



## Lecture 3: Ray Tracing 1

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5. Math behind ray – prims intersection

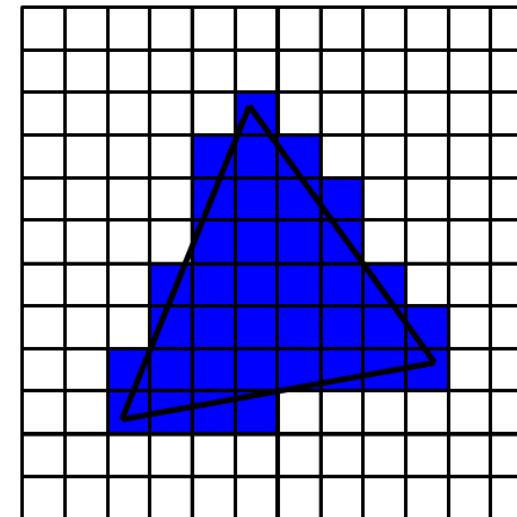
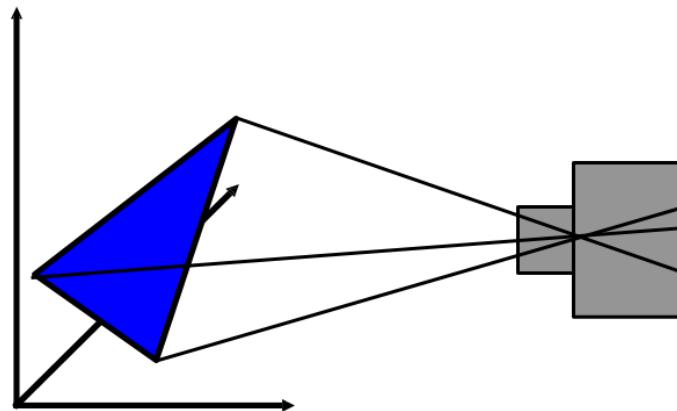


# Rendering

- Given a camera and 3D scene as input, generate a 2D image as a view from the camera of the 3D scene

## Algorithms: *Rasterization*

- Primitive operation of all interactive graphics!
  - Scan convert a single triangle at a time
- Sequentially processes every triangle individually
  - Can never access more than one triangle
- But most effects need access to the world: shadows, reflection, global illumination, etc.



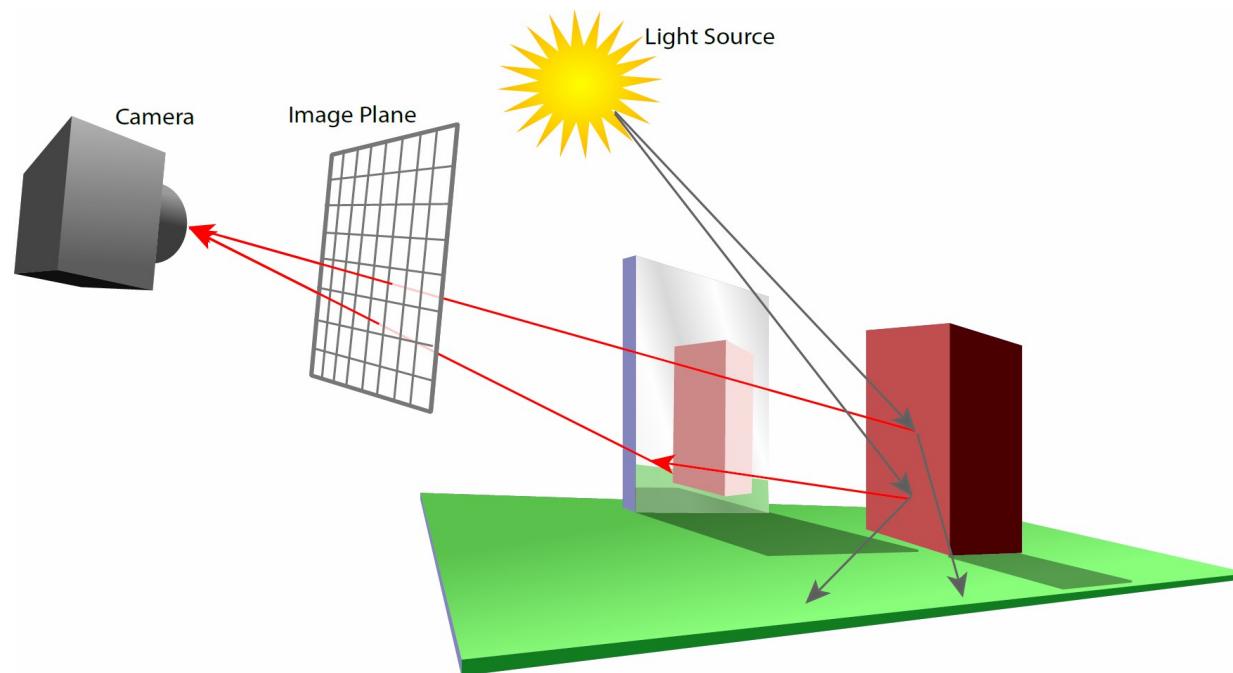


## Rendering

- Given a camera and 3D scene as input, generate a 2D image as a view from the camera of the 3D scene

### Algorithms: *Ray Tracing*

- Nature:
  - Follow the path of *many* photons
  - Record those hitting the film in a camera





## Light Distribution in a Scene

- Dynamic equilibrium
- Newly created, scattered, and absorbed photons

## Forward Light Transport

- Shoot photons from the light sources into scene
- Reflect at surfaces (according to some reflection model)
- Wait until they are absorbed or hit the camera (very seldom)
- **Nature:** massive parallel processing at the speed of light

## Backward Light Transport

- Start at the camera
- Trace only paths that might transport light towards the camera
  - May try to connect to occluded light sources
- **Ray Tracing**



## Surfaces

- 3D geometry of objects in a scene

## Surface reflectance characteristics

- Color, texture, absorption, reflection, refraction, subsurface scattering
- Local property may vary over surface
- Mirror, glass, glossy, diffuse, ...

## Illumination

- Position and emission characteristics of light sources
- Repeatedly reflected light / indirect illumination

## Assumption: air/empty space is totally transparent

- Simplification that excludes scattering effects in participating media volumes
- Later also volume objects, e.g. smoke, solid object (CT scan), ...

## Camera

- View point, viewing direction, field of view, resolution, ...



## One of the two fundamental rendering algorithms

### Automatic, simple and intuitive

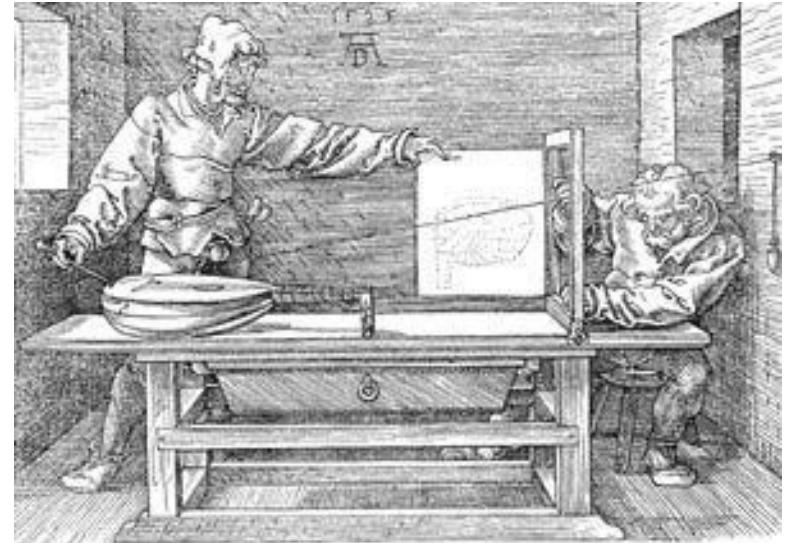
- Easy to understand and implement
- Delivers “correct” images by default

### Powerful and efficient

- Many optical global effects
- Shadows, reflections, refractions, ...
- Efficient real-time implementation in SW and HW
- Can work in parallel and distributed environments
- Logarithmic scalability with scene size:  $O(\log n)$  vs.  $O(n)$
- Output sensitive and demand driven

### Concept of light rays is not new

- Empedocles (492-432 BC), Renaissance (Dürer, 1525), ...
- Uses in lens design, geometric optics, ...



Perspective Machine, Albrecht Dürer



## Produce Highly Realistic Images

- Ray tracing enables *correct* simulation of light transport





## Ray generation

- Rays from viewpoint along viewing directions into 3D scene
- (At least) one ray per picture element (pixel)

## Ray traversal

- Traversal of spatial index structures

## Ray-primitive intersection

## Shading the hit point

- Determine pixel color
  - Energy (color) travelling along primary ray
- Needed
  - Local material color, object texture and reflection properties
  - Local illumination at intersection point
    - Compute through recursive tracing of rays
    - Can be hard to determine correctly

Ray-Generation

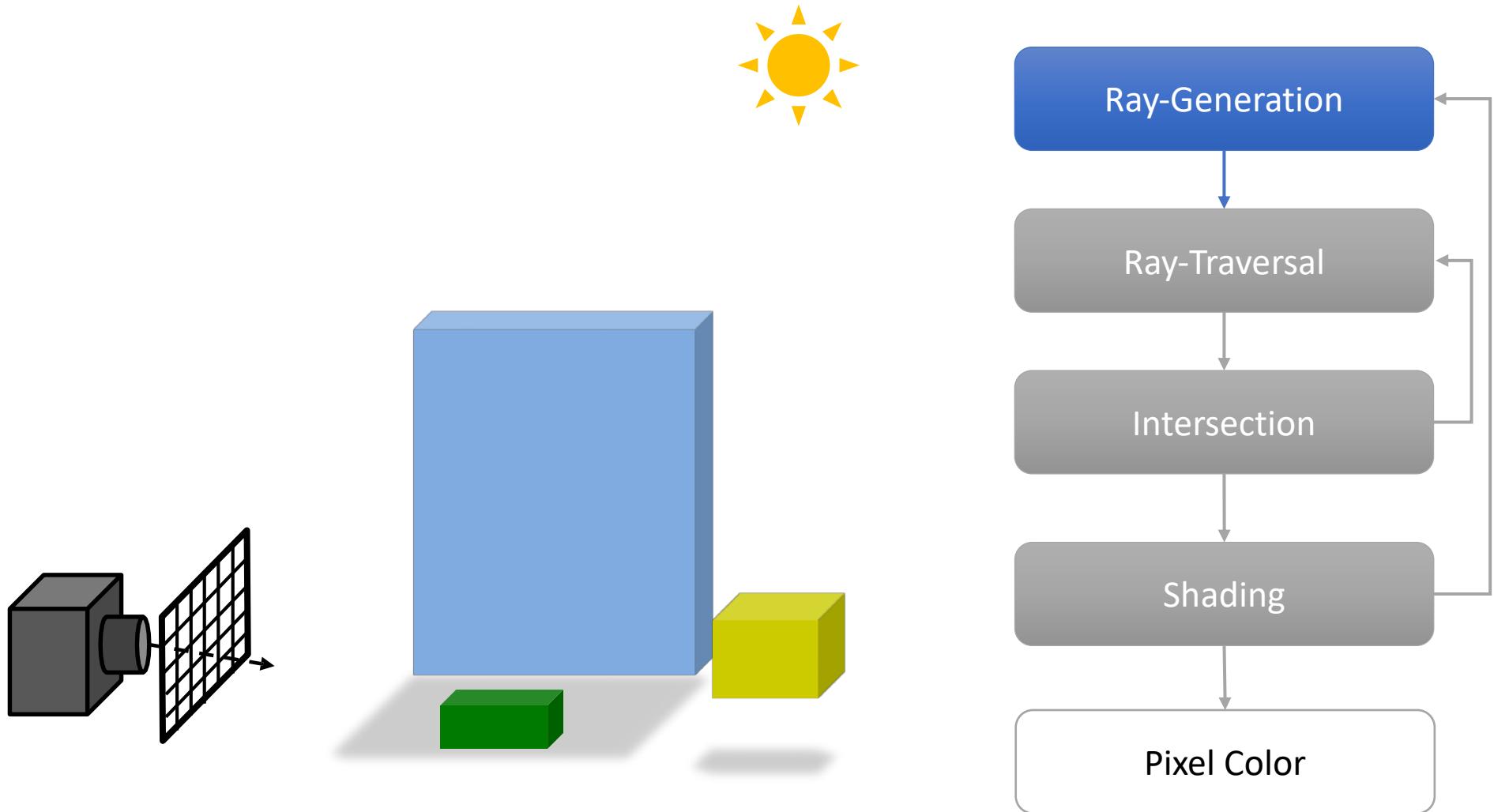
Ray-Traversal

Intersection

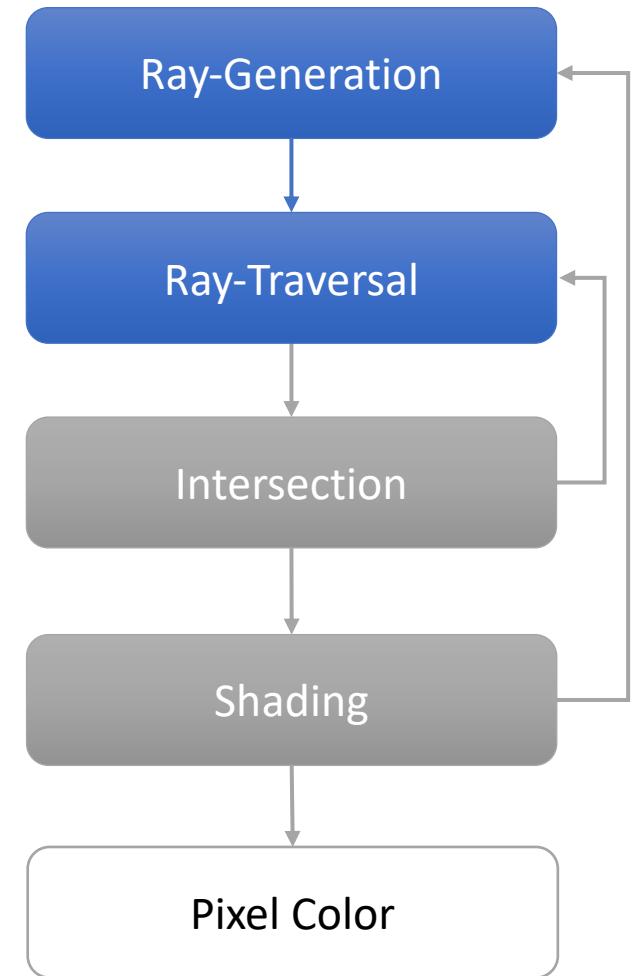
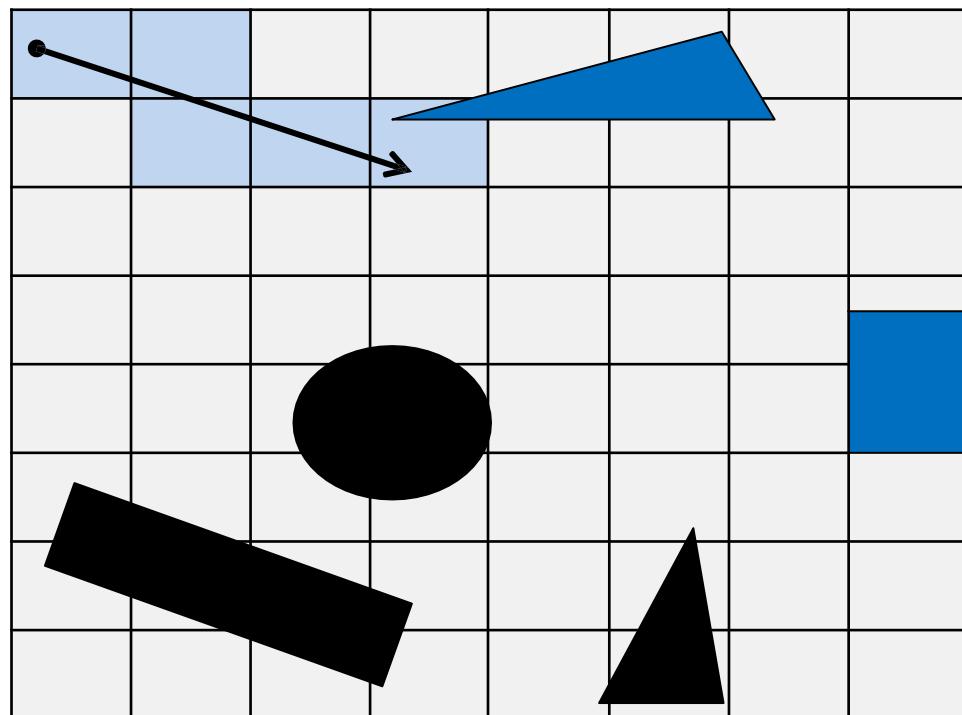
Shading

Pixel Color

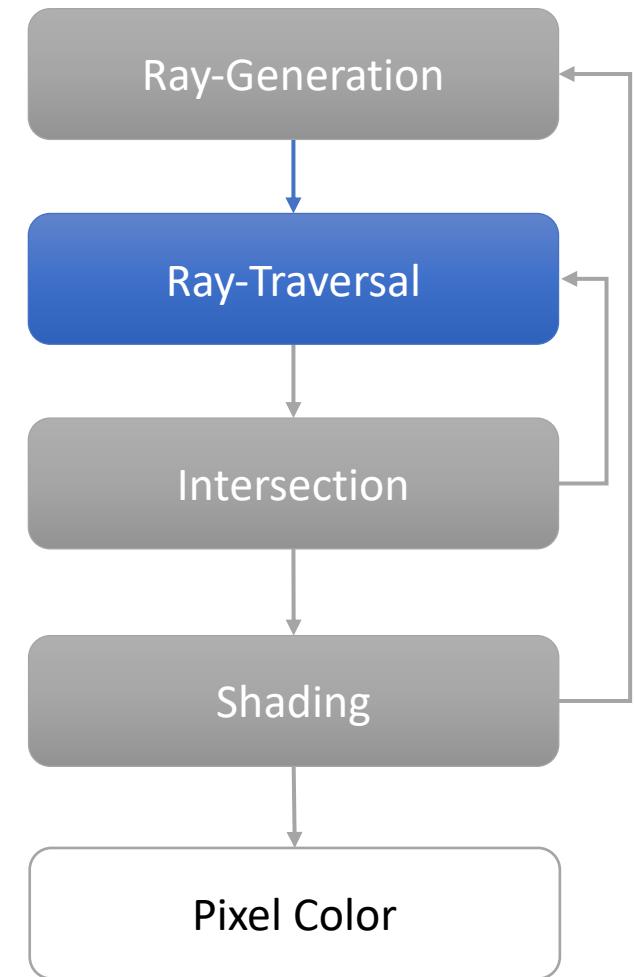
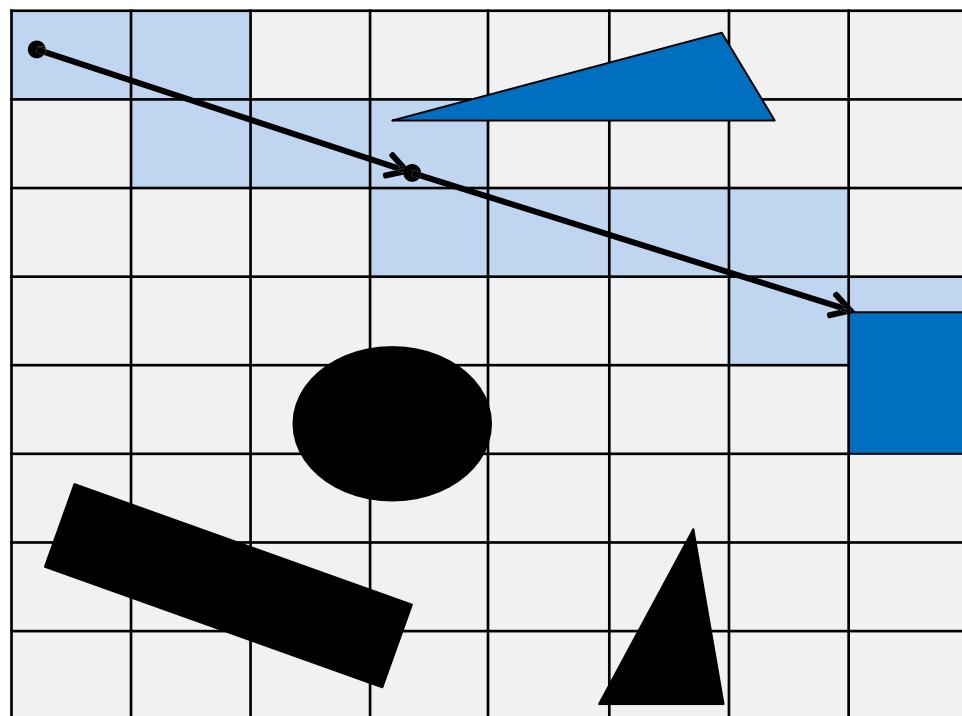
# Ray Tracing Pipeline



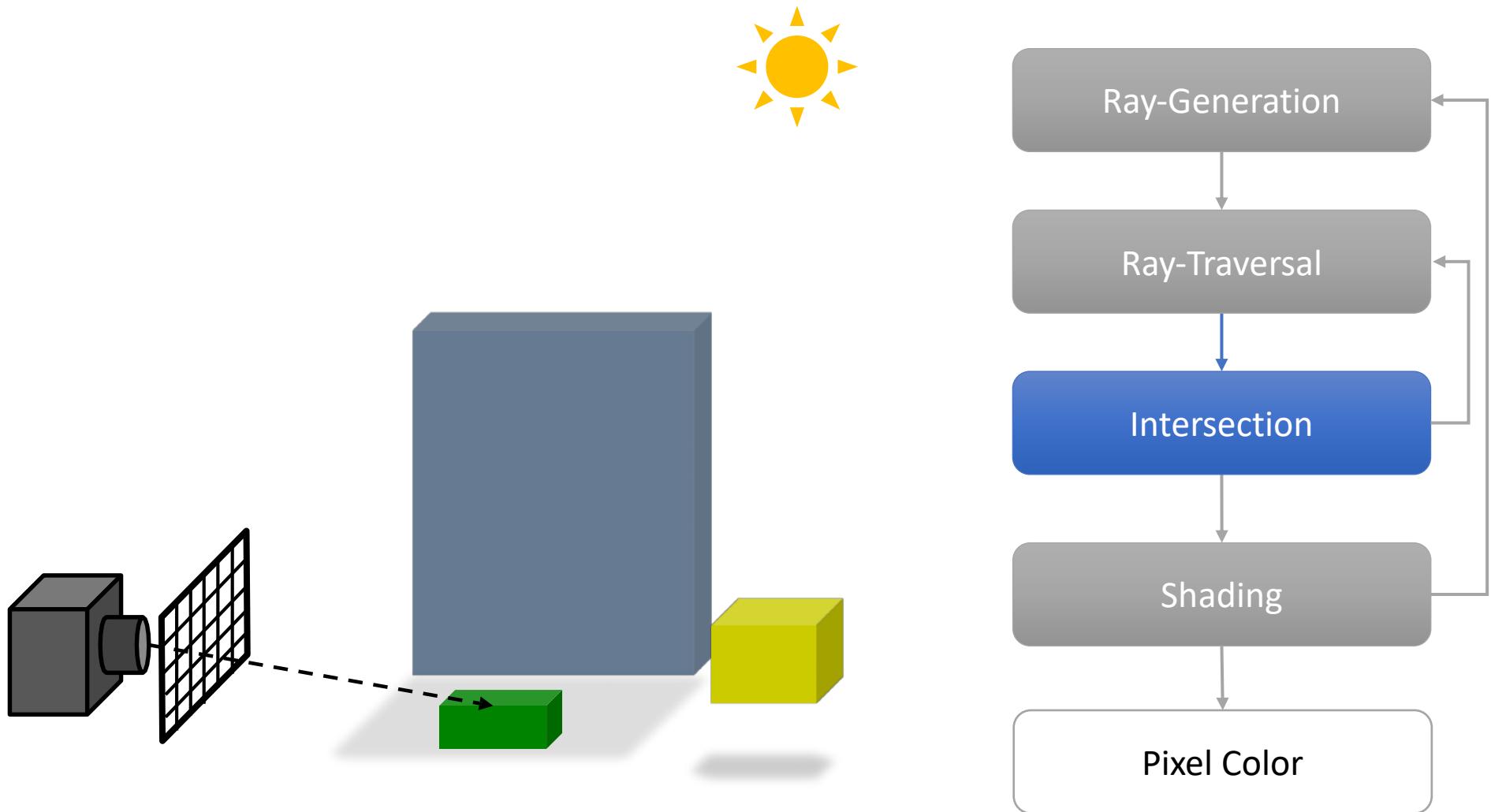
# Ray Tracing Pipeline



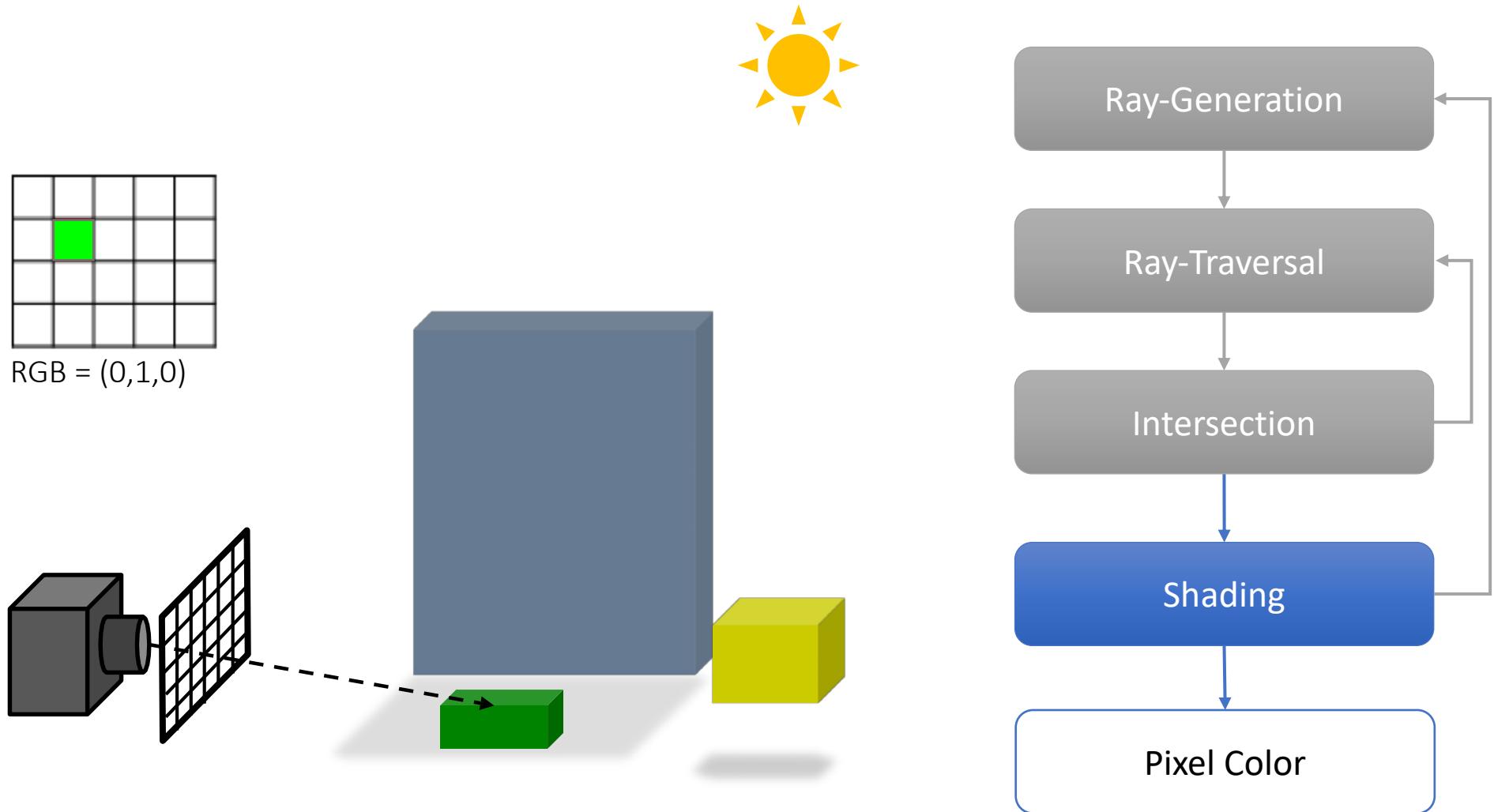
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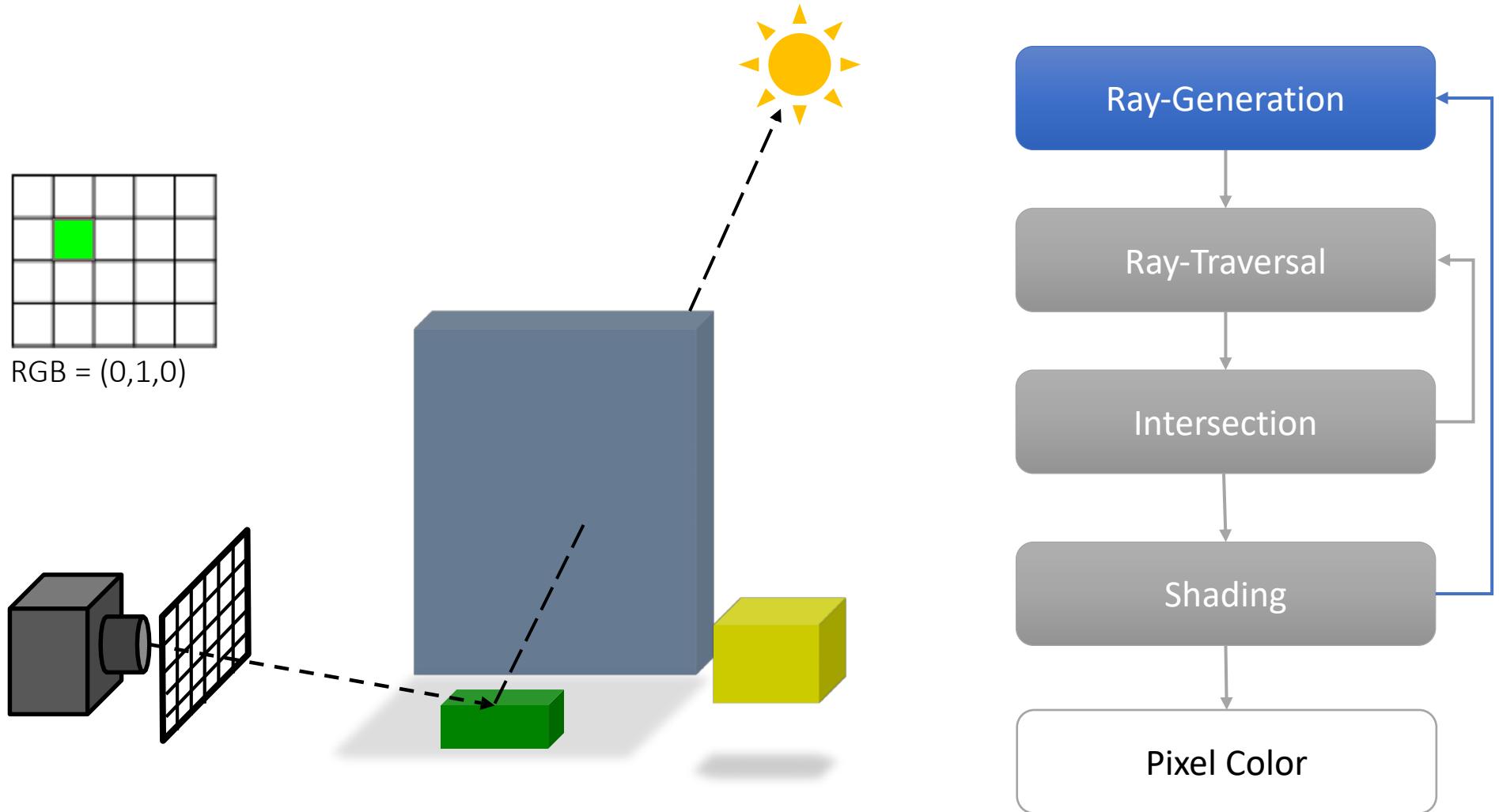
# Ray Tracing Pipeline



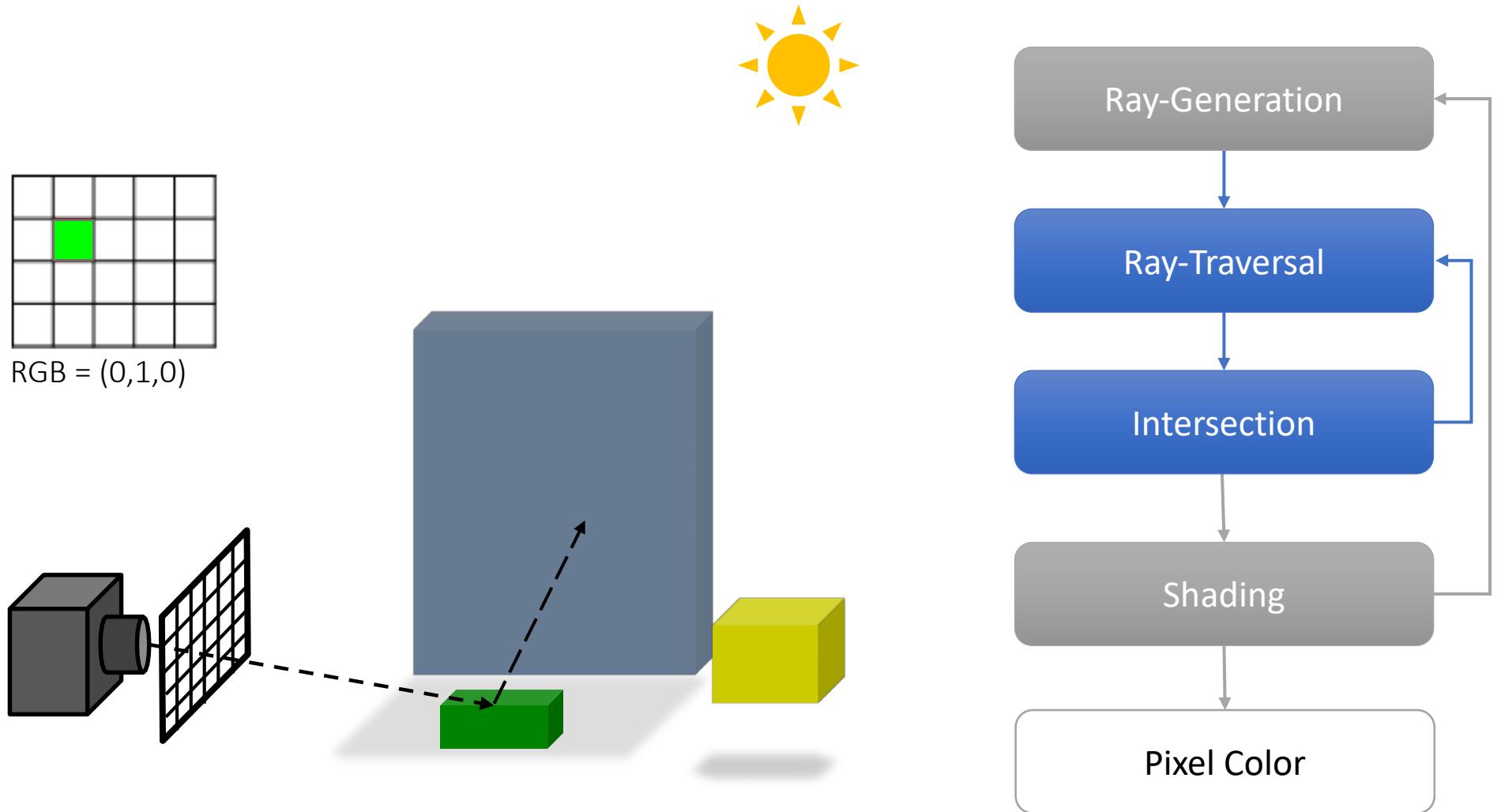
# Ray Tracing Pipeline



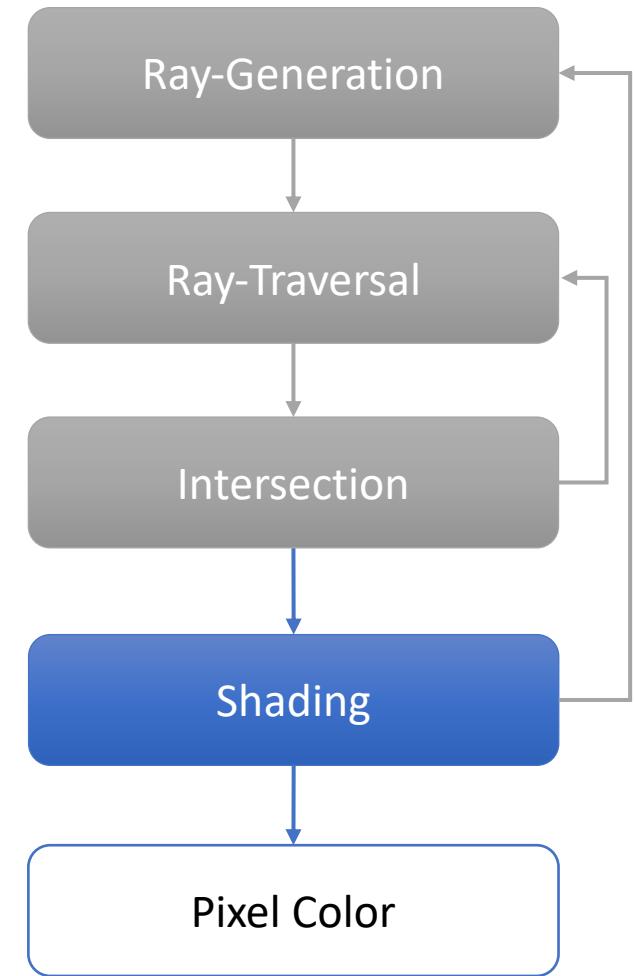
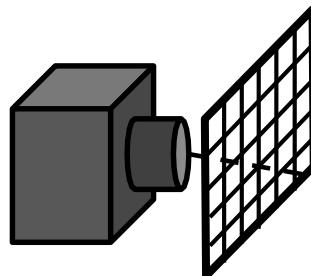
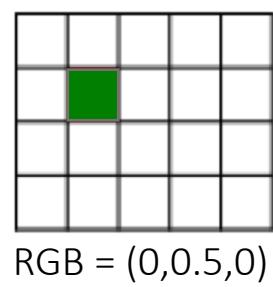
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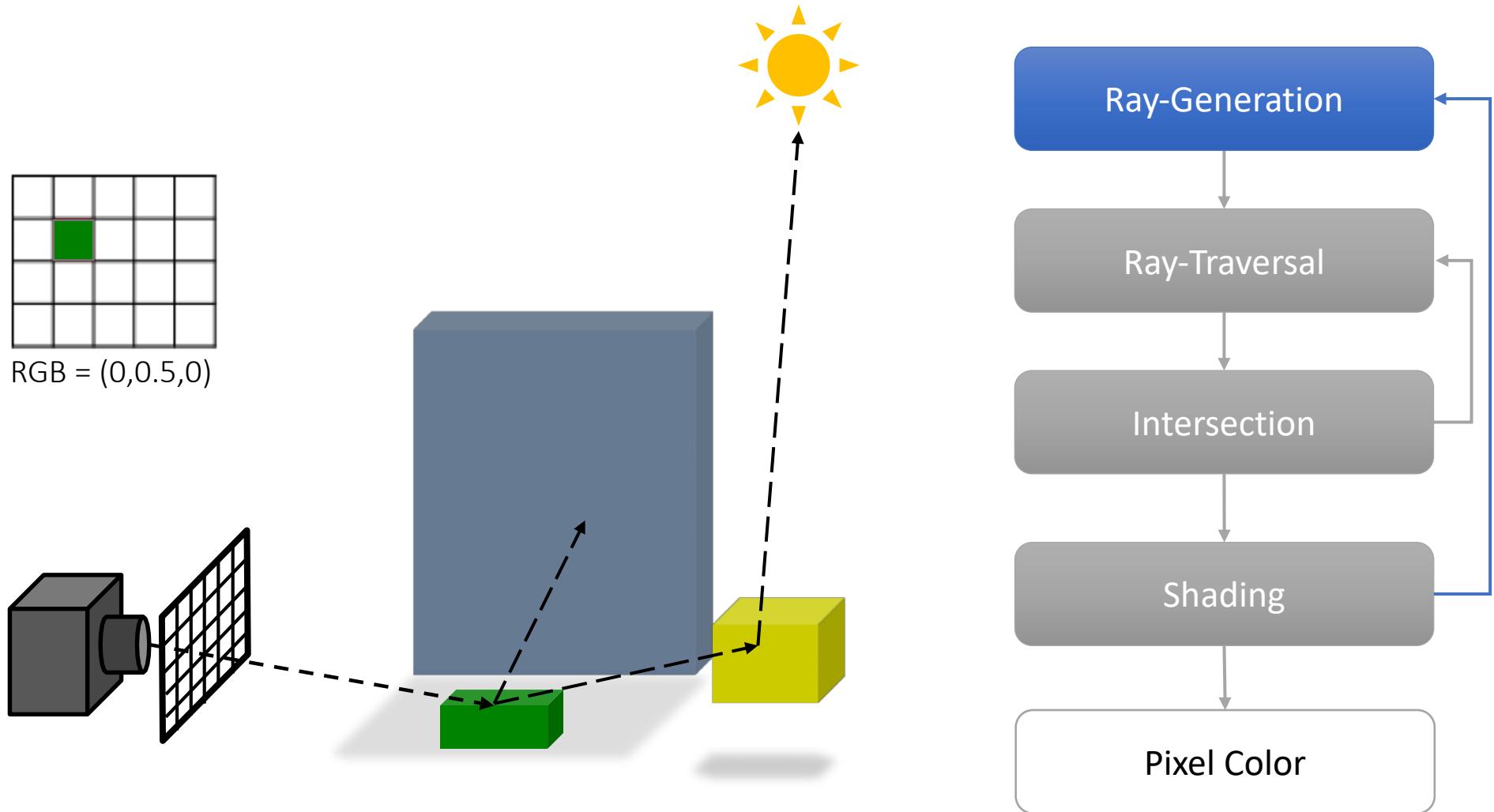
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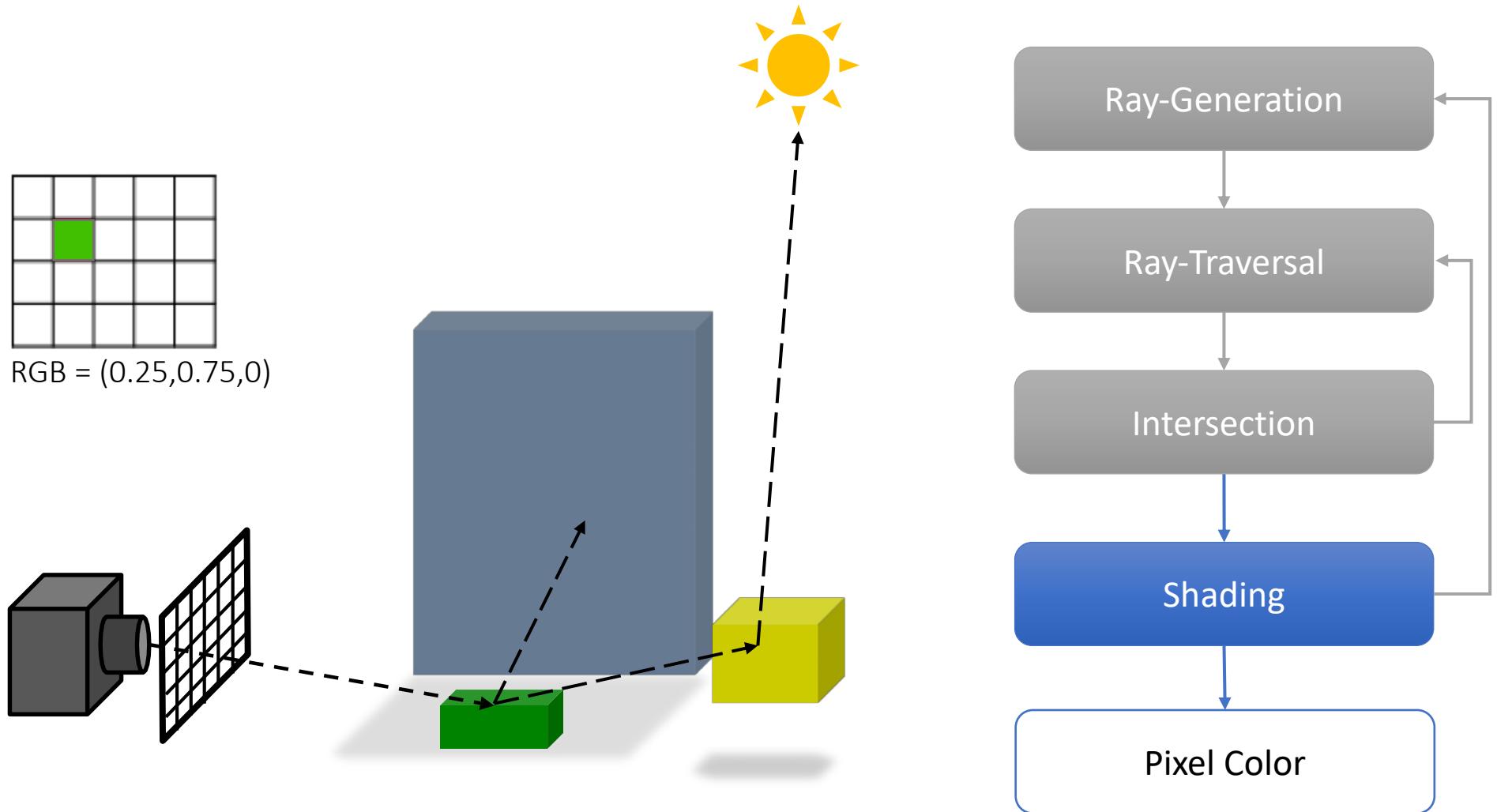
# Ray Tracing Pipeline



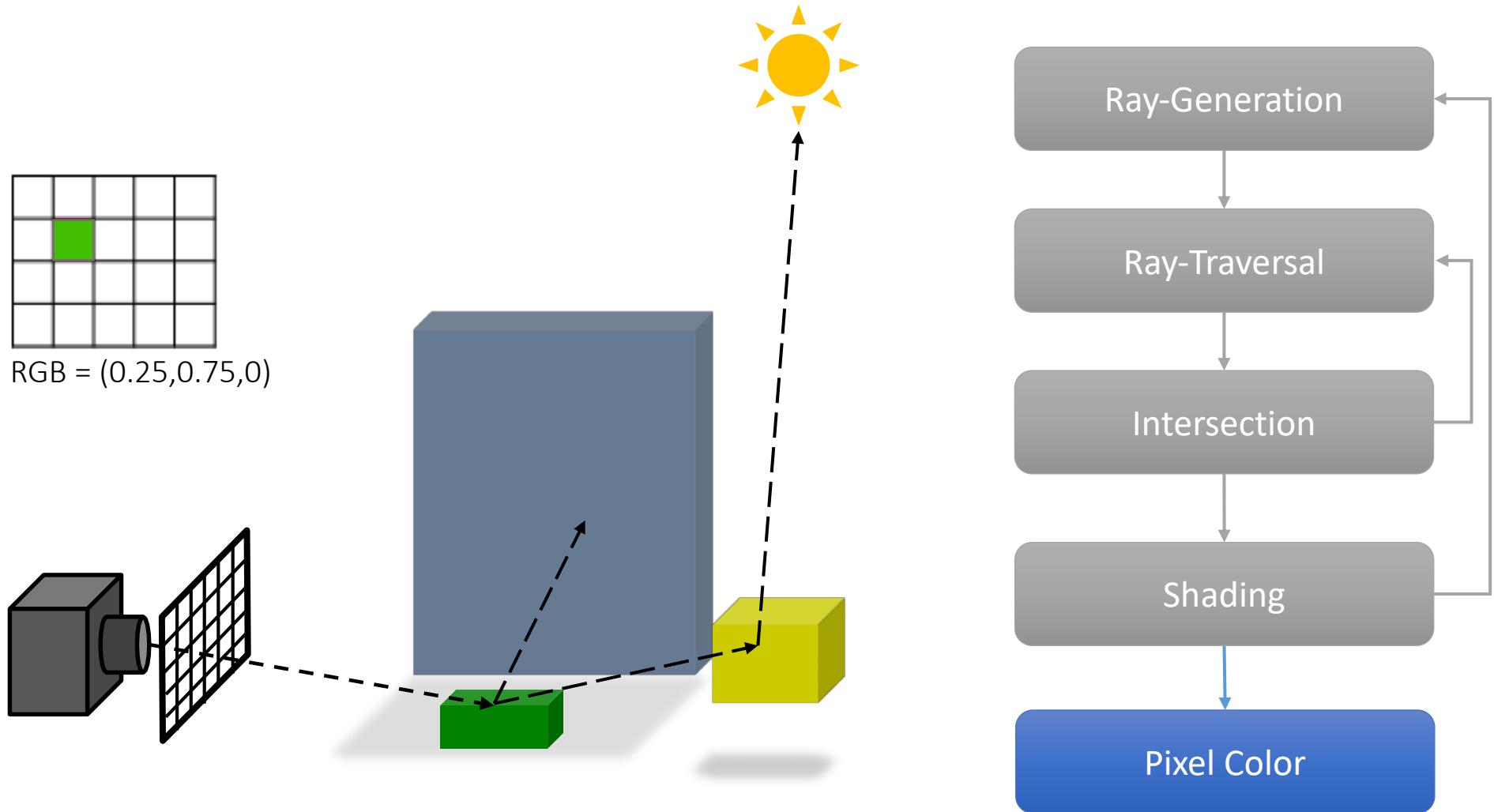
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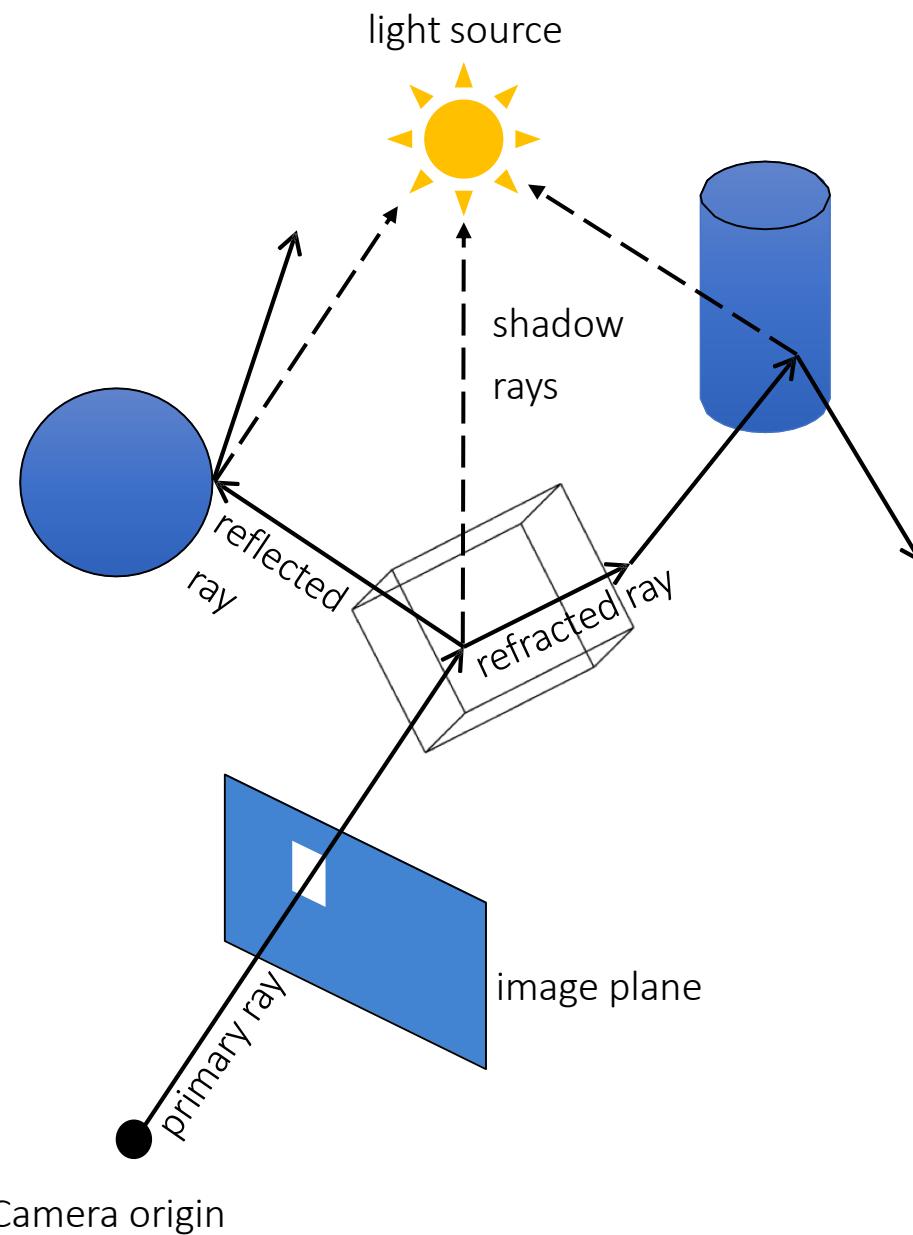


# Ray Tracing Pipeline



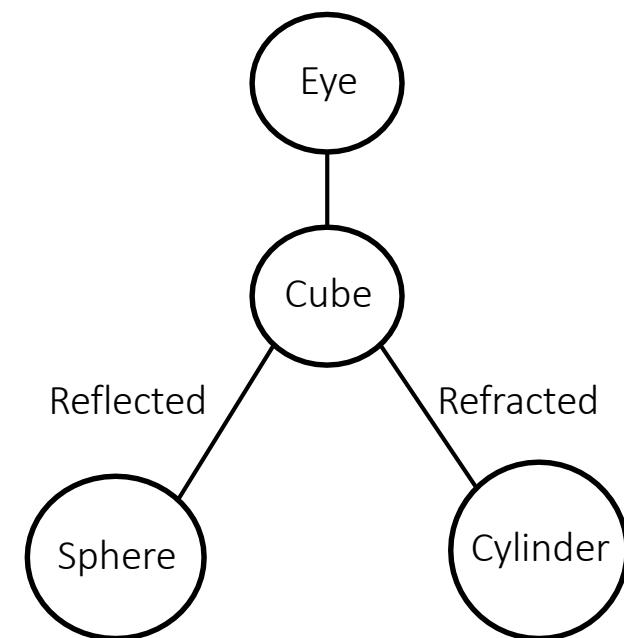
# Ray Tracing Pipeline





## Searching recursively for paths to light sources

- Interaction of light & material at intersections
- Recursively trace new rays in reflection, refraction, and light direction





## trace(ray)

- Search the next intersection `point(hit, material)`

```
return shade(ray, hit, material)
```

## shade(ray, hit, material)

```
for_each(light source)
```

```
    if (shadowTrace(ray to light source, distance to light))
```

- Calculate reflected radiance (*i.e.* Phong material model)
- Adding to the reflected radiance

```
if (mirroring material)
```

- Calculate radiance in reflected direction: `trace(R(ray, hit))`
- Adding mirroring part to the reflected radiance
- Same for transmission
- Return reflected radiance

## shadowTrace(ray, dist)

- `return false`, if intersection with  $\text{distance} < \text{dist}$  has been found
- Can be changed to handle transparent objects as well
  - But not with refraction



## Intersection point determines primary ray's “color”

- Diffuse object: color at intersection point
  - No variation with viewing angle: diffuse (Lambertian)
- Perfect reflection / refraction (mirror, glass)
  - Only one outgoing direction → Trace one secondary ray
- Non-Lambertian Reflectance
  - Appearance depends on illumination and viewing direction
  - Local Bi-directional Reflectance Distribution Function (BRDF)

## Illumination

- Point / directional light sources
- Area light sources
  - Approximate with multiple samples / shadow rays
- Indirect illumination
  - See Realistic Image Synthesis (RIS) course in next semester

More details later



Usually RGB color model instead of full spectrum

Finite # of point lights instead of full indirect light

### Approximate material reflectance properties

- **Ambient**: constant, non-directional background light
- **Diffuse**: reflected uniformly in all directions perfect
- **Specular**: reflection, refraction

### Used reflection models are often empirical

- Better physically accurate models are available (*e.g.* Ward model)



# Ray Tracing Incorporates into a single framework

- Hidden surface removal
  - Front to back traversal
  - Early termination once first hit point is found
- Shadow computation
  - Shadow rays / shadow feelers are traced between a point on a surface and a light sources
- Exact simulation of some light paths
  - Reflection (reflected rays at a mirror surface)
  - Refraction (refracted rays at a transparent surface, Snell's law)

# Limitations

- Easily gets inefficient for full global illumination computations
- Many reflections (exponential increase in number of rays)
- Indirect illumination requires many rays to sample all incoming directions



## Models Physics of Global Light Transport

- Dependable, physically-correct visualization





What is Possible?

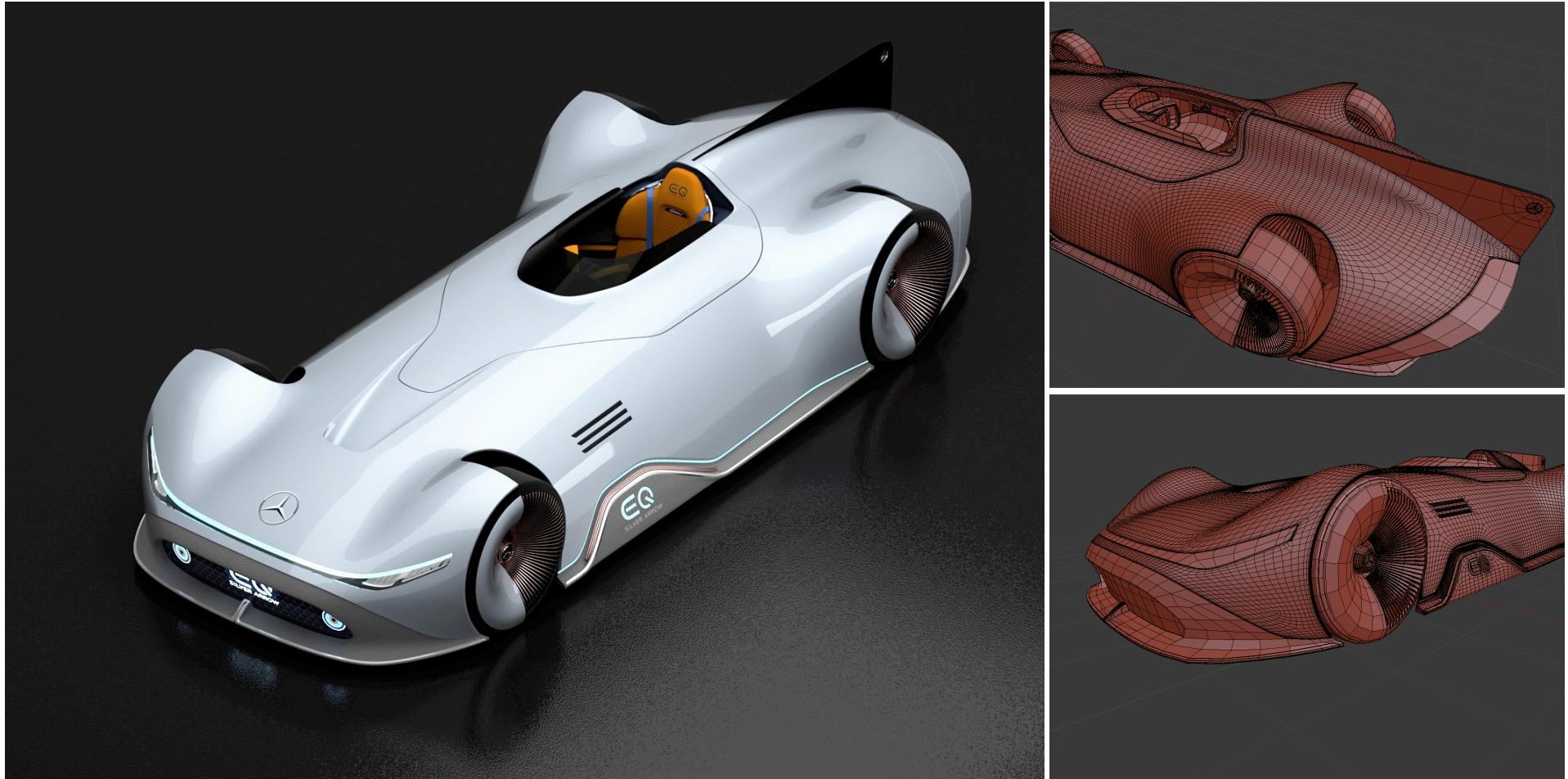
## VW Visualization Center



What is Possible?



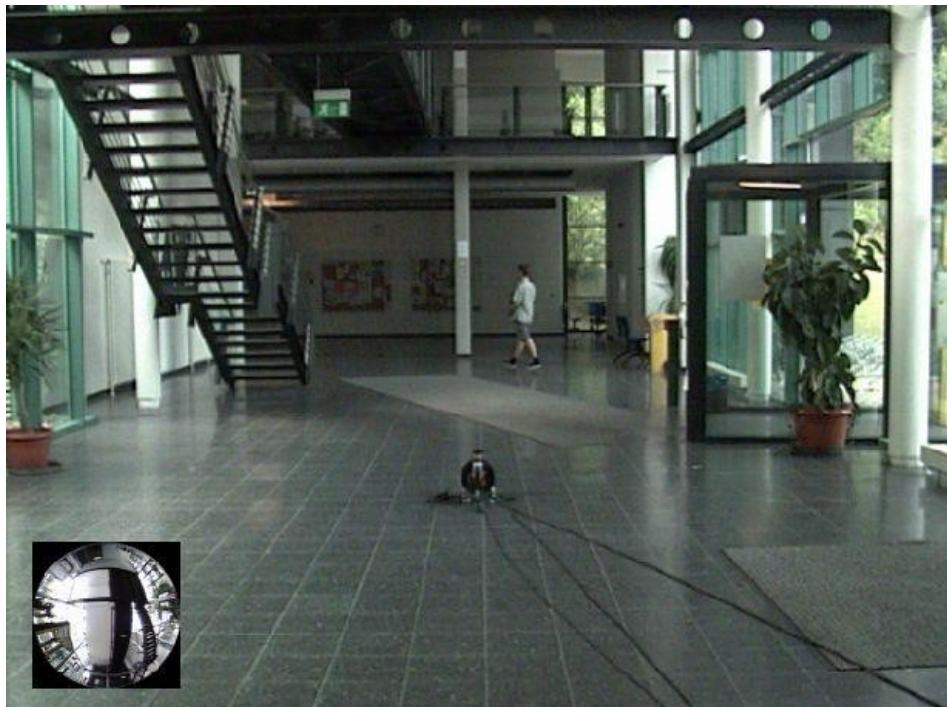
## Realistic Visualization: CAD



What is Possible?



## Realistic Visualization: VR / AR



What is Possible?



## Lighting Simulation





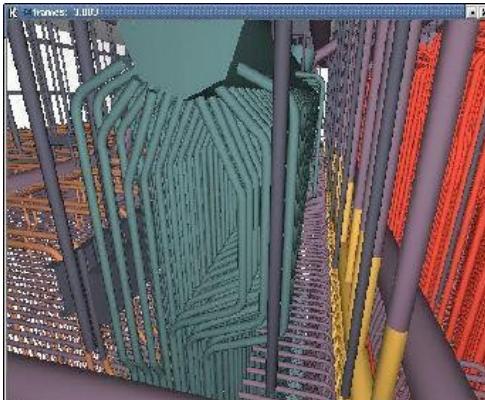
## What is Possible?

# Huge Models

- Logarithmic scaling in scene size



12.5 Million  
Triangles



~1 Billion  
Triangles



## Outdoor Environments

- $90 \times 10^{12}$  (trillion) triangles





What is Possible?

## Games





## In the Past

- Only used as an off-line technique
- Was computationally far too demanding (minutes to hours per frame)
- Believed to not be suitable for a HW implementation

## More Recently

- Interactive ray tracing on supercomputers [Parker, U. Utah'98]
- Interactive ray tracing on PCs [Wald'01]
- Distributed Real-time ray tracing on PC clusters [Wald'01]
- RPU: First full HW implementation [Siggraph 2005]
- Commercial tools: Embree / OSPRey (Intel / CPU), OptiX (Nvidia / GPU)
- Complete film industry has switched to ray tracing (Monte-Carlo)

## Own conference

- Symposium on Interactive RT, now High-Performance Graphics (HPG)

## Ray tracing systems

- Research: PBRT (offline, physically-based, OSS), Mitsuba renderer (EPFL), imbatracer (SB), ...
- Commercial: V-Ray (Chaos Group), Corona (Render Legion), VRED (Autodesk), MentalRay/iRay (MI), ...



### Tracing/Casting a ray

- Type of query
  - “Is there a primitive along a ray”
  - “How far is the closest primitive”

### Other uses than rendering

- Volume computation
- Sound waves tracing
- Collision detection
- ...

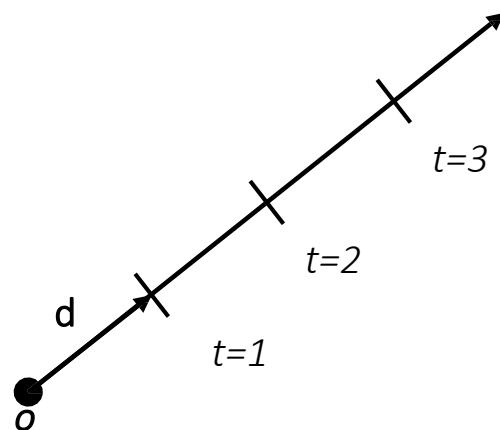


## Ray parameterization

- $\vec{r}(t) = \vec{o} + t\vec{d}$ 
  - Origin:  $\vec{o} \in \mathbb{R}^3$
  - Direction:  $\vec{d} \in \mathbb{R}^3$

## Ray

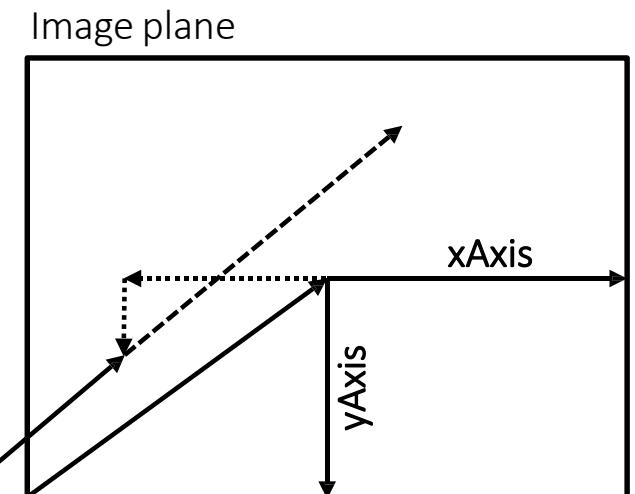
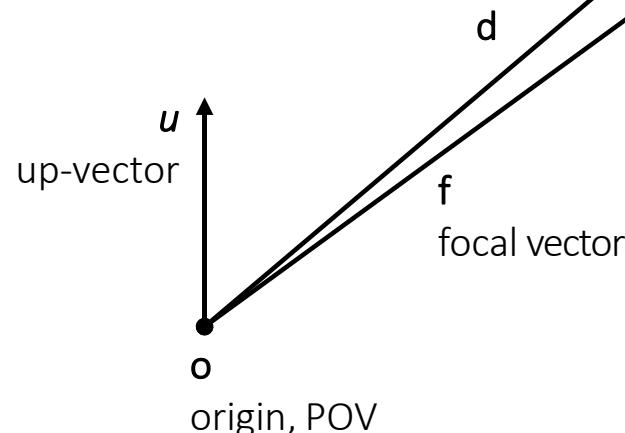
- All points on the graph of  $\vec{r}(t)$ , with  $t \in \mathbb{R}$ ;



# Perspective Camera Model



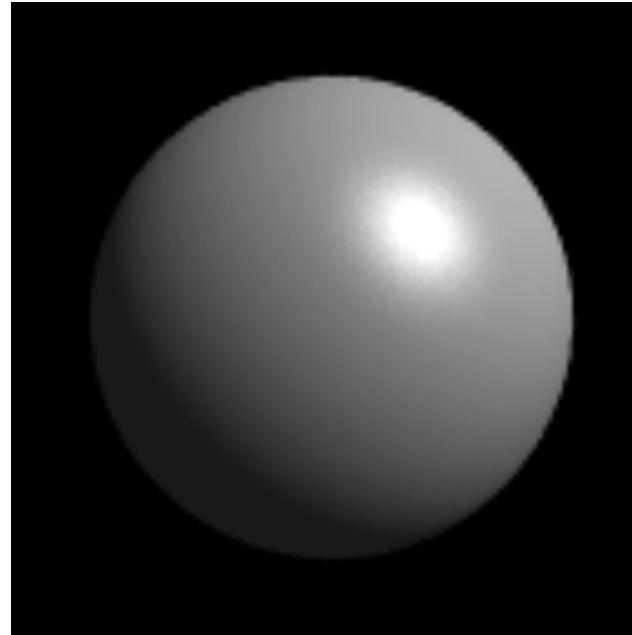
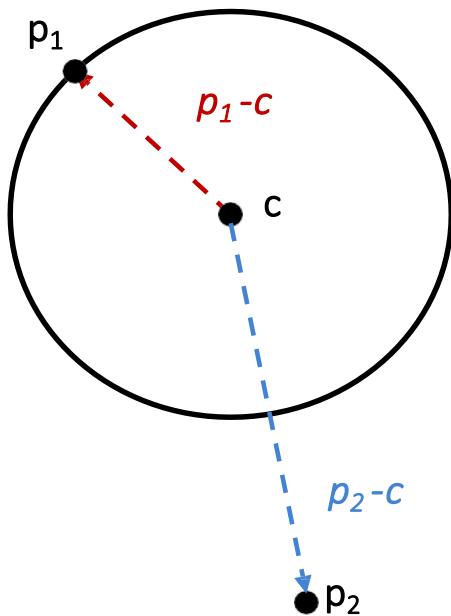
```
// For given image resolution res
// Loop over pixel raster coordinates
for(int y = 0; y < res.height; y++)
    for(int x = 0; x < res.width; x++)
    {
        // Normalized device coordinates [0, 1]
        ndcx = (x + 0.5) / res.width;
        ndcy = (y + 0.5) / res.height;
        // Screen space coordinates [-1, 1]
        sscx = 2 * ndcx - 1;
        sscy = 2 * ndcy - 1;
        // Generate direction through pixel center
        d = sscx * xAxis + sscy * yAxis + f;
        d = d / |d|; // May normalize here
        // Trace ray and assign color to pixel
        color = trace_ray(o, d);
        img(y, x) = color;
    }
```





## Sphere $S$

- $\forall \vec{p} \in \mathbb{R}^3: \vec{p} \in S \Leftrightarrow (\vec{p} - \vec{c}) \cdot (\vec{p} - \vec{c}) = r^2$
- Sphere center:  $\vec{c} \in \mathbb{R}^3$
- Sphere radius:  $r \in \mathbb{R}$





## Given

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Sphere:  $\forall \vec{p} \in \mathbb{R}^3: \vec{p} \in S \Leftrightarrow (\vec{p} - \vec{c}) \cdot (\vec{p} - \vec{c}) = r^2$

## Find closest intersection point

- **Algebraic approach:** substitute ray equation
  - $(\vec{p} - \vec{c}) \cdot (\vec{p} - \vec{c}) - r^2 = 0$  with  $\vec{p} = \vec{o} + t\vec{d}$
  - $t^2\vec{d} \cdot \vec{d} + 2t\vec{d} \cdot (\vec{o} - \vec{c}) + (\vec{o} - \vec{c}) \cdot (\vec{o} - \vec{c}) - r^2 = 0$
  - Solve for  $t$

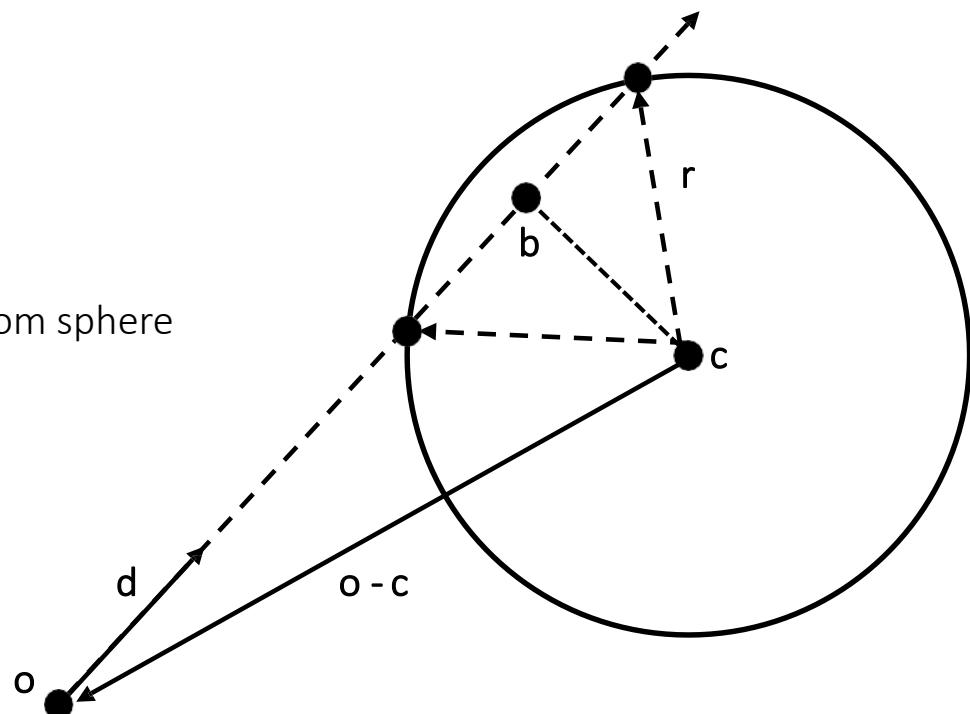


## Given

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Sphere:  $\forall \vec{p} \in \mathbb{R}^3: \vec{p} \in S \Leftrightarrow (\vec{p} - \vec{c}) \cdot (\vec{p} - \vec{c}) = r^2$

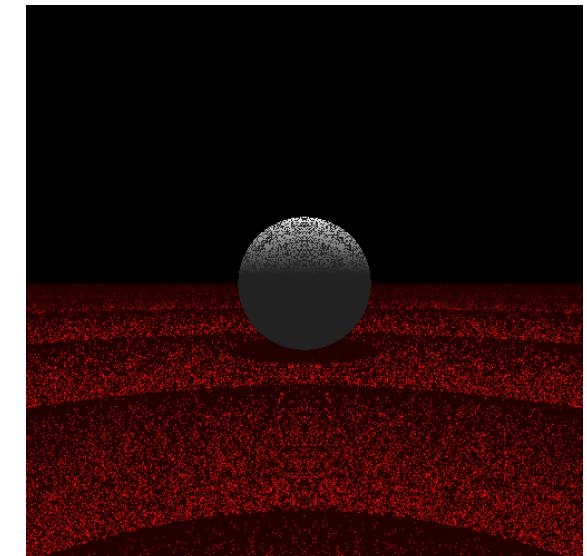
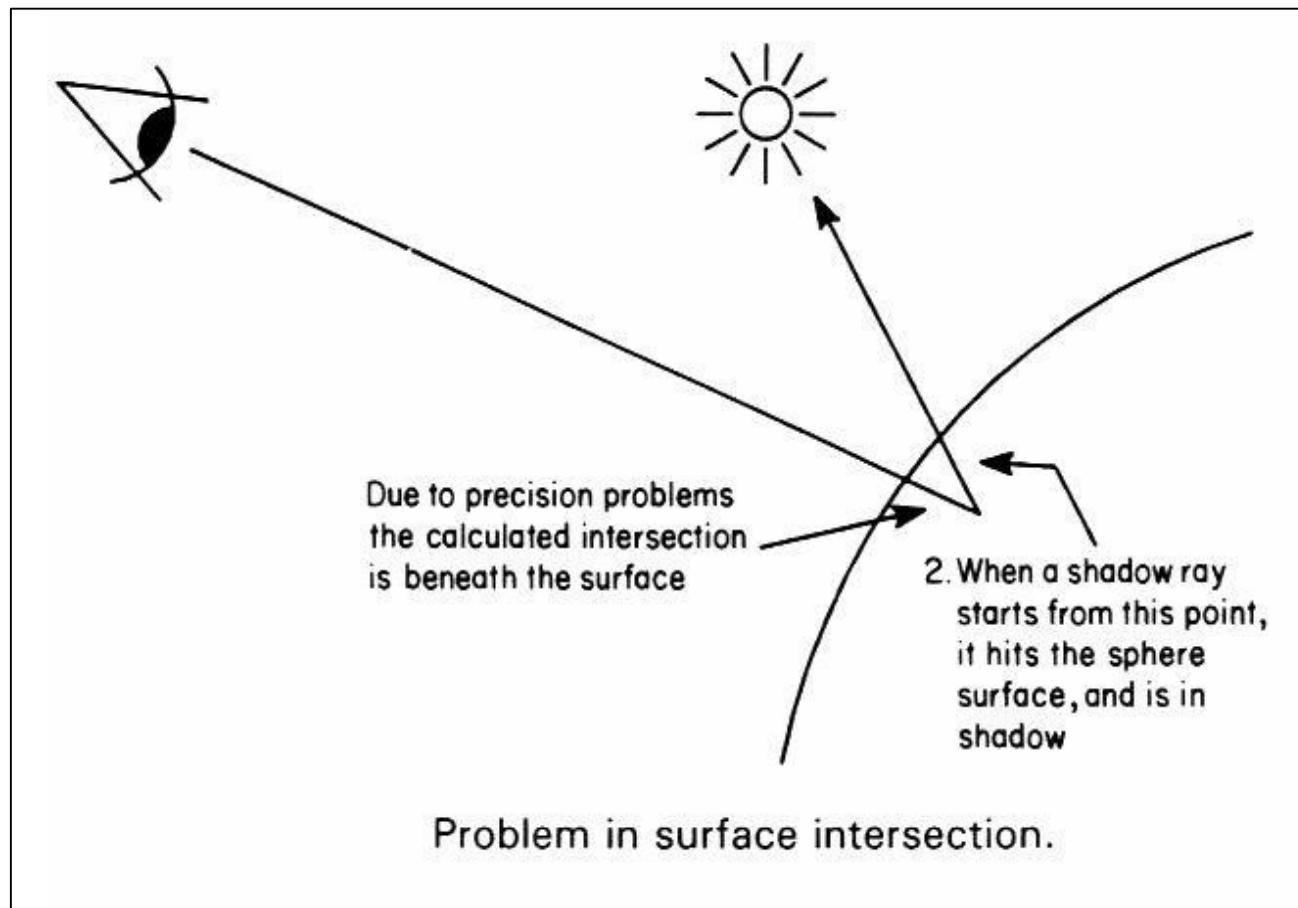
## Find closest intersection point

- Geometric approach:
  - Ray and center span a plane
  - Solve in 2D
  - Compute  $|\vec{b} - \vec{c}|, |\vec{b} - \vec{c}|$ 
    - $\angle abc = 90^\circ$
  - Intersection(s) if  $|\vec{b} - \vec{c}| \leq r$
  - Be aware of floating point issue if  $o$  is far from sphere





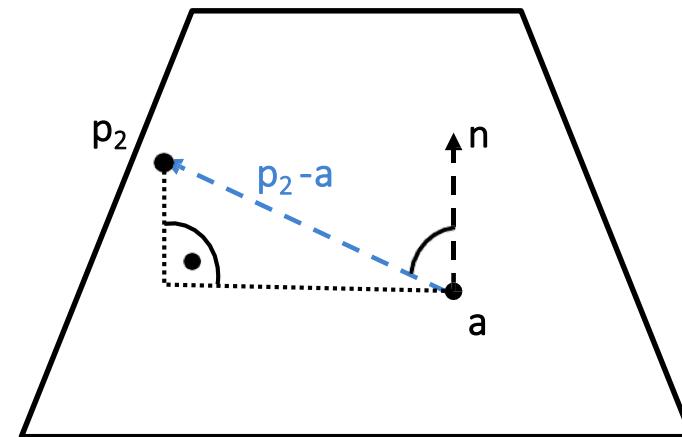
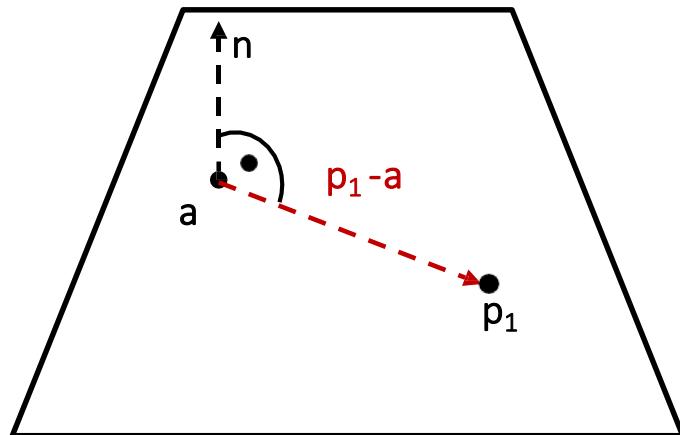
### Cause of „surface acne“





## Plane P

- $\forall \vec{p} \in \mathbb{R}^3: \vec{p} \in P \Leftrightarrow (\vec{p} - \vec{a}) \cdot \vec{n} = 0$
- Plane normal:  $\vec{n} \in \mathbb{R}^3$
- Point on a plane:  $\vec{a} \in \mathbb{R}^3$
- The difference vector between any two points on the plane is either 0 or orthogonal to the plane's normal





## Given

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Plane:  $\forall \vec{p} \in \mathbb{R}^3: \vec{p} \in P \Leftrightarrow (\vec{p} - \vec{a}) \cdot \vec{n} = 0$

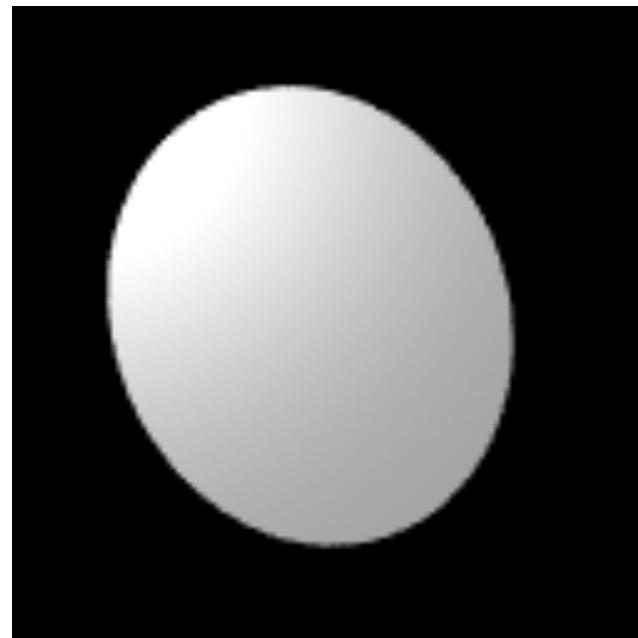
## Compute intersection point

- Plane equation:  $\vec{p} \in P \Leftrightarrow (\vec{p} - \vec{a}) \cdot \vec{n} = 0$   
 $\Leftrightarrow \vec{p} \cdot \vec{n} - D = 0$ , with  $D = \vec{a} \cdot \vec{n}$
- Substitute ray parameterization:  $(\vec{o} + t\vec{d}) \cdot \vec{n} - D = 0$
- Solve for  $t$ 
  - 0, 1 or infinitely many solutions



## Intersect ray with plane

- Discard intersection if  $\|\vec{p} - \vec{a}\| > r$





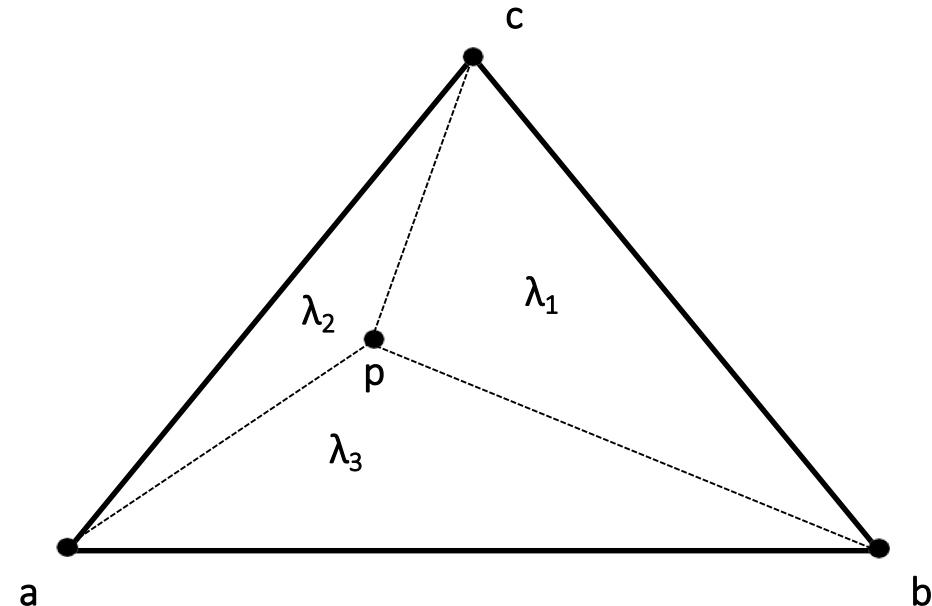
## Triangle T

- Vertices:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$
- Affine combinations of  $\vec{a}, \vec{b}, \vec{c} \rightarrow$  points in the plane
  - Non-negative coefficients that sum up to 1  $\rightarrow$  points in the triangle
- $\forall \vec{p} \in \mathbb{R}^3: \vec{p} \in T \Leftrightarrow \exists \lambda_1, \lambda_2, \lambda_3 \in \mathbb{R}, \lambda_1 + \lambda_2 + \lambda_3 = 1$  and  

$$\vec{p} = \lambda_1 \vec{a} + \lambda_2 \vec{b} + \lambda_3 \vec{c}$$

## Barycentric coordinates

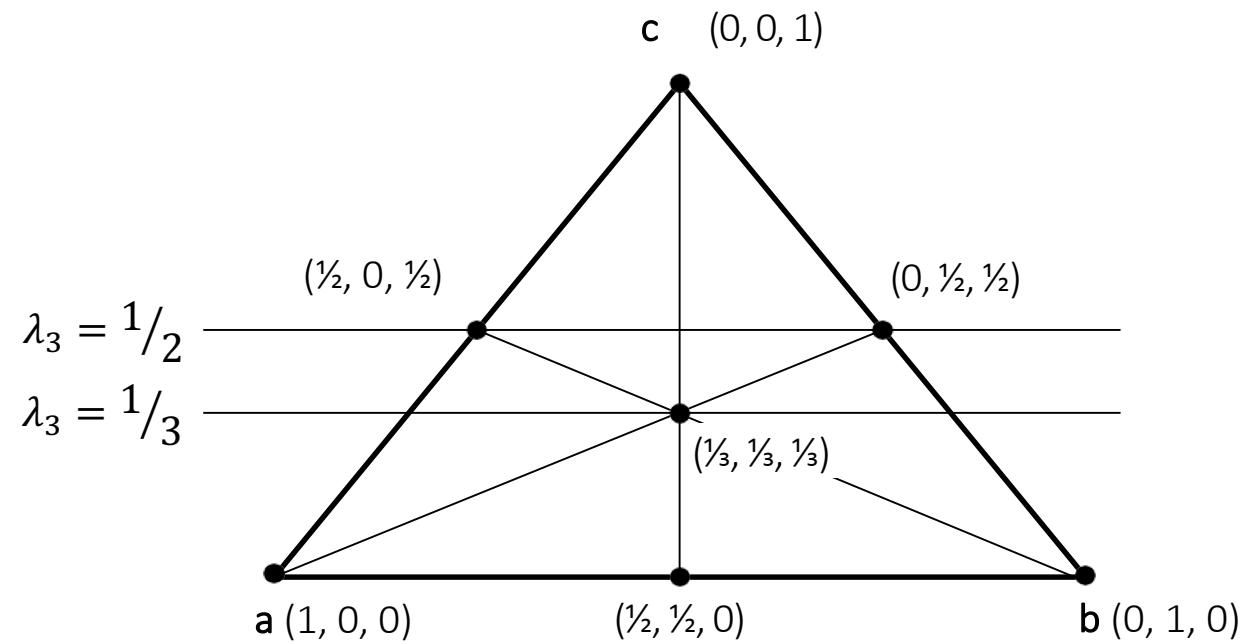
- $\lambda_1, \lambda_2, \lambda_3$
- $\lambda_1 = \frac{s_{pbc}}{s_{abc}}$
- $S$ : signed area of triangles





## Triangle T

- Vertices:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$
- Barycentric coordinates:  $\lambda_1, \lambda_2, \lambda_3$
- $\lambda_1 + \lambda_2 + \lambda_3 = 1$
- $\lambda_1 = \frac{s_{pbc}}{s_{abc}}$ , etc



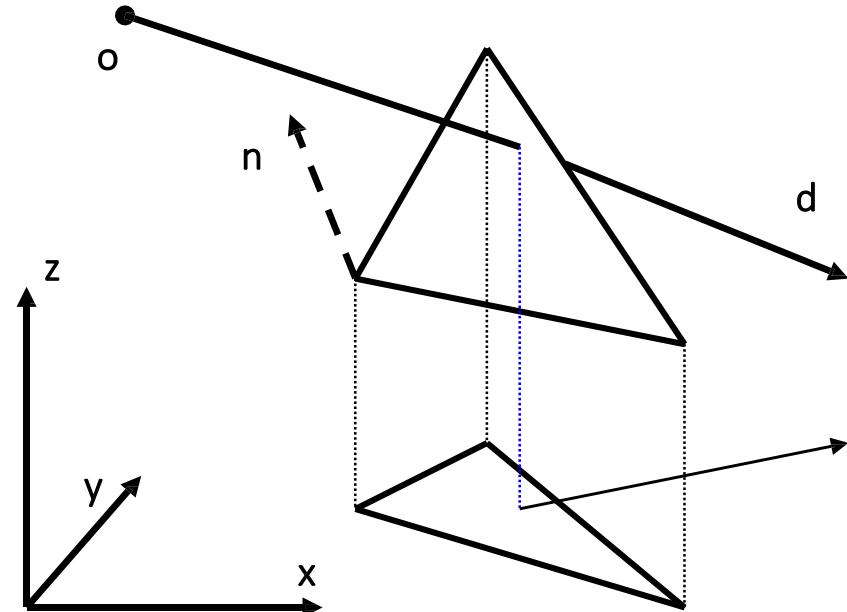


Compute intersection with triangle plane

Compute barycentric coordinates

- Signed areas of sub-triangles
- Can be done in 2D, after “projection” onto major plane, depending on largest normal vector component

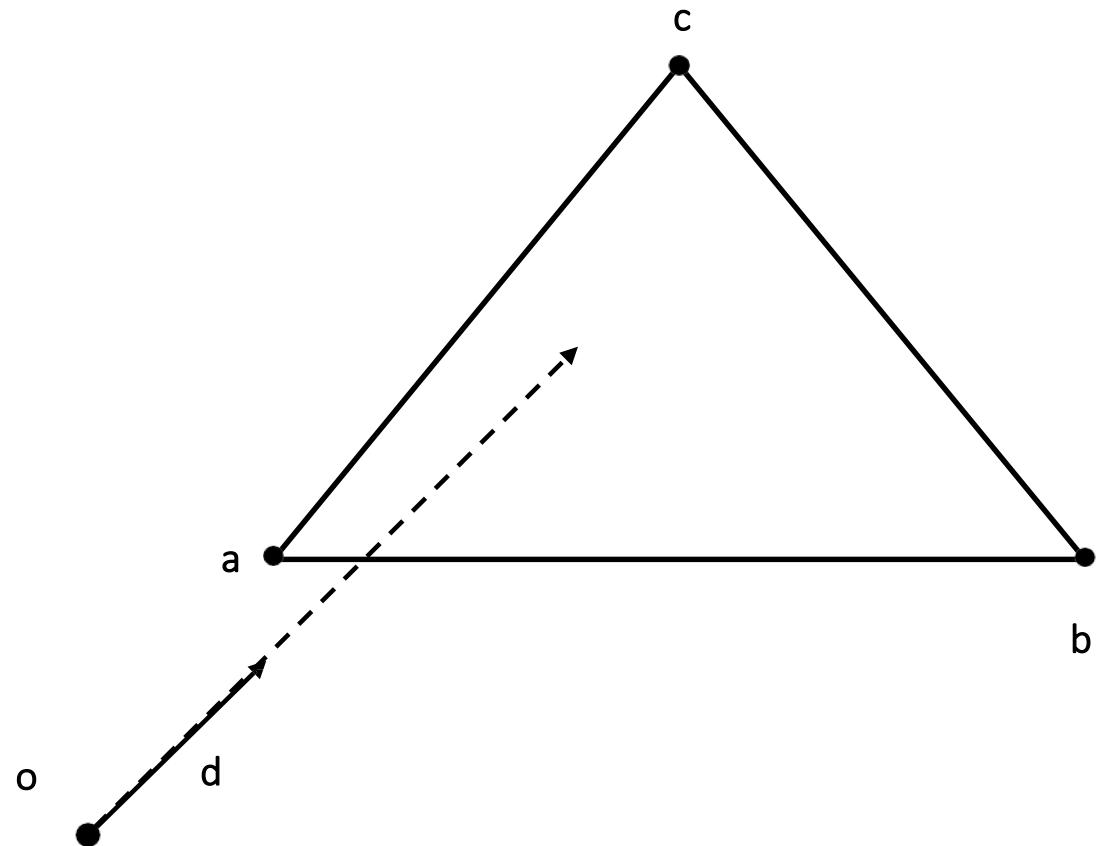
Test for positive BCs





## 3D linear function across triangle (3D edge functions)

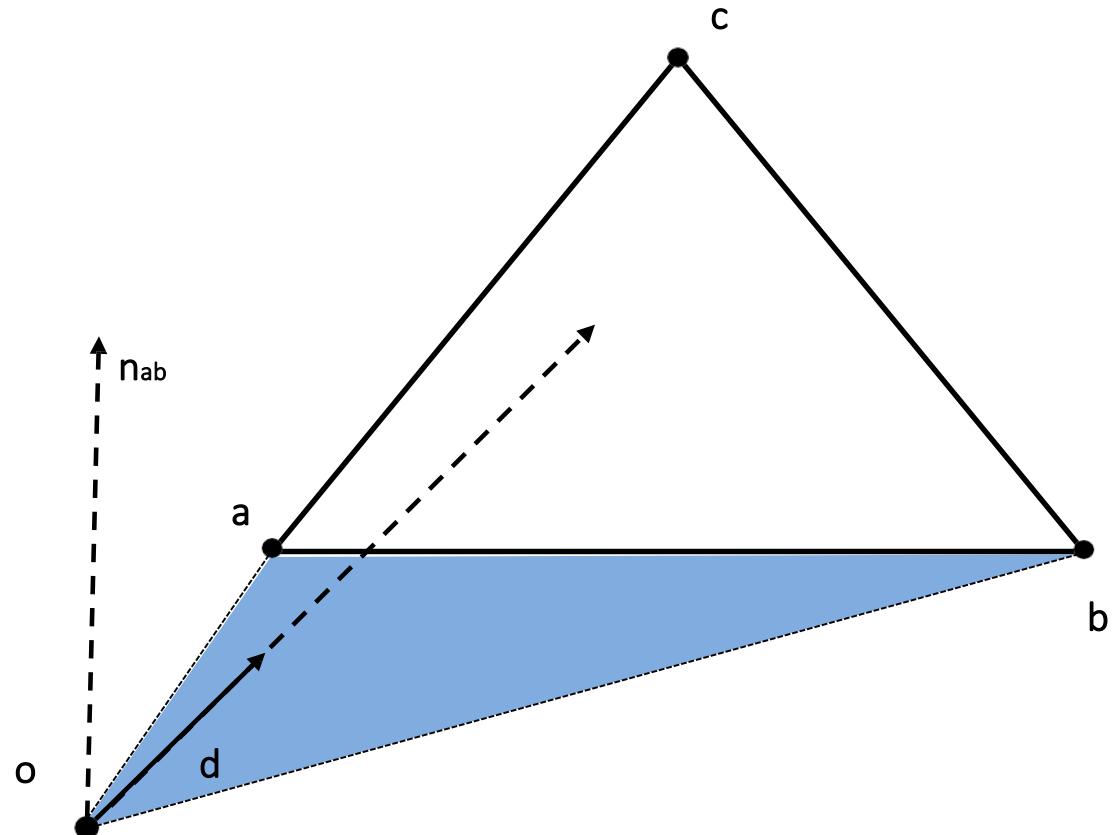
- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Triangle:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$





## 3D linear function across triangle (3D edge functions)

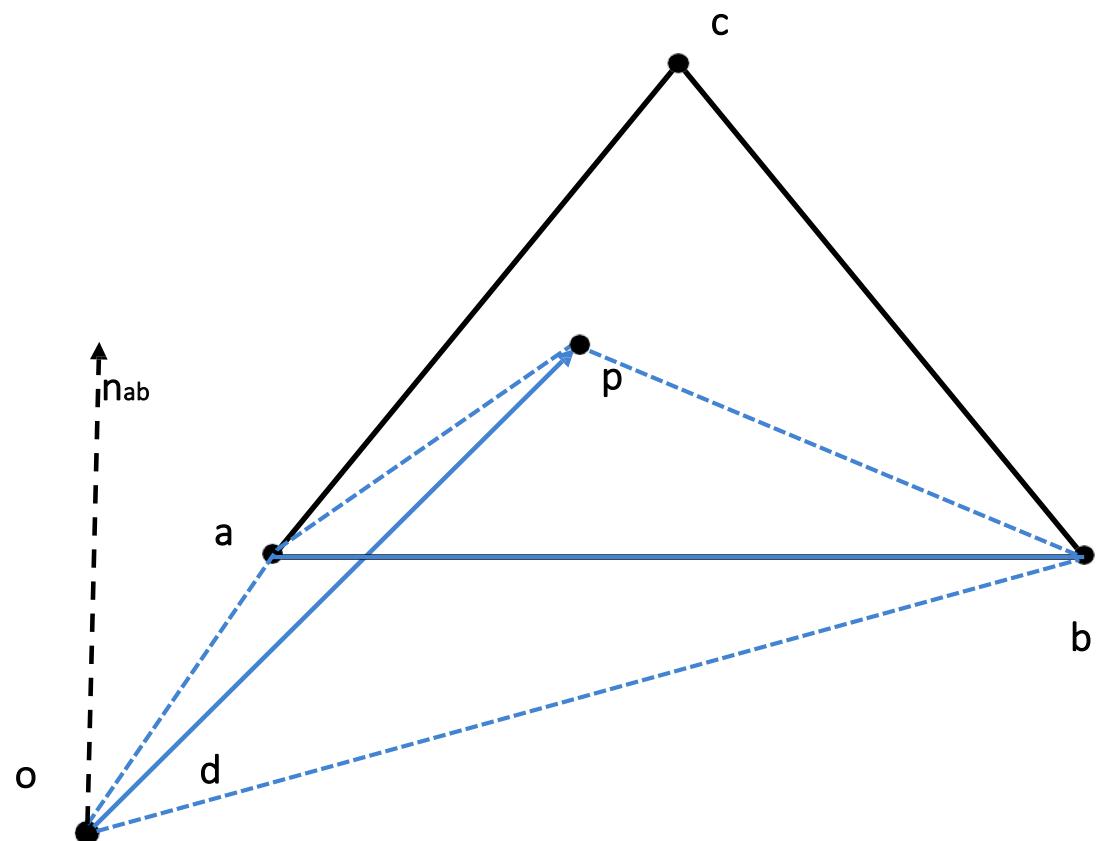
- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Triangle:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$
- $\overrightarrow{n_{ab}} = (\vec{b} - \vec{o}) \times (\vec{a} - \vec{o})$
- $|\overrightarrow{n_{ab}}|$  is the signed area of OAB (2 times)





## 3D linear function across triangle (3D edge functions)

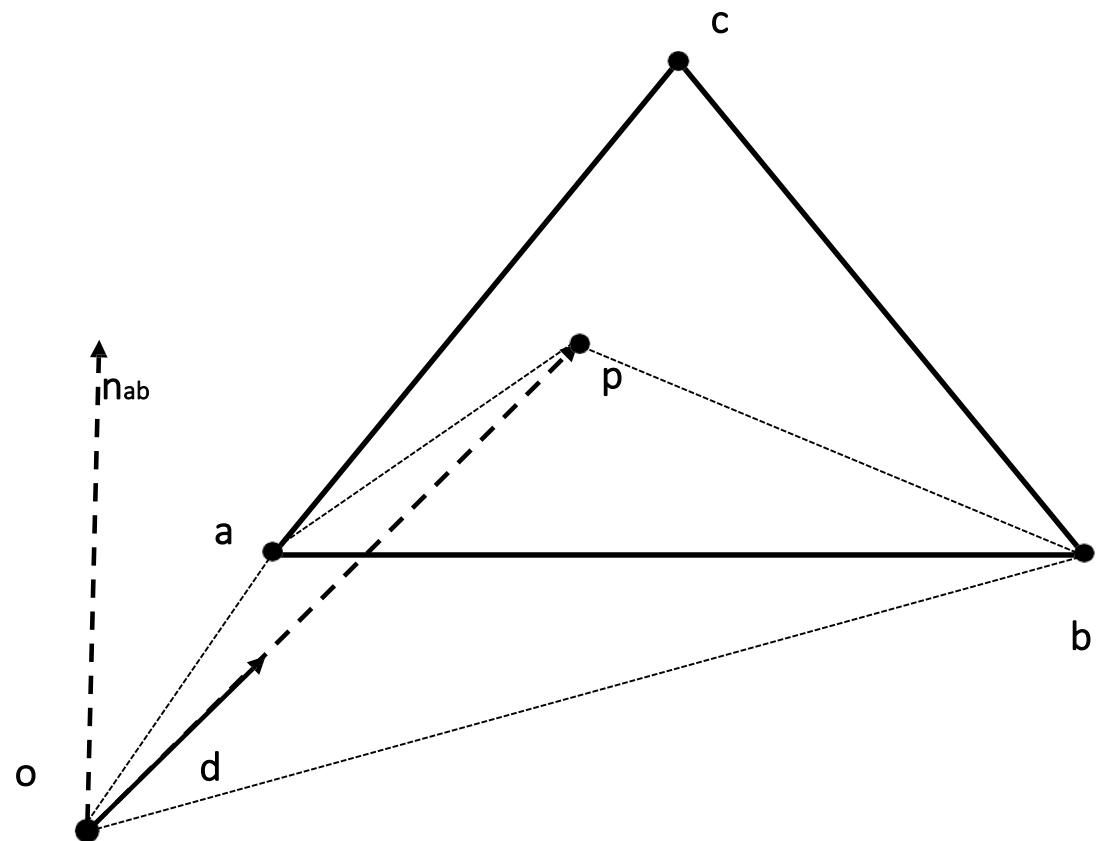
- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Triangle:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$
- $\overrightarrow{n_{ab}} = (\vec{b} - \vec{o}) \times (\vec{a} - \vec{o})$
- $|\overrightarrow{n_{ab}}|$  is the signed area of OAB (2 times)
- $\lambda_3^*(t) = \overrightarrow{n_{ab}} \cdot t\vec{d}$ 
  - Volume of OABP (6 times)
  - For  $t = t_{hit}$





## 3D linear function across triangle (3D edge functions)

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Triangle:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$
- $\overrightarrow{n_{ab}} = (\vec{b} - \vec{o}) \times (\vec{a} - \vec{o})$
- $|\overrightarrow{n_{ab}}|$  is the signed area of OAB (2 times)
- $\lambda_3^*(t) = \overrightarrow{n_{ab}} \cdot t\vec{d}$ 
  - Volume of OABP (6 times)
  - For  $t = t_{hit}$
- $\lambda_{1,2}^*(t) = \overrightarrow{n_{bc,ac}} \cdot t\vec{d}$
- Normalize
  - $\lambda_i = \frac{\lambda_i^*(t)}{\lambda_1^*(t) + \lambda_2^*(t) + \lambda_3^*(t)}$ ,  $i = 1, 2, 3$
  - Length of  $t\vec{d}$  cancels out



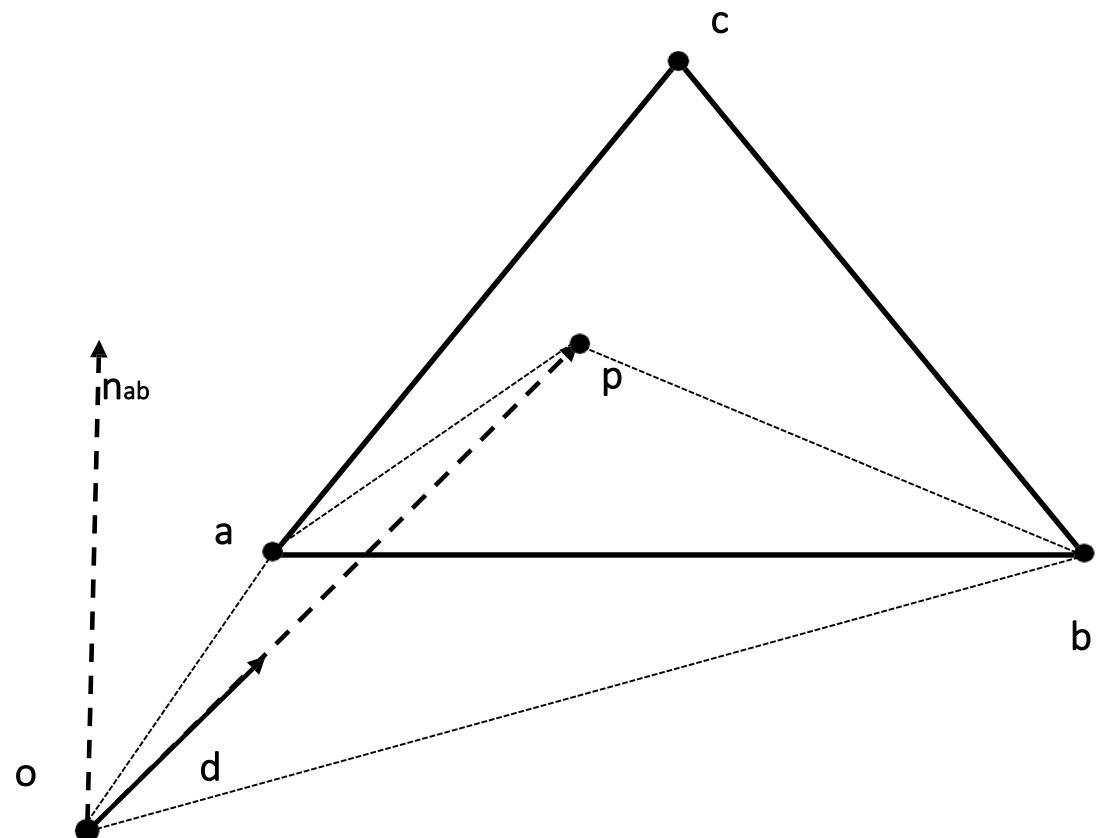


## 3D linear function across triangle (3D edge functions)

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Triangle:  $\vec{a}, \vec{b}, \vec{c} \in \mathbb{R}^3$
- $\overrightarrow{n_{ab}} = (\vec{b} - \vec{o}) \times (\vec{a} - \vec{o})$
- $|\overrightarrow{n_{ab}}|$  is the signed area of OAB (2 times)
- $\lambda_3^*(t) = \overrightarrow{n_{ab}} \cdot t\vec{d}$ 
  - Volume of OABP (6 times)
  - For  $t = t_{hit}$
- $\lambda_{1,2}^*(t) = \overrightarrow{n_{bc,ac}} \cdot t\vec{d}$
- Normalize
  - $\lambda_i = \frac{\lambda_i^*(t)}{\lambda_1^*(t) + \lambda_2^*(t) + \lambda_3^*(t)}, \quad i = 1, 2, 3$

### For positive BCs

- Compute  $\vec{p} = \lambda_1 \vec{a} + \lambda_2 \vec{b} + \lambda_3 \vec{c}$





## Implicit

- $f(x, y, z) = v$

## Ray equation

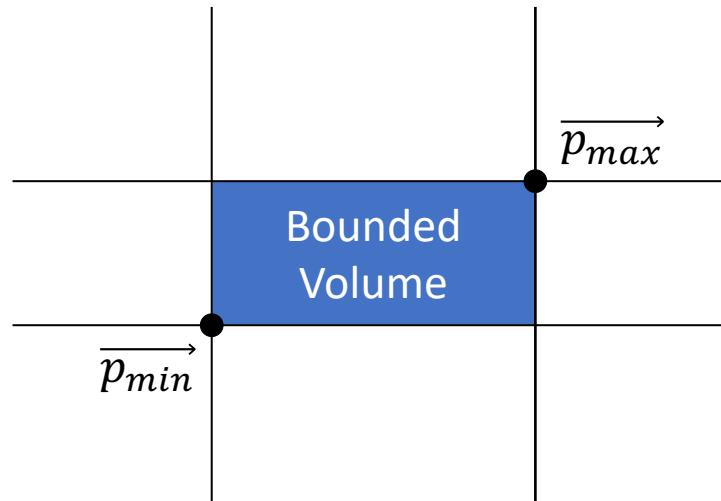
- $x = o_x + t d_x$
- $y = o_y + t d_y$
- $z = o_z + t d_z$
- Solve for  $t$

Non-degenerate real quadric surfaces			Degenerate quadric surfaces		
Ellipsoid	$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$		Cone	$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 0$	
Spheroid (special case of ellipsoid)	$\frac{x^2}{a^2} + \frac{y^2}{a^2} + \frac{z^2}{b^2} = 1$		Circular Cone (special case of cone)	$\frac{x^2}{a^2} + \frac{y^2}{a^2} - \frac{z^2}{b^2} = 0$	
Sphere (special case of spheroid)	$\frac{x^2}{a^2} + \frac{y^2}{a^2} + \frac{z^2}{a^2} = 1$		Elliptic cylinder	$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$	
Elliptic paraboloid	$\frac{x^2}{a^2} + \frac{y^2}{b^2} - z = 0$		Circular cylinder (special case of elliptic cylinder)	$\frac{x^2}{a^2} + \frac{y^2}{a^2} = 1$	
Circular paraboloid(special case of elliptic paraboloid)	$\frac{x^2}{a^2} + \frac{y^2}{a^2} - z = 0$		Hyperbolic cylinder	$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$	
Hyperbolic paraboloid	$\frac{x^2}{a^2} - \frac{y^2}{b^2} - z = 0$		Parabolic cylinder	$x^2 + 2ay = 0$	
Hyperboloid of one sheet	$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1$				
Hyperboloid of two sheets	$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = -1$				



## Given

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Axis aligned bounding box (AABB):  $\overrightarrow{p_{min}}, \overrightarrow{p_{max}} \in \mathbb{R}^3$



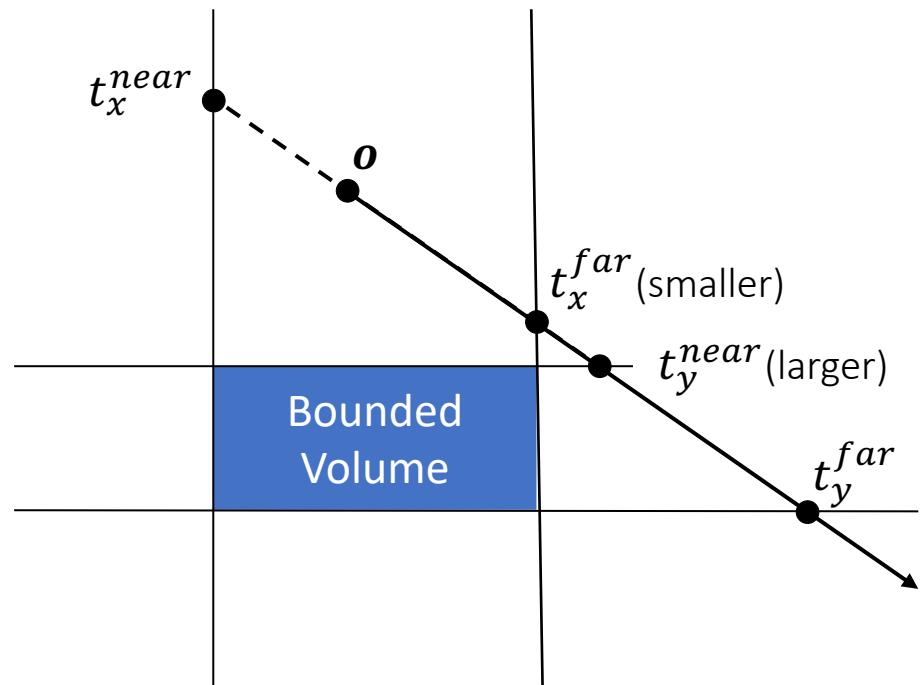
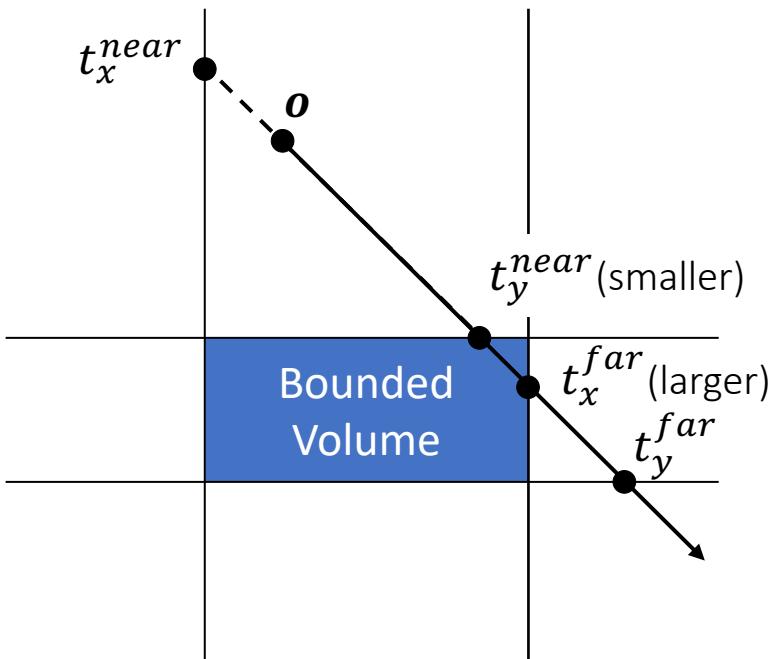


## Given

- Ray:  $\vec{r}(t) = \vec{o} + t\vec{d}$
- Axis aligned bounding box (AABB):  $\overrightarrow{p_{min}}, \overrightarrow{p_{max}} \in \mathbb{R}^3$

## “Slabs test” for ray-box intersection

- Ray enters the box in all dimensions before exiting in any
- $\max(\{t_i^{near} | i = x, y, z\}) < \min(\{t_i^{far} | i = x, y, z\})$





## Ray-geometry intersection algorithms

- Polygons: [Appel '68]
- Quadrics, CSG: [Goldstein & Nagel '71]
- Recursive Ray Tracing: [Whitted '79]
- Tori: [Roth '82]
- Bicubic patches: [Whitted '80, Kajiya '82]
- Algebraic surfaces: [Hanrahan '82]
- Swept surfaces: [Kajiya '83, van Wijk '84]
- Fractals: [Kajiya '83]
- Deformations: [Barr '86]
- NURBS: [Stürzlinger '98]
- Subdivision surfaces: [Kobbelt *et al* '98]