------------|---------------|------------|
| 101      | <u>Herschel</u> | New Delhi     | 8912312390 |
| 102      | <u>Jon</u>      | Kanpur        | 8812121212 |
| 102      | <u>Jon</u>      | Kanpur        | 9900012222 |
| 103      | Ron             | Chennai       | 7778881212 |
| 104      | <u>Lester</u>   | Bangalore     | 9990000123 |
| 104      | <u>Lester</u>   | Bangalore     | 8123450987 |

### **Drawbacks for 1NF:**

There should not be repeating values present in the table. Repeating values consumes a lot of extra memory and makes the search and update slow and maintenance of the database difficult. For example, so a new table needs to be created for this in order to reduce the repetition of values.

# **Second normal form (2NF)**

A table is said to be in 2NF if both the following conditions hold:

- Table is in 1NF (First normal form)
- No non-prime attribute is dependent on the proper subset of any candidate key of table. In other words: Non prime attributes should not be dependent on the subset of a candidate key.

e.g.

Teacher\_age should not be dependent on (teacher\_id or subject).

An attribute that is not part of any candidate key is known as non-prime attribute.

**Example**: Suppose a school wants to store the data of teachers and the subjects they teach. They create a table that looks like this: Since a teacher can teach more than one subject, the table can have multiple rows for the same teacher.

#### Teacher

#### Subject\_Table

| # teacher_id | <u>Aa</u> subject | # teacher_age |
|--------------|-------------------|---------------|
| 111          | <u>Maths</u>      | 38            |
| 111          | <u>Physics</u>    | 38            |
| 222          | <u>Biology</u>    | 38            |
| 333          | <u>Physics</u>    | 40            |
| 333          | Chemistry         | 40            |

```
Set s1=\{1,2,3,4\};
```

Set s2={1};

Set s3={5}

Candidate Keys: {teacher\_id, subject}.

Composite Primary Key: {teacher\_id, subject}

/H

Non prime attribute: teacher\_age

The table is in 1 NF because each attribute has atomic values. However, it is not in 2NF because non prime attribute teacher\_age is dependent on teacher\_id alone which is a proper subset of candidate key. This violates the rule for 2NF as the rule says "**no** non-prime attribute is dependent on the proper subset of any candidate key of the table".

To make the table complies with 2NF we can break it in two tables like this:

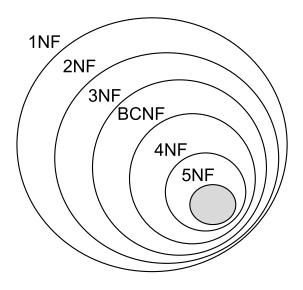
#### teacher\_details table:

| <u>Aa</u> Title | # teacher_id | # teacher_age |
|-----------------|--------------|---------------|
| <u>Untitled</u> | 111          | 38            |
| <u>Untitled</u> | 222          | 38            |
| Untitled        | 333          | 40            |

#### teacher\_subject table:

| # teacher_id | <u>Aa</u> subject |
|--------------|-------------------|
| 111          | <u>Maths</u>      |
| 111          | <u>Physics</u>    |
| 222          | <u>Biology</u>    |
| 333          | <u>Physics</u>    |
| 333          | Chemistry         |

Now the tables comply with Second normal form (2NF).



# We Problem:

### **#1) 1NF (First Normal Form)**

Following is how our Employees and Department table would have looked if in first normal form (1NF):

| # empNum | <u>Aa</u> lastName | <b>≡</b> firstName | <b>≡</b> deptName | ■ deptCity | <b>≡</b> deptCountry |
|----------|--------------------|--------------------|-------------------|------------|----------------------|
| 1001     | <u>Andrews</u>     | Jack               | Accounts          | New York   | United States        |
| 1002     | <u>Schwatz</u>     | Mike               | Technology        | New York   | United States        |
| 1009     | <u>Beker</u>       | Harry              | HR                | Berlin     | Germany              |
| 1007     | <u>Harvey</u>      | Parker             | Admin             | London     | United Kingdom       |
| 1007     | <u>Harvey</u>      | Parker             | HR                | London     | United Kingdom       |

Here, all the columns of both Employees and Department tables have been clubbed into one and there is no need of connecting columns, like deptNum, as all data is available in one place.

But a table like this with all the required columns in it, would not only be difficult to manage but also difficult to perform operations on and also inefficient from the storage

point of view.

# **#2) 2NF (Second Normal Form)**

By definition, an entity that is 1NF and one of its attributes is defined as the primary key and the remaining attributes are dependent on the primary key.

Following is an example of how the employees and department table would look like:

### **Employees Table:**

| # empNum | <u>Aa</u> lastName | <b>≡</b> firstName |
|----------|--------------------|--------------------|
| 1001     | <u>Andrews</u>     | Jack               |
| 1002     | <u>Schwatz</u>     | Mike               |
| 1009     | <u>Beker</u>       | Harry              |
| 1007     | <u>Harvey</u>      | Parker             |
| 1007     | <u>Harvey</u>      | Parker             |

# **Departments Table:**

| # deptNum | <u>Aa</u> deptName | ■ deptCity | <b>≡</b> deptCountry |
|-----------|--------------------|------------|----------------------|
| 1         | <u>Accounts</u>    | New York   | United States        |
| 2         | <u>Technology</u>  | New York   | United States        |
| 3         | <u>HR</u>          | Berlin     | Germany              |
| 4         | <u>Admin</u>       | London     | United Kingdom       |

### **EmpDept Table:**

| <u>Aa</u> Title | # empDeptID | # empNum | # deptNum |
|-----------------|-------------|----------|-----------|
| <u>Untitled</u> | 1           | 1001     | 1         |
| <u>Untitled</u> | 2           | 1002     | 2         |
| Untitled        | 3           | 1009     | 3         |
| <u>Untitled</u> | 4           | 1007     | 4         |

| <u>Aa</u> Title | # empDeptID | # empNum | # deptNum |
|-----------------|-------------|----------|-----------|
| Untitled        | 5           | 1007     | 3         |

Here, we can observe that we have split the table in 1NF form into three different tables. the Employees table is an entity about all the employees of a company and its attributes describe the properties of each employee. The primary key for this table is empNum.

Similarly, the Departments table is an entity about all the departments in a company and its attributes describe the properties of each department. The primary key for this table is the deptNum.

In the third table, we have combined the primary keys of both the tables. The primary keys of the Employees and Departments tables are referred to as Foreign keys in this third table.

If the user wants an output similar to the one, we had in 1NF, then the user has to join all the three tables, using the primary keys.

#### A sample query would look as shown below:

```
SELECT empNum, lastName, firstName, deptNum, deptName, deptCity, deptCountry

FROM Employees A, Departments B, EmpDept C

WHERE A.empNum = C.empNum

AND B.deptNum = C.deptNum

WITH UR;
```

# **▼ You Problem:**

A particular database is normalized to satisfy a particular level of normalization (either 1NF or 2NF or 3NF). One of the tables contains, among other fields, a column for the **City** 

and a column for the **Zip Code** 

- Assuming that there is a many-to-one mapping between the set of Zip Code(s) and City
- , we may conclude that the database is definitely **NOT**

in **NF** 

form. What is the integer  $\mathbf{x}$  (1, 2, or 3)?

#### References:

https://www.softwaretestinghelp.com/database-normalization-tutorial/

<u>https://www.relationaldbdesign.com/database-analysis/module3/normalization-objective.php</u>

 $\underline{https://aksakalli.github.io/2012/03/12/database-normalization-and-normal-forms-with-an-example.html}\\$ 

14. Design Patterns - day 2 of 2