



ROI FINE
 UNIT 702 1 PEARL ST
 ERSKINEVILLE NSW 2043
 AUSTRALIA

April 1, 2021 - May 31, 2021
 Account Number: **0AC-906037**
 Base Currency: AUSTRALIAN DOLLAR (AUD)

COMMSEC MAIN TRADING IP

Commission Amount: \$582.13

Portfolio at a Glance

	This Period
BEGINNING ACCOUNT VALUE	133,804.95
Net Change in Portfolio¹	-1,973.52
ENDING ACCOUNT VALUE	131,831.43
Estimated Annual Income	160.04
¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.	

Asset Summary

Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	29,738.51	491.45
99%	Equities	104,066.44	131,339.98
100%	Account Total	133,804.95	131,831.43

Please review your allocation periodically with your Financial Consultant.

Client Service Information

Identification Number

COMMSEC MAIN TRADING IP
 1 HARBOUR STREET SYDNEY

Contact Information

E-Mail Address:
 commsecinternationaldesk@cba.com.au

Client Service Information

Service Hours: Monday - Saturday 08:00 a.m. - 08:00 a.m. (AED)
Web Site: WWW.COMMSEC.COM.AU



Your Account Information

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment Communication

- ✓ Statements and Reports
- ✓ Trade Confirmations
- ✓ Tax Documents
- ✓ Notifications
- ✗ Prospectus
- ✓ Proxy/Shareholder Communications*

Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

f#####@gmail.com

*f#####@gmail.com is on file for these documents

The above e-mail address is partially masked for your security.

Please log in to your account to review the full e-mail address.

Exchange Rate Table

Currency	AUD Equivalent	Currency per AUD
U.S. DOLLARS	1.2973	0.7708

Exchange rates are based on interbank exchange rates as of 05/28/2021. Exchange rates can vary.

Global Cash

Currency	Closing Balance	Amount in Base Currency AUD
U.S. DOLLARS	378.81	491.45
Total Global Cash Balance		491.45

Portfolio Holdings

U.S. DOLLARS

Description	Quantity	Opening Balance	Closing Balance Currency	Accrued Income	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio					
Cash Balance		22,645.89	378.81 USD		
		29,738.51	491.45 AUD		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS		22,645.89	378.81 USD	0.00	
		29,738.51	491.45 AUD	0.00	



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Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES 99.00% of Portfolio						
Common Stocks						
SPOTIFY TECHNOLOGY SA REGISTERED SHS ISIN#LU1778762911	45.000	241.5700	10,870.65	USD		
Security Identifier: SPOT			14,103.07	AUD		
CUSIP: L8681T102						
JFROG LTD REGISTERED SHS ISIN#IL0011684185	150.000	41.7500	6,262.50	USD		
Security Identifier: FROG			8,124.68	AUD		
CUSIP: M6191J100						
ELASTIC N V SHS ISIN#NL0013056914	45.000	118.2100	5,319.45	USD		
Security Identifier: ESTC			6,901.21	AUD		
CUSIP: N14506104						
ANAPLAN INC COM	175.000	51.5100	9,014.25	USD		
Security Identifier: PLAN			11,694.66	AUD		
CUSIP: 03272L108						
CSX CORP COM	60.000	100.1200	6,007.20	USD	67.20	1.11%
Security Identifier: CSX			7,793.46	AUD	87.18	
CUSIP: 126408103						
KANSAS CITY SOUTHN COM NEW	26.000	297.6800	7,739.68	USD	56.16	0.72%
Security Identifier: KSU			10,041.10	AUD	72.86	
CUSIP: 485170302						
MONGODB INC CL A	20.000	291.9400	5,838.80	USD		
Security Identifier: MDB			7,574.98	AUD		
CUSIP: 60937P106						
OKTA INC CL A	25.000	222.4400	5,561.00	USD		
Security Identifier: OKTA			7,214.58	AUD		
CUSIP: 679295105						



Portfolio Holdings (continued)

U.S. DOLLARS (continued)

Description	Quantity	Market Price	Market Value	Currency	Estimated Annual Income	Estimated Yield
EQUITIES (continued)						
Common Stocks (continued)						
PAGERDUTY INC COM	150.000	40.6500	6,097.50	USD		
Security Identifier: PD			7,910.61	AUD		
CUSIP: 69553P100						
PUBMATIC IN CL A COM	150.000	29.5700	4,435.50	USD		
Security Identifier: PUBM			5,754.41	AUD		
CUSIP: 74467Q103						
SHOIFY INC CL A ISIN#CA82509L1076	5.000	1,242.8700	6,214.35	USD		
Security Identifier: SHOP			8,062.21	AUD		
CUSIP: 82509L107						
SPLUNK INC COM	230.000	121.2000	27,876.00	USD		
Security Identifier: SPLK			36,165.01	AUD		
CUSIP: 848637104						
Total Common Stocks			101,236.88	USD	123.36	
			131,339.98	AUD	160.04	
TOTAL EQUITIES			101,236.88	USD	123.36	
			131,339.98	AUD	160.04	
				Market Value	Accrued Interest	Estimated Annual Income
				Currency		
TOTAL U.S. DOLLARS				101,615.69	USD	0.00
				131,831.43	AUD	0.00
				Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - AUSTRALIAN DOLLAR				131,831.43	0.00	160.04

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked 'N/A' and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.



April 1, 2021 - May 31, 2021

ROI FINE

Base Currency: AUSTRALIAN DOLLAR (AUD)

Portfolio Holdings Disclosures *(continued)*

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.



Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-28,785.58	-28,785.58	0.00	-108,044.29	-108,044.29
Securities Sold	0.00	0.00	0.00	10,471.89	0.00	10,471.89
Total Securities	0.00	-28,785.58	-28,785.58	10,471.89	-108,044.29	-97,572.40
Taxes Withheld	0.00	0.00	0.00	11.74	0.00	11.74
Cash						
Deposits	0.00	0.00	0.00	95,505.02	0.00	95,505.02
Total Cash	0.00	0.00	0.00	95,505.02	0.00	95,505.02
Totals	0.00	-28,785.58	-28,785.58	105,988.65	-108,044.29	-2,055.64

Transactions in Date Sequence

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
05/13/21	05/11/21	PURCHASED	JFROG LTD REGISTERED SHS ISIN#ILO011684185	150.000	34.9586		-5,273.74	USD
		FROG	UNSOLICITED ORDER				-6,823.31	AUD
05/13/21	05/11/21	PURCHASED	PUBMATIC IN CL A COM UNSOLICITED ORDER	150.000	35.4079		-5,341.14	USD
		PUBM					-6,910.51	AUD
05/19/21	05/17/21	PURCHASED	SPOTIFY TECHNOLOGY SA REGISTERED SHS	25.000	223.6920		-5,622.25	USD
		SPOT	ISIN#LU1778762911 UNSOLICITED ORDER				-7,260.13	AUD
05/26/21	05/24/21	PURCHASED	SPLUNK INC COM UNSOLICITED ORDER	50.000	120.0000		-6,029.95	USD
		SPLK					-7,791.63	AUD
Total Value of Transactions						0.00	-22,267.08	USD
Total AUD Equivalent						0.00	-28,785.58	AUD

The price and quantity displayed may have been rounded.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.



April 1, 2021 - May 31, 2021

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Important Information and Disclosures

The Role of Pershing

Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.

Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.

Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.

Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.

For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.

Pershing is a member of the Securities Investor Protection Corporation (SIPC). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.

This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.

Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted.

Important Arbitration Disclosures

All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.

Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.

The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.

The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.

The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.

The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.

The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or



Important Arbitration Agreement (continued)

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.

