

# CHAPTER - 1

①

Photonic crystal - Optical analogue in which atoms and molecules are replaced by microscopic media with differing dielectric constants, and the periodic potential is replaced by a periodic dielectric function. (or, equivalently a periodic index of refraction).

Definition -

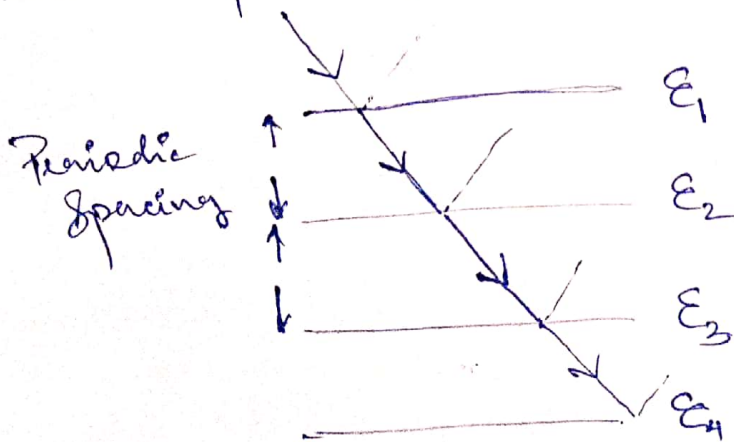
A low-loss periodic dielectric medium in which photonic band gaps prevent light from propagating in certain directions with specified frequencies.

Metallic Cavity - Prevention of propagation of EM waves with frequencies below a certain threshold.

Metallic waveguide - Propagation only along its axis.

- Only for microwave regimes.
- Visible light is dissipated.
- Less frequency range.

Another example - Quarter wave stack. optical device.



- Different dielectric constants.
- Partial reflections
- If dielectric const spacing is periodic, reflections interfere destructively and cancel out.
- Unidirectional photonic crystal.

## Complete Photonic Band Gap:-

Prevention of light source in a frequency range of

- any direction
- any source
- any polarization (despite near-normal incidence)

Exceptions - quasi-crystalline structures - non-periodic class of materials with complete photonic band gap. (Anderson Localization).

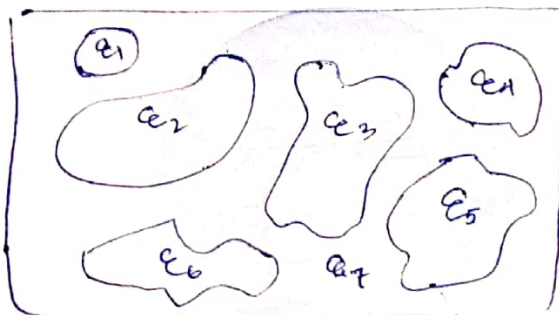
## Maxwell's Equations -

$$\vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \cdot \vec{D} = \rho$$

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

$$\vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}$$



$\vec{E}$  = Electric Field.  
 $\vec{H}$  = Magnetic Field.  
 $\vec{D}$  = Displacement Field.  
 $\vec{B}$  = Magnetic Induction Field.

A composite of macroscopic regions of homogeneous dielectric media.

→  $\epsilon \rightarrow$  fn. of  $\vec{r}$ .

→ No sources of light  $\Rightarrow \vec{J} = 0$  and  $\rho = 0$ .

→ Isotropic.

Displacement field  $\vec{D}$  is related to  $\vec{E}$  as series -

$$\frac{\vec{D}_i}{\epsilon_0} = \sum_j \epsilon_{ij} E_j + \sum_{j,k} \chi_{i,j,k} E_j E_k + O(E^3)$$

- Field strength small enough so higher terms are neglected.

- Macroscopic & Isotropic - so related by  $\underline{\epsilon(\vec{r})}$ .



$$\therefore \boxed{\vec{D}(\vec{r}) = \epsilon_0 \epsilon(\vec{r}) \vec{E}(\vec{r})} \quad (2)$$

purely real & true as transparent materials.

Also, we have  $\vec{B}(\vec{r}) = \mu_0 \mu(\vec{r}) \vec{H}(\vec{r})$ , for most dielectric materials  $\mu(\vec{r}) = 1$ . So,  $\boxed{\vec{B}(\vec{r}) = \mu_0 \vec{H}(\vec{r})}$ .

$$(1) \quad \vec{\nabla} \cdot \vec{B} = 0 \Rightarrow \boxed{\vec{\nabla} \cdot \vec{H}(\vec{r}, t) = 0}$$

$$(2) \quad \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \Rightarrow \boxed{\vec{\nabla} \times \vec{E}(\vec{r}, t) + \mu_0 \frac{\partial \vec{H}(\vec{r}, t)}{\partial t} = 0}$$

$$(3) \quad \vec{\nabla} \cdot \vec{D} = 0 \Rightarrow \boxed{\vec{\nabla} \cdot [\epsilon(\vec{r}) \vec{E}(\vec{r}, t)] = 0}$$

$$(4) \quad \vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t} \Rightarrow \boxed{\vec{\nabla} \times \vec{H} - \epsilon_0 \epsilon(\vec{r}) \frac{\partial \vec{E}(\vec{r}, t)}{\partial t} = 0}$$

Mode profiles finding - (Harmonic mode = spatial pattern times complex exponential)

$$\begin{aligned} H(\vec{r}, t) &= H(\vec{r}) e^{-i\omega t} \\ E(\vec{r}, t) &= E(\vec{r}) e^{-i\omega t} \end{aligned} \quad \begin{cases} \vec{\nabla} \cdot \vec{H}(\vec{r}) = 0 \\ \vec{\nabla} \cdot [\epsilon(\vec{r}) \vec{E}(\vec{r})] = 0 \end{cases}$$

Interpretation - No sources & sinks of displacement and magnetic fields.

- Transverse  $\vec{H}(\vec{r}) = \vec{a} e^{i(\vec{k} \cdot \vec{r})} \Rightarrow \vec{a} \cdot \vec{k} = 0$

- Transversality plane wave condition:  $\boxed{(\vec{H} \cdot \vec{\omega}) = 0 \text{ ?} \rightarrow \text{meaning}}$

Master Equation -

$$\vec{\nabla} \times \vec{E} - i\omega \mu_0 \vec{H}(\vec{r}) = 0$$

$$\vec{\nabla} \times \vec{H} + i\omega \epsilon_0 \epsilon(\vec{r}) \vec{E}(\vec{r}) = 0$$

Substitute  $E(\vec{r}) \rightarrow \boxed{\vec{\nabla} \times \left( \frac{1}{\epsilon(\vec{r})} \vec{\nabla} \times \vec{H}(\vec{r}) \right) = \left( \frac{\omega}{c} \right)^2 \vec{H}(\vec{r})}$

Master Equation.

Using this find  $\boxed{\vec{E} = \frac{i}{\omega \epsilon_0 \epsilon(\vec{r})} \vec{\nabla} \times \vec{H}(\vec{r})}$

$\hat{H}$  = Master operator. (Linear operator)

$$\hat{H} \vec{H}(\vec{r}) = \left( \frac{\omega}{c} \right)^2 \vec{H}(\vec{r})$$

↳ eigenfunction/  
eigenvector  
Eigenvalue problem.

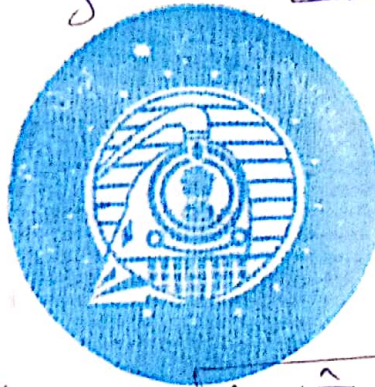
$\vec{H}(\vec{r})$  = spatial patterns of harmonic modes.

Properties - 1) Real Eigenvalues. 2) Orthogonal.  
3) Variational principle obtainable 4) Symmetry Properties.

Inner Product -  $(F, G) \triangleq \int d^3 \vec{r} F^*(\vec{r}) \cdot G(\vec{r})$

$$(F, G) = (G, F)^*$$

Normalization  $\rightarrow (F, F) = 1 \Rightarrow \vec{F}(\vec{r}) = \frac{F'(\vec{r})}{\sqrt{(F', F')}} ,$



Condition -  $(F', F') \neq 0$

Hermitian Operator  $\rightarrow (F, \hat{H} G) = (\hat{H} F, G)$

Master operator  $\hat{H}$  is hermitian.

Proof -  $(F, \hat{H} G) = \int d^3 r \vec{F}^* \cdot \left( \vec{\nabla} \times \left( \frac{1}{\epsilon} \vec{\nabla} \times G \right) \right)$   
 $= \int d^3 r (\vec{\nabla} \times \vec{F})^* \cdot \left( \frac{1}{\epsilon} \vec{\nabla} \times G \right)$   
 $= \int d^3 r \left( \vec{\nabla} \times \frac{1}{\epsilon} \vec{\nabla} \times \vec{F} \right)^* \cdot G$   
 $= \int d^3 r (\hat{H} F)^* \cdot G$   
 $= (\hat{H} F, G)$

$$\boxed{(F, \hat{H} G) = (\hat{H} F, G)}$$

- Surface terms periodic or decay at large distances.



Eigenvalues of master operator are real nos

Proof: -  $\hat{\Theta} H(\vec{r}) = \frac{\omega^2}{c^2} H(\vec{r})$

$(H, \hat{\Theta} H) = \left(\frac{\omega}{c}\right)^2 (H, H)$

$\Rightarrow (H, \hat{\Theta} H)^* = \left(\frac{\omega^2}{c^2}\right)^* (H, H)^* = \left(\frac{\omega^2}{c^2}\right)^* (H, H)$

$\Rightarrow (\hat{\Theta} H, H) = \left(\frac{\omega^2}{c^2}\right)^* (H, H) = \frac{\omega^2}{c^2} (H, H)$

$\Rightarrow \left(\frac{\omega^2}{c^2}\right)^* = \frac{\omega^2}{c^2} \Rightarrow \boxed{\begin{matrix} (\omega^2)^* = \omega^2 \\ \text{or } \omega^2 \text{ is real} \end{matrix}}$

$\hat{\Theta}$  = Positive, semi-definite.

$\rightarrow$  if  $\omega_1 \neq \omega_2 \Rightarrow H_1(\vec{r})$  &  $H_2(\vec{r})$  are orthogonal.

$\rightarrow$  if  $\omega_1 = \omega_2 \Rightarrow H_1(\vec{r})$  &  $H_2(\vec{r})$  are degenerate.

Variational Theorem -

- Mode tends to concentrate its energy of electric-field in region of high dielectric constants.
- Smallest eigenvalue  $\omega^2/c^2$  corresponds to the field pattern that minimizes the functional  $\rightarrow$

$$U_f(\vec{H}) \triangleq \frac{(H, \hat{\Theta} H)}{(H, H)}$$

$\rightarrow$  Rayleigh constant.

Gradient  $\rightarrow$  Rate of change of the functional  $U_f$  w.r.t.  $H$ .

$= G \quad \delta U_f = U_f(H + \delta H) - U_f(H) = \left[ (\delta H, G) + (G, \delta H) \right] / 2$

$$G = \frac{2}{(H, H)} \left( \hat{\Theta} H - \left[ \frac{(H, \hat{\Theta} H)}{(H, H)} \right] H \right)$$

At extremum  $G=0 \Rightarrow \vec{H}$  is an eigenvector of  $\hat{\Theta}$

$\Rightarrow G=0 \Rightarrow \hat{\Theta} H = \left[ \frac{(H, \hat{\Theta} H)}{(H, H)} \right] H$

$\rightarrow$  constant.

$U_f$  = Electromagnetic energy functional.

- Time averaged physical energy contribution  $\rightarrow$

$$U_E \triangleq \frac{\epsilon_0}{4} \int d^3r E(r) |E(r)|^2$$

$$U_H \triangleq \frac{\mu_0}{4} \int d^3r |H(r)|^2$$

$U_E = U_H$  as periodic shift b/w electric & magnetic fields.

Rate of energy transport / (intensity)  $\rightarrow$  Poynting vector  $\vec{S}$ . - Depends on energy square.

$$\vec{S} \triangleq \frac{1}{2} \text{Re}[E^* \times H]$$

Time average flux of an EM field energy in the direction of  $\vec{S}$  per unit time per unit area, time-harmonic field.

$\frac{\text{Energy Flux}}{\text{Energy density}} = \text{Velocity of energy transport.}$

Scaling Properties -

$$E'(r) = E(r/s), \text{ we take } r' = sr \text{ \& } \omega' = \omega/s$$

So Master equation becomes  $\rightarrow$

$$\frac{\nabla' \times \left( \frac{1}{\epsilon(r'/s)} \nabla' \times H(r'/s) \right)}{s^2} = \frac{\left( \frac{\omega}{c} \right)^2 H(r'/s)}{s^2}$$

$$= \nabla' \times \left( \frac{1}{\epsilon(r')} \nabla' \times H(r'/s) \right) = \left( \frac{\omega}{cs} \right)^2 H(r'/s)$$

$$\therefore \boxed{H'(r) = H(r/s), \quad \omega' = \frac{\omega}{s}} \rightarrow \text{Changes made for } E'(r) = E(r/s)$$

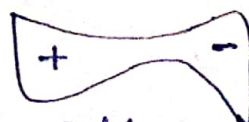
$\downarrow$  Mode Profile
 $\downarrow$  frequency

Symmetry to classify electromagnetic modes  $\rightarrow$



Even mode

$$H(r) = H(-r)$$



Odd Mode

$$H(r) = -H(-r)$$

If  $H(r)$  is not belonging to a family of degenerate modes.

- Difficult to write down the exact boundary condition and solve the problem analytically.

$$H(r) = \alpha H(r), \text{ invent twice picking another factor } \alpha,$$

$$\therefore H(r) = \alpha^2 H(r) \Rightarrow \boxed{\alpha = \pm 1}$$



For inversion  $\rightarrow$  Inversion operator  $\hat{O}_I$

- Inverts both vector  $\vec{r}$  & its argument  $\vec{r}$ .

$$\hat{O}_I f(\vec{r}) = f(\vec{r})$$

$$\hat{H} = \hat{O}_I^{-1} \hat{H} \hat{O}_I$$

Commutator Operator  $\rightarrow$

$$\hat{H} = \hat{O}_I^{-1} \hat{H} \hat{O}_I \Rightarrow \boxed{\hat{O}_I \hat{H} - \hat{H} \hat{O}_I = 0}$$

$$[\hat{A}, \hat{B}] \triangleq \hat{A}\hat{B} - \hat{B}\hat{A}$$

$$\boxed{\text{Condition of symmetry} \rightarrow [\hat{O}_I, \hat{H}] = 0}$$

$$\text{If symmetric} \Rightarrow [\hat{O}_I, \hat{H}] \psi = 0 \Rightarrow \hat{O}_I(\hat{H}\psi) - \hat{H}(\hat{O}_I\psi) = 0$$

$$\Rightarrow \boxed{\hat{H}(\hat{O}_I\psi) = \frac{\omega^2}{c^2}(\hat{O}_I\psi)} \text{ If no degeneracy.}$$

Conclusion - If  $\hat{H}$  is a harmonic mode with frequency  $\omega$ , then  $\hat{O}_I\psi$  is also a mode with frequency  $\omega$ .

If no degeneracy  $\Rightarrow \hat{O}_I\hat{H} = \alpha\hat{H}$  (as only one mode per freq.)  
 $\rightarrow$  eigenvalue problem, but  $\alpha = \pm 1$  (eigenvalue)

Continuous Translational Symmetry -

A system with translational symmetry is unchanged by a translation through a displacement  $\vec{d}$ .

$$\boxed{\vec{T}_d E(\vec{r}) = E(\vec{r} - \vec{d}) = E(\vec{r})}$$

$$\text{or } \boxed{[\hat{T}_d, \hat{H}] = 0}$$

For z-displacement - form of eigenfunction -  
 $\hat{T}_d e^{ikz} = e^{ik(z-d)} = e^{-ikd} \cdot e^{ikz}$

$\rightarrow$  Homogeneous medium  $\Rightarrow$  Translational symmetry in all three directions.

$$\text{Form - } H_k(\vec{r}) = H_0 e^{ik\vec{r}}$$

$\rightarrow$  Plane waves polarized in the direction  $H_0$ .

$\rightarrow \vec{k} \cdot \vec{H}_0 = 0$  (transversality requirement).

$$\rightarrow \text{From wave equation - } \frac{\omega^2}{c^2} = \frac{k^2}{\epsilon} \Rightarrow \boxed{\omega = \frac{c|k|}{\sqrt{\epsilon}}}$$

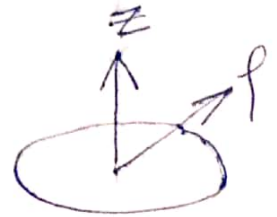
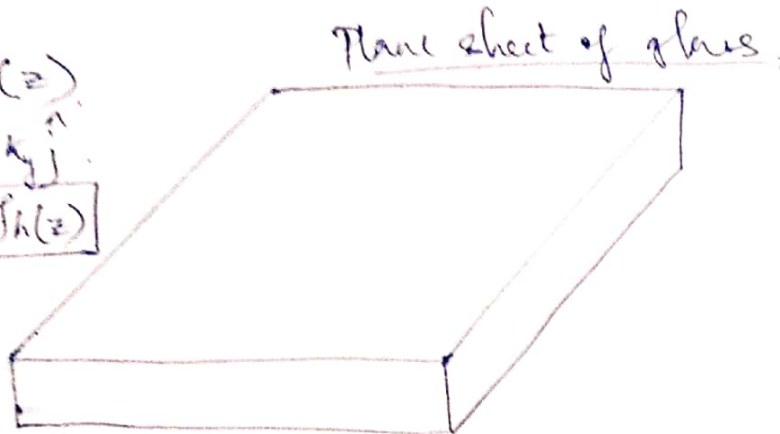
$$\omega = \frac{c|k|}{\sqrt{\epsilon}} \rightarrow \text{Dispersion Relation.}$$

Example -

$$\rightarrow E(\vec{r}) = E(z)$$

$$\rightarrow \vec{k} = k_x \hat{i} + k_y \hat{j}$$

$$\rightarrow H_x(\vec{r}) = e^{ik_y y} h(z)$$



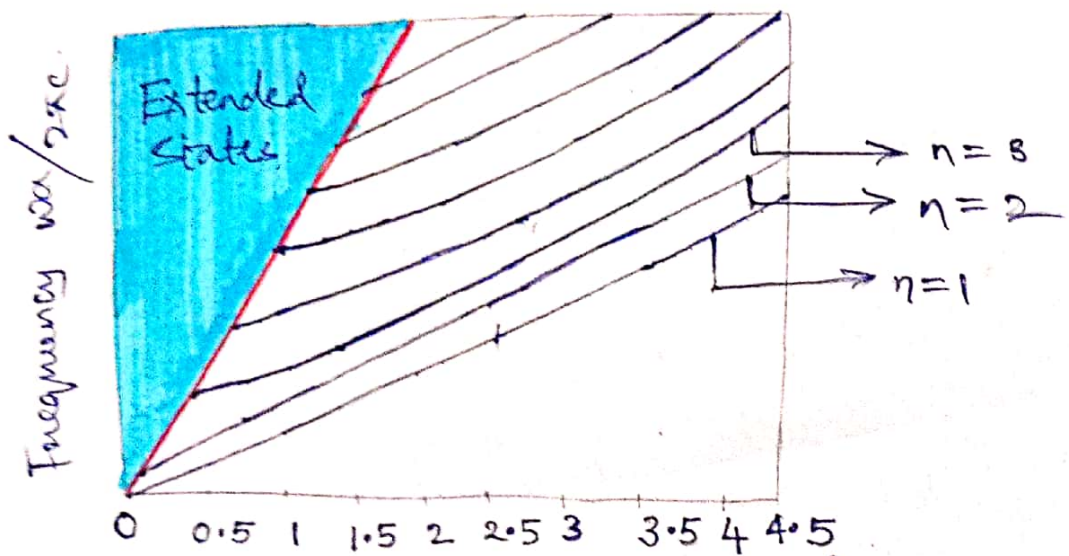
- $h(z)$  cannot be determined exactly, as no transversality in  $y$  direction, but  $\vec{\nabla} \cdot \vec{H}_x = 0 \Rightarrow \boxed{\vec{k} \cdot \vec{h} = i \frac{\partial h_z}{\partial z}}$

$h(z) = \text{phase determination}$   
 $e^{ik_y y} = \text{amplitude determination.}$

Identification of Plane Waves. -

$(\vec{k}, n) \rightarrow \vec{k} = \text{wave vector}, n = \text{band number} \boxed{(n \uparrow \Rightarrow \omega \uparrow)}$

- If degenerate modes are present then there also needs to be an index inclusion for degenerate modes with same  $n$  &  $\vec{k}$ .



Parallel wave vector  $ka/2\pi$

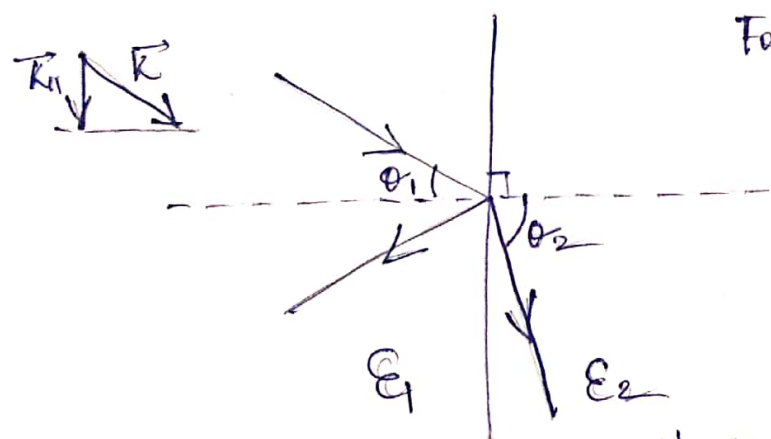
Harmonic modes frequencies:

- Blue Line - localized in glass.
- Shaded Blue - Continuum of states in air & glass.
- Red = light line  $\rightarrow \omega = ck$ .



→ Components of the wave vector  $\vec{k}$  along the symmetry directions are conserved quantities.

## Index Guiding



For certain  $\theta_1$ , no  $\theta_2$   
 ⇒ Generalization of this result follows from translational symmetry which tells us that  $k_{||}$  is conserved.

## Total Internal Reflection

Snell's law -  $n_1 \sin \theta_1 = n_2 \sin \theta_2$  ,  $n_i^2 = \epsilon_i$   
 When  $\theta_2 = 90^\circ$  ,  $\Rightarrow \theta_1 = \sin^{-1}\left(\frac{n_2}{n_1}\right) = \theta_c = \text{Critical Angle}$ .

→ TIR is existent only for  $n_1 > n_2$

Combination of two conservation laws → that follow from symmetry

- 1) Conservation of frequency  $\omega$  (from linearity and time-invariance of Maxwell's equations)
- 2) Conservation of  $k_{||}$  (from cont. translational sym).

$$k_{||} = |\vec{k}| \sin \theta \quad \& \quad |\vec{k}| = \frac{n\omega}{c}$$

Snell's law → equal  $k_{||}$  on both sides of interface.

## Explanation of $\omega$ vs. $k_{||}$ diagram - (Imp.)

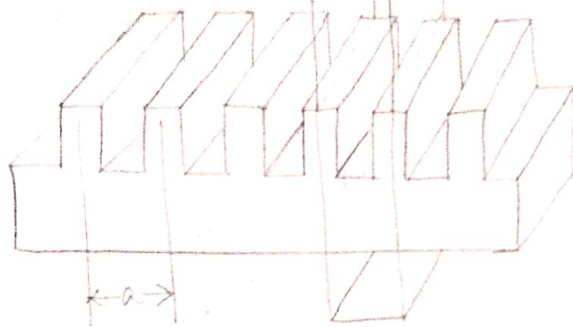
• Extended States → Superposition of plane waves with  $\omega = c|\vec{k}| = c\sqrt{k_{||}^2 + k_{\perp}^2}$ .  $k_{\perp}$  = any value, hence spectrum is continuous. (Light Cones). Solutions of Snell's law.

• Solutions below light line  $\omega = ck_{||}$  →

- $\epsilon_{\text{glass}} > \epsilon_{\text{air}} \Rightarrow \omega_{\text{glass}} < \omega_{\text{air}}$
- $k_{\perp}^2 + k_{||}^2 = \frac{\omega^2}{c^2} \Rightarrow k_{\perp} = \pm i \sqrt{k_{||}^2 - \frac{\omega^2}{c^2}}$  in air, corresponding to fields that decay exponentially away from glass. (are evanescent)
- Guided bands for given  $k_{||}$  as localized in  $\perp$  direction.

**Index-Guided Modes**

# Discrete Translational Symmetry -



- Photonic crystals - Discrete Translational Symmetry
  - $a$  = lattice constant
  - Basic step vector = Primitive lattice vector =  $\vec{a} = a \hat{y}$ .
  - $\epsilon(\vec{r}) = \epsilon(\vec{r} \pm \vec{a}) = \epsilon(\vec{r} + \vec{R})$ ,  $\vec{R} = L\vec{a}$ ,  $L \in \mathbb{I}$ .
- Box = Unit Cell.

Now,  $[\hat{T}_{\vec{a}}, \hat{H}] = 0$  &  $[\hat{T}_{\vec{R}}, \hat{H}] = 0$

Commutation with translation operators in  $\vec{r} \rightarrow \vec{r} + \vec{R}$  holds.

## Determining Eigenfunctions - (Plane Waves)

$$\hat{T}_{\vec{a}} e^{ik_x x} = e^{ik_x(x-a)} = (e^{-ik_x a}) \cdot e^{ik_x x}$$

$$\hat{T}_{\vec{R}} e^{ik_y y} = e^{ik_y(y-La)} = (e^{-ik_y La}) \cdot e^{ik_y y}$$

- As  $k_y$  &  $k_y + m \left( \frac{2\pi}{a} \right)$  give the same eigenvalues, they form a degenerate set with eigenvalue of  $\hat{T}_{\vec{R}} = e^{-i(k_y La)}$
- $\vec{b} = b \hat{y}$  = reciprocal lattice vector.

Block's Theorem, Bloch state, Floquet mode, Brillouin Zone →

Taking linear combination of degenerate vectors to get the form

$$\vec{H}_{k_x, k_y}(\vec{r}) = e^{ik_x x} \sum_m C_{k_y, m}(z) e^{i(k_y + m b)y}$$

$$= e^{ik_x x} \cdot e^{ik_y y} \sum_m C_{k_y, m}(z) e^{imby}$$

$$\boxed{\vec{H}_{k_x, k_y}(\vec{r}) = e^{ik_x x} e^{ik_y y} \cdot u_{k_y}(y, z)}$$

Doubt - Why don't we consider  $e^{ik_y y}$ ?

- $u_{k_y}(y, z)$  is a periodic function in  $y$  &
- $u_{k_y}(y + La, z) = u_{k_y}(y, z)$ .



→ Coefficients of  $c$  are determined by explicit solution. (6)

Bloch's Theorem →  $\psi(\dots, y, \dots) \propto e^{ik_y y} \cdot \bar{u}_{k_y}(y, \dots)$   
 Form of this = Bloch's state. (Solid-state Physics)  
 Floquet Mode. (In mechanics)

Mode frequency →  $\omega(k_y) = \omega(k_y + mb)$  is periodic.  
 → state with  $k_y$  &  $k_y + mb$  is periodic.

Brillouin Zone - Non-redundant values of  $k_y$  →  $-\frac{\pi}{a} < k_y \leq \frac{\pi}{a}$

For periodicity in all 3-dimensions -

→ Primitive lattice vectors  $(\vec{a}_1, \vec{a}_2, \vec{a}_3)$  span the space of lattice vectors.  
 ⇒  $\vec{R} = l\vec{a}_1 + m\vec{a}_2 + n\vec{a}_3$

→ Reciprocal lattice vectors of  $(\vec{a}_1, \vec{a}_2, \vec{a}_3) = (\vec{b}_1, \vec{b}_2, \vec{b}_3)$  so  
 that  $\vec{a}_i \cdot \vec{b}_j = 2\pi \delta_{ij}$ . Form reciprocal lattice.

Bloch wave vector →

$\vec{k} = k_1\vec{b}_1 + k_2\vec{b}_2 + k_3\vec{b}_3$  where  $\vec{k}$  lies in Brillouin zone.  
 3-D periodic systems are Bloch states.

Example - Crystal in which unit cell is rectangular box,  
 $|k_i| \leq \frac{1}{2}$ .

Form -  $H_{\vec{k}}(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} \bar{u}_{\vec{k}}(\vec{r})$ ,  $\bar{u}_{\vec{k}}(\vec{r}) = \bar{u}_{\vec{k}}(\vec{r} + \vec{R})$   
 for all lattice vectors  $\vec{R}$ .

Photonic Band Structures -

Finding  $u_{\vec{k}}(\vec{r})$  →

$$\hat{H} u_{\vec{k}} = \left( \frac{\omega(\vec{k})}{c} \right)^2 u_{\vec{k}}$$

$$\Rightarrow \vec{\nabla} \times \frac{1}{\epsilon(\vec{r})} \vec{\nabla} \times e^{i\vec{k} \cdot \vec{r}} u_{\vec{k}}(\vec{r}) = \left( \frac{\omega(\vec{k})}{c} \right)^2 e^{i\vec{k} \cdot \vec{r}} u_{\vec{k}}(\vec{r})$$

$$\Rightarrow (i\vec{k} + \vec{\nabla}) \times \left( \frac{1}{\epsilon(\vec{r})} (i\vec{k} + \vec{\nabla}) \times \bar{u}_{\vec{k}}(\vec{r}) \right) = \left( \frac{\omega(\vec{k})}{c} \right)^2 \bar{u}_{\vec{k}}(\vec{r})$$

$$\Rightarrow \hat{H}_{\vec{k}} \bar{u}_{\vec{k}}(\vec{r}) = \left( \frac{\omega(\vec{k})}{c} \right)^2 \bar{u}_{\vec{k}}(\vec{r})$$

$$\text{So, } \boxed{\hat{H}_{\vec{k}} \triangleq (i\vec{k} + \vec{\nabla}) \times \frac{1}{\epsilon(\vec{r})} (i\vec{k} + \vec{\nabla}) \times}$$

So,  $\vec{u}_k(\vec{r})$  can be determined subject to  
 $(i\vec{k} + \nabla) \cdot \vec{u}_k = 0$  &  $u_k(\vec{r}) = u_k(\vec{r} + \vec{R})$

Band structure - Different modes obtained from varying  $k$  and then for a specific  $\vec{k}$  form the frequency band for different  $n$ .

Rotational Symmetry and Irreducible Brillouin Zone -

Operator (3x3 matrix)  $R(\hat{n}, \alpha)$  rotates vectors by an angle  $\alpha$  about the  $\hat{n}$  axis.

$$R(\hat{n}, \alpha) = R$$

Effect  $\rightarrow$  Vector field rotates  $= \hat{O}_R$ , so  $\rightarrow$

$$\boxed{\hat{O}_R \cdot \vec{f}(\vec{r}) = R \vec{f}(R^{-1} \vec{r})}$$

If Rotation leaves system invariant, then  $\boxed{[\hat{H}, \hat{O}_R] = 0}$

$$\text{OR } \hat{H}(\hat{O}_R \vec{H}_{\vec{k}n}) = \hat{O}_R(\hat{H} \vec{H}_{\vec{k}n}) = \left( \frac{\omega_n(k)}{c} \right)^2 (\hat{O}_R \vec{H}_{\vec{k}n})$$

$\rightarrow \hat{O}_R \vec{H}_{\vec{k}n}$  is none other than Bloch state with wave vector  $R\vec{k}$ .

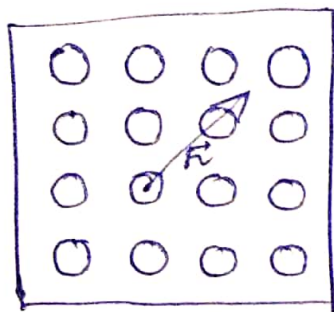
$$\begin{aligned} \text{Proof: } - \hat{T}_R(\hat{O}_R \vec{H}_{\vec{k}n}) &= \hat{O}_R(\hat{T}_R^{-1} \vec{H}_{\vec{k}n}) \\ &= \hat{O}_R(e^{-i\vec{k}(\vec{R}-\vec{R}')} \vec{H}_{\vec{k}n}) \\ &= \hat{O}_R(e^{-i\vec{k}(\vec{R}-\vec{R}')} \vec{H}_{\vec{k}n}) \end{aligned}$$

$$\text{So } \boxed{\omega_n(R\vec{k}) = \omega_n(\vec{k})}$$

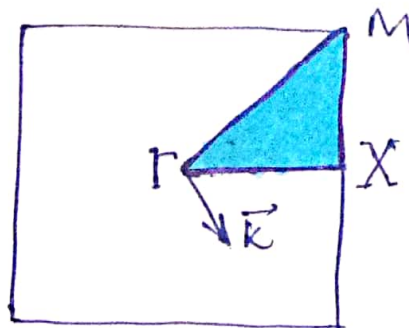
Point Group - Collection of symmetry operations (rotations, reflections and inversions).

Irreducible Brillouin Zone - Smallest region inside Brillouin zone where  $\omega_n(\vec{k})$  are not related by symmetry.





Real Lattice



Brillouin Zone of Reciprocal Lattice

## Mirror Reflection Symmetry $\rightarrow$

Two separate eigenvalue equations for  $\hat{H}_k$ , one for each polarization.

Case 1 -  $H_k$  is  $\perp$  to the mirror plane,  $E_k$  is parallel

Case 2 -  $H_k$  is in plane,  $E_k$  is perpendicular.

The photonic crystal image is invariant under mirror reflections in the  $xz$  &  $yz$  planes.

$\hat{O}_{M_x}$  = Mirror Reflection Operator  $\rightarrow$

$$\boxed{\hat{O}_{M_x} \vec{f}(\vec{r}) = M_x \vec{f}(M_x \vec{r})}$$

$$\boxed{[\hat{H}, \hat{O}_{M_x}] = 0}$$

$\rightarrow H_k$  is just a Bloch state with reflected wave vector  $M_x \vec{k}$ .

$$\Rightarrow \hat{O}_{M_x} H_k = e^{i\phi} H_{M_x \vec{k}} = \pm H_k(\vec{r}) = M_x H_k(M_x \vec{r})$$

$\rightarrow$  If  $[\hat{H}, \hat{O}_M] = 0 \Rightarrow M\vec{r} = \vec{r} \ \& \ M\vec{k} = \vec{k}$ .

Even components  $\rightarrow (H_x, H_y, E_z)$ , = Transverse-Electric (TE modes)

Odd components  $\rightarrow (E_x, E_y, H_z)$ , = Transverse-Magnetic (TM modes)

## Time Reversal Symmetry -

$$\omega_n(\vec{k}) = \omega_n(-\vec{k})$$

Miller Indices - Traditional way to refer to a plane in a crystal lattice is the system of Miller Indices.