

## Lecture 23. Recursive Data Structure: Algorithm Complexity

## Efficiency by Complexity of Algorithms

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- Apparently, the BSearch function takes less work (and time) to evaluate than the ASearch function. How much better is it?
- Let's count the number of occurrences of the most time-consuming operation, the comparisons  $t = x$  and  $t > r$ .
  - **ASearch function**  $\Theta(n)$  for  $n$  elements in a list.
  - **BSearch function**  $\Theta(\log_2 n)$  for  $n$  elements in a list.
  - As the size of the list gets large, BSearch becomes a much better alternative to ASearch. For example, a list containing 1,048,576 elements requires 1,048,576 comparisons using ASearch, but only 21 comparisons using BSearch.

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- Each of these two recursive calls uses  $\tilde{C}(p - 1)$  comparisons.
- Hence  $\tilde{C}(p)$  must satisfy the following recurrence relation

$$\tilde{C}(p) = \begin{cases} 1 & \text{if } p = 0 \\ 2\tilde{C}(p - 1) & \text{if } p > 0 \end{cases}$$

- The closed-form solution of the recurrence relation is  $\tilde{C}(p) = 2^p$ .

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- So, a list of  $2^p$  requires approximately  $2^p$  comparisons, in the worst case.
- In general, if a list has  $n$  elements, then  $C(n) = n$  since  $n = 2^p$ . So we can approximate the worst-case complexity of this algorithm as  $\Theta(n)$ .

## Explanation: Complexity of “BSearch” Algorithm

- Let  $D(n)$  be the number of times the comparison  $t > r$  is done when searching a list of  $n$  elements.
- In this case of Slists,  $n = 2^p$ . So, let  $D(n) = \tilde{D}(p)$
- If  $p = 0$ , then the list contains only a single item so that the base case **B** of the definition gets used and one comparison is made. Therefore,  $\tilde{D}(0) = 1$ .

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- If  $p = 0$ , then the list contains only a single item so that the base case **B** of the definition gets used and one comparison is made. Therefore,  $\tilde{D}(0) = 1$ .
- Now suppose the BSearch function is evaluated on a list of depth  $p$  for some  $p > 0$ . Then the recursive part **R** **first** makes one comparison, and **then** calls the BSearch function on a list of depth  $p - 1$ , which uses a  $\tilde{D}(p - 1)$  comparisons.

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- In this case of Slists,  $n = 2^p$ . So, let  $D(n) = \tilde{D}(p)$
- If  $p = 0$ , then the list contains only a single item so that the base case **B** of the definition gets used and one comparison is made. Therefore,  $\tilde{D}(0) = 1$ .
- Now suppose the BSearch function is evaluated on a list of depth  $p$  for some  $p > 0$ . Then the recursive part **R** **first** makes one comparison, and **then** calls the BSearch function on a list of depth  $p - 1$ , which uses a  $\tilde{D}(p - 1)$  comparisons.
- Hence  $\tilde{D}(p)$  must satisfy the following recurrence relation

$$\tilde{D}(p) = \begin{cases} 1 & \text{if } p = 0 \\ 1 + \tilde{D}(p - 1) & \text{if } p > 0 \end{cases}$$

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- The closed-form solution of the recurrence relation is  $\tilde{D}(p) = p + 1$ .
- So, we only need  $p + 1$  comparisons to find an element in a list of size  $2^p$ .
- So, a list of  $2^p$  requires approximately  $p + 1$  comparisons, in the worst case.
- Substituting  $p = \log_2 n$  for some  $p$ , we get  $D(n) = \log_2 n + 1$ , so we can approximate the worst-case complexity of this algorithm as  $\Theta(\log_2 n)$ .

**Activity 23.1.** Let  $L$  be an SList. Define a recursive function  $\text{Wham}$  as follows.

**B.** Suppose  $L = x$ . Then  $\text{Wham}(L) = x \cdot x$ .

**R.** Suppose  $L = (X, Y)$ . Then,  $\text{Wham}(L) = \text{Wham}(X) + \text{Wham}(Y)$ .

(a) Evaluate  $\text{Wham}(((1,2), (4,5)))$ , showing all steps.

(b) Give a recurrence relation for  $S(p)$ , the number of  $+$  operations performed by  $\text{Wham}$  on an SList of depth  $p$ , for  $p > 0$ .

(c) Give a recurrence relation for  $M(p)$ , the number of  $\cdot$  operations performed by  $\text{Wham}$  on an SList of depth  $p$ , for  $p > 0$ .

**Exercise.** The following is an recursive binary search algorithm

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**Algorithm 5.4** Binary Search (recursive).

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Preconditions: The set  $U$  is totally ordered by  $<$ , and  $X = \{x_1, x_2, \dots, x_n\} \subseteq U$ ,  
with  $n \geq 1$ ,

$$x_1 < x_2 < \dots < x_n,$$

and  $t \in U$ . Also,  $1 \leq l \leq r \leq n$ .

Postconditions:  $\text{BinSearch}(t, X, l, r) = (t \in \{x_l, x_{l+1}, \dots, x_r\})$

```
function BinSearch( $t \in U$ ,  
                   $X = \{x_1, x_2, \dots, x_n\} \subseteq U$ ,  
                   $l, r \in \{1, 2, \dots, n\}$ )  
     $i \leftarrow \lfloor (l+r)/2 \rfloor$   
    if  $t = x_i$  then  
        return true  
    else  
        if  $(t < x_i) \wedge (l < i)$  then  
            return BinSearch( $t, X, l, i-1$ )  
        else  
            if  $(t > x_i) \wedge (i < r)$  then  
                return BinSearch( $t, X, i+1, r$ )  
            else  
                return false
```

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A typical call to this function would look like this:

```
if BinSearch( $t$ , {3,6,9,12,15}, 1,  $n$ ) then
    print Element  $t$  was found.
else
    print Element  $t$  was not found.
```

The choice of 1 and  $n$  for the last two parameters tell the function to search the whole array. The following **top-down evaluation** of the recursive binary search looks for the target value 21 in the array  $X = \{3, 6, 9, 12, 15, 18, 21, 24, 27, 30\}$ .

```
BinSearch(21, X, 1, 10) = BinSearch(21, X, 6, 10)
                        = BinSearch(21, X, 6, 7)
                        = BinSearch(21, X, 7, 7)
                        = true
```

- (a) Evaluate  $\text{BinSearch}(3, X, 1, 10)$  using a top-down evaluation. (Write all the steps of evaluation.)
- (b) Approximate the best- and worst-case complexity of the recursive binary search function.