

# SAT/SMT solvers

## 6. Arrays

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# Example

Verification conditions:

$$\begin{aligned} & (\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0) \\ & \wedge a' = a\{i \leftarrow 0\} \\ \implies & (\forall x \in \mathbb{N}_0. x \leq i \implies a'[x] = 0) \end{aligned}$$

```
a: array 0..99 of integer;  
i: integer;
```

```
for i:=0 to 99 do  
    assert( $\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0$ );  
    a[i]:=0;  
    assert( $\forall x \in \mathbb{N}_0. x \leq i \implies a[x] = 0$ );  
done;  
assert( $\forall x \in \mathbb{N}_0. x \leq 99 \implies a[x] = 0$ );
```

$a[i]$  - array reading operator

$a\{i \leftarrow x\}$  - array update operator

$$\begin{aligned} & (\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0) \wedge a' = a\{i \leftarrow 0\} \implies \\ & (\forall x \in \mathbb{N}_0. x \leq i \implies a'[x] = 0) \end{aligned}$$

- $T_I$  - index theory
- $T_E$  - element theory
- $T_A$  - array theory,  $T_I \rightarrow T_E$

## Syntax

$term_A : array\_identifier \mid term_A\{term_I \leftarrow term_E\}$

$term_E : term_A[term_I] \mid (previousrules)$

$formula : term_A = term_A \mid (previousrules)$

## Semantics

- $\forall a_1 \in T_A. \forall a_2 \in T_A. \forall i \in T_I. \forall j \in T_I. (a_1 = a_2 \wedge i = j) \implies a_1[i] = a_2[j]$
- $\forall a \in T_A. \forall e \in T_E. \forall i \in T_I. \forall j \in T_I. a\{i \leftarrow e\}[j] = (i = j)?e : a[j]$
- $\forall a_1 \in T_A. \forall a_2 \in T_A. (\forall i \in T_I. a_1[i] = a_2[i]) \implies a_1 = a_2$

# Eliminating the array terms

We can therefore replace the array index operator by an uninterpreted function:

$$(i = j \wedge a[j] = 'z') \implies a[i] = 'z'$$

$$(i = j \wedge F_a(i) = 'z') \implies F_a(i) = 'z'$$

## Semantics

- $a'[i] = e$  for the value that is written,
- $\forall j \neq i. a'[j] = a[j]$  for the values that are unchanged.

$$a[0] = 10 \implies a\{1 \leftarrow 20\}[0] = 10$$

## Semantics

- $a'[i] = e$  for the value that is written,
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$$a[0] = 10 \implies a\{1 \leftarrow 20\}[0] = 10$$

$$(a[0] = 10 \wedge a'[1] = 20 \wedge (\forall j \neq 1. a'[j] = a[j])) \implies a_0[0] = 10$$

$$(F_a(0) = 10 \wedge F_{a'}(1) = 20 \wedge (\forall j \neq 1. F_{a'}(j) = F_a(j))) \implies F_{a'}(0) = 10$$



# Array property

An array theory formula is called an array property if and only if it is of the form

$$\forall i_1 \dots \forall i_k \in T_I. \phi_I(i_1, \dots, i_k) \Rightarrow \phi_V(i_1, \dots, i_k)$$

and satisfies the following conditions:

- The predicate  $\phi$ , called the index guard, must follow the grammar

$iguard : iguard \wedge iguard \mid iguard \vee iguard \mid item \leq item \mid$   
 $item = item$

$item : i_1 \mid \dots \mid i_k \mid term$

$term : integer-constant \mid integer-constant * index-identifier \mid$   
 $term + term$

The *index-identifier* used in *term* must not be one of  $i_1, \dots, i_k$

- The index variables  $i_1, \dots, i_k$  can only be used in array read expressions of the form  $a[i_j]$

The predicate  $\phi_V$  is called the value constraint

# Example

$$a' = a\{i \leftarrow 0\}$$

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$$\forall j. (j \leq i - 1 \wedge i + 1 \leq j) \implies a'[j] = a[j]$$

- All expressions used as an array index in  $\phi$  that are not quantified variables
- All expressions used inside index guards in  $\phi$  that are not quantified variables
- If  $\phi$  contains none of the above,  $\iota(\phi)$  is  $\{0\}$  in order to obtain a nonempty set of index expressions

# Array reduction

**Input:** An array property formula  $\phi_A$  in NNF

**Output:** A formula  $\phi_{UF}$  in the index and element theories with uninterpreted functions

1. Apply the write rule to remove all array updates from  $\phi_A$ .
2. Replace all existential quantifications of the form  $\exists i \in T_I. P(i)$  by  $P(j)$ , where  $j$  is a fresh variable.
3. Replace all universal quantifications of the form  $\forall i \in T_I. P(i)$  by

$$\bigwedge_{i \in \mathcal{I}(\phi)} P(i) .$$

4. Replace the array read operators by uninterpreted functions and obtain  $\phi_{UF}$ ;
5. **return**  $\phi_{UF}$ ;

# Example

$$\begin{aligned} &(\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0) \wedge a' = a\{i \leftarrow 0\} \implies \\ &(\forall x \in \mathbb{N}_0. x \leq i \implies a'[x] = 0) \end{aligned}$$

# Example

$$(\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0) \wedge a' = a\{i \leftarrow 0\} \implies \\ (\exists x \in \mathbb{N}_0. x \leq i \wedge a'[x] \neq 0)$$



# Example

$$(\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0) \wedge a'[i] = 0 \wedge \forall j \neq i. a'[j] = a[j] \implies (\exists x \in \mathbb{N}_0. x \leq i \wedge a'[x] \neq 0)$$

# Example

$$(\forall x \in \mathbb{N}_0. x < i \implies a[x] = 0) \wedge a'[i] = 0 \wedge \forall j \neq i. a'[j] = a[j] \implies (z \leq i \wedge a'[z] \neq 0)$$

# Example

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$$\begin{aligned}\iota(\phi) = & \{i, z\} \\ & (i < i \implies a[i] = 0) \wedge (z < i \implies a[z] = 0) \wedge \\ & a'[i] = 0 \wedge \forall j \neq i. a'[j] = a[j] \implies \\ & (z \leq i \wedge a'[z] \neq 0)\end{aligned}$$

# Example

$$\begin{aligned}\iota(\phi) = \{i, z\} \\ (i < i \implies a[i] = 0) \wedge (z < i \implies a[z] = 0) \wedge \\ a'[i] = 0 \wedge (i \neq i \implies a'[i] = a[i]) \wedge (z \neq i \implies a'[z] = a[z]) \implies \\ (z \leq i \wedge a'[z] \neq 0)\end{aligned}$$

# Example

$$(z < i \implies a[z] = 0) \wedge \\ a'[i] = 0 \wedge (z \neq i \implies a'[z] = a[z]) \implies \\ (z \leq i \wedge a'[z] \neq 0)$$

# Example

$$(z < i \implies F_a(z) = 0) \wedge \\ F_{a'}(i) = 0 \wedge (z \neq i \implies F_{a'}(z) = F_a(z)) \implies \\ (z \leq i \wedge F_{a'}(z) \neq 0)$$

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$$(z < i \implies F_a(z) = 0) \wedge \\ F_{a'}(i) = 0 \wedge (z \neq i \implies F_{a'}(z) = F_a(z)) \implies \\ (z \leq i \wedge F_{a'}(z) \neq 0)$$



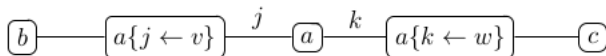
# Example

$$(z < i \implies F_a(z) = 0) \wedge \\ F_{a'}(i) = 0 \wedge (z \neq i \implies F_{a'}(z) = F_a(z)) \implies \\ (z \leq i \wedge F_{a'}(z) \neq 0)$$

By distinguishing the three cases  $z < i$ ,  $z = i$ , and  $z > i$ , it is easy to see that this formula is unsatisfiable

# Weak equivalence graph and $Cond_i(p)$

$$i \neq j \wedge i \neq k \wedge a\{j \leftarrow v\} = b \wedge a\{k \leftarrow w\} = c \wedge b[i] \neq c[i]$$



$Cond_i(p)$ :

- For an unlabeled edge from  $a$  to  $b$ , add the constraint  $a = b$
- For an edge labeled with  $k$ , add the constraint  $i \neq k$

$$Cond_i(p) = i \neq j \wedge i \neq k$$

# Lazy Instantiation of the Read-Over-Write Axiom

**Input:** A conjunction of array literals  $\hat{T}h$

**Output:** TRUE, or a valid array formula  $t$  that blocks  $\hat{T}h$

1.  $t := \text{TRUE}$ ;
2. Compute equivalence classes of terms in  $\hat{T}h$ ;
3. Construct the weak equivalence graph  $G$  from  $\hat{T}h$ ;
4. **for**  $a, b, i, j$  such that  $a[i]$  and  $b[j]$  are terms in  $\hat{T}h$  **do**
5.     **if**  $i \approx j$  **then**
6.         **if**  $a[i] \not\approx b[j]$  **then**
7.             **for** each simple path  $p \in G$  from  $a$  to  $b$  **do**
8.                 **if** each label  $l$  on  $p$ 's edges satisfies  $l \not\approx i$  **then**
9.                      $t := t \wedge ((i = j \wedge \text{Cond}_i(p)) \implies a[i] = b[j])$ ;
10. **return**  $t$ ;

# Lazy Instantiation of the Read-Over-Write Axiom

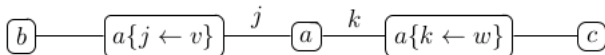
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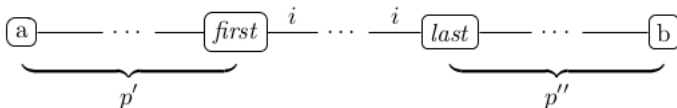
Example:

$$i \neq j \wedge i \neq k \wedge a\{j \leftarrow v\} = b \wedge a\{k \leftarrow w\} = c \wedge b[i] \neq c[i]$$



# Weak equivalence graph and $Cond_i^u(p)$

- Find the array term just before the first edge on  $p$  labeled with  $i$  or with an index  $j$  such that  $j \leq i$ . Denote this term by  $first$ , and denote the prefix of  $p$  up to the edge with  $p'$
- Find the array term just after the last update on  $p$  labeled with  $i$  or with an index  $k$  such that  $k \leq i$ . Denote this term by  $last$ , and denote the suffix of the path  $p$  after this edge with  $p''$
- Check that  $first[i]$  is equal to  $last[i]$



If there is no edge with an index label that is equal to  $i$  in  $p$ , then  $Cond_i^u(p) = Cond_i(p)$   
Otherwise:  $Cond_i^u(p) = Cond_i(p') \wedge first[i] = last[i] \wedge Cond_i(p'')$

# Lazy Instantiation of the Extensionality Rule

**Input:** A conjunction of array literals  $\hat{T}h$

**Output:** TRUE, or a valid array formula  $t$  that blocks  $\hat{T}h$

1.  $t := \text{TRUE}$ ;
2. Compute equivalence classes of terms in  $\hat{T}h$ ;
3. Construct the weak equivalence graph  $G$  from  $\hat{T}h$ ;
4. **for**  $a, b$  such that  $a$  and  $b$  are array terms in  $\hat{T}h$  **do**
5.     **if**  $a \not\approx b$  **then**
6.         **for** each simple path  $p \in G$  from  $a$  to  $b$  **do**
7.             Let  $S$  be the set of edge labels of  $p$ ;
8.              $t := t \wedge (\bigwedge_{i \in S} \text{Cond}_i^u(p) \implies a = b)$ ;
9. **return**  $t$ ;

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7.             Let  $S$  be the set of edge labels of  $p$ ;
8.              $t := t \wedge (\bigwedge_{i \in S} \text{Cond}_i^u(p) \implies a = b)$ ;
9. **return**  $t$ ;

Example:

$$\hat{T}h := v = w \quad \wedge \quad b = a\{i \leftarrow v\} \quad \wedge \quad b \neq a\{i \leftarrow w\}$$

