



# Chapter 12

## Tables and Priority Queues

# The ADT Table

- The ADT table, or dictionary
  - Uses a search key to identify its items
  - Its items are records that contain several pieces of data

<u>City</u>	<u>Country</u>	<u>Population</u>
Athens	Greece	2,500,000
Barcelona	Spain	1,800,000
Cairo	Egypt	9,500,000
London	England	9,400,000
New York	U.S.A.	7,300,000
Paris	France	2,200,000
Rome	Italy	2,800,000
Toronto	Canada	3,200,000
Venice	Italy	300,000

**Figure 12-1**

An ordinary table of cities

# The ADT Table

- Operations of the ADT table
  - Create an empty table
  - Determine whether a table is empty
  - Determine the number of items in a table
  - Insert a new item into a table
  - Delete the item with a given search key from a table
  - Retrieve the item with a given search key from a table
  - Traverse the items in a table in sorted search-key order

# The ADT Table

- Pseudocode for the operations of the ADT table

```
createTable()
```

```
// Creates an empty table.
```

```
tableIsEmpty()
```

```
// Determines whether a table is empty.
```

```
tableLength()
```

```
// Determines the number of items in a table.
```

```
tableInsert(newItem) throws TableException
```

```
// Inserts newItem into a table whose items have
```

```
// distinct search keys that differ from newItem's
```

```
// search key. Throws TableException if the
```

```
// insertion is not successful
```

# The ADT Table

- Pseudocode for the operations of the ADT table  
(Continued)

```
tableDelete(searchKey)
// Deletes from a table the item whose search key
// equals searchKey. Returns false if no such item
// exists. Returns true if the deletion was
// successful.
```

```
tableRetrieve(searchKey)
// Returns the item in a table whose search key
// equals searchKey. Returns null if no such item
// exists.
```

```
tableTraverse()
// Traverses a table in sorted search-key order.
```

# The ADT Table

- Value of the search key for an item must remain the same as long as the item is stored in the table
- `KeyedItem` class
  - Contains an item's search key and a method for accessing the search-key data field
  - Prevents the search-key value from being modified once an item is created
- `TableInterface` interface
  - Defines the table operations

# Selecting an Implementation

- Categories of linear implementations
  - Unsorted, array based
  - Unsorted, referenced based
  - Sorted (by search key), array based
  - Sorted (by search key), reference based

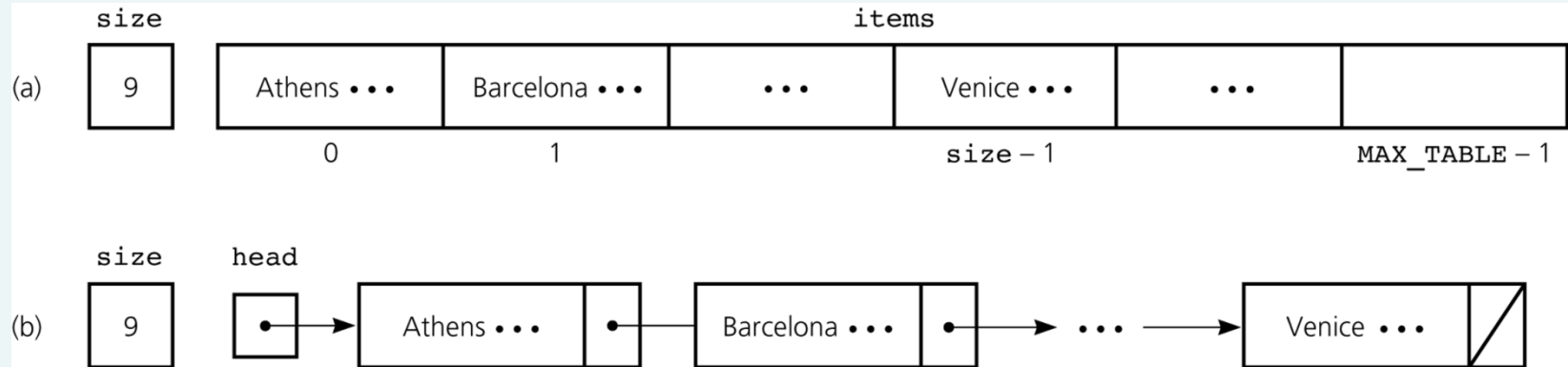


Figure 12-3

The data fields for two sorted linear implementations of the ADT table for the data in Figure 12-1: a) array based; b) reference based

# Selecting an Implementation

- Categories of linear implementations
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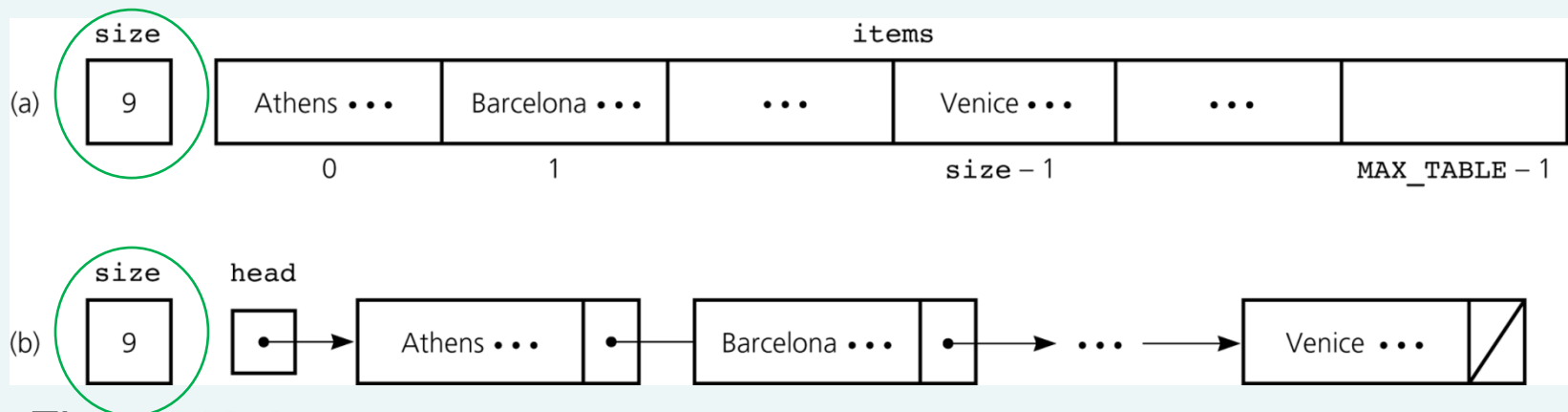


Figure 12-3

The data fields for two sorted linear implementations of the ADT table for the data in Figure 12-1: a) array based; b) reference based

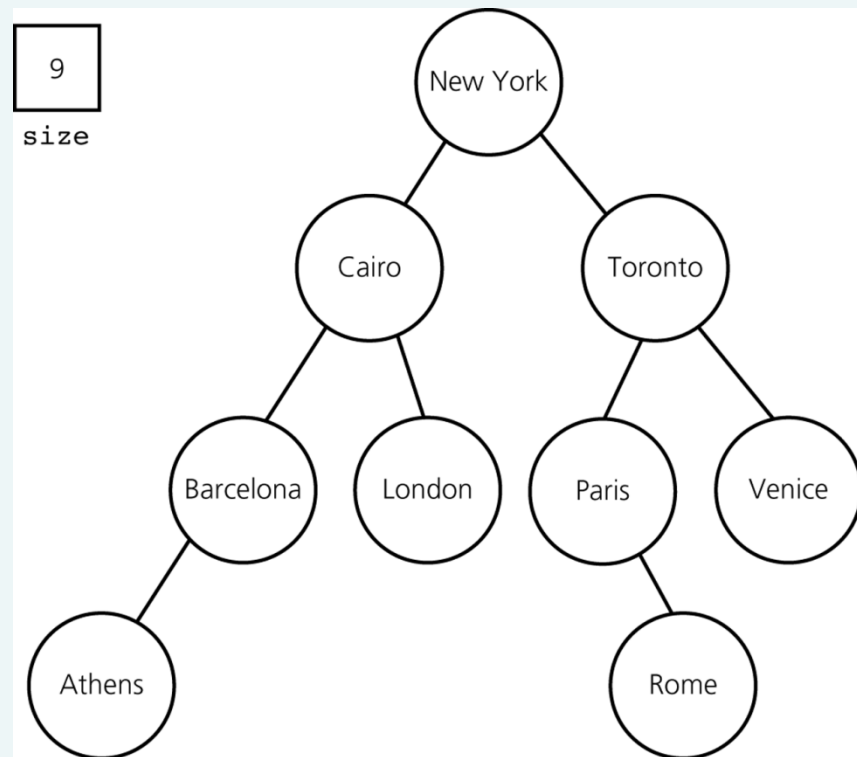


# Selecting an Implementation

- A binary search implementation
  - A nonlinear implementation

Figure 12-4

The data fields for a binary search tree implementation of the ADT table for the data in Figure 12-1



# Selecting an Implementation

- The binary search tree implementation offers several advantages over linear implementations
- The requirements of a particular application influence the selection of an implementation
  - Questions to be considered about an application before choosing an implementation
    - What operations are needed?
    - How often is each operation required?

# Scenario A: Insertion and Traversal in No Particular Order

- An unsorted order is efficient
  - Both array based and reference based `tableInsert` operation is  $O(1)$
- Array based versus reference based
  - If a good estimate of the maximum possible size of the table is not available
    - Reference based implementation is preferred
  - If a good estimate of the maximum possible size of the table is available
    - The choice is mostly a matter of style

# Scenario A: Insertion and Traversal in No Particular Order

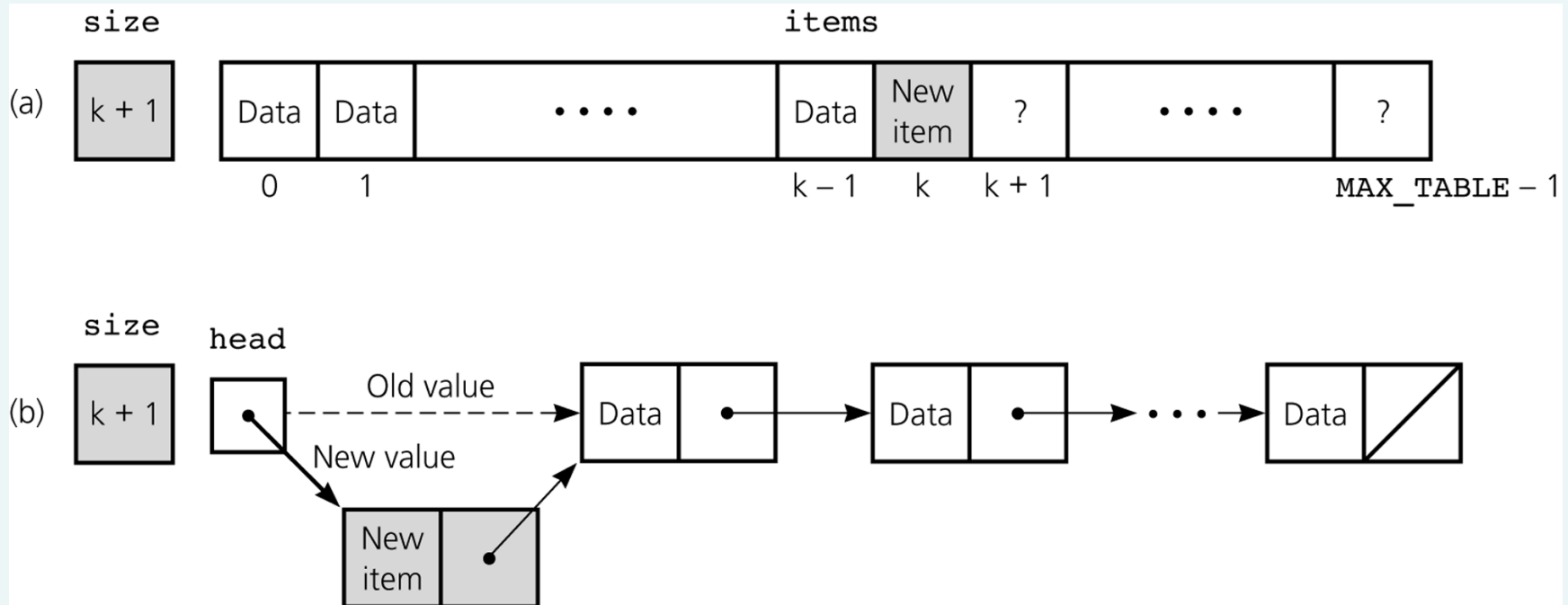


Figure 12-5

Insertion for unsorted linear implementations: a) array based; b) reference based

# Scenario A: Insertion and Traversal in No Particular Order

- A binary search tree implementation is not appropriate
  - It does more work than the application requires
    - It orders the table items
  - The insertion operation is  $O(\log n)$  in the average case

# Scenario B: Retrieval

- Binary search
  - An array-based implementation
    - Binary search can be used if the array is sorted
  - A reference-based implementation
    - Binary search can be performed, but is too inefficient to be practical
- A binary search of an array is more efficient than a sequential search of a linked list
  - Binary search of an array
    - Worst case:  $O(\log_2 n)$
  - Sequential search of a linked list
    - $O(n)$

# Scenario B: Retrieval

- For frequent retrievals
  - If the table's maximum size is known
    - A sorted array-based implementation is appropriate
  - If the table's maximum size is not known
    - A binary search tree implementation is appropriate

# Scenario C: Insertion, Deletion, Retrieval, and Traversal in Sorted Order

- Steps performed by both insertion and deletion
  - Step 1: Find the appropriate position in the table
  - Step 2: Insert into (or delete from) this position
- Step 1
  - An array-based implementation is superior than a reference-based implementation
- Step 2
  - A reference-based implementation is superior than an array-based implementation
    - A sorted array-based implementation shifts data during insertions and deletions



# Scenario C: Insertion, Deletion, Retrieval, and Traversal in Sorted Order

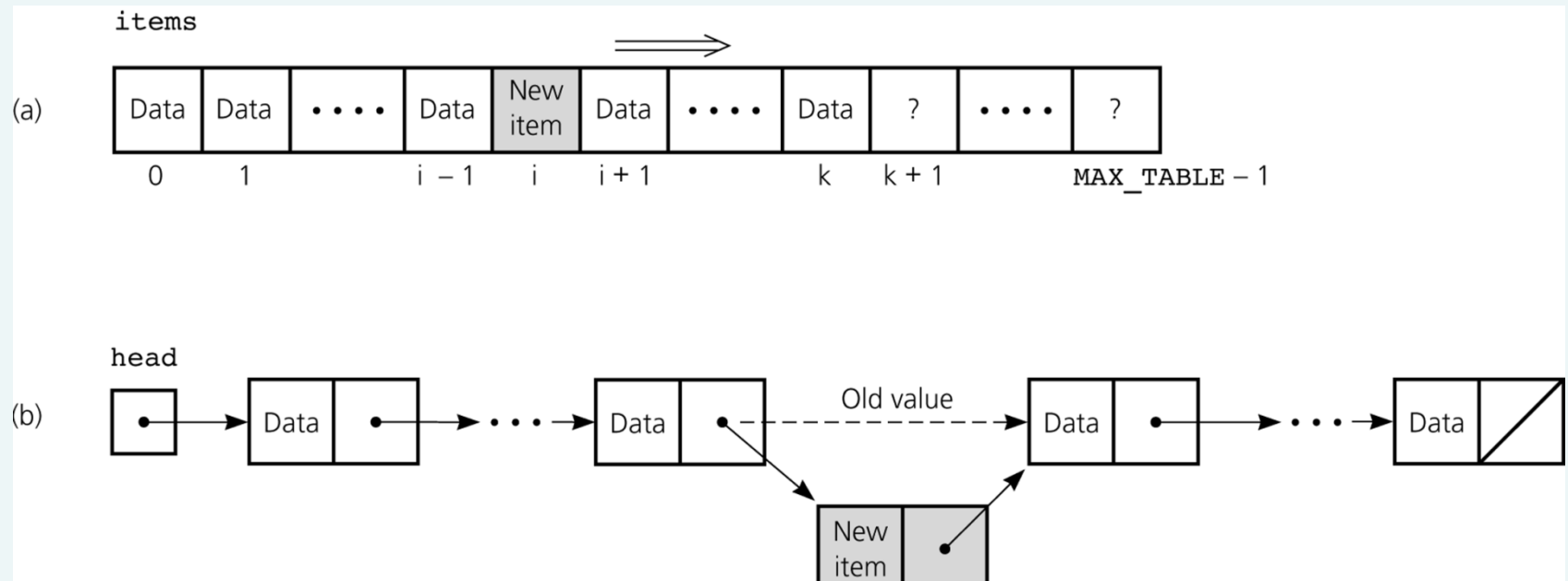


Figure 12-6

Insertion for sorted linear implementations: a) array based; b) reference based

# Scenario C: Insertion, Deletion, Retrieval, and Traversal in Sorted Order

- Insertion and deletion operations
  - Both sorted linear implementations are comparable, but neither is suitable
    - `tableInsert` and `tableDelete` operations
      - Sorted array-based implementation is  $O(n)$
      - Sorted reference-based implementation is  $O(n)$
  - Binary search tree implementation is suitable
    - It combines the best features of the two linear implementations

# A Sorted Array-Based Implementation of the ADT Table

- Linear implementations
  - Useful for many applications despite certain difficulties
- A binary search tree implementation
  - In general, can be a better choice than a linear implementation
- A balanced binary search tree implementation
  - Increases the efficiency of the ADT table operations

# A Sorted Array-Based Implementation of the ADT Table

	<u>Insertion</u>	<u>Deletion</u>	<u>Retrieval</u>	<u>Traversal</u>
Unsorted array based	$O(1)$	$O(n)$	$O(n)$	$O(n)$
Unsorted pointer based	$O(1)$	$O(n)$	$O(n)$	$O(n)$
Sorted array based	$O(n)$	$O(n)$	$O(\log n)$	$O(n)$
Sorted pointer based	$O(n)$	$O(n)$	$O(n)$	$O(n)$
Binary search tree	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(n)$

**Figure 12-7**

The average-case order of the operations of the ADT table for various implementations

# A Sorted Array-Based Implementation of the ADT Table

- Reasons for studying linear implementations
  - Perspective
  - Efficiency
  - Motivation
- `TableArrayBased` class
  - Provides an array-based implementation of the ADT table
  - Implements `TableInterface`

# A Binary Search Tree

## Implementation of the ADT Table

- `TableBSTBased` class
  - Represents a nonlinear reference-based implementation of the ADT table
  - Uses a binary search tree to represent the items in the ADT table
    - Reuses the class `BinarySearchTree`

Please open file *carrano\_ppt12\_B.ppt*  
to continue viewing chapter 12.



## Chapter 12 (continued)

# Tables and Priority Queues



# The ADT Priority Queue: A Variation of the ADT Table

- The ADT priority queue
  - Orders its items by a priority value
  - The first item removed is the one having the highest priority value
- Operations of the ADT priority queue
  - Create an empty priority queue
  - Determine whether a priority queue is empty
  - Insert a new item into a priority queue
  - Retrieve and then delete the item in a priority queue with the highest priority value

# The ADT Priority Queue: A Variation of the ADT Table

- Pseudocode for the operations of the ADT priority queue

```
createPQueue()
```

```
// Creates an empty priority queue.
```

```
pqIsEmpty()
```

```
// Determines whether a priority queue is
```

```
// empty.
```

# The ADT Priority Queue: A Variation of the ADT Table

- Pseudocode for the operations of the ADT priority queue (Continued)

```
pqInsert(newItem) throws PQueueException
// Inserts newItem into a priority queue.
// Throws PQueueException if priority queue is
// full.
```

```
pqDelete()
// Retrieves and then deletes the item in a
// priority queue with the highest priority
// value.
```

# The ADT Priority Queue: A Variation of the ADT Table

- Possible implementations
  - Sorted linear implementations
    - Appropriate if the number of items in the priority queue is small
    - Array-based implementation
      - Maintains the items sorted in ascending order of priority value
    - Reference-based implementation
      - Maintains the items sorted in descending order of priority value

# The ADT Priority Queue: A Variation of the ADT Table

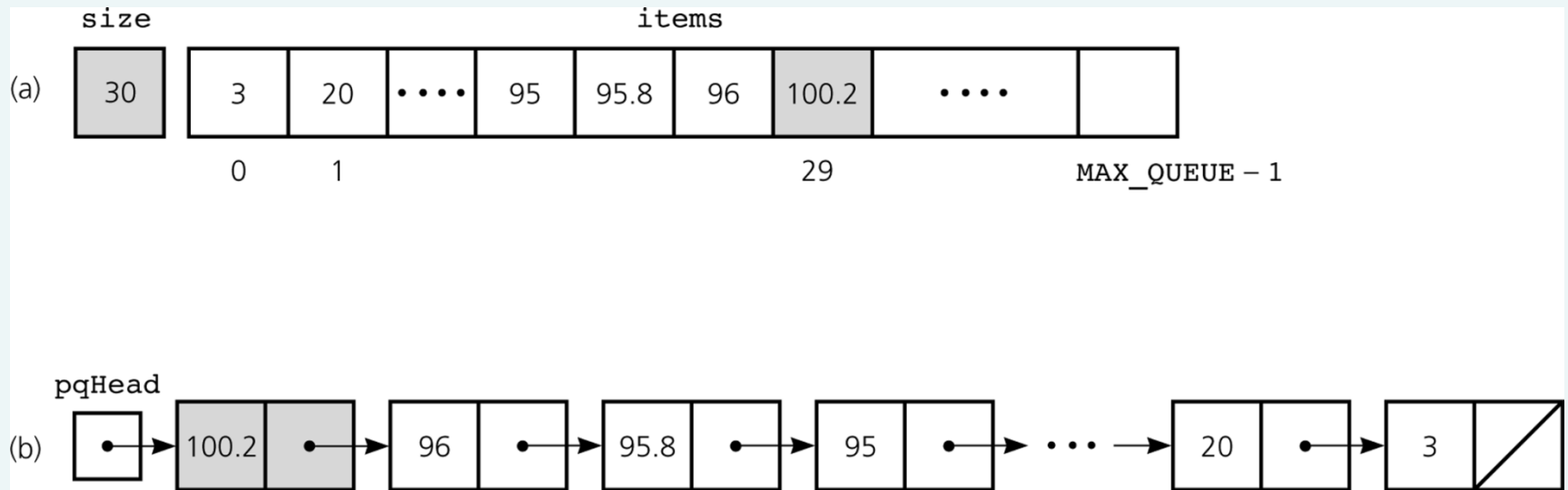


Figure 12-9a and 12-9b

Some implementations of the ADT priority queue: a) array based; b) reference based

# The ADT Priority Queue: A Variation of the ADT Table

- Possible implementations (Continued)
  - Binary search tree implementation
    - Appropriate for any priority queue

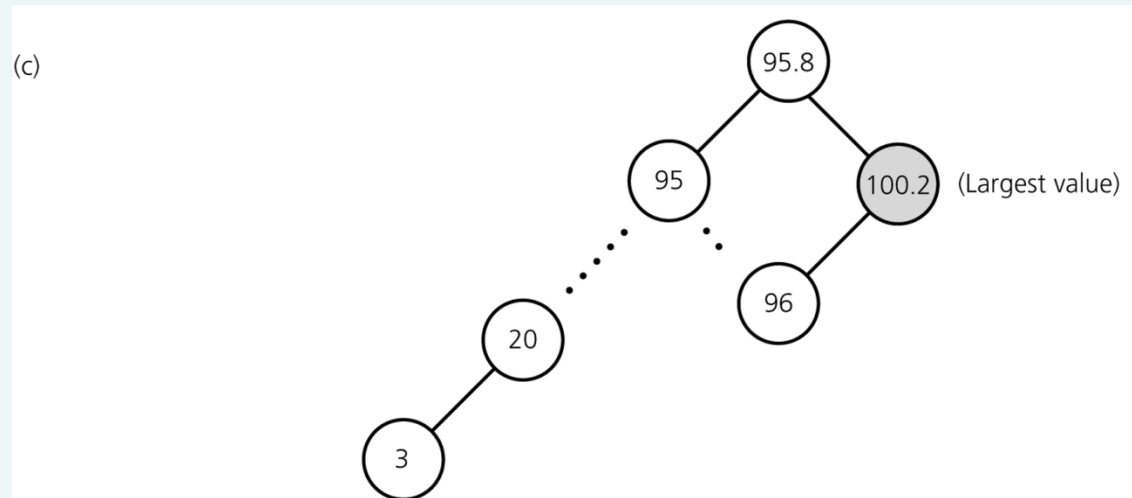


Figure 12-9c

Some implementations of the ADT priority queue: c) binary search tree

# Heaps

- A heap is a complete binary tree
  - That is empty

or

- Whose root contains a search key greater than or equal to the search key in each of its children, and
- Whose root has heaps as its subtrees

# Heaps

- Maxheap
  - A heap in which the root contains the item with the largest search key
- Minheap
  - A heap in which the root contains the item with the smallest search key



# Heaps

- Pseudocode for the operations of the ADT heap

```
createHeap()
```

```
// Creates an empty heap.
```

```
heapIsEmpty()
```

```
// Determines whether a heap is empty.
```

```
heapInsert(newItem) throws HeapException
```

```
// Inserts newItem into a heap. Throws
```

```
// HeapException if heap is full.
```

```
heapDelete()
```

```
// Retrieves and then deletes a heap's root
```

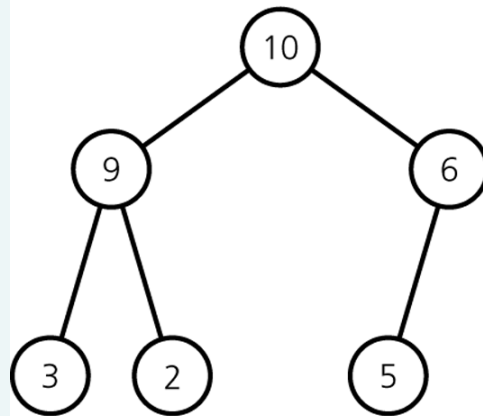
```
// item. This item has the largest search key.
```

# Heaps: An Array-based Implementation of a Heap

- Data fields
  - `items`: an array of heap items
  - `size`: an integer equal to the number of items in the heap

Figure 12-11

A heap with its array representation



0	10
1	9
2	6
3	3
4	2
5	5

# Heaps: heapDelete

- Step 1: Return the item in the root
  - Results in disjoint heaps

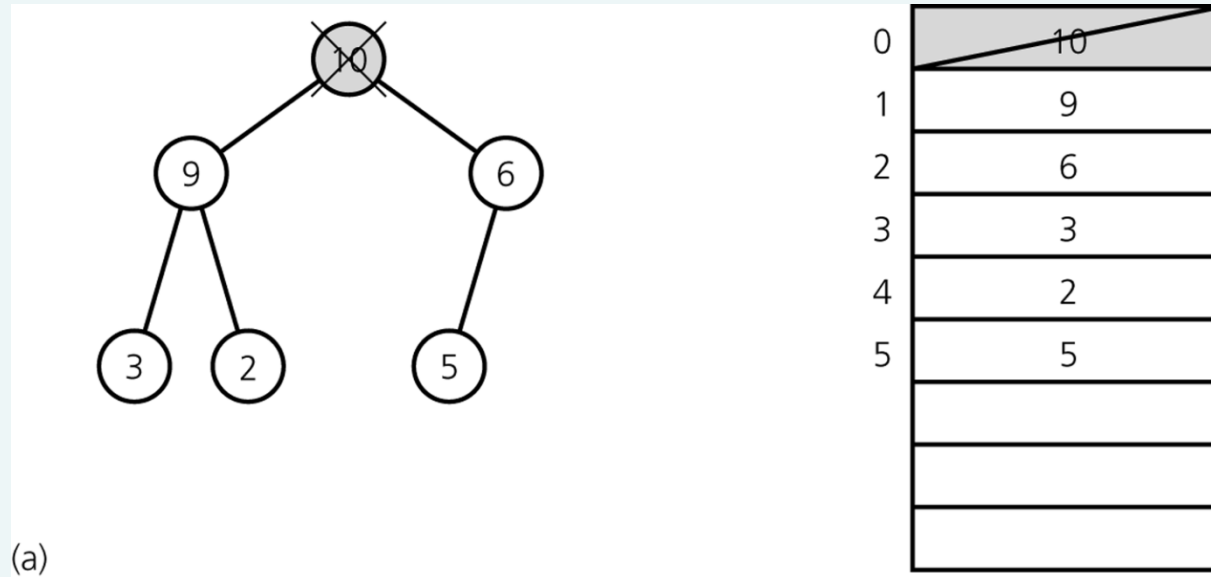


Figure 12-12a

a) Disjoint heaps

# Heaps: heapDelete

- Step 2: Copy the item from the last node into the root
  - Results in a semiheap

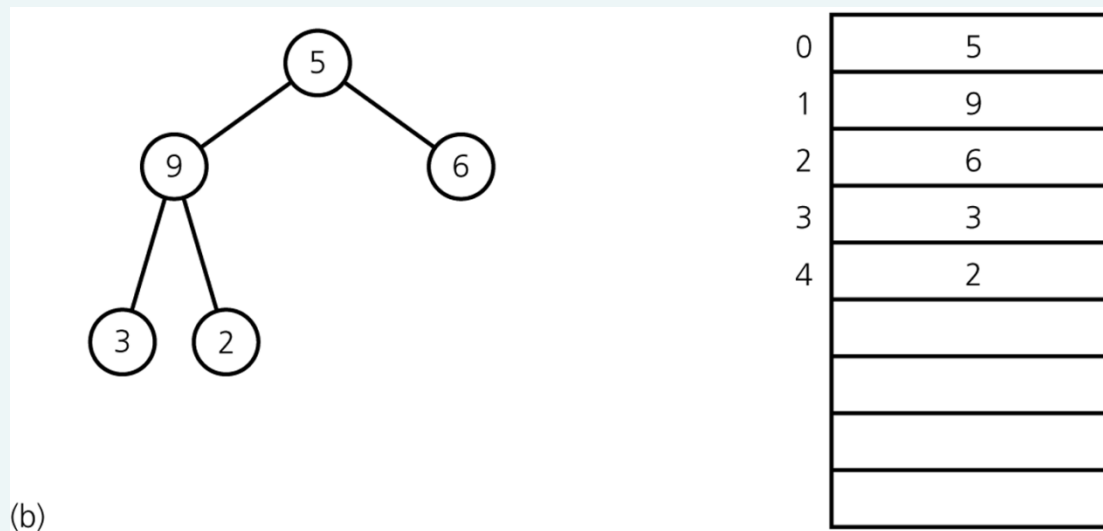


Figure 12-12b

b) a semiheap

# Heaps: `heapDelete`

- Step 3: Transform the semiheap back into a heap
  - Performed by the recursive algorithm `heapRebuild`

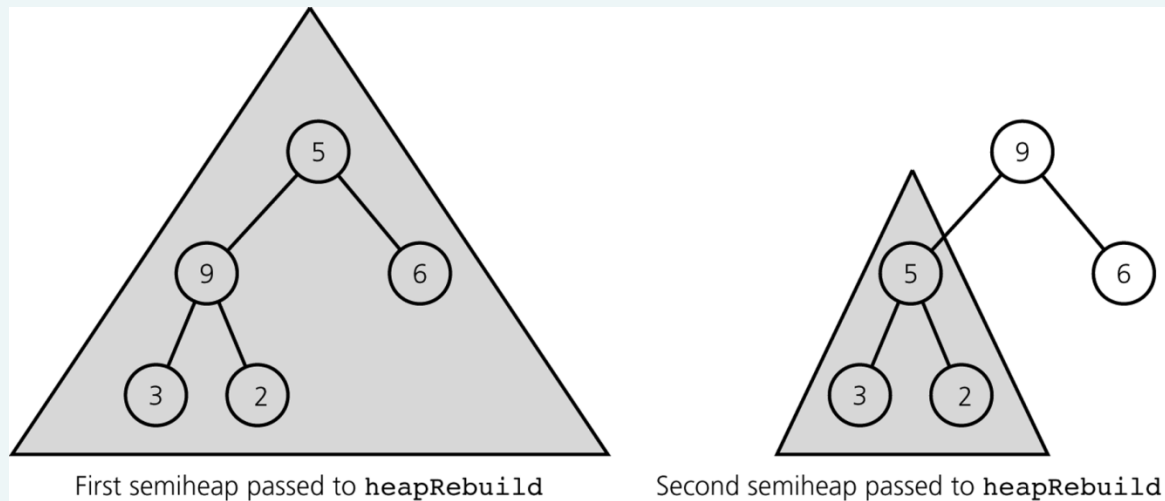


Figure 12-14

Recursive calls to ***heapRebuild***

# Heaps: heapDelete

- Efficiency
  - heapDelete is  $O(\log n)$

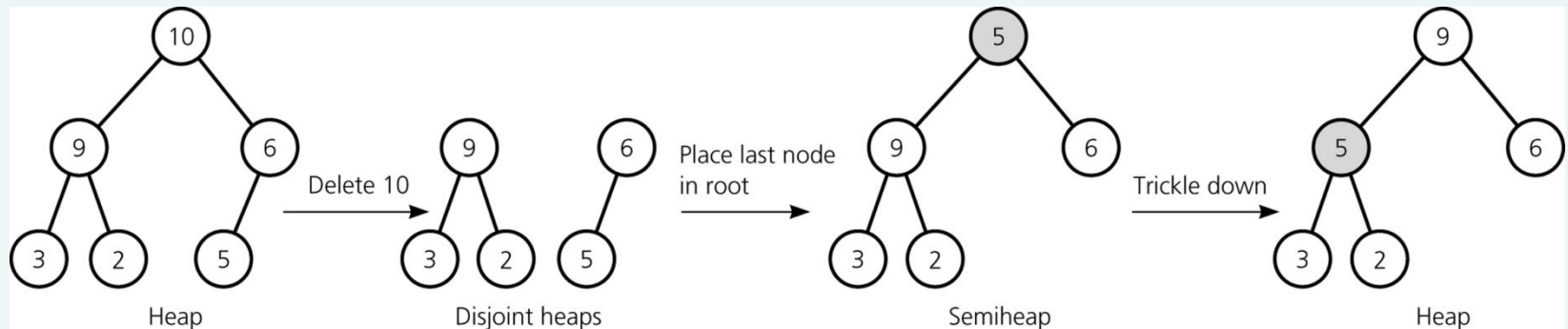


Figure 12-13

Deletion from a heap

# Heaps: heapInsert

- Strategy
  - Insert `newItem` into the bottom of the tree
  - Trickle new item up to appropriate spot in the tree
- Efficiency:  $O(\log n)$
- Heap class
  - Represents an array-based implementation of the ADT heap

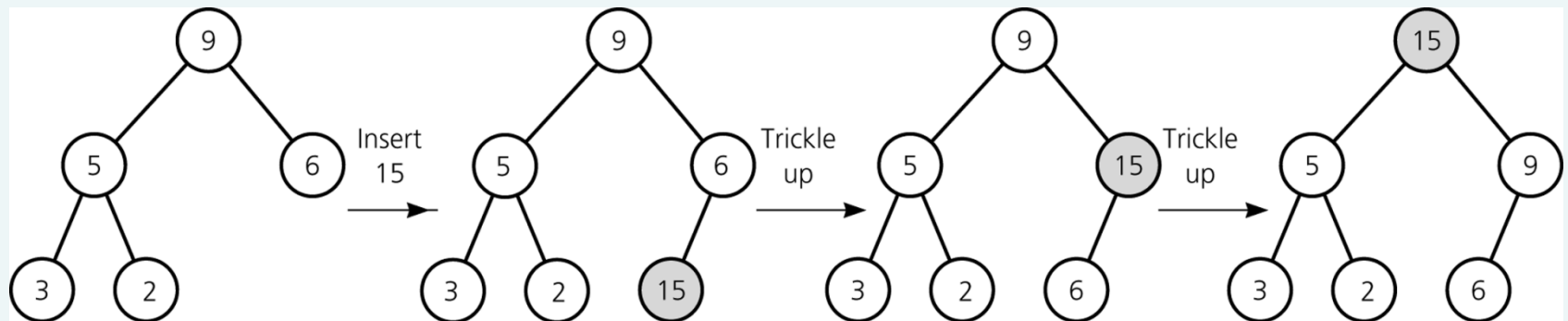


Figure 12-15

Insertion into a heap

# A Heap Implementation of the ADT Priority Queue

- Priority-queue operations and heap operations are analogous
  - The priority value in a priority-queue corresponds to a heap item's search key
- `PriorityQueue` class
  - Has an instance of the `Heap` class as its data field



# A Heap Implementation of the ADT Priority Queue

- A heap implementation of a priority queue
  - Disadvantage
    - Requires the knowledge of the priority queue's maximum size
  - Advantage
    - A heap is always balanced
- Finite, distinct priority values
  - A heap of queues
    - Useful when a finite number of distinct priority values are used, which can result in many items having the same priority value

# Heapsort

- Strategy
  - Transforms the array into a heap
  - Removes the heap's root (the largest element) by exchanging it with the heap's last element
  - Transforms the resulting semiheap back into a heap
- Efficiency
  - Compared to mergesort
    - Both heapsort and mergesort are  $O(n * \log n)$  in both the worst and average cases
    - Advantage over mergesort
      - Heapsort does not require a second array
  - Compared to quicksort
    - Quicksort is the preferred sorting method

# Heapsort

Figure 12-16

a) The initial contents of *anArray*; b) *anArray*'s corresponding binary tree

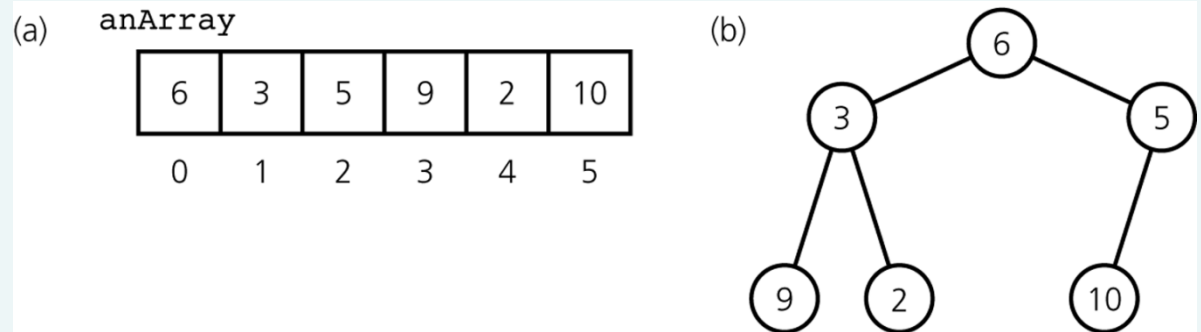
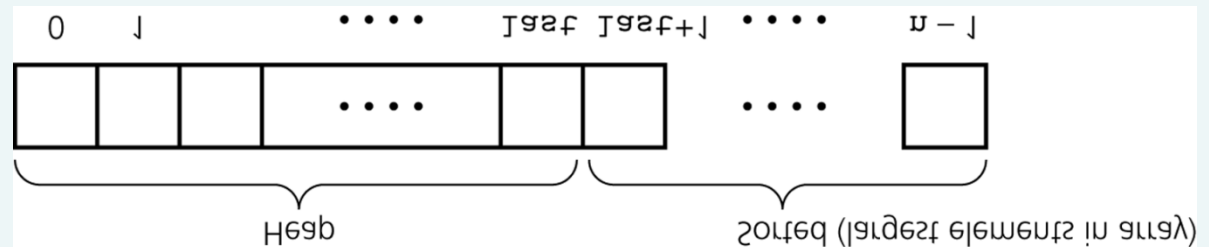


Figure 12-18

Heapsort partitions an array into two regions



# Tables and Priority Queues in JFC: The JFC `Map` Interface

- Map interface
  - Provides the basis for numerous other implementations of different kinds of maps
- **public interface** `Map<K,V>` **methods**
  - **void** `clear()`
  - **boolean** `containsKey(Object key)`
  - **boolean** `containsValue(Object value)`
  - `Set<Map.Entry<K,V>> entrySet()`
  - `V get(Object key);`

# Tables and Priority Queues in JFC: The JFC `Map` Interface

- **public interface** `Map<K, V>` **methods**

(continued)

- **boolean** `isEmpty()`
- `Set<K> keySet()`
- `V put(K key, V value)`
- `V remove(Object key)`
- `Collection<V> values()`

# The JFC Set Interface

- Set interface
  - Ordered collection
  - Stores single value entries
  - Does not allow for duplicate elements
- **public interface** Set<T> methods
  - **boolean** add(T o)
  - **boolean** addAll(Collection<? **extends** T> c)
  - **void** clear()
  - **boolean** contains(Object o)
  - **boolean** isEmpty()

# The JFC Set Interface

- **public interface** Set<T> methods  
(continued)
  - Iterator<T> iterator()
  - **boolean** remove(Object o)
  - **boolean** removeAll(Collection<?> c)
  - **boolean** retainAll(Collection<?> c)
  - **int** size()

# The JFC PriorityQueue Class

- PriorityQueue class
  - Has a single data-type parameter with ordered elements
  - Relies on the natural ordering of the elements
    - As provided by the Comparable interface or a Comparator object
  - Elements in queue are ordered in ascending order
- **public Class** PriorityQueue<T>  
methods
  - PriorityQueue(**int** initialCapacity)
  - PriorityQueue(**int** initialCapacity, Comparator<? **super** T> comparator)
  - **boolean** add(T o)
  - **void** clear()
  - **boolean** contains(Object o)



# The JFC PriorityQueue Class

- **public Class** PriorityQueue<T>  
methods (continued)
  - Comparator<? **super** T> comparator()
  - T element()
  - Iterator<T> iterator()
  - **boolean** offer(T o)
  - T peek()
  - T poll()
  - **boolean** remove(Object o)
  - **int** size()

# Summary

- The ADT table supports value-oriented operations
- The linear implementations (array based and reference based) of a table are adequate only in limited situations or for certain operations
- A nonlinear reference-based (binary search tree) implementation of the ADT table provides the best aspects of the two linear implementations
- A priority queue, a variation of the ADT table, has operations which allow you to retrieve and remove the item with the largest priority value

# Summary

- A heap that uses an array-based representation of a complete binary tree is a good implementation of a priority queue when you know the maximum number of items that will be stored at any one time
- Efficiency
  - Heapsort, like mergesort, has good worst-case and average-case behaviors, but neither algorithms is as good in the average case as quicksort
  - Heapsort has an advantage over mergesort in that it does not require a second array

# Summary

- Tables and priority queues in JFC
  - Map interface
  - Set interface
  - PriorityQueue class