

More on Types

So far, we've seen how to *work* with types, and we want to give a somewhat more robust account of their theory.

The hierarchy

We've seen that propositions are all types of a certain kind `Prop`; and that `N` or `R` are types of a different kind (indeed, both have more than one term!), called `Type`.

There is actually a whole hierarchy of kinds of types

```
Prop : Type 0 : Type 1 : ... : Type n : ...
```

So, `Prop` is a *term* of the type `Type 0`, itself a *term* of the type `Type 1`, etc.

Lean shortens `Type 0` to `Type`, omitting the index. It is where most known mathematical objects (like `N`, `Z`, `C`, etc) live. `Sort *` is either `Type (*+1)` or `Prop` in the sense that `Sort 0 = Prop`, `Sort 1 = Type 0`, `Sort 37 = Type 36...`

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Dependent types

The type theory that Lean is built upon axiomatises the existence of certain constructions that allow to construct new types out of known ones.

+++ Function types

Given two types `X` and `Y`, it exists the type `X → Y`. Its terms are written

```
λ (x : X) ↤ (f x) : Y
```

or

```
fun (x : X) ↤ f x
```

These terms can be interpreted as functions from `X` to `Y`, in the sense that if `x₀ : X` and `f : X → Y` then `f x₀` is a term in `Y`.

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+++ Π -types and Σ -types

What is the type of

```
fun (α : Type) ↪ (fun x : α ↪ x)
```

namely the assignment sending a type to its identity function?

The "problem" is that for every element in the domain, the image lies in a different place: there is no "codomain".

It belongs to the Π -type (called pi-type, or forall-type, or *dependent* product)

```
Π (α : Type), α → α
∀ (α : Type), α → α
(α : Type) → (α → α)
```

More generally, given a type A (where $A = \text{Sort } u$ is allowed), seen as an index set, and a function $I : A \rightarrow \text{Sort } v$, seen as an "indexing family",

```
Π (a : A), I a
∀ (a : A), I a
(a : A) → I a
```

is the type whose terms are collections (a, x_a) for a spanning A and where $x_a : I a$. These are written $\lambda a : A \mapsto x_a$, or $\text{fun } a : A \mapsto x_a$.

- If you've got a geometric intuition, this looks very much like a fibration, where A is the base and $I a$ is the fiber above $a : A$.
- As the λ or fun notation suggest, $X \rightarrow Y$ is a special case of a Π -type, where $I : X \rightarrow \text{Sort } v$ is the constant function $\text{fun } x \mapsto I x = Y$.

Similarly, terms of the Σ -type

```
Σ (a : A), I a
(a : A) × I a
```

are pairs $\langle a, x_a \rangle$ where $x_a : I a$ (for technical reasons, we need here that $A : \text{Type } u$ and that $I : A \rightarrow \text{Type } v$: if you really want to use Sort use Σ' , or \times'). Type \times (*resp.* \times') as $\backslash x$ (*resp.* $\backslash x'$).

- These constructions of types that depend on terms give the name "dependent type theory" (or "dependent λ -calculus") to the underlying theory.
- From the hierarchy point of view, if $A : \text{Sort } u$ and $I : A \rightarrow \text{Sort } v$, then $(a : A) \rightarrow I a$ and $(a : A) \times' I a$ are types in $\text{Sort } (\max u v)$ *except* when $v = \emptyset$ in which case both are still in

Prop. This is the "impredicativity" of the underlying type-theory.

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+++ \forall and \exists

Universal quantifier

Consider the type

```
 $\forall n \in \mathbb{N}, \exists p, \text{ prime such that } n < p$ 
```

It is a Π -type, with $I : \mathbb{N} \rightarrow \text{Prop}$ being $I := \text{fun } n \mapsto \exists p \text{ prime}, n < p$.

Euclid's proof is a *term* of the above type.

- You can *prove* a \forall by **introducing** a variable via **intro** x , and by proving $P x$.
- If you have $H : \forall x : \alpha, P x$ and also a term $y : \alpha$, you can *specialise* H to y :

```
specialize H y (:= P y)
```

- If the goal is $\vdash P y$, you might simply want to do **exact** $H y$, remembering that implications, \forall and functions are all the same thing.

Existential quantifier

A statement

```
 $\exists n \in \mathbb{N}, n^{2+37} + n < 2^n$ 
```

lives in **Prop**, so you *cannot extract a witness from an existential proof*, unless you want to extract a term in some other $P : \text{Prop}$.

But,

- To prove $\exists x, P x$, you first produce x , and then prove it satisfies $P x$: once you have constructed x , do **use** x to have Lean ask you for $\vdash P x$.
- If you have $H : \exists x, P x$, do **obtain** $\langle x, hx \rangle := H$ to obtain the term x together with a proof that $P x$.

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+++ \neg and Proofs by contradiction

- Given $P : \text{Prop}$, the *definition* of $\neg P$ is

$P \rightarrow \text{False}$

- The `exfalso` tactic changes *any* goal to proving `False` (useful if you have an *assumption* $\dots \rightarrow \text{False}$).
- Proofs by contradiction, introduced using the `by_contra` tactic, require you to prove `False` assuming `not (the goal)`: if your goal is $\vdash P$, typing `by_contra h` creates

```
h : ¬ P
⊢ False
```

The difference between `exfalso` and `by_contra` is that the first does not introduce anything, and forgets the actual goal; the second negates the goal and asks for `False`.

- `contrapose` is the tactic that changes a goal $P \rightarrow Q$ to $\neg Q \rightarrow \neg P$.

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Inductive Types

So far, we

- met some abstract types $\alpha, \beta, T : \text{Type}$, and variations like $\alpha \rightarrow T$ or $\beta \rightarrow \text{Type}$;
- also met a lot of types $P, Q, (1 = 2) \wedge (0 \leq 5) : \text{Prop}$;
- struggled a bit with $h : (2 = 3)$ versus $(2 = 3) : \text{Prop}$;
- also met $\mathbb{N}, \mathbb{R} \dots$

How can we *construct* new types, or how have these been constructed? For instance, \mathbb{R} , or `True : Prop` or the set of even numbers? Using **inductive types**.

+++ Perspectives

- Theoretical*: this is (fun & interesting, but) beyond the scope of this course: it is very much discussed in the references.
 - Practical*: think of \mathbb{N} and surf the wave. It has two **constructors**: the constant $0 : \mathbb{N}$ and the function `succ : N → N`, and every $n : \mathbb{N}$ is of either form. Moreover, it satisfies **induction/recursion**.
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For example

```
inductive NiceType
| Tom : NiceType
| Jerry : NiceType
| f : NiceType → NiceType
| g : N → NiceType → NiceType → NiceType
```

constructs the "minimal/smallest" type `NiceType` whose terms are

1. Either `Tom`;
2. Or `Jerry`;
3. Or an application of `f` to some previously-defined term;
4. Or an application of `g` to a natural and a pair of previously-defined terms.

For example, `f (g 37 Tom Tom) : NiceType`.

- Every inductive type comes with its *recursor*, that is automatically constructed by Lean: it builds dependent functions *out of the inductive type being constructed* by declaring the value that should be assigned to every constructor.
- In order to
 1. construct terms of type `NiceType` you can use the ... *constructors!*;
 2. access terms of type `NiceType` (in a proof, say), use the tactic `cases` (or or `rcases`): the proofs for Tom and for Jerry might differ, so a case-splitting is natural.

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Every type in Lean is either a function type, a quotient type or an inductive type

By *every* we mean `True`, `False`, `Bool`, `P ∧ Q`... every! And among those,

If and only if statements:

`↔` : `Prop → Prop → Prop`, giving rise to `P ↔ Q`: it is an inductive type (of course), with

- two parameters (`P` and `Q`)
- one constructor, itself made of
 - two fields: `Iff.mp` : `P → Q` and `Iff.mpr` : `Q → P`

Calling `#print Iff` produces:

```
structure Iff (P Q : Prop) : Prop
  number of parameters: 2
  fields:
    Iff.mp : P → Q
    Iff.mpr : Q → P
  constructor: Iff.intro {P Q : Prop} (mp : P → Q) (mpr : Q → P) : P ↔ Q
```

An equivalence can be either *proved* or *used*. This amounts to saying that:

- A goal $\vdash P \leftrightarrow Q$ can be broken into the goals $\vdash P \rightarrow Q$ and $\vdash Q \rightarrow P$ using `constructor`: indeed, to prove $\vdash P \leftrightarrow Q$ amounts to creating *the unique term* of $P \leftrightarrow Q$ which has two constructors;
- The projections $(P \leftrightarrow Q).mp$ (or $(P \leftrightarrow Q).1$) and $(P \leftrightarrow Q).mpr$ (or $(P \leftrightarrow Q).2$) are the implications $P \rightarrow Q$ and $Q \rightarrow P$, respectively. These are the two "components" of the term in $P \leftrightarrow Q$.

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Structures

+++ Why did `#print Iff` begun with `structure` rather than with `inductive`?

Because it is a *structure* (with two fields):

Definition

A structure is an inductive type with a unique constructor.

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Indeed, among inductive types (*i. e.* all types...), some are remarkably useful for formalising mathematical objects: those that *bundle* objects and properties together. So, we give them a different name.

As an example, let's see what a Monoid is:

```
structure (M : Type*) Monoid where
| mul : M → M → M           -- denoted *
| one : M                     -- denoted 1
| mul_assoc (a b c : M) : a * b * c = a * (b * c)
| one_mul (a : M) : 1 * a = a
| mul_one (a : M) : 1 * 1 = a
```

- Two of these fields are terms in types of kind `Type *`;
- three of them are terms in types of kind `Prop`;
- we often call a structure having constructor fields both in `Type *` and in `Prop` a *mixin*.

So,

- a *monoid structure* on `M` is a collection `(*`, `1`, `mul_assoc`, `one_mul`, `mul_one`)
- a term of a monoid is just a term of it! The monoid is a type, so it comes with its terms even if it has more structure, which is encoded in a term `str : Monoid M`.

Another extremely useful structure is the equivalence (thought of as an isomorphism):

```
structure (α β : Type*) : Equiv α β where
| toFun : α → β
| invFun : β → α
| left_inv : LeftInverse self.invFun self.toFun
| right_inv : RightInverse self.invFun self.toFun

infixl:25 " ≈ " => Equiv
```

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