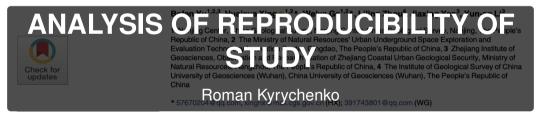
#### **PLOS ONE**

#### RESEARCH ARTICLE

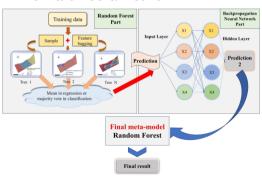
Advanced susceptibility analysis of ground deformation disasters using large language models and machine learning: A Hangzhou City case study



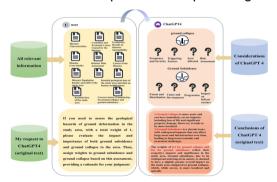


#### WHAT AUTHORS DID

 Stack model: random forest + Feed forward neural network



 ChatGPT-based decision on weights and its comparison to expert weights





### **DATA AUTHORS USED**

Name of Data	Size	Accessibility	
Data source files of LLM and code files	1 long prompt	Public	
ArcGIS data	Not shared	Third-party rights	
Data processing	27,898 data points	Used Public	



#### WHAT AUTHORS SHARED

- Prompt for ChatGPT in pdf.
- Excel dataset with 27,898 data points for ground subsidence susceptibility assessment.
- Code for training and testing (without train/test split) in docx format.



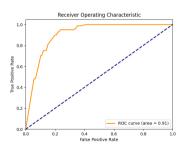
## WHAT AUTHORS ARE PROUD ABOUT

- Integrated data-driven models into urban ground collapse and subsidence evaluation.
- Used RF-BP neural network coupling model, achieving a 7% increase in AUC value.
- Employed ChatGPT-4 for weight determination, validated by geological experts.
- ChatGPT-4's weights differed by only 3% from expert judgments.
- Conducted comprehensive susceptibility assessment using ChatGPT-4's results.



#### REPRODUCIBILITY

- It is possible to reproduce results!
- Authors did not share train/test split code, but provided a good description, allowing reproduction from the description.
- Authors achieved 89% ROC-AUC score for ground collapse binary classification; I achieved 91%
- I obtained the same weights for ground collapse versus subsidence (weight ratio of 0.4:0.6).

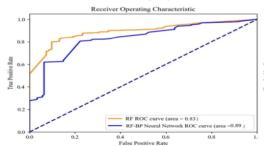




### BUT...

Reproducibility  $\rightarrow$ more transparency. I noted the following issues:

#### 1. Factual error on the graphs:



Ground collapse

#### 2. Inconsistency between text and graph:

The weights of 0.4 for ground collapse and 0.6 for ground subsidence reflect their respective impacts and significance in the study area. Ground subsidence, due to its widespread and long-term nature, is deemed to have a slightly greater overall impact on the study area compared to ground collapse, which, while severe, is more localized and episodic

In the comprehensive assessment of ground deformation hazards, with a weighting of 0.4 for ground subsidence and 0.6 for ground collapse, we categorized the risk zones as follows: low



## SUSPICIOUS METHODOLOGICAL CHOICES

- Strange choice of file formats (docx for Python script, Excel instead of .csv).
- Default arguments for Random Forest and Neural Network, no hyperparameter tuning.
- Undersampling with Random Forest instead of using class weights.
- No data scaling, crucial for Neural Networks with wide value ranges (e.g., 1-178111).
- LLM assessment based on a single ChatGPT run, unspecified version and parameters.



## MY ATTEMPTS TO SOLVE THESE ISSUES

- Added hyperparameter tuning.
- Scaled input values.
- Changed selection of train values to include all of them and added weight strategy for Random Forest.
- Ran multiple experiments with different GPT API models and various temperature values.



### SUSPICION IS GROWING

- 1. During hyperparameter tuning, I noted that increasing parameters (making the model greedy) leads to better AUC on test data. Usually, greedy models lead to overfitting and failed test results.
- 2. LLM models give me 0.4:0.6 weights even on gibberish input.



### MORE DETAILED LOOK AT DATA

- During hyperparameter tuning, I noted that increasing parameters (making the model greedy) leads to better AUC on test data. Usually, greedy models lead to overfitting and failed test results.
- 2. LLM models give me 0.4:0.6 weights even on gibberish input.



#### MORE DETAILED LOOK AT DATA

#### Data check:

- Noted a lot of similar rows in the data file.
- Checked for duplicates and found:
  - 27,898 data rows →625 unique rows.
  - 296 positive classes →33 positive examples.
- Authors did not mention the problem of duplicated data in the text.
- Many duplicates are simultaneously in train (70% of all rows) and test (30%).

Conclusion: To get better results, the model needs to memorize data points.



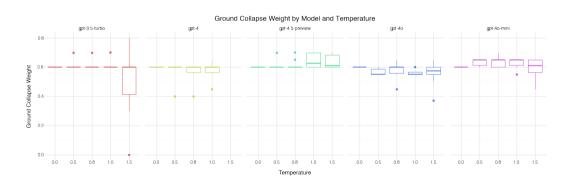
## MY ALTERNATIVE AGGREGATION MODEL

- For this dataset, complex modeling is unnecessary due to extensive data duplication.
- A simple dictionary-based approach suffices: match test cases with the training data.
- If a test case isn't found in the training data, predict 0, the more frequent class.

**Results:** This approach achieves an AUC of 91%, surpassing the authors' model stack by 2%.

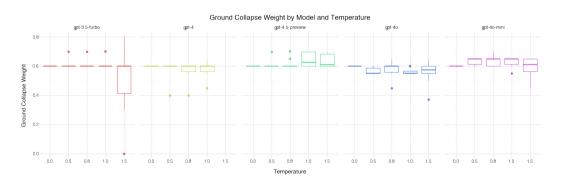


# HOW RESULTS LOOKS LIKE IF WE DO THIS STUDY CORRECTLY





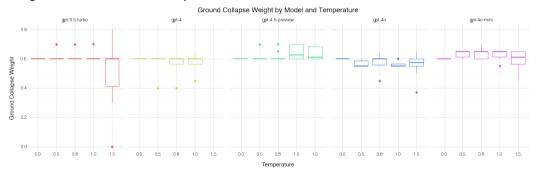
## COMPARISON OF DIFFERENT MODELS





### **LLM RUNS**

#### Weight 0.4 for Ground Collapse is rather outlier.





### **LLM RUNS**

Weight 0.4 for Ground Collapse is rather outlier.

model	ground <sub>c</sub> ollapse					ground <sub>s</sub> ubsidence		
	mean	std	min	max	mean	std	min	max
gpt-3.5-turbo	0.59	0.13	0.00	0.80	0.41	0.13	0.20	1.00
gpt-4	0.58	0.06	0.40	0.65	0.42	0.06	0.35	0.60
gpt-4.5-preview	0.63	0.04	0.60	0.70	0.37	0.04	0.30	0.40
gpt-4o	0.57	0.05	0.37	0.65	0.43	0.05	0.35	0.63
gpt-4o-mini	0.62	0.04	0.45	0.70	0.38	0.04	0.30	0.55