

Fall CS 145 Final Review Guide.

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*Topic.* 3: Tree

*Subtopic.* 3.1: Basics about tree

### 3.1.1 *Implementation.*

```
<rkt>
  (define-struct node (left right))

  ;; (make-node l r)
  ;; (node-left t)
  ;; (node-right t)
  ;; (node? x)

</rkt>
```

### 3.1.2 *Note.*

1. Path: sequence of nodes leading from root to another node.
2. Depth: number of nodes in the path from root to node.
3. Height: max depth of all nodes in the tree.
4. Leaf: nodes at the bottom which have no children.

### 3.1.3 *Note.*

1. Given height  $h$ , the maximum number of nodes:  $2^h - 1$ ; the minimum number of nodes:  $h$ .
2. Given number of elements  $n$ , the maximal height for a bst:  $n$   
the minimal & average height for a bst:  $\log n$
3. Given number of elements  $n$ , the number of maximal height binary trees:  $2^{n-1}$   
the number of minimal height binary trees:
4. Running time
  - a. Time to insert into a bst with  $n$  elements, worst case:  $O(n)$ .
  - b. Time to insert into a bst with  $h$  height, worst case:  $O(h)$ .
  - c. Height of AVL tree with  $n$  elements, worst case:  $O(\log n)$ .
  - d. Time to append two Racket lists, where  $n$  is the sum of lists, worst case:  $O(n)$ .
  - e. Time to sort  $n$  elements, best algorithm:  $O(n \log n)$ .

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*Subtopic.* 3.2 Operations on general binary trees

*Remark.*

Contains #A3b~d

*Implementation.* Helper: is the current-node leaf?

```
<rkt>
  (define (is-leaf? t)
    (and (not (empty? t))
          (and (empty? (node-left t)) (empty? (node-right t)))))
</rkt>
```

*Implementation.* Count how many leaves  $t$  has.

```
<rkt>
  (define (count-leaves t)
    (cond
      [(empty? t) 0]
      [(is-leaf? t) 1]
      [else (+ (count-leaves (node-left t)) (count-leaves (node-right t)))]))
</rkt>
```

*Implementation.* Cut all leaves of  $t$ .

```
<rkt>
  (define (prune t)
    (cond
      [(empty? t) empty]
      [(is-leaf? t) empty]
      [else (make-node (prune (node-left t)) (prune (node-right t)))]))
</rkt>
```

*Implementation.* Cut  $k$ th leaf of  $t$ .

```
<rkt>
  (define (prune-kth t k)
    (cond
      [(is-leaf? t) empty]
      [(< k (count-leaves (node-left t))) (make-node (prune-kth (node-left t) k) (node-right t))]
      [else (make-node (node-left t) (prune-kth (node-right t) (- k (count-leaves (node-left t)))))]))
</rkt>
```

<stepper>

First, define a tree for testing purpose.

```
~> (define tree
  (make-node
    (make-node
      (make-node empty empty)
      (make-node empty empty))
    (make-node
      (make-node empty empty)
      (make-node empty empty))))

~> (prune tree 1) ;; get rid of the 1+1=2nd leaf.
```

We want:

```
(make-node
  (make-node
    (make-node empty empty)
    empty)
  (make-node
    (make-node empty empty)
    (make-node empty empty)))
```

Start stepper:

```
~> (count-leaves (node-left t)) = 2; k = 1; thus the second clause is executed.
=> (make-node (prune-kth (node-left tree 1)) (node-right t))

~> (count-leaves (node-left t)) = 1; k = 1; thus the third clause is executed.
=> (make-node (node-left t) (prune-kth (node-right t) (- 1 1)))

~> Now t is a leaf node, thus the first clause is executed.
~> Since we have recursion, the entire process is finished. We now have a new tree without
    the second leaf node.
</stepper>
```

**Implementation.** Measuring the height of t

```
<rkt>
(define (height t)
  (cond
    [(empty? t) 0]
    [(is-leaf? t) 1]
    [else (add1 (max (height (node-left t)) (height (node-right t))))]))
</rkt>
```

**Implementation.** Create a min tree with n nodes.

**Note.** Two cases:

1. n = odd: for example, let n = 9. Ignore the root node we have n = 8.  
Apply n = 4 to both subtrees.
2. n = even: for example, let n = 8. Ignore the root node we have n = 7.  
Apply n = 4 to the left and n = 3 to the right (You can do either way).

```
<rkt>
(define (tree-create-min n)
  (cond
    [(zero? n) empty]
    [(= 1 n) (make-node empty empty)]
    [else
     (let* {[new-n (quotient (sub1 n) 2)]}
       (cond
         [(odd? n) (make-node
                     (tree-create-min new-n)
                     (tree-create-min new-n))]
         [else (make-node
                 (tree-create-min new-n)
                 (tree-create-min (add1 new-n))))]))))
</rkt>
```

**Implementation.** Create a min tree with one extra node

```
<rkt>
(define (count-node t)
  (cond
    [(empty? t) 0]
    [(is-leaf? t) 1]
```

```

[else (add1 (+ (count-node (node-left t)) (count-node (node-right t))))))

(define (tree-grow-min t)
  (cond
    [(empty? t) (make-node empty empty)]
    [(< (count-node (node-left t)) (count-node (node-right t)))
     (make-node (tree-grow-min (node-left t)) (node-right t))]
    [else (make-node (node-left t) (tree-grow-min (node-right t)))])])
</rkt>

```

**Implementation.** Create a min tree with one less node

```

<rkkt>
(define (tree-shrink-min t)

  ;; if the tree has 2^k nodes then we build a new tree.
  (define (is-special? t)
    (= (expt 2 (height t)) (count-nodes t)))

  ;; the magical function allows us to build a height n tree with n applications of make-node
  (define (echo t) (make-node t t))
  (define (build-tree n)
    (if (zero? n) empty (echo (build-tree (sub1 n)))))

  (cond
    [(= 1 (count-node t)) empty]
    [(is-special? t) (build-tree (sub1 (height t)))]
    [else
     (if (empty? (node-left t))
         (make-node (node-left t) (tree-shrink-min (node-right t)))
         (make-node (tree-shrink-min (node-left t)) (node-right t)))])])
</rkt>

```

---

### Subtopic. 3.3 Annotated binary tree (#A4)

**Implementation.** Struct

```

<rkkt>
(define-struct cnode (left right value)) ;; now each node has an extra buildin field
</rkt>

```

**Implementation.** Fast-leaf-count

```

<rkkt>
(define-struct cnode (left right leaf))
(define (fast-leaf-count t)
  (if (empty? t) 0 (cnode-leaf t)))
</rkt>

```

**Implementation.** Tree-grow-min with extra field

```

<rkkt>
;; size measures the size of the tree
(define-struct node (left right size))

```

```
;; count how many node a tree has; uses if statement to catch exception
(define (fast-count t)
  (if (empty? t) 0 (node-size t)))

(define (tree-grow-min t)
  (cond
    [(empty? t) (make-node empty empty 1)]
    [(= (node-size t) 1) (make-node (make-node empty empty 1) empty 2)]
    [(and (empty? (node-left t)) (not (empty? (node-right t))))
     (make-node (tree-grow-min (node-left t)) (node-right t) (+ 1 (node-size t)))]
    [(and (empty? (node-right t)) (not (empty? (node-left t))))
     (make-node (node-left t) (tree-grow-min (node-right t)) (+ 1 (node-size t)))]
    [(< (node-size (node-left t)) (node-size (node-right t)))
     (make-node (tree-grow-min (node-left t)) (node-right t) (+ 1 (node-size t)))]
    [else
     (make-node (node-left t) (tree-grow-min (node-right t)) (+ 1 (node-size t)))])])
```

&lt;/rkt&gt;

**Implementation.** Tree-shrink-min with extra field

&lt;rkt&gt;

```
;; check if a tree is perfect
(define (is-perfect? t)
  (if (empty? t) true (integer? (/ (log (add1 (node-size t))) (log 2)))))

;; check if the tree is "special", aka has 2^k nodes.
(define (is-special? t)
  (integer? (/ (log (node-size t)) (log 2))))

;; directly calculate the height of the tree
(define (height t)
  (inexact->exact (/ (log (node-size t)) (log 2))))

;; see echo function above
(define (echo t)
  (if (not (empty? t))
      (make-node t t (+ 1 (* 2 (node-size t))))
      (make-node t t 1)))

;; see build-tree above
;; but now we are working with height
(define (build-tree h)
  (if (zero? h) empty (echo (build-tree (sub1 h)))))

(define (tree-shrink-min t)
  (cond
    [(empty? t) empty]
    [(= 1 (node-size t)) empty]
    [(is-perfect? t)
     (cond
       [(is-leaf? (node-left t)) (make-node empty (node-right t) (sub1 (node-size t)))]
       [else (make-node (tree-shrink-min (node-left t)) (node-right t) (sub1 (node-size t)))])])
    [(is-special? t) (build-tree (height t))]
    [(> (node-size (node-left t)) (node-size (node-right t)))
```

```

    (make-node (tree-shrink-min (node-left t)) (node-right t) (sub1 (node-size t)))]
[else
  (make-node (node-left t) (tree-shrink-min (node-right t)) (sub1 (node-size t)))))]))
</rkt>

```

### Subtopic. 3.4 BST (#A5)

#### Implementation. Struct

```

<rkt>
  (define-struct node (left right key))
</rkt>

```

#### Implementation. Sum

```

<rkt>
  (define (sum bst)
    (cond
      [(empty? bst) 0]
      [(is-leaf? bst) (node-key bst)]
      [else (+ (node-key bst) (sum (node-left bst)) (sum (node-right bst)))]))
</rkt>

```

#### Implementation. Member

```

<rkt>
  (define (member bst e)
    (cond
      [(empty? bst) false]
      [(= e (node-key bst)) true]
      [else (or (member (node-left bst) e) (member (node-right bst) e))]))
</rkt>

```

#### Implementation. Insert

```

<rkt>
  (define (insert bst e)
    (cond
      [(empty? bst) (make-node empty empty e)]
      [(= e (node-key bst)) bst]
      [< e (node-key bst)) (make-node (insert (node-left bst) e) (node-right bst) (node-key bst))]
      [else (make-node (node-left bst) (insert (node-right bst) e) (node-key bst))]))
</rkt>

```

#### Implementation. Delete

```

<rkt>
  (define (single-left? t)
    (and (not (is-leaf? t))
         (not (empty? (node-left t)))
         (empty? (node-right t))))

  (define (single-right? t)
    (and (not (is-leaf? t))

```

```

(not (empty? (node-right t)))
(empty? (node-left t))))

(define (find-right-most t) ;; returns the key which will serve as our replacement
  (if (empty? (node-right t)) (node-key t) (find-right-most (node-right t))))

(define (delete bst e)
  (cond
    [(empty? bst) empty]
    [(= e (node-key bst))
     (cond
       [(single-left? bst)
        (make-node (node-left (node-left bst)) (node-right (node-left bst)) (node-key (node-left bst)))]
       [(single-right? bst)
        (make-node (node-left (node-right bst)) (node-right (node-right bst)) (node-key (node-right bst)))]
       [else
        (make-node (delete (node-left bst) (find-right-most (node-left bst)))
                    (node-right bst)
                    (find-right-most (node-left bst)))]])]
    [(< e (node-key bst)) (make-node (delete (node-left bst) e) (node-right bst) (node-key bst))]
    [else (make-node (node-left bst) (delete (node-right bst) e) (node-key bst))]))

```

&lt;/rkt&gt;

**Implementation.** Combine

&lt;rkt&gt;

```

(define (tree->lst bst)
  (if (empty? bst)
      empty
      (append (tree->lst (node-left bst)) (cons (node-key bst) (tree->lst (node-right bst))))))

(define (insertlist lst t)
  (if (empty? lst)
      t
      (insert (insertlist (rest lst) t) (first lst))))

(define (combine bst1 bst2)
  (if (< (node-size bst1) (node-size bst2))
      (insertlist (tree->lst bst1) bst2)
      (insertlist (tree->lst bst2) bst1)))

```

&lt;/rkt&gt;

**Subtopic.** 3.5 BST  $\Leftrightarrow$  List**Implementation.** bst->list, ascending order

&lt;rkt&gt;

```

(define (bst->list bst)
  (define (helper bst acc)
    (if (empty? bst) acc
        (helper (node-left bst) (cons (node-key bst) (helper (node-right bst))))))
  (helper bst '()))

```

&lt;/rkt&gt;

*Implementation.* list->bst

```
<rkt>
  (define (list->bst lst)
    (define (helper lst acc)
      (if (empty? lst) acc
          (helper (rest lst) (insert acc (first lst)))))
    (helper lst '()))
</rkt>
```

*Implementation.* ordered-list->balanced-bst

```
<rkt>
  (define (take lst n)
    (define (helper lst n acc)
      (if (zero? n) acc
          (cons (first lst) (helper (rest lst) (sub1 n) acc))))
    (helper lst n '()))

  (define (drop lst n)
    (if (zero? n) lst (drop (rest lst) (sub1 n))))

  (define (left-subtree lst)
    (take lst (quotient (length lst) 2)))

  (define (root lst)
    (first (drop lst (quotient (length lst) 2))))

  (define (right-subtree lst)
    (rest (drop lst (quotient (length lst) 2))))

  (define (ordered->balanced lst)
    (if (empty? lst) empty
        (make-node (ordered->balanced (left-subtree lst))
                    (ordered->balanced (right-subtree lst))
                    (root lst))))
</rkt>
```

*Implementation.* unordered-list->balanced-bst

```
<rkt>
  (define (unordered->bst lst)
    (ordered-balanced (bst->list (list->bst lst))))
</rkt>
```

=====

END



