

SAT/SMT solvers

9. Deciding a Combination of Theories

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Moscow, 2023

Why we need it

- ① A combination of linear arithmetic and uninterpreted functions:
 $(x_2 \geq x_1) \wedge (x_1 - x_3 \geq x_2) \wedge (x_3 \geq 0) \wedge f(f(x_1) - f(x_2)) \neq f(x_3)$
- ② A combination of bit vectors and uninterpreted functions:
 $f(a[32], b[1]) = f(b[32], a[1]) \wedge a[32] = b[32]$
- ③ A combination of arrays and linear arithmetic:
 $x = v\{i \leftarrow e\}[j] \wedge y = v[j] \wedge x > e \wedge x > y$

- ① Variables
 - ② Logical symbols: $\forall, \wedge, \rightarrow, \neg, \exists$
 - ③ Nonlogical symbols, namely function and predicate symbols
 - ④ Syntax
- It is common to consider the equality sign as a logical symbol rather than a predicate
 - Signature Σ is a set of nonlogical symbols (i.e., function and predicate symbols)
 - A first-order theory is defined by a set of sentences (first-order formulas in which all variables are quantified) or axioms
 - A Σ - formula ϕ is T -satisfiable if there exists an interpretation that satisfies both ϕ and T
 - A Σ -formula ϕ is T -valid ($T \models \phi$) if all interpretations that satisfy T also satisfy ϕ

Given two theories T_1 , T_2 with signatures Σ_1 , Σ_2 respectively, the theory combination $T_1 \oplus T_2$ is a $(\Sigma_1 \cup \Sigma_2)$ -theory defined by the axiom set $T_1 \cup T_2$

Σ -theory T is convex if for every conjunctive Σ -formula ϕ :

$(\phi \implies \bigvee_{i=1}^n (x_i = y_i))$ is T -valid for some finite $n > 1 \implies$
 $(\phi \implies (x_i = y_i))$ is T -valid for some $i \in \{1, \dots, n\}$

$$x \leq 3 \wedge x \geq 3 \implies x = 3$$

$$x_1 = 1 \wedge x_2 = 2 \wedge 1 \leq x_3 \wedge x_3 \leq 2 \implies (x_3 = x_1 \vee x_3 = x_2)$$

Nelson-Oppen restrictions

- 1 T_1, \dots, T_n are quantifier-free first-order theories with equality
- 2 There is a decision procedure for each of the theories
- 3 The signatures are disjoint
- 4 Theories that are interpreted over an infinite domain

Purification

Let $\phi' := \phi$

- 1 For each "alien" subexpression φ replace on a_φ
- 2 Constrain ϕ' with $a_\varphi = \varphi$

$$\varphi := x_1 \leq f(x_1)$$

After purification, we are left with a set of pure expressions F_1, \dots, F_n , such that:

- 1 For all i , F_i belongs to theory T_i and is a conjunction of T_i -literals
- 2 Shared variables are allowed
- 3 The formula ϕ is satisfiable in the combined theory if and only if $\bigwedge_{i=1}^n F_i$

Input: A convex formula φ that mixes convex theories, with restrictions as specified in Definition 10.5

Output: “Satisfiable” if φ is satisfiable, and “Unsatisfiable” otherwise

1. *Purification:* Purify φ into F_1, \dots, F_n .
2. Apply the decision procedure for T_i to F_i . If there exists i such that F_i is unsatisfiable in T_i , return “Unsatisfiable”.
3. *Equality propagation:* If there exist i, j such that F_i T_i -implies an equality between variables of φ that is not T_j -implied by F_j , add this equality to F_j and go to step 2
4. Return “Satisfiable”.

Example

$$\begin{aligned} & (f(x_1, 0) \geq x_3) \wedge (f(x_2, 0) \leq x_3) \wedge \\ & \quad (x_1 \geq x_2) \wedge (x_2 \geq x_1) \wedge \\ & \quad (x_3 - f(x_1, 0) \geq 1), \end{aligned}$$

Example

$$(x_2 \geq x_1) \wedge (x_1 - x_3 \geq x_2) \wedge (x_3 \geq 0) \wedge (f(f(x_1) - f(x_2)) \neq f(x_3))$$

Algorithm may fail if one of the theories is not convex:

$$(1 \leq x) \wedge (x \leq 2) \wedge p(x) \wedge \neg p(1) \wedge \neg p(2)$$

Input: A formula φ that mixes theories, with restrictions as specified in Definition 10.5

Output: “Satisfiable” if φ is satisfiable, and “Unsatisfiable” otherwise

1. *Purification:* Purify φ into $\varphi' := F_1, \dots, F_n$.
2. Apply the decision procedure for T_i to F_i . If there exists i such that F_i is unsatisfiable, return “Unsatisfiable”.
3. *Equality propagation:* If there exist i, j such that F_i T_i -implies an equality between variables of φ that is not T_j -implied by F_j , add this equality to F_j and go to step 2
4. *Splitting:* If there exists i such that
 - $F_i \implies (x_1 = y_1 \vee \dots \vee x_k = y_k)$ and
 - $\forall j \in \{1, \dots, k\}. F_i \not\Rightarrow x_j = y_j$,then apply NELSON-OPPEN recursively to

$$\varphi' \wedge x_1 = y_1, \dots, \varphi' \wedge x_k = y_k .$$

If any of these subproblems is satisfiable, return “Satisfiable”. Otherwise, return “Unsatisfiable”.

5. Return “Satisfiable”.

Example

$$(1 \leq x) \wedge (x \leq 2) \wedge p(x) \wedge \neg p(1) \wedge \neg p(2)$$

