UNDERSTANDING WORK WITH DATA IN SUMMER STEM PROGRAMS
THROUGH AN EXPERIENCE SAMPLING METHOD APPROACH

By

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ABSTRACT

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Data-rich activities provide an opportunity for science and mathematics learners to develop empowering capabilities. Aspects of work with data are recognized have been core competencies in both science and mathematics curricular standards and have been the focus of research over the past three decades. While past research on work with data has focused on cognitive outcomes and the development of specific practices at the student and classroom levels, little research has considered learners' engagement and its conditions–including their perceptions of themselves and the activity–of work with data and engaging in data science. Contemporary engagement theory suggests the importance of considering youths' experiences and provides a framework for understanding and measuring them.

The present study explores learners engagement in work with data in the context of summer STEM programs. The data practices that are the focus of this study are selected on the basis of past research in science and mathematics education and data science education research. They are a) asking questions, b) observing phenomena, c) constructing measures and generating data, d) data modeling, and e) interpreting findings. Data from measures of learners' engagement is collected through the Experience Sampling Method (ESM) that involves asking learners at random intervals to answer short questions about their engagement and its conditions and are analyzed with a person-oriented approach to discover profiles of learners' engagement.

The following research questions guide the study: 1) What is the frequency and nature of opportunities for youth to engage in each of the five aspects of work with data in summer STEM programs? 2) What profiles of youth engagement and its conditions emerge from experiential data collected in these programs? 3) How does youth engagement relate

to data practices? 4) How do youth characteristics relate to their engagement in summer STEM programs?

These questions are explored in the context of nine summer STEM programs that took place over four week in large cities in the Northeastern United States. 203 learners reported 2,970 responses via short ESM surveys of how engaged they were (cognitively, behaviorally, and affectively, assessed through separate items) and of their perceptions of themselves (their competence) and of the activity (its challenge). Programs were video-recorded, and segments of video associated with ESM responses were qualitatively coded for each of the data practices. Relations of learners engagement to the data practices were analyzed using multi-level models. After being coded for work with data, activities were coded in an open-ended fashion to better understand the particular nature of work with data in this study's context.

Findings show that aspects of work with data were fairly common overall, though there were some misalignment revealed by the open-ended coding that suggests that some aspects were not carried out in a way that completely aligns with work with data as conceptualized and championed by past research. Six profiles of youth engagement that ranged from universally low to full, with intermediate profiles with d were identified with different configurations of cognitive, behavioral, and affective engagement, and youths' perceptions of competence and challenge. Relations of specific practices show that generating data and data modeling are associated with full engagement, but that these effects were small; overall, work with data was not strongly related to the profiles. Female engaged in work with data as measured by a composite were more likely to be fully engaged, but, like for the relations of the individual aspects of work with data, this relationship was small, and relations overall were weak. Findings have implications for supporting work with data in informal and formal learning environments and for how researchers can use a person-in-context approach to study engaging in data science in a way that is sensitive to moment-to-moment changes in learners' experience.

This dissertation is dedicated to Katie and to Jonah, who (mostly) happily slept through most of its writing.

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CHAPTER 1

INTRODUCTION

Changes in how we plan our day-to-day lives, communicate, and learn are increasingly impacted by data. These sources of data–quantitative and qualitative–are created by us, for us, and about us, although at present opportunities for learners to analyze data in educational settings remain limited. Work with data more broadly includes processes of collecting, creating, modeling data, and asking questions that may be answered with data and making sense of findings.

Work with data, then, is more than just crunching numbers or interpreting a figure created by someone else, but rather is about making sense of phenomena and problem solving, a point particularly relevant to educational contexts (Lee & Wilkerson, 2018; Wild & Pfannkuch, 1999). Aspects of work with data cut across STEM domains and are recognized as core competencies in both the Next Generation Science Standards and the Common Core State Standards in mathematics (National Governors Association Center for Best Practices, Council of Chief State School Officers, 2010; NGSS Lead States, 2013). Scholars have pointed out the benefits of working with data for learners as young as two years old (Gopnik, & Sobel, 2000).

In supporting teachers and learners' data analysis efforts, scholars have examined a variety of practices. In particular, past research has focused on mathematical activities like generating measures of phenomena and creating data models (English, 2012; Lehrer & Romberg, 1996; Lesh, Middleton, Caylor, & Gupta, 2008). Findings from this area of research suggest that engaging in these practices "has an exceptionally high payoff in terms of students' scientific reasoning" (Lehrer & Schauble, 2015, p. 696) and can highlight the utility of mathematics for students' lives (Lesh, Middleton, Caylor, & Gupta, 2008).

Because engaging in data-related practices seems to be so potentially beneficial to learners, we need to better understand the nature of learners' engagement in learning

activities that involve various aspects of work with data. To date, past research shows that using an engagement framework to characterize students' learning activities is highly informative. One's engagement in learning tasks is a key outcome in its own right and may be an antecedent of changes in other outcomes, such as their well-being, achievement and pursuit of an area of study or career (Sinatra, Heddy, & Lombardi, 2015; Wang, Chow, Hofkens, & Salmela-Aro, 2015; Wang & Eccles, 2012). However, research has not examined engagement in these particular types of activities in particular.

In this framework, engagement is considered to multi-dimensional and dynamic, or changing over time (Fredricks & McColskey, 2012). Scholars commonly consider engagement in terms of three dimensions: cognitive (i.e., use of meta-cognitive learning strategies), behavioral (hard work on a task), and affective dimensions (enjoyment; Fredricks, Blumenfeld, & Paris, 2004; Sinatra, et al., 2015; Skinner & Pitzer, 2012). In recognition of its dynamic nature, some engagement scholars have drawn upon flow theory (Csikszentmihalyi, 1990, 1997) to identify not only dimensions of engagement, but also other, subjective, characteristics that effect engagement. This past research, drawn upon in the present study, has considered two of learners' subjective considerations, their perceived competence and challenge, act as key conditions of engagement (Shernoff, Kelly, Tonks, Anderson, Cavanagh, Sinha, & Abdi, 2016). The present study will examine engagement using these multiple dimensions.

The purpose of this study, then, is to examine youth engagement in a variety of learning activities that involve work with data. Engagement in work with data is explored in the context of outside-of-school STEM enrichment programs carried out during the summer and work with data is considered in terms of specific aspects identified from past research, such as asking questions and generating and modeling data.

The framework for this study is informed by work on STEM practices, student engagement, and analytic approaches to modeling multidimensional constructs. In this review of literature, I define work with data as a key practice across STEM domains. I also describe and justify a multi-dimensional framework for understanding engagement, and then review an approach to analyzing data that is ideal for capturing this multidimensionality.

2.1 Defining Work with Data

Some scholars have focused on a few key pieces of data analysis connected through the use of "data to solve real problems and to answer authentic questions" (Hancock et al., 1992, p. 337). This approach has primarily been taken up by mathematics educators and is reflected in statistics curriculum documents (Franklin et al., 2007). In science settings, where answering questions about phenomena serve as the focus of activities, it shares features of the process of engaging in scientific and engineering practices, but has been less often studied.

While work with data has been conceived in different ways, some core components have emerged (see Lee and Wikerson's [in press] forthcoming summary report for the National Academy of Sciences and Wild and Pfannkuch [1999], Franklin et al. [2007], Lehrer and Schauble [2004] for specific examples). These core components, when synthesized, are better for understanding work with data across STEM content areas–as in the present study–than the components from specific examples, which were developed for use in only one domain. The aspects of work work with data that have been articulated in prior studies are distilled into five key aspects (Figure 1) for use in this study. They are:

- Asking questions: Generating questions that can be answered with empirical evidence
- Making observations: Watching phenomena and noticing what is happening with re-
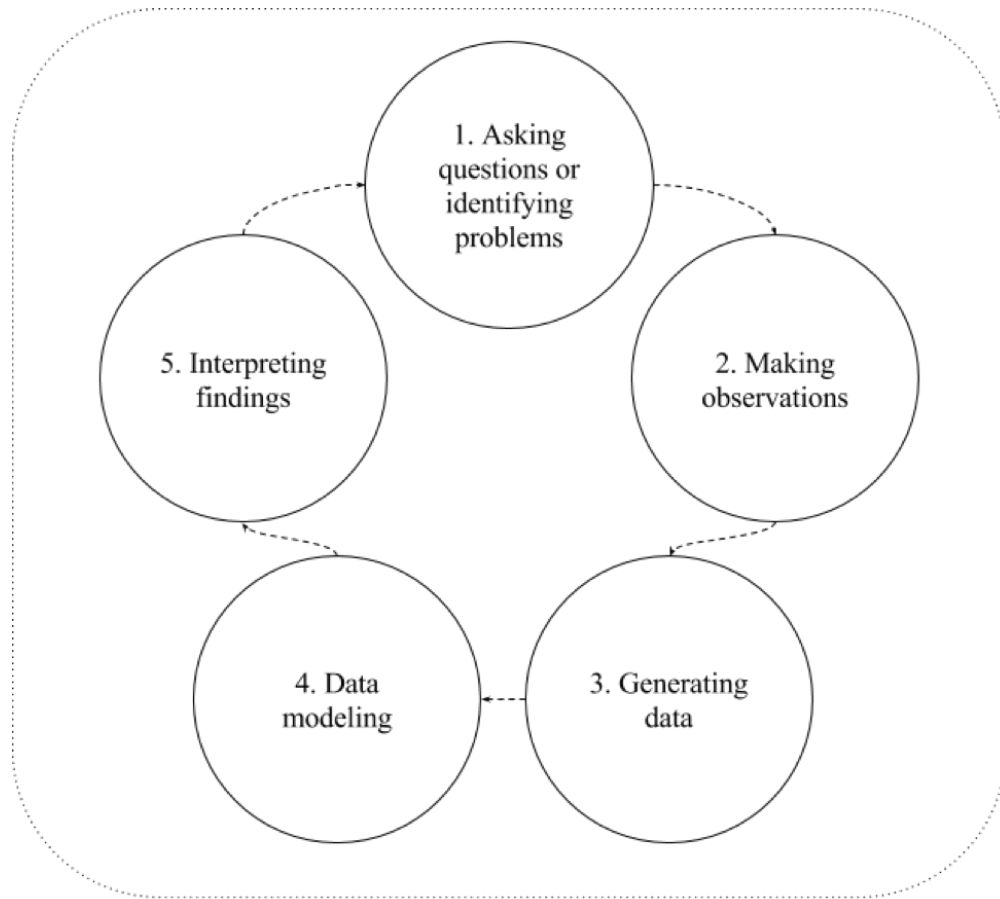
Figure 2.1: Work with data in STEM education settings

spect to the phenomena or problem being investigated

- Generating data: The process of figuring out how or why to inscribe an observation as data about a phenomena, as well as generating coding frames or tools for measuring

- Data modeling: Activities involving use of simple statistics, such as the mean and variance, as well as more complicated models, such as linear models and extensions of the linear model

- Interpreting and communicating findings: Activities related to identifying a driving question regarding the phenomena that the question is about

The five practices are a part of a cycle because not only does each part follow that before it, but also because the overall process is iterative: interpreting findings commonly

leads to new questions and subsequent engagement in work with data. Also, scholars have pointed out some key features of how work with data is carried out that impact their effectiveness as a pedagogical approach. These key features include an emphasis on making sense of real-world phenomena and iterative cycles of engaging in work with data and collaboration and dialogue, through which ideas and intermediate findings are critiqued and subject to critique, and revised over time (McNeill & Berland, 2017; Lee & Wilkerson, in press).

2.2   The role of work with data in STEM learning environments

Work with data can serve as an organizing set of practices for engaging in inquiry in STEM learning settings (Lehrer & Schauble, 2015). Data are both encountered and generated by learners, and so opportunities for learners to work with data provide many opportunities to leverage their curiosity because processes of inquiry can be grounded in phenomena that learners themselves can see and manipulate or phenomena that learners are interested in. Also important, becoming proficient in work with data can provide learners with an in-demand capability in society, owing to the number of occupations, from education to entrepreneurship, that demand or involve taking action based on data (Wilkerson & Fenwick, 2017). Furthermore, becoming proficient in work with data can be personally empowering because of the parts of our lives—from paying energy bills to interpreting news articles—that use data.

Recent educational reform efforts emphasize work with data (i.e., the scientific and engineering practices in the NGSS and the standards for mathematical practice in the Common Core State Standards). However, work with data is uncommon in many classroom settings (McNeill & Berland, 2017), and so learning environments suited to engaging in work with data, but not explicitly designed to support it, may be valuable to study because they may serve as incubators of these rare and challenging learning activities.

Outside-of-school programs are a potentially valuable setting to explore engagement in work with data because of the combined pedagogical and technical expertise of their staff

and the activities learners do during their participation in them. Staff for these programs includes educators and scientists, engineers, and others with the technical experience. Additionally, the programs were designed to involve learners in the types of real-world practices experienced by experts in STEM disciplines. Attendance in such programs is associated with many benefits to learners (Green, Lee, Constance, & Hynes, 2013; see Lauer, Akiba, Wilkerson, Apthorp, Snow, & Martin-Glenn, 2006, for a comprehensive review). These programs are also selected because little research has examined how data are part of the experiences of youth in out-of-school-time programs, despite its place as one of a few core practices in STEM. While these reasons to study work with data focus on outside-of-school programs, they are also germane and applicable to more formal learning environments, such as classrooms, in which teachers want to design opportunities for their learners to work with data.

2.3 What We Know (And Do Not Know) About How Youth Work with Data

Scholars have researched cognitive capabilities related to work with data. Much of this laboratory-based research has focused on how children develop the capability to inductively reason from observations (Gelman & Markman, 1987). Other research has focused on the development of causal, or mechanistic, reasoning, among young children (Gopnik et al., 2001; Gopnik & Sobel, 2000), often from a Piagetian, individual-development focused tradition (i.e., Piaget & Inhelder, 1969). A key outcome of engaging in work with data has to do with how learners account for variability (Lehrer, Kim, & Schauble, 2007; Petrosino, Lehrer, & Schauble, 2003; Lesh, Middleton, Caylor, & Gupta, 2008; Lee, Angotti, & Tarr, 2010), arguably the main goal of engaging in work with data (Konold & Pollatsek, 2002). From this research, we know that learners can develop the capacity to reason about variability (and covariability).

Past research has also shown that there are strategies that can support work with

data. These include the design of technological tools and the development of curricula. From this research, we know about specific strategies and learning progressions for learners to develop this capability, such as the role of measurement in exposing learners in a direct way to sources of variability (Petrosino et al., 2003), role of simulation to learn about sampling distributions (Stohl & Tarr, 2002), and use of relevant phenomena, such as manufacturing processes, such as the size of metallic bolts, which can help learners to focus on "tracking a process by looking at its output" (Konold & Pollatsek, 2002, p. 282).

Finally, past research has shown that different aspects of work with data pose unique opportunities and challenges. Asking empirical questions requires experience and ample time to ask a question that is both able to be answered with data and which is sustaining and worth investigating (Bielik, 2016; Hasson & Yarden, 2012). Making observations and generating data, such as of the height of the school's flagpole, requires negotiation not only of what to measure, but how and how many times to measure it (Lehrer, Kim, & Schauble, 2007). Regarding modeling, not only teaching students about models, such as that of the mean, but also asking them to create them, are valuable and practical (Lehrer & Schauble, 2004; Lehrer, Kim, & Jones, 2011), but also time-intensive. Interpreting findings, especially in light of variability through models, and communicating answers to questions, means not only identifying error but understanding its sources, and can be supported through exploring models that deliberately represent the data poorly, but can be instructive for probing the benefits and weaknesses of models (Lee & Hollebrands, 2008; Lehrer, Kim, & Schauble, 2007).

Despite this past research, how learners participate in different aspects of work with data in terms of engagement theory has not been examined. Consider the practice of modeling data, commonly described as a—-or *the*—-key part of many applied data analyses (Konold, Finzer, & Kreetong, 2017). When modeling data, learners may use data they generated and structured in a data set on their own, or may model already-processed, or use already-plotted, data (McNeill & Berland, 2017). How challenging do students perceive

the different enactments of these activities to be and how do learners perceive their competence regarding them? Importantly, how do learners engage—cognitively, behaviorally, and affectively—during these experiences? Knowing more about these processes could help us to develop informed recommendations for teachers and designers intending to bring about opportunities for learners to engage in work with data in a better-supported way that is sustained over time.

2.4 Engagement in General and in STEM Domains

The nature of engagement is discussed in terms of general features that have been identified across content area domains, conditions that support engagement, and differences between engagement in general and in STEM settings. This is followed by a discussion of two key features of engagement: its dynamic, or context-dependent, characteristics and its multidimensional nature. Finally, methods for capturing these two features empirically through the Experience Sampling Method, or ESM–and how this (multidimensional) data can be analyzed–is described.

Engagement is defined in this study as active involvement, or investment, in activities (Blumenfeld et al., 2004). Explaining how learners are involved in activities and tasks is especially important if we want to know about what aspects of work with data are most engaging (and in what ways), and therefore can serve as exemplary for others advancing work with data as well as those calling for greater support for engagement. Apart from being focused on involvement, engagement is often thought of as a meta-construct, that is, one that is made up of other constructs (Skinner & Pitzer, 2012; Skinner, Kindermann, & Furrer, 2009). By defining engagement as a meta-construct, scholars characterize it in terms of cognitive, behavioral, and affective dimensions that are distinct yet interrelated (Fredricks, 2016).

We know from past research that the cognitive, behavioral, and affective dimensions of engagement can be distinguished (Wang & Eccles, 2012; Wang & Holcombe, 2012)

and that while there are long-standing concerns about the conceptual breadth of engagement (Fredricks et al., 2016), careful justification and thoughtful use of multidimensional engagement constructs and measures is warranted. Engagement is also considered to be changing in response to individual, situation or moment contextual factors, Skinner and Pitzer's (2012) model of motivational dynamics, highlighting the community, school, classroom, and even learning activity, shows the context-dependent nature of engagement on the basis of the impacts of these factors on learners' engagement.

Engagement in STEM settings shares characteristics with engagement across disciplines, yet there are some distinct aspects of it (Greene, 2015). While one type of engagement—behavioral—is associated with achievement-related outcomes, many STEM practices call for engagement in service of other outcomes, especially around epistemic and agency-related dimensions (Sinatra et al., 2015,). For example, many scholars have defined scientific and engineering practices as cognitive practices, which involve applying *epistemic considerations* around sources of evidence and the nature of explanatory processes (see Berland et al. 2016, Stroupe, 2014; Miller, Manz, Russ, Stroupe, & Berland, in press).

The emphasis on developing new knowledge and capabilities through engaging in STEM practices must be reflected in how the cognitive dimension of engagement is measured. Because of the importance of constructing knowledge to engagement in STEM practices, then, cognitive engagement is defined for this study in terms of learning something new or getting better at something. While sometimes defined in terms of extra-curricular involvement or following directions, behavioral engagement is defined in this study as working hard at and concentrating on learning-related activities (Fredricks et al., 2004; Singh, Granville, & Dika, 2002). Finally, affective engagement is defined as affective responses to activities, such as being excited, angry, or relaxed (Pekrun & Linnenbrink-Garcia, 2012).

2.5   What conditions and youth characteristics impact engagement

Past research suggests learners or youths' characteristics, such as their interest in the domain of study, impact their cognitive, behavioral, and affective engagement (Shernoff et al., 2003; Shernoff et al., 2016; Shumow, Schmidt, & Zaleski, 2013). These characteristics are both at the level of individual differences (i.e., youths' more stable interest in STEM domains) and at the momentary and context-dependent level.

Focusing first on context-dependent conditions, Emergent Motivation Theory (EMT; Csikszentmihalyi, 1990), provides a useful lens. From EMT, a key momentary condition impacting engagement is how difficult individuals perceive an activity to be, or its *perceived challenge.* Another key condition is how good at an activity individuals perceive themselves to be, or their *perceived competence.*Important in terms of the EMT, being challenged by and good at an activity are especially engaging experienced when together. Past research has supported this contention (Csikszentmihalyi, 1990). As one empirical example, Shernoff et al. (2016) demonstrated that while challenge and skill with high levels of one but low levels on the other (i.e., high challenge and low skill) were not broadly associated with positive forms of engagement, their interaction was. These findings suggest that learners' perceptions of the challenge of the activity, and their perceptions of how skillful they are, are important for explaining why learners engage.

Other key conditions that support engagement concern teacher support for specific practices (Strati, Schmidt, & Maier, 2017). Particularly concerning work with data, which is demanding not only for learners but also teachers, sustained support from teachers is an essential component of learners being able to work with data (Lehrer & Schauble, 2015; Wilkerson, Andrews, Shaban, Laina, & Gravel, 2016). Consequently, this study considers work with data through the use of a coding frame for the support teachers provide for specific STEM practices, including aspects of work with data.

In terms of individual differences In recognition of differences among learners in their tendency to engage in different (higher or lower) ways in specific activities based in

part on individual differences (Hidi & Renninger, 2006), learners' interest in STEM before the start of the programs is also considered as a factor that can impact engagement. Finally, gender and the racial and ethnic group of students is added, as past research has indicated these as factors that influence engagement in STEM (Bystydzienski, Eisenhart, & Bruning; Shernoff & Schmidt, 2008).

2.6   Challenges of Studying Engagement as a Contextually-Dependent and Multidimensional Construct

Because of the way engagement has been thought of as having context-dependent characteristics and being multi-dimensional, it is challenging to use (when conceptualized in such a way) in empirical studies. One methodological approach that has benefits in terms of both the context-dependent and multidimensional nature of engagement is the ESM. A number of scholars have explored or extolled benefits to its use in their recent work (e.g., Strati et al., 2017; Turner & Meyer, 2000; Sinatra et al., 2015).

ESM involves asking—usually using a digital tool and occasionally a diary—to ask participants short questions about their experiences. ESM is particularly well-suited to understanding the dynamic nature of engagement because students answered brief surveys about their experience when they were signaled, minimally interrupting them from the activity they are engaged in and also seeking to collect measures about learners' experience when signaled (Hektner, et al., 2007). Specifically, this study employs the Experience Sampling Method (ESM; Hektner, Schmidt, & Csikszentmihalyi, 2007) where learners answer short questions about their experience when signaled. This approach is both sensitive to changes in engagement over time, as well as between learners and allows us to understand engagement and how factors impact it in more nuanced and complex ways (Turner & Meyer, 2000).Though time-consuming to carry out, ESM can be a powerful measure that leverages the benefits of both observational and self-report measures, allowing for some ecological validity and the use of closed-form questionnaires amenable to quantitative analysis

(Csikszentmihalyi & Larson, 1987). Despite the logistic challenge of carrying out ESM in large studies, some scholars have referred to it as the "gold standard" for understanding individual's subjective experience (Schwarz, Kahneman, & Xu, 2009).

Research has shown us how the use of ESM can lead to distinct research contributions and also suggests how ESM can be put to use for the purpose of the present study. For example, Shernoff, Csikszentmihalyi, Schneider, and Shernoff (2003) examined engagement through the use of measures aligned with flow theory, namely, using measures of concentration, interest, and enjoyment (Csikszentmihalyi, 1997). In a study using the same measures of engagement (Shernoff et al. (2016) used an observational measure of challenge and control (or environmental complexity) and found that it significantly predicted engagement, as well as self-esteem, intrinsic motivation, and academic intensity. Schneider et al. (2016) and Linnansaari et al. (2015) examined features of optimal learning moments or moments in which students report high levels of interest, skill, and challenge, as well as their antecedents and consequences. Similar to ESM in that through its use engagement can be studied in a more context-sensitive, still other scholars have used daily diary studies to examine engagement as a function of autonomy-supportive classroom practices (Patall, Vasquez, Steingut, Trimble, & Pituch, 2015; Patall, Steingut, Vasquez, Trimble, & Freeman, 2017). This past research that used ESM (or daily diary studies) to study engagement has shown that ESM can be used to understand fine-grained differences in learning activities, such as the aspects of work with data that are the focus of this study.

Other research shows us that there are newer approaches to analyzing ESM data that can contribute insights into the dynamics of engagement in a more fine-grained way. For example, Strati et al. (2017) explored the relations between engagement to measures of teacher support, finding associations between instrumental support and engagement and powerfully demonstrating the capacity of ESM to understand some of the dynamics of engagement. Similarly, Poysa et al. (2017) used a similar data analytic approach as Strati et al. (2017), that is, use of crossed effects models for variation within both students and time

points, both within and between days. These studies establish the value of the use of ESM to understand the context-dependent nature of engagement and that such an approach may be able to be used to understand engaging in work with data. Additionally, these recent studies (particularly the study by Strati and colleagues) show that how effects at different levels are treated, namely, how variability at these levels is accounted for through random effects as part of mixed effects models, is a key practical consideration for the analysis of ESM data.

One powerful and increasingly widely used way to examine dynamic constructs, such as engagement holistically is the use of *profiles*, or groups of variables that are measured. This profile approach is especially important given the multidimensional nature of engagement. Profiles are commonly used as part of what are described as person-oriented approaches, those used to consider the way in which psychological constructs are experienced together and at once in the experiences of learners. In the context of the present study, this approach can help to identify naturally occurring profiles of momentary engagement, or engagement as reported by youth via ESM during particular moments. Note that in the present study, ESM involves asking youth about to report on their experience at the time they were signaled (rather than, for example, before or after the program, which traditional surveys are well-suited for).

In this study, profiles seek to understand how students experience work with data (through the lens of engagement and its in-the-moment conditions) in a more holistic way. There are some recent studies taking a person-oriented approach to the study of engagement (i.e., Salmela-Aro, Moeller, Schneider, Spicer, & Lavonen, 2016a; Salmela-Aro, Muotka, Alho, Hakkarainen, & Lonka, 2016b; Van Rooij, Jansen, & van de Grift, 2017; Schmidt, Rosenberg, & Beymer, 2018), though none have done so to study youths' engagement in work with data.

The profile approach has an important implication for how we analyze data collected from ESM about youths' engagement, in particular when we consider how to under-

stand engagement as a meta-construct (Skinner, Kindermann, & Furrer, 2009) and how to account for its dynamic nature (Csikszentmihalyi, 1990). We know from past research that engagement can be explained in terms of different patterns among its individual components (Bergman & Magnusson, 1997), in the present case its cognitive, behavioral, and affective components. Because learners' engagement includes cognitive, behavioral, and affective aspects experienced together at the same time, it can be experienced as a combined effect that is categorically distinct from the effects of the individual dimensions of engagement. This combined effect can be considered as profiles of engagement. Past studies have considered profiles of cognitive, behavioral, and affective aspects of engagement.

To account for the dynamic nature of engagement, some past studies have used other measures to predict engagement, such as use of in-the-moment resources and demands (Salmela-Aro et al., 2016b) or, in the case of the study reviewed in the previous section, use of instructional activities and choice (Schmidt et al., 2018). Different from this past research, another potential way to account for the dynamics of engagement is to consider both engagement and its conditions at once. Since a profile approach emphasizes the dynamic nature of development and the impact of not only external but also intra-individual factors. As in the present study, youths' perceptions of challenge and competence, also collected via ESM, are used along with the measures of engagement to construct momentary profiles. Thus, the profiles of engagement can be considered to be profiles of engagement and its conditions, as they include youths' responses to five ESM items for their cognitive, behavioral, and affective engagement and their perceptions of how challenging the activity they were doing is and of how competent at the activity they are.

2.7   Need for the Present Study

While many scholars have argued that work with data can be understood in terms of the capabilities learners develop and the outcome learners achieve, there is a need to better understand learners' experiences working with data. The present study does this through

the use of contemporary engagement theory and innovative methodological and analytic approaches. Doing this can help us to understand work with data in terms of learner's experience, which we know from past research impacts what and how students learn (Sinatra et al., 2015). Knowing more about students' engagement can help us to design activities and interventions focused around work with data that are more engaging and which provide more support to learners in terms of their perceptions of challenge and their own competence. In addition to this general need to study engagement in work with data through the lens of engagement, no research that I am aware of has examined work with data or data analysis more generally in the context of outside-of-school programs. These settings are potentially rich with opportunities for highly engaged learners to analyze authentic data sources. Finally, little research has examined how data is part of the experiences of youth in out-of-school-time programs, despite its place as one of a few core practices in STEM.

2.8   Conceptual Framework and Research Questions

To sum up, The present study is about how learning activities involving various aspects of work with data can be understood in terms of engagement. Its context is out-of-school-time STEM enrichment programs designed to meet guidelines for best practices. The conceptual framework in the present study is presented in Figure 2 and is laid out in the remainder of this section.

There are five aspects of work with data synthesized from past research (i.e., Hancock et al., 1992; Lehrer & Romberg, 1996; Wild & Pfannkuch, 1999):

1. Asking questions or identifying problems

2. Making observations

3. Generating data

4. Data modeling

5. Interpreting and communicating findings

In Figure 2, engagement in work with data is associated with different profiles
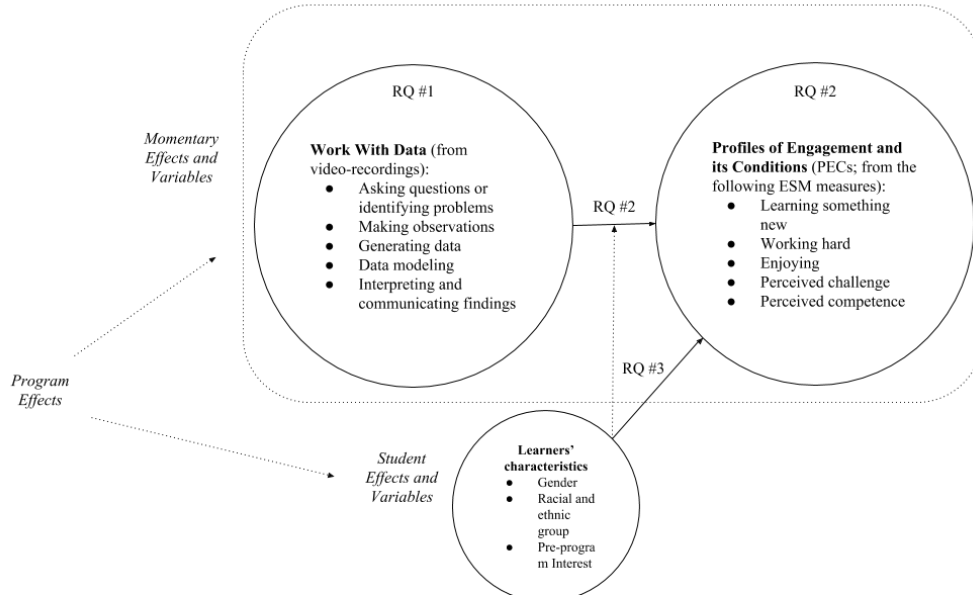
Figure 2.2: A conceptual framework for this study with research questions labeled

of engagement and its conditions, referred to as either profiles in the remainder of this manuscript. The theoretical framework for the profile approach suggests that engagement is a multi-dimensional construct consisting of cognitive, behavioral, and affective dimensions of engagement and perceptions of challenge and competence. In addition, a pre-program measure of learners' individual interest in STEM is hypothesized to be associated with the profiles and the relations of work with data and the profiles. The ESM responses that make up the profiles are associated with students, moments, and program effects that must be accounted for (Strati et al., 2017).

As depicted in the Figure 2, the four research questions are formalized as follows:

1. What is the frequency and nature of opportunities for youth to engage in each of the five aspects of work with data in summer STEM programs?
2. What profiles of youth engagement and its conditions emerge from experiential data collected in the programs?
3. How do data practices relate to youth engagement in the programs?
4. How do youth characteristics relate to their engagement?

CHAPTER 3

METHOD

3.1 Context

The setting for this study is nine out-of-school STEM programs designed around best practices in urban areas in the Northeast United States during the summer of 2015. These are described in the appendix with pseudonyms for the program names. Two intermediary organizations contracted by the urban area school districts to administer the summer programs. The two intermediaries were responsible for soliciting and enrolling youth; establishing guidelines for the design of the programs, and the goals of the programs; and provide training and professional development for the program's staff. A key difference between the intermediary organizations was that one separated academic and enrichment-related activities, whereas, in another, which was more closely involved in the day-to-day activities of the program, the academic and enrichment components were more integrated, which may have program-specific effects on learners' engagement. Many of the programs aim to involve learners in work with data. These learning environments bring together youth activity leaders, educators, and those with technical expertise in STEM domains. Youth spent around three hours per day for four days per week for the approximately four-week programs, which were taught by youth activity leaders and scientists, engineers, and other community members with technical expertise.

3.2 Participants

Participants consist of 203 youth. Youth in these programs are from diverse racial and ethnic backgrounds (see Table 1). Most participants are around 13 years old (from youth whose age was available: $M = 12.71$, $SD = 1.70$, $min. = 10.75$, $max. = 16.36$). Detailed demographic characteristics of learners are presented in the table.

Table 3.1: Demographic characteristics of youth

Youth	Percentage
Sex	
Male	50
Female	50
Race/Ethnicity	
Hispanic	48
White	6
Black	36
Multi-racial	3
Asian/Pacific Islander	7
Parent Education	
High School or Below	79
Graduated from College (B.A. or B.S.)	21

3.3 Procedure

Youth completed a pre-survey before the program including questions about their experience in STEM, intention to pursue a STEM major or career, and questions for other motivation and engagement-related measures. At the beginning of the programs, youth were introduced to the study and the phones used for data collection related to the ESM. As indicated in the earlier section, ESM is a method of data collection that involves asking (signalling) youth to respond to short questions on phones that they were provided. Youth are signaled at random times (within intervals, so that the signals were not too near or far apart) in order to obtain a sample of youths' experiences throughout the program. ESM data were collected two days each week, for three weeks (weeks 2-4 of the program). In all of the programs, about equal video-recording time was dedicated to classroom and field experiences. This detail is important because programs associated with one of the intermediaries rotated between classroom and field experience days, while the other used the first half of each day for one (i.e., classroom activities) or the other (i.e., field experience days).

Each day, youth were signaled four times. These signals were at the same time for

all of the youth within their program, but at different times between programs and between days within programs (with the constraint that no two signals could occur less than ten minutes apart). All of the programs were video-recorded by research team members. So that measures corresponding to the video and ESM data can be matched, videos include a signal from the video-recorder identifying the ESM signal to which youth responded at that point in the video.

3.4   Data Sources and Measures

Data sources consist of self-reported ESM measures of engagement and learners' perceptions of themselves and the activity, pre-survey measures of youths' interest, youths' demographic information, and video-recordings of programs.

3.4.1   ESM measures of learners' engagement and its conditions for the profiles

Measures for engagement and its conditions were constructed from three ESM responses for engagement and two ESM responses for the conditions of engagement. The three variables for engagement are for learning (for the cognitive engagement construct), working hard (for behavioral engagement), and enjoying (for affective engagement). The variables for the conditions are for perceived challenge and perceived competence. All five items are used to construct profiles. Each of the ESM items consisted of the item text and the following four item response options, of which youth were directed to select one: Not at all (associated with the number 1 on the survey), A little (2), Somewhat (3), and Very Much (4), as presented in Table 3.

3.4.2   Survey measures of pre-interest

Measures of youths' pre-interest are used as youth-level influencers of the profiles. In particular, three items adapted from Vandell, Hall, O'Cadiz, and Karsh (2012) were used, with directions for youth to rate their agreement with the items' text using the same scale as the

Table 3.2: ESM measures for profiles

Construct	Item
Cognitive engagement	As you were signaled, were you learning anything or getting better at something?
Behavioral engagement	As you were signaled, how hard were you working?
Affective engagement	As you were signaled, did you enjoy what you are doing?
Perceived challenge	As you were signaled, how challenging was the main activity?
Perceived competence	As you were signaled, were you good at the main activity?

Table 3.3: Measure for pre-program interest in STEM

Construct	Items.text
Individual interest in STEM	I am interested in science / mathematics / engineering.
	At school, science / mathematics / engineering is fun
	I have always been fascinated by science / mathematics / engineering)

ESM items: Not at all (associated with the number 1 on the survey), A little (2), Somewhat (3), and Very Much (4). The measure was constructed by taking the maximum value for the scales for the different content areas (science, mathematics, and engineering), so that the value for a youth whose response for the science scale was 2.5 and for the mathematics scale was 2.75 would be 2.5. The items are presented in Table 2.

3.4.3 Codes from video-recordings for work with data

Different aspects of work with data are identified from video-recordings. Specifically, codes for work with data were generated on the basis of the activity that the youth activity leaders were facilitating. The activity youth activity leaders were facilitating were from the STEM-Program Quality Assessment (STEM-PQA; Forum for Youth Investment, 2012), an assessment of quality programming in after school programs. I then identified the specific activities that corresponded to the five aspects of work with data, as defined in table 4. Details on how the measure aligns with the original STEM-PQA on which this measure is based are presented in the appendix. Note that these codes were unique to each signal to which youth responded (but were not unique to each youth, as youth in the same program

Table 3.4: Coding Frame for Work With Data

Code	Description
Asking questions or defining problems	Discussing and exploring topics to investigate and pose questions.
Making observations	Watching and noticing what is happening with respect to the phenomena or problem being investigated.
Generating data	Figuring out how or why to inscribe an observation as data and generating coding frames or measurement tools.
Data modeling	Understanding and explaining phenomena using models of the data that account for variability or uncertainty.
Interpreting and communicating findings	Discussing and sharing and presenting findings.

were signaled at the same time). I will discuss limitations to use of the STEM-PQA for work with data in the discussion.

For the overall (not STEM-specific) PQA, raters contracted by American Institute of Research (AIR) were trained in the use of the PQA measure during February, 2017. Raters completed a four-hour online training module on the overall PQA tool and then attended an in-person two-day training led by a trainer from the David P. Weikart Center for Youth Program Quality, the tool's publisher, where they learned about the instrument, trained on its use, and then established inter-rater reliability with a master coder.

For the STEM-PQA, three of the same raters contracted by AIR to code the (overall) PQA measure used the STEM-PQA to score one video segment, for which there were no disagreements on scoring for any of the items. The programs were divided up among all of the raters, so raters coded some of the videos for all of the programs. When the raters encountered a situation that was difficult to score, they would all discuss the issue by telephone or more often by email after viewing the video in question and reach a consensus on how to score the specific item.

3.4.4 Demographic variables used

In addition to the measures described in this section, demographic information for youths' gender and their racial and ethnic group are used to construct demographic variables for gender and membership in an under-represented (in STEM) group; membership in an under-represented group are identified on the basis of youths' racial and ethnic group being Hispanic, African American, Asian or Pacific Islanders, or native American.

3.5 Data Analysis

The steps for both preliminary and the primary analyses are described in this section.

3.5.1 Preliminary analyses

First-order Pearson correlations and the frequency, range, mean, and standard deviations are first examined for all variables. In addition, the frequency of the codes for aspects of work with data, and the numbers of responses by youth, program, and moment are examined.

3.5.2 Analysis for Research Question #1 (on the frequency and nature of work with data)

There are two primary steps taken to answer this question, one more quantitative in nature and one more qualitative.

Specifically, first, the frequency of the codes for the individual aspects of work with data from the STEM PQA measure of work with data (described above in the measures) are calculated. Note that this coding frame was adapted from the existing STEM PQA measure. Also note that this coding frame was for instructional support for work with data. For these two reasons, while the codes for work with data align with the conceptual framework for work with data (see Figure 1 and its description), they focus on general aspects of STEM learning; part of this general focus is work with data.

Then, to present a more in-depth description of work with data in the context of summer STEM programs, all of the segments were coded using an open-ended, qualitative approach. To qualitatively code the data, three research assistants were trained for approximately eight hours over four meetings. Then, each research assistant coded all of the segments associated with one of the videos. The guiding questions for the qualitative coding were as follows:

- What are youth doing?

- When asking questions or defining problems is coded, what, if any are the questions or problems? Who is asking the question (i.e teacher or student)

- When making observations is coded, what are youth doing?

- When generating data is coded, how, if they are, are youth collecting or recording data?

- When analyzing or modeling data is coded, what analysis are they doing, or what models are they using? Are they talking about variability or uncertainty? If so, how?

- When interpreting and communicating findings is coded, what are youth interpreting or how are they communicating?

- Is there a phenomenon being studied or a problem being investigated? If so, what is the phenomenon being studied or problem being investigated?

- Is the activity related to something youth have previously done or learned about? If so, how?

- Is the activity related to something youth will do or will learn about? If so, how?

- Is the activity collaborative? If so, what is happening?

- Is anything else of interest or that is noteworthy going on?

Two coders coded every segment. This coding took around 75 hours of coding by the research assistants. After coding all of the segments for each program, the coders and I met to discuss potential issues that emerged throughout the coding, and to clarify how they applied the coding frame (so the coders and I met nine times during the process to discuss the coding). I then read through all of the codes for all of the segments, and made notes associated with each of the five aspects of work with data (i.e., asking questions, making observations, and the other aspects). These notes focused on whether and in what ways there appeared to be alignment between the codes for work with data (from the PQA) and the conceptual framework for work with data. I used these notes to write detailed descriptions of each of the aspects of work with data.

3.5.3 Analysis for Research Question #2 (what profiles of engagement emerge)

To answer this question, Latent Profile Analysis (LPA; Harring & Hodis, 2016; Muthen, 2004) is used in this study. LPA allows for capturing the multidimensional nature of engagement through profiles. These profiles make it possible to analyze the multivariate data collected on engagement in a way that balances the parsimony of a single model for all learners with a recognition of individual differences in how learners' experience each of the dimensions of engagement together at the same time. A key benefit of the use of LPA, in addition to likelihood estimation-based fit indices, is probabilities of an observation being a member of a cluster (unlike in cluster analysis).

As part of LPA, model selection is a key topic. In particular, different models that determine whether and how different model types can be estimated. For the present study, a wide range of models were considered using wide range of model types and the numbers of profiles. Fit statistics models that were considered are presented in the appendix. To select one model to be interpreted and used in subsequent analyses, a number of fit statistics and other considerations are taken into account. These include a range of information criteria (AIC, BIC, sample adjusted BIC [SABIC], consistent AIC [CAIC]), statistics about the quality of the profile assignments (entropy, which represents the mean posterior probability), statistical tests (Vu-Lo-Mendell-Rubin LRT [VLMR], Lo-Mendell-Rubin LRT [LMR], and the bootstrapped LRT [BLRT]), and concerns of interpretability and parsimony are used.

The MPlus software is used to carry out LPA as part of this study. In order to more flexibly carry out LPA, an open-source tool, tidyLPA (Rosenberg, Schmidt, & Beymer, 2018), was developed. This tool provides interfaces to both the MPlus software and to the open-source mclust software. In addition to being used as part of this study, this package is provided free of use to other analysts as the first tool dedicated to carrying out LPA as part of the R software. More details on the statistical software developed and included in the Appendix.

3.5.4 Analysis for Research Question #3 (how work with data relates to engagement)

Broadly, this question is focused on how work with data as coded from video-recordings of the programs, relates to the profiles. For the primary results for this question, mixed effects models that account for the cross-classification of the moment and youth are used and for the "nesting" of both within each of the nine programs are used. The probability of a response belonging to the profile is the dependent variable and the aspects of work with data are the independent variable; There are six models, for each of the six profiles.

To answer this question, on how well the aspects of work with data predict the profiles, first, indicators for activities coded for any of the five aspects of work with data are used to predict each profile. The *lme4* R package (Bates, Martin, Bolker, & Walker, 2015) is used. All of the models for this and research question #4 use random effects for learner, momentary, and program effects. Learner and moment can be considered to be crossed with both nested within the program. Because the outcome from LPA is not a hard classification (i.e., an observation is in a profile—or not) but a probability, the outcome is treated as a continuous variable. First, null models with only the random parts (i.e., random learner, momentary, and program effects) are specified. Then, the predictors are added to the model with the main effects of the variables added. Because the results (in terms of what is statistically significant for the aspects of work with data and youth characteristics) are identical when the aspects of work with data and the youth characteristics are considered in separate and in the same model, the results from the two sets of variables being in the same model are used for both to provide answers to both this and the next research question. Note that a composite for work with data (made as the sum of the individual aspects of work with data) was considered, but as it did only yielded one (small) statistically significant result, the results for this analysis are not presented in the results.

3.5.5 Analysis for Research Question #4 (how youth characteristics relate to engagement)

Research question #4 is focused on how the relationships of work with data differ on the basis of youth characteristics–their pre-program interest, gender and URM status. Like for the previous research question, models that account for the cross-classification of the moment and the youth are used. The dependent variable is again the probability of a response being in the profile, and the predictor variables are the youth characteristics. In addition, a model with both the aspects of work with data and youth characteristics included is specified. In addition, interactions between statistically significant aspects of work with data and all of the youth characteristics are examined, though because none of these interactions were found to be statistically significant, they are not included with the results.

3.6 Sensitivity Analysis

For observational studies, such as the present study, it can be important to determine how robust an inference is to alternative explanations. One approach to addressing this is sensitivity analysis, which involves quantifying the amount of bias that would be needed to invalidate an inference (hypothetically, this bias might be due to omitted or confounding variables, measurement, missing data, etc.). Using the approach described in Frank, Maroulis, Duong, and Kelcey (2013), I carried out sensitivity analysis for inferences made relative to key findings. I used the R package konfound (Rosenberg, Xu, & Frank, 2018). The result, and what is used to interpret and contextualize findings, is a numeric value for each effect that indicates the proportion of the estimate that would have to be biased in order to invalidate the inference: higher values indicate more robust estimates in that the inferences would still hold even if there were substantial bias in the estimate.

In this section, I present the results associated with the preliminary analysis and the four research questions.

4.1 Results from the preliminary analysis

4.1.1 Descriptive statistics for study variables

First, descriptive statistics for all of the study variables–overall pre-interest, the five variables that are used to estimate the profiles, are presented. Overall pre-interest and the variables used to estimate the profiles are presented first.

4.1.2 Correlations among study variables

Next, correlations between the variables that are used to create the profiles are presented. These correlations, ranging from .08 to .60 (all were significant), reflect moderate relations among the variables used to construct the profiles.

Table 4.1: Descriptive statistics for study variables

	n	mean	sd
Pre-interest	181	3.044	0.901
Cog. eng.	2969	2.768	1.063
Beh. eng.	2959	2.863	1.044
Aff. eng.	2970	2.831	1.051
Challenge	2970	2.270	1.117
Competence	2970	3.000	0.952

Table 4.2: Correlations among study variables

	Cog. eng.	Beh. eng.	Aff. eng.	Challenge	Competence
Cog. eng.					
Beh. eng.	.60				
Aff. eng.	.59	.57			
Challenge	.30	.27	.27		
Competence	.40	.41	.47	.08	

Table 4.3: Proportion of signals for which each of the aspects of work with data was present

Aspect of Work With Data	Proportion	N
Asking Questions	0.389	92
Making Observations	0.258	61
Generating Data	0.453	107
Data Modeling	0.288	68
Communicating Findings	0.470	111

4.2 Results for Research Question #1

4.2.1 Frequency of work with data

Out of the 248 segments, 12 were codeable for work with data; for these, issues with the video-recordings were the primary source of the missing data. In these cases, youth may have still replied to signals, but it was not possible to code for work with data associated with these responses. Of the 236 code-able segments, 170 (72%) were coded as involving *any* of the aspects of work with data. Table 4 includes the frequency of the specific aspects of work with data, with interpreting and communicating findings being the most present (occurring in 47% of the segments), followed by generating data (in 45% of the segments), asking questions (in 39%), data modeling (29%), and then making observations (26%).

Note that these results are for codes applied to approximately ten-minute (video-recorded) segments and that the aspects of work with data could co-occur. On average, there were 1.86 ($SD = 1.61$) aspects of work with data present in each moment. This indicates that, on average, youth were engaged in around two of aspects of the work with data during the program.

We can also examine the breakdown by program (in Table 5), which shows that, descriptively, there exists substantial variability across the programs.

Table 4.4: Proportion of signals for which each of the aspects of work with data was present by program

Variable	Asking	Observing	Generating	Modeling	Communicating	Total Segments
Island Explorers	0.312	0.375	0.438	0.250	0.375	16
The Ecosphere	0.625	0.417	0.500	0.292	0.500	24
Zoology Partners	0.250	0.167	0.125	0.167	0.208	24
Marine Investigators	0.458	0.333	0.250	0.375	0.542	24
Comunidad de Aprendizaje	0.327	0.182	0.400	0.273	0.327	55
Jefferson House	0.167	0.083	0.542	0.458	0.750	24
Uptown Architecture	0.375	0.208	0.708	0.167	0.292	24
Building Mania	0.333	0.208	0.375	0.333	0.500	24
Adventures in Mathematics	0.583	0.292	0.542	0.458	0.750	24

4.2.2 Nature of work with data

For these results, the different aspects of work with data were looked at in more detail using an open-ended, qualitative approach in order to better understand the nuance of what was going on during these episodes. This coding resulted in approximately three to four sentence notes from each of two raters for every segment and showed the specific nature of work with data.

4.2.2.1 Asking questions or identifying problems

Asking questions occurred in 92 of the segments (as coded by the PQA). In the 92 segments that were coded with asking questions, the open-ended, in-depth analysis identified 36 segments that were focused on asking questions in ways that were clearly focused on work with data, rather than questions of a more general, STEM-focused nature. When the qualitative coding revealed this activity to be focused on asking questions that were focused on work with data, it was often when youth were trying to better understand the phenomenon or problem they were investigating. For example, in a segment during the *Ecosphere* program in which youth constructed inclined tables to study how water moved throughout the ecosystem, the youth activity leader prompted youth to generate hypotheses of what would happen when water was poured onto the table, before pouring the water. Other segments showed that there were also many segments for which the PQA codes suggested asking questions would be present, but the in-depth analysis revealed were not always always focused on predicting, conjecturing, or hypothesizing. In these cases, the code was applied to instances in which the youth were asking generic questions (i.e., about how they do an assignment) or when the instructor was asking youth questions (i.e., math-related questions). For example, in the *Marine Investigators* program, youth visited a water treatment site, and were provided opportunities to ask questions about what they saw.

4.2.2.2 Making observations

Making observations occurred in 57 of the segments (as coded by the PQA), 49 which the open-ended coding revealed were focused on observations in ways that indicated work with data, indicating that this code was used in ways that were close to how this aspect of work with data was conceptualized. Many of the times, this code was applied in conjunction with observing phenomenon in the field, or, in the case of engineering-focused programs, noticing what was going on with a particular design. For example, in the *Building Mania* program, youth constructed Rube Goldberg machines; youth were prompted by the activity leaders to notice how changes in their design led to differences in how far objects were launched or rolled. When qualitative coders determined this was not present, it was usually due to the observations being not of phenomena, but of the instructor. For example, in the *Adventures in Mathematics* program, instances in which youth observed other youth or the youth activity leader solving a mathematics problem was often coded as involving making observations.

4.2.2.3 Generating data

Generating data occurred in 102 segments (as coded by the PQA), 48 of which the in-depth analysis showed were aligned with the coding frame. When generating data was focused on work with data, youth were writing down observations, recording information from experiments, or recording the results of a trial (in engineering contexts). For example, in the *Marine Investigators* program, youth collected pieces of recyclable plastic, bringing them back to the classroom and counting them for each location they were collected. When the PQA code indicated that work with data was present, but the subsequent analysis indicated that this practice was not as focused on work with data, per se, this was often when youth were writing down what the youth activity leader was saying, or was focused on collecting specimens (but not writing them down) entering them into a spreadsheet, or otherwise recording them as data. For example, again in the *Marine Investigators* program, youth used nets to collect saltwater organisms, which they then transported in buckets

back to the classroom setting for subsequent analysis. While these specimens could be considered as data, at least in the segment described, youth did not inscribe notes or any other observations on the specimens they were collecting, and so data was not generated (at this stage).

4.2.2.4 Data modeling

Data modeling occurred in 68 segments (as coded by the PQA), 49 which were focused on work with data. Like making observations, for data modeling, there was a high degree of alignment between the PQA codes and what the open-ended coding. When this aspect of work with data was found to be present on the basis of the qualitative coding, youth used mathematical models. For example, in the *Comunidad de Aprendizaje* program, youth accessed nationally-representative data and were tasked to solve problems, like finding out what percentage of people engage in particular activities, like donating to charity. When the PQA code was present but data modeling was not clearly an example of work with data, it was regularly because the youth activity leader, rather than students, was doing the modeling, or the model was not one that could generate data. For example, in the *Marine Investigators* program, a youth activity leader used a plush toy seal designed to teach youth about anatomy and the dangers of aquatic mammals consuming trash and recyclables.

4.2.2.5 Interpreting and communicating findings

Codes for interpreting and communicating were present in 103 segments (as coded by the PQA), in-depth, open-ended coding revealed 49 were clearly focused on work with data. When the qualitative coding revealed this aspect of work with data to be present, youth were often sharing what they found from an investigation or the results of using the product they designed. For example, in the *Comunidad de Aprendizaje* program, youth participated in an activity designed to support their thinking about creating a product to bring to market; the youth activity leaders described this as being akin to the television show the *Shark*

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Tank. In one segment, the youth activity leader asks youth to think of an idea that would make an investor willing to invest in; students shared their ideas, describing what their ideas was, why it was a good idea, how much they could sell it for, and what their profit would be, while fielding questions from youth activity leaders and their peers. Interpreting and communicating findings was also commonly present in segments in which youth were debating the findings of an investigation, such as the results of calculations for the amount of recyclables entering waterways (in *Marine Investigators*). When not focusing on work with data (which was common for this aspect) youth were communicating about topics other than the results of an investigation or design process, such as trying to find out the answer to a question posed by the youth activity leader, or the youth activity leader was who was doing the interpreting and communicating. For example, in the *Adventures in Mathematics* program, the youth activity leader helped youth to solve problems on a worksheet, asking guiding questions to help youth start to solve problems on their own.

4.3 Results for Research Question #2: What profiles of youth engagement and its conditions emerge from experiential data collected in the programs?

on the basis of the selection criteria you used (you can name them again if you wish), the six profile solution with varying means, equal variances and covariances fixed to 0 emerged as the best fit of the data. This was on the basis of fit statistics, statistical tests, and concerns of interpretability and parsimony. The model demonstrated superior fit on the basis of the information criteria (AIC and BIC) and on the basis of the measure of classification accuracy (entropy). A seven profile solution with the same specifications regarding means, variances and covariances was also a similarly good fit (and is presented in the Appendix), but the 6 profile solution was ultimately chosen on the basis of parsimony and interpretability. For the selected model, presented below, the raw data and the data that are centered to have a mean equal to 0 and a standard deviation of 1 (thus, the y-axis on each of the plots is labeled "Z-score").
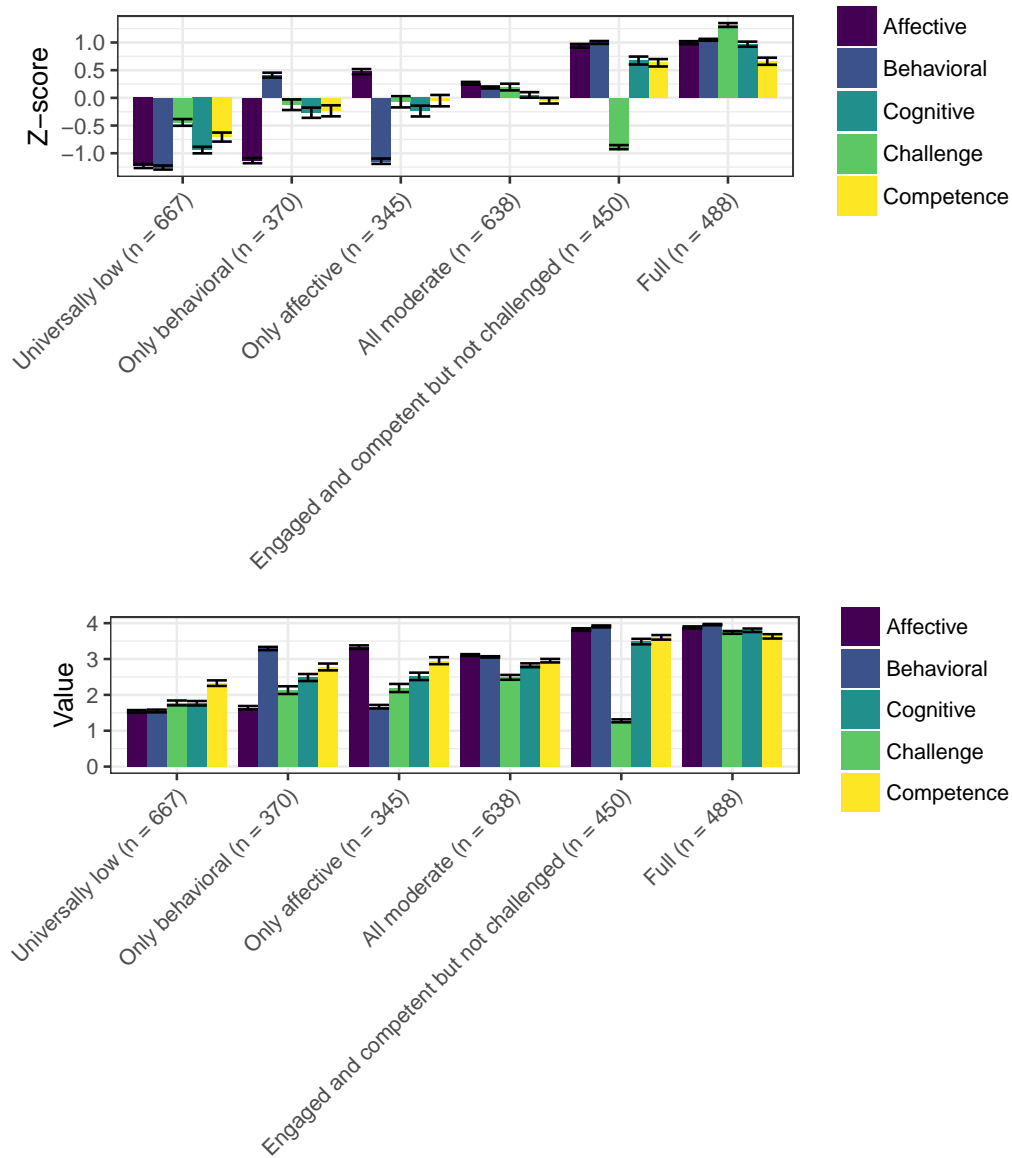
This solution is characterized by:

- A *universally low* profile

- An *only behaviorally engaged* profile, with moderate levels of behavioral engagement, very low affective engagement, and moderately (low) levels of cognitive engagement and challenge and competence

- An *only affectively engaged* profile, with moderate levels of affective engagement, low levels of behavioral engagement, and moderately (low) levels of cognitive engagement and challenge and competence

- A *all moderate* profile, with moderate levels of affective engagement

- An *engaged and competent but not challenged* profile, characterized by high levels of each of the three dimensions of engagement and of competence, but with low levels of challenge

- A *full* profile

The number of observations associated with each of the profiles is somewhat balanced, with the universally low profile with the largest number of observations ($n = 667$), followed by the all moderate profile ($n = 638$). Each of the other four profiles were associated with 300 to 400 observations.

In summary, after reviewing a wide range of models, a relatively simple model (model 1) with six profiles was selected for use in subsequent analyses. This model has profiles characterized by both varying levels on the dimensions of engagement and perceptions of challenge and competence. In addition, the number of observations across the profiles is relatively balanced.

4.3.1   Sources of variability in momentary profiles

After identifying the model one type, six profile solution, sources of variability in these profiles can be explored in order to anticipate the effects of factors at the youth, momentary, and program levels. First, the proportion of the variability at each of these levels is explored through the use of null, or variance components, models, those that only include grouping (i.e., the variable identifying which youth a response is from, what signal the response is associated with, and from which program the youth and signal were from) factors.

4.3.1.1   Null models

The null models (with only the grouping factors, or random effects, associated with the youth, momentary, and program levels) provide insight into which of these "levels" at which

predictors may be able to explain the outcome. For all six profiles, the ICCs at the program level were very small, from 0.00 to 0.023. This suggests that very little variability can be explained simply by the program. For the momentary level, the ICCs were also very small, ranging from 0.004 to 0.011. Finally, the youth-level ICCs ranged from .099 to .427.

Looking across these values, considering variability at the program, momentary, and youth levels, most of the explained variability in the responses is associated with youth; the program and momentary levels were associated with very small values, suggesting that variables at these levels have minimal variability to explain. In turn, this suggests that these variables, including those for work with data, may not have strong effects in terms of their relations with the profiles.

In terms of specific ICCs at the youth level, the value for the youth-level ICC was highest for the *full* profile, suggesting that some youth have a strong tendency to be fully engaged (possibly due to their initial interest or other individual characteristics and differences). The other profile characterized by a consistent pattern across all of the variables–the *universally low* profile–had a modest ICC, .265. Finally, a large amount of variability is associated with the residual (variance that is not associated with the program, momentary, or youth levels). This suggests that there is wide variation in students' responses that may not be readily explained or predicted.

4.3.1.2   Variability in momentary profiles across youth

Variability in terms of the profiles youth report can also be considered. These show that there is substantial variability between youth, in that, when youth, for example, report *Full* engagement to a greater extent than any other profile of engagement, these youth (on average) report this engagement in just over 60% of their responses. Their other responses were (on average) associated with a mixture of other profiles. Youth who report more *Full* engagement than any other profile of engagement are the most consistent in reporting one of the profiles of engagement, with youth reporting engagement associated with the *All*

moderate profile doing so just less than 40% of the time (with other profiles being associated with the remainder of their responses).
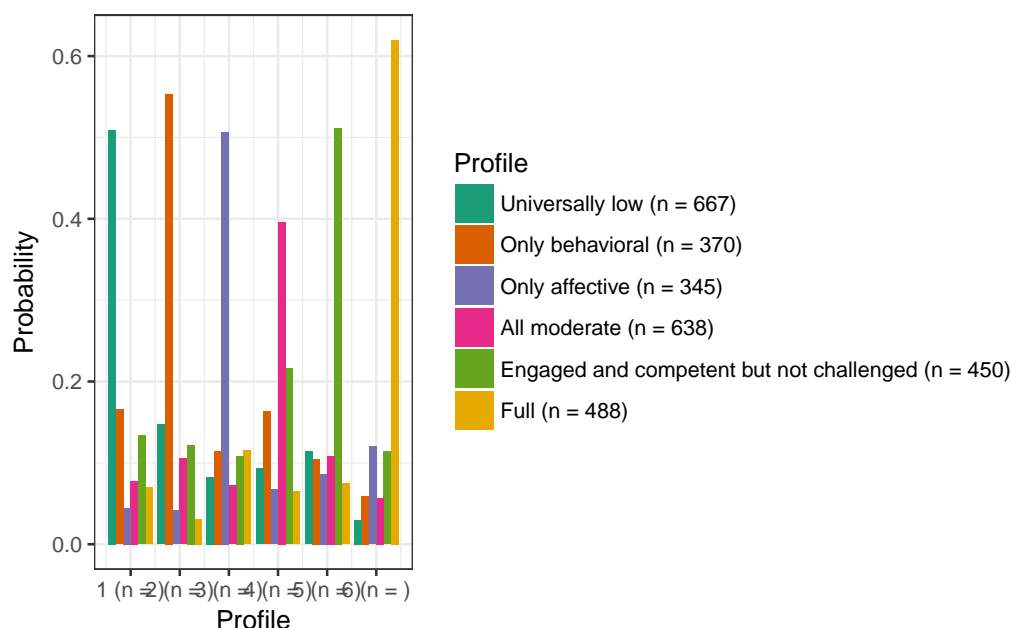
4.4 Results for Research Question #3: How do data practices relate to youth engagement in the programs?

For this question, models with the aspects of work with data both separate from and together with the youth characteristics were fit. The models only with the aspects of work with data yielded very similar results (see the appendix for more details).

The models with both together were also used as part of research question #4, though they are presented here (and interpreted in the sections for both results). Mixed effects models predicting the probability of membership in each of the six profiles, using the work with data codes as predictors, were specified. Thus each of the rows in the table represents one of the six different models. The only relations with p-values that were below the criterion for statistical significance were for the relations between modeling data and the *full* profile ($\beta = 0.034$ (0.017), $p = .020$) and between generating data and the *full* profile ($\beta = 0.027$ (0.015), $p = .033$).

Results of sensitivity analysis for these effects showed that the effect of modeling data on *full* engagement was more robust than that for generating data (also upon *full* engagement): 9.835% of the effect of modeling would have to be due to bias to invalidate the inference about its effect, whereas only 1.884% of the effect of generating data would need to be due to bias to invalidate the inference about its effect. In summary, there are few relations between work with data and the profiles, though there were notable effects of modeling and generating data, though they were small effects (i.e., when students are doing this, they are around 3% more likely to be responding in a way associated with the *full* profile). Further explanations and investigations of these effects are the focus on research question #4 (in terms of the effect of youth characteristics) and are discussed in the next chapter.

Table 4.5: Results of mixed effects models with the interactions between interest and other characactistics and the composite for work with data

Profile	Intercept	Pre-interest	Gender-Female	URM status	Asking	Observing	Generating	Modeling	Communicating
Universally low	0.356 (0.086) (p < .001)	-0.047 (0.022) (p = 0.982)	0.06 (0.037) (p = 0.051)	-0.01 (0.052) (p = 0.575)	-0.015 (0.018) (p = 0.789)	0.003 (0.018) (p = 0.427)	-0.014 (0.017) (p = 0.789)	0.004 (0.019) (p = 0.407)	0.002 (0.018) (p = 0.461)
Only behavioral	0.107 (0.045) (p = 0.01)	-0.013 (0.012) (p = 0.873)	0.019 (0.019) (p = 0.159)	0.031 (0.026) (p = 0.122)	0.015 (0.015) (p = 0.158)	0.013 (0.015) (p = 0.191)	0.014 (0.014) (p = 0.17)	-0.023 (0.016) (p = 0.929)	0.018 (0.015) (p = 0.115)
Engaged and competent but not challenged	0.022 (0.063) (p = 0.362)	0.039 (0.016) (p = 0.009)	0.025 (0.028) (p = 0.188)	-0.012 (0.04) (p = 0.614)	-0.011 (0.015) (p = 0.763)	0.009 (0.015) (p = 0.266)	-0.014 (0.014) (p = 0.833)	0 (0.015) (p = 0.504)	0.004 (0.015) (p = 0.404)
All moderate	0.354 (0.075) (p < .001)	-0.012 (0.019) (p = 0.743)	-0.038 (0.033) (p = 0.875)	-0.076 (0.046) (p = 0.95)	0.023 (0.017) (p = 0.09)	0.007 (0.017) (p = 0.342)	0.012 (0.016) (p = 0.233)	-0.004 (0.018) (p = 0.593)	-0.011 (0.017) (p = 0.747)
Only affective	0.09 (0.04) (p = 0.015)	0.007 (0.01) (p = 0.264)	-0.02 (0.018) (p = 0.867)	0.018 (0.025) (p = 0.234)	0.004 (0.014) (p = 0.397)	-0.017 (0.014) (p = 0.886)	-0.02 (0.013) (p = 0.938)	-0.012 (0.015) (p = 0.8)	0.016 (0.014) (p = 0.124)
Full	0.094 (0.083) (p = 0.132)	0.018 (0.021) (p = 0.195)	-0.035 (0.037) (p = 0.827)	0.043 (0.053) (p = 0.207)	-0.019 (0.016) (p = 0.887)	-0.025 (0.016) (p = 0.94)	0.027 (0.015) (p = 0.033)	0.034 (0.017) (p = 0.02)	-0.027 (0.016) (p = 0.956)

4.5 Results for Research Question #4: How do youth characteristics relate to their engagement in summer STEM programs?

For this question, models with the youth characteristics separate from and together with the aspects of work with data were fit. Like for the results for the previous question, the models only with the youth characteristics yielded very similar results; see the appendix. Thus, the models presented in the previous section with both youth characteristics and the aspects of work (see the table above) with data are interpreted here.

These results show that overall pre-interest is associated with the *engaged and competent but not challenged* profile ($\beta = 0.039$ (0.016), p = .009). For this effect, 17.879% would be needed to invalidate the inference, suggesting a moderately robust effect. The effect of being a female was not statistically significant but has a relation of 0.060 (0.037, p = .051) upon the probability of a response being associated with the *universally low* profile. For the effect of gender upon the *universally low* profile, 17.843% of the bias would need to be removed (or the effect would need to be larger by this percentage) to sustain the inference. The change in R^2 values ranged from .004 to .007, suggesting that pre-interest and other individual characteristics - in addition to the aspects of work with data - have minimal relations with the profiles.

This is more surprising than the similarly minimal relations observed for work with data: as the null models indicate, there were large ICCs (a large proportion of the variability in the outcome variables) at the youth-level (as pre-interest, gender, and URM status are variables associated with this level). This is discussed further in the next chapter. In summary, pre-interest was found to be related to the *engaged and competent but not challenged* profile to a modest extent. Being female did not demonstrate statistically significant relations with the *univerally low* profile, though some moderately-sized effects that were nearly statistically significant were observed and interpreted in terms of how much bias would need to be reduced (or how much the larger the effect would need to be) in order for this relation to be statistically significant.

CHAPTER 5

DISCUSSION

5.1 Key Findings

5.1.1 Key findings for research question #1 (on the frequency and nature of work with data)

In terms of the frequency and nature of work with data, work with data was found to be common in the summer STEM programs that made the context for this study. A coding frame synthesized from past research carried out in STEM domains on work with data was used to find that work with work with data occurred from around one-quarter of the time of the program's time (making observations) to around one-half of the program's time (generating data and communicating findings). Data modeling was, like making observations, less common, whereas asking questions and generating data, like communicating findings, were relatively more common. These findings are as expected based on past research (Lee & Wilkerson, in press) and given the design and goals of summer STEM programs (Dabney et al., 2012; Elam et al., 2012), including those participating in the present study.

In-depth qualitative showed that asking questions, generating data, and interpreting and communicating findings, the three aspects that were *more frequent* in the programs, also were more consistent with general aspects of learner-centered, hands-on STEM activities, and not as consistent with work with data in particular. This suggests that while work with data is somewhat common, qualitative analysis is an important part of understanding youths' engagement in work with data. More veridical forms of it are somewhat less common, occurring in around 25% of the programs' time. While descriptive in nature, these results present the first insight that I am aware of of the extent of work with data in STEM enrichment programs. They suggest that, as past scholarship (National Research Council, 2009, 2012) can provide a context for youth to be involved in the type of scientific

and engineering practices-focused activities that can be particularly powerful for youth (and students) in terms of their learning.

5.1.2 Key findings for research question #2 (what profiles of engagement emerge)

Six profiles of engagement and its conditions were identified. These were selected using a rigorous model selection approach and through use of a sophisticated modeling approach (LPA) and statistically software developed for this analysis (tidyLPA). These profiles included those that were strongly negative (*Universally low*) and strongly positive (*Full*), as well as those characterized by different levels of engagement (*Only behavioral* and *Only affective*) and by different levels of the conditions of engagement (Engaged and Competent but not Challenged). An *All moderate* profile was also identified. The profiles suggest that the experiences of youth in summer STEM programs are variable and that the use of ESM can aid in the study of youths' engagement. Little research has examined profiles of engagement, though Schmidt et al. (2018) examined profiles of momentary engagement, constructed from items for cognitive, behavioral, and affective engagement (but not perceptions of challenge and competence), and found six profiles, some of which partially overlap with those found in the present study. In particular, on the basis of the items shared between the studies, a *Universally low*, *All moderate*, and *Full* profile were found in both studies. However, as these profiles are characterized by the (uniform) level across all of the variables, this is only limited evidence for the presence of these profiles in the larger population of youth engaged in science and STEM-related learning activities.

5.1.3 Key findings for research question #3 (how work with data relates to engagement)

Before relations between the groups of "predictor" variables, work with data and youth characteristics, and the profiles, were explored, the amount of variability that could be explained at the program, youth, and momentary levels were explored; use of cross-classified mixed

effects models were particularly helpful for this goal. The amount of variability that could be explained at the program and momentary level was small (no larger for any profile than .023, and as low as .00 at the program level and .004 at the momentary level for some profiles), while the amount of variability that could be explained at the youth level was moderate to large (between .099 and .427). This suggests that while there is variability in the composition of the profiles that were identified, youth characteristics–their pre-program thoughts, beliefs, and characteristics and their inclination to engage in particular ways throughout the program–largely explains the prevalence of the profiles. This also suggests that what youth do during the programs, and the design and implementation of the programs themselves, have little to do with how youth engage in them. This implies that even the strongest predictor variables at these (momentary and program) levels would likely not explain much variability in the profiles (though this is not always the case, as there are cases in which adding variables at one level can increase the amount of variability that can be explained at another; Gelman & Hill, 2007).

In line with what the preliminary analysis of the amount of variability that could be explained at the youth, momentary, and program levels, relations between work with data were largely not found, though some small, statistically significant relations were identified. Importantly, both generating and modeling data were found to be positively related to the *Full* profile, suggesting that when youth are involved in these practices, then they are more likely to report high levels of cognitive, behavioral, and affective engagement, and high perceptions of competence and challenge. The effect of data modeling was more robust than that for generating data, the latter which should be interpreted with caution. In short, this suggests that these activities are beneficial to youths' engagement. Both communicating and interpreting findings and the composite measure for work with data were positively related to the *Only behavioral* profile and these findings were fairly robust. This profile may indicate that students are experiencing a routine engagement (and not particularly adaptive) when they are communicating findings and being involved in work with data in general. As there

is no research on how work with data relates to youths' engagement, the findings associated with this research question provide some, albeit limited, evidence (and directions for future research) for how some aspects of work with data relate to youths' engagement.

5.1.4 Key findings for research question #4 (how youth characteristics relate to engagement)

Not as much in line with expectations given the preliminary analysis, relations between youth characteristics and the profiles were found to be small. In this way, these small relations were similar (in magnitude) to those between work with data and the profiles. Youth with higher pre-program interest were more likely to be *Engaged and competent but not challenged*, suggesting that youth with higher interest in STEM are inclined to be highly engaged and good at what they are doing, but are not challenged by the activities they experience. This could be a function of the relationship between youths' interest and their competence before the program, which are often strongly related ([add]); these youth, as a result of their higher interest and competence, need more challenging activities to be more fully engaged. This effect was fairly robust. The interaction with gender and the work with data composite revealed a positive relationship with *Full* engagement, suggesting that the more that female youth work with data, the more likely they are to be positively engaged. However, sensitivity analysis revealed that this effect was not very robust, which, along with its small magnitude, suggests that it should be interpreted with some caution. Finding that female youth who are engaged in work with data are more likely to be fully engaged is important, given that past research has suggested that female students are less likely to be engaged in STEM classes but we have limited information about what types of instruction may best support female students to be engaged and successful (e.g., Patall et al., 2017).

5.2 Limitations of the Study and Recommendations for Future Research

This study examines youths' engagement as an outcome. Accordingly, outcomes from engaging, such as the products of neither youths' work or the specific cognitive capabilities they develop through their participation, are not the focus. Thus, while some findings about how work with data and youth characteristics were found to be associated with different profiles of engagement and its conditions, we do not have an understanding of how engaging in more or less adaptive ways relates to these outcomes. Examining how work with data and engagement relate to key learning, motivational, and future goals and plans-related outcomes is a topic for future research.

Another limitation concerns the context of the study, summer STEM programs. While the programs that were involved in the study have many affordances for work with data and for being highly engaging for youth, they have some limitations, too, particularly with respect to support work with data. Importantly, these were not programs explicitly designed to support work with data; while such contexts are being developed, they are not yet widespread. Learning environments that deliberately support work with data over a long period may demonstrate different patterns of engagement than those examined in this study because of the focus on and sequencing of the aspects of work with data, which may make it more (or less) cognitively, behaviorally, or affectively engaging than is determined in this study. As Miller, Manz, Russ, Stroupe, and Berland (2018) highlight, truly engaging STEM activities are not easily come by; they require students to take ownership over and to make decisions about their explorations or designs. Thus, future research may study work with data in contexts designed to support it. A key part of this future research may be studying both work with data and how work with data is supported (most importantly by the instructor but also by the curriculum and technological tools).

A related limitation is that the programs that were the focus of this study were model programs, or those based on characteristics of exemplary STEM enrichment programs.

As a result, engagement may be different in other STEM enrichment programs depending on characteristics of the programs and their activities, and findings from this study should be interpreted in terms of programs that share similar characteristics.

A potential issue concerns the analytic approach. As noted above, the profiles demonstrated very little variability at the program and momentary level, suggesting that factors at this level would likely not strongly predict the profiles. This could be a function of the use of profiles and the specific variables selected. It may also be the result of the outcome (engagement and its conditions) selected. Other analytic approaches can be carried out to determine the viability of the profiles approach and use of the items for engagement and its conditions for understanding work with data.

A final limitation concerns the measures used. In particular, the qualitative coding revealed alignment but also discrepancies between work with data as determined from the PQA codes and the conceptual framework for work with data. While these issues were small, they suggest that the coding frame for work with data is a limitation of the present study.

While these are important limitations, it is worth noting that the modeling strategy (with the mixed effects models) in inherently a conservative approach. Thus, while the findings detected are small, they can be considered to be trustworthy on the basis of the way the ESM data were analyzed. This trustworthiness is enhanced by the use of sensitivity analysis, which showed how much of the effects could be due to bias for them to be invalidated.

5.3   Implications for Practice

5.3.1   Engage youth in key aspects of work with data

While limited evidence, this study suggests that generating and modeling data in particular may be beneficial in terms of engaging youth. Generating data in particular may be a key practice because it involves making work with data concrete; as Lehrer and Schauble (2015) describe, recording data in the form of "inscriptions" can serve as commitments that learners make (in terms of what data were chosen to be collected and recorded). This

implication, in particular, should be interpreted with caution, however, given the very small magnitude of the effect. Similarly, data modeling has been described as *the* central scientific and engineering practice (Schwarz et al., 2009; Lehrer & Schauble, 2015; Weisberg, 2012), and its relations with full engagement provides some actionable evidence for its importance in the context of summer STEM programs.

Practically, youth activity leaders (in summer STEM and other STEM enrichment contexts) and teachers (in formal learning environments) can best include the beneficial practices of generating and modeling data not in isolation, but rather through involving learners in complete cycles of investigation. This aligns with both foundational and contemporary research on work with data in education (Berland et al., 2018; McNeill & Berland, 2017; Hancock et al., 1992; Lee & Wilkerson, 2018). Recent curricular reform efforts also suggest that the best way to engage learners in particular practices is through the process of identifying a question or problem, marshaling sources of data that can be used to figure out what is happening, and developing model-based explanations that are then communicated (or even used in an argument; National Governors Association, 2013; National Research Council, 2012; NGSS Lead States, 2013). With respect to work with data in particular, youth activity leaders and teachers can use the findings from this study as a starting point to consider how engaging in work with data may also prepare learners to think of, understand, and take action based on data in their day-to-day lives. Many questions or problems learners face may involve data that can be meaningfully incorporated into engaging learning activities.

5.3.2 Leverage the affordances of summer STEM and other STEM enrichment programs

Another implication for practice concerns the affordances (and constraints) of summer STEM and other STEM enrichment programs. One affordance of these programs relevant to these informal and to K-12 learning environments concerns selecting activities that are engaging to learners. For example, in the *Marine Investigators*, youth participated in activities designed

to help them understand water quality in their ecosystem. Youth collected trash from sites around their community (in different "districts") and then brought the trash and recyclable plastic back to the classroom. Then, the youth activity leaders asked students to figure out how much plastic enters local waterways. As a part of this activity, youth activity leaders asked students not only to determine the quantity of trash that entered the waterways, but asked students about *why* they used math in particular ways (i.e., adding the quantity of trash collected and then extrapolating from this quantity to the amount from across the entire city over the course of the year). This appeared to be a powerful activity, one that was coded as involving all five aspects of work with data according to the measures for instructional support for work with data; this type of activity seemed to suggest that instructional support for work with data may impact youth's engagement.

Another affordance concerned the relevance of the program to youth's lives. For example, in the *Building Mania* program, youth are involved in engineering design (i.e., identifying a problem and designing a solution), particularly around the use of simple machines. In a day in the classroom setting, youth are creating, testing, and revising catapults. In the next day, youth visit an area University, and are led in a discussion by a physicist who works with particle colliders. In this example, the expertise of the physicist, who explicitly mentions the benefits of engaging in the engineering design process and the importance of combining engineering to addressing problems (such as mitigating the damage of earthquakes), seems to be highly relevant to what youth are doing in their class. In these two days of class, youth are engaged in different aspects of work with data as indicated by the codes for instructional support for work with data (collecting data on the efficacy of their designs in the classroom day, and asking questions in the subsequent day, particularly); these seem to suggest, like the example of work work with data from the *Marine Investigators* program, affordances of work with data for summer STEM programs.

5.3.3 Consider the constraints of summer STEM and other STEM enrichment programs

There are also constraints to summer STEM and other STEM enrichment programs. For example, youth activity leaders faced challenges linking activities as part of a complete cycle of investigation. For example, in the *Ecosphere* program, youth collected water samples in the field. They then brought these samples to the classroom and tested the water, involving students in both collecting and, to a degree, generating data (by noting the pH levels of the water). However, later in the day, youth created a small-scale model (with inclined trays of dirt, rocks, and plants) of an ecosystem, in which they added food coloring to determine the impacts of chemicals and acid rain. Youth then interpreted and discussed these findings, but did not connect the discussion to the water samples youth collected and tested earlier. This activity presented an opportunity for deeper engagement, in which youth could interpret and communicate findings related to the state of the water in their ecosystem, but, instead, it was potentially limiting in terms of youth's engagement in work with data.

Another constraint related to the challenge of linking activities concerned what the programs focused on. For example, the mathematics-focused programs, such as the *Adventures in Mathematics* program, the youth activity leaders recognizing that youth had difficulty solving equations, used duct tape and a "hippity hoppity", building on an earlier activity in which youth considered what constituted a rate, on how many "hops" it would take someone to move from one end of the line of duct tape to the other; the youth activity leader than asked youth to consider how far they could move in one hop and to consider how they could find out many hops it would take, using a mathematical equation. In this activity, youth were supported to approach mathematics problem-solving in creative ways. However, apart from data modeling, other aspects of work with data were rarely present, and most of the data that youth worked with was provided by the teacher or considered in the abstract. Programs focused on science or engineering, similarly, emphasized other aspects of work with data: The science-focused programs (*Island Explorers*, *The Ecosphere*, and *Ma-*

rine Investigators) all emphasized collecting and generating data, but data, particularly the data collected or generated, was rarely modeled or interpreted. In the engineering-focused programs (*Uptown Architecture*, *Crazy Machines*, and *Dorchester House*, youth often collected data that resulted from their engineering designs, and communicated and interpreted their findings, but, did not generate data, and, accordingly, (and like the science-focused programs) did not model data as a regular part of their activities. This finding suggests that while work with data may have been common overall, different aspects of instructional support for work with data were emphasized to different degrees based on the focus of the program.

5.4   Conclusion

Each of the disciplines that contribute to STEM learning involve work with data and how youth and students work with data in engaging ways is a concern of researchers and practitioners. While past research has focused on what practices learners do when working with data, or specific conceptual outcomes, little research has considered youths' experience of working with data. In this study, engagement was used as a lens to understand the experience of youth working with data in the context of nine summer STEM programs. In particular, five aspects of work with data, a) asking questions, b) observing phenomena, c) constructing measures and generating data, d) data modeling, and e) interpreting and communicating findings, were identified from video-recordings of the programs. These codes were then used to predict profiles, or distinct groups on the basis of different levels, of youths' cognitive, behavioral, and affective engagement, and two other variables, youths' perceptions of challenge and competence. These measures were obtained using an innovative method, ESM, that provides some access to youths' experience in-the-moment of the activities they were involved in during the program.

Findings indicate that work with data occurs regularly in the programs and that there are some examples of ambitious activities centered on working with real-world data

(and examples in which the work with data is not fully aligned with youth-driven work with data). Six profiles of engagement and its conditions were identified, representing different configurations of the three dimensions of engagement and its conditions. Relations of work with data and youth characteristics (pre-program interest in STEM and youths' gender and status in terms of being a member of under-represented groups in STEM) were, overall, not strongly related with the profiles of engagement and its conditions, though some key findings were identified. Generating and modeling data were both related to the most potentially beneficial profile, one characterized by high levels of all five of the variables used to create the profiles. Female youth who were involved in work with data (at the momentary level) to a greater extent were also more likely to be fully engaged. This study suggests that work with data has purchase as an organizing set of activities for STEM can have some benefits in terms of understanding the nature of what youth do in summer STEM programs. In addition, this study shows that ESM and engagement can be used to understand youths' experiences. Data–and who is able to work with data–have important roles in STEM learning and in society; efforts to understand and support learners engaging in these ambitious activities should be encouraged and expanded.

CHAPTER 6

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CHAPTER 7

APPENDIX

7.1 Appendix A: STEM-PQA alignment

Table 7.1: Alignment of codes for instructional support for work with data and the STEM-PQA

Work.With.Data	Description
Asking questions or defining problems	Discussing and exploring topics to investigate and pose questions.
Making observations	Watching and noticing what is happening with respect to the phenomena or problem being inve
Generating data	Figuring out how or why to inscribe an observation as data and generating coding frames or me
Data modeling	Understanding and explaining phenomena using models of the data that account for variability
Interpreting and communicating findings	Discussing and sharing and presenting findings.

7.1.1 Appendix B: Program descriptions

Table 7.2: Program (with pseudonyms) descriptions

Program.Name	Program.Description
Island Explorers	A science-focused program that aims to help youth develop expertise on one species found in the local ecosys
The Ecosphere	A science-focused program that aims to help youth to explore the marine life of Narragansett Bay. Efforts we
Zoology Partners	A science-focused program that aims to support youth's development of content knowledge related to the issu
Marine Investigators	A science-focused program that aims to provide youth with opportunities to learn about and experience Narr
Comunidad de Aprendizaje	A STEM-focused program that aims to help youth improve basic skills in mathematics and develop an intere
Jefferson House	A STEM-focused program that aims to support youth's development of basic math skills, the program was p
Uptown Architecture	An engineering-focused program that aims to support youth's participation in a process to design and build a
Building Mania	An engineering-focused program that aims to provide youth with the opportunity to experiment with designi
Adventures in Mathematics	A mathematics-focused program that aims to help youth to develop the basic math skills and prevent summe

7.1.2   Appendix C: Model specifications details

Here, the six models that are possible to specify in LPA are described in terms of how the variables used to create the profiles are estimated. Note that p represents different profiles and each parameterization is represented by a 4 x 4 covariance matrix and therefore would represent the parameterization for a four-profile solution. In all of the models, the means are estimated freely in the different profiles. Imagine that each row and column represents a different variable, i.e., the first row (and column) represents broad interest, the second enjoyment, the third self-efficacy, and the fourth another variable, i.e., future goals and plans. Models 1 and 3 meet the assumption of independence, that is, that, after accounting for their relations with the profile, the variables used to estimate the profiles are independent (Collins & Lanza, 2010). They estimate variable variances but do not estimate covariances (i.e., as can be seen, the covariance matrices are "diagonal," without any off-diagonal parameters that are estimated). These models are estimated by default in MPlus, although these assumptions can be relaxed (Muthen & Muthen, 2017). Importantly, this does not mean the variables used to create the profile are assumed to be not related; as Collins and Lanza (2010) explain:

> The local independence assumption refers only to conditioning on the latent variable. It does not imply that in a data set that is to be analyzed, the observed variables are independent. In fact, it is the relations among the observed variables that are explained by the latent classes. An observed data set is a mixture of all the latent classes. Independence is assumed to hold only within each latent class, which is why it is called "local".

Despite the assumption of independence, as Collins and Lanza (2010), Muthen and Muthen (2017), and others (i.e., Pastor et al., 2007; Vermunt & Magidson, 2002) note, it can be lifted to improve model fit, though these models without the assumption of independence may be better described as general or Gaussian mixture models (Fraley et al., 2017).

7.1.2.1 Varying means, equal variances, and covariances fixed to 0 (model 1)

In this model, which corresponds to the mclust model wit the name "EEI", the variances are estimated to be equal across profiles, indicated by the absence of a p subscript for any of the diagonal elements of the matrix. The covariances are constrained to be zero, as indicated by the 0's between every combination of the variables. Thus, this model is highly constrained but also parsimonious: the profiles are estimated in such a way that the variables' variances are identical for each of the profiles, and the relationships between the variables are not estimated. In this way, less degrees of freedom are taken used to explain the observations that make up the data. However, estimating more parameters–as in the other models–may better explain the data, justifying the addition in complexity that their addition involves (and their reduction in degrees of freedom).

$$\begin{bmatrix} \sigma_1^2 & 0 & 0 & 0 \\ 0 & \sigma_2^2 & 0 & 0 \\ 0 & 0 & \sigma_3^2 & 0 \\ 0 & 0 & 0 & \sigma_4^2 \end{bmatrix}$$

7.1.2.2 Varying means, equal variances, and equal covariances (model 2)

This model corresponds to the mclust model "EEE". In this model, the variances are still constrained to be the same across the profiles, although now the covariances are estimated (but like the variances, are constrained to be the same across profiles). Thus, this model is the first to estimate the covariance (or correlations) of the variables used to create the profiles, thus adding more information that can be used to better understand the characteristics of the profiles (and, potentially, better explain the data).

$$
\begin{bmatrix}
\sigma_1^2 & \sigma_{21} & \sigma_{31} & \sigma_{41} \\
\sigma_{12} & \sigma_2^2 & \sigma_{23} & \sigma_{24} \\
\sigma_{13} & \sigma_{12} & \sigma_3^2 & \sigma_{33} \\
\sigma_{14} & \sigma_{12} & \sigma_{12} & \sigma_4^2
\end{bmatrix}
$$

7.1.2.3 Varying means, varying variances, and covariances fixed to 0 (model 3)

This model corresponds to the mclust model "VVI" and allows for the variances to be freely estimated across profiles. The covariances are constrained to zero. Thus, it is more flexible (and less parsimonious) than model 1, but in terms of the covariances, is more constrained than model 2.

$$
\begin{bmatrix}
\sigma_{1p}^2 & 0 & 0 & 0 \\
0 & \sigma_{2p}^2 & 0 & 0 \\
0 & 0 & \sigma_{3p}^2 & 0 \\
0 & 0 & 0 & \sigma_{4p}^2
\end{bmatrix}
$$

7.1.2.4 Varying means, varying variances, and equal covariances (model 4)

This model, which specifies for the variances to be freely estimated across the profiles and for the covariances to be estimated to be equal across profiles, extends model 3. Unfortunately, this model cannot be specified with mclust, though it can be with MPlus; this model *can* be used with the functions to interface to MPlus described below.

$$
\begin{bmatrix}
\sigma_{1p}^2 & \sigma_{21} & \sigma_{31} & \sigma_{41} \\
\sigma_{12} & \sigma_{2p}^2 & \sigma_{23} & \sigma_{24} \\
\sigma_{13} & \sigma_{12} & \sigma_{3p}^2 & \sigma_{33} \\
\sigma_{14} & \sigma_{12} & \sigma_{12} & \sigma_{4p}^2
\end{bmatrix}
$$

7.1.2.5 Varying means, equal variances, and varying covariances (model 5)

This model specifies the variances to be equal across the profiles, but allows the covariances to be freely estimated across the profiles. Like model 4, this model cannot be specified with mclust, though it can be with MPlus. Again, this model *can* be used with the functions to interface to MPlus described below.

$$\begin{bmatrix} \sigma_1^2 & \sigma_{21p} & \sigma_{31p} & \sigma_{41p} \\ \sigma_{12p} & \sigma_2^2 & \sigma_{23p} & \sigma_{24p} \\ \sigma_{13p} & \sigma_{12p} & \sigma_3^2 & \sigma_{33p} \\ \sigma_{14p} & \sigma_{12p} & \sigma_{12p} & \sigma_4^2 \end{bmatrix}$$

7.1.2.6 Varying means, varying variances, and varying covariances (model 6)

This model corresponds to the mclust model "VVV". It allows the variances and the covariances to be freely estimated across profiles. Thus, it is the most complex model, with the potential to allow for understanding many aspects of the variables that are used to estimate the profiles and how they are related. However, it is less parsimonious than all of the other models, and the added parameters should be considered in light of how preferred this model is relative to those with more simple specifications.

$$\begin{bmatrix} \sigma_{1p}^2 & \sigma_{21p} & \sigma_{31p} & \sigma_{41p} \\ \sigma_{12p} & \sigma_{2p}^2 & \sigma_{23p} & \sigma_{24p} \\ \sigma_{13p} & \sigma_{12p} & \sigma_{3p}^2 & \sigma_{33p} \\ \sigma_{14p} & \sigma_{12p} & \sigma_{12p} & \sigma_{4p}^2 \end{bmatrix}$$

7.1.3 Appendix D: Additional details on the model selection process

Looking across the statistics presented, some general ideas about which models are to be preferred emerge. Solutions are interpreted first for each model individually and then across

models with the goal of choosing a smaller number of models to investigate in more detail.

Table 7.3: Solutions for models that converged with replicated LL

| Number of Profiles	LL	AIC	BIC	SABIC	CAIC	Entropy	VLMR	LMR	BLRT
Model 1									
2	-19894.14	-19894.14	39916.16	39865.32	39820.47	0.807	3468.199 (0)	3397.353 (0)	3468.199 (0)
3	-19453.38	-19453.38	39082.59	39012.69	38951.11	0.794	881.519 (0.0126)	863.512 (0.0136)	881.519 (0)
4	-19196.33	-19196.33	38616.44	38527.47	38449.21	0.811	514.107 (0)	503.605 (0)	514.107 (0)
5	-18817.93	-18817.93	37907.60	37799.57	37704.68	0.913	756.788 (0)	741.329 (0)	756.788 (0)
6	-18648.78	-18648.78	37617.26	37490.17	37378.70	0.888	338.296 (0)	331.386 (0)	338.296 (0)
7	-18407.23	-18407.23	37182.11	37035.95	36907.95	0.886	523.141 (0.0112)	512.455 (0.0121)	523.141 (0)
9	-18186.35	-18186.35	36836.25	36651.96	36491.06	0.899	171.674 (0.1322)	168.167 (0.1359)	171.674 (0)
Model 2									
2	-19107.73	-19107.73	38423.27	38340.65	38267.95	0.924	850.304 (0)	832.934 (0)	850.304 (0)
3	-18897.06	-18897.06	38049.88	37948.20	37858.85	0.880	421.343 (0)	412.736 (0)	421.343 (0)
4	-18659.68	-18659.68	37623.06	37502.32	37396.37	0.922	474.773 (0)	465.075 (0)	474.773 (0)
5	-18474.83	-18474.83	37301.33	37161.52	37039.03	0.901	304.938 (0)	298.709 (0)	304.938 (0)
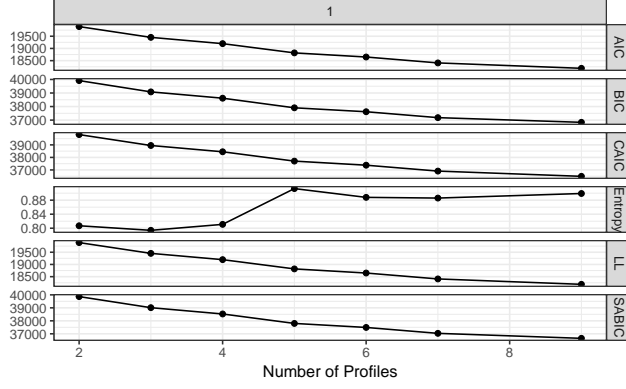
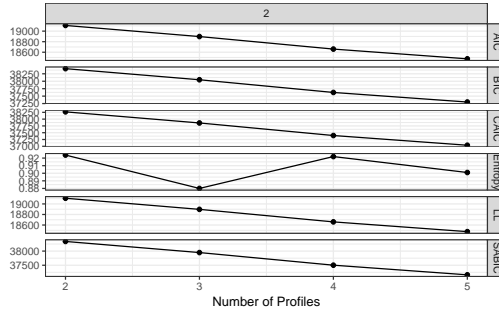Figure 7.1: Fit statistics for model 1 solutions

Figure 7.2: Fit statistics for model 2 solutions

For solutions associated with model 1, the decrease (indicating a preferred model) in information criteria becomes smaller as the number of profiles increases from 5 to 6 and 6 to 7. A solution associated with 8 profiles did not replicate the log-likelihood and the VLMR and LMR suggest that the solution associated with 9 profiles did not fit better than that with 8 profiles, suggesting that models with 7 or fewer profiles be preferred. Considering these models, the entropy statistic increases by a large amount between the solution associated with 4 and 5 profiles (and then decreases slightly between 5 and 6 and 6 and 7 profile solutions), suggesting (but not providing conclusive evidence) that models 5, 6, or 7 may be preferred. The bootstrapped LRT suggests that, until the log-likelihood is not replicated, every more complex model be selected. Taking these pieces of evidence into conclusion, for model 1, solutions associated with 4 through 7 may be considered in more depth, with an emphasis on solutions associated with profiles with 5 and 6 profiles on the basis of the

slowing of the decrease in the information criteria associated with the solutions with greater profiles than these, and the increase in the entropy from 4 to 5 (and 6) profile solutions.

For solutions associated with model 2, only those associated with 2-5 profile solutions were associated with log-likelihoods that were replicated. For these four models, the log-likelihood decreased in a mostly consistent way, such that changes in the decrease are not as evident as those associated with model 1. The entropy statistic decreases from 2 to 3 profile solutions, increases from 3 to 4 profile solutions, and then decreases slightly from 4 to 5 profile solutions, providing some information that models associated with 4 profiles be preferred to the others. All of the LRTs suggest that the more complex model be selected, not providing clear information about which solutions are to be preferred. On the basis of these pieces of evidence, models with 3, 4, and 5 solutions may be considered in more depth. However, there is a lack of consistent evidence favoring more or less complex models.

The model 1, six and seven profile solutions are compelling because both show profiles that are distinguished by dimensions of engagement and its conditions (challenge and competence). Note that for this model, only the means and variances are estimated (and so no covariances are estimated), and the variances are constrained to be the same across the profiles. While this is a very restrictive model, it, along with the model 3 type (which did not lead to solutions for any of the numbers of profiles specified) also is a standard model for LPA, in that it meets the assumption of local independence (of the variables that make up the profiles–unlike for models in which covariances are estimated) typical common to LPA (see Muthen & Muthen, 2016). While some of the solutions associated with the model 2 type did reach solutions, these demonstrated less appealing properties in terms of their fit statistics as well as their interpretability and with respect to concerns of parsimony. Thus, while no covariances are estimated for the model 1 type solutions, there is no requirement that these be specified; their benefit, when models associated with them are preferred, is that they can provide better fit: they can be used to better explain or predict the data in a sample, but their inclusion also means that over-fitting the model to the data can become a

greater concern.

For each solution, alternate solutions associated with higher log-likelihoods were explored. One advantage of the six profile solution is that most of its profiles can also be identified in solutions with fewer profiles. For the six profile solutions, this alternate solution was very different, whereas for the seven profile solutions, this alternate solution was highly similar. The model solutions exhibit a less clear pattern in terms of which profiles appear when. All else being equal, on the basis of parsimony, the model 1, six profile solution is preferred and was selected for use in subsequent analyses.

7.1.4 Appendix E: Alternate model selected (model type 1, seven profile solution)

This solution is characterized by:

- A *full* profile, profile 7

- A *universally low* profile, profile 1

- A *competent but not engaged or challenged* profile, profile 2, characterized by high competence and moderate (low) or low levels of engagement and challenge

- A *moderately low* profile, profile 3, characterized by moderately low levels of all of the variables

- A *challenged* profile, profile 4, characterized by high challenge, moderate (high) levels of engagement, and moderate (low) levels of competence

- A *highly challenged* profile, profile 5, characterized by patterns similar to those of the challenged profile, but with higher challenge and with low levels of both engagement and challenge

- A *challenged but not engaged or competent* profile, profile 6, characterized by low levels of challenge, and high levels of engagement and competence
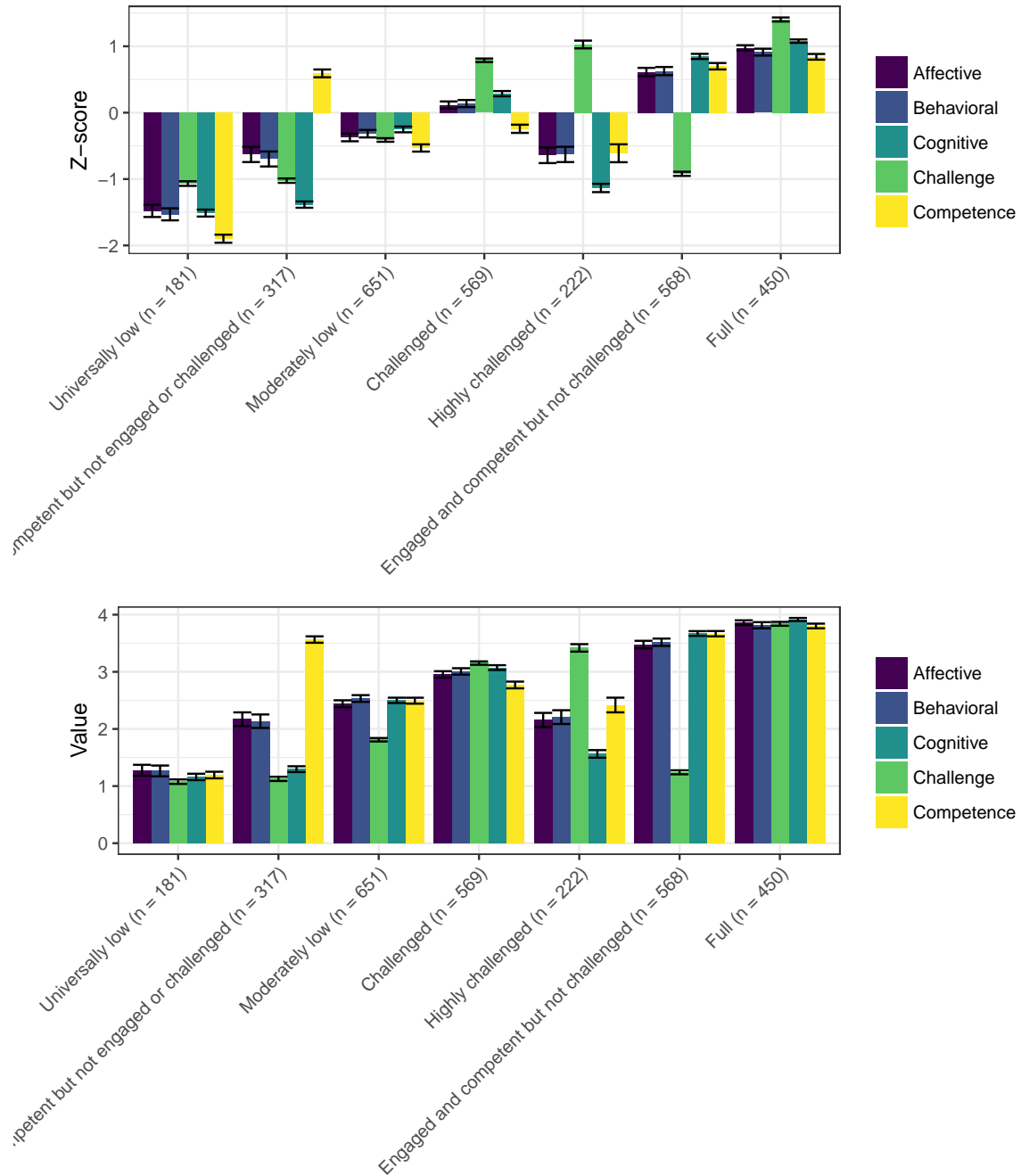
The number of observations associated with each of the profiles is not very balanced, with few ($n = 181$) observations associated with the universally low profile and few ($n = 222$) observations associated with the highly challenged profile. The number of observations associated with the other profiles ranged from 317 to 651. Distinct from other solutions, none of the other five profiles were found in the other model 1 solutions. Two pairs of the profiles–challenged and highly challenged and universally low and moderately low–exhibited similar

patterns among the variables that were distinguished by different mean levels. The log-likelihood was replicated twice, with the next lowest log-likelihood being replicate four times, possibly warranting further investigation. Taken together, this solution raises questions about whether it may be too complex, possibly suggesting preference for model 1 five and six profile solutions.