Numerical trajectory patterns of floating macroalgae

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# Introduction

There is a range of objects, both natural and anthropogenic, floating in the ocean of which macroalgae are regarded as one of the most important passive dispersal mechanisms of marine taxa. Floating kelp acts as a passive dispersal mechanism for a range of marine taxa and is sometimes referred to as the ‘tumble-weed’ of the ocean (Edgar, 1987; Norton, 1992; Bushing, 1994; Helmuth et al., 1994; Holmquist, 1994; Smith, 2002; McCormick et al., 2008). Considerable research has been performed investigating macroalgae as a passive dispersal mechanism in the ocean, however, in recent years much of the focus has shifted towards microplastics.

Some macroalgae species are negatively buoyant and sink to the seafloor when detached from the substrate, while other species of macroalgae have air-filled pneumatocysts or stipes which allow the plants to reach the surface where light is more abundant. In turn, the positively buoyant pneumatocysts cause plants to float to the surface when dislodged from the substratum. The structure and number of pneumatocysts varies between species. For example species from the genera *Macrocystis*, *Sargassum*, *Ascophyllum*, and *Fucus* have thalli with many small pneumatocysts, while other kelp species such as *Nereocystis luetkeana*, *Pelagophycus porra*, *Ecklonia radiata*, *Ecklonia maxima* have a single, large pneumatocysts (Dayton, 1985; Smith, 2002; Thiel and Gutow, 2005; Graiff et al., 2016; Batista et al., 2018). In some cases the stipe itself is air-filled, such as with *E. maxima* and *E. radiata*. Although there have been reports of floating Chlorophyta species and some Rhodophyta species, Phaeophyceae species are the most commonly reported forms of floating algae. This is most likely because the green and red species reported floating are not actually positively buoyant, but instead are kept at the surface by gas trapped inbetween or in the thalli (Dromgoole, 1982; Bäck et al., 2000). The giant kelp *Macrocystis pyrifera* (Helmuth et al., 1994; Kingsford, 1995; Hobday, 2000; Macaya et al., 2005; Graiff et al., 2016; Batista et al., 2018) and the bull kelp *Durvillaea antarctica* (Smith, 2002; Collins et al., 2010; Wichmann et al., 2012; Tala et al., 2013 , 2017; Saunders, 2014; Batista et al., 2018) have been the focus of much of the research regarding spatial and temporal dispersal patterns, the ecological role of rafting, marine connectivity and raft-time.

Past research points to macroalgae trajectory being largely determined by prevailing wind conditions and surface currents (Hobday, 2000; Thiel and Gutow, 2005, @thiel2005; Fraser et al., 2011; Rothäusler et al., 2011, 2011). Although ocean currents are regarded as the primary influence, the relative importance of wind versus surface current is still not known; although the role of wind has been recognised as important in several studies. For example, a study by Harrold and Lisin (1989) investigated the seasonal trajectories of radio-transmitter tagged *M. pyrifera* in nearshore Monterrey Bay. The results showed that kelp rafts with little surface area exposed to the wind were largely driven by a combination of wind and wind waves, however the relative importance of wind and wind waves was not clear. In addition, the tagged kelp trajectories were more consistent with the formation of eddies during winter. Previous studies have identified wind as an important mechanism of dispersal in wind dominated ocean systems. For example the subAntartic latitudes the West Wind Drift causes continuous unidirectional surface flow and is regarded as an important potential mechanism for dispersal of floating kelp. Other studies have used genetic approaches to determine macroalgae raft trajectory characteristics by inferring source location from genetically similar populations (Nikula et al., 2013). For example, a study by Fraser et al. (2011) on the rafting capabilities of *Durvillaea antarctica* used a combination of population genetics and relative age estimate of ‘goosebarnacles’ attached to the raft. The presence of goosebarnacles suggests a long raft time as these species have a slow growth rate; while the genetic analyses showed these species are able to raft up to 390km from their local origin. The authors suggested that wind and water-movement were the primary influences of trajectory, however, this was only inferred from the genetic results and local climatology data (Fraser et al., 2011).

Other aspects such as buoyancy and drag also play a role in determining the trajectory and rate of transport for surface floating material. However, the past research on macroalgal trajectory has not investigated these factors which have been shown to be important aspects of trajectory for other materials such as icebergs, marine craft and microplastics. The “sail” area of an object floating at sea is the surface area exposed to the wind which results in air form drag, while the area of the object below the surface of the water is exposed to surface currents which result in water form drag. Drag coefficients related to wind and surface current have been shown to be important properties to consider when estimating trajectory and forecasting drift for search and sea rescue operations. Drag is ultimately determined by the size and shape of the object which are properties that vary considerably with macroalgae species. In addition, past research conducted within the maritime industry has shown that the size and shape of a vessel determine the relative importance of waves or wind as drivers of trajectory, as well as orientation of the object. If the length of the object is longer than the significant wave height then waves will be the primary driver of trajectory while the opposite is true for smaller objects where the effect of waves is regarded as negligible (Breivik et al., 2011; Griffin et al., 2017).

To accurately determine the trajectory of marine macroalgae these aspects need to be taken into account. Past research by Allen and Plourde (1999) and Breivik et al. (2011) have provided estimates of drag for various objects based on experimental work, which consisted of both direct and indirect methods of the Leeway model. However, this work does not consider biological material such as macroalgae. Although drag estimates do exist, these have been calculated for macroalgae not detached from the substratum and are regarded as fixed-point estimates. Methods used in the field of chemical engineering provide an alternative approach to estimating drag of macroalgae by means of formulas to calculate drag based on shape. Chemical engineers in the field of fluid dynamics which come across similar problems when including drag use an approach known as a ‘spherecity factor’. A spherecity factor is expressed mathematically by , and the ratio of the surface area of a sphere having the volume as the irregular shaped particle () to the actual surface area of the particle (). The values are dependent on the shape of the object and a range of values have been determined based on numerous experimental work.

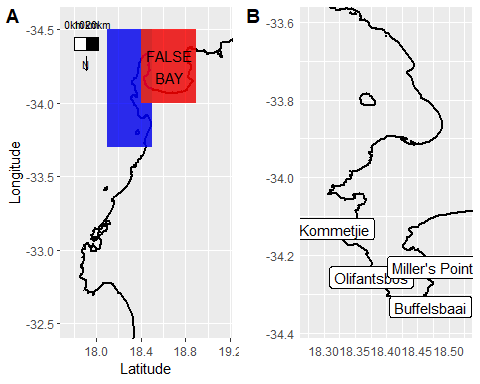
Although various approaches have been used in the past to investigate floating macroalagae trajectory, very few studies have employed the use of Langrangian trajectory modelling. Furthermore, non of the existing studies using this modeling approach have considered macroalgal morphology (i.e. shape) as an aspect of drag and ultimately trajectory. Currently, work by Brooks et al. (2019) which investigated the effect of inertia and radial size on the trajectory of pelagic *Sargussum* rafts. The study used a custom growth model to estimate changes in biomass and ultimately radial size, while a customised Hybrid Coordinate Ocean Model (HYCOM) for the trajectory simulations was used. The results showed that trajectory of pelagic *Sargussum* was significantly influenced by inertia and the radial size of the rafts. Langrangian trajectory modeling is a useful tool, however, the various models available often assume the object to be a spherical particle, which is obviously not the case for both biological and anthropogenic forms of marine debris in nature. The radial size included by Brooks et al. (2019) is an improvement, however this approach does not take into account the complex morphology on an individual level into account.

In this study, a numerical approach is used to shed light on the role of water and direct wind drag on macroalgal drift trajectory using lagrangian based trajectory simulations. This will be achieved by comparing the trajectory outputs of virtual ‘passive’ particles (no drag characteristics added) with kelp particles (drag characteristics added) of varying degrees of water and wind drag exposure. The different degrees of wave and wind exposure are meant to reflect the different buoyancy characteristics, as well as identify the role of magnitude of the drag components on overall trajectory.

# Methods

## Study site

The region of interest for this study was the Cape Peninsula in the Western Cape, South Africa. This was further sub-divided into two domains, the Atlantic domain and False Bay domain (see figure A). One release site is located in each of the domains, namely Kommetjie in the Atlantic and Buffelsbaai in False Bay (see figure B). The hydrodynamics between the two domains are driven by a complex interaction of wind and wave vectors, with wind driven processes primarily occurring in False Bay. For a more detailed explanation of the hydrodynamics of these two domains the reader is referred to Coppin et al. (2020). The hydrodynamic characteristics of these regions are also influenced by larger oceanic processes, which in South Africa can largely be attributed to the Agulhas Current (AC) and the Benguela Current (BC). The AC is a component of the South-west Indian Ocean sub-gyre, flowing predominantly southwestward following the continental shelf edge and eventually retroflecting eastward back into the South Indian Ocean.



Map of the study area and sampling sites. The blue shaded area is the Atlantic region and the red shaded region is the False Bay region.

## Particle tracking model

The model is based on parameterisations that have been used for previous studies investigating iceberg, capsized marine vessels and microplastics. It should be noted that most of the previous studies regarding macroalgae trajectory have investigated rafts and not solitary floating individuals. A kelp raft will vary in size and can range from a few meters across to large dense mats the size of a sports field. Furthermore, some authors suggest that most macroalgae become entangled through ocean currents and not through the hydrodynamic forces that dislodge them. In addition, large kelp rafts are not a characteristics around the coast of the Cape Peninsula but rather solitary kelp are (Pers. Obs). Therefore, the trajectory of only olitary *E. maxima* individuals will be considered. Numerical calculations of particle trajectory with a Lagrangian method (Delandmeter and Van Sebille, 2019), and be described by the equation

where is the three-dimensional position of a particle, is the three-dimensional velocity field at the location in the ocean model, is a change in position due to ‘behavior’ of the particle. This can range from swimming in fish to sinking or beaching. IN order to investigate the effects of drag components of trajectory of floating macroalgae, this study incorporated drag as the custom behavior.

This study simulated two scenarios; one where the plant is fully submerged and only exposed to seawater drag; and the other where parts of the plants are partially exposed resulting in a combination of seawater and air form drag. Drag based on shape based coefficients were used in the calculations for determining the overall kelp velocity vectors. A momentum energy equation was used to calculate the drag forces for the relevant simulation. This approach has been employed in modeling iceberg drift trajectory (Lichey and Hellmer, 2001; Eik, 2009; Andersson et al., 2017) and was adapted to suit this particular study. The energy momentum equation used to calculate the drag force exerted on the virtual kelp particle was,

where is kelp mass, the virtual kelp particle velocity, the hydrodynamic drag, is the wind drag, and the surface-current flow. The assumption made was that the mass of the kelp did not change for each virtual kelp particle over the course of the simulations. Hydrodynamic drag, wind drag and surface current-flow are two-dimensional vector quantities and were calculated for each time step for each simulation.

## Hydrodynamic and wind model

Both passive and kelp particles were simulated around the South African coastline within hind-cast outputs from the Copernicus Marine Environment Monitoring Service. The Copernicus outputs used in this study contains 3D daily current information from the top layer to the bottom (Global Analysis Forecast PHY\_001\_024). The model has a spatial resolution of 0.08 and is interpolated on a Arakawa C native grid.

In order to incorporate the effects of direct wind drag within the simulations, the Copernicus Marine Environment Monitoring Service global wind product was used (WIND\_L4\_NRT\_OBSERVATIONS\_012\_004). The outputs from the model is composed of 6-hourly averaged fields of surface 10m wind velocity vectors with a spatial resolution of 0.25. Both averaged ocean current and wind data are stored as meridonal and zonal velocity vectors.

## Simulations

Virtual particles were released with no drag or wind effects from the study site in order to act as a basis for comparison to simulations with varying degrees of hydrodynamic and wind drag, and are refferred to hereafter as ‘passive particles’. All simulations have the same release location and were run for 30 days with hourly outputs using a fourth order Runge-Kutte advection scheme. Brownian motion was also incorporated in order to account for any stochasticity not resolved by the model. These are standard practices for Lagrangian trajectory studies.

| **Scenario** | **Type** | **Cross-sectional area** | **Drag Exposure level** |
| --- | --- | --- | --- |
| 1 | Passive | N/A | N/A |
| 2 | Kelp | Minimum | Hydrodynamic drag only |
| 3 | Kelp | Minimum | Hydrodynamic drag 95%, wind drag 5% |
| 4 | Kelp | Minimum | Hydrodynamic drag 90%, wind drag 10% |
| 5 | Kelp | Minimum | Hydrodynamic drag 85%, wind drag 15% |
| 6 | Kelp | Mean | Hydrodynamic drag only |
| 7 | Kelp | Mean | Hydrodynamic drag 95%, wind drag 5% |
| 8 | Kelp | Mean | Hydrodynamic drag 90%, wind drag 10% |
| 9 | Kelp | Mean | Hydrodynamic drag 85%, wind drag 15% |
| 10 | Kelp | Maximum | Hydrodynamic drag only |
| 11 | Kelp | Maximum | Hydrodynamic drag 95%, wind drag 5% |
| 12 | Kelp | Maximum | Hydrodynamic drag 90%, wind drag 10% |
| 13 | Kelp | Maximum | Hydrodynamic drag 85%, wind drag 15% |

In order to determine the influence of cross-sectional area on drag components, simulations were performed for the minimum, mean and maximum cross-sectional areas for Kommetjie kelp forest population (see figure ). In order to determine the direct effect of wind on trajectory, simulations were run for varying magnitudes of wind exposure for each type of cross-sectional area. The virtual particles released with the hydrodynamic or wind drag components are referred to as ‘kelp particles’.

## Model inputs

### Cross-sectional area

In order to incorporate hydrodynamic and wind drag, the cross-sectional area of the kelp was calculated first. Known geometric shapes reflecting the relevant plant sections were used to estimate the surface area for various parts of the plant, for details please see image/table ???. The dimensional data needed was estimated in cases were data was not available for that particular morphological characteristic. The bulb/pneumatocyst is a highly variable morphological characteristic and in some cases can appear absent, the same is true for the holdfast. Therefore, the cross-sectional area of the bulb/pneumatocyst was not considered in the calculation of overall cross-sectional area. In terms of the holdfast, a standard cross-sectional area was used for simulations where the inclusion of the holdfast was considered. The cross-sectional area calculated was site specific and the dimensions needed were garnered from morphology data from a previous study (Coppin et al., 2020). The minimum, mean and maximum were calculated for each morphological characteristic (see appendix), which were used for calculating the overall minimum, mean and maximum cross-sectional areas needed to run the various simulations. The trajectory of these “types” of cross-sectional areas (minimum, mean and maximum overall cross-sectional area) were used to determine the influence of drag, both hydrodynamic and wind, on overall trajectory.

| **Plant characteristic** | **Approximate shape** | **Equation Used** | **Plant dimensions** | **Ac Minimum** | **Ac Mean** | **Ac Maximum** |
| --- | --- | --- | --- | --- | --- | --- |
| Secondary blade | Rectangle | Ac = 2lw + 2lh + 2wh | frond length, frond width\*,frond thickness\* | 1,136 | 1,993 | 2,550 |
| Primary blade | Rhombus | Ac = (lxb)/2 | primary length, primary width | 96 | 262 | 536 |
| Bulb/pneomatocyst | Capsule | Ac = 4?r2 + 2?rh | bulb length\*, bulb base radius\* | 628 | 628 | 628 |
| Stipe | Cylinder | Ac = 2?r(r + h) | stipe radius from stipe circumference, stipe length | 9,797 | 19,338 | 34,273 |
| Holdfast area | Conical frustrum | Ac = ?(R2 + r2) + ?(R+r)?(R-r)2 + h2 | top radius\*, bottom radius\*, height\* | 640 | 640 | 640 |
|  |  |  | Total Area (centimeters) | 12,297 | 22,861 | 38,628 |
|  |  |  | Total area (meters) | 123 | 229 | 386 |
| Total weight | N/A | mass (kg) = stipe mass + (frond mass x 6) | Total weight (kg) | 17 | 34 | 49 |

### Hydrodynamic and wind drag

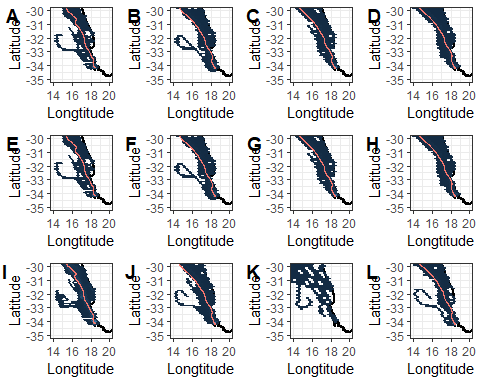
The use of a spherical shape coefficient was used in the approach to simulate the trajectory of solitary floating *E. maxima*. The drag coefficient for a spherical particle was used in the calculation of hydrodynamic drag as the trajectory model assumes the particles are spherical. The cross-sectional area for each of each virtual plant was converted to the equivalent cross-sectional area for that of a sphere in the equation for calculating hydrodynamic drag: , where is the density of seawater or air, is the cross-sectional area exposed to water or wind drag, is the drag coefficient for a spherical particle and is the surface velocity vector of the flow-/wind- field. Water and wind drag components were calculated separately for both the meridonal and zonal velocity vectors. Since drag force is dependent on the velocity vectors which vary with time, the meridonal and zonal velocities were interpolated and used in the drag force calculation for each time-step. A similar approach was used for the wind drag force. The same equation that was used to calculate hydrodynamic drag, was used to calculate the wind drag the virtual particle.

To test the different magnitudes that hydrodynamic and wind drag might play in the overall trajectory, simulations were run with varying degrees of drag exposure scenarios. These scenarios were meant to act as proxy for buoyancy, which determines the surface area exposed to hydrodynamic and wind drag. These estimates were expressed as percentages which were used to calculate hydrodynamic and wind drag for applicable simulation. For example, if 80% of the plant is submerged and 20% of above water, then the overall hydrodynamic and wind drag forces would be 80% and 20% of the total drag forces respectively. The exposure scenarios used in this study were 100%, 95%, 90%, and 85% for water drag; 0 %, 5%, 10%, and 15% for wind.

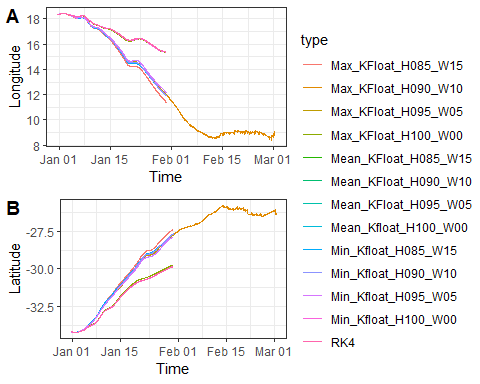
## Analysis

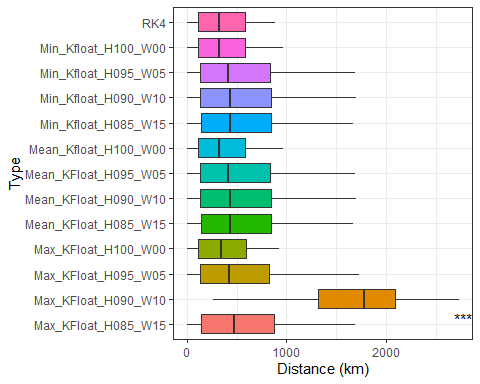
In order to compare trajectories between cross-sectional area types and wind exposure scenarios, density distribution maps were produced. This approach is an effective for assessing pathways and ocean connectivity (**???**). In addition, mean trajectory was calculated and included in the density distribution maps to further aid in comparing simulation outputs. The distance that particles traveled was also measured and used to produce boxplots and scatterplots. Distance traveled from the release site can help reveal topographic steering and momentum energy transfer from the wind field to kelp particle (**???**). If the wind direction is in the same direction as the current the virtual particles will cover larger distances due to an increase in the velocity as a result of momentum energy transfer (**???**; Putman et al., 2018, 2020).

# Results



Comparison of density of particles within each grid cell for the end run time of each scenario.





# Discussion

# Appendix

# References

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