

Prerequisite

- functions (basics)

Relations

Relations are similar to functions in that they establish connections between objects. But whereas a function associates only one output with every input, a relation is more flexible and allows connections to arbitrarily many elements.

Example 1 The question *Is x a biological child of y* is a function because it maps any two x and y to either true or false, but never both. But if we slightly change the question to just *Name a biological child of y* , we are no longer dealing with a function because multiple answers are possible if y has more than one child. Instead, we can talk about the *biological child* relation R such that x is related to y via R iff x is a biological child of y .

Basic notation

Given some relation R , we write $x R y$ to indicate that R relates x to y . Note that $x R y$ does not imply that $y R x$ also holds, nor that it doesn't hold.

Example 2 One relation you know very well is the “less than” relation $<$ over numbers. When we write $5 < 7$, we are saying that the relation $<$ relates 5 to 7. However, it is not the case that 7 is related to 5 via $<$, since $7 < 5$ does not hold.

Example 3 Suppose *John* has exactly two siblings, *Mary* and *Sue*. Then the sibling relation establishes two connections for John: *John-Mary*, and *John-Sue*. Using S for the sibling relation, we have $\text{John } S \text{ Mary}$ and $\text{John } S \text{ Sue}$. In contrast to $<$, the sibling relation is **symmetric**. That is to say, if Mary is a sibling of John, then John is also a sibling of Mary. Therefore we also have $\text{Mary } S \text{ John}$ and $\text{Sue } S \text{ John}$. The relation is also **transitive**. If John is a sibling of Mary, and Sue is a sibling of John, then Sue is a sibling of Mary. So it also holds that $\text{Mary } S \text{ Sue}$, and via symmetry we also get $\text{Sue } S \text{ Mary}$. Overall, we have $x S y$ where $x, y \in \{\text{John, Mary, Sue}\}$ and $x \neq y$.

Example 4 The **substring relation** \sqsubseteq holds between two strings u and v iff u is a substring of v . That is to say, $u \sqsubseteq v$ iff there are $w, w' \in \Sigma^*$ such that $w \cdot u \cdot w' = v$. Note that for any given string u , there are infinitely many v that u is a substring of. Even if the alphabet contains only a , the string aa is a substring of aaa , $aaaa$, $aaaaa$, and so on, ad infinitum. It is also a substring of itself (in this case, $w = w' = \varepsilon$).

Example 5 Relations can be defined over more complex objects like sets. An example of this is the subset relation \subseteq . The set $\{1\}$ is a subset of infinitely many other sets: $\{0, 1\}$, $\{1, 2\}$, $\{0, 1, 2\}$, $\{1, 3\}$, and so on.

Example 6 Just like a function can take multiple arguments to return a single output, a relation can connect multiple elements. In the real world, the “jointly conceived” relation J would connect two individuals to their offspring. So the expression

$$\text{John, Mary } R \text{ Sue}$$

encodes that John and Mary are the biological parents of Sue (let’s just hope that those are not the same people as in the first example).

Example 7 Here is an example of a very abstract relation. Consider the space of all possible functions from real numbers to real numbers — that’s a lot of functions. Now let’s define a boundedness relation B which relates function f to function g iff $f(x) \leq g(x)$ for every natural number x . Suppose, for instance, that $f(x) = x$ and $g(x) = x^2$. Then $f B g$, but not $g B f$.

Many functions aren’t related via B at all. One example of this is $f(x) = -x + 1$ and $g(x) = x^2$. It is the case that $f(x) \leq g(x)$ for all $x \geq 1$, but $f(0) = 1 > 0 = g(0)$.

We will mostly be dealing with the special case of **binary relations** where exactly one element is related to some other element.

Example 8 Almost all relations above are binary relations. The only exception is the “jointly conceived” relation J , which is a ternary relation as it relates three elements.

Given a binary relation R , $a R$ is the set of objects that a is related to. Similarly, $R b$ is the set of objects that are related to b .

$$a R := \{b \mid a R b\}$$

$$R b := \{a \mid a R b\}$$

Example 9 Suppose that the parent-of relation P establishes the following relations between elements: John P Sue and Mary P Sue. Then John $P = \{\text{Sue}\}$ and $P \text{ Sue} = \{\text{John, Mary}\}$.

Exercise 1 Let R be the relation that connects words to their parts of speech (N for nouns, V for verbs, A for adjectives, P for prepositions, D for determiners, and so on). List the following for English:

- export R
- apple R
- $R P$

Relations versus functions

Every function can be regarded as a relation.

Example 10 Consider the function $f : \mathbb{N} \rightarrow \mathbb{N}$ with $x \mapsto 2x$. It is identical to the relation R with $x R y$ iff $y = 2x$.

The crucial difference between functions and relations is that functions are **right-unique relations**. That's a fancy way of saying that a function cannot provide more than one output, whereas a relation can unless it is right-unique. When we view a function f as a relation R , then it must hold for every a that $a R$ is either empty or contains exactly one element. Hence the term right-unique: if we look at the expression $a R b$, a is the left side and b the right side. If there cannot be more than one choice for b , then the right side of $a R b$ is uniquely determined.

The bottom line: every function is a relation, but not every relation is a function. If a is related to two elements or more (i.e. $|a R| \geq 2$), then R cannot be a function.

Exercise 2 For each one of the following, say whether it is a function or just a relation.

- the parent-of relation (e.g. $j P m$ for “John is a parent of Mary”)
- the parent-of relation in a world where the one-child policy is enforced globally
- the relation between a car's license plate and its owners
- the prefix relation, where u is a prefix of v iff there is some $w \in \Sigma^*$ such that $v = u \cdot w$

Exercise 3 Is the following statement true or false? Justify your answer.
Every relation R can be regarded as a function that maps x to $x R$.

Recap

- Relations are a generalization of functions in that a single input can be related to many distinct outputs.
- Given some relation R , we write $x R y$ to indicate that R relates x to y .
- We mostly focus on binary relations.
- For binary relations, we have the following notation:

$$a R := \{b \mid a R b\}$$

$$R b := \{a \mid a R b\}$$