

# Lecture Overview

- Three-tier architectures
- Presentation tier
- Application tier

## ■ Presentation

- Primary interface to the user
- Needs to adapt to different display devices (PC, PDA, cell phone, voice access, ...)

## ■ Application (“business”) logic

- Implements business logic (implements complex actions, maintains state between different steps of a workflow)
- Accesses different data management systems

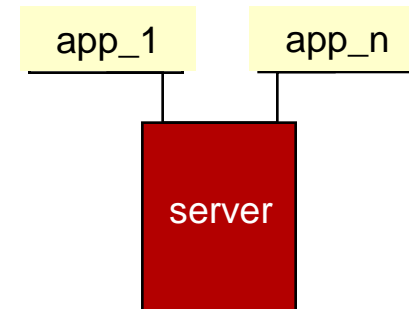
## ■ Data management

- One or more standard database management systems

- system architecture determines whether these three components reside on a single system (“tier”) or are distributed across several tiers

# Single-Tier Architectures

- All functionality combined into a single tier
  - usually on a mainframe
  - User access through dumb terminals
- Advantage
  - Easy maintenance and administration
- Disadvantages
  - users expect graphical user interfaces
  - Heavy load on central system



# Disadvantages of Thick Clients

- No central place to update the business logic
- Security issues: Server needs to trust clients
  - Access control and authentication needs to be managed at the server
  - Clients need to leave server database in consistent state
  - One possibility: Encapsulate all database access into stored procedures
- Does not scale to more than several 100s of clients
  - high data transfer volume between server and client
  - More than one server creates a problem:  
x clients, y servers  $\Rightarrow$   $x*y$  connections

# Example: Airline reservations

- Consider a system for making online airline reservations
- What is done in the different tiers?
  - Client Program
    - Log in different users
    - display forms and human-readable output
  - Application Server
    - Logic to make reservations, cancel reservations, add new airlines, etc.
  - Database System
    - Airline info, available seats, customer info, etc.

Client Program (Web Browser)

*HTML*  
*Javascript*  
*XSLT*

*Ajax*

Application Server

*JSP*  
*Servlets*  
*Cookies*  
*CGI*

Database Management System

*Tables, XML*  
*Stored Procedures*

# Advantages of the Three-Tier Architecture



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- Heterogeneous systems
  - Tiers can be independently maintained, modified, and replaced
- Scalability
  - Replication at middle tier permits scalability of business logic
- Thin clients
  - Only presentation layer at clients (web browsers)
- Integrated data access
  - Several database systems can be handled transparently at the middle tier
  - Central management of connections
- Software development
  - Code for business logic is centralized
  - well-defined APIs between tiers allow use of standard components

# Overview of Technologies: Client-side



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- Contents presented by browser (static)
  - Text, HTML/CSS, XML/DTD/XSL, images, movies, audio, ...
- Contents interpreted by the browser
  - Dynamic HTML; Browser scripting: JavaScript, VBScript, ...
- Programs executed in browser context
  - Java applets (byte code, virtual machine), ActiveX (native code)
- Dedicated programs in browser context
  - Plug-ins (flash, ...)
- External programs launched by browser
  - Helper applications
- *Security always an issue: keeping client machine safe from intruders*





- Static contents (eg, HTML) with executable code

- SSI (Server-Side Includes), XSSI
- Server-side Scripting (Livewire, ASP, PHP, JSP, ...)

- Generated contents

- Separate process per call: CGI
- Within server context: Fast-CGI, Servlets, ...

- Server extensions

- Google APIs, NSAPI, IISAPI, Apache modules, ...
- Database gateways/frontends

- Application servers

- *Security always an issue: keeping the server safe from intruders*

Common requirements:

- flexibility
- good string (HTML!) handling
- rich functionality
- DB connectivity

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# The Presentation Tier

- Recall: Functionality of the presentation tier
  - Primary interface to the user
  - Needs to adapt to different display devices (PC, PDA, cell phone, voice access?)
  - Simple functionality, such as field validity checking
- Mechanisms:
  - HTML Forms: How to pass data to the middle tier
  - Dynamic HTML / JavaScript: Simple functionality at the presentation tier
  - Style sheets: Separating data from formatting (see earlier)

# HTML Forms (recall)

- Common way to communicate data from client to middle tier
- General format of a form:
  - `<form action="page.jsp" method="GET" name="loginForm">`  
    `<input type=... value=... name=...>`  
    `</form>`
- Components of an HTML form tag:
  - action: URI that handles the content
  - method: HTTP GET or POST method
  - name: Name of the form; can be used in client-side scripts to refer to the form

- Goal: Add functionality to the presentation tier
- Sample applications:
  - Detect browser type and load browser-specific page
  - Browser control: Open new windows, close existing windows (example: pop-up ads)
  - Client-side interaction (conditional forms elements, validation, ...)
- embedded directly in HTML, or external reference
  - `<script language="JavaScript" src="validate.js"/>`

# JavaScript: Example

- HTML Form:

```
<form method="GET" name="LoginForm"
action="TableOfContents.jsp">
```

Login:

```
<input type="text" name="userid"/>
```

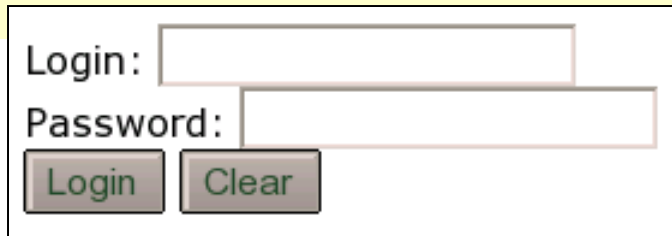
Password:

```
<input type="password" name="password"/>
```

```
<input type="submit" value="Login"
      name="submit" onClick="testEmpty()"/>
```

```
<input type="reset" value="Clear"/>
```

```
</form>
```



- Associated JavaScript:

```
<script language="javascript">
function testEmpty()
{ loginForm = document.LoginForm
  if ( (loginForm.userid.value == "") ||
        (loginForm.password.value == "") )
  { alert( 'Error: Empty userid or password.' );
    return false;
  }
  else
    return true;
}
</script>
```

# JavaScript: Browser Support

- Document Object Model (DOM)  
very different across browser types
  - Pertaining standard:  
see [www.w3c.org/DOM/](http://www.w3c.org/DOM/)
  - In particular, non-standard  
in MS Internet Explorer
  - However, MS IE predominant (?)
- Example: access to forms
  - `document.loginForm`
  - `document.all.loginForm`
  - ...
- Consequence:  
different code needed for different browsers
- Remedy: driver level  
with browser-specific differentiation
  - Bad: *browser sniffing*  
`if (navigator.appName == 'MS IE 6.0') ...`
  - Better: *capability sniffing*  
`if (document.all && document.all.loginForm)`  
`document.all.loginForm = ....`
  - Best: *build driver layer*  
hiding specifics through capability sniffing  
`function changeElem( id, newValue )`

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# The Middle (Application) Tier

- Recall: Functionality of the middle tier
  - Encodes business logic
  - Connects to database system(s)
  - Accepts form input from the presentation tier
  - Generates output for the presentation tier
- Mechanisms:
  - CGI: Protocol for passing arguments to programs running at the middle tier
  - Application servers: Runtime environment at the middle tier
  - Servlets: Java programs at the middle tier
  - PHP: Program parts in schematic documents (see earlier)
  - How to maintain state at the middle tier

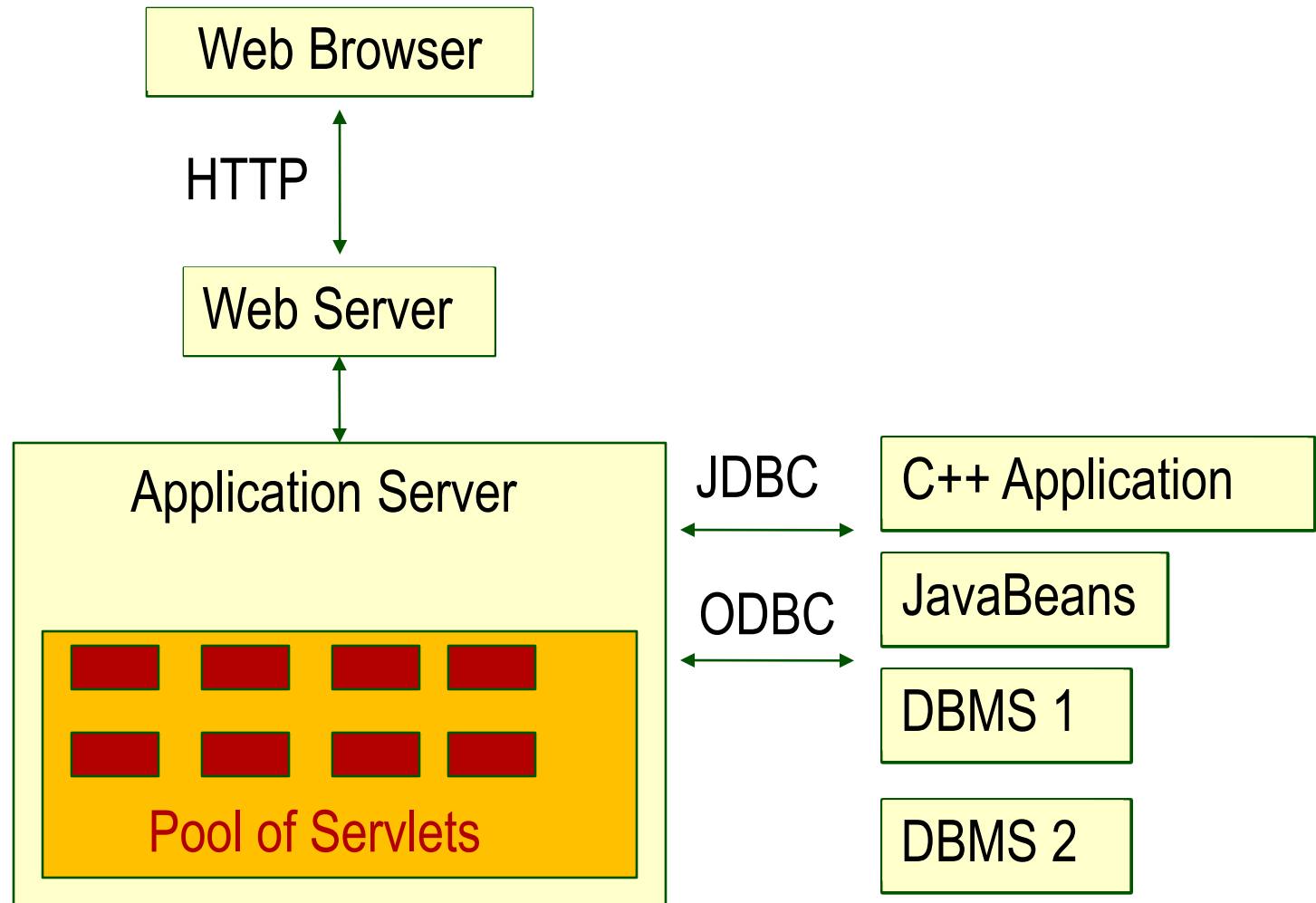
# CGI: Common Gateway Interface

- Goal: Transmit arguments from HTML forms to application programs running at the middle tier
- Details of the actual CGI protocol unimportant
  - libraries implement high-level interfaces
- Disadvantages:
  - application program invoked in new process at every invocation (remedy: FastCGI)
  - No resource sharing (database connections!) between application programs (remedy: application servers)

# Application Servers

- Idea: Avoid overhead of CGI
  - Main pool of threads or processes
  - Manage connections
  - Enable access to heterogeneous data sources
  - Other functionality such as APIs for session management

# Application Server: Process Structure



- Java Servlets: Java code that runs on the middle tier
  - Platform independent
  - Complete Java API, including JDBC
- Requires **servlet engine** (aka application server) such as Tomcat
  - Provides infrastructure to servlet: URL decoding, thread dispatching, std interfaces, ...
- Life of a servlet?
  - Webserver forwards request to servlet container
  - Container creates servlet instance
  - Container calls `service()` method

```

/**
 * return a full HTML page, as opposed to fragments
 */
private String composeFullPage() throws ConnectionFailedException, ConfigurationExcept
{
    Debug.WriteLine("ComposeFullPage");
}

```

# Ex: Java With HTML Inside

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```
String result =
    "<!doctype html public \"-//w3c/\">"
    + "<html>"
    + "<head>"
    + "    <meta http-equiv='expires' content='0' />"
    + "    <title>" + Globals.HTML_TITLE + "</title>"
    + "    <link rel='stylesheet' type='text/css' href='css/default.css' />"
    + "    <script type='text/javascript' src='js/default.js' />"
    + "    <script type='text/javascript' src='js/default.js' />"
    // start external: (open source, but not used)
    + "    <script type='text/javascript' src='js/default.js' />"
    + "    <script type='text/javascript' src='js/default.js' />"
    + "    <script type='text/javascript' src='js/default.js' />"
    // end external
    + "    <script type='text/javascript' src='js/default.js' />"
    + "</head>"
    + "<body class='commander'>"
    + "    <script type='text/javascript' src='js/default.js' />"
    + "    <table class='commander' width='100%'>"
    + "        <tr>"
    + "            <td>"
    + "                <form method='POST' action='index.php'>"
    + "                    <script type='text/javascript' src='js/default.js' />"
    + "                </script>"
    // close script
    // provide area for global status report
    result += "<p>"
    + "    <table class='globalMsg' border='1'>"
    + "        <tr>"
```

```
// initialize tree node id generator
resetNodeId(); // start new id namespace

// START tree area (for JS manipulation)
result += "<div id=" + Globals.JS_SERVICE_TREE_ROOT + " class=" + Globals.JS_SERVICE_TREE_ROOT + ">";
result += "<script type=javascript>";

// generate tree
result += Globals.NODE_VARNAME + " = new dTree(' + Globals.NODE_VARNAME + ')";
int auxNode = newNodeId(); // fake root node, as dtree does
result += mkInnerNode( auxNode, Globals.JS_SERVICE_TREE_ROOT_ID, "WMS services" );
result += "[ <a href='\"javascript:" + Globals.NODE_VARNAME + ".openAll()\"'; </a href='\"javascript:" + Globals.NODE_VARNAME + ".closeAll()\"'; " + Globals.NO_KEY );
int servicesNode = newNodeId(); // root node id for service

// template: nodeId, parentId, nodeName, statusBulb, actions, msg, tuple
result += mkInnerNode( servicesNode, auxNode, Globals.HTML_SERVICES_TREE_ROOT );
result += "[ <a href='\"javascript:addService(" + Globals.NODE_VARNAME + ", " + Globals.NO_KEY );

// recursively generate tree of services
result += composeServices( servicesNode );

// write out tree generated
result += "document.write(" + Globals.NODE_VARNAME + ");";

// END tree area (for JS manipulation)
result += "</script>";
result += "</div>";

// write tree and close document
result += "</form>";
result += "</td>";
result += "</tr>";
result += "</table>";
result += "</body>";
result += "</html>";

Debug.leaveVerbose( "composeFullPage()" );
return result;
```

Vice versa, ie: HTML with PHP inside?  
See earlier example & your project!

# Speed Comparison

- Where is the overhead with CGI?
  - Fork process
  - Load Perl interpreter
  - Initialize Perl runtime system
  - Load payload script
  - Interpret / precompile&execute script
- Sample benchmarks [LAMP book]
  - CGI vs. mod\_perl      36 : 6      = 6
  - /cgi-bin vs. /perl      200 : 8      = 25



# Maintaining Client State

- http is stateless – but there is information that needs to persist
  - Old customer orders
  - “Click trails” of a user’s movement through a site
  - Permanent choices a user makes
- Advantages
  - Easy to use: don’t need anything
  - Great for static-information applications
  - Requires no extra memory space
- Disadvantage: No record of previous requests means:
  - No shopping baskets, no user logins
  - No custom or dynamic content
  - Security is more difficult to implement



- Various types of server-side state, such as:
  - 1. Store information in a database
    - Data will be safe in the database
    - BUT: requires a database access to query or update the information
  - 2. Use application layer's local memory
    - Can map the user's IP address to some state
    - BUT: this information is volatile and takes up lots of server main memory

# Client-side State: Cookies

- Cookie = (Name, Value) pair
- Text stored on client, passed to the application with every HTTP request
  - Lifetime can be preset (eg, 1 hour)
  - Can be disabled by client
  - wrongfully perceived as "dangerous", therefore will scare away potential site visitors if asked to enable cookies
- Advantages
  - Easy to use in Java Servlets / PHP
  - simple way to persist non-essential data on client even when browser has closed
- Disadvantages
  - Limit of 4 kilobytes
  - Users can (and often will) disable them
- Usage: store interactive state
  - current user's login information
  - current shopping basket
  - Any non-permanent choices user has made

# Hidden State: Hidden Fields

- Declare hidden fields within a form:
  - `<input type='hidden' name='user' value='username'/>`
- Advantages
  - Users will not see information unless they view HTML source
- Disadvantages
  - If used prolifically, it's a performance killer
    - EVERY page must be contained within a form
  - Works only in presence of forms

# Hidden State: KVP Information

- Information stored in URL GET request:
  - `http://server.com/index.htm?user=jeffd`
  - `http://server.com/index.htm?user=jeffd&preference=pepsi`
- Parsing field in Java:
  - `javax.servlet.http.HttpUtils.parserQueryString()`
- Advantages
  - Independent from forms
- Disadvantages
  - Limited to URL size (some kB)

# Multiple state methods

- Typically all methods of state maintenance are used:
  - User logs in and this information is stored in a **cookie**
  - User issues a query which is stored in the **URL** information
  - User places an item in a shopping basket **cookie**
  - User purchases items and credit-card information is stored/retrieved from a **database**
  - User leaves a click-stream which is kept in a **log** on the web server (which can later be analyzed)

# Some Web Service Security Hints

- Never use anything blindly that comes from client side
  - don't assume that JavaScript code has been executed
  - double check cookies on server
  - don't trust hidden fields contents
- never assume anything!
  - set defaults (define in a central place!)
- Clear state after request response
- as with any API: clean, defensive programming
  - perform standard plausi checks:  
admissible number ranges, empty strings, max string lengths!
- *Be paranoid !!!*

# Summary: 3-Tier Architectures

- Web services commonly architected as having 3 components
  - Presentation / application / data management tier
- Application tier needs most implementation flexibility
  - Rich choice of platforms (Java servlets, PHP, ...), each with tool support
- To maintain state, use:
  - Hidden form fields, hidden paths, cookies, server store, ...
- *For every aspect & component, security is an issue!*