# **Software Engineering**

### **Topic 1: Introduction to Software Engineering**

- **Definition**: Software Engineering involves designing, building, and maintaining large software systems using systematic, engineering approaches.
- Key Concepts:
  - Software: Instructions that make a computer work, can be categorized as:
    - System Software: Operates the computer hardware.
    - Application Software: Performs specific tasks for users.
  - Software Engineering: Theories, methods, and tools for developing, managing, and evolving software products.
  - Important Definitions:
    - I Sommerville: Concerned with theories, methods, and tools for developing software products.
    - B.W. Boehm: Practical application of scientific knowledge in software design and construction.
    - **IEEE Standard 610.12**: Systematic, disciplined, quantifiable approach to software development and maintenance.

### Importance of Software Engineering

- Economic Impact: Developed nations' economies depend heavily on software.
- Software-Controlled Systems: Increasingly prevalent in various sectors.
- Expenditure: Significant fraction of GNP in developed countries.

#### Characteristics of a Good Software Engineer

- Systematic Methods: Familiarity with software engineering principles.
- **Domain Knowledge**: Good technical knowledge of the project range.
- Programming Skills: Proficiency in coding.
- Communication Skills: Oral, written, and interpersonal skills.

### **Types of Software Products**

- **Generic Products**: Stand-alone systems sold on the open market (e.g., databases, word processors).
- **Customized Products**: Systems commissioned by a specific customer, tailored to their needs (e.g., control systems for electronic devices).

### Project-based vs. Product-based Software Engineering

• **Project-based**: Develops software based on external client requirements, with potential changes during the development process.

• **Product-based**: Focuses on a business opportunity identified by a company, rapidly developed to capture market share.

#### **Software Product Line**

- **Definition**: A set of software products sharing a common core, with customer-specific adaptations.
- **Platform Example**: Facebook, which provides a set of functionalities and supports the creation of additional applications.

#### **Software Execution Models**

- Stand-alone: Executes entirely on the customer's computers.
- Hybrid: Partially on customer's computers, partially on developer's servers.
- Software Service: Entirely on developer's servers, accessed via browser or app.

### **Developing Product Vision**

- **Definition**: Simple statements defining the essence of the product.
- Moore's Vision Template:
  - Target Customer: Who will use the product.
  - **Need or Opportunity:** What problem the product addresses.
  - Product Category: What type of product it is.
  - Key Benefit: Why customers should buy it.
  - Primary Differentiation: What sets it apart from competitors.

#### Information Sources for Product Vision

- **Domain Experience**: Developers' work experience.
- **Product Experience**: Users' insights on better functionality.
- Customer Experience: Discussions with prospective customers.
- Prototyping: Developing prototypes to explore ideas.

#### **Product Management Concerns**

- **Customer Understanding**: Regular contact with customers to understand their needs.
- Business Goals: Ensuring the software meets the company's business goals.
- **Technology Issues**: Awareness of important technology issues for customers.

### **Programming vs. Software Engineering**

- Time Management: Engineers focus on time and the need for change.
- Scale and Efficiency: Concerned with large-scale software and organizational efficiency.
- Trade-offs: Making complex decisions with high-stakes outcomes.

### **Software Systems**

- Abstract and Intangible: Not governed by physical laws, can become complex and expensive to change.
- Common Issues:
  - Increasing Demands: Rapid development and delivery of complex systems.
  - Low Expectations: Drifting into software development without SE methods.

### Software Engineering as a Discipline

- **Not Just Technical**: Includes project management, tool development, and organizational constraints.
- Systematic Approach: Produces high-quality software within schedule and budget.

#### **Essential Attributes of Good Software**

- Maintainability: Ability to evolve with changing needs.
- **Dependability and Security**: Reliability, security, and safety.
- Efficiency: Optimal use of system resources.
- Acceptability: User-friendly and compatible with other systems.

### **Importance of Software Engineering Methods**

- **Economic Efficiency**: Cheaper in the long run due to reduced change costs.
- Software Process: Systematic sequence of activities (specification, development, validation, evolution).

### Software Engineering vs. Computer Science

- Computer Science: Focuses on theories and fundamentals.
- Software Engineering: Practicalities of developing and delivering useful software.
- **Systems Engineering:** Concerned with the development of complex systems, including hardware and process design.

#### **General Issues in Software Development**

- Heterogeneity: Building flexible, dependable software.
- Business and Social Change: Rapid development and adaptation of software.
- Security and Trust: Ensuring software security and maintaining information security.

### Lecture 2

### Recap: What is Software Engineering?

- **Software**: Instructions given to a computer (computer programs), encompassing both system software and application software.
- Software Engineering: Theories, methods, and tools for developing, managing, and evolving software products.

#### **Software Costs**

- Software often costs more than hardware.
- Maintenance costs usually exceed development costs, especially for long-lived systems.
- Focuses on cost-effective software development.

#### **Software Process Activities**

- 1. **Software Specification**: Defining the software to be produced and the constraints on its operation.
- 2. **Software Development**: Designing and programming the software.
- 3. **Software Validation**: Ensuring the software meets customer requirements.
- 4. Software Evolution: Modifying software to reflect changing customer and market needs.

### **General Issues Affecting Software Engineering**

- Heterogeneity: Need for systems to operate across diverse networks and devices.
- Business and Social Change: Rapid adaptation to new technologies and market demands.
- Security and Trust: Ensuring reliable and secure software.
- **Scale**: Developing software for a wide range of scales, from small embedded systems to large cloud-based systems.

### **Key Challenges in Software Engineering**

- Increasing Diversity: Coping with varied system requirements.
- Reduced Delivery Times: Meeting tight deadlines.
- Developing Trustworthy Software: Ensuring reliability and security.

### **Costs of Software Engineering**

- Development costs: Approximately 60% of total costs.
- Testing costs: Approximately 40% of total costs.
- Custom software often has higher evolution costs than development costs.

### **Best Software Engineering Techniques and Methods**

- Different techniques are suitable for different systems (e.g., prototypes for games, complete specifications for safety-critical systems).
- No single method is universally the best.

### Impact of the Web on Software Engineering

- Availability of software services and highly distributed service-based systems.
- Advances in programming languages and software reuse.

### **Application Types**

1. Stand-alone Applications: Run on local computers without network connection.

- 2. Interactive Transaction-based Applications: Accessed remotely, e.g., web applications.
- 3. Embedded Control Systems: Control hardware devices.
- 4. Batch Processing Systems: Process data in large batches.
- 5. **Entertainment Systems**: Designed for personal use and entertainment.
- 6. Modeling and Simulation Systems: Used for scientific and engineering purposes.
- 7. Data Collection Systems: Collect data from the environment using sensors.
- 8. Systems of Systems: Composed of multiple integrated software systems.

### **Software Engineering Fundamentals**

- 1. Managed Development Process: Use an understood process tailored to the software type.
- 2. **Dependability and Performance**: Essential for all systems.
- 3. **Specification and Requirements Management**: Clear understanding and management of what the software should do.
- 4. Software Reuse: Reuse existing software when possible.

### **Software Engineering Ethics**

- · Wider responsibilities beyond technical skills.
- · Honesty and Ethical Responsibility: Essential for professional respect.
- Ethical Behavior: More than following the law, involves morally correct principles.

### **Professional Responsibility Issues**

- 1. **Confidentiality**: Respecting the confidentiality of employers or clients.
- 2. **Competence**: Accurately representing one's level of competence.
- 3. Intellectual Property Rights: Awareness and protection of intellectual property laws.
- 4. Computer Misuse: Avoiding misuse of technical skills and others' computers.

### **Lecture 3: Software Process**

#### **The Software Process**

- **Definition**: A structured set of activities required to develop a software system.
- **Software Process Model**: An abstract representation of a process from a particular perspective.

### **Key Process Activities**

- 1. Specification: Defining what the system should do.
- 2. **Design and Implementation**: Defining the system's organization and implementing it.
- 3. Validation: Ensuring the system meets customer requirements.
- 4. Evolution: Modifying the system in response to changing needs.

### **Process Descriptions**

- Products: Outcomes of a process activity.
- Roles: Responsibilities of the people involved.
- Pre- and Post-conditions: Statements true before and after a process activity.

## **Plan-driven and Agile Processes**

- Plan-driven Processes: Activities planned in advance, progress measured against the plan.
- Agile Processes: Incremental planning, easier to accommodate changes.
- **Practical Approach**: Most processes blend plan-driven and agile elements.

#### **Software Process Models**

#### 1. Waterfall Model

- **Phases**: Requirements definition, system and software design, implementation and unit testing, integration and system testing, operation and maintenance.
- Drawbacks: Difficulty accommodating changes once the process is underway.
- Usage: Suitable for well-understood requirements, large systems engineering projects.

#### 2. Incremental Development

- Concurrent Activities: Specification, development, validation interleaved.
- Benefits: Reduced cost of change, easier customer feedback, rapid delivery.
- **Problems**: Less visible process, structure degrades with changes.

#### 3. Integration and Configuration

- Approach: Systems integrated from existing components.
- Types of Reusable Software: Stand-alone systems, object collections, web services.
- **Stages**: Requirements specification, software discovery and evaluation, requirements refinement, application system configuration, component adaptation and integration.
- Advantages: Reduced costs, faster delivery.
- **Disadvantages**: Requirements compromises, loss of control over evolution.

#### **Software Process Activities**

- 1. **Specification**: Establishing required services and constraints.
  - Requirements Engineering Process:
    - Elicitation and analysis
    - Specification
    - Validation
- 2. **Design and Implementation**: Converting specification into an executable system.
  - **Design Activities**: Architectural design, database design, interface design, component selection and design.

- 3. Validation: Verification and validation to ensure conformity with specifications.
  - **Testing Stages**: Component testing, system testing, customer testing.
- 4. **Evolution**: Modifying software to meet new requirements.
  - Process: Assess existing systems, define requirements, propose changes, modify systems.

### Coping with Change

- **Inevitability of Change**: Large projects face business changes, new technologies, and platform changes.
- Cost of Change: Includes rework and implementing new functionality.

### **Approaches to Reduce Rework Costs**

- 1. Change Anticipation: Activities to anticipate changes (e.g., prototyping).
- 2. **Change Tolerance**: Process designed to accommodate changes at low cost (e.g., incremental development).

### **Coping with Changing Requirements**

- 1. **System Prototyping**: Quickly developing a system version to check requirements and design feasibility.
- 2. **Incremental Delivery**: Delivering system increments for customer feedback and experimentation.

### Benefits and Process of Prototyping

- **Benefits**: Improved usability, closer match to user needs, improved design quality, reduced development effort, improved maintainability.
- Process: Establish objectives, define functionality, develop prototype, evaluate prototype.

### **Throw-away Prototypes**

• **Discard After Development**: Not suitable as a production system basis due to lack of non-functional requirements, documentation, and structure degradation.

### **Incremental Delivery**

- Approach: Development and delivery broken into increments, prioritized by user requirements.
- Advantages: More realistic evaluation, supports change.
- Challenges: Difficult for replacement systems.

#### **Process Improvement**

- **Objective**: Enhance software quality, reduce costs, accelerate development.
- Approaches:
  - Process Maturity Approach: Focus on improving process and project management.
  - Agile Approach: Focus on iterative development and reducing process overheads.

### **Process Improvement Cycle**

- 1. Analyze: Assess current process, identify weaknesses.
- 2. Measure: Measure attributes of the process or product.
- 3. Change: Propose and implement process changes.

### **Lecture 4: Rapid Software Development**

• **Agile Development**: Emerged in the late 1990s to reduce delivery time for working software systems.

#### Characteristics:

- Interleaved program specification, design, and implementation.
- Developed in versions or increments with stakeholder involvement.
- Extensive use of tools, such as automated testing.

### Plan-driven vs. Agile Development

- Plan-driven Development:
  - Based around separate development stages with predefined outputs.
  - Allows for iteration within activities.
- Agile Development:
  - o Interleaves specification, design, implementation, and testing.
  - Outputs are decided through negotiation during development.

#### **Agile Methods**

- Focus on Code: Rather than design.
- Iterative Approach: Quick delivery and evolution of working software.
- Reduced Overheads: Limits documentation, quick response to changes.

### **Principles of Agile Methods**

- 1. **Customer Involvement**: Continuous involvement and feedback.
- 2. **Incremental Delivery**: Software developed in increments with customer-specified requirements.
- 3. People Over Process: Emphasize the skills and creativity of the development team.
- 4. **Embrace Change**: Design systems to accommodate changes.
- 5. Maintain Simplicity: Focus on simplicity in software and development processes.

### **Agile Project Management**

- Responsibility: Ensures software is delivered on time and within budget.
- **Approach**: Adapted to incremental development and agile practices.

### Scrum

#### Phases:

- Outline planning and architecture design.
- Sprint cycles to develop increments.
- Project closure and documentation.

#### • Terminology:

- **Development Team**: Self-organizing group responsible for development.
- Product Backlog: List of tasks or features to be developed.
- ScrumMaster: Ensures Scrum process is followed and removes impediments.
- Sprint: Iteration of development, usually 2-4 weeks.
- Velocity: Measure of how much work a team can handle in a sprint.

### **Scaling Agile Methods**

- Challenges: Improved communication and coordination in larger projects.
- **IBM's Agility at Scale Model**: Core agile development, disciplined agile delivery, and scaling factors such as team size and geographic distribution.

### **Software Project Management**

- Activities: Planning, estimating, scheduling, and resource allocation.
- Success Criteria: Timely delivery, budget adherence, meeting customer expectations, and maintaining a cohesive team.
- Distinctions: Intangible products, novel projects, variable processes.

### Risk Management

- Importance: Due to uncertainties in software development.
- Process:
  - Risk Identification: Identifying potential risks.
  - Risk Analysis: Assessing likelihood and impact.
  - Risk Planning: Developing plans to avoid or minimize risks.
  - Risk Monitoring: Continuous monitoring of risks.

### **Managing People**

- Factors:
  - Consistency: Equal treatment without favoritism.
  - Respect: Acknowledge different skills and contributions.
  - Inclusion: Involve all team members.
  - Honesty: Transparency about project status.

### **Motivating People**

- Motivation Factors: Basic needs, personal needs, and social needs.
- Role of Manager: Organize work and environment to encourage effective work.

#### **Teamwork**

- Group Activity: Most software projects require teamwork.
- Cohesive Groups: Motivated by group success and individual goals.
- Effective Team: Balance of technical skills and personalities.

#### **Project Scheduling**

- Process: Organizing work into tasks, estimating time and resources, assigning people.
- Challenges: Estimating difficulty, managing productivity, and allowing for contingencies.
- Tools: Graphical notations like bar charts to illustrate schedules.

# **Lecture 5: Requirements Engineering**

### **Requirements Engineering**

- **Definition**: The process of establishing services required from a system and constraints on its operation and development.
- **System Requirements**: Descriptions of system services and constraints generated during the requirements engineering process.
- Requirements Engineering (RE): The process of finding out, analyzing, documenting, and checking services and constraints.

### **Requirements Abstraction**

• **Purpose**: Define needs abstractly for competitive bidding and detailed system definition by the contractor.

### Types of Requirements

- 1. User Requirements: Natural language statements plus diagrams, written for customers.
- 2. **System Requirements**: Detailed descriptions of system functions, services, and constraints, part of the contract between client and contractor.

### **User and System Requirements**

- User Requirements: Broad statements, high-level, understandable by customers.
- System Requirements: Detailed, specific, technical descriptions for developers.

### Feasibility Studies

- Conducted early in the RE process to answer:
  - 1. Does the system align with organizational objectives?
  - 2. Can it be implemented within schedule and budget?

3. Can it integrate with other systems?

### **System Stakeholders**

• **Types**: End users, system managers, system owners, external stakeholders.

### **Functional and Non-Functional Requirements**

- 1. **Functional Requirements**: Services the system should provide, reactions to inputs, behavior in situations, and what the system should not do.
- 2. **Non-Functional Requirements:** Constraints on system services/functions, such as timing, standards, and constraints on the development process.

### **Functional Requirements**

- · Characteristics:
  - Describe system services.
  - Depend on software type, users, and system environment.
  - High-level for user requirements, detailed for system requirements.
- Issues: Imprecision and ambiguity leading to varied interpretations.

### **Requirements Completeness and Consistency**

- Complete: Descriptions of all required facilities.
- Consistent: No conflicts in descriptions.
- · Challenge: Achieving both due to complexity.

### **Non-Functional Requirements**

- Define properties and constraints like reliability, response time, storage.
- Types:
  - Product requirements: behavior of delivered product.
  - Organizational requirements: consequences of policies and procedures.
  - External requirements: factors external to the system and development process.

### **Metrics for Non-Functional Requirements**

- · Examples:
  - Speed: Transactions/second, response time.
  - Size: Mbytes, ROM chips.
  - Ease of use: Training time, help frames.
  - Reliability: Mean time to failure, availability.
  - Robustness: Time to restart after failure.
  - Portability: Target-dependent statements, target systems.

### **Requirements Engineering Processes**

- Vary based on application domain, people, and organization.
- Common Activities:
  - Requirements elicitation and analysis.
  - Requirements specification.
  - Requirements validation.
- Iterative Activity: Processes are interleaved.

### **Spiral View of Requirements Engineering**

- · Activities:
  - · Requirements elicitation.
  - · Requirements specification.
  - Requirements validation.
  - Prototyping and reviews.

### **Requirements Elicitation and Analysis**

- Process: Deriving system requirements through observation, discussions, task analysis.
- Participants: End-users, managers, maintenance engineers, domain experts, trade unions.
- Stages:
  - 1. Requirements discovery.
  - 2. Requirements classification and organization.
  - 3. Requirements prioritization and negotiation.
  - 4. Requirements specification.

### **Requirements Elicitation Techniques**

- Interviewing: Formal/informal, open/closed.
- **Observation or Ethnography:** Observing social and organizational factors, deriving requirements from actual work practices.

### **Requirements Specification**

- Activity: Translating analyzed information into a documented set of requirements.
- Types:
  - User requirements: Understandable by end-users and customers.
  - System requirements: Detailed technical information.
- Purpose: Part of a contract for system development.

### **Requirements and Design**

• Principle: Requirements state what the system should do, design describes how it does it.

• **Practice**: Inseparable due to interactions with system architecture, interoperability, and domain requirements.

#### **Use Cases**

- Describe interactions between users and a system using graphical models and structured text.
- · Components:
  - Actors: Human or system participants.
  - o Interactions: Named ellipses representing classes of interactions.
- Purpose: Document all possible interactions with the system.

### **Software Requirements Document**

- Purpose: Official statement of system requirements, including user and system requirements.
- Users: System customers, engineers, managers, maintenance engineers.

### **Requirements Validation**

- Process: Checking that requirements define the desired system.
- · Checks:
  - 1. Validity: Support customer needs.
  - 2. Consistency: No conflicts.
  - 3. Completeness: All required functions included.
  - 4. Realism: Implementable within constraints.
  - 5. Verifiability: Can be checked.

### **Requirements Validation Techniques**

- · Techniques:
  - Requirements reviews.
  - o Prototyping.
  - Test-case generation.

### **Requirements Change**

- Reasons:
  - 1. Changes in business and technical environment.
  - 2. Differing customer and user requirements.
  - 3. Diverse user community with conflicting priorities.
- Outcome: Compromises in final system requirements.

# **Lecture 6: System Modelling**

### **System Modelling**

- **Definition**: The process of developing abstract models of a system, presenting different views or perspectives.
- Purpose: Helps analysts understand system functionality and communicate with customers.
- Notations: Predominantly uses Unified Modeling Language (UML).

### **Existing and Planned System Models**

- Existing System Models: Used during requirements engineering to clarify current functionality and discuss strengths/weaknesses.
- New System Models: Used to explain proposed requirements and design proposals during requirements engineering.

### **Different System Perspectives**

- 1. **External Perspective**: Models the system's context or environment.
- 2. **Interaction Perspective**: Models interactions between the system and its environment or between system components.
- 3. Structural Perspective: Models the organization or data structure of the system.
- 4. Behavioral Perspective: Models the dynamic behavior and system response to events.

### Types of UML Diagrams

- 1. Activity Diagrams: Show activities in a process or data processing.
- 2. Use Case Diagrams: Show interactions between a system and its environment.
- 3. **Sequence Diagrams:** Show interactions between actors and the system or between system components.
- 4. Class Diagrams: Show object classes and their associations.
- 5. **State Diagrams**: Show system reactions to internal and external events.

#### **Context Models**

- **Purpose**: Illustrate the operational context of a system, showing what lies outside system boundaries.
- **System Boundaries**: Define what is inside and outside the system, affecting system requirements and stakeholder influence.

#### **Process Perspective**

- Purpose: Reveal how the system is used in broader business processes.
- Tool: UML activity diagrams for defining business process models.

#### **Interaction Models**

- **Purpose**: Identify user requirements, highlight communication problems, and understand system performance and dependability.
- Tools: Use case diagrams and sequence diagrams.

#### Structural Models

- Purpose: Display system organization in terms of components and their relationships.
- **Types**: Static models (system design structure) and dynamic models (organization during execution).
- Tool: Class diagrams.

#### **Behavioral Models**

- Purpose: Model dynamic behavior and system response to stimuli (data or events).
- Tools: Data-driven models and event-driven models.
- Examples: Activity diagrams for data processing, state diagrams for event responses.

### Model-Driven Engineering (MDE)

- **Definition**: An approach where models are the primary outputs of the development process.
- **Benefits**: Raises the level of abstraction, reduces concerns with programming details or execution specifics.
- Model Driven Architecture (MDA): A model-focused approach using UML to describe a system, enabling automated generation of executable programs from high-level models.

### Types of Models in MDA

- 1. Computation Independent Model (CIM): Models important domain abstractions.
- 2. **Platform Independent Model (PIM)**: Models system operation without reference to implementation specifics.
- 3. **Platform Specific Models (PSM):** Transformations of the PIM for specific platforms, potentially in multiple layers.

### Adoption Challenges of MDE/MDA

- Tool Support: Specialized tools are required for model conversion.
- Complex Systems: Implementation is less of an issue than requirements engineering, security, dependability, integration, and testing.
- Agile Methods Conflict: MDA's extensive up-front modeling contradicts agile principles.
- **Limited Adoption**: Due to factors like specialized tools, long-lifetime system concerns, and preference for agile methods.

# **Lecture 7: System Design**

### **Architectural Design**

- **Definition**: Architectural design involves understanding how a software system should be organized and designing the overall structure.
- Importance: Serves as the critical link between design and requirements engineering, identifying main structural components and their relationships.

 Output: An architectural model describing the system's organization as a set of communicating components.

### **Agility and Architecture**

- Early agile processes design an overall system architecture.
- Refactoring system architecture is expensive due to the impact on many components.

#### Levels of Architectural Abstraction

- 1. **Architecture in the Small**: Concerns the architecture of individual programs, focusing on how they are decomposed into components.
- 2. **Architecture in the Large**: Concerns the architecture of complex enterprise systems, including other systems, programs, and components distributed across different computers.

### **Advantages of Explicit Architecture**

- 1. Stakeholder Communication: Facilitates discussions among stakeholders.
- 2. System Analysis: Enables analysis of whether the system meets non-functional requirements.
- Large-scale Reuse: Allows architecture reuse across systems and development of product-line architectures.

#### **Use of Architectural Models**

- 1. **Facilitate Discussion**: High-level views help communicate with stakeholders without getting bogged down in details.
- 2. **Document Architecture**: Produces a complete system model showing components, interfaces, and connections.

### **Architectural Design Decisions**

- Creative process addressing functional and non-functional requirements.
- · Key decisions include:
  - o Distribution across hardware
  - Use of architectural patterns/styles
  - Structural decomposition into sub-components
  - Strategy for component operation control
  - Documentation of the architecture

#### **Architectural Views**

- 4+1 View Model (Krutchen 1995):
  - 1. Logical View: Key abstractions as objects or object classes.
  - 2. **Physical View**: System hardware and software distribution.
  - 3. Process View: Interacting runtime processes.
  - 4. **Development View**: Software decomposition for development.

#### **Architectural Patterns**

- **Definition**: Stylized descriptions of good design practice, reusable in different environments.
- **Example**: Model-View-Controller (MVC) Pattern, which separates presentation, interaction, and data management.

#### Model-View-Controller (MVC) Pattern

- Components:
  - Model: Manages system data.
  - View: Manages data presentation.
  - Controller: Manages user interaction.
- Advantages: Data and representation independence, multiple data presentations.
- Disadvantages: Added code complexity.

### **Layered Architecture**

- Definition: Models interfacing of sub-systems, organizing related functionalities into layers.
- Advantages: Incremental sub-system development, limited impact of interface changes.
- **Example**: User interface, core business logic, system utilities, system support.

### **Repository Architecture**

- **Definition**: Central repository for managing system data accessible to all components.
- Use Case: Large data sharing systems.
- Example: Integrated Development Environment (IDE) architecture.

#### **Client-Server Architecture**

- **Definition**: Distributed model showing data and processing distribution.
- Components:
  - Servers: Provide specific services.
  - Clients: Request services.
  - Network: Facilitates client-server interaction.
- Advantages: Distributed services, general functionality available to all clients.
- Disadvantages: Single point of failure, unpredictable performance, management issues.

### **Pipe and Filter Architecture**

- **Definition**: Functional transformations process inputs to produce outputs.
- Advantages: Easy to understand, supports reuse, matches workflow structure.
- **Disadvantages**: Increased system overhead, data format agreement needed.

### **Application Architectures**

• **Purpose**: Designed to meet organizational needs, often sharing common architecture across applications.

#### • Examples:

- Transaction Processing Applications: Process user requests and update system databases.
- 2. Information Systems: Depend on interpreting events from the system's environment.
- 3. Language Processing Systems: Process and interpret formal languages.

### **Object-Oriented Design**

 Process: Involves designing object classes and relationships, defining system objects and interactions.

#### · Stages:

- 1. Understand context and external interactions.
- 2. Design system architecture.
- 3. Identify principal system objects.
- 4. Develop design models.
- 5. Specify object interfaces.

### **Design Models**

- Structural Models: Describe static structure of object classes and relationships.
- Dynamic Models: Describe interactions between objects.
- **Examples**: Subsystem models, sequence models, state machine models.

### **Interface Specification**

- Purpose: Ensures parallel design of objects and components.
- Tool: UML class diagrams.

#### **Design Patterns**

- **Definition**: Reusing abstract knowledge about problems and solutions.
- Elements: Name, problem description, solution description, statement of consequences.

### Implementation Issues

- Reuse: Focus on reusing existing components or systems.
- Configuration Management: Manages changing software systems, supporting integration and version control.
- Host-Target Development: Development on a host system, execution on a target system.

#### **Open Source Development**

- **Definition**: Source code is published, and volunteers participate in development.
- License Models:

- 1. GNU General Public License (GPL)
- 2. GNU Lesser General Public License (LGPL)
- 3. Berkeley Standard Distribution (BSD) License

### **Lecture 8: Component-Based Development**

### What is a Component?

- **Definition**: A modular, deployable, and replaceable part of a system that encapsulates implementation and exposes a set of interfaces.
  - Object-oriented view: A component contains a set of collaborating classes.
  - **Traditional view**: A component includes processing logic, internal data structures, and an interface for invocation and data passing.
  - Process-related view: Emphasizes using existing software components or design patterns to build systems.
- **Example**: A shopping cart in an e-commerce WebApp, which can be reused across various applications with slight modifications.

### **Component-Based Software Engineering (CBSE)**

- Emergence: Late 1990s, due to limitations in object-oriented development for effective reuse.
- Concept: Reusing abstract components as standalone service providers.
- **Process**: Involves defining, implementing, integrating, or composing loosely coupled, independent components into systems.

#### **Essentials of CBSE**

- 1. **Independent Components**: Specified by their interfaces, separating interface from implementation.
- 2. **Component Standards**: Facilitate component integration, embodied in a component model.
- 3. Middleware: Supports component integration.
- 4. **Development Process**: Adapts to evolving requirements and available components.

### **Benefits and Principles of CBSE**

- Benefits:
  - Independent components do not interfere with each other.
  - Hidden component implementations.
  - Communication through well-defined interfaces.
  - Replaceability if the interface is maintained.
  - Component infrastructures offer standard services.

#### Standards and Component as a Service

- Competing Standards: Sun's Enterprise Java Beans, Microsoft's COM and .NET, CORBA's CCM.
- Interoperability Solution: Executable services based on standards to avoid communication issues.

### **Components and Component Models**

- · Characteristics:
  - **Independent**: Can be deployed without other specific components.
  - Composable: Interactions through public interfaces.
  - **Deployable**: Self-contained and operable as standalone entities.
  - **Documented**: Fully documented for potential users.
  - Standardized: Conforms to a standard component model.
- Interfaces:
  - Provides Interface: Services provided to other components (API).
  - **Requires Interface**: Services needed from other components for operation.

### **Component Models**

- **Definition**: Standards for component implementation, documentation, and deployment.
- Examples: EJB model, Microsoft's .NET model, CORBA Component Model.
- Elements:
  - Interfaces: Operation names, parameters, exceptions.
  - **Usage**: Unique names or handles for remote access.
  - **Deployment**: Packaging as independent, executable routines.

### **CBSE Processes**

- Types:
  - 1. **CBSE for Reuse**: Developing components/services for reuse, often generalizing existing components.
  - 2. **CBSE with Reuse**: Developing new applications using existing components/services.
- Supporting Processes:
  - 1. Component Acquisition: Finding and acquiring components for reuse or development.
  - 2. Component Management: Cataloging, storing, and maintaining reusable components.
  - 3. Component Certification: Checking and certifying components meet specifications.

### **Component Composition**

- Types:
  - 1. Sequential Composition: Calling existing components in sequence.
  - 2. **Hierarchical Composition**: One component calls services provided by another.

- 3. Additive Composition: Combining multiple components to create a new one.
- **Challenges**: Incompatible interfaces, conflicts between functional and non-functional requirements, and trade-offs between adaptability and performance.

### **Adaptor Components**

- Purpose: Address incompatibility by reconciling interfaces of composed components.
- Considerations:
  - Effective component composition for functional requirements.
  - Future change adaptability.
  - Emergent properties of the composed system.

# **Lecture 10: Software testing**

### **Introduction to Testing**

#### **Purpose of Testing:**

- Demonstrate that a program functions as intended.
- · Discover program defects before deployment.
- Executing a program using artificial data to check for errors, anomalies, and non-functional attributes.

### **Key Points:**

- Testing reveals the presence of errors, not their absence.
- Part of a broader verification and validation (V&V) process, including static validation techniques.

### **Goals of Software Testing:**

- 1. Demonstrate that the software meets its requirements.
- 2. Identify incorrect, undesirable, or non-conformant behavior.

### **Validation Testing**

- Ensures the system performs correctly with a given set of test cases reflecting expected use.
- A successful validation test shows the system operates as intended.

#### **Defect Testing**

- Aims to discover faults or defects by designing test cases that expose defects.
- A successful defect test makes the system perform incorrectly, revealing a defect.

#### **Input-Output Model of Software Testing:**

• The system accepts inputs and generates outputs.

- Validation testing uses correct inputs to generate expected outputs.
- Defect testing focuses on inputs that reveal problems with the system.

#### Verification vs. Validation

- Verification: "Are we building the product right?" Ensures software conforms to specifications.
- Validation: "Are we building the right product?" Ensures software meets user requirements.

**V&V Goal:** Establish confidence that the software is fit for purpose.

### **Software Inspection and Testing**

- Inspections and reviews analyze system requirements, design models, and code without executing the software (static V&V).
- Testing involves executing the software and observing behavior (dynamic V&V).

#### **Software Inspection:**

- Focuses on the source code and other readable representations of the software.
- Advantages include static process benefits, handling incomplete systems, and broader quality attribute considerations.

#### **Model of Software Testing Process:**

- Test cases: Specify inputs and expected results.
- · Test data: Inputs devised to test the system.

#### **Stages of Software Testing:**

- 1. **Development Testing**: Tests during development to find bugs.
- 2. Release Testing: Separate team tests a complete system version before release.
- 3. **User Testing**: Users test the system in their environment.

### **Development Testing**

#### Stages:

- 1. Unit Testing: Tests individual methods or object classes.
- 2. **Component Testing**: Integrates individual units to create composite components.
- 3. **System Testing**: Integrates components to test the system as a whole.

### **Unit Testing:**

- Involves testing operations, attributes, and possible states of an object.
- Choosing effective test cases is crucial.

#### **Component Testing:**

- Focuses on the component interface to ensure it behaves as specified.
- Types of interfaces include parameter, shared memory, procedural, and message passing interfaces.

### **System Testing:**

- Involves testing reusable components, off-the-shelf systems, and newly developed components.
- Use case-based testing is effective, involving system interactions.

### **Test-Driven Development (TDD)**

- · Incorporates testing into code development.
- Develop code incrementally along with a set of tests.
- Benefits include code coverage, regression testing, simplified debugging, and system documentation.

#### **TDD Process:**

- 1. Identify functionality.
- 2. Write a test.
- 3. Run tests.
- 4. Implement functionality.
- 5. Repeat until all tests pass.

### **Release Testing**

- · Tests a system release intended for customers and users.
- Goals include verifying specified functionality, performance, dependability, and ensuring no failures during normal use.

#### **Requirements-Based Testing:**

- Derives tests from system requirements to check if they are satisfied.
- Example: Testing drug allergy warnings in a medical system.

#### **Scenario Testing:**

- Uses realistic scenarios to develop test cases.
- Example: Testing a healthcare system's functionality during a home visit.

#### **Performance Testing:**

- Tests emergent properties like performance and reliability under load.
- Includes stress testing to test failure behavior.

### **User Testing**

#### Types:

- 1. Alpha Testing: Users test the software at the developer's site.
- 2. **Beta Testing**: Users test a release of the software and report issues.
- 3. Acceptance Testing: Customers test to decide if the software is ready for deployment.

#### **Acceptance Testing Process:**

- 1. Define acceptance criteria.
- 2. Plan acceptance testing.
- 3. Derive acceptance tests.
- 4. Run acceptance tests.
- 5. Negotiate test results.
- 6. Accept or reject the system.

#### **Agile Methods and Acceptance Testing:**

- Integrated with development, tests defined by the user/customer and run automatically.
- · No separate acceptance testing process.

# **Lecture 11: Software Quality**

- **Quality Definition:** Traditionally based on conformance with a detailed product specification, but often interpreted differently by developers and customers.
- Fitness for Purpose: Focuses on the system meeting its intended purpose.

#### **Key Questions for Quality Assessment:**

- 1. Has the software been properly tested?
- 2. Is the software dependable?
- 3. Is the software's performance acceptable?
- 4. Is the software usable?
- 5. Is the software well-structured and understandable?
- 6. Have standards been followed during development?

#### **Software Quality Attributes:**

- Safety
- Security
- Reliability
- Resilience
- Robustness
- Understandability
- Testability
- Adaptability
- Modularity
- Complexity
- Learnability
- · Portability

- Usability
- Efficiency
- Reusability

#### **Quality Conflicts:**

- Not possible to optimize all attributes simultaneously (e.g., security vs. performance).
- Quality plan prioritizes the most important attributes for development focus.

#### **Software Standards**

- **Importance**: Encapsulate best practices to avoid past mistakes and provide a framework for quality.
- Types of Standards:
  - Product Standards: Apply to the software product being developed (e.g., programming style).
  - Process Standards: Define processes to be followed during development (e.g., version release process).

#### **Standards Development:**

- · Involve engineers in selecting standards.
- Regularly review and modify standards to reflect new technologies.
- Provide tool support to reduce clerical work.

### ISO 9001 Standards Framework:

- International standards for quality management systems, applicable to organizations designing, developing, and maintaining products, including software.
- Sets general quality principles, processes, and organizational standards documented in a quality manual.

#### **Reviews and Inspections**

**Purpose**: Quality assurance activities that check project deliverables' quality through document and code reviews.

#### **Software Review Process:**

- 1. **Pre-review Activities**: Planning and preparation for the review.
- 2. Review Meeting: The author presents the document or program for review.
- 3. Post-review Activities: Addressing issues and problems raised during the review.

#### **Program Inspection:**

- · Peer reviews to find bugs without executing the program.
- Involves a careful, line-by-line review of the source code using a checklist for common programming errors.

#### **Quality Management and Agile Development:**

- Agile methods focus on code quality through practices like refactoring and test-driven development.
- Quality management in agile development relies on shared good practices (e.g., code reviews before check-in, testing code changes).

#### **Software Measurement and Metrics**

#### **Software Measurement:**

- Concerned with quantifying attributes like complexity or reliability.
- Helps assess software quality and the effectiveness of processes, tools, and methods.

#### **Software Metrics:**

- Control Metrics: Associated with software processes (e.g., effort required to repair defects).
- **Predictor Metrics (Product Metrics)**: Associated with the software itself (e.g., lines of code, number of reported faults).

#### **Uses of Measurements:**

- 1. Assign values to system quality attributes.
- 2. Identify substandard system components.

#### **Problems with Measurement in Industry:**

- Difficulty quantifying ROI for metrics programs.
- · Lack of standard metrics and processes.
- · Need for specialized tools.
- Non-standardized software processes in many companies.
- Focus on code-based metrics and plan-driven processes, while more software is developed through configuration.

#### **Product Metrics:**

- Dynamic Metrics: Collected during system execution, assessing efficiency and reliability.
- Static Metrics: Collected from representations like design or code, assessing complexity, understandability, and maintainability.

### **Static Software Product Metrics:**

• Examples include measures of code complexity, cohesion, and coupling.

#### **CK (Chidamber and Kemerer) Object-Oriented Metrics Suite:**

A set of metrics for assessing object-oriented designs.

#### **Software Component Analysis:**

- Measures components separately using various metrics.
- Compares metrics to historical data to identify anomalies indicating quality issues.

# **Lecture 12: Configuration Management**

### Configuration Management (CM)

- Definition: CM is concerned with the policies, processes, and tools for managing changing software systems.
- **Purpose**: Manages evolving systems to avoid losing track of changes and component versions incorporated into each system version.

#### **Key Activities in Configuration Management:**

- 1. **Version Control**: Keeping track of multiple versions of system components and ensuring changes by different developers do not interfere with each other.
- 2. **System Building**: Assembling program components, data, and libraries, compiling, and linking to create an executable system.
- 3. **Change Management**: Tracking requests for changes to delivered software, evaluating costs and impacts, and deciding on implementation.
- 4. **Release Management**: Preparing software for external release and tracking system versions released for customer use.

### **Version Management**

**Definition**: Keeping track of different versions of software components and systems, ensuring non-interference of changes made by different developers.

#### **Key Concepts:**

- **Codelines**: A sequence of versions of source code derived from earlier versions, usually for system components.
- **Baselines**: Definition of a specific system version, specifying component versions, libraries, configuration files, and other system information.

#### **Features of Version Management Systems:**

- Version and Release Identification: Assigning unique identifiers to managed versions of a component.
- **Change History Recording:** Keeping records of changes made to create new component versions.
- **Independent Development**: Tracking checked-out components to ensure changes by different developers do not interfere.
- Project Support: Supporting the development of multiple projects sharing components.
- **Storage Management**: Storing differences between files rather than maintaining multiple copies.

**Codeline Branching and Merging:** Necessary to merge codeline branches to create a new version of a component, combining changes made in different parts of the code.

### System Building

**Definition**: The process of creating a complete, executable system by compiling and linking system components, external libraries, configuration files, and other information.

#### **Tools for System Integration and Building:**

- 1. Build Script Generation
- 2. Version Control System Integration
- 3. Minimal Recompilation
- 4. Executable System Creation
- 5. Test Automation
- 6. Reporting
- 7. Documentation Generation

#### **Build Platforms:**

- 1. **Development System**: Includes development tools; developers check out code from the version control system into a private workspace.
- 2. **Build Server**: Used to build definitive, executable versions of the system; maintains the definitive versions of the system.
- 3. Target Environment: The platform on which the system executes.

#### File Identification:

- · Modification Timestamps: Signature is the time and date when the file was modified.
- Source Code Checksums: Signature is a checksum calculated from data in the file, ensuring unique identification of source code versions.

#### Change Management

**Definition**: Ensures changes are applied to the system in a controlled way, prioritizing urgent and cost-effective changes.

#### **Change Management Process:**

- 1. Request Analysis: Assess costs and benefits.
- 2. Approval: Approve cost-effective changes.
- 3. **Tracking**: Monitor components changed in the system.

### **Factors Influencing Change Implementation:**

- 1. Consequences of not making the change.
- 2. Benefits of the change.
- 3. Number of users affected.
- 4. Costs of making the change.
- 5. Product release cycle.

**Agile Methods and Change Management:** Customers are involved in deciding change priorities, working with the team to assess impact. Refactoring is seen as a necessary part of development, not an overhead.

**Derivation History**: Maintaining records of changes made to each component.

### **Release Management**

**Definition:** Managing system releases distributed to customers.

#### **Types of Releases:**

- Major Releases: Deliver significant new functionality.
- Minor Releases: Repair bugs and fix customer-reported problems.

#### **Release Components:**

- 1. Configuration Files
- 2. Data Files
- 3. Installation Program
- 4. Documentation
- 5. Packaging and Publicity

#### **Release Planning:**

- Consider technical and organizational factors, including release timing, marketing strategies, and customer readiness.
- Document system releases to ensure future re-creation for long-lifetime embedded systems.

### **Lecture 13: Software Evolution**

### **Software Change**

- Need for Change: Operational software systems need to evolve to remain useful due to:
  - Business changes and evolving user expectations.
  - Correction of operational errors.
  - Adaptation to changes in hardware and software platforms.
  - $\circ~$  Improvement of performance or other non-functional characteristics.
  - Competition necessitating new features.

**Investment in Change**: Businesses invest in maintaining and evolving software to preserve its value. This often results in higher maintenance costs compared to new development.

### **Evolution and Servicing**

- **Evolution Phase**: Significant changes to software architecture and functionality occur in response to stakeholder requirements.
- **Servicing Phase**: Involves essential but minor changes to keep the system operational, often while planning for system replacement. Users must work around discovered problems.

#### **Evolution Processes**

#### **Factors Influencing Evolution:**

- 1. Type of software being maintained.
- 2. Development processes used by the organization.
- 3. Skills of the involved personnel.

**Change Implementation:** Iterative process involving design, implementation, and testing of system revisions. It often begins with program understanding, especially when new developers are involved.

#### **Urgent Change Requests:** Arise due to:

- 1. Serious system faults requiring immediate repair.
- 2. Unexpected environmental changes disrupting operations.
- 3. Unanticipated business changes (e.g., new competitors, legislation).

**General Model of Software Evolution Process:** Cyclical process of change identification and system evolution continuing throughout the system's lifetime.

### **Legacy Systems**

**Definition**: Older systems using outdated languages and technologies, often maintained over long periods with a degraded structure.

### **Strategic Options for Legacy Systems:**

- 1. Scrap the system completely.
- 2. Maintain the system as is.
- 3. Reengineer the system for better maintainability.
- 4. Replace all or part of the system.

#### **Legacy System Assessment:**

- Low quality, low business value: Scrap the system.
- Low quality, high business value: Reengineer the system.
- **High quality, low business value**: Continue normal maintenance if affordable.
- **High quality, high business value**: Maintain and continue normal maintenance.

**Business Value Assessment:** Involves evaluating system use, business process support, system dependability, and reliance on system outputs.

**Technical Assessment:** Considers both the application system and its operational environment.

#### **Software Maintenance**

**Definition:** The process of changing a system after delivery.

#### **Types of Maintenance:**

- 1. Fault Repairs: Fixing bugs and vulnerabilities.
- 2. **Environmental Adaptation**: Adjusting the software to new platforms and environments.

3. Functionality Addition: Adding new features to meet changing requirements.

**Maintenance Effort Distribution:** Maintenance costs often exceed initial development costs due to the need for new team understanding, lack of incentives for maintainability, unpopularity of maintenance work, and structural degradation over time.

**Maintenance Prediction:** Involves assessing parts of the system likely to cause problems and predicting maintenance costs for budgeting purposes.

#### **Process Metrics for Maintenance:**

- 1. Number of corrective maintenance requests.
- 2. Average time for impact analysis.
- 3. Average time to implement change requests.
- 4. Number of outstanding change requests.

### **Software Reengineering**

**Purpose:** To make legacy systems easier to maintain by improving their structure and understandability.

#### **Reengineering Activities:**

- · Redocumenting the system.
- · Refactoring system architecture.
- Translating programs to modern languages.
- · Updating data structures and values.

#### Advantages:

- · Reduced risk compared to new development.
- · Lower costs compared to developing new software.

Reengineering Process: Systematic process to improve legacy systems.

**Refactoring**: Continuous improvement to slow down structural degradation, focusing on improving program structure, reducing complexity, and enhancing understandability without adding functionality.

#### Comparison:

- Reengineering: Conducted after significant maintenance to create a more maintainable system.
- Refactoring: Continuous process during development and evolution to prevent degradation