

# SAT/SMT solvers

## 4. Equalities and Uninterpreted Functions

Roman Kholin

Lomonosov Moscow State University

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## Equality logic

An equality logic formula is defined by the following grammar:

- $formula : formula \wedge formula \mid \neg formula \mid (formula) \mid atom$
- $atom : term = term$
- $term : identifier \mid constant$

where the identifiers are variables defined over a single infinite domain such as the Reals or Integers. Constants are elements from the same domain as the identifiers.

# Removing the constants

## Theorem

Given an equality logic formula  $\varphi^E$ , there is an algorithm that generates an equisatisfiable formula  $\varphi^{E'}$  without constants, in polynomial time.

1.  $\varphi^{E'} := \varphi^E$ .
2. In  $\varphi^{E'}$ , replace each constant  $c_i$ ,  $1 \leq i \leq n$ , with a new variable  $C_{c_i}$ .
3. For each pair of constants  $c_i, c_j$  such that  $1 \leq i < j \leq n$ , add the constraint  $C_{c_i} \neq C_{c_j}$  to  $\varphi^{E'}$ .

## Equality logic with Uninterpreted Functions (EUF)

An equality logic formula with uninterpreted functions and uninterpreted predicates is defined by the following grammar:

- *formula* : *formula*  $\wedge$  *formula* |  $\neg$ *formula* | (*formula*) | *atom*
- *atom* : *term* = *term* | *predicate-symbol* (list of terms)
- *term* : *identifier* | *predicate-symbol* (list of terms)

$$F(x) = F(G(y)) \vee x + 1 = y$$

$$F(x) = F(G(y)) \vee PLUS(x, 1) = y$$

## Functional consistency

Instances of the same function return the same value if given equal arguments

$$\models \varphi^{UF} \Rightarrow \models \varphi$$

# Equivalence of programs

```
int power3(int in)
{
    int i, out_a;
    out_a = in;
    for (i = 0; i < 2; i++)
        out_a = out_a * in;
    return out_a;
}
```

(a)

```
int power3_new(int in)
{
    int out_b;

    out_b = (in * in) * in;

    return out_b;
}
```

(b)

# Equivalence of Programs

```
int mul3(struct list *in)
{
    int i, out_a;
    struct list *a;
    a = in;
    out_a = in -> data;
    for (i = 0; i < 2; i++) {
        a = a -> n;
        out_a = out_a * a -> data;
    }
    return out_a;
}
```

(a)

```
int mul3_new(struct list *in)
{
    int out_b;

    out_b =
        in -> data *
        in -> n -> data *
        in -> n -> n -> data;

    return out_b;
}
```

(b)

# Equivalence of Programs

```
int mul3(struct list *in)
{
    int i, out_a;
    struct list *a;
    a = in;
    out_a = in -> data;
    for (i = 0; i < 2; i++) {
        a = a -> n;
        out_a = out_a * a -> data;
    }
    return out_a;
}
```

(a)

$a0\_a = in0\_a$   $\wedge$   
 $out0\_a = list\_data(in0\_a)$   $\wedge$   
 $a1\_a = list\_n(a0\_a)$   $\wedge$   
 $out1\_a = G(out0\_a, list\_data(a1\_a))$   $\wedge$   
 $a2\_a = list\_n(a1\_a)$   $\wedge$   
 $out2\_a = G(out1\_a, list\_data(a2\_a))$

```
int mul3_new(struct list *in)
{
    int out_b;

    out_b =
        in -> data *
        in -> n -> data *
        in -> n -> n -> data;

    return out_b;
}
```

(b)

$out0\_b = G(G(list\_data(in0\_b),$   
 $list\_data(list\_n(in0\_b)),$   
 $list\_data(list\_n(list\_n(in0\_b))))$



# Congruence closure

1. Build congruence-closed equivalence classes.
  - (a) Initially, put two terms  $t_1, t_2$  (either variables or uninterpreted-function instances) in their own equivalence class if  $(t_1 = t_2)$  is a predicate in  $\varphi^{\text{UF}}$ . All other variables form singleton equivalence classes.
  - (b) Given two equivalence classes with a shared term, merge them. Repeat until there are no more classes to be merged.
  - (c) Compute the *congruence closure*: given two terms  $t_i, t_j$  that are in the same class and that  $F(t_i)$  and  $F(t_j)$  are terms in  $\varphi^{\text{UF}}$  for some uninterpreted function  $F$ , merge the classes of  $F(t_i)$  and  $F(t_j)$ . Repeat until there are no more such instances.
2. If there exists a disequality  $t_i \neq t_j$  in  $\varphi^{\text{UF}}$  such that  $t_i$  and  $t_j$  are in the same equivalence class, return “Unsatisfiable”. Otherwise return “Satisfiable”.

# Example

$$(x_1 = x_2) \wedge (x_2 = x_3) \wedge (x_4 = x_5) \wedge (x_5 \neq x_1) \wedge (F(x_1) \neq F(x_3))$$

# Functional consistency is Not Enough

$$(x_1 = y_2) \wedge (x_2 = y_1) \rightarrow (x_1 + y_1) = (x_2 + y_2)$$

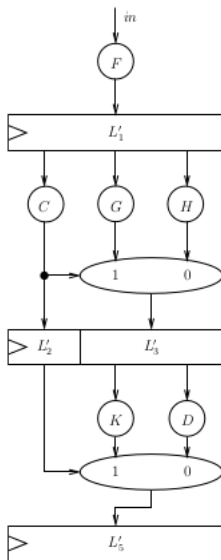
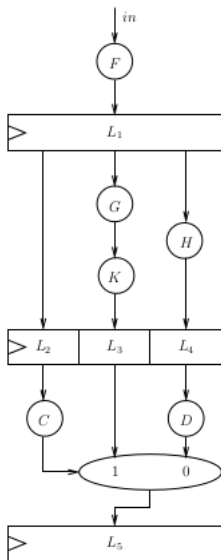
# Functional consistency is Not Enough

$$(x_1 = y_2) \wedge (x_2 = y_1) \rightarrow (x_1 + y_1) = (x_2 + y_2)$$

## Abstraction-refinement loop

- 1  $\varphi' := T(\varphi)$  ( $T$  is an abstraction function)
- 2 If  $\varphi$  is valid then return «Valid»
- 3 If  $\varphi' = \varphi$  then return «Not valid»
- 4 Refine  $\varphi$  by adding more constraints or by replacing uninterpreted functions with their original interpreted versions
- 5 Return to step 2

# Examples



# Examples

$$z = (x_1 + y_1) * (x_2 + y_2)$$

$$u_1 = x_1 + y_1; u_2 = x_2 + y_2; z = u_1 * u_2$$

