

Prerequisites

- sets (notation, operations)
- functions (basic notation, domain terminology)

Cardinality

Sets can be compared based on how many elements they contain.

Example 1 The set $A := \{a, b, c\}$ contains exactly as many elements as the set $X := \{x, y, z\}$, namely 3. Each set has fewer members than $A \cup X$, which contains 6 elements, whereas $A \cap X$ has 0 members.

This is commonly called the **size** of a set, but the more accurate term is **cardinality**. The cardinality of a set A is denoted $|A|$. We say that $|A| \leq |B|$ iff there is a function f such that every element of A is mapped to some element of B and every element of B has at most one element of A mapped to it.

Example 2 Suppose that $A := \{a, b, c\}$ and $D := \{d, e, f, g\}$. Then $|A| \leq |D|$, as every element of A can be mapped to some distinct element of D . For instance, we could have a function with $a \mapsto f, b \mapsto d, c \mapsto g$. In the other direction, $|D| \not\leq |A|$. No matter how one maps the elements of D to members of A , at least two members of D will have to be mapped to the same element in A .

Clearly $|A| = |B|$ iff $|A| \leq |B|$ and $|B| \leq |A|$ are both true. But there is a more direct definition: $|A| = |B|$ iff there is a function f such that f maps every element of A to some element of B and every element of B has exactly one element of A mapped to it. We also say that there is a **bijection** between A and B , which is a technical term for a 1-to-1 correspondence between the elements of A and B .

Example 3 We already saw that $|A| = |X|$ in the previous example. A possible choice of f would be $a \mapsto x, b \mapsto y, c \mapsto z$.

Example 4 The sets $A := \{0, 1, 2\}$ and $B := \{2, 3\}$ obviously have distinct cardinality. The set A contains 3 elements, the set B only 2. But let us see how we get the same result via our mathematical definition.

Suppose we have some arbitrary function $f : A \rightarrow B$. If f is a bijection, then it must map every element of A to some element of B . But since there are three elements in A and only two in B , some element of B must be the output for at least two elements of A . But then f is not a bijection.

In the other direction, consider some arbitrary function $g : B \rightarrow A$. Since a function maps each input to at most one output, the two elements of B are mapped to at most two elements of A . But A has three elements, so one element of A cannot be an output for any element of B . Again we find that g cannot be bijection.

This exhausts all cases we need to consider, and we may conclude that no function from A to B , or the other way round, can be a bijection. Hence A and B must have distinct cardinality.

Exercise Show that $|\{0 \leq n < 10 \mid n \text{ is odd}\}| = |\{0 \leq n < 10 \mid n \text{ is even}\}|$.

1 For finite sets, our intuitive notion of size closely matches the technical term of cardinality. However, size and cardinality diverge once we look at infinite sets.

Example Consider the set $\mathbb{N} := \{0, 1, 2, \dots\}$ of all natural numbers and the set $\mathbb{N}_+ := \{1, 2, \dots\}$ of all positive natural numbers. Intuitively, \mathbb{N} is larger than \mathbb{N}_+ because it contains all members of \mathbb{N}_+ as well as 0, which is not in \mathbb{N}_+ . But the function $f : \mathbb{N} \rightarrow \mathbb{N}_+$ with $n \mapsto n + 1$ is a bijection. Hence $|\mathbb{N}| = |\mathbb{N}_+|$ even though intuitively the two sets have distinct size.

Exercise Show that the set of natural numbers has the same cardinality as the set of all even natural numbers.

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In a later unit, we will see that our definition of cardinality entails that there are different “sizes” of infinity, and that we want one specific infinity size to talk about language.