

# Shannon's Theory

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## Conditional Entropy:

Suppose  $X$  &  $Y$  are two r.v.

Then for any fixed  $y \in Y$ , we get a conditional probability distribution on  $X$ ,

$$H(X|y) = - \sum_x \text{pr}[x|y] \log \text{pr}[x|y].$$

$$\underline{H(X|Y)} = - \sum_y \sum_x \text{pr}[y] \text{pr}[x|y] \log_2 \text{pr}[x|y].$$

Note: Measures the average amount of information about  $X$  that is revealed by  $Y$ .

Ex: Consider cryptosystem

$$\mathcal{P} = \{a, b, c\}$$

$$\mathcal{K} = \{k_1, k_2, k_3\}$$

$$\mathcal{C} = \{1, 2, 3, 4\}$$

$$Q: H(\mathcal{P})$$

$$H(\mathcal{K})$$

$$H(\mathcal{C})$$

Encryption

$E_k(x)$	a	b	c
$k_1$	1	2	3
$k_2$	2	3	4
$k_3$	3	4	1

$$\text{pr}[a] = \frac{1}{2}, \text{pr}[b] = \frac{1}{3}$$

$$\text{pr}[c] = \frac{1}{6}$$

$$\text{pr}[k_1] = \text{pr}[k_2] = \text{pr}[k_3] = \frac{1}{3}$$

$H(\mathcal{K}|\mathcal{C})$  - Key Equivocation

Sol:

$$H(X) = - \sum_x \text{pr}[x] \log_2 \text{pr}[x]$$

$$H(P) = - \left( \text{pr}[a] \log \text{pr}[a] \right. \\ \left. + \text{pr}[b] \log_2 \text{pr}[b] \right. \\ \left. + \text{pr}[c] \log_2 \text{pr}[c] \right)$$

$$= - \left( \frac{1}{2} \log \frac{1}{2} + \frac{1}{3} \log \frac{1}{3} + \frac{1}{6} \log \frac{1}{6} \right)$$

$$= \frac{1}{2} \log 2 + \frac{1}{3} \log 3 + \frac{1}{6} \log 6$$

$$= 1.45915$$

$$H(X) = - \left( \text{pr}[k_1] \log \text{pr}[k_1] \right. \\ \left. + \text{pr}[k_2] \log \text{pr}[k_2] \right. \\ \left. + \text{pr}[k_3] \log \text{pr}[k_3] \right)$$

$$= - \left( \frac{1}{3} \log \frac{1}{3} + \frac{1}{3} \log \frac{1}{3} \right. \\ \left. + \frac{1}{3} \log \frac{1}{3} \right)$$

$$= \log 3$$

$$= 1.58496$$

$$H(\mathcal{C}) = - \sum_{y \in \mathcal{C}} \text{pr}[y] \log \text{pr}[y]$$

we have to find  $\text{pr}[1], \text{pr}[2], \text{pr}[3], \text{pr}[4]$

$$\text{pr}[y] = \sum_{\{k : y \in c(k)\}} \text{pr}[k] \text{pr}[x = D_k(y)]$$

$$\text{pr}[2] = 5/18$$

$$\text{pr}[3] = 1/3$$

$$\text{pr}[4] = 1/6$$

$$H(\mathcal{C}) =$$

$$\text{pr}[1] = \sum_{\{k : 1 \in c(k)\}} \text{pr}[k] \cdot \text{pr}[x = D_k(1)]$$

$$c(k_1) = \{1, 2, 3\}$$

$$c(k_2) = \{2, 3, 4\}$$

$$c(k_3) = \{3, 4, 1\}$$

$$\text{pr}[1] = \text{pr}[k_1] \text{pr}[x=a]$$

$$+ \text{pr}[k_2] \text{pr}[c]$$

$$= \frac{1}{3} \times \frac{1}{2} + \frac{1}{3} \times \frac{1}{6}$$

$$= \frac{2}{9}$$

$$H(x) = - \left( \begin{aligned} &pr[1] \log pr[1] \\ &+ pr[2] \log pr[2] \\ &+ pr[3] \log pr[3] \\ &+ pr[4] \log pr[4] \end{aligned} \right)$$

$$= - \left( \frac{2}{9} \log \frac{2}{9} + \frac{5}{18} \log \frac{5}{18} \right. \\ \left. + \frac{1}{3} \log \frac{1}{3} + \frac{1}{6} \log \frac{1}{6} \right)$$

$$= \frac{2}{9} \log \frac{9}{2} + \frac{5}{18} \log \frac{18}{5} \\ + \frac{1}{3} \log 3 + \frac{1}{6} \log 6$$

$$= 1.95469$$

$$H(k|c) = - \sum_y \sum_k \text{pr}[y] \text{pr}[k|y] \log \text{pr}[k|y]$$

$$\text{pr}[k|y] = \frac{\text{pr}[k] \text{pr}[y|k]}{\text{pr}[y]}$$

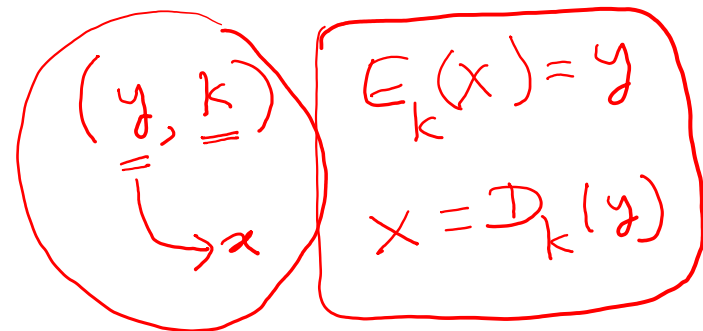
$$\text{pr}[k_1|1] = \frac{\text{pr}[k] \text{pr}[1|k]}{\text{pr}[1]}$$

$$\text{pr}[\underline{Y=y} | \mathcal{X}=k]$$

$$= \text{pr}[\underline{E_k(x)} = \underline{y} | \mathcal{X}=k]$$

$$= \text{pr}[\underline{E_k(x) = y}]$$

$$= \text{pr}[\underline{X = D_k(y)}]$$



State: For given  $y$  and  $K$  in any cryptosystem,  
 $\exists$  only one  $x$  with condition  $x = D_K(y)$ .

proof: Suppose  $\exists x_0 \neq x_1$   $\exists$

$$y = E_K(x_0), \quad \underline{y = E_K(x_1)}$$

$$x_0 = D_K(E_K(x_0)) = D_K(y) = D_K(E_K(x_1)) = x_1$$

$$\Rightarrow x_0 = x_1$$

$\rightarrow \leftarrow$

our assumption wrong.

$$\therefore x_0 = x_1$$