

# Analysis of Algorithms

Roudranil Das

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Roll No: MDS202227

[roudranil@cmi.ac.in](mailto:roudranil@cmi.ac.in)

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## Contents

<b>1</b>	<b>October 6, 2022</b>	<b>1</b>
1.1	Orders of magnitude	1
1.2	Some useful properties	2
<b>2</b>	<b>October 11, 2022</b>	<b>2</b>
2.1	Searching in a list	2
2.2	Sorting problems	3
2.2.1	Naive sorting (Selection sort)	3
2.2.2	Insertion sort	3

## 1 October 6, 2022

### 1.1 Orders of magnitude

- When comparing  $t(n)$  focus on orders of magnitude
- Ignore constant factors
- $f(n) = n^3$  eventually grows faster than  $g(n) = 5000n^2$

Can we have some **upper bounds**?

- $f(x)$  is said to be  $O(g(x))$  if we can find constants  $c$  and  $x_0$  such that  $c \cdot g(x)$  is an upper bound for  $f(x)$  beyond  $x_0$
- $f(x) \leq c \cdot g(x) \forall x \geq x_0$
- Obviously  $1 \leq \log(n) \leq \sqrt{n} \leq n \leq n \log(n) \leq n^2 \leq 2^n \leq n!$

Can we have some **lower bounds**?

- $f(x)$  is said to be  $\Omega(g(x))$  if we can find constants  $c$  and  $x_0$  such that  $c \cdot g(x)$  is a lower bound for  $f(x) \forall x \geq x_0$
- Typically we try to establish lower bounds for a problem rather than an individual algorithm.
  - If we sort a list by comparing elements and swapping them we require  $\Omega(n \log(n))$  comparisons.
  - This is **independent** of the algorithm we use for sorting.

Can we have some **tight bounds**?

- $f(x)$  is said to be  $\Theta(g(x))$  if it is both  $O(g(x))$  and  $\Omega(g(x))$ .

## 1.2 Some useful properties

- If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$  then  $f_1(n) + f_2(n)$  is  $O(\max(g_1(n), g_2(n)))$ . This means that the most expensive stage determines the cost of the algorithm.

What are we measuring actually? Useful to describe asymptotic worst case running time. What matters to us is the size of the input, for example, the size of the data structures, but not the size of the actual elements of the data structure.

However this does become important later on. For example in the multiplication of large numbers. If we are considering  $a \times b$  then the cost grows with  $a$  and  $b$ . Here the cost depends on the number of digits. If the number is  $n$ , then the number of digits is  $\log(n)$ . This becomes the cost.

## 2 October 11, 2022

Analysis should be independent of the underlying hardware. What are our typical basic operations: comparing values or assigning a value to a variable. What about exchanging a pair of values? All these take only a constant number of operations and so we can ignore them.

What about the input size?

- size of the list or array
- number of objects we want to rearrange
- number of vertices and number edges in a graph
- in a number theory problem, the number of digits

What inputs should we consider?

- the performance varies across the input cases
- ideally we want the average behaviour: difficult to compute, average over what? are all inputs equally likely? We need a prob distribution over all inputs
- instead consider the worst case input - input forces algo to take the longest possible time - minimise that time and we may be good

### 2.1 Searching in a list

Our goal is to find whether an element is present in a list **1**. Our naive approach is to go through all the elements one by one and find if it is there (**linear search**). This is no doubtly a very slow algo ( $O(n)$ ). How do we know that? In the worst case the element is not present so in that case we need to go through all the  $n$  elements.

A good alternative would be binary sort: we take a sorted list and then find the midpoint and check which side of the midpoint the element we are looking for lies, take that half of the list and then repeat the procedure. Here the underlying data structure is actually important. If the underlying data structure is an array or a python list which behaves like an array then the time complexity is nothing but  $\log(n)$ . But if we take the underlying data structure to be a linked list - then that basically makes no sense to sort the array because in order to get to an element in a linked list we need to traverse all the elements before that. So essentially we would be traversing all the elements so it would be  $O(n)$ .

Calculation of time complexity:

- if  $n = 0$  we exit so  $T(0) = 1$
- if  $n > 0$  then  $T(n) = T(n/2) + 1$
- We solve this by expanding the rhs to ultimately get  $T(n) = T(n/2^k) + k$
- If for convenience sake we assume that  $n = 2^k$  then we have  $T(n) = T(1) + k = 2 + k = 2 + \log_2(n)$
- Ignoring constants and generalising the above assumption we get  $T(n) = \log_2(n) = \log(n)$  as changing base of logarithm will atmost change by a multiplicative constant.

## 2.2 Sorting problems

Definitely sorting a list makes many other problems easier, like binary search, finding the median, checking for duplicates and so on. How do we sort a list?

### 2.2.1 Naive sorting (Selection sort)

- Find the minimum element from the entire list and move it to a different list
- Repeat with the remaining elements in the list
- Avoid using a new list:
  - swap the minimum element with the first position
  - swap the second minimum with the second position
  - continue

Obviously the time complexity is  $T(n) = O(n^2)$

### 2.2.2 Insertion sort

- start building a new sorted list
- pick next element and insert it into the sorted list
- an iterative formulation
  - assume that `l[:i]` is sorted
  - insert `l[i]` in `l[:i]`