



# **Disability Services Act 2006**

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Queensland

# Disability Services Act 2006

## Contents

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		Page
<b>Part 1</b>	<b>Preliminary</b>	
<b>Division 1</b>	<b>Introduction</b>	
1	Short title .....	23
2	Commencement .....	23
3	Act binds all persons .....	23
4	Contravention of this Act does not create civil cause of action ..	23
5	Act does not affect other rights or remedies .....	24
<b>Division 2</b>	<b>Objects</b>	
6	Objects of Act .....	24
7	How objects are mainly achieved .....	25
8	Finite resources available .....	25
<b>Division 3</b>	<b>Interpretation</b>	
9	Definitions .....	26
10A	References to entity include department .....	26
11	What is a disability .....	26
12	What are disability services .....	26
12A	What are NDIS supports or services .....	27
13	Meaning of service provider .....	27
14	Meaning of funded service provider .....	27
15	Meaning of NDIS service provider .....	28
16	Meaning of NDIS sole trader and State sole trader .....	28
<b>Part 2</b>	<b>Disability rights</b>	
<b>Division 1</b>	<b>Human rights principle</b>	
17	Entities encouraged to have regard to human rights principle ..	29
18	Principle that people with disability have the same human rights as others .....	29
<b>Division 2</b>	<b>Service delivery principles</b>	

Contents

---

19	Service delivery principles to be promoted by service providers .	30
20	Focus on the development of the individual . . . . .	31
21	Participation in planning and operation of services . . . . .	31
22	Focus on a lifestyle the same as other people and appropriate for age 31	
23	Coordination and integration of services with general services .	31
24	Services to be tailored to meet individual needs and goals . . . .	31
25	People with disability experiencing additional barriers . . . . .	32
26	Promotion of competency, positive image and self-esteem . . . .	32
27	Inclusion in the community . . . . .	32
28	No single service provider to exercise control over life of person with disability . . . . .	32
29	Consideration for others involved with people with disability . . .	33
30	Service providers to make information available . . . . .	33
31	Raising and resolving grievances . . . . .	33
32	Access to advocacy support . . . . .	33
<b>Part 3</b>	<b>Complaints about the delivery of disability services by particular service providers</b>	
32A	Application of part . . . . .	34
33	Complaints by consumers . . . . .	34
34	Chief executive may refer matters to complaints agency . . . . .	34
35	Complaints agency to inform chief executive about actions taken for complaint . . . . .	35
<b>Part 4</b>	<b>Funding to people with disability</b>	
36	Purpose of pt 4 . . . . .	35
37	Definitions for pt 4 . . . . .	35
38	Approval for funding . . . . .	36
39	Individual funding agreement . . . . .	37
<b>Part 5</b>	<b>Disability worker screening and related requirements</b>	
<b>Division 1</b>	<b>Preliminary</b>	
<b>Subdivision 1</b>	<b>General</b>	
40	Main purposes of part . . . . .	37
41	Paramount consideration . . . . .	38
42	Part applies despite Criminal Law (Rehabilitation of Offenders) Act 1986 38	
43	Exemption for secondary school student on work experience . .	38
<b>Subdivision 2</b>	<b>Interpretation</b>	

44	When a person is engaged to carry out work for entity . . . . .	39
45	Meaning of NDIS disability work and risk-assessed NDIS work .	40
46	Key personnel of NDIS service provider taken to be engaged in risk-assessed NDIS work . . . . .	41
47	Meaning of State disability work . . . . .	41
48	When person is engaged to carry out State disability work . . . . .	42
49	Particular members or officers taken to be engaged in State disability work for funded service provider . . . . .	42
50	Meaning of clearance and types of clearances . . . . .	42
51	Meaning of exclusion and types of exclusions . . . . .	43
52	Meaning of notifiable person . . . . .	43
<b>Division 2</b>	<b>Requirements related to persons carrying out disability work</b>	
<b>Subdivision 1</b>	<b>NDIS disability work</b>	
53	Registered NDIS provider engaging person to carry out risk-assessed NDIS work . . . . .	45
54	Carrying out risk-assessed NDIS work without NDIS clearance or interstate NDIS clearance prohibited . . . . .	46
55	Engaging person with NDIS exclusion or interstate NDIS exclusion prohibited . . . . .	47
56	Engaging person with suspended NDIS clearance or interstate NDIS clearance, or subject to interim bar, prohibited . . . . .	47
57	Holder of NDIS exclusion or interstate NDIS exclusion prohibited from carrying out NDIS disability work . . . . .	48
<b>Subdivision 2</b>	<b>State disability work</b>	
58	Funded service provider must prepare annual risk management strategy	48
59	Funded service provider engaging person to carry out State disability work . . . . .	49
60	Engaging person with suspended clearance, or subject to interim bar, prohibited . . . . .	50
61	Carrying out State disability work without clearance prohibited .	50
<b>Subdivision 3</b>	<b>General</b>	
62	No contravention of particular requirements in absence of notice	51
63	Complying with requirement to end, or not start, person's engagement	52
<b>Division 3</b>	<b>Disability worker screening applications</b>	
<b>Subdivision 1</b>	<b>Prohibited disability worker screening applications</b>	
64	Application by exclusion holder prohibited . . . . .	53
<b>Subdivision 2</b>	<b>Applications generally</b>	

Contents

---

65	Application related to NDIS disability work . . . . .	53
66	Application related to State disability work . . . . .	54
67	Application combined with working with children check application . . . . .	54
68	Form of application . . . . .	54
69	Chief executive may request further information . . . . .	55
70	Applicant must give notice of change in information . . . . .	55
71	Notice of change in police information or risk assessment matter . . . . .	56
72	Expired clearance continues in force pending decision . . . . .	56
73	Holder of expired interstate NDIS clearance taken to hold NDIS clearance pending decision . . . . .	57
<b>Subdivision 3      Withdrawal of application</b>		
74	Notice of withdrawal . . . . .	57
75	Withdrawal by applicant . . . . .	57
76	Refusal to withdraw by chief executive . . . . .	58
77	Request to withdraw combined application . . . . .	58
78	Withdrawal because identity can not be established . . . . .	59
79	Withdrawal because of failure to comply with particular requests . . . . .	59
80	Withdrawal of NDIS worker screening application in particular circumstances . . . . .	60
<b>Subdivision 4      Interim bar for particular applicants</b>		
81	Application of subdivision . . . . .	60
82	Chief executive must impose interim bar on applicant . . . . .	61
83	Notifiable persons and potential employers notified about interim bar . . . . .	61
84	Effect of interim bar . . . . .	62
85	When interim bar ends . . . . .	63
<b>Division 4          Dealing with and deciding application</b>		
<b>Subdivision 1      Preliminary</b>		
86	Application of division . . . . .	64
87	Dealing with application . . . . .	64
88	Information to be considered . . . . .	65
<b>Subdivision 2      Deciding application</b>		
89	Deciding application—no relevant information . . . . .	66
90	Deciding application—disqualified person . . . . .	66
91	Deciding application—exceptional circumstances for adult offender . . . . .	66
92	Deciding application—general assessment of risk posed . . . . .	67
<b>Subdivision 3      Assessing risk person poses to people with disability</b>		

93	How chief executive conducts risk assessment . . . . .	67
94	Matters to consider . . . . .	68
95	Action before making adverse decision . . . . .	68
96	Requirements for show cause notice . . . . .	69
<b>Subdivision 4</b>	<b>Steps after application decided</b>	
97	Application of subdivision . . . . .	70
98	Issuing clearance and clearance card . . . . .	70
99	Issuing exclusion . . . . .	71
100	Notifiable persons and potential employers notified about decision	71
101	Term of clearance . . . . .	72
102	Term of exclusion . . . . .	72
<b>Division 5</b>	<b>General provisions about clearances</b>	
<b>Subdivision 1</b>	<b>Change in information</b>	
103	Clearance holder to notify if no longer carrying out work as volunteer or on unpaid basis . . . . .	72
104	Clearance holder to notify change in other information . . . . .	74
<b>Subdivision 2</b>	<b>Replacement of clearance card</b>	
105	Replacement of lost or stolen card . . . . .	75
106	Replacement for change of name, contact details or volunteer or unpaid status . . . . .	75
107	Requirement to return replaced card . . . . .	76
<b>Division 6</b>	<b>Reassessment, suspension or cancellation of clearance</b>	
<b>Subdivision 1</b>	<b>Reassessment of risk of harm to people with disability</b>	
108	Reassessment of risk of harm posed by holder of clearance . . .	76
109	Application of div 4, sdiv 3 to conducting risk assessment . . . . .	77
<b>Subdivision 2</b>	<b>Suspension of clearance</b>	
110	Application of subdivision . . . . .	77
111	Chief executive must suspend clearance . . . . .	78
112	Notifiable persons and potential employers notified about suspension	78
113	Effect of suspension of clearance . . . . .	79
114	When suspension of clearance ends . . . . .	80
115	Deciding whether to cancel suspended clearance . . . . .	80
116	Application to chief executive to end suspension of clearance . .	81
117	Chief executive decides to cancel suspended clearance . . . . .	81
118	Chief executive decides not to cancel suspended clearance . . .	83
<b>Subdivision 3</b>	<b>Cancelling clearance by chief executive</b>	

Contents

---

119	Cancelling clearance—disqualified person . . . . .	83
120	Cancelling clearance—wrong or incomplete information . . . . .	83
121	Cancelling clearance—new relevant information . . . . .	84
122	Action after decision . . . . .	85
123	Notifiable persons and potential employers notified about cancellation 85	
<b>Subdivision 4</b>	<b>Cancelling clearance on holder’s request</b>	
124	Request to cancel clearance . . . . .	86
125	Refusal to cancel clearance . . . . .	86
126	Cancellation of clearance . . . . .	87
127	Notifiable persons and potential employers notified about cancellation 87	
<b>Subdivision 5</b>	<b>Return of clearance card</b>	
128	Requirement to return suspended or cancelled card . . . . .	88
<b>Division 7</b>	<b>Cancellation of exclusion</b>	
129	Application of div 4, sdiv 3 to conducting risk assessment . . . . .	88
130	Application to cancel exclusion . . . . .	89
131	Form of application . . . . .	89
132	Cancellation on application . . . . .	90
133	Other cancellation of exclusion . . . . .	90
134	Action after decision to cancel exclusion . . . . .	91
135	Notice of decision to refuse application . . . . .	91
<b>Division 8</b>	<b>Provisions about obtaining, giving and dealing with information</b>	
<b>Subdivision 1</b>	<b>Preliminary</b>	
136	Meaning of relevant person . . . . .	92
137	Chief executive may disclose information about relevant persons	93
138	Information that need not be given . . . . .	93
<b>Subdivision 2</b>	<b>Obtaining police information and related information from police commissioner</b>	
138A	Provision about police commissioner’s obligation to provide information 94	
138B	Meaning of criminal history event . . . . .	95
138C	Chief executive’s request for police information about relevant person 95	
138D	Chief executive’s request for domestic violence information about relevant person . . . . .	96
138E	Police commissioner must notify change in police information . .	97
138F	Particular information to be given about orders and applications for	

---



	orders refused . . . . .	98
138G	Person to be notified if investigative information given . . . . .	99
138H	Use of information given to police commissioner . . . . .	99
<b>Subdivision 3</b>	<b>Provisions about investigative information</b>	
138I	Police commissioner may decide information is investigative information 100	
138J	Appealing investigative information decision . . . . .	101
138K	Court to decide matter afresh . . . . .	102
138L	Consequence of decision on appeal . . . . .	103
<b>Subdivision 4</b>	<b>Obtaining police information from other State entities</b>	
138M	Obtaining information from director of public prosecutions . . . . .	103
138N	Obtaining information from chief executive (corrective services)	105
<b>Subdivision 5</b>	<b>Obtaining disciplinary and other information from particular entities</b>	
138O	Meaning of disciplinary information and disciplinary action . . . . .	106
138P	Request for information about disciplinary action against relevant person 107	
138Q	State entity must comply with request for disciplinary information	108
138R	Request for other information about relevant person from prescribed entities . . . . .	109
138S	State entity must update disciplinary information . . . . .	110
<b>Subdivision 6</b>	<b>Obtaining information about person's mental health</b>	
138T	Application of subdivision . . . . .	110
138U	Request to relevant person for consent to preparation of report about person's mental health . . . . .	111
138V	Obtaining report about person's mental health from registered health practitioner . . . . .	112
138W	Chief executive to bear medical costs . . . . .	113
138X	Request for consent to obtain information from Mental Health Court or Mental Health Review Tribunal . . . . .	113
138Y	Obtaining information from Mental Health Court . . . . .	115
138Z	Obtaining information from Mental Health Review Tribunal . . . . .	116
138ZA	Chief executive must give information to registered health practitioner 117	
138ZB	Information that cannot be disclosed to relevant person under confidentiality order . . . . .	118
138ZC	Further restrictions on chief executive's use of information . . . . .	118
138ZD	Giving information authorised despite other laws . . . . .	118
138ZE	Information that must not be given . . . . .	119

Contents

---

<b>Subdivision 7</b>	<b>Giving information to other worker screening units and NDIS commission</b>	
138ZF	Application of subdivision . . . . .	120
138ZG	Giving information to chief executive (working with children) . . .	120
138ZH	Giving information to NDIS worker screening unit or working with children screening unit . . . . .	121
138ZI	Giving information to NDIS commission . . . . .	122
<b>Subdivision 8</b>	<b>Giving information about person engaged in State disability work to particular entities</b>	
138ZJ	Authorised entities for person . . . . .	123
138ZK	Chief executive may give authorised entities particular information	124
138ZL	Use of information obtained under section 138ZK about person	124
<b>Subdivision 9</b>	<b>Other provisions</b>	
138ZM	Chief executive may advise whether clearance or interstate NDIS clearance is in force . . . . .	125
138ZN	Arrangements with chief executive (working with children) about asking for and giving information . . . . .	126
138ZO	Arrangements with police commissioner or other entity about asking for and giving information . . . . .	127
138ZP	Guidelines for dealing with information . . . . .	127
138ZQ	Chief executive must give person's current address to police commissioner . . . . .	128
<b>Division 9</b>	<b>Review and appeal</b>	
138ZR	Reviewable decisions and affected persons . . . . .	128
138ZS	Review process must start with internal review . . . . .	129
138ZT	Who may apply for internal review . . . . .	129
138ZU	Requirements for application . . . . .	129
138ZV	Internal review . . . . .	130
138ZW	Applying for external review . . . . .	131
138ZX	Stay of operation of particular tribunal decisions . . . . .	131
138ZY	Effect of applicant for review becoming disqualified person . . . .	132
<b>Division 10</b>	<b>Miscellaneous provisions</b>	
138ZZ	False or misleading information . . . . .	132
138ZZA	Chief executive may arrange for use of information system . . . .	133
138ZZB	Notice given to notifiable person by NDIS commission . . . . .	133
<b>Part 6</b>	<b>Positive behaviour support and restrictive practices</b>	
<b>Division 1</b>	<b>Preliminary</b>	
139	Purpose of part . . . . .	134

140	Application of part . . . . .	135
141	Principles for performing functions etc. . . . .	136
142	Principles for providing disability services or NDIS supports or services to particular adults . . . . .	136
143	Explanation of operation of pt 6 . . . . .	137
144	Definitions for part . . . . .	139
145	Meaning of chemical restraint . . . . .	143
146	Meaning of contain . . . . .	144
147	Meaning of mechanical restraint . . . . .	145
<b>Division 2</b>	<b>Important concepts for using restrictive practices</b>	
148	Assessment of an adult . . . . .	145
149	Who is appropriately qualified to assess an adult . . . . .	147
150	What is a positive behaviour support plan . . . . .	147
<b>Division 3</b>	<b>Containment and seclusion</b>	
<b>Subdivision 1</b>	<b>Requirements for containing or secluding an adult</b>	
150A	Authorisation of containment or seclusion . . . . .	150
151	Containing or secluding an adult under containment or seclusion approval . . . . .	150
152	Containing or secluding an adult for respite services or community access services . . . . .	151
153	Containing or secluding an adult under short term approval . . . .	152
154	Containing or secluding an adult before decision on short term approval 152	
<b>Subdivision 2</b>	<b>Multidisciplinary assessment and development of positive behaviour support plan</b>	
155	Application of sdiv 2 . . . . .	153
156	Chief executive to decide whether multidisciplinary assessment of adult will be conducted . . . . .	154
157	Notice of decision not to conduct assessment . . . . .	155
158	Development of positive behaviour support plan following assessment 155	
159	Participation of psychiatrist or senior practitioner in development of plan 157	
<b>Subdivision 3</b>	<b>Changing a positive behaviour support plan</b>	
160	Application of sdiv 3 . . . . .	158
161	Who may change positive behaviour support plan . . . . .	158
162	When chief executive must decide whether positive behaviour support plan should be changed . . . . .	158
163	Requirements for chief executive's decision about whether change	

Contents

---

	should be made . . . . .	159
164	Action of chief executive after deciding whether change should be made 159	
<b>Subdivision 4</b>	<b>General requirements for containment or seclusion</b>	
165	Relevant service provider to ensure adult's needs are met . . . .	162
<b>Division 4</b>	<b>Use of restrictive practices other than containment or seclusion</b>	
<b>Subdivision 1</b>	<b>Requirements for using chemical, mechanical or physical restraint, or restricting access</b>	
165A	Authorisation of chemical, mechanical or physical restraint or restricting access . . . . .	162
166	Using chemical, mechanical or physical restraint, or restricting access, with consent of guardian etc. . . . .	163
167	Using chemical, mechanical or physical restraint, or restricting access, for respite services or community access services . . . . .	164
168	Using chemical restraint (fixed dose) for respite services . . . . .	165
169	Using chemical, mechanical or physical restraint, or restricting access, if consent ended . . . . .	165
170	Using chemical, mechanical or physical restraint, or restricting access, under short term approval . . . . .	166
171	Using chemical, mechanical or physical restraint, or restricting access, before decision on short term approval . . . . .	167
<b>Subdivision 2</b>	<b>Assessment of adult and positive behaviour support plan</b>	
172	Application of sdiv 2 . . . . .	168
173	Requirements for development of positive behaviour support plan—assessment and consultation . . . . .	168
174	Changing a positive behaviour support plan . . . . .	170
175	Requirement for relevant service provider to consider whether plan should be changed . . . . .	171
<b>Subdivision 3</b>	<b>Requirements if adult subject to forensic order, treatment support order or treatment authority</b>	
176	Requirement for relevant service provider to notify guardian . . .	172
177	Requirement for relevant service provider to notify relevant director	173
<b>Subdivision 4</b>	<b>Short term approvals given by chief executive</b>	
178	Short term approval for use of restrictive practices other than containment or seclusion . . . . .	174
179	Period for which short term approval has effect . . . . .	176
<b>Division 5</b>	<b>Use of restrictive practices for respite services or community access services</b>	
180	Purpose of div 5 . . . . .	177
181	Requirement to develop respite/community access plan . . . . .	177

182	Obtaining information about the adult .....	179
183	Consultation .....	179
183A	Other requirements for developing respite/community access plan	180
184	Risk assessment .....	180
<b>Division 6</b>	<b>Review of particular chief executive decisions</b>	
185	Application of div 6 .....	180
186	Definitions for div 6 .....	181
187	Application for review by chief executive .....	181
188	Review of relevant decision .....	181
<b>Division 7</b>	<b>Miscellaneous provisions</b>	
<b>Subdivision 1</b>	<b>Immunity for use of restrictive practices</b>	
189	Immunity from liability—relevant service provider .....	182
190	Immunity from liability—individual acting for relevant service provider 182	
<b>Subdivision 2</b>	<b>Requirements for relevant service providers</b>	
191	Requirement to give statement about use of restrictive practices	184
192	Requirement to give information to guardian or informal decision-maker 185	
193	Requirement to keep and implement procedure .....	187
194	Requirement to keep records and other documents .....	188
195	Notification requirements about approvals given for use of restrictive practices .....	189
<b>Subdivision 3</b>	<b>Confidentiality provisions</b>	
196	Definitions for sdiv 3 .....	190
197	Relevant service provider may request confidential information from health professional, chief executive (health) or health service chief executive .....	191
198	Relevant service providers must maintain confidentiality .....	192
<b>Subdivision 4</b>	<b>Reporting and provision of particular information</b>	
199	Requirement to give information about use of restrictive practice to chief executive .....	193
200	Chief executive may give information about use of restrictive practice 193	
<b>Part 6A</b>	<b>Investigation, monitoring and enforcement</b>	
<b>Division 1</b>	<b>Preliminary</b>	
200A	Purpose of part .....	194
200B	References to exercise of powers .....	194
200C	Reference to document includes reference to reproductions from	

Contents

---

	electronic document . . . . .	194
<b>Division 2</b>	<b>Functions of authorised officers</b>	
200D	Existing functions of authorised officers in relation to funding . . .	195
200E	Additional functions of authorised officers in relation to NDIS service providers . . . . .	195
<b>Division 3</b>	<b>Powers of authorised officers for particular functions</b>	
<b>Subdivision 1</b>	<b>Preliminary</b>	
200F	Application of division for particular functions . . . . .	196
<b>Subdivision 2</b>	<b>General power of entry</b>	
200G	General power to enter places . . . . .	196
<b>Subdivision 3</b>	<b>Entry by consent</b>	
200H	Application of subdivision . . . . .	197
200I	Incidental entry to ask for access . . . . .	197
200J	Matters authorised officer must tell occupier . . . . .	198
200K	Consent acknowledgement . . . . .	198
<b>Subdivision 4</b>	<b>Entry under warrant</b>	
200L	Application for warrant . . . . .	199
200M	Issue of warrant . . . . .	199
200N	Electronic application . . . . .	200
200O	Additional procedure if electronic application . . . . .	201
200P	Defect in relation to a warrant . . . . .	202
200Q	Entry procedure . . . . .	202
<b>Subdivision 5</b>	<b>General powers of authorised officer after entering place</b>	
200R	Application of subdivision . . . . .	203
200S	General powers . . . . .	204
200T	Power to require reasonable help . . . . .	205
200U	Offence to contravene help requirement . . . . .	205
200V	Failure to answer questions . . . . .	205
<b>Subdivision 6</b>	<b>Additional information-obtaining power</b>	
200W	Power to require information . . . . .	206
200X	Offence to contravene information requirement . . . . .	207
<b>Part 7</b>	<b>Legal proceedings</b>	
<b>Division 1</b>	<b>Application</b>	
201	Application of pt 7 . . . . .	207
<b>Division 2</b>	<b>Evidence</b>	
202	Appointments and authority . . . . .	208

203	Signatures .....	208
204	Evidentiary provisions .....	208
205	Clearance card is evidence of clearance .....	209
<b>Division 3</b>	<b>Proceedings</b>	
206	Indictable and summary offences .....	209
207	Proceedings for indictable offences .....	209
208	Limitation on who may summarily hear indictable offence proceedings 210	
209	Proceeding for offences .....	211
210	When proceeding may start .....	211
211	Allegations of false or misleading information or document ....	211
212	Forfeiture on conviction .....	211
213	Dealing with forfeited thing .....	212
214	Responsibility for acts or omissions of representative .....	212
<b>Part 8</b>	<b>Miscellaneous</b>	
<b>Division 1</b>	<b>Records</b>	
215	Funded service provider or NDIS service provider must keep records 213	
<b>Division 2</b>	<b>Locking of gates, doors and windows</b>	
216	Application of division .....	213
217	Definitions for division .....	214
218	Immunity from liability—division 2 service provider .....	215
219	Immunity from liability—individual acting for division 2 service provider 216	
220	Department's policy about locking of gates, doors and windows	216
<b>Division 3</b>	<b>Other matters</b>	
221	Disability service plans for departments .....	217
222	Establishment of Ministerial advisory committees .....	218
223	Membership of advisory committee .....	218
224	Dissolution .....	219
225	Other matters .....	219
226	Person with disability must advise chief executive about compensation 219	
227	Confidentiality of police, disciplinary, mental health and other protected information .....	220
228	Confidentiality of other information .....	221
229	Power to require information or documents .....	223

Contents

---

230	Protection from liability for giving information . . . . .	224
231	Chief executive to advise on-disclosure . . . . .	225
233	Chief executive may enter into arrangements about giving and receiving information about eligible persons . . . . .	225
234	Delegation by Minister . . . . .	226
235	Delegation by chief executive . . . . .	226
236	Protecting officials from liability . . . . .	227
237	Approval of forms . . . . .	227
238	Service of documents . . . . .	227
239	Regulation-making power . . . . .	228
240	Review of Act . . . . .	228
241	Review of Guardianship and Administration Act 2000, ch 5B . . .	228
241A	Review of impact of NDIS on Act . . . . .	228
241AA	Review of particular matters . . . . .	229
<b>Part 9</b>	<b>Repeal and transitional provisions</b>	
<b>Division 1</b>	<b>Repeal</b>	
242	Repeal of Disability Services Act 1992 . . . . .	229
<b>Division 2</b>	<b>Transitional provisions for Act No. 12 of 2006</b>	
243	Definitions for div 2 . . . . .	229
244	Screening of persons engaged by funded non-government service providers at the commencement . . . . .	230
245	Certain non-government service providers taken to be approved under part 6 and to be funded non-government service providers . . . .	230
246	When grants of financial assistance under the repealed Act continue 231	
247	Queensland disability service standards to continue in force . . .	232
248	Disability sector quality system to continue in force . . . . .	232
<b>Division 3</b>	<b>Transitional provisions for Disability Services and Other Legislation Amendment Act 2008 and Justice and Other Legislation Amendment Act 2010</b>	
<b>Subdivision 1</b>	<b>Preliminary</b>	
249	Interpretation . . . . .	233
250	Declaration about transitional period . . . . .	234
<b>Subdivision 2</b>	<b>Immunity from liability for use of restrictive practices before commencement</b>	
251	Immunity of previous service provider . . . . .	235
252	Immunity of individual acting for previous service provider . . . .	235
<b>Subdivision 3</b>	<b>Immunity from liability for use of restrictive practices during</b>	

---



	<b>transitional period</b>	
253	Immunity of relevant service provider . . . . .	236
254	Requirement to assess adult if no authorised guardian . . . . .	237
255	Immunity for individual acting for relevant service provider . . . . .	238
256	Relationship of subdivision with pt 6 . . . . .	238
257	Circumstances in which subdivision stops applying . . . . .	238
<b>Subdivision 4</b>	<b>Other provisions</b>	
258	Requirements for department's policy about use of restrictive practices during transitional period . . . . .	239
259	Short term approvals not to be given during transitional period . . . . .	240
<b>Division 4</b>	<b>Transitional provision for Criminal Code and Other Acts Amendment Act 2008</b>	
260	References in schs 2 and 4 to Criminal Code offence . . . . .	241
<b>Division 5</b>	<b>Transitional provisions for Criminal History Screening Legislation Amendment Act 2010</b>	
<b>Subdivision 1</b>	<b>Preliminary</b>	
261	Definition for div 5 . . . . .	241
<b>Subdivision 2</b>	<b>Transitional provisions relating to previous part 9</b>	
262	Definitions for sdiv 2 . . . . .	241
263	Notice about change in criminal history not given at the commencement 242	
264	Request for prescribed police information not complied with at the commencement . . . . .	242
265	Particular prescribed police information obtained but not used before commencement . . . . .	243
266	Notice not given by prosecuting authority at the commencement . . . . .	243
267	Use of particular information obtained before commencement . . . . .	244
<b>Subdivision 3</b>	<b>Transitional provisions relating to particular amendments of part 5</b>	
268	Explanation of sdiv 3 . . . . .	244
269	Definitions for sdiv 3 . . . . .	244
270	New serious offences . . . . .	245
271	Existing applications for prescribed notice . . . . .	246
272	Continuation if chief executive acting on own initiative . . . . .	246
273	Replacement of positive notice or positive notice card . . . . .	246
274	Existing reviews and appeals . . . . .	247
275	Police commissioner's decision that information is investigative information . . . . .	247
276	References to exemption notices etc. . . . .	248

Contents

---

277	References to eligibility declarations etc. ....	249
<b>Subdivision 4</b>	<b>Transitional provisions relating to other amendments of part 5</b>	
278	Explanation of sdiv 4 ....	249
279	Definitions for sdiv 4 ....	249
280	Obtaining particular information from police commissioner about particular persons ....	250
281	Existing applications for prescribed notice about a disqualified person who is not a relevant disqualified person ....	251
282	Existing applications for prescribed notice about a relevant disqualified person ....	252
283	Other existing applications for prescribed notice ....	252
284	Existing positive notices held by disqualified persons who are not relevant disqualified persons ....	253
285	Existing positive notices held by relevant disqualified person because of temporary or interim order ....	254
286	Existing positive notices held by other relevant disqualified person	254
287	Currency of existing prescribed notices ....	255
288	Existing applications to cancel negative notice by relevant disqualified person ....	255
289	Other existing applications to cancel a negative notice ....	256
290	Continuation if chief executive acting on own initiative ....	256
291	Application of s 97 and sch 8, definition relevant disqualified person	257
292	Application of s 98 ....	257
293	Existing reviews and appeals by disqualified person ....	257
294	Other existing reviews and appeals ....	258
295	Disqualification orders for acts done or omissions made before commencement ....	258
296	Continuing engagement of volunteers ....	259
297	Provision about persons engaged to provide disability services only to children ....	259
298	Provision about holders of CCYPCG positive notice ....	260
<b>Subdivision 5</b>	<b>Other transitional provision</b>	
299	References to Youth Justice Act 1992 ....	261
<b>Division 6</b>	<b>Provision for the Health Practitioner Registration and Other Legislation Amendment Act 2013</b>	
300	Application of pt 5 to former registered speech pathologist ....	261
<b>Division 7</b>	<b>Transitional provisions for Criminal Law (Child Exploitation and Dangerous Drugs) Amendment Act 2013</b>	

---

<b>Subdivision 1</b>	<b>Interpretation</b>	
301	Definitions for div 7 . . . . .	262
<b>Subdivision 2</b>	<b>Prescribed notice applications and prescribed notices</b>	
302	Existing prescribed notice application by new relevant disqualified person . . . . .	263
303	Existing prescribed notice application if person charged with new disqualifying offence or is new disqualified person but not new relevant disqualified person . . . . .	263
304	Other existing prescribed notice applications . . . . .	265
305	Current positive notice for new relevant disqualified person . . . .	265
306	Current positive notice for new disqualified person other than new relevant disqualified person . . . . .	266
307	Other current positive notices . . . . .	267
<b>Subdivision 3</b>	<b>Exemption notice applications and exemption notices</b>	
308	Existing exemption notice application by new relevant disqualified person or person charged with a new disqualifying offence . . . .	267
309	Existing exemption notice application if person is new disqualified person but not new relevant disqualified person . . . . .	268
310	Other existing exemption notice applications . . . . .	268
311	Current positive exemption notice for person whose CCYPCG positive notice is cancelled . . . . .	269
312	Other positive exemption notices . . . . .	269
<b>Subdivision 4</b>	<b>Existing applications for cancellation or ending suspension of prescribed notices and exemption notices</b>	
313	Existing applications to cancel negative notice or negative exemption notice . . . . .	270
314	Existing application to end suspension of positive notice for person other than new relevant disqualified person . . . . .	271
315	Existing application to end suspension of positive exemption notice for person other than new relevant disqualified person . . . . .	271
<b>Subdivision 5</b>	<b>Eligibility applications and eligibility declarations</b>	
316	Existing eligibility application . . . . .	271
317	Current eligibility declaration for new relevant disqualified person or person charged with new disqualifying offence . . . . .	272
318	Current eligibility declaration for person convicted of new serious offence	272
319	Other eligibility declarations . . . . .	273
320	Existing application for reversal of decision refusing an eligibility declaration . . . . .	273
<b>Subdivision 6</b>	<b>Reviews and appeals</b>	

Contents

---

321	Undecided reviews and appeals by new disqualified persons . . .	274
322	Review of part 5 reviewable decision about new disqualified person	275
323	Appeal by new disqualified person against decision of tribunal on review of part 5 reviewable decision . . . . .	275
324	Existing appeal by chief executive against decision of tribunal on review of part 5 reviewable decision . . . . .	276
325	Appeal by chief executive against decision of tribunal on review of part 5 reviewable decision . . . . .	276
326	Existing reviews and appeals against part 5 reviewable decisions by persons other than new disqualified persons . . . . .	277
327	Review of part 5 reviewable decision about person other than new disqualified person . . . . .	277
<b>Subdivision 7</b>	<b>Miscellaneous</b>	
328	Chief executive acting on own initiative . . . . .	278
329	Disqualification orders for acts done or omissions made before commencement . . . . .	278
330	Effect of conviction or charge for new disqualifying offence . . . .	279
<b>Division 8</b>	<b>Transitional provision for Disability Services (Restrictive Practices) and Other Legislation Amendment Act 2014</b>	
331	Notice about use of restrictive practices . . . . .	280
<b>Division 9</b>	<b>Transitional provisions for Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014</b>	
332	Definitions for div 9 . . . . .	280
333	Funding agreements continue in force . . . . .	281
334	Obligation to enter agreement about assistance continues . . . .	282
335	Contraventions of funding agreement or Act before commencement	282
336	Interim managers . . . . .	283
337	Confidentiality obligation continues to apply to authorised officers and interim managers . . . . .	284
338	Reviews . . . . .	284
<b>Division 11</b>	<b>Transitional provisions for Disability Services and Other Legislation (Worker Screening) Amendment Act 2018</b>	
341	Definitions for division . . . . .	285
342	Prescribed notices or exemption notices issued to sole traders before commencement . . . . .	285
343	Certification by prescribed persons before commencement . . . .	285
344	Delayed application of particular provisions . . . . .	286
<b>Division 12</b>	<b>Transitional provisions for Disability Services and Other Legislation (NDIS) Amendment Act 2019</b>	

<b>Subdivision 1</b>	<b>Preliminary</b>	
345	Definitions for division . . . . .	287
<b>Subdivision 2</b>	<b>Screening</b>	
345A	Effect of pre-commencement charge for serious offence . . . . .	288
345B	Effect of conviction or charge for new serious offence . . . . .	288
346	Effect of conviction or charge for new disqualifying offence . . . . .	288
346A	Effect of conviction for new disqualifying offence on existing positive notice or positive exemption notice . . . . .	289
347	Particular existing prescribed notice applications and exemption notice applications . . . . .	290
348	Existing positive notice held by person convicted of new serious offence or new disqualifying offence . . . . .	290
349	Existing positive exemption notice held by person convicted of new serious offence or new disqualifying offence . . . . .	292
350	Existing applications to cancel negative notices or negative exemption notices . . . . .	293
351	Particular existing applications to end suspension of positive notices . . . . .	294
352	Particular existing applications to end suspension of positive exemption notices . . . . .	294
353	Existing eligibility applications . . . . .	295
354	Particular existing eligibility declarations . . . . .	295
355	Existing application for reversal of decision refusing an eligibility declaration . . . . .	295
356	Undecided reviews and appeals by new disqualified persons . . . . .	296
357	Review of part 5 reviewable decision about new disqualified person . . . . .	296
358	Appeal by new disqualified person against decision of tribunal on review of part 5 reviewable decision . . . . .	297
359	Existing appeal by chief executive against decision of tribunal on review of part 5 reviewable decision . . . . .	297
360	Appeal by chief executive against decision of tribunal on review of part 5 reviewable decision . . . . .	298
361	Disqualification orders for new disqualifying offences committed before commencement . . . . .	298
<b>Subdivision 3</b>	<b>Immunity from liability</b>	
362	Definition for subdivision . . . . .	299
363	Immunity from liability—use of restrictive practices before commencement . . . . .	299
364	Immunity from liability—locking gates, doors and windows before commencement . . . . .	299

Contents

---

<b>Subdivision 4</b>	<b>Repeal of particular provisions</b>	
365	Repeal of former ss 339 and 340 . . . . .	299
<b>Division 13</b>	<b>Transitional provisions for Disability Services and Other Legislation (Worker Screening) Amendment Act 2020</b>	
<b>Subdivision 1</b>	<b>Preliminary</b>	
367	Definitions for division . . . . .	300
368	Particular terms having meaning given under unamended Act . .	300
<b>Subdivision 2</b>	<b>Existing prescribed notices, exemption notices, prescribed notice applications and exemption notice applications</b>	
369	Existing positive notice and positive notice card . . . . .	301
370	Existing suspension of positive notice or positive exemption notice	302
371	Existing current negative notice or current negative exemption notice	303
372	Existing prescribed notice application or exemption notice application	303
373	Existing consent to screening by person engaged by department	304
374	Dealing with and deciding transitioned applications . . . . .	305
375	Application of new pt 5 to transitioned applicants . . . . .	305
<b>Subdivision 3</b>	<b>Application of new part 5 for particular persons</b>	
376	Working with children authority holders . . . . .	306
377	Registered health practitioners . . . . .	308
<b>Subdivision 4</b>	<b>New disqualifying offences and new serious offences</b>	
378	Effect of conviction or charge for new disqualifying offence or new serious offence . . . . .	309
379	Existing application to cancel negative notice or negative exemption notice . . . . .	310
<b>Subdivision 5</b>	<b>Reassessment of holders of transitioned clearances</b>	
380	Reassessment of holder of transitioned clearance . . . . .	311
<b>Subdivision 6</b>	<b>Reviews and appeals</b>	
381	Definitions for subdivision . . . . .	311
382	Undecided reviews or appeals . . . . .	312
383	Reviews not started on commencement . . . . .	312
384	Appeals not started on commencement . . . . .	313
385	Chief executive must make new decision about affected person	313
386	Undecided appeals about investigative information decisions . .	315
387	Appeals about investigative information decisions not started on commencement . . . . .	316
<b>Subdivision 7</b>	<b>Other transitional provisions</b>	

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## Contents

---

388	Things done before commencement in relation to prescribed notice or exemption notice .....	318
389	Obligations or powers arising before commencement in relation to prescribed notice or exemption notice .....	319
390	Particular references in Act or document .....	320
391	Eligibility applications and eligibility declarations lapse .....	320
392	Continuing obligation of confidentiality .....	320
<b>Schedule 2</b>	<b>Current serious offences .....</b>	<b>322</b>
<b>Schedule 3</b>	<b>Repealed or expired serious offences .....</b>	<b>327</b>
<b>Schedule 4</b>	<b>Current disqualifying offences .....</b>	<b>332</b>
<b>Schedule 5</b>	<b>Repealed or expired disqualifying offences .....</b>	<b>338</b>
<b>Schedule 6</b>	<b>Offences that may form basis of investigative information .</b>	<b>345</b>
<b>Schedule 7</b>	<b>Repealed or expired offences that may form basis of investigative information .....</b>	<b>350</b>
<b>Schedule 8</b>	<b>Dictionary .....</b>	<b>352</b>





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# Disability Services Act 2006

**An Act to protect and promote the rights of people with disability, and for other purposes**

## **Part 1 Preliminary**

### **Division 1 Introduction**

#### **1 Short title**

This Act may be cited as the *Disability Services Act 2006*.

#### **2 Commencement**

This Act commences on a day to be fixed by proclamation.

#### **3 Act binds all persons**

- (1) This Act binds all persons, including the State and, so far as the legislative power of the Parliament permits, the Commonwealth and the other States.
- (2) Nothing in this Act makes the Commonwealth or a State liable to be prosecuted for an offence.

#### **4 Contravention of this Act does not create civil cause of action**

No provision of this Act creates a civil cause of action based on a contravention of the provision.

## **5 Act does not affect other rights or remedies**

- (1) This Act, other than sections 189, 190, 218, 219, 251 to 253 and 255, does not affect or limit a civil right or remedy that exists apart from this Act, whether at common law or otherwise.
- (2) Without limiting subsection (1), compliance with this Act does not necessarily show that a civil obligation that exists apart from this Act has been satisfied or has not been breached.

## **Division 2 Objects**

### **6 Objects of Act**

- (1) The objects of this Act are—
  - (a) to acknowledge the rights of people with disability including by promoting their inclusion in the life of the community generally; and
  - (b) to ensure that disability services funded by the department are safe, accountable and respond to the needs of people with disability; and
  - (c) to support the operation of the national disability insurance scheme in Queensland and ensure the quality and safety of NDIS supports or services in the context of the national regulatory framework; and
  - (d) to safeguard the rights of adults with an intellectual or cognitive disability including by regulating the use of restrictive practices by relevant service providers in relation to those adults—
    - (i) only where it is necessary to protect a person from harm; and
    - (ii) with the aim of reducing or eliminating the need for use of the restrictive practices.
- (2) In this section—

***national regulatory framework*** means the national regulatory framework for service providers established under the *National Disability Insurance Scheme Act 2013* (Cwlth).

## **7 How objects are mainly achieved**

The objects are mainly achieved by—

- (a) stating the human rights principle and supporting rights applying to people with disability; and
- (b) stating the principles for service delivery to people with disability to be promoted by service providers; and
- (c) regulating disability services funded by the department to ensure the quality, safety, responsiveness and accountability of the services; and
- (d) regulating particular aspects of the provision of NDIS supports or services by particular NDIS service providers under the *National Disability Insurance Scheme Act 2013* (Cwlth) to ensure the quality and safety of the supports or services; and
- (e) helping to protect people with disability using services funded by the department from abuse, neglect and exploitation; and
- (f) stating the circumstances in which relevant service providers are authorised to use restrictive practices in relation to adults with an intellectual or cognitive disability.

## **8 Finite resources available**

In administering this Act, regard must be had to the following—

- (a) the State has finite resources available to provide services to people with disability;
- (b) there is a need to distribute the resources fairly having regard to the State's priorities.

## Division 3 Interpretation

### 9 Definitions

The dictionary in schedule 8 defines particular words used in this Act.

### 10A References to entity include department

In this Act, a reference to an entity includes a reference to a department.

### 11 What is a *disability*

- (1) A *disability* is a person's condition that—
  - (a) is attributable to—
    - (i) an intellectual, psychiatric, cognitive, neurological, sensory or physical impairment; or
    - (ii) a combination of impairments mentioned in subparagraph (i); and
  - (b) results in—
    - (i) a substantial reduction of the person's capacity for communication, social interaction, learning, mobility or self care or management; and
    - (ii) the person needing support.
- (2) For subsection (1), the impairment may result from an acquired brain injury.
- (3) The disability must be permanent or likely to be permanent.
- (4) The disability may be, but need not be, of a chronic episodic nature.

### 12 What are *disability services*

- (1) *Disability services*, for people with disability, means 1 or more of the following—

- (a) accommodation support services;
  - (b) respite services;
  - (c) community support services;
  - (d) community access;
  - (e) advocacy or information services or services that provide alternative forms of communication;
  - (f) research, training or development services;
  - (g) another service prescribed by regulation.
- (2) However, *disability services* do not include NDIS supports or services.

## **12A What are *NDIS supports or services***

*NDIS supports or services* are supports or services provided to a person with disability under the national disability insurance scheme, to the extent that providing the supports or services is funded by the payment of an NDIS amount under the *National Disability Insurance Scheme Act 2013* (Cwlth).

## **13 Meaning of *service provider***

- (1) A *service provider* is an entity providing supports or services for people with disability.
- (2) The service provider may provide the supports or services—
  - (a) specifically to people with disability; or
  - (b) generally to people in the community, including people with disability.
- (3) The service provider may provide the supports or services with the intention of making a profit.

## **14 Meaning of *funded service provider***

- (1) A *funded service provider* is a service provider, other than the State, receiving recurrent or one-off funds from the

department, or another department prescribed by regulation, to provide disability services.

- (2) It does not matter whether or not the service provider also uses other funds or resources to provide the disability services.
- (3) A funded service provider may be a local government.

## 15      **Meaning of *NDIS service provider***

- (1) A registered NDIS provider and an unregistered NDIS provider are each an ***NDIS service provider***.
- (2) A ***registered NDIS provider*** is a registered NDIS provider under the *National Disability Insurance Scheme Act 2013* (Cwlth).
- (3) An ***unregistered NDIS provider*** is an entity that delivers NDIS supports or services to people with disability, other than a registered NDIS provider.

## 16      **Meaning of *NDIS sole trader* and *State sole trader***

- (1) An individual is an ***NDIS sole trader*** if the individual—
  - (a) is an NDIS service provider; and
  - (b) as an NDIS service provider, personally provides NDIS supports or services to people with disability.
- (2) An individual is a ***State sole trader*** if the individual—
  - (a) is a funded service provider; and
  - (b) as a funded service provider, personally provides disability services to people with disability.

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## **Part 2                      Disability rights**

### **Division 1                      Human rights principle**

#### **17                      Entities encouraged to have regard to human rights principle**

Entities are encouraged to have regard to the human rights principle in matters relating to people with disability.

#### **18                      Principle that people with disability have the same human rights as others**

- (1) People with disability have the same human rights as other members of society and should be empowered to exercise their rights.
- (2) People with disability have the right to—
  - (a) respect for their human worth and dignity as individuals; and
  - (b) realise their individual capacities for physical, social, emotional, cultural, religious and intellectual development; and
  - (c) live lives free from abuse, neglect or exploitation; and
  - (d) participate actively in decisions affecting their lives, including the development of disability policies, programs and services; and
  - (e) recognition of their individual autonomy and independence, including the freedom to exercise choice and have control of their lives.
- (3) When using disability services or NDIS supports or services, people with disability have the right to—
  - (a) supports or services supporting their achieving quality of life in a way that supports their family unit and their full participation in society; and

- (b) receive supports or services in a way that results in the minimum restriction of their rights and opportunities; and
  - (c) receive supports or services in a way that respects the confidentiality of their information; and
  - (d) receive supports or services in a safe, accessible built environment appropriate to their needs; and
  - (e) pursue grievances about supports or services without fear of the supports or services being discontinued or recrimination from service providers; and
  - (f) support to enable them to pursue grievances about supports or services; and
  - (g) support, and access to information, to enable them to participate in decisions affecting their lives.
- (4) People with disability have the right to receive supports or services, and information necessary to support rights, in ways that are appropriate having regard to their disabilities and cultural backgrounds.
- (5) Subsections (2), (3) and (4) do not limit subsection (1).

## **Division 2                      Service delivery principles**

### **19            Service delivery principles to be promoted by service providers**

- (1) This division sets out the principles that service providers are encouraged to apply and promote in the development and implementation of services for people with disability.
- (2) For subsection (1), the application and promotion of the principles is encouraged to the extent they reasonably apply to a service provider.



**20 Focus on the development of the individual**

Services should be designed and implemented so that their focus is on developing the individual and on enhancing the individual's opportunity to establish a quality life.

**21 Participation in planning and operation of services**

- (1) Services should be designed and implemented so that people with disability are encouraged, and able, to participate continually in the planning and operation of the services they receive.
- (2) Services provided to people with disability should provide opportunities for consultation in relation to the development of major policy changes.

**22 Focus on a lifestyle the same as other people and appropriate for age**

Services should be designed and implemented to ensure that the conditions of everyday life of people with disability are—

- (a) the same as, or as close as possible to, the conditions of everyday life valued by the general community; and
- (b) appropriate to their chronological age.

**23 Coordination and integration of services with general services**

Services should be designed and implemented as part of local coordinated service systems and integrated with services generally available to members of the community.

**24 Services to be tailored to meet individual needs and goals**

- (1) Services should be tailored to meet the individual needs and goals of people with disability.

- (2) To be responsive to the needs and goals of people with disability, innovation and flexibility are encouraged when designing services.

## **25 People with disability experiencing additional barriers**

Services should be designed and implemented to meet the needs of people with disability who may experience additional barriers—

- (a) because they are Aborigines or Torres Strait Islanders; or
- (b) because of their age, gender or culturally or linguistically diverse backgrounds; or
- (c) because of their rural or remote location.

## **26 Promotion of competency, positive image and self-esteem**

Services should be designed and implemented to—

- (a) promote recognition of the competence of people with disability; and
- (b) promote a positive image of people with disability; and
- (c) enhance the self-esteem of people with disability.

## **27 Inclusion in the community**

Services should be designed and implemented to promote the inclusion of people with disability in the life of the community.

## **28 No single service provider to exercise control over life of person with disability**

Services should be designed and implemented to ensure that no single service provider exercises control over all or most aspects of the life of a person with disability.

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**29 Consideration for others involved with people with disability**

Services should be designed and implemented to—

- (a) have sufficient regard to the needs of families, carers and advocates of people with disability; and
- (b) recognise the demands on the families of people with disability; and
- (c) take into account the implications for, and demands on, the families of people with disability.

**30 Service providers to make information available**

- (1) Service providers should make available information that allows the quality of their services to be judged.
- (2) The information should be available to the people using the services, their families, carers and advocates, people giving financial assistance for the services and the community generally.

**31 Raising and resolving grievances**

Services should be designed and implemented to ensure that appropriate ways exist for people with disability and their advocates to raise grievances about services and have them resolved.

**32 Access to advocacy support**

Services should be designed and implemented to ensure people with disability have access to necessary independent advocacy support so they can participate adequately in decision-making about the services they receive.

## **Part 3**

# **Complaints about the delivery of disability services by particular service providers**

### **32A Application of part**

- (1) This part applies in relation to the following service providers—
  - (a) the department;
  - (b) a funded service provider, other than a service provider that is another department;
  - (c) another service provider prescribed by regulation.
- (2) However, this part does not apply in relation to a service provider—
  - (a) prescribed by regulation; or
  - (b) to the extent the service provider is providing disability services prescribed by regulation.

### **33 Complaints by consumers**

- (1) The following may make a complaint to the chief executive about the delivery of disability services by a service provider in relation to which this part applies—
  - (a) a consumer;
  - (b) a family member, carer or advocate of a consumer;
  - (c) another person on behalf of a consumer.
- (2) The chief executive must maintain a system that deals effectively with complaints received.

### **34 Chief executive may refer matters to complaints agency**

The chief executive may—

- (a) liaise with a complaints agency about matters relating to people with disability; and
- (b) refer matters relating to people with disability to a complaints agency; and
- (c) enter into an arrangement with a complaints agency aimed at avoiding inappropriate duplication of activities.

### **35 Complaints agency to inform chief executive about actions taken for complaint**

- (1) This section applies if—
  - (a) the chief executive refers a matter about a person with disability to a complaints agency; and
  - (b) the chief executive, by notice to the agency, asks for information about the way in which the agency is dealing or has dealt with the matter.
- (2) The agency must inform the chief executive about any action taken for dealing with the matter or, if it is resolved, the resolution of the matter.
- (3) Subsection (2) applies despite any express provision in an Act establishing a complaints agency that makes it an offence for anyone involved with administration of the Act to disclose the information.

## **Part 4 Funding to people with disability**

### **36 Purpose of pt 4**

The purpose of this part is to enable people with disability to receive funding to obtain relevant disability services.

### **37 Definitions for pt 4**

In this part—

***individual funding agreement*** means an individual funding agreement made under this part.

***parent***, of a child with a disability, includes—

- (a) a person who exercises parental responsibility for the child, other than a person standing in the place of a parent of a child on a temporary basis; and
- (b) for an Aboriginal child—a person who, under Aboriginal tradition, is regarded as a parent of the child; and
- (c) for a Torres Strait Islander child—a person who, under Island custom, is regarded as a parent of the child.

***relevant disability services***, for a person with disability, means disability services, and care and support associated with the person's disability, prescribed by regulation.

***relevant person*** means—

- (a) for an adult with a disability—
  - (i) a person nominated by the adult to deal with matters under this part on behalf of the adult; or
  - (ii) a guardian or an administrator for the adult; or
  - (iii) an attorney appointed by the adult under an enduring power of attorney under the *Powers of Attorney Act 1998*; or
  - (iv) a member of the adult's support network, other than a paid carer for the adult within the meaning of the *Guardianship and Administration Act 2000*; or
- (b) for a child with a disability—a parent of the child.

## **38 Approval for funding**

(1) The Minister may approve funding to—

- (a) a person with disability, to enable the person to obtain relevant disability services; or

- (b) a relevant person for a person with disability, to enable the relevant person to obtain relevant disability services for the person with disability.
- (2) If the Minister approves funding to a person mentioned in subsection (1), the funding can not be provided to the person unless the person has entered into an individual funding agreement under section 39 in relation to the funding.

### **39 Individual funding agreement**

- (1) If the Minister approves funding to a person under section 38, the person may enter into an individual funding agreement with the chief executive for the funding.
- (2) The individual funding agreement must—
  - (a) include the terms and conditions the chief executive considers appropriate; and
  - (b) state the relevant disability services to be obtained with the funding.

## **Part 5 Disability worker screening and related requirements**

### **Division 1 Preliminary**

#### **Subdivision 1 General**

### **40 Main purposes of part**

This part—

- (a) establishes a scheme for screening persons, by obtaining and considering their criminal history and other relevant information, to assess whether the persons pose an unacceptable risk of harm to people with disability; and

- (b) requires persons who carry out, or propose to carry out, particular work with people with disability to be screened under the scheme before they start carrying out the work; and
- (c) prohibits persons from carrying out particular work with people with disability if the chief executive decides they pose an unacceptable risk of harm to the people with disability.

#### **41 Paramount consideration**

The paramount consideration in making a decision under this part is the right of people with disability to live lives free from abuse, violence, neglect or exploitation, including financial abuse or exploitation.

#### **42 Part applies despite Criminal Law (Rehabilitation of Offenders) Act 1986**

This part applies to a person despite the *Criminal Law (Rehabilitation of Offenders) Act 1986*.

#### **43 Exemption for secondary school student on work experience**

- (1) This section applies if—
  - (a) a secondary school student on work experience carries out disability work for a service provider (the **work experience provider**); and
  - (b) another provision of this part requires a person who is engaged to carry out the disability work to hold a clearance or interstate NDIS clearance.
- (2) Despite the other provision, the student is not required to hold the clearance or interstate NDIS clearance to carry out the disability work.
- (3) The work experience provider does not commit an offence against the other provision in relation to the student carrying



out the disability work if the student carries out the work under the direct supervision of—

- (a) for NDIS disability work—a person who holds an NDIS clearance or interstate NDIS clearance; or
- (b) for State disability work—a person who holds a clearance.

## Subdivision 2 Interpretation

### 44 When a person is *engaged* to carry out work for entity

- (1) A person is *engaged* to carry out work for an entity if—
  - (a) the person has an agreement with the entity to carry out the work as an employee or volunteer of the entity; or
  - (b) the person carries out the work under a contract for services between—
    - (i) the entity and the person; or
    - (ii) the entity and another person.
- (2) For this section, it does not matter—
  - (a) whether the agreement or contract is written or unwritten; or
  - (b) whether the work is carried out voluntarily or for financial reward; or
  - (c) how long the person is engaged to carry out the work; or
  - (d) whether the agreement or contract provides for the person to carry out work on 1 occasion or an ongoing basis, whether regularly or irregularly.
- (3) Also, for this section, the nature of the work does not matter.

*Note—*

See also sections 46, 48 and 49.

**45      Meaning of *NDIS disability work* and *risk-assessed NDIS work***

- (1) *NDIS disability work* is work that includes the delivery of NDIS supports or services to a person with disability.
- (2) *Risk-assessed NDIS work* is NDIS disability work carried out in a role for which the normal duties—
  - (a) include the direct delivery of specified NDIS supports or services to a person with disability; or
  - (b) are likely to require more than incidental contact with a person with disability.
- (3) For subsection (2)(b), the normal duties of a person's role are likely to require more than incidental contact with a person with disability if the duties include—
  - (a) physically touching a person with disability; or
  - (b) building a rapport with a person with disability as an integral and ordinary part of the performance of the duties; or
  - (c) duties that, under the NDIS (Worker Screening) Practice Standards, are defined to be, or otherwise described as, duties that require more than incidental contact with a person with disability.
- (4) In this section—

*contact* includes—

  - (a) physical contact; and
  - (b) face-to-face contact; and
  - (c) oral, written and electronic communication.

*rapport* means a relationship or understanding that is more than merely polite and functional.

*specified NDIS supports or services* means NDIS supports or services that, under the NDIS (Worker Screening) Practice Standards, are—

- (a) specified supports or specified services; or

- (b) identified as supports or services that, if delivered directly to a person with disability, must be delivered by a person who holds an NDIS clearance or interstate NDIS clearance.

**46 Key personnel of NDIS service provider taken to be engaged in risk-assessed NDIS work**

- (1) A person is taken to be engaged to carry out risk-assessed NDIS work for an NDIS service provider if the person is key personnel of the service provider.

- (2) In this section—

*key personnel* see the *National Disability Insurance Scheme Act 2013* (Cwlth), section 11A.

**47 Meaning of *State disability work***

- (1) *State disability work* is work that includes providing disability services that is carried out for the department or a funded service provider.

- (2) *State disability work* does not include work carried out at a place where a funded service provider provides disability services that is carried out—

- (a) by a consumer of the service provider; or

- (b) by a tradesperson who—

- (i) performs work at the place from time to time; and

- (ii) is not an employee of the service provider; or

- (c) by a volunteer who—

- (i) is a relative of a person who receives disability services at the place; and

- (ii) is at the place only to help with the care of the person.

**48 When person is *engaged* to carry out State disability work**

- (1) A person is *engaged* to carry out State disability work for the department if the person—
  - (a) is engaged to carry out the work at a place at which the department provides disability services; or
  - (b) is a public service employee employed at a place mentioned in paragraph (a); or
  - (c) is a member of a committee established under section 222.
- (2) A person is *engaged* to carry out State disability work for a funded service provider if the person is engaged to carry out the work at a place at which the service provider provides disability services.
- (3) A person is not *engaged* to carry out State disability work for the department or a funded service provider if the person is engaged to carry out the work, and carries out the work, for not more than 7 days in a calendar year.
- (4) This section does not limit section 44.

**49 Particular members or officers taken to be engaged in State disability work for funded service provider**

A person is taken to be engaged to carry out State disability work for a funded service provider if—

- (a) the person is a member of a board, management committee or other governing body of the service provider; or
- (b) if the service provider is a corporation—the person is an executive officer of the service provider.

**50 Meaning of *clearance* and types of clearances**

- (1) A *clearance* is a declaration, issued by the chief executive to a person, that—

- (a) screening of the person has been conducted under this part; and
- (b) the person is permitted to carry out disability work.
- (2) An **NDIS clearance** is a clearance issued to a person who made an NDIS worker screening application.
- (3) An **interstate NDIS clearance** is a declaration, however called, issued under a corresponding law that corresponds to an NDIS clearance.
- (4) A **State clearance** is a clearance issued to a person who made a State disability worker screening application.

## 51 Meaning of **exclusion** and types of exclusions

- (1) An **exclusion** is a declaration, issued by the chief executive to a person, that—
  - (a) screening of the person has been conducted under this part; and
  - (b) the person is excluded from carrying out disability work.
- (2) An **NDIS exclusion** is an exclusion issued to a person who made an NDIS worker screening application.
- (3) An **interstate NDIS exclusion** is a declaration, however called, issued under a corresponding law that corresponds to an NDIS exclusion.
- (4) A **State exclusion** is an exclusion issued to a person who made a State disability worker screening application.

## 52 Meaning of **notifiable person**

- (1) Each of the following is a **notifiable person** for a person—
  - (a) if the chief executive is aware that an NDIS service provider engages, or proposes to engage, the person to carry out NDIS disability work—the NDIS service provider;

- (b) if the chief executive is aware that a funded service provider engages, or proposes to engage, the person to carry out State disability work—the funded service provider;
  - (c) another entity prescribed by regulation to be a notifiable person for the person.
- (2) Subsection (3) applies for a person if—
  - (a) the person delivers NDIS supports or services to an NDIS participant as—
    - (i) an NDIS sole trader; or
    - (ii) a person engaged by an NDIS service provider to deliver the supports or services; and
  - (b) the Agency is not wholly managing the funding for supports under the NDIS participant’s plan; and
  - (c) the chief executive is notified, or otherwise becomes aware, that the person is delivering the NDIS supports or services to the NDIS participant.
- (3) Each of the following is also a **notifiable person** for the person—
  - (a) the NDIS participant;
  - (b) another person recognised by the chief executive as a person with the authority or responsibility to act for the NDIS participant in relation to the participant’s plan, other than the Agency.
- (4) In this section—

**Agency** see the *National Disability Insurance Scheme Act 2013* (Cwlth), section 9.

**managing the funding for supports**, under an NDIS participant’s plan, see the *National Disability Insurance Scheme Act 2013* (Cwlth), section 42.

**NDIS participant** means a person who is a participant in the national disability insurance scheme under the *National Disability Insurance Scheme Act 2013* (Cwlth).

*plan*, for an NDIS participant, means the NDIS participant's plan under the national disability insurance scheme.

## Division 2                      Requirements related to persons carrying out disability work

### Subdivision 1                NDIS disability work

#### 53                Registered NDIS provider engaging person to carry out risk-assessed NDIS work

- (1) A registered NDIS provider must not engage, or continue to engage, a person to carry out risk-assessed NDIS work unless the person holds an NDIS clearance or interstate NDIS clearance.

Maximum penalty—

- (a) if an aggravating circumstance applies to the offence—200 penalty units or 2 years imprisonment; or
  - (b) otherwise—100 penalty units.
- (2) It is an *aggravating circumstance* for an offence against subsection (1) if the registered NDIS provider has been given notice that—
- (a) the person's NDIS clearance was cancelled on the person's request under division 6; or
  - (b) the person's interstate NDIS clearance was cancelled on the person's request under a corresponding law.
- (3) A registered NDIS provider does not commit an offence against subsection (1) if—
- (a) the person (a *subcontractor*) is engaged to carry out the risk-assessed NDIS work under a contract for services between the provider and another person; and
  - (b) the provider has complied with the NDIS (Worker Screening) Practice Standards in relation to the

subcontractor being engaged to carry out the risk-assessed NDIS work for the provider.

## **54 Carrying out risk-assessed NDIS work without NDIS clearance or interstate NDIS clearance prohibited**

- (1) A person must not start, or continue, an engagement to carry out risk-assessed NDIS work for a registered NDIS provider unless the person holds an NDIS clearance or interstate NDIS clearance.

Maximum penalty—

- (a) if an aggravating circumstance applies to the offence—500 penalty units or 5 years imprisonment; or
  - (b) otherwise—100 penalty units.
- (2) A person who is a registered NDIS provider must not carry out risk-assessed NDIS work as an NDIS sole trader unless the person holds an NDIS clearance or interstate NDIS clearance.

Maximum penalty—500 penalty units or 5 years imprisonment.

- (3) It is an ***aggravating circumstance*** for an offence against subsection (1) if the person—
- (a) held an NDIS clearance that was cancelled on the person's request under division 6; or
  - (b) held an interstate NDIS clearance that was cancelled on the person's request under a corresponding law; or
  - (c) is a disqualified person; or
  - (d) holds a negative notice under the Working with Children Act; or
  - (e) is subject to—
    - (i) offender reporting obligations; or
    - (ii) an offender prohibition order; or
    - (iii) an offender prohibition disqualification order; or



- (iv) a sexual offender order; or
- (f) is the respondent to an application for an offender prohibition order.

**55 Engaging person with NDIS exclusion or interstate NDIS exclusion prohibited**

An NDIS service provider must not engage, or continue to engage, a person to carry out NDIS disability work if—

- (a) the person holds an NDIS exclusion or an interstate NDIS exclusion; and
- (b) the service provider knows, or ought reasonably to know, the person holds an NDIS exclusion or interstate NDIS exclusion.

Maximum penalty—200 penalty units or 2 years imprisonment.

**56 Engaging person with suspended NDIS clearance or interstate NDIS clearance, or subject to interim bar, prohibited**

- (1) This section applies if—
  - (a) a person—
    - (i) holds an NDIS clearance that is suspended under division 6; or
    - (ii) holds an interstate NDIS clearance that is suspended under a corresponding law; or
    - (iii) is subject to an interim bar; and
  - (b) an NDIS service provider knows, or ought reasonably to know, the person's NDIS clearance or interstate NDIS clearance is suspended or the interim bar is in effect for the person.
- (2) The NDIS service provider must not—
  - (a) if the person is engaged by the service provider under an existing arrangement to carry out NDIS disability work

[s 57]

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for the service provider—allow the person to carry out work that is NDIS disability work; or

- (b) otherwise—start to engage the person to carry out NDIS disability work.

Maximum penalty—200 penalty units or 2 years imprisonment.

*Note—*

See sections 84(3) and 113(3) for the restrictions on terminating a person's employment solely or mainly because the person's clearance is suspended or an interim bar is in effect for the person.

## **57 Holder of NDIS exclusion or interstate NDIS exclusion prohibited from carrying out NDIS disability work**

A person who holds an NDIS exclusion or an interstate NDIS exclusion must not—

- (a) start, or continue, an engagement to carry out NDIS disability work for an NDIS service provider; or
- (b) carry out NDIS disability work as an NDIS sole trader.

Maximum penalty—500 penalty units or 5 years imprisonment.

## **Subdivision 2 State disability work**

### **58 Funded service provider must prepare annual risk management strategy**

- (1) Each year, a funded service provider that engages 1 or more persons to carry out State disability work must develop and implement a written strategy (a *risk management strategy*) that complies with this section.

Maximum penalty—20 penalty units.

- (2) A risk management strategy must include practices and procedures for engaging persons who carry out State disability work for the funded service provider in ways that—

- (a) promote the wellbeing of people with disability; and
  - (b) protect people with disability from abuse, violence, neglect or exploitation.
- (3) A regulation may prescribe other matters that must be included in a risk management strategy.

## 59 **Funded service provider engaging person to carry out State disability work**

- (1) A funded service provider must not engage, or continue to engage, a person to carry out State disability work unless—
- (a) the person holds a clearance; and
  - (b) the service provider has given the chief executive a notice, under subsections (3) and (4), about engaging the person to carry out State disability work.

Maximum penalty—

- (a) if an aggravating circumstance applies to the offence—200 penalty units or 2 years imprisonment; or
  - (b) otherwise—100 penalty units.
- (2) It is an ***aggravating circumstance*** for an offence against subsection (1) if—
- (a) the person holds an exclusion and the funded service provider knows, or ought reasonably to know, the person holds the exclusion; or
  - (b) the funded service provider is given notice that the person's clearance was cancelled on the person's request under division 6.
- (3) Before giving a notice mentioned in subsection (1)(b), the funded service provider must take reasonable steps to verify the person's identity.

*Examples of reasonable steps—*

viewing the person's clearance or driver licence that includes the person's photograph

- (4) A notice mentioned in subsection (1)(b) must be given in the approved form and in an approved way.

## **60 Engaging person with suspended clearance, or subject to interim bar, prohibited**

- (1) This section applies if—
- (a) a person—
    - (i) holds a clearance that is suspended; or
    - (ii) is subject to an interim bar; and
  - (b) a funded service provider knows, or ought reasonably to know, the person's clearance is suspended or the interim bar is in effect for the person.
- (2) The funded service provider must not—
- (a) if the person is engaged by the service provider under an existing arrangement to carry out State disability work for the service provider—allow the person to carry out work that is State disability work; or
  - (b) otherwise—start to engage the person to carry out State disability work.

Maximum penalty—200 penalty units or 2 years imprisonment.

*Note—*

See sections 84(3) and 113(3) for the restrictions on terminating a person's employment solely or mainly because the person's clearance is suspended or an interim bar is in effect for the person.

## **61 Carrying out State disability work without clearance prohibited**

- (1) A person must not start, or continue, an engagement to carry out State disability work for the department or a funded service provider unless the person holds a clearance.

Maximum penalty—

- (a) if an aggravating circumstance applies to the offence—500 penalty units or 5 years imprisonment; or
- (b) otherwise—100 penalty units.
- (2) A person must not carry out State disability work as a State sole trader unless the person holds a clearance.

Maximum penalty—500 penalty units or 5 years imprisonment.

- (3) It is an *aggravating circumstance* for an offence against subsection (1) if the person—
  - (a) holds an exclusion; or
  - (b) held a clearance that was cancelled on the person's request under division 6; or
  - (c) is a disqualified person; or
  - (d) holds a negative notice under the Working with Children Act; or
  - (e) is subject to—
    - (i) offender reporting obligations; or
    - (ii) an offender prohibition order; or
    - (iii) an offender prohibition disqualification order; or
    - (iv) a sexual offender order; or
  - (f) is the respondent to an application for an offender prohibition order.

## Subdivision 3      General

### 62      No contravention of particular requirements in absence of notice

- (1) This section applies in relation to—
  - (a) a provision of this division about a person carrying out disability work; and

- (b) a person who—
  - (i) held a clearance that was cancelled under division 6; or
  - (ii) held an interstate NDIS clearance that was cancelled under a corresponding law; or
  - (iii) holds an exclusion issued to the person after the person's clearance was cancelled under division 6; or
  - (iv) holds an interstate NDIS exclusion issued to the person after the person's interstate NDIS clearance was cancelled under a corresponding law.
- (2) A court may not find that the person contravened the provision unless the person was given notice about the cancellation of the clearance or interstate NDIS clearance held by the person or the issue of the exclusion or interstate NDIS exclusion to the person.

## **63 Complying with requirement to end, or not start, person's engagement**

- (1) This section applies if it would be an offence against a provision of this part for an NDIS service provider or funded service provider to engage, or continue to engage, a person (the *engaged person*) to carry out disability work.
- (2) The NDIS service provider or funded service provider must comply with the provision despite another Act or law or any industrial award or agreement.
- (3) The NDIS service provider or funded service provider does not incur any liability because, in complying with the provision, the service provider does not engage, or continue to engage, the engaged person to carry out disability work.
- (4) A person whose clearance is suspended under division 6, or whose interstate NDIS clearance is suspended under a corresponding law, may be engaged by the NDIS service provider or funded service provider other than to carry out disability work until the suspension ends.

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## **Division 3                      Disability worker screening applications**

### **Subdivision 1                Prohibited disability worker screening applications**

#### **64            Application by exclusion holder prohibited**

- (1) A person who holds an exclusion or an interstate NDIS exclusion must not make a disability worker screening application.

Maximum penalty—500 penalty units or 5 years imprisonment.

- (2) An application made in contravention of subsection (1) has no effect.

### **Subdivision 2                Applications generally**

#### **65            Application related to NDIS disability work**

A person may apply to the chief executive for a clearance if the person—

- (a) is, or proposes to be—
- (i) engaged by an NDIS service provider to carry out NDIS disability work; or
  - (ii) an NDIS sole trader; or
  - (iii) engaged by an entity prescribed by regulation for this section; and
- (b) complies with each other criterion prescribed by regulation for this section.

## **66 Application related to State disability work**

A person may apply to the chief executive for a clearance if the person is, or proposes to be—

- (a) engaged by the department or a funded service provider to carry out State disability work; or
- (b) a State sole trader; or
- (c) engaged by an entity prescribed by regulation for this section.

## **67 Application combined with working with children check application**

- (1) A person may combine an application under section 65 or 66 with a working with children check application.
- (2) An application made under subsection (1) is a *combined application*.
- (3) This part applies to a combined application to the extent it is an application under section 65 or 66.
- (4) If a combined application is made to the chief executive, the chief executive must give the information in the combined application, to the extent the information relates to the person's working with children check application, to the chief executive (working with children).

## **68 Form of application**

- (1) A disability worker screening application must be—
  - (a) made in the approved form and in an approved way; and
  - (b) signed by the applicant; and
  - (c) accompanied by the fee prescribed by regulation for the application.
- (2) The approved form must provide for the applicant—
  - (a) to provide proof of the applicant's identity; and



- (b) to consent to being screened under this part; and
- (c) if the applicant is engaged, or proposes to be engaged, to carry out disability work—to provide information about the entity that has engaged, or proposes to engage, the applicant to carry out the disability work.

## **69 Chief executive may request further information**

- (1) After receiving a disability worker screening application, the chief executive may give the applicant a notice asking the applicant to give the chief executive, within a reasonable stated time—
  - (a) stated information the chief executive reasonably needs to establish the applicant's identity; or
  - (b) stated information, including by way of a submission, about a stated matter that the chief executive reasonably believes is relevant to the application.
- (2) A request under subsection (1) must state that, if the applicant does not comply with the request within the stated time, the application may be withdrawn.

## **70 Applicant must give notice of change in information**

- (1) This section applies if, before the chief executive decides a disability worker screening application—
  - (a) the applicant's name or contact details as stated in the application change; or
  - (b) if the application states information about the applicant's engagement with an NDIS service provider or funded service provider—the stated information changes; or
  - (c) another matter, prescribed by regulation for this section, changes in relation to the applicant.
- (2) The applicant must give the chief executive a notice about the change, in the approved form and in an approved way, within 7 days after the change happens.

Maximum penalty—10 penalty units.

**71 Notice of change in police information or risk assessment matter**

- (1) This section applies if, before the chief executive decides a disability worker screening application, the applicant becomes aware that—
  - (a) the police information about the applicant changes; or
  - (b) a risk assessment matter relating to the applicant changes.
- (2) The applicant must immediately give the chief executive a notice about the change, in the approved form and in an approved way.

Maximum penalty—100 penalty units.

- (3) For subsection (1)(a), the police information about a person changes if a criminal history event happens in relation to the person.

**72 Expired clearance continues in force pending decision**

- (1) This section applies if an applicant holds a clearance that, but for this section, would end under section 101(2).
- (2) The clearance remains in force—
  - (a) from when the clearance would have ended under section 101(2); and
  - (b) until—
    - (i) the applicant's disability worker screening application is decided or withdrawn; or
    - (ii) the applicant stops being a person who may apply for a clearance under section 65 or 66.

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**73      Holder of expired interstate NDIS clearance taken to hold NDIS clearance pending decision**

- (1) This section applies if an applicant holds an interstate NDIS clearance that ends under a corresponding law because the term of the clearance has ended.
- (2) The applicant is taken to hold an NDIS clearance—
  - (a) from the end of the term of the interstate NDIS clearance under the corresponding law; and
  - (b) until—
    - (i) the applicant's disability worker screening application is decided or withdrawn; or
    - (ii) the applicant stops being a person who may apply for a clearance under section 65; or
    - (iii) an interim bar is imposed on the applicant.

**Subdivision 3      Withdrawal of application**

**74      Notice of withdrawal**

- (1) If the chief executive withdraws a disability worker screening application under this subdivision before it is decided, the chief executive must give the applicant a notice that states—
  - (a) the application is withdrawn; and
  - (b) the reason for the withdrawal.
- (2) The chief executive must also give a notice mentioned in subsection (1) to each notifiable person for the applicant.

**75      Withdrawal by applicant**

- (1) The applicant may ask the chief executive to withdraw the applicant's disability worker screening application at any time before it is decided.
- (2) The request may be made orally or in writing.

[s 76]

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- (3) The chief executive must withdraw the application unless the chief executive refuses to withdraw the application under section 76.

## **76 Refusal to withdraw by chief executive**

- (1) The chief executive may refuse to withdraw an applicant's disability worker screening application if—
  - (a) an interim bar is in effect for the applicant; or
  - (b) an interstate NDIS clearance held by the applicant is suspended under a corresponding law; or
  - (c) the applicant was previously issued an exclusion or an interstate NDIS exclusion; or
  - (d) the chief executive, in relation to deciding the application—
    - (i) has given, or is proposing to give, the applicant a show cause notice under section 95; or
    - (ii) reasonably suspects a risk assessment of the applicant will demonstrate that the applicant poses an unacceptable risk of harm to people with disability.
- (2) If the chief executive refuses to withdraw the application, the chief executive must give the applicant a notice that states the reasons for the refusal.

## **77 Request to withdraw combined application**

- (1) This section applies if the applicant made a combined application.
- (2) The applicant may combine a request to withdraw the applicant's disability worker screening application under section 75 with a notice withdrawing the applicant's working with children check application.
- (3) A request made under subsection (2) is a ***combined withdrawal request***.

*Note—*

The request may be made orally or in writing. See—

- (a) section 75(2); and
  - (b) the Working with Children Act, section 196(3).
- (4) This subdivision applies to a combined withdrawal request to the extent it is a request under section 75.
- (5) If a combined withdrawal request is made to the chief executive, the chief executive must give a notice about the combined withdrawal request to the chief executive (working with children).

## **78 Withdrawal because identity can not be established**

The chief executive must withdraw a disability worker screening application if—

- (a) the chief executive gives the applicant a notice under section 69(1)(a); and
- (b) the notice includes the warning mentioned in section 69(2); and
- (c) the chief executive can not establish the applicant's identity with certainty.

## **79 Withdrawal because of failure to comply with particular requests**

The chief executive may withdraw a disability worker screening application if—

- (a) the chief executive gives the applicant—
  - (i) a notice under section 69(1)(b) asking the applicant to provide stated information; or
  - (ii) a notice under section 138U or 138X asking the applicant to give the consent, or take the other action, stated in the notice; and

- (b) the notice includes a warning that, if the applicant does not comply with the notice, the application may be withdrawn; and
- (c) the applicant does not comply with the notice.

## **80 Withdrawal of NDIS worker screening application in particular circumstances**

The chief executive must withdraw an NDIS worker screening application if the chief executive is satisfied—

- (a) that, before the application was made, the applicant applied for an interstate NDIS clearance under a corresponding law and that application has not been decided or withdrawn; or
- (b) since the application was made, the applicant has been issued an interstate NDIS exclusion.

## **Subdivision 4 Interim bar for particular applicants**

### **81 Application of subdivision**

- (1) This subdivision applies if any of the following happens in relation to an applicant—
  - (a) the applicant—
    - (i) is charged with a disqualifying offence that has not been dealt with; and
    - (ii) was an adult when the offence is alleged to have been committed;
  - (b) the applicant becomes the subject of a banning order made for a reason that, in the chief executive's opinion, is relevant to whether the applicant poses a risk of harm to people with disability;
  - (c) the chief executive, in deciding the applicant's application, reasonably suspects a risk assessment of the

applicant will demonstrate that the applicant poses an unacceptable risk of harm to people with disability.

- (2) However, this subdivision does not apply in relation to an applicant who holds a clearance that is suspended under division 6.

*Note—*

See sections 56, 60 and 113 in relation to the effect of the suspension of a person's clearance.

## **82 Chief executive must impose interim bar on applicant**

- (1) The chief executive must impose an interim bar on the applicant.
- (2) The chief executive imposes an interim bar by giving the applicant a notice that states—
  - (a) the interim bar is imposed on the applicant; and
  - (b) the reason for imposing the interim bar; and
  - (c) the effect of the interim bar.

## **83 Notifiable persons and potential employers notified about interim bar**

- (1) The chief executive must give each notifiable person for the applicant a notice that states—
  - (a) the applicant has made a disability worker screening application that has not been decided; and
  - (b) an interim bar has been imposed on the applicant; and
  - (c) the effect of the interim bar; and
  - (d) an employer of the applicant who is given notice about the interim bar under this section must not terminate the applicant's employment solely or mainly because the interim bar is in effect for the person.

*Note—*

See section 138ZZB in relation to notices under this section if the NDIS commission communicates the relevant information to the notifiable person.

- (2) Also, the chief executive may give a notice mentioned in subsection (1) to a potential employer of the applicant.

## **84 Effect of interim bar**

- (1) This section applies if the chief executive imposes an interim bar on an applicant under section 82.
- (2) While the interim bar is in effect, the applicant must not—
- (a) start an engagement to carry out disability work; or
  - (b) if, when the interim bar is imposed, the applicant is engaged to carry out disability work for an NDIS service provider, funded service provider or the department—carry out work for the service provider or department that is disability work; or
  - (c) carry out disability work as an NDIS sole trader or State sole trader.

Maximum penalty—500 penalty units or 5 years imprisonment.

- (3) An employer of the applicant who is given notice about the interim bar under section 83 must not terminate the applicant's employment solely or mainly because the interim bar is in effect for the applicant.

*Notes—*

- 1 See also sections 56 and 60 in relation to NDIS service providers or funded service providers allowing the applicant to carry out disability work.
- 2 See section 138ZZB in relation to notices under section 83 if the NDIS commission communicates the relevant information to the notifiable person.



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## **85 When interim bar ends**

- (1) An interim bar imposed on an applicant ends if—
  - (a) the applicant's disability worker screening application is—
    - (i) decided under division 4; or
    - (ii) withdrawn under subdivision 3; or
  - (b) the chief executive decides to end the interim bar under subsection (2).
- (2) The chief executive may decide to end an interim bar if the chief executive reasonably suspects that a risk assessment of the applicant will demonstrate that the applicant does not pose an unacceptable risk of harm to people with disability.
- (3) If an interim bar has been imposed on the applicant for at least 6 months, the applicant may apply to the chief executive to end the interim bar.
- (4) The application must be made in the approved form and in an approved way.
- (5) The chief executive is not required to decide the applicant's application to end the interim bar if—
  - (a) a charge for an offence against the person has not been dealt with; or
  - (b) the chief executive is aware that an incident involving the person, or an allegation or complaint about the person's conduct, that is relevant to whether the person poses a risk of harm to people with disability is being investigated and the investigation has not ended.
- (6) The chief executive may decide the applicant's application to end the interim bar—
  - (a) by deciding to end the interim bar under subsection (2); or
  - (b) if the applicant has asked the chief executive to withdraw the applicant's disability worker screening

application under section 75—by withdrawing the application; or

- (c) by refusing to end the interim bar.
- (7) If the chief executive decides to refuse to end the interim bar, the chief executive must give the applicant a notice that states—
  - (a) the decision and the reasons for the decision; and
  - (b) the relevant review and appeal information.

## **Division 4                      Dealing with and deciding application**

### **Subdivision 1              Preliminary**

#### **86              Application of division**

This division applies if a person made a disability worker screening application and the application has not been withdrawn.

#### **87              Dealing with application**

- (1) The chief executive must—
  - (a) consider the person's application and the information available to the chief executive about the person; and
  - (b) if section 89 or 90 apply to the person—decide the application under that section; and
  - (c) if section 91 or 92 apply to the person—conduct a risk assessment of the person before deciding the application under that section.
- (2) However, the chief executive is not required to decide the person's application if—

- (a) a charge for an offence against the person has not been dealt with; or
- (b) the chief executive is aware that an incident involving the person, or an allegation or complaint about the person's conduct, that is relevant to whether the person poses a risk of harm to people with disability is being investigated and the investigation has not ended.

## **88 Information to be considered**

- (1) The chief executive must consider each of the following types of information for a person of which the chief executive is aware, if any—
  - (a) police information;
  - (b) domestic violence information;
  - (c) disciplinary information;
  - (d) NDIS disciplinary or misconduct information;
  - (e) information about—
    - (i) whether the person holds, or has previously held, a clearance, interstate NDIS clearance, exclusion or interstate NDIS exclusion; or
    - (ii) if the person has previously held a clearance or interstate NDIS clearance—whether the clearance was suspended at any time or cancelled.
- (2) The chief executive may consider other information about the person that is relevant to whether the person poses a risk of harm to people with disability.

## **Subdivision 2      Deciding application**

### **89      Deciding application—no relevant information**

The chief executive must issue a clearance to the person if the chief executive is not aware of any information mentioned in section 88 about the person.

### **90      Deciding application—disqualified person**

- (1) This section applies if the chief executive is aware the person is a disqualified person.
- (2) The chief executive must issue an exclusion to the person.

### **91      Deciding application—exceptional circumstances for adult offender**

- (1) This section applies if the chief executive is aware—
  - (a) the person has a conviction for a serious offence and was an adult when the offence was committed; or
  - (b) the person—
    - (i) has been charged with a disqualifying offence or serious offence that has not been dealt with; and
    - (ii) was an adult when the offence is alleged to have been committed.

*Note—*

See section 95 for the requirement for the chief executive to give the person a show cause notice before deciding the person's application if this section applies.

- (2) The chief executive must issue the person an exclusion.
- (3) However, the chief executive may issue the person a clearance if satisfied there are exceptional circumstances such that the person does not pose an unacceptable risk of harm to people with disability.

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**92 Deciding application—general assessment of risk posed**

- (1) This section applies if sections 89, 90 and 91 do not apply to the person.
- (2) The chief executive must—
  - (a) if satisfied the person does not pose an unacceptable risk of harm to people with disability—issue a clearance to the person; or
  - (b) if satisfied the person poses an unacceptable risk of harm to people with disability—issue an exclusion to the person.

**Subdivision 3 Assessing risk person poses to people with disability**

**93 How chief executive conducts risk assessment**

- (1) The chief executive conducts a risk assessment of a person by—
  - (a) considering the information about the person obtained by the chief executive under this part; and
  - (b) deciding whether the person poses an unacceptable risk of harm to people with disability.
- (2) In conducting the risk assessment, the chief executive—
  - (a) must consider information as required under this division; and
  - (b) may decide the person poses an unacceptable risk of harm to people with disability—
    - (i) if satisfied there is a real and appreciable risk that the person might cause harm to people with disability; and
    - (ii) without needing to be satisfied it is likely the person will cause the harm.

## **94 Matters to consider**

- (1) This section applies if the chief executive is aware of conduct of the person (the person's *offending conduct*) that—
  - (a) involved the commission of an offence; or
  - (b) was the subject of a complaint, allegation or investigation under a law; or
  - (c) is otherwise relevant to whether the person poses a risk of harm to people with disability.
- (2) The chief executive must consider the following matters—
  - (a) the nature, gravity and circumstances of the person's offending conduct;
  - (b) how the person's offending conduct is relevant to disability work;
  - (c) how long ago the person's offending conduct occurred;
  - (d) if the person's offending conduct was committed against another person (the *victim*)—
    - (i) the victim's vulnerability at the time of the conduct; and
    - (ii) the person's relationship to, or position of authority over, the victim at the time of the conduct;
  - (e) whether the person's offending conduct indicates a pattern of concerning behaviour;
  - (f) the person's conduct since the offending conduct;
  - (g) any other circumstances relevant to the person's offending conduct.

## **95 Action before making adverse decision**

- (1) This section applies if—
  - (a) section 91 applies to the person; or

- (b) the chief executive is proposing to decide that the person poses an unacceptable risk of harm to people with disability.
- (2) Before deciding the person's application, the chief executive must—
  - (a) give the person a notice (a *show cause notice*) that complies with section 96; and
  - (b) consider any submissions the person makes in response to the show cause notice.

## **96 Requirements for show cause notice**

A show cause notice given to a person under section 95 must—

- (a) state the following information about the person of which the chief executive is aware—
  - (i) police information;
  - (ii) domestic violence information;
  - (iii) disciplinary information;
  - (iv) NDIS disciplinary or misconduct information;
  - (v) other information that the chief executive reasonably believes is relevant to whether the person poses a risk of harm to people with disability; and
- (b) state that, because of the circumstances mentioned in section 95(1), the chief executive proposes to issue the person an exclusion unless the chief executive is satisfied that—
  - (i) if section 91 applies to the person—there are exceptional circumstances; and
  - (ii) the person does not pose an unacceptable risk of harm to people with disability; and
- (c) invite the person to make submissions to the chief executive about—

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- (i) if section 91 applies to the person—whether there are exceptional circumstances; and
- (ii) why the chief executive should not issue an exclusion to the person; and
- (d) state the period in which the person may make the submissions, which must be at least 7 days after the chief executive gives the notice to the person.

## **Subdivision 4 Steps after application decided**

### **97 Application of subdivision**

This subdivision applies if the chief executive decides a person's disability worker screening application.

### **98 Issuing clearance and clearance card**

- (1) If the chief executive decides to issue a clearance to the person, the chief executive must—
  - (a) give the person a notice that states the chief executive has decided—
    - (i) if the person made an NDIS worker screening application—to issue the person an NDIS clearance; or
    - (ii) if the person made a State disability worker screening application—to issue the person a State clearance; and
  - (b) issue a clearance card for the clearance to the person.
- (2) A ***clearance card***, for a clearance issued to a person, is a document, in the form of a card, that evidences that the clearance has been issued to the person.



## **99 Issuing exclusion**

If the chief executive decides to issue an exclusion to the person, the chief executive must give the person a notice that states—

- (a) the chief executive has decided—
  - (i) if the person made an NDIS worker screening application—to issue the person an NDIS exclusion; or
  - (ii) if the person made a State disability worker screening application—to issue the person a State exclusion; and
- (b) the reasons for the decision; and
- (c) the relevant review and appeal information; and
- (d) that it is an offence against this Act for a person who holds an exclusion to—
  - (i) make a disability worker screening application; or
  - (ii) start or continue to be engaged in carrying out disability work; or
  - (iii) carry out NDIS disability work as an NDIS sole trader or State disability work as a State sole trader.

## **100 Notifiable persons and potential employers notified about decision**

- (1) The chief executive must give each notifiable person for the person a notice that states whether the person was issued—
  - (a) if the person made an NDIS worker screening application—an NDIS clearance or NDIS exclusion; or
  - (b) if the person made a State disability worker screening application—a State clearance or State exclusion.

*Note—*

See section 138ZZB in relation to notices under this section if the NDIS commission communicates the relevant information to the notifiable person.

- (2) Also, the chief executive may give a notice mentioned in subsection (1) to a potential employer of the person.

## **101 Term of clearance**

- (1) The term of a clearance starts—
- (a) when the clearance is issued; or
  - (b) if the person holds another clearance with a term that ends on a later day—immediately after the existing clearance ends.
- (2) Unless it is cancelled earlier under division 6, the term of a clearance ends—
- (a) for an NDIS clearance—5 years after it starts under subsection (1); or
  - (b) for a State clearance—3 years after it starts under subsection (1).

## **102 Term of exclusion**

An exclusion remains in force unless it is cancelled under division 7.

# **Division 5                      General provisions about clearances**

## **Subdivision 1              Change in information**

### **103 Clearance holder to notify if no longer carrying out work as volunteer or on unpaid basis**

- (1) This section applies if—
- (a) a person holds a clearance that is not suspended; and
  - (b) during the term of the clearance, the person is or was—

- 
- (i) engaged to carry out disability work only as a volunteer; or
    - (ii) carrying out disability work as an NDIS sole trader or State sole trader only on an unpaid basis; and
  - (c) either of the following changes happens—
    - (i) the person is engaged to carry out disability work other than as a volunteer;
    - (ii) the person starts carrying out disability work as an NDIS sole trader or State sole trader for financial reward.
  - (2) The person must, within 14 days after the change happens—
    - (a) give the chief executive a notice, in the approved form and in an approved way, about the change; and
    - (b) if the person is required to pay the prescribed application fee under subsection (3)—pay the prescribed application fee to the chief executive.

Maximum penalty—10 penalty units.

*Note—*

See section 106 in relation to the chief executive issuing a replacement clearance card because of the change.

- (3) The person must pay the prescribed application fee if the disability worker screening application in relation to which the clearance was issued was made on the basis that the person was—
  - (a) engaged, or to be engaged, to carry out disability work only as a volunteer; or
  - (b) carrying out, or proposing to carry out, disability work as an NDIS sole trader or State sole trader only on an unpaid basis.
- (4) In this section—

***prescribed application fee*** means the fee prescribed by regulation for a disability worker screening application made by a person—

- (a) for a person who gives a notice under subsection (2) for a change mentioned in subsection (1)(c)(i)—engaged to carry out disability work other than as a volunteer; or
- (b) for a person who gives a notice under subsection (2) for a change mentioned in subsection (1)(c)(ii)—carrying out disability work as an NDIS sole trader or State sole trader for financial reward.

***unpaid basis***, for carrying out disability work, means the work is carried out other than for financial reward.

#### **104 Clearance holder to notify change in other information**

- (1) A person who holds a clearance must immediately give the chief executive a notice, in the approved form and in an approved way, if the person becomes aware that—
  - (a) the police information about the person changes; or
  - (b) a risk assessment matter relating to the person changes.Maximum penalty—100 penalty units.
- (2) For subsection (1), the police information about a person changes if a criminal history event happens in relation to the person.
- (3) Also, a person who holds a clearance must, within 14 days after any of the following matters change, give the chief executive a notice, in the approved form and in an approved way, about the change—
  - (a) the person's name;
  - (b) the person's contact details;
  - (c) another matter about the person, other than a matter to which section 103 or subsection (1) applies, prescribed by regulation for this subsection.

Maximum penalty—10 penalty units.

## **Subdivision 2 Replacement of clearance card**

### **105 Replacement of lost or stolen card**

- (1) If a person's clearance card is lost or stolen (the *lost or stolen card*), the person must, within 14 days after the loss or theft—
  - (a) give the chief executive a notice about the loss or theft in the approved form and in an approved way; and
  - (b) either—
    - (i) apply for a replacement clearance card; or
    - (ii) ask the chief executive under section 124 to cancel the person's clearance.

Maximum penalty—10 penalty units.

- (2) An application under subsection (1)(b)(i) must be—
  - (a) made in the approved form and in an approved way; and
  - (b) accompanied by the fee prescribed by regulation for the application.
- (3) The chief executive must—
  - (a) cancel the lost or stolen card; and
  - (b) if the person applied for a replacement clearance card under subsection (1)(b)(i)—issue a replacement clearance card to the person.

### **106 Replacement for change of name, contact details or volunteer or unpaid status**

- (1) This section applies if a person who holds a clearance gives the chief executive notice that—
  - (a) the person's name or contact details have changed; or
  - (b) a change mentioned in section 103(1)(c) has happened.
- (2) If the chief executive considers it is appropriate to do so because of the change, the chief executive may issue a replacement clearance card to the person.

- (3) If the chief executive issues a replacement clearance card to the person, the chief executive must cancel the person's previously held clearance card.

## **107 Requirement to return replaced card**

- (1) This section applies if the chief executive issues a clearance card (the *replacement card*) to a person who holds a clearance to replace a clearance card (the *original card*) previously issued to the person.
- (2) If the replacement card is issued other than because the person's original card expired or was lost or stolen, the person must give the original card to the chief executive within 14 days after the replacement card is issued.

Maximum penalty—10 penalty units.

- (3) If the person's original card was lost or stolen and the person regains possession of it, the person must give the original card to the chief executive within 7 days after regaining possession of it.

Maximum penalty—10 penalty units.

- (4) A person does not commit an offence against subsection (2) or (3) if the person has a reasonable excuse.

## **Division 6                      Reassessment, suspension or cancellation of clearance**

### **Subdivision 1                Reassessment of risk of harm to people with disability**

#### **108                      Reassessment of risk of harm posed by holder of clearance**

- (1) The chief executive may conduct a risk assessment of a person who holds a clearance if the chief executive becomes aware of information about the person that—

- (a) was not known to the chief executive when the decision to issue the clearance was made; and
  - (b) in the chief executive's opinion, is relevant to whether the person poses a risk of harm to people with disability.
- (2) The chief executive must conduct a risk assessment of a person, under subsection (1) or otherwise, before the chief executive makes a decision under this division about whether the person poses an unacceptable risk of harm to people with disability.

## **109 Application of div 4, sdiv 3 to conducting risk assessment**

- (1) Division 4, subdivision 3 applies with necessary changes for conducting a risk assessment of a person under section 108.
- (2) Without limiting subsection (1), section 95 applies if, under this division, the chief executive is proposing to decide that the person poses an unacceptable risk of harm to people with disability.

*Note—*

Section 95 requires the chief executive to give a person a show cause notice, and invite the person to make submissions, before the chief executive decides the person poses an unacceptable risk of harm to people with disability.

## **Subdivision 2 Suspension of clearance**

### **110 Application of subdivision**

This subdivision applies in relation to a person who holds a clearance if—

- (a) the person—
  - (i) is charged with a disqualifying offence that has not been dealt with; and
  - (ii) was an adult when the offence is alleged to have been committed; or

- (b) the person becomes the subject of a banning order made for a reason that, in the chief executive's opinion, is relevant to whether the person poses a risk of harm to people with disability; or
- (c) the chief executive—
  - (i) is conducting a risk assessment of the person under subdivision 1; and
  - (ii) reasonably suspects the assessment will demonstrate that the person poses an unacceptable risk of harm to people with disability.

## **111 Chief executive must suspend clearance**

- (1) The chief executive must suspend the person's clearance by giving the person a notice (a *suspension notice*) about the suspension.
- (2) The suspension notice must state—
  - (a) the person's clearance is suspended; and
  - (b) the reason for the suspension; and
  - (c) how long the suspension will continue; and
  - (d) the effect of the suspension; and
  - (e) under section 128, the person must return the person's clearance card to the chief executive immediately after the notice is given, unless the person has a reasonable excuse; and
  - (f) the relevant review and appeal information.

## **112 Notifiable persons and potential employers notified about suspension**

- (1) The chief executive must give each notifiable person for the person a notice that states—
  - (a) the person's clearance is suspended; and
  - (b) how long the suspension will continue; and



- (c) the effect of the suspension; and
- (d) it is an offence for an NDIS service provider or funded service provider to allow the person to carry out disability work while the clearance is suspended; and
- (e) an employer of the person who is given notice about the suspension of the person's clearance under this section must not terminate the person's employment solely or mainly because of the suspension.

*Note—*

See section 138ZZB in relation to notices under this section if the NDIS commission communicates the relevant information to the notifiable person.

- (2) Also, the chief executive may give a notice mentioned in subsection (1) to a potential employer of the person.

## **113 Effect of suspension of clearance**

- (1) This section applies while the person's clearance is suspended.
- (2) The person must not—
  - (a) start an engagement to carry out disability work; or
  - (b) if the person is engaged to carry out disability work for an NDIS service provider, funded service provider or the department—carry out work for the service provider or department that is disability work; or
  - (c) carry out disability work as an NDIS sole trader or State sole trader.

Maximum penalty—500 penalty units or 5 years imprisonment.

- (3) An employer of the person who is given notice about the suspension of the person's clearance under section 112 must not terminate the person's employment solely or mainly because of the suspension.

*Notes—*

- 1 See also sections 56 and 60 in relation to NDIS service providers or funded service providers allowing the person to carry out disability work.
  - 2 See section 138ZZB in relation to notices under section 112 if the NDIS commission communicates the relevant information to the notifiable person.
- (4) The person's clearance continues in force even if it would otherwise end under section 101(2).

## **114 When suspension of clearance ends**

The suspension of the person's clearance ends if—

- (a) the chief executive decides to cancel the clearance under section 115; or
- (b) the suspension ends under section 118; or
- (c) the clearance is otherwise cancelled under this division.

## **115 Deciding whether to cancel suspended clearance**

- (1) The chief executive may decide whether or not to cancel the person's suspended clearance—
  - (a) on the chief executive's own initiative; or
  - (b) in response to an application made by the person under section 116.
- (2) The chief executive must cancel the person's clearance if the chief executive decides the person poses an unacceptable risk of harm to people with disability.

*Note—*

See sections 108(2) and 109 in relation to making a decision under this section.

- (3) Otherwise, the chief executive may decide to—
  - (a) not cancel the person's clearance; and
  - (b) end the suspension of the clearance.

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**116 Application to chief executive to end suspension of clearance**

- (1) If the person's clearance has been suspended for at least 6 months, the person may apply to the chief executive to end the suspension of the clearance.
- (2) The application must be made in the approved form and in an approved way.
- (3) The chief executive is not required to decide the person's application if—
  - (a) a charge for an offence against the person has not been dealt with; or
  - (b) the chief executive is aware that an incident involving the person, or an allegation or complaint about the person's conduct, that is relevant to whether the person poses a risk of harm to people with disability is being investigated and the investigation has not ended.
- (4) The chief executive may decide the application—
  - (a) by deciding under section 115 whether or not to cancel the person's clearance; or
  - (b) by deciding—
    - (i) not to make a decision under section 115; and
    - (ii) to continue the suspension of the person's clearance.
- (5) If the chief executive makes a decision mentioned in subsection (4)(b), the chief executive must give the person a notice that states—
  - (a) the decision and reasons for the decision; and
  - (b) the relevant review and appeal information.

**117 Chief executive decides to cancel suspended clearance**

- (1) If the chief executive decides to cancel the person's clearance under section 115, the chief executive must—

- (a) cancel the clearance; and
- (b) issue an exclusion to the person as follows—
  - (i) for a person who held an NDIS clearance—an NDIS exclusion;
  - (ii) for a person who held a State clearance—a State exclusion; and
- (c) give the person a notice that states—
  - (i) the decision and reasons for the decision; and
  - (ii) that, if the person has not returned the person's clearance card to the chief executive, under section 128, the person must return the person's clearance card to the chief executive immediately, unless the person has a reasonable excuse; and
  - (iii) the relevant review and appeal information; and
  - (iv) the circumstances in which the person may apply under section 130 for the exclusion to be cancelled; and
- (d) give each notifiable person for the person, and each potential employer of the person given notice about the suspension of the clearance under section 112(2), a notice that states—
  - (i) the person's clearance has been cancelled; and
  - (ii) the person has been issued an exclusion; and
  - (iii) it is an offence for an NDIS service provider or funded service provider to engage, or to continue to engage, the person to carry out disability work.

*Note—*

See section 138ZZB in relation to notices under this paragraph if the NDIS commission communicates the relevant information to the notifiable person.

- (2) Also, the chief executive may give a notice mentioned in subsection (1)(d) to another potential employer of the person.

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**118 Chief executive decides not to cancel suspended clearance**

- (1) This section applies if, under section 115, the chief executive decides not to cancel the person's clearance and end the suspension of the clearance.
- (2) The suspension of the person's clearance ends.
- (3) The chief executive must—
  - (a) give a notice that states the suspension of the person's clearance has ended to—
    - (i) the person; and
    - (ii) each notifiable person for the person; and
    - (iii) each potential employer for the person who was given a notice about the suspension under section 112(2); and
  - (b) if the chief executive has the person's clearance card and the term of the person's clearance has not ended under section 101(2)—return the person's clearance card to the person.

**Subdivision 3 Cancelling clearance by chief executive**

**119 Cancelling clearance—disqualified person**

- (1) The chief executive must cancel a person's clearance if the person becomes a disqualified person.
- (2) This section applies whether or not the person's clearance is suspended under section 111.

**120 Cancelling clearance—wrong or incomplete information**

- (1) The chief executive must cancel a person's clearance if the chief executive—

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- (a) becomes aware the decision to issue the clearance was based on information that was wrong or incomplete; and
- (b) decides the person poses an unacceptable risk of harm to people with disability.

*Note—*

See sections 108(2) and 109 in relation to making a decision under this section.

- (2) A risk assessment of the person conducted before the chief executive makes a decision mentioned in subsection (1)(b) must include consideration of the correct or complete information.
- (3) This section applies whether or not the person's clearance is suspended under section 111.

## **121 Cancelling clearance—new relevant information**

- (1) The chief executive must cancel a person's clearance if the chief executive—
  - (a) becomes aware of information that—
    - (i) was not known to the chief executive when the decision to issue the clearance was made; and
    - (ii) in the chief executive's opinion, is relevant to whether the person poses a risk of harm to people with disability; and
  - (b) has not suspended the person's clearance under section 111; and
  - (c) decides the person poses an unacceptable risk of harm to people with disability.

*Note—*

See sections 108(2) and 109 in relation to making a decision under this section.

- (2) A risk assessment of the person conducted before the chief executive makes a decision mentioned in subsection (1)(c) must include consideration of the information mentioned in subsection (1)(a).

## **122 Action after decision**

If the chief executive is required, or decides, to cancel a person's clearance under this subdivision, the chief executive must—

- (a) cancel the person's clearance; and
- (b) issue an exclusion to the person as follows—
  - (i) for a person who held an NDIS clearance—an NDIS exclusion;
  - (ii) for a person who held a State clearance—a State exclusion; and
- (c) give the person a notice that states—
  - (i) the decision to cancel the clearance and issue the exclusion and the reasons for the decision; and
  - (ii) under section 128, the person must return the person's clearance card to the chief executive immediately, unless the person has a reasonable excuse; and
  - (iii) the relevant review and appeal information; and
  - (iv) the circumstances in which the person may apply under section 130 for the exclusion to be cancelled.

## **123 Notifiable persons and potential employers notified about cancellation**

- (1) If the chief executive cancels a person's clearance under this subdivision, the chief executive must give each notifiable person for the person a notice that states—
  - (a) the person's clearance has been cancelled; and
  - (b) the person has been issued an exclusion; and
  - (c) it is an offence for an NDIS service provider or funded service provider to engage, or to continue to engage, the person to carry out disability work.

*Note—*

See section 138ZZB in relation to notices under this section if the NDIS commission communicates the relevant information to the notifiable person.

- (2) Also, the chief executive may give a notice mentioned in subsection (1) to a potential employer of the person.

## **Subdivision 4      Cancelling clearance on holder's request**

### **124      Request to cancel clearance**

- (1) A person may ask the chief executive to cancel the person's clearance.
- (2) The request must be made in the approved form and in an approved way.

### **125      Refusal to cancel clearance**

- (1) The chief executive must refuse a person's request under section 124 if—
  - (a) the person's clearance is suspended; or
  - (b) the chief executive is conducting, or proposes to conduct, a risk assessment of the person under subdivision 1; or
  - (c) the chief executive is aware the person has become a disqualified person.
- (2) The chief executive must give the person a notice that states—
  - (a) the chief executive is refusing the request to cancel the person's clearance; and
  - (b) the reasons for the refusal.



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## **126 Cancellation of clearance**

- (1) This section applies if a request under section 124 is not refused by the chief executive under section 125.
- (2) The chief executive must—
  - (a) cancel the person's clearance; and
  - (b) give the person a notice that states—
    - (i) the clearance has been cancelled; and
    - (ii) under section 128, the person must return the person's clearance card to the chief executive immediately after the notice is given, unless the person has a reasonable excuse; and
    - (iii) it is an offence for the person to be engaged in carrying out disability work, or to carry out disability work as an NDIS sole trader or State sole trader, other than as allowed under division 2.

## **127 Notifiable persons and potential employers notified about cancellation**

- (1) If the chief executive cancels a person's clearance under section 126, the chief executive must give a notice to each notifiable person for the person that states—
  - (a) the person's clearance has been cancelled on the person's request; and
  - (b) it is an offence for an NDIS service provider or funded service provider to engage, or continue to engage, the person in disability work other than as allowed under division 2.

### *Note—*

See section 138ZZB in relation to notices under this section if the NDIS commission communicates the relevant information to the notifiable person.

- (2) Also, the chief executive may give a potential employer for the person a notice mentioned in subsection (1).

## **Subdivision 5      Return of clearance card**

### **128      Requirement to return suspended or cancelled card**

- (1) This section applies to a person if the chief executive gives the person a notice that states the person's clearance is suspended or cancelled.
- (2) The person must return the person's clearance card for the clearance to the chief executive immediately after the notice is given, unless the person has a reasonable excuse.

Maximum penalty—100 penalty units.

## **Division 7              Cancellation of exclusion**

### **129      Application of div 4, sdiv 3 to conducting risk assessment**

- (1) The chief executive must conduct a risk assessment of a person before the chief executive makes a decision under this division about whether the person poses an unacceptable risk of harm to people with disability.
- (2) Division 4, subdivision 3 applies for conducting the risk assessment with necessary changes.
- (3) Without limiting subsection (2), section 95 applies if, under this division, the chief executive is proposing to decide that the person poses an unacceptable risk of harm to people with disability.

*Note—*

Section 95 requires the chief executive to give a person a show cause notice, and invite the person to make submissions, before the chief executive decides the person poses an unacceptable risk of harm to people with disability.

## **130 Application to cancel exclusion**

- (1) A person who holds an exclusion, other than a disqualified person, may apply to the chief executive to cancel the exclusion if—
  - (a) the application is made more than 5 years after—
    - (i) the exclusion was issued; and
    - (ii) if the person has previously applied to cancel the exclusion under this section—the most recent previous application was decided; or
  - (b) a court decides an appeal, under section 138K, and sets aside a decision that information is investigative information about the person; or
  - (c) there has been a significant or exceptional change in the person's circumstances since the exclusion was issued.

*Example of a significant or exceptional change in a person's circumstances—*  
a person's conviction for an offence is quashed
- (2) If the exclusion was issued because the person is a disqualified person, the person may apply to the chief executive to cancel the exclusion if the person is no longer a disqualified person.

## **131 Form of application**

- (1) The application must be—
  - (a) made in the approved form and in an approved way; and
  - (b) signed by the person; and
  - (c) accompanied by the fee prescribed by regulation for the application.
- (2) The person may state anything in the application that the person considers is relevant to the chief executive's decision, including, for example, a change in the person's circumstances since the exclusion was issued.

### **132 Cancellation on application**

- (1) This section applies if a person made an application under section 130.
- (2) The chief executive may cancel the person's exclusion if the chief executive is satisfied the person does not pose an unacceptable risk of harm to people with disability.

*Note—*

See section 129 in relation to making a decision under this section.

### **133 Other cancellation of exclusion**

- (1) The chief executive may act under this section whether or not a person made an application under section 130.
- (2) The chief executive may cancel the person's exclusion if the chief executive is satisfied the person does not pose an unacceptable risk of harm to people with disability and any of the following apply—
  - (a) the exclusion was issued because the person was a disqualified person and the person is no longer a disqualified person;
  - (b) the chief executive is satisfied the decision to issue the exclusion was based on wrong or incomplete information;
  - (c) the chief executive becomes aware of information (*further information*) that—
    - (i) was not known to the chief executive when the decision to issue the exclusion was made; and
    - (ii) in the chief executive's opinion, is relevant to whether the person poses a risk of harm to people with disability.
- (3) A risk assessment of the person conducted before the chief executive makes a decision for a reason mentioned in subsection (2)(b) or (c) must include consideration of the correct and complete information or the further information.

*Note—*

See section 129 in relation to making a decision under this section.

### **134 Action after decision to cancel exclusion**

- (1) If the chief executive decides to cancel a person's exclusion under this division, the chief executive must—
  - (a) cancel the exclusion; and
  - (b) give the person a notice about the cancellation.
- (2) The chief executive may decide a disability worker screening application made by the person after the exclusion is cancelled without conducting a risk assessment of the person unless the chief executive is aware of information that—
  - (a) was not known to the chief executive when the decision to cancel the exclusion was made; and
  - (b) is relevant to whether the person poses a risk of harm to people with disability.

### **135 Notice of decision to refuse application**

- (1) This section applies if—
  - (a) a person made an application under section 130; and
  - (b) the chief executive decided to refuse the application.
- (2) The chief executive must give the person a notice that states—
  - (a) the application has been refused and the person's exclusion continues in force; and
  - (b) the reasons for the chief executive's decision to refuse the application; and
  - (c) the relevant review and appeal information.

## **Division 8                      Provisions about obtaining, giving and dealing with information**

### **Subdivision 1              Preliminary**

#### **136      Meaning of *relevant person***

- (1) For this division, each of the following persons is a *relevant person*—
- (a) a person who holds a clearance;
  - (b) a person who has made a disability worker screening application that has not been decided or withdrawn;
  - (c) a person to whom all of the following apply—
    - (i) the person has purported to make a disability worker screening application;
    - (ii) the application has not been properly made, including, for example, because the application was not accompanied by the fee prescribed by regulation for the application;
    - (iii) the application, as made, contains sufficient information for the chief executive to establish the person's identity with certainty;
  - (d) a person who has made an application under section 130 to cancel the person's exclusion and the application has not been decided or withdrawn;
  - (e) a person who holds an exclusion if—
    - (i) there is an undecided appeal, review or application under section 138J(2), 138L(2), 138ZV or 138ZW relating to the exclusion; or
    - (ii) there is an undecided appeal against a decision on an appeal or review under section 138J(2) or 138ZW relating to the exclusion.

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- (2) Also, a person is a **relevant person** for this division if the chief executive is aware that—
- (a) the person holds an interstate NDIS clearance; or
  - (b) the person has made an application under a corresponding law that corresponds to an NDIS worker screening application and an NDIS worker screening unit has asked the chief executive for information about the person in relation to deciding the application.
- (3) In this section—
- undecided**, in relation to an appeal, review or application, means the appeal, review or application has been started or made but not decided.

### **137 Chief executive may disclose information about relevant persons**

- (1) This section applies if the chief executive may ask an entity for information about a relevant person under this division.
- (2) The chief executive may include information that is reasonably necessary to identify the relevant person in the request.
- (3) The chief executive may also give information about the identity of a relevant person to an entity that is authorised, under this division or another law, to give information about relevant persons to the chief executive—
  - (a) to advise the entity whether or not a particular person is a relevant person; or
  - (b) from time to time to advise the entity about the persons who are relevant persons at a particular time.

### **138 Information that need not be given**

- (1) This section applies if, under another provision of this division, an entity is required to give information to the chief executive and the entity reasonably believes that giving the information may do any of the following—

- (a) prejudice the investigation of a contravention or possible contravention of the law in a particular case;
  - (b) enable the existence or identity of a confidential source of information, in relation to the enforcement or administration of the law, to be ascertained;
  - (c) prejudice the effectiveness of a lawful method or procedure for preventing, detecting, investigating or dealing with a contravention or possible contravention of the law;
  - (d) prejudice a matter before a court, including, for example—
    - (i) a matter or an investigation before the Mental Health Court; and
    - (ii) a prosecution;
  - (e) prejudice a matter before the Mental Health Review Tribunal;
  - (f) endanger a person's life or physical safety;
  - (g) adversely affect a person's mental health.
- (2) Despite the other provision, the entity is not required to—
- (a) give the information to the chief executive; or
  - (b) disclose the existence of the information to the chief executive.

## **Subdivision 2      Obtaining police information and related information from police commissioner**

### **138A    Provision about police commissioner's obligation to provide information**

A requirement under this subdivision for the police commissioner to give the chief executive information about a relevant person applies—



- (a) only to information in the commissioner's possession or to which the commissioner has access; and
- (b) despite the *Youth Justice Act 1992*, part 9.

### **138B Meaning of *criminal history event***

- (1) Any of the following events that happen in relation to a person is a ***criminal history event***—
  - (a) the person acquires a criminal history;
  - (b) the person's criminal history changes;
  - (c) the police commissioner decides, under section 138I, that information about the person is investigative information;
  - (d) the person becomes subject to—
    - (i) offender reporting obligations; or
    - (ii) an offender prohibition order; or
    - (iii) an offender prohibition disqualification order;
  - (e) the person is named as the respondent for an application for an offender prohibition order;
  - (f) the person is the subject of an application for an offender prohibition disqualification order.
- (2) For subsection (1)(c), it does not matter when the conduct related to the investigative information happened or is alleged to have happened.

### **138C Chief executive's request for police information about relevant person**

- (1) The chief executive may ask the police commissioner for police information about a relevant person.
- (2) The police commissioner must comply with a request under subsection (1) by—

- (a) giving the chief executive the police information that exists about the relevant person; or
  - (b) telling the chief executive there is no police information about the relevant person.
- (3) If there is police information about the relevant person, the chief executive may ask the police commissioner for—
  - (a) a brief description of the circumstances of a conviction, charge or investigative information mentioned in the police information; and
  - (b) a section 93A transcript or a transcript of a recorded statement relating to an offence mentioned in the police information; and
  - (c) the information mentioned in section 138F(1) about an offender prohibition order or offender prohibition disqualification order mentioned in the police information; and
  - (d) the information mentioned in section 138F(2) about an application for an offender prohibition order or offender prohibition disqualification order mentioned in the police information if the order is not, or was not, made.
- (4) The police commissioner must comply with a request under subsection (3).
- (5) If the chief executive no longer needs the information requested—
  - (a) the chief executive must tell the police commissioner the information is no longer needed; and
  - (b) the police commissioner's obligation to comply with the chief executive's request ends.

### **138D Chief executive's request for domestic violence information about relevant person**

- (1) This section applies if the chief executive reasonably believes a domestic violence order may have been made, or police

protection notice may have been issued, against a relevant person.

- (2) The chief executive may ask the police commissioner for domestic violence information about the relevant person.
- (3) The police commissioner must comply with a request under subsection (2) by—
  - (a) giving the chief executive the domestic violence information that exists about the relevant person; or
  - (b) telling the chief executive there is no domestic violence information about the relevant person.
- (4) If there is domestic violence information about the person, the chief executive may ask the police commissioner for a brief description of the circumstances of a domestic violence order or police protection notice mentioned in the domestic violence information.
- (5) The police commissioner must comply with a request under subsection (4).
- (6) If the chief executive no longer needs the information requested—
  - (a) the chief executive must tell the police commissioner the information is no longer needed; and
  - (b) the police commissioner's obligation to comply with the chief executive's request ends.

### **138E Police commissioner must notify change in police information**

- (1) This section applies if—
  - (a) the police commissioner reasonably suspects a person is a relevant person; and
  - (b) a criminal history event happens in relation to the person.
- (2) The police commissioner must give the chief executive a notice about the criminal history event.

- (3) The notice must state the following information, to the extent the information relates to the criminal history event—
  - (a) the particulars of an offence the relevant person was charged with or convicted of, including the day the person was charged or convicted;
  - (b) a brief description of information that the police commissioner has decided is investigative information about the relevant person;
  - (c) the information mentioned in section 138F(1) about an offender prohibition order or offender prohibition disqualification order to which the relevant person is, has been or becomes subject;
  - (d) the information mentioned in section 138F(2) about an application for an offender prohibition order or offender prohibition disqualification order if the order is not, or was not, made.

### **138F Particular information to be given about orders and applications for orders refused**

- (1) For section 138C(3)(c) or 138E(3)(c), the information about an offender prohibition order or offender prohibition disqualification order is—
  - (a) details of the order, including its duration; and
  - (b) for an offender prohibition order—
    - (i) a brief description of the conduct that gave rise to the order; and
    - (ii) whether the order is or was a temporary order or final order under the *Child Protection (Offender Reporting and Offender Prohibition Order) Act 2004*.
- (2) For section 138C(3)(d) or 138E(3)(d), the information about an application for an offender prohibition order or offender prohibition disqualification order, if the order is not or was not made, is—

- (a) the reasons the application was made; and
- (b) the reasons the order was not made; and
- (c) if the application was for an offender prohibition order—the reasons given by the magistrate or court hearing the application for deciding not to make the order.

### **138G Person to be notified if investigative information given**

- (1) This section applies if the police commissioner gives investigative information about a relevant person to the chief executive under section 138C or 138E.
- (2) The police commissioner must give the relevant person a notice that states—
  - (a) the police commissioner has decided that information about the person is investigative information; and
  - (b) the investigative information has been given to the chief executive.

### **138H Use of information given to police commissioner**

- (1) Information given to the police commissioner under this division—
  - (a) must not be accessed or disclosed for any purpose other than—
    - (i) a purpose under this part; or
    - (ii) a purpose relevant to law enforcement; and
  - (b) must not be used for any purpose other than for a purpose under this part.
- (2) However, subsection (1) does not apply to information obtained by the police commissioner before the chief executive gave the information to the police commissioner under this division.

## **Subdivision 3      Provisions about investigative information**

### **138I      Police commissioner may decide information is investigative information**

- (1) The police commissioner may decide that information related to the conduct of a person (the *investigated person*) is *investigative information* about the investigated person if satisfied—
  - (a) there is or was evidence that, at the time of the investigated person's conduct, it constituted a schedule 6 or 7 offence (the *alleged offence*) committed by the investigated person against another person (the *complainant*); and
  - (b) the matters stated in subsection (2) apply in relation to the alleged offence.
- (2) The matters are—
  - (a) the police investigated the alleged offence; and
  - (b) the investigated person was formally notified about the investigation, including—
    - (i) by participating, or being asked to participate, in an interview about the alleged offence; or
    - (ii) by otherwise being given an opportunity to answer allegations about the alleged offence; and
  - (c) there was sufficient evidence to establish each element of the alleged offence; and
  - (d) the investigated person was not charged because—
    - (i) the complainant died before the charge was brought; or
    - (ii) the complainant was unwilling to proceed; or
    - (iii) an adult, who was the complainant's parent or guardian, decided the matter should not proceed in the interests of the complainant.

- (3) Evidence of conduct includes information from a third party if the complainant did not make a formal complaint at or about the time of the investigation.
- (4) Despite the *Police Service Administration Act 1990*, section 4.10, the police commissioner may not delegate the police commissioner's powers under subsection (1) other than to a police officer of at least the rank of superintendent.

### 138J **Appealing investigative information decision**

- (1) This section applies if—
  - (a) the police commissioner decides that information is investigative information about a person; and
  - (b) the investigative information is given to the chief executive under subdivision 2; and
  - (c) after the investigative information is given to the chief executive, the chief executive issues the person an exclusion, including because the chief executive cancels the person's clearance.
- (2) The person (the ***appellant***) may appeal to a Magistrates Court about the decision (the ***investigative information decision***) that information, given to the chief executive as investigative information about the appellant, is investigative information.
- (3) However, an appeal under subsection (2) may only be made within 28 days after the appellant is given notice that the exclusion has been issued.
- (4) The chief executive and police commissioner must be given a copy of the notice of appeal.
- (5) The tribunal does not have jurisdiction to review a decision of the police commissioner that—
  - (a) information is investigative information about a person; or
  - (b) information that is investigative information about a person may be given to the chief executive.

### **138K Court to decide matter afresh**

- (1) A Magistrates Court hearing an appeal about an investigative information decision under section 138J must decide afresh whether information given to the chief executive is investigative information about the appellant.
- (2) The appellant must not ask or call on the complainant for the investigative information to give evidence in person before the court.
- (3) Subsection (2) does not prevent documentary evidence being tendered and received in evidence by the court.
- (4) After hearing the appeal, the court may confirm or set aside the investigative information decision.
- (5) For subsection (4), the court must consider the matters the police commissioner was required to consider under section 138I when making the investigative information decision.
- (6) The clerk of the court must give the appellant notice of the court's decision on the appeal.
- (7) The notice must state—
  - (a) that, if the chief executive's decision to issue the appellant an exclusion is a reviewable decision, the appellant may apply for a review of the decision subject to section 138ZT(2); and
  - (b) how, and the period within which, the person may apply for the review.
- (8) In this section—

***complainant***, for investigative information about the appellant, means the complainant under section 138I for the alleged offence under that section that was committed by the appellant who is the subject of the investigative information.



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## **138L Consequence of decision on appeal**

- (1) This section applies if a Magistrates Court decides an appeal about an investigative information decision under section 138K.
- (2) If the court decides to set aside the investigative information decision, the appellant may apply to the chief executive under section 130(1)(b) to cancel the appellant's exclusion.
- (3) If the court confirms the investigative information decision, the appellant may apply under section 138ZT for an internal review of the decision to issue the exclusion to the appellant, subject to section 138ZT(2).
- (4) An application mentioned in subsection (3)—
  - (a) must be made within 28 days after the appellant receives the notice under section 138K(6); and
  - (b) may be made even if the appellant applied for an internal review of the decision to issue the exclusion to the appellant before the court decided the appeal.

## **Subdivision 4 Obtaining police information from other State entities**

### **138M Obtaining information from director of public prosecutions**

- (1) If the chief executive is aware a relevant person has been charged with or convicted of an offence, the chief executive may, by notice, ask the director of public prosecutions for the following information about the relevant person—
  - (a) a written statement briefly describing the circumstances of a charge or conviction for the offence;
  - (b) a copy or written summary of evidentiary material about the offence;

- (c) if a charge for the offence was not proceeded with—a written summary of the reasons the charge was not proceeded with.
- (2) The director of public prosecutions may comply with the chief executive's request if the director reasonably believes the information may help the chief executive to perform the chief executive's screening functions in relation to the person.
- (3) However, the director of public prosecutions must not give the chief executive a copy or written summary of evidentiary material about the offence that relates only to a person other than the relevant person.

*Example—*

a report by an expert about a person other than the relevant person

- (4) The director of public prosecutions is authorised to give information, or a document containing information, under this section despite any other Act or law, including a law imposing an obligation to maintain confidentiality about the information.

*Note—*

See section 227 for restrictions on disclosing or giving access to information or documents obtained under this part.

- (5) Without limiting subsection (4), this section applies despite the *Director of Public Prosecutions Act 1984*, section 24A.
- (6) In this section—

***evidentiary material***, about an offence, means material compiled in the course of the investigation or prosecution of the offence, including, for example, the following—

- (a) a summary of the circumstances of the alleged offence prepared by a police officer;

*Examples—*

bench charge sheet, QP9

- (b) a witness statement;
- (c) an indictment;

- (d) a record of an interview or a transcript of a record of an interview, including a section 93A transcript or a transcript of a recorded statement;
- (e) a report by an expert about the person alleged to have committed the offence.

### **138N Obtaining information from chief executive (corrective services)**

- (1) The chief executive (corrective services) must give the chief executive a notice about each person who is subject to a sexual offender order.
- (2) The notice must state—
  - (a) the person is subject to a sexual offender order; and
  - (b) any other information the chief executive (corrective services) reasonably believes may help the chief executive to perform the chief executive's screening functions.
- (3) The chief executive (corrective services) is authorised to give information under this section despite any other Act or law, including a law imposing an obligation to maintain confidentiality about the information.

*Note—*

See section 227 for restrictions on disclosing or giving access to information or documents obtained under this part.

- (4) In this section—

***chief executive (corrective services)*** means the chief executive of the department in which the *Corrective Services Act 2006* is administered.

## **Subdivision 5      Obtaining disciplinary and other information from particular entities**

### **138O    Meaning of *disciplinary information* and *disciplinary action***

- (1) ***Disciplinary information*** about a person is information about any disciplinary action taken against the person.
- (2) ***Disciplinary action***, in relation to a person, means—
  - (a) for a person who holds, or has held, a foster carer certificate, kinship carer certificate or provisional certificate under the *Child Protection Act 1999*—the person’s certificate was amended, suspended or cancelled under that Act; or
  - (b) for a person who holds, or has held, a provider approval, service approval or supervisor certificate under the *Education and Care Services Act 2013* or the Education and Care Services National Law (Queensland)—the person’s approval or certificate was amended, suspended or cancelled under that Act or Law; or
  - (c) the person was given a prohibition notice under—
    - (i) the *Education and Care Services Act 2013*; or
    - (ii) the Education and Care Services National Law (Queensland); or
    - (iii) the repealed *Child Care Act 2002*; or
  - (d) for a person who held a licence to conduct a child care service, including a provisional licence, under the repealed *Child Care Act 2002*—the person’s licence was amended, suspended or revoked under that Act; or
  - (e) for a person who is, or has been, a registered teacher or holds, or has held, a permission to teach under the *Education (Queensland College of Teachers) Act 2005*—
    - (i) the person’s registration or permission to teach was suspended under that Act; or

- (ii) the person's registration was cancelled under that Act; or
  - (iii) a practice and conduct body made a decision about practice and conduct proceedings against the teacher under that Act; or
- (f) for a person who was a registered teacher under the repealed *Education (Teacher Registration) Act 1988*—
  - (i) the person's registration was suspended or cancelled under that Act; or
  - (ii) the Board of Teacher Registration under that Act made an order about the person after inquiring into a matter concerning the person's conduct under that Act.
- (3) In this section—

***amended***, in relation to an approval, certificate or licence held by a person, means the approval, certificate or licence was amended other than—

  - (a) at the person's request; or
  - (b) with the person's agreement; or
  - (c) to extend the term of the approval, certificate or licence; or
  - (d) in another way that is consistent with, or not contrary to, the person's interests.

### **138P Request for information about disciplinary action against relevant person**

- (1) The chief executive may, by notice, ask any of the following entities (each a ***State entity***) for disciplinary information about a relevant person—
  - (a) the chief executive (child safety) in relation to disciplinary action mentioned in section 138O(2)(a);

[s 138Q]

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- (b) the chief executive (education and care) in relation to disciplinary action mentioned in section 138O(2)(b), (c) or (d);
- (c) the college of teachers in relation to disciplinary action mentioned in section 138O(2)(e) or (f).

(2) In this section—

***chief executive (education and care)*** means—

- (a) the chief executive of the department in which the *Education and Care Services Act 2013* is administered; or
- (b) the children's services regulator under the Education and Care Services National Law (Queensland).

***college of teachers*** means the Queensland College of Teachers under the *Education (Queensland College of Teachers) Act 2005*.

### **138Q State entity must comply with request for disciplinary information**

- (1) This section applies if a State entity is given a request about a relevant person under section 138P.
- (2) The State entity must give the requested disciplinary information about the relevant person to the chief executive if the State entity reasonably believes the information may help the chief executive to perform the chief executive's screening functions.
- (3) Disciplinary information given under subsection (2) must include the following information—
  - (a) the disciplinary action taken;
  - (b) when the conduct that constituted a ground for the disciplinary action happened;
  - (c) the nature of the conduct that constituted a ground for the disciplinary action;

- (d) other information about the disciplinary action the State entity reasonably believes may help the chief executive to perform the chief executive's screening functions.
- (4) Disciplinary information given under subsection (2) must not include information that identifies, or is likely to identify, a particular child.

### **138R Request for other information about relevant person from prescribed entities**

- (1) This section applies if the chief executive reasonably believes a prescribed entity has information, other than disciplinary information, that is relevant to whether a relevant person poses a risk of harm to people with disability.
- (2) The chief executive may, by notice, ask the prescribed entity for information about the relevant person.
- (3) The prescribed entity may give the information about the relevant person to the chief executive if the entity reasonably believes the information may help the chief executive to perform the chief executive's screening functions.
- (4) This section applies subject to the *Child Protection Act 1999*, chapter 6, part 6, division 2, subdivision 1.
- (5) In this section—  
***prescribed entity*** means—
  - (a) the chief executive (child safety); or
  - (b) the chief executive of the department in which the *Community Services Act 2007* is administered; or
  - (c) another entity that—
    - (i) is prescribed by regulation for this section; and
    - (ii) has entered into an arrangement with the chief executive to give the chief executive information under this section.

### **138S State entity must update disciplinary information**

- (1) This section applies if a State entity gives disciplinary information about a relevant person to the chief executive under this subdivision and the information changes.
- (2) The State entity must give the chief executive a notice about the change in the information.

## **Subdivision 6 Obtaining information about person's mental health**

### **138T Application of subdivision**

- (1) This subdivision applies if—
  - (a) the chief executive is deciding whether a relevant person poses an unacceptable risk of harm to people with disability; and
  - (b) the relevant person was—
    - (i) charged with a disqualifying offence alleged to have been committed when the person was an adult; or
    - (ii) charged with or convicted of a serious offence committed, or alleged to have been committed, when the person was an adult; or
    - (iii) charged with or convicted of an offence that relates to or involves a person with disability; and
  - (c) the chief executive reasonably believes it is necessary to consider the relevant person's mental health to make the decision mentioned in paragraph (a).
- (2) The chief executive may form the reasonable belief mentioned in subsection (1)(c) only if—
  - (a) for a charge or conviction mentioned in subsection (1)(b)—



- (i) the matter of the relevant person's mental state relating to the offence has been referred to the Mental Health Court or an entity of another State with similar functions to that court; or
  - (ii) a court has ordered the relevant person to undertake psychiatric treatment; or
  - (iii) a court has been given a report about the relevant person's mental health prepared by a registered health practitioner; or
- (b) the chief executive has, under this part, been given a report about the relevant person's mental health prepared by a registered health practitioner.

**138U Request to relevant person for consent to preparation of report about person's mental health**

- (1) The chief executive may, by notice, ask the relevant person—
- (a) to consent to a registered health practitioner nominated by the chief executive preparing a report about the relevant person's mental health; and
  - (b) to be examined by the health practitioner to enable the health practitioner to prepare the report; and
  - (c) to consent to the health practitioner giving the report to the chief executive.
- (2) The notice must state the following—
- (a) the reasons for the chief executive's request;
  - (b) the name and qualifications of the registered health practitioner nominated by the chief executive to examine the relevant person;
  - (c) when and where the examination is to be conducted;
  - (d) that the health practitioner may require the relevant person to undergo further examinations;

- (e) that the chief executive must bear the cost of the examination (including any further examinations) and preparation of the report;
  - (f) that the chief executive may consider the report about the relevant person's mental health when deciding whether the person poses an unacceptable risk of harm to people with disability;
  - (g) that, if the relevant person has made a disability worker screening application, the person may ask the chief executive to withdraw the application under section 75;
  - (h) that, if the relevant person does not give the consent, or undergo the examination, the chief executive may—
    - (i) if the person has made a disability worker screening application—withdraw the application under section 79; or
    - (ii) decide whether the person poses an unacceptable risk of harm to people with disability without a report about the person's mental health.
- (3) The chief executive must be satisfied the registered health practitioner nominated is appropriately qualified to conduct the examination.

### **138V Obtaining report about person's mental health from registered health practitioner**

- (1) This section applies if the relevant person gives the consent mentioned in section 138U(1)(c) to the registered health practitioner giving the report about the person's mental health to the chief executive.
- (2) The chief executive must give a copy of the relevant person's consent to the registered health practitioner.
- (3) The registered health practitioner may give the report to the chief executive.
- (4) The registered health practitioner is authorised to give the report under this section despite any other Act or law,

including a law imposing an obligation to maintain confidentiality about the examination.

*Notes—*

- 1 See section 138ZA in relation to the registered health practitioner being given information about the relevant person obtained by the chief executive from the Mental Health Court or Mental Health Review Tribunal, and restrictions on the use of the information.
- 2 See section 227 for restrictions on disclosing or giving access to information or documents obtained under this part.

### **138W Chief executive to bear medical costs**

The chief executive must bear the costs for the following amounts charged by the registered health practitioner for preparing a report about the relevant person's mental health under this subdivision—

- (a) the amount charged for examining the person to enable the health practitioner to prepare the report;
- (b) the amount charged for preparing the report.

### **138X Request for consent to obtain information from Mental Health Court or Mental Health Review Tribunal**

- (1) This section applies if—
  - (a) the relevant person has been charged with, but not convicted of—
    - (i) a disqualifying offence or serious offence alleged to have been committed when the person was an adult; or
    - (ii) an offence that relates to or involves a person with disability; and
  - (b) either—
    - (i) the matter of the person's mental state relating to the offence was referred to the Mental Health Court; or

- (ii) the Mental Health Review Tribunal reviewed a forensic order to which the person is subject, or the person's fitness for trial, under the *Mental Health Act 2016*, chapter 12, part 3, 4 or 6.
- (2) The chief executive may, by notice, ask the relevant person to consent to the chief executive obtaining information about the person from the Mental Health Court or Mental Health Review Tribunal—
  - (a) for the chief executive to use when deciding whether the person poses an unacceptable risk of harm to people with disability; or
  - (b) if the chief executive asked the person, under section 138U, to consent to a registered health practitioner preparing a report about the person's mental health—to be given to the health practitioner to use when preparing the report.
- (3) The notice must state the following—
  - (a) the reasons for the chief executive's request;
  - (b) the information that the Mental Health Court or Mental Health Review Tribunal may give the chief executive under section 138Y or 138Z;
  - (c) that the chief executive may consider the report about the relevant person's mental health when deciding whether the person poses an unacceptable risk of harm to people with disability;
  - (d) that, if the relevant person has made a disability worker screening application, the person may ask the chief executive to withdraw the application under section 75;
  - (e) that, if the relevant person does not give the consent, the chief executive may—
    - (i) if the person made a disability worker screening application—withdraw the application under section 79; or

- (ii) decide whether the person poses an unacceptable risk of harm to people with disability without obtaining the information.

### **138Y Obtaining information from Mental Health Court**

- (1) The chief executive may, by notice, ask the Mental Health Court (the *court*) for information about the relevant person if—
  - (a) the matter of the person's mental state relating to an offence mentioned in section 138X(1)(a) was referred to the court (the *referred matter*); and
  - (b) the person gives the consent mentioned in section 138X(2) for the chief executive to obtain information about the person from the court.
- (2) The chief executive must give a copy of the relevant person's consent to the court.
- (3) If the relevant person's consent is for the chief executive to use the information when deciding whether the person poses an unacceptable risk of harm to people with disability, the court may comply with the request if the court considers the information may help the chief executive make the decision.
- (4) If the relevant person's consent is for the information to be given to the registered health practitioner preparing a report about the person's mental health, the court must comply with the chief executive's request.
- (5) The court complies with the chief executive's request by giving the chief executive the following information—
  - (a) the court's decision about the referred matter and reasons for the decision;
  - (b) a copy or written summary of any expert's report about the relevant person received in evidence by the court, including, for example, a medical report, psychiatrist's report or expert report that accompanied the reference of the matter to the court;

- (c) transcripts of a hearing conducted for the referred matter if the court directed the transcript may be given to a party to the hearing or another person.
- (6) A decision of the court not to give an expert report about the relevant person under this section does not prevent the chief executive applying for leave of the court under the *Mental Health Act 2016*, section 160(2) in relation to the report.

### **138Z Obtaining information from Mental Health Review Tribunal**

- (1) The chief executive may, by notice, ask the Mental Health Review Tribunal (the *tribunal*) for information about the relevant person if—
  - (a) the tribunal reviewed a forensic order to which the person is subject, or the person’s fitness for trial, under the *Mental Health Act 2016*, chapter 12, part 3, 4 or 6; and
  - (b) the person gives the consent mentioned in section 138X(2) for the chief executive to obtain information about the person from the tribunal.
- (2) The chief executive must give a copy of the relevant person’s consent to the tribunal.
- (3) If the relevant person’s consent is for the chief executive to use the information when deciding whether the person poses an unacceptable risk of harm to people with disability, the tribunal may comply with the request if the tribunal considers the information may help the chief executive make the decision.
- (4) If the relevant person’s consent is for the information to be given to the registered health practitioner preparing a report about the person’s mental health, the tribunal must comply with the chief executive’s request.
- (5) The tribunal complies with the request by giving the chief executive the following information—

- (a) the tribunal's decision on the review and reasons for the decision;
- (b) a copy or written summary of an expert's report about the relevant person received by the tribunal in the proceeding for the review, including, for example, a report about an examination of the person under the *Mental Health Act 2016*, section 454;
- (c) transcripts of any hearing conducted for the review that the tribunal has directed may be given to a party to the hearing or another person.

### **138ZA Chief executive must give information to registered health practitioner**

- (1) This section applies if—
  - (a) the chief executive is given information about the relevant person under section 138Y or 138Z; and
  - (b) the person consented to the information being given to the registered health practitioner preparing a report about the person's mental health.
- (2) The chief executive must give the information to the registered health practitioner as soon as practicable after receiving the information.
- (3) The registered health practitioner must not—
  - (a) make a record of the information; or
  - (b) disclose the information to anyone; or
  - (c) give anyone access to the information; or
  - (d) include any details of the information in a report about the relevant person's mental health prepared under this subdivision.

Maximum penalty for subsection (3)—100 penalty units or 2 years imprisonment.

### **138ZB Information that cannot be disclosed to relevant person under confidentiality order**

- (1) This section applies if information given to the chief executive under section 138Y or 138Z includes information (*restricted information*) that cannot be disclosed to the relevant person under a confidentiality order under the *Mental Health Act 2016*, section 696 or 722.
- (2) If section 138ZA applies to the restricted information, the chief executive—
  - (a) must give the information to the registered health practitioner under that section; and
  - (b) must not keep the information, or a record or copy of the information, after giving the information to the health practitioner.
- (3) Otherwise, the chief executive must not use the restricted information for any purpose.

### **138ZC Further restrictions on chief executive's use of information**

- (1) This section applies if the chief executive—
  - (a) is given information, other than restricted information under section 138ZB(1), about the relevant person under section 138Y or 138Z; and
  - (b) is deciding whether the person poses an unacceptable risk of harm to people with disability.
- (2) The chief executive may use the information to make the decision only if the relevant person consented to the chief executive using the information to make the decision.

### **138ZD Giving information authorised despite other laws**

- (1) The Mental Health Court and Mental Health Review Tribunal are authorised to give information to the chief executive under section 138Y or 138Z despite any other Act or law, including



a law imposing an obligation to maintain confidentiality about the information.

*Note—*

See section 227 for restrictions on disclosing or giving access to information or documents obtained under this part.

- (2) Without limiting subsection (1), the information may be given—
- (a) even if it cannot be disclosed to the relevant person under a confidentiality order under the *Mental Health Act 2016*, section 696 or 722; and
  - (b) if the information is an expert's report—despite the *Mental Health Act 2016*, section 160.

### **138ZE Information that must not be given**

Information or documents given by the Mental Health Court under section 138Y or the Mental Health Review Tribunal under section 138Z must not include—

- (a) any material (or any record or copy of the material) given to the court or tribunal under the *Mental Health Act 2016*, section 155, 163 or 742, or how the material was taken into account; or
- (b) the reasons of the court or tribunal for taking material mentioned in paragraph (a) into account or not taking the material into account; or
- (c) the content of an expert report about a person other than the relevant person; or
- (d) information about a person, other than the relevant person, that the court or tribunal considers is not relevant to the chief executive deciding whether the relevant person poses a risk of harm to people with disability.

## **Subdivision 7      Giving information to other worker screening units and NDIS commission**

### **138ZF Application of subdivision**

This subdivision applies to information about a person—

- (a) the chief executive was given, or given access to, under this part; or
- (b) in the chief executive's possession in relation to the performance of the chief executive's screening functions.

### **138ZG Giving information to chief executive (working with children)**

- (1) The chief executive may give information about a person to the chief executive (working with children) if the chief executive reasonably believes the information is relevant to the functions of the chief executive (working with children) under the Working with Children Act.
- (2) Without limiting subsection (1), the information that may be given includes—
  - (a) information about a disability worker screening application made by a person; and
  - (b) information about a clearance, interstate NDIS clearance, exclusion or interstate NDIS exclusion held by a person; and
  - (c) police information about a person, including investigative information; and
  - (d) information related to police information about a person, including a section 93A transcript and a transcript of a recorded statement; and
  - (e) disciplinary information or NDIS disciplinary or misconduct information about a person; and

- (f) information about a person's mental health.

**138ZH Giving information to NDIS worker screening unit or working with children screening unit**

- (1) This section applies if—
- (a) the chief executive is aware a person holds—
    - (i) an interstate NDIS clearance issued by an NDIS worker screening unit under a corresponding law; or
    - (ii) an interstate working with children authority issued by a working with children screening unit under a corresponding WWC law; or
  - (b) an NDIS worker screening unit or working with children screening unit has asked the chief executive for information about a person in relation to deciding an application made by the person under a corresponding law or corresponding WWC law.
- (2) If the police commissioner gives the chief executive information about the person under subdivision 2, the chief executive may give the information to the NDIS worker screening unit or working with children screening unit.
- (3) The chief executive may also give the NDIS worker screening unit or working with children screening unit other information about the person if the chief executive reasonably believes the information is relevant to the functions of the screening unit under the corresponding law or corresponding WWC law.
- (4) However, the chief executive must not give the NDIS worker screening unit or working with children screening unit—
- (a) a section 93A transcript, or information contained in a section 93A transcript; or
  - (b) a transcript of a recorded statement or information contained in a transcript of a recorded statement.
- (5) In this section—

***corresponding WWC law*** means a law of another State that substantially corresponds to the Working with Children Act.

***interstate working with children authority*** means an authority, however called, issued under a corresponding WWC law that corresponds to a working with children authority under the Working with Children Act.

***working with children screening unit*** means the entity responsible, under a corresponding WWC law, for issuing interstate working with children authorities.

### **138ZI Giving information to NDIS commission**

- (1) The chief executive may give information about a person to the NDIS commission—
  - (a) under an arrangement between the chief executive and the NDIS commission, for the purpose of the information—
    - (i) being included in the NDIS worker screening database; or
    - (ii) being communicated to the person, or a notifiable person for the person, by the NDIS commission, including, for example, electronically through the NDIS worker screening database; or
  - (b) if the chief executive reasonably believes the information is otherwise relevant to the functions of the NDIS commission.
- (2) Without limiting subsection (1), the information may include—
  - (a) information about an NDIS worker screening application made by a person; and
  - (b) information about an NDIS clearance issued to a person, including the suspension or cancellation of the clearance; and
  - (c) information about an NDIS exclusion issued to a person, including the cancellation of the exclusion; and

- (d) information about a notice given to a person that relates to an NDIS worker screening application made by the person or an NDIS clearance or NDIS exclusion issued to the person.
- (3) For subsection (1)(a), information is given to the NDIS commission if the information is entered into, or uploaded to, the NDIS worker screening database.

## **Subdivision 8      Giving information about person engaged in State disability work to particular entities**

### **138ZJ Authorised entities for person**

Each of the following is an *authorised entity* for a person—

- (a) a funded service provider (the person's *engaging provider*) if—
  - (i) the service provider has notified the chief executive under this Act that the service provider engages, or proposes to engage, the person to carry out State disability work; and
  - (ii) neither the service provider nor the person has notified the chief executive otherwise;
- (b) another person the chief executive accepts is an authorised representative of the person's engaging provider;
- (c) another person who is a notifiable person for the person;
- (d) an entity to whom the chief executive is required to, or may, give a notice about the person under this Act that relates to—
  - (i) a State disability worker screening application made by the person; or
  - (ii) a State clearance or State exclusion issued to the person.

**138ZK Chief executive may give authorised entities particular information**

- (1) The chief executive may give an authorised entity for a person information about—
  - (a) a State disability worker screening application made by the person; or
  - (b) a State clearance or State exclusion issued to the person; or
  - (c) a notice about the person given, or required to be given, to the authorised entity under this Act that relates to—
    - (i) a State worker screening check application made by the person; or
    - (ii) a State clearance or State exclusion held by the person.
- (2) The chief executive may give the information under subsection (1) by allowing the authorised entity to access the information electronically.

**138ZL Use of information obtained under section 138ZK about person**

- (1) This section applies to a person who is given, or accesses, information about a person under section 138ZK.
- (2) The person must not use the information, or disclose or give access to the information to anyone else, unless the use, disclosure or giving of access is allowed under subsection (3).  
Maximum penalty—100 penalty units.
- (3) The person may use the information, or disclose or give access to the information to another person, if the use, disclosure or giving of access—
  - (a) is to identify, assess or monitor a risk, or potential risk, of harm to a person or people with disability in relation to the person to whom the information relates carrying out disability work; or

- (b) is to establish whether or not the person to whom the information relates—
    - (i) has made a State disability worker screening application; or
    - (ii) has been issued a State clearance or State exclusion; or
  - (c) is to comply with an obligation under this Act; or
  - (d) happens with the consent of the person to whom the information relates; or
  - (e) is required to lessen or prevent a serious threat to the life, health, safety or welfare of an individual, or the health, safety or welfare of the public; or
  - (f) is required by a law enforcement agency to prevent, detect, investigate, prosecute or punish an offence; or
  - (g) is required for a proceeding in a court or a tribunal; or
  - (h) is authorised under a regulation or another law.
- (4) In this section—

***law enforcement agency*** means—

- (a) an entity mentioned in the *Information Privacy Act 2009*, schedule 5, definition *law enforcement agency*, paragraph (b); or
- (b) an enforcement body within the meaning of the *Privacy Act 1988* (Cwlth).

## Subdivision 9      Other provisions

### **138ZM Chief executive may advise whether clearance or interstate NDIS clearance is in force**

- (1) This section applies if a person (the ***requester***)—
  - (a) asks the chief executive whether, when the request is made, a clearance or interstate NDIS clearance held by another person is in force; and

- (b) in making the request, gives the chief executive—
    - (i) the other person's name in which the person's clearance is issued; and
    - (ii) the number of the other person's clearance; and
  - (c) makes the request in the approved form and in an approved way.
- (2) The chief executive may tell the requester whether or not the other person's clearance or interstate NDIS clearance is in force at the time.

### **138ZN Arrangements with chief executive (working with children) about asking for and giving information**

- (1) The chief executive must enter into a written arrangement with the chief executive (working with children) about—
- (a) asking the chief executive (working with children) for information, or giving the chief executive (working with children) information, under this part or the Working with Children Act; and
  - (b) the chief executive (working with children) asking the chief executive, or giving the chief executive information, under this part or the Working with Children Act.
- (2) Without limiting subsection (1), the arrangement may provide—
- (a) for information to be asked for and given electronically, including on a daily basis; or
  - (b) for information to be given by way of providing electronic access to the information.
- (3) If the arrangement provides for information to be given or accessed electronically and, under this Act or another law, there is a limitation on who may be given the information or access to the information or the purposes for which the information may be used, the arrangement must provide for the limitation.



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**138ZO Arrangements with police commissioner or other entity about asking for and giving information**

- (1) The chief executive may enter into a written arrangement with the police commissioner or another entity about asking for, or giving, information under this part.
- (2) Without limiting subsection (1), the arrangement may provide—
  - (a) for information to be asked for and given electronically, including on a daily basis; or
  - (b) for information to be given by way of providing electronic access to the information.
- (3) If the arrangement provides for information to be given or accessed electronically and, under this Act or another law, there is a limitation on who may be given the information or access to the information or the purposes for which the information may be used, the arrangement must provide for the limitation.

**138ZP Guidelines for dealing with information**

- (1) The chief executive must make guidelines, consistent with this Act, for dealing with information the chief executive obtains under this part.
- (2) The purpose of the guidelines is to ensure that, in the performance of the chief executive's screening functions—
  - (a) natural justice is afforded to persons about whom information is obtained; and
  - (b) only relevant information is used to make decisions under this part; and
  - (c) decisions under this part, based on the information, are made consistently.
- (3) The chief executive must give a copy of the guidelines to a person, free of charge, on request.

### **138ZQ Chief executive must give person's current address to police commissioner**

- (1) If the police commissioner is required to give a notice to a person under this part, the police commissioner may, by notice—
  - (a) state the person's address or addresses known to the police commissioner; and
  - (b) ask whether the chief executive knows other information about the person's address.
- (2) The chief executive must give the police commissioner other information about the person's address known to the chief executive.
- (3) The information must not be used, disclosed or accessed for a purpose other than to give a notice to the person under this part.

## **Division 9                      Review and appeal**

### **138ZR Reviewable decisions and affected persons**

- (1) Each of the following decisions of the chief executive is a *reviewable decision*—
  - (a) a decision to issue an exclusion to a person;
  - (b) a decision, on the application of a person under section 85(3), not to end the interim bar imposed on the person;
  - (c) a decision under section 116(4)(b) not to end the suspension of the person's clearance;
  - (d) a decision, on the application of a person under section 130 to cancel the person's exclusion, to refuse the application.
- (2) A person mentioned in subsection (1) in relation to a reviewable decision is the *affected person* for the decision.

### **138ZS Review process must start with internal review**

An affected person for a reviewable decision may apply to the tribunal for a review of the decision only if—

- (a) the affected person has applied for an internal review of the decision; and
- (b) the internal review application has been decided, or is taken to have been decided, under this division.

### **138ZT Who may apply for internal review**

- (1) An affected person for a reviewable decision may apply to the chief executive for a review of the decision under this division (an *internal review*).
- (2) However, if the chief executive made the reviewable decision because the affected person is a disqualified person, the affected person may apply for an internal review of the decision only on the ground that the chief executive mistakenly identified the person as a disqualified person.
- (3) If an affected person for a reviewable decision has not been given a notice about the decision and the reasons for the decision (an *information notice*), the affected person may ask the chief executive for a notice about the decision and reasons.
- (4) A failure by the chief executive to give the affected person an information notice about the reviewable decision does not limit or otherwise affect the person's right to apply for an internal review of the decision.

### **138ZU Requirements for application**

- (1) An application for internal review of a reviewable decision must—
  - (a) be made in the approved form and in an approved way; and
  - (b) be made to the chief executive within—

- (i) for a person who has been given an information notice for the decision—28 days after the day the person is given the notice; or
- (ii) for a person who has not been given an information notice for the decision—28 days after the day the person becomes aware of the decision.

*Note—*

See also section 138L for the circumstances in which a person may apply for internal review of a reviewable decision after the day mentioned in this section.

- (2) The chief executive may, at any time, extend the period within which the application may be made.
- (3) The application does not affect the operation of the reviewable decision or prevent the decision being implemented.
- (4) In this section—

*information notice*, for a reviewable decision, see section 138ZT(3).

### **138ZV Internal review**

- (1) The chief executive must, within 28 days after receiving an application for internal review of a reviewable decision—
  - (a) review the reviewable decision; and
  - (b) decide to—
    - (i) confirm the reviewable decision; or
    - (ii) substitute another decision for the reviewable decision; and
  - (c) give the affected person for the reviewable decision a QCAT information notice for the chief executive's decision.
- (2) The chief executive may give the affected person notice extending, for a further 28 days, the period for the chief executive to comply with subsection (1).
- (3) The application may be dealt with only by a person who—

- (a) did not make the reviewable decision; and
  - (b) holds a more senior office than the person who made the reviewable decision.
- (4) Subsection (3) does not apply to a reviewable decision made by the chief executive personally.
- (5) If the chief executive does not give the affected person a QCAT information notice within the period required under subsection (1) or a longer period notified under subsection (2), the chief executive is taken to confirm the reviewable decision.

### **138ZW Applying for external review**

- (1) This section applies to a person who must be given a QCAT information notice for an internal review decision.
- (2) The person may apply to the tribunal, as provided under the QCAT Act, for a review of the internal review decision.

### **138ZX Stay of operation of particular tribunal decisions**

- (1) This section applies if, on an application for a review of an internal review decision under section 138ZW, the tribunal sets aside the internal review decision and decides to—
  - (a) issue a clearance to a person; or
  - (b) end an interim bar imposed on a person; or
  - (c) end the suspension of a person's clearance.
- (2) The tribunal's decision does not take effect until—
  - (a) the end of the period within which an appeal against the tribunal's decision may be started; or
  - (b) if an appeal against the tribunal's decision is started—the appeal is decided or withdrawn.
- (3) This section applies despite the QCAT Act, sections 145 and 152.

### **138ZY Effect of applicant for review becoming disqualified person**

- (1) This section applies if—
  - (a) an affected person for a reviewable decision applies—
    - (i) to the chief executive for an internal review of the decision; or
    - (ii) to the tribunal for a review of an internal review decision; and
  - (b) after making the application, the affected person becomes a disqualified person.
- (2) The application and any proceeding that relates to the application must be dismissed.
- (3) Subsection (2) applies to a proceeding before the tribunal, even if dismissal would be contrary to a direction of the Court of Appeal.
- (4) Any appeal from a decision of the tribunal that relates to the application must also be dismissed.

## **Division 10                      Miscellaneous provisions**

### **138ZZ False or misleading information**

A person must not, for this part, give information, or a document containing information, that the person knows is false or misleading in a material particular to—

- (a) the chief executive; or
- (b) an NDIS service provider or funded service provider that engages, or is proposing to engage, the person.

Maximum penalty—100 penalty units or 2 years imprisonment.

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**138ZZA Chief executive may arrange for use of information system**

- (1) The chief executive may approve a system (an *information system*) for—
  - (a) generating, sending, receiving, storing or otherwise processing electronic communications between the chief executive and another person under this part; or
  - (b) generating a decision of the chief executive under this part, other than a decision—
    - (i) that requires the chief executive to conduct a risk assessment of a person before making the decision; or
    - (ii) prescribed by regulation as a decision that may not be generated by the information system.
- (2) The chief executive must take all reasonable steps to ensure that a decision generated by the information system is correct.
- (3) A decision generated by the information system is taken to be a decision made by the chief executive under this part.
- (4) The chief executive may make a decision in substitution for a decision the chief executive is taken to have made under subsection (3) if the chief executive is satisfied that the decision generated by the information system is incorrect.

**138ZZB Notice given to notifiable person by NDIS commission**

- (1) This section applies if—
  - (a) another provision of this part requires the chief executive to give a notice about a person to a notifiable person for the person; and
  - (b) the person—
    - (i) is the applicant for an NDIS worker screening application; or

- (ii) holds an NDIS clearance, NDIS exclusion, interstate NDIS clearance or interstate NDIS exclusion.
- (2) Despite the other provision, the chief executive is not required to give the notice to the notifiable person if the chief executive, under an arrangement mentioned in section 138ZI(1)(a)—
  - (a) has given the NDIS commission the information about the person that is required, under the provision, to be included in the notice; and
  - (b) is satisfied the information has been, or will be, communicated to the notifiable person by the NDIS commission, including, for example, electronically through the NDIS worker screening database.
- (3) For this part, information about a person communicated to a notifiable person by the NDIS commission as mentioned in subsection (2) is taken to have been given to the notifiable person in a notice by the chief executive under the other provision.

## **Part 6                      Positive behaviour support and restrictive practices**

### **Division 1                Preliminary**

#### **139     Purpose of part**

The purpose of this part is to protect the rights of adults with an intellectual or cognitive disability by—

- (a) stating principles to be taken into account by relevant service providers in providing disability services or NDIS supports or services to those adults with behaviour that causes harm to themselves or others; and



- (b) regulating the use of restrictive practices by relevant service providers in relation to those adults in a way that—
  - (i) has regard to the human rights of those adults; and
  - (ii) safeguards them and others from harm; and
  - (iii) maximises the opportunity for positive outcomes and aims to reduce or eliminate the need for use of the restrictive practices; and
  - (iv) ensures transparency and accountability in the use of the restrictive practices.

## 140 Application of part

- (1) This part applies in relation to the following service providers that provide disability services or NDIS supports or services to an adult with an intellectual or cognitive disability—
  - (a) an NDIS service provider;
  - (b) a funded service provider;
  - (c) the department;
  - (d) another service provider prescribed by regulation for this section.
- (2) However, this part does not apply in relation to a service provider—
  - (a) prescribed by regulation; or
  - (b) to the extent the service provider is providing disability services or NDIS supports or services prescribed by regulation.
- (3) A service provider is a ***relevant service provider*** to the extent this part applies in relation to the provider under subsections (1) and (2).
- (4) To remove any doubt, it is declared that this part applies in relation to a relevant service provider in relation to the provision of disability services or NDIS supports or services to all adults with an intellectual or cognitive disability

receiving disability services or NDIS supports or services from the provider even if particular disability services or NDIS supports or services are not provided with funding received from the Commonwealth or the State.

*Note—*

For when this part applies to a forensic disability client, see also the *Forensic Disability Act 2011*, section 47.

## **141 Principles for performing functions etc.**

- (1) This section applies to an entity, including a relevant service provider, that performs a function, or exercises a power, under this part.
- (2) Despite section 17, the entity must have regard to the human rights principle in performing the function or exercising the power.

## **142 Principles for providing disability services or NDIS supports or services to particular adults**

- (1) This section applies to an adult with an intellectual or cognitive disability if the adult's behaviour causes harm to the adult or others.
- (2) A relevant service provider must provide disability services or NDIS supports or services to the adult in a way that—
  - (a) promotes the adult's—
    - (i) development and physical, mental, social and vocational ability; and
    - (ii) opportunities for participation and inclusion in the community; and
  - (b) responds to the adult's needs and goals; and
  - (c) ensures the adult and their family and friends are given an opportunity to participate in the development of strategies for the care and support of the adult; and
  - (d) involves—

- 
- (i) positive behaviour support planning informed by evidence-based best practice; and
    - (ii) the implementation of strategies, to produce behavioural change, focussed on skills development and environmental design; and
  - (e) ensures transparency and accountability in the use of restrictive practices; and
  - (f) recognises that restrictive practices should only be used—
    - (i) when necessary to prevent harm to the adult or others; and
    - (ii) if the use is the least restrictive way of ensuring the safety of the adult or others; and
  - (g) recognises that restrictive practices should not be used punitively or in response to behaviour that does not cause harm to the adult or others; and
  - (h) aims to reduce the intensity, frequency and duration of the adult's behaviour that causes harm to the adult or others; and
  - (i) aims to reduce or eliminate the need to use restrictive practices; and
  - (j) if there is a positive behaviour support plan for the adult—ensures restrictive practices are only used consistent with the plan.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

## **143 Explanation of operation of pt 6**

- (1) This part states the circumstances in which a relevant service provider is authorised under this part to use a restrictive practice in relation to an adult with an intellectual or cognitive disability.

- (2) Subsections (3) and (4) explain generally the circumstances in which a relevant service provider may be authorised under this part to use a restrictive practice in relation to an adult with an intellectual or cognitive disability.
- (3) Use of the restrictive practice may be authorised if the following are satisfied—
  - (a) for containment or seclusion, or an associated restrictive practice—
    - (i) a positive behaviour support plan is prepared for the adult by the chief executive; and
    - (ii) use of the restrictive practice is approved by the tribunal;
  - (b) for any other restrictive practice—
    - (i) a positive behaviour support plan is prepared for the adult by the relevant service provider; and
    - (ii) use of the restrictive practice is consented to by a guardian for a restrictive practice (general) matter for the adult or, in some cases, an informal decision-maker for the adult;
  - (c) for all restrictive practices, use of the restrictive practice—
    - (i) is necessary to prevent the adult's behaviour causing harm to the adult or others; and
    - (ii) is the least restrictive way of ensuring the safety of the adult or others; and
    - (iii) complies with the positive behaviour support plan for the adult.

*Note—*

Some other requirements must also be complied with for the relevant service provider to be authorised to use the restrictive practice. See section 151 (for containment or seclusion) or 166 (for use of other restrictive practices).

- (4) Also, use of a restrictive practice may be authorised if—

- (a) the restrictive practice is used in the course of providing respite services or community access services to the adult; or
- (b) there is an immediate and serious risk of harm to the adult or others.

*Note—*

See—

- sections 152, 167 and 168 for use of restrictive practices in the course of providing respite services or community access services
- sections 153 and 170 for use of restrictive practices if there is an immediate and serious risk of harm to the adult or others.

- (5) In this section—

***associated restrictive practice*** means a restrictive practice other than containment or seclusion, relating to the adult who is the subject of a containment or seclusion approval.

## 144 Definitions for part

In this part—

***adult with an intellectual or cognitive disability*** means an adult with a disability who has a condition attributable to an intellectual or cognitive impairment, or a combination of the impairments.

***appropriately qualified*** see section 149.

***assessment*** see section 148(3).

***authorised psychiatrist*** see the *Mental Health Act 2016*, schedule 3.

***chemical restraint*** see section 145.

***chemical restraint (fixed dose)*** means chemical restraint using medication that is administered at fixed intervals and times.

***chief psychiatrist*** see the *Mental Health Act 2016*, schedule 3.

***community access services*** means disability services or NDIS supports or services that are—

- (a) community access services provided to an adult with an intellectual or cognitive disability who does not receive disability services or NDIS supports or services other than respite services or community access services from a relevant service provider; and
- (b) funded as community access services by the department or the Commonwealth, including under the *National Disability Insurance Scheme Act 2013* (Cwlth).

**contain** see section 146.

**containment or seclusion approval** means approval given by the tribunal under the GAA, chapter 5B, part 2 for a relevant service provider to contain or seclude, or use another restrictive practice in relation to, an adult with an intellectual or cognitive disability.

**decision notice**, for a decision of the chief executive, means a notice stating the following—

- (a) the decision and the reasons for it;
- (b) that the entity to which the notice is given may apply for review of the decision under section 187 within 28 days after the entity receives the notice;
- (c) how to apply for review of the decision.

**GAA** means the *Guardianship and Administration Act 2000*.

**guardian for a restrictive practice (general) matter**, for an adult with an intellectual or cognitive disability, means a guardian for a restrictive practice (general) matter appointed for the adult under the GAA, chapter 5B.

**guardian for a restrictive practice matter**, for an adult with an intellectual or cognitive disability, means a guardian for a restrictive practice (general) matter, or a guardian for a restrictive practice (respite) matter, for the adult.

**guardian for a restrictive practice (respite) matter**, for an adult with an intellectual or cognitive disability, means a guardian for a restrictive practice (respite) matter appointed for the adult under the GAA, chapter 5B.

***harm*** to a person means—

- (a) physical harm to the person; or
- (b) a serious risk of physical harm to the person; or
- (c) damage to property involving a serious risk of physical harm to the person.

***informal decision-maker***, for an adult with an intellectual or cognitive disability, means a member of the adult's support network, other than a paid carer for the adult within the meaning of the GAA.

***least restrictive***, for use of a restrictive practice in relation to an adult with an intellectual or cognitive disability, means use of the restrictive practice—

- (a) ensures the safety of the adult or others; and
- (b) having regard to paragraph (a), imposes the minimum limits on the freedom of the adult as is practicable in the circumstances.

***mechanical restraint*** see section 147.

***model positive behaviour support plan*** means a plan of that name prepared by the chief executive and published on the department's website.

***multidisciplinary assessment*** see section 148(4).

***physical restraint***, of an adult with an intellectual or cognitive disability, means the use, for the primary purpose of controlling the adult's behaviour, of any part of another person's body to restrict the free movement of the adult in response to the adult's behaviour that causes harm to the adult or others.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

***positive behaviour support plan*** see section 150.

***relevant decision-maker (respite)***, for an adult with an intellectual or cognitive disability, means—

- (a) a guardian for a restrictive practice (respite) matter for the adult; or
- (b) for a restrictive practice other than chemical restraint used under section 167, if there is no guardian for a restrictive practice (respite) matter for the adult—an informal decision-maker for the adult.

***respite/community access plan*** see section 181(2).

***respite services*** means disability services or NDIS supports or services that are—

- (a) respite services provided to an adult with an intellectual or cognitive disability who does not receive disability services or NDIS supports or services other than respite services or community access services from a relevant service provider; and
- (b) funded as respite services by the department or the Commonwealth, including under the *National Disability Insurance Scheme Act 2013* (Cwlth).

***restricting access***, of an adult with an intellectual or cognitive disability, means restricting the adult's access, at a place where the adult receives disability services or NDIS supports or services, to an object in response to the adult's behaviour that causes harm to the adult or others to prevent the adult using the object to cause harm to the adult or others.

*Examples—*

- if an adult has a history of using knives to cause harm to others, locking a drawer in which knives are kept to prevent the adult using the knives to cause harm
- if an adult has Prader-Willi syndrome, restricting the adult's access to a particular cupboard or particular parts of the fridge to prevent the adult eating in a way that is likely to harm the adult

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

***restrictive practice*** means any of the following practices used to respond to the behaviour of an adult with an intellectual or cognitive disability that causes harm to the adult or others—



- (a) containing or secluding the adult;
- (b) using chemical, mechanical or physical restraint on the adult;
- (c) restricting access of the adult.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

***seclude*** an adult with an intellectual or cognitive disability means physically confine the adult alone, at any time of the day or night, in a room or area from which free exit is prevented in response to the adult's behaviour that causes harm to the adult or others.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

***short term approval*** means an approval given by—

- (a) the public guardian under the GAA, chapter 5B, part 4;  
or
- (b) the chief executive under section 178.

## 145 Meaning of ***chemical restraint***

- (1) ***Chemical restraint***, of an adult with an intellectual or cognitive disability, means the use of medication for the primary purpose of controlling the adult's behaviour in response to the adult's behaviour that causes harm to the adult or others.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

- (2) However, the following are not chemical restraint—
  - (a) using medication for the proper treatment of a diagnosed mental illness or physical condition;

- (b) using medication, for example a sedative, prescribed by a medical practitioner to facilitate or enable the adult to receive a single instance of health care under the GAA.

*Example of when subsection (2)(b) applies—*

sedating an adult before attending a dentist appointment is not chemical restraint

- (3) To remove any doubt, it is declared that an intellectual or cognitive disability is not a physical condition.
- (4) In this section—

***diagnosed***, for a mental illness or physical condition, means a doctor confirms the adult has the illness or condition.

***mental illness*** see the *Mental Health Act 2016*, section 10.

## 146 Meaning of ***contain***

- (1) ***Contain*** an adult with an intellectual or cognitive disability means physically prevent the free exit of the adult from premises where the adult receives disability services or NDIS supports or services, other than by secluding the adult, in response to the adult's behaviour that causes harm to the adult or others.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

- (2) However, the adult is not contained if—
  - (a) the adult is an adult with a skills deficit under part 8, division 2; and
  - (b) the adult's free exit from the premises is prevented by the locking of gates, doors or windows under that part.
- (3) In this section—

***premises*** includes the land around a building or other structure, but does not include a vehicle.

## **147 Meaning of *mechanical restraint***

- (1) ***Mechanical restraint***, of an adult with an intellectual or cognitive disability, means the use, for the primary purpose of controlling the adult's behaviour, of a device in response to the adult's behaviour that causes harm to the adult or others to—

- (a) restrict the free movement of the adult; or
- (b) prevent or reduce self-injurious behaviour.

*Note—*

Harm to a person includes physical harm to the person and a serious risk of physical harm to the person. See section 144, definition *harm*.

- (2) However, the following are not mechanical restraint—

- (a) using a device to enable the safe transportation of the adult;

*Examples of devices used to enable safe transportation—*

- a cover over a seat belt buckle
- a harness or strap

- (b) using a device for postural support;
- (c) using a device to prevent injury from involuntary bodily movements, such as seizures;
- (d) using a surgical or medical device for the proper treatment of a physical condition;
- (e) using bed rails or guards to prevent injury while the adult is asleep.

## **Division 2                      Important concepts for using restrictive practices**

### **148 Assessment of an adult**

- (1) Before a restrictive practice can be used in relation to an adult with an intellectual or cognitive disability, the adult must be assessed.

- (2) However, an assessment is not required if the restrictive practice is used—
- (a) under a short term approval; or
  - (b) in the course of providing respite services or community access services to the adult.

*Note—*

Although an assessment is not required for using restrictive practices in the course of providing respite services or community access services, relevant service providers must comply with division 5.

- (3) For this part, an **assessment** of an adult with an intellectual or cognitive disability is an assessment by 1 or more persons, as provided for under subsections (4) to (6), for the following purposes—
- (a) making findings about the nature, intensity, frequency and duration of the behaviour of the adult that causes harm to the adult or others;
  - (b) developing theories about the factors that contribute to the adult's behaviour mentioned in paragraph (a);

*Examples of factors that might contribute to the behaviour—*

- biological factors, such as genetic predispositions
  - psychological or cognitive factors, such as low communication skills
  - social factors, such as the adult's surroundings
  - medical conditions
- (c) making recommendations about appropriate strategies for—
- (i) meeting the adult's needs and improving the adult's capabilities and quality of life; and
  - (ii) reducing the intensity, frequency and duration of the adult's behaviour that causes harm to the adult or others; and
  - (iii) managing the adult's behaviour that causes harm to the adult or others to minimise the risk of harm.

- (4) For containing or secluding an adult under section 151, the adult must be assessed by 2 or more appropriately qualified persons who have qualifications or experience in different disciplines (a ***multidisciplinary assessment***).
- (5) For using chemical, mechanical or physical restraint under section 166 on an adult, the adult must be assessed by at least 1 appropriately qualified person.
- (6) For restricting access of an adult under section 166, the adult must be assessed by the relevant service provider proposing to restrict the adult's access.

#### **149 Who is *appropriately qualified* to assess an adult**

For assessing an adult with an intellectual or cognitive disability, a person is ***appropriately qualified*** if the person has the qualifications or experience appropriate to conduct the assessment.

*Examples of who might be appropriately qualified persons—*

behaviour analysts, medical practitioners, psychologists, psychiatrists, speech and language pathologists, occupational therapists, registered nurses, social workers

#### **150 What is a *positive behaviour support plan***

- (1) A ***positive behaviour support plan***, for an adult with an intellectual or cognitive disability, is a plan that describes the strategies to be used to—
  - (a) meet the adult's needs; and
  - (b) support the adult's development of skills; and
  - (c) maximise opportunities through which the adult can improve their quality of life; and
  - (d) reduce the intensity, frequency and duration of the adult's behaviour that causes harm to the adult or others.
- (2) A positive behaviour support plan, for an adult with an intellectual or cognitive disability, must include at least each of the following—

- (a) in relation to previous behaviour of the adult that has caused harm to the adult or others, a description of—
  - (i) the intensity, frequency and duration of the behaviour; and
  - (ii) the consequences of the behaviour; and
  - (iii) the early warning signs and triggers for the behaviour, if known;
- (b) the positive strategies that must be attempted before using a restrictive practice, including the community access arrangements in place for the adult;
- (c) for each restrictive practice proposed to be used in relation to the adult—
  - (i) the circumstances in which the restrictive practice is to be used; and
  - (ii) a demonstration of why use of the restrictive practice is the least restrictive way of ensuring the safety of the adult or others; and
  - (iii) the procedure for using the restrictive practice, including observations and monitoring, that must happen while the restrictive practice is being used; and
  - (iv) any other measures that must happen while the restrictive practice is being used that are necessary to ensure—
    - (A) the adult's proper care and treatment; and
    - (B) the adult is safeguarded from abuse, neglect and exploitation; and
  - (v) a description of the anticipated positive and negative effects on the adult of using the restrictive practice; and
  - (vi) the intervals at which use of the restrictive practice will be reviewed by the relevant service provider using the restrictive practice;

- (d) for seclusion—the maximum period for which seclusion may be used at any 1 time and the maximum frequency of the seclusion;
  - (e) for chemical restraint—
    - (i) the name of the medication to be used and any available information about the medication, including, for example, information about possible side effects; and
    - (ii) the dose, route and frequency of administration, including, for medication to be administered as and when needed, the circumstances in which the medication may be administered, as prescribed by the adult’s treating doctor; and
    - (iii) if the adult’s medication has previously been reviewed by the adult’s treating doctor—the date of the most recent medication review; and
    - (iv) the name of the adult’s treating doctor;
  - (f) for mechanical or physical restraint—the maximum period for which the restraint may be used at any 1 time.
- (3) For subsection (2)(c)(vi), use of a restrictive practice must be reviewed—
- (a) for a restrictive practice not used under a containment or seclusion approval—at least once during each 12-month period; or
  - (b) for a restrictive practice used under a containment or seclusion approval—when required by the chief executive, and at least once during the period of the approval.

## **Division 3                      Containment and seclusion**

### **Subdivision 1              Requirements for containing or secluding an adult**

#### **150A    Authorisation of containment or seclusion**

A relevant service provider is authorised under this part to contain or seclude an adult with an intellectual or cognitive disability if—

- (a) for an adult who must be assessed under section 148—the assessment has been carried out; and
- (b) the relevant service provider may contain or seclude the adult under sections 151 to 154; and
- (c) for an adult who is the subject of a containment or seclusion approval—any change to the adult’s positive behaviour support plan has been made by the chief executive under subdivision 3.

#### **151    Containing or secluding an adult under containment or seclusion approval**

- (1) A relevant service provider may contain or seclude an adult with an intellectual or cognitive disability if—
  - (a) the containment or seclusion is necessary to prevent the adult’s behaviour causing harm to the adult or others; and
  - (b) the containment or seclusion is the least restrictive way of ensuring the safety of the adult or others; and
  - (c) the containment or seclusion complies with—
    - (i) a containment or seclusion approval; and
    - (ii) if the containment or seclusion approval is not given as an interim order under the GAA, section 80ZR—a positive behaviour support plan



for the adult developed by the chief executive under this division; and

- (d) the relevant service provider complies with section 165.
- (2) For subsection (1)(c)(ii), the adult is not contained or secluded in compliance with the positive behaviour support plan if the relevant service provider does not implement the positive strategies stated in the plan.
- (3) It is not necessary to obtain the adult's consent to the containment or seclusion of the adult under this section.

## **152 Containing or secluding an adult for respite services or community access services**

- (1) A relevant service provider may contain or seclude an adult with an intellectual or cognitive disability in the course of providing respite services or community access services to the adult if—
  - (a) the containment or seclusion is necessary to prevent the adult's behaviour causing harm to the adult or others; and
  - (b) the containment or seclusion is the least restrictive way of ensuring the safety of the adult or others; and
  - (c) before containing or secluding the adult, the relevant service provider complies with the requirements under division 5; and
  - (d) the containment or seclusion complies with—
    - (i) the consent of a guardian for a restrictive practice (respite) matter for the adult; and
    - (ii) a respite/community access plan for the adult; and
  - (e) the relevant service provider complies with section 165.
- (2) For subsection (1)(d)(ii), the adult is taken not to be contained or secluded in compliance with the respite/community access plan if the relevant service provider does not implement the positive strategies stated in the plan.

- (3) This section does not apply if the adult is the subject of a containment or seclusion approval.

### **153     Containing or secluding an adult under short term approval**

- (1) A relevant service provider may contain or seclude an adult with an intellectual or cognitive disability if—
- (a) there is an immediate and serious risk of harm to the adult or others; and
  - (b) the containment or seclusion is necessary to prevent the adult's behaviour causing harm to the adult or others; and
  - (c) the containment or seclusion is the least restrictive way of ensuring the safety of the adult or others; and
  - (d) the containment or seclusion complies with a short term approval given by the public guardian under the GAA, chapter 5B, part 4; and
  - (e) the relevant service provider complies with section 165.
- (2) It is not necessary to obtain the adult's consent to the containment or seclusion of the adult under this section.

### **154     Containing or secluding an adult before decision on short term approval**

- (1) A relevant service provider may contain or seclude an adult with an intellectual or cognitive disability if—
- (a) the relevant service provider has asked the public guardian, in writing, for an approval under the GAA, chapter 5B, part 4 to contain or seclude the adult and the request has not been decided; and
  - (b) the containment or seclusion—
    - (i) is necessary to prevent the adult's behaviour causing harm to the adult or others; and

- (ii) is the least restrictive way of ensuring the safety of the adult or others; and
  - (c) a positive behaviour support plan or a respite/community access plan for the adult is being implemented; and
  - (d) the relevant service provider complies with section 165.
- (2) However, the relevant service provider may only contain or seclude the adult until the earlier of the following—
  - (a) the relevant service provider is given notice about the public guardian’s decision on the request;
  - (b) 30 days after the relevant service provider asks for the short term approval.
- (3) It is not necessary to obtain the adult’s consent to the containment or seclusion of the adult under this section.

## **Subdivision 2      Multidisciplinary assessment and development of positive behaviour support plan**

### **155      Application of sdiv 2**

This subdivision applies if—

- (a) a relevant service provider notifies the chief executive that the relevant service provider wishes to contain or seclude an adult with an intellectual or cognitive disability, other than in the course of providing respite services or community access services to the adult; and
- (b) the adult is not the subject of a containment or seclusion approval.

*Notes—*

- 1 Under the GAA, chapter 5B, a relevant service provider other than the department must apply for a containment or seclusion approval jointly with the chief executive.

- 2 If the adult is the subject of an existing containment or seclusion approval, see subdivision 3 for changing the adult's positive behaviour support plan.

## **156 Chief executive to decide whether multidisciplinary assessment of adult will be conducted**

- (1) The chief executive must decide whether a multidisciplinary assessment of the adult will be conducted.
- (2) The chief executive may decide a multidisciplinary assessment of the adult will be conducted if the chief executive considers it may be necessary for the relevant service provider to contain or seclude the adult to safeguard the adult or others from harm.
- (3) In deciding whether a multidisciplinary assessment of the adult will be conducted, the chief executive must consult with, and consider the views of, the following entities—
  - (a) the adult;
  - (b) if the adult has a guardian or informal decision-maker—the guardian or informal decision-maker;
  - (c) each relevant service provider providing disability services or NDIS supports or services to the adult;
  - (d) if the chief executive is aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*—the authorised psychiatrist responsible for treating the adult under that Act;
  - (e) if the chief executive is aware the adult is a forensic disability client—a senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*;
  - (f) any other person considered by the chief executive to be integral to the chief executive's decision.

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*Example—*

a family member who is part of the adult's support network, a key health care provider or an advocate for the adult

- (4) Despite subsection (3)(b), the chief executive is not required to consult with a person who is an informal decision-maker for the adult if—
- (a) the chief executive is not, and could not reasonably be expected to be, aware the person is an informal decision-maker for the adult; or
  - (b) after taking all reasonable steps, the chief executive can not locate the person.

## **157 Notice of decision not to conduct assessment**

If the chief executive decides a multidisciplinary assessment of the adult will not be conducted, the chief executive must give the following entities a decision notice about the decision—

- (a) the relevant service provider;
- (b) the adult;
- (c) a guardian or informal decision-maker for the adult who was consulted by the chief executive under section 156(3).

## **158 Development of positive behaviour support plan following assessment**

- (1) This section applies if a multidisciplinary assessment of the adult is conducted.
- (2) The chief executive must develop a positive behaviour support plan for the adult if, having regard to the findings, theories and recommendations of the persons who assessed the adult, the chief executive is satisfied—
  - (a) the adult's behaviour has previously resulted in harm to the adult or others; and

- (b) it is necessary for the relevant service provider to contain or seclude the adult to safeguard the adult or others from harm; and
  - (c) containing or secluding the adult is the least restrictive way of ensuring the safety of the adult or others.
- (3) The positive behaviour support plan must provide for the use of any restrictive practice, including chemical, mechanical or physical restraint or restricting access, that is—
  - (a) when the plan is developed, proposed to be used in relation to the adult by any relevant service provider; and
  - (b) based on the recommendations of the persons who assessed the adult.
- (4) In developing the positive behaviour support plan, the chief executive must—
  - (a) have regard to a model positive behaviour support plan; and
  - (b) consult with, and consider the views of, each entity that was consulted under section 156(3).
- (5) If the positive behaviour support plan provides for the use of chemical restraint, the chief executive must—
  - (a) consult the adult's treating doctor; and
  - (b) inform the adult's treating doctor about—
    - (i) the findings and theories of the persons who conducted the multidisciplinary assessment about the adult's behaviour that causes harm to the adult or others, including the factors contributing to the behaviour; and
    - (ii) the strategies, including other restrictive practices, proposed to be used for—
      - (A) meeting the adult's needs and improving the adult's capabilities and quality of life; and

- (B) reducing the intensity, frequency and duration of the adult's behaviour that causes harm to the adult or others.
- (6) If the chief executive is not required to develop a positive behaviour support plan for the adult under subsection (2), the chief executive must give the following entities a decision notice about the decision not to develop the plan—
  - (a) the relevant service provider;
  - (b) the adult;
  - (c) a guardian or informal decision-maker for the adult who was consulted under section 156(3).

## **159 Participation of psychiatrist or senior practitioner in development of plan**

- (1) This section applies if—
  - (a) the chief executive is aware the adult is—
    - (i) subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*; or
    - (ii) a forensic disability client; and
  - (b) the chief executive develops a positive behaviour support plan for the adult under this division.
- (2) The chief executive must ensure a following person is given the opportunity to participate in the development of the positive behaviour support plan—
  - (a) for an adult mentioned in subsection (1)(a)(i)—the authorised psychiatrist responsible for treating the adult under the *Mental Health Act 2016*;
  - (b) for an adult mentioned in subsection (1)(a)(ii)—a senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*.

## **Subdivision 3      Changing a positive behaviour support plan**

### **160      Application of sdiv 3**

This subdivision applies if an adult with an intellectual or cognitive disability is the subject of a containment or seclusion approval.

### **161      Who may change positive behaviour support plan**

The positive behaviour support plan for the adult may be changed only by the chief executive.

### **162      When chief executive must decide whether positive behaviour support plan should be changed**

The chief executive must decide whether a change to the adult's positive behaviour support plan should be made if—

- (a) a relevant service provider asks the chief executive, in writing, to make a change to the plan; or
- (b) the chief executive becomes aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*.

*Examples of when a relevant service provider might ask for a change—*

- A new relevant service provider wants to use a restrictive practice in relation to the adult, including chemical, mechanical or physical restraint or restricting access.
- The relevant service provider to which the containment or seclusion approval applies wants to change a detail stated in the positive behaviour support plan for the adult.
- The containment or seclusion approval is about to expire and the relevant service provider to which the approval applies wishes to apply for a new approval.



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**163 Requirements for chief executive's decision about whether change should be made**

- (1) The chief executive may decide a change should be made to the adult's positive behaviour support plan only if satisfied the change would not—
  - (a) increase the risk of the adult's behaviour causing harm to the adult or others; or
  - (b) decrease the extent to which the adult's needs are met or the adult's capabilities and quality of life are improved.
- (2) For deciding whether a change should be made, the chief executive may arrange for all or part of a multidisciplinary assessment to be conducted, or consult any of the entities listed in section 156(3), as the chief executive considers appropriate in the circumstances.
- (3) However, if the chief executive is deciding whether a change should be made because the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*, the chief executive must consult the authorised psychiatrist responsible for treating the adult under that Act.
- (4) Also, if the chief executive is deciding whether a change should be made because the adult is a forensic disability client, the chief executive must consult a senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*.
- (5) Subsection (2) applies subject to any order or direction of the tribunal.

**164 Action of chief executive after deciding whether change should be made**

- (1) If the chief executive decides a change to the adult's positive behaviour support plan should be made, the chief executive must decide whether the change requires a GAA application to be made.
- (2) The change requires the making of a GAA application if it—

- (a) is inconsistent with the terms of an existing containment or seclusion approval; or

*Examples—*

- The existing containment or seclusion approval approves seclusion for periods of not more than 15 minutes. The change is to increase the maximum period to 30 minutes.
- The existing containment or seclusion approval applies to relevant service provider A. The change is for relevant service provider B to use a restrictive practice in relation to the adult.
- The existing containment or seclusion approval is for seclusion. The change is for the additional use of mechanical restraint.

- (b) is requested because an existing containment or seclusion approval is about to expire and the relevant service provider proposes to apply for a further approval.

- (3) If the change does not require the making of a GAA application, the chief executive must make the change and give a copy of the amended plan to the following—

- (a) the tribunal;
- (b) the adult;
- (c) if the adult has a guardian or informal decision-maker—the guardian or informal decision-maker;
- (d) the relevant service provider to which an existing containment or seclusion approval applies.

- (4) However, the chief executive is not required to give a copy of the amended plan to a person who is an informal decision-maker for the adult if—

- (a) the chief executive is not, and could not reasonably be expected to be, aware the person is an informal decision-maker for the adult; or
- (b) after taking all reasonable steps, the chief executive can not locate the person.

(5) As soon as practicable after making the decision mentioned in subsection (1), the chief executive must give a prescribed notice to—

- (a) if the chief executive was required to decide whether a change should be made because of a request made by a relevant service provider—the relevant service provider;  
or

*Note—*

If the chief executive decides the change should not be made and the relevant service provider is dissatisfied with the decision, the relevant service provider could apply to the tribunal under the GAA, section 80ZA for review of the existing containment or seclusion approval.

- (b) if the chief executive was required to decide whether a change should be made because the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*, or is a forensic disability client—
- (i) the relevant director; and
- (ii) the relevant service provider to which an existing containment or seclusion approval applies.

(6) In this section—

***GAA application*** means an application to the tribunal for—

- (a) the giving of a new containment or seclusion approval;  
or
- (b) review of an existing containment or seclusion approval.

***prescribed notice*** means a notice stating the chief executive's decisions about—

- (a) whether a change should be made to the positive behaviour support plan for the adult; and
- (b) if the chief executive decides a change should be made—whether the change requires the making of a GAA application.

***relevant director*** means—

- (a) if the adult is a forensic disability client—the director of forensic disability; or
- (b) otherwise—the chief psychiatrist.

## **Subdivision 4      General requirements for containment or seclusion**

### **165      Relevant service provider to ensure adult's needs are met**

A relevant service provider containing or secluding an adult with an intellectual or cognitive disability must ensure the adult is given each of the following—

- (a) sufficient bedding and clothing;
- (b) sufficient food and drink;
- (c) access to adequate heating and cooling;
- (d) access to toilet facilities;
- (e) the adult's medication as prescribed by a doctor.

## **Division 4              Use of restrictive practices other than containment or seclusion**

### **Subdivision 1        Requirements for using chemical, mechanical or physical restraint, or restricting access**

#### **165A    Authorisation of chemical, mechanical or physical restraint or restricting access**

A relevant service provider is authorised under this part to use chemical, mechanical or physical restraint on, or restrict access of, an adult with an intellectual or cognitive disability if—

- (a) for an adult who must be assessed under section 148—the assessment has been carried out under that section; and
- (b) the relevant service provider may use the restrictive practice under sections 166 to 171; and
- (c) for an adult in relation to whom subdivision 2 applies—any change to the adult’s positive behaviour support plan is made by the relevant service provider under section 174.

**166 Using chemical, mechanical or physical restraint, or restricting access, with consent of guardian etc.**

- (1) A relevant service provider may use chemical, mechanical or physical restraint on, or restrict access of, an adult with an intellectual or cognitive disability if—
  - (a) use of the restrictive practice is necessary to prevent the adult’s behaviour causing harm to the adult or others; and
  - (b) use of the restrictive practice is the least restrictive way of ensuring the safety of the adult or others; and
  - (c) either—
    - (i) if the adult is the subject of a containment or seclusion approval—use of the restrictive practice complies with—
      - (A) the approval; and
      - (B) if the containment or seclusion approval is not given as an interim order under the GAA, section 80ZR—a positive behaviour support plan for the adult developed by the chief executive under division 3; or
    - (ii) otherwise—use of the restrictive practice complies with—

- (A) a positive behaviour support plan for the adult developed by the relevant service provider under this division; and
  - (B) the consent of a relevant decision-maker for the adult.
- (2) For subsection (1)(c), the restrictive practice is not used in compliance with a positive behaviour support plan if the relevant service provider does not implement the positive strategies stated in the plan.
- (3) In this section—  
***relevant decision-maker***, for an adult with an intellectual or cognitive disability, means—
  - (a) a guardian for a restrictive practice (general) matter for the adult; or
  - (b) for restricting access of the adult, if there is no guardian for a restrictive practice (general) matter for the adult—an informal decision-maker for the adult.

**167 Using chemical, mechanical or physical restraint, or restricting access, for respite services or community access services**

- (1) A relevant service provider may use chemical, mechanical or physical restraint on, or restrict access of, an adult with an intellectual or cognitive disability in the course of providing respite services or community access services to the adult if—
  - (a) use of the restrictive practice is necessary to prevent the adult's behaviour causing harm to the adult or others; and
  - (b) use of the restrictive practice is the least restrictive way of ensuring the safety of the adult or others; and
  - (c) before using the restrictive practice, the relevant service provider complies with the requirements under division 5; and
  - (d) use of the restrictive practice complies with—

- (i) the consent of a relevant decision-maker (respite) for the adult; and
  - (ii) a respite/community access plan for the adult.
- (2) For subsection (1)(d)(ii), the restrictive practice is not used in compliance with the respite/community access plan if the relevant service provider does not implement the positive strategies stated in the plan.
- (3) This section does not apply if the adult is the subject of a containment or seclusion approval.
- (4) This section does not apply to chemical restraint (fixed dose) used in the course of providing respite services to the adult.

#### **168 Using chemical restraint (fixed dose) for respite services**

- (1) A relevant service provider may use chemical restraint (fixed dose) on an adult with an intellectual or cognitive disability in the course of providing respite services to the adult if use of the chemical restraint complies with the consent of a relevant decision-maker (respite) for the adult.
- (2) This section does not apply if the adult is the subject of a containment or seclusion approval.

#### **169 Using chemical, mechanical or physical restraint, or restricting access, if consent ended**

- (1) This section applies if—
  - (a) the guardian for a restrictive practice matter for an adult with an intellectual or cognitive disability is the public guardian; and
  - (b) the public guardian has given consent (the *existing consent*) to the use of a restrictive practice, other than containment or seclusion, in relation to the adult.
- (2) A relevant service provider may use the restrictive practice after the existing consent ends if—

- (a) at least 30 days before the existing consent ends, the relevant service provider asks the public guardian, in writing, to consent to the use of the restrictive practice in relation to the adult; and
  - (b) at the time the existing consent ends, the public guardian has not decided whether to give the consent; and
  - (c) use of the restrictive practice—
    - (i) is necessary to prevent the adult's behaviour causing harm to the adult or others; and
    - (ii) is the least restrictive way of ensuring the safety of the adult or others; and
    - (iii) complies with the existing consent and the positive behaviour support plan or respite/community access plan for the adult.
- (3) However, the relevant service provider may only use the restrictive practice under this section until the earlier of the following—
- (a) the public guardian gives the relevant service provider notice about the public guardian's decision whether to give the consent;
  - (b) 30 days after the existing consent ends.

## **170 Using chemical, mechanical or physical restraint, or restricting access, under short term approval**

- (1) A relevant service provider may use chemical, mechanical or physical restraint on, or restrict access of, an adult with an intellectual or cognitive disability if—
- (a) there is an immediate and serious risk of harm to the adult or others; and
  - (b) use of the restrictive practice—
    - (i) is necessary to prevent the adult's behaviour causing harm to the adult or others; and



- (ii) is the least restrictive way of ensuring the safety of the adult or others; and
  - (iii) complies with a short term approval.
- (2) It is not necessary to obtain the adult's consent to the use of a restrictive practice under this section.

**171 Using chemical, mechanical or physical restraint, or restricting access, before decision on short term approval**

- (1) A relevant service provider may use chemical, mechanical or physical restraint on, or restrict access of, an adult with an intellectual or cognitive disability if—
  - (a) the relevant service provider has asked the chief executive, in writing, for an approval under section 178 to use the restrictive practice in relation to the adult and the request has not been decided; and
  - (b) use of the restrictive practice—
    - (i) is necessary to prevent the adult's behaviour causing harm to the adult or others; and
    - (ii) is the least restrictive way of ensuring the safety of the adult or others; and
  - (c) a positive behaviour support plan or a respite/community access plan for the adult is being implemented.
- (2) However, the relevant service provider may only use the restrictive practice until the earlier of the following—
  - (a) the relevant service provider is given notice about the chief executive's decision on the request;
  - (b) 30 days after the relevant service provider asks for the short term approval.
- (3) It is not necessary to obtain the adult's consent to the use of a restrictive practice under this section.

## **Subdivision 2      Assessment of adult and positive behaviour support plan**

### **172      Application of sdiv 2**

This subdivision applies if—

- (a) a relevant service provider proposes to use chemical, mechanical or physical restraint on, or restrict access of, an adult with an intellectual or cognitive disability, other than—
  - (i) under a short term approval; or
  - (ii) in the course of providing respite services or community access services to the adult; and
- (b) the adult is not the subject of a containment or seclusion approval; and

*Note—*

If the adult is the subject of a containment or seclusion approval, and the adult's positive behaviour support plan does not provide for the relevant service provider's use of the restrictive practice mentioned in paragraph (a), the relevant service provider must—

- (a) ask the chief executive to change the positive behaviour support plan to provide for the relevant service provider's use of the restrictive practice (see division 3, subdivision 3); and
  - (b) apply to the tribunal for the making or review of a containment or seclusion approval in relation to the relevant service provider's use of the restrictive practice.
- (c) the relevant service provider does not propose to contain or seclude the adult in addition to using the restrictive practice mentioned in paragraph (a).

### **173      Requirements for development of positive behaviour support plan—assessment and consultation**

- (1) This section states the requirements with which the relevant service provider must comply in developing a positive behaviour support plan for the adult.

(2) The relevant service provider must—

- (a) ensure the adult is assessed; and

*Note—*

See section 148 for requirements about the assessment of the adult.

- (b) if the provider is aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*—ensure the authorised psychiatrist responsible for treating the adult under that Act is given the opportunity to participate in the development of the positive behaviour support plan; and
- (c) if the provider is aware the adult is a forensic disability client—ensure a senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011* is given the opportunity to participate in the development of the positive behaviour support plan; and
- (d) consult with, and consider the views of, the following—
- (i) the adult;
  - (ii) any guardian or informal decision-maker for the adult;
  - (iii) any other relevant service provider providing disability services or NDIS supports or services to the adult;
  - (iv) another person considered by the provider to be integral to the development of the plan; and

*Example—*

a family member who is part of the adult's support network, a key health care provider or an advocate for the adult

- (e) have regard to a model positive behaviour support plan.

(3) Also, if the relevant service provider proposes to use chemical restraint, the relevant service provider must—

- (a) consult the adult's treating doctor; and

- (b) inform the adult's treating doctor about—
  - (i) the findings and theories of the person who assessed the adult about the adult's behaviour that causes harm to the adult or others, including the factors contributing to the behaviour; and
  - (ii) the strategies, including other restrictive practices, proposed to be used in conjunction with the use of the chemical restraint for—
    - (A) meeting the adult's needs and improving the adult's capabilities and quality of life; and
    - (B) reducing the intensity, frequency and duration of the adult's behaviour that causes harm to the adult or others.
- (4) The positive behaviour support plan may provide for the use of mechanical or physical restraint only if the use is supported by the recommendations of the person who assessed the adult.

## **174 Changing a positive behaviour support plan**

- (1) A positive behaviour support plan that provides for use of chemical, mechanical or physical restraint may be changed by the relevant service provider only if—
  - (a) either—
    - (i) for a change relating to use of chemical restraint—the relevant service provider has consulted the adult's treating doctor; or
    - (ii) otherwise—the change is supported by the recommendations of an appropriately qualified person; and
  - (b) a guardian for a restrictive practice (general) matter for the adult consents to the change.
- (2) A positive behaviour support plan that provides only for restricting access of the adult may be changed by the relevant service provider only if a following person consents to the change—

- (a) a guardian for a restrictive practice (general) matter for the adult;
- (b) if there is no guardian for a restrictive practice (general) matter for the adult—an informal decision-maker for the adult.

**175 Requirement for relevant service provider to consider whether plan should be changed**

- (1) This section applies if—
  - (a) a positive behaviour support plan is developed for an adult under this division; and
  - (b) the relevant service provider becomes aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*, or is a forensic disability client.
- (2) The relevant service provider must consider whether the positive behaviour support plan should be changed.
- (3) In deciding whether the positive behaviour support plan should be changed, the relevant service provider must—
  - (a) consult the authorised psychiatrist responsible for treating the adult under the *Mental Health Act 2016*, or senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*; and
  - (b) consider the psychiatrist's, or senior practitioner's, views about the use of any restrictive practice provided for in the plan.

### **Subdivision 3      Requirements if adult subject to forensic order, treatment support order or treatment authority**

*Note—*

Under the *Community Services Act 2007*, section 19, a funded service provider may be given a compliance notice requiring the provider to remedy a contravention of a requirement under this subdivision.

#### **176      Requirement for relevant service provider to notify guardian**

- (1) This section applies if—
  - (a) a relevant service provider is required under section 173(2) or 175(3) to consult the authorised psychiatrist responsible for treating the adult under the *Mental Health Act 2016*, or senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*; and
  - (b) the psychiatrist, or senior practitioner, does not agree with the use of a restrictive practice provided for in the positive behaviour support plan for the adult.
- (2) As soon as practicable after consulting the authorised psychiatrist or senior practitioner, the relevant service provider must notify a relevant decision-maker for the adult about the psychiatrist's or senior practitioner's views regarding use of the restrictive practice.
- (3) In this section—

***consult***, the authorised psychiatrist responsible for treating the adult under the *Mental Health Act 2016*, or senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*, includes give the psychiatrist, or senior practitioner, an opportunity to participate in the development of a positive behaviour support plan.

***relevant decision-maker***, for the adult, means—

- (a) a guardian for a restrictive practice (general) matter for the adult; or
- (b) if the only restrictive practice provided for in the positive behaviour support plan for the adult is restricting access and there is no guardian for a restrictive practice (general) matter for the adult—an informal decision-maker for the adult.

**177 Requirement for relevant service provider to notify relevant director**

- (1) This section applies if—
  - (a) a relevant service provider develops or changes a positive behaviour support plan for an adult under this division; and
  - (b) a guardian for a restrictive practice (general) matter, or an informal decision-maker, for the adult consents to the use of a restrictive practice by the relevant service provider in relation to the adult; and
  - (c) the relevant service provider is or becomes aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*, or is a forensic disability client.
- (2) The relevant service provider must—
  - (a) give notice to the relevant director of the terms of the consent given by the guardian or informal decision-maker as soon as practicable after the later of—
    - (i) the consent being given; or
    - (ii) the relevant service provider becoming aware as mentioned in subsection (1)(c); and
  - (b) if asked by the relevant director, give the director a copy of the positive behaviour support plan for the adult.
- (3) In this section—

***relevant director*** means—

[s 178]

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- (a) if the adult is a forensic disability client—the director of forensic disability; or
- (b) otherwise—the chief psychiatrist.

## **Subdivision 4      Short term approvals given by chief executive**

### **178      Short term approval for use of restrictive practices other than containment or seclusion**

- (1) This section applies if a relevant service provider asks the chief executive for approval to use a restrictive practice, other than containment or seclusion, in relation to an adult with an intellectual or cognitive disability.
- (2) The chief executive may give the approval only if the chief executive is satisfied—
  - (a) the adult is not the subject of a containment or seclusion approval; and
  - (b) the adult has impaired capacity for making decisions about the use of restrictive practices in relation to the adult; and
  - (c) either—
    - (i) there is no guardian for a restrictive practice (general) matter for the adult; or
    - (ii) there is a guardian for a restrictive practice (general) matter for the adult but the guardian has neither given, nor refused to give, consent to the relevant service provider to use the restrictive practice in relation to the adult; and

*Note—*

It is not necessary to obtain the adult's consent to the use of a restrictive practice under a short term approval. See section 170.



- (d) there is an immediate and serious risk that, if the approval is not given, the adult's behaviour will cause harm to the adult or others; and
  - (e) use of the restrictive practice is the least restrictive way of ensuring the safety of the adult or others; and
  - (f) if the restrictive practice is chemical restraint—the relevant service provider has consulted with, and considered the views of, the adult's treating doctor.
- (3) If the chief executive has previously given an approval under this section in relation to the adult, the chief executive may give the approval only if satisfied exceptional circumstances justify giving a subsequent approval under this section.
- (4) In deciding whether to give the approval, the chief executive must, unless it is not practicable in the circumstances, consult with and consider the views of the following persons about the proposed use of the restrictive practice—
- (a) the adult, or a guardian or informal decision-maker for the adult;
  - (b) if the chief executive is aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*—the authorised psychiatrist responsible for treating the adult under that Act;
  - (c) if the chief executive is aware the adult is a forensic disability client—a senior practitioner responsible for the care and support of the adult under the *Forensic Disability Act 2011*.
- (5) The chief executive must, as soon as practicable after deciding whether to give the approval, give the relevant service provider notice of the decision, including, if the approval is given—
- (a) the conditions to which it is subject; and
  - (b) the period for which it has effect.
- (6) The chief executive may give the approval subject to the conditions the chief executive considers appropriate.

- (7) Also, the chief executive must, within 7 days after deciding whether to give the approval, give notice of the decision to the following persons—
  - (a) the public guardian;
  - (b) any person consulted by the chief executive under subsection (4).
- (8) A notice for subsection (5) must state each of the following—
  - (a) the name of the adult;
  - (b) the name of the relevant service provider;
  - (c) the chief executive's decision, including, if the approval is given—
    - (i) the terms of the approval; and
    - (ii) the chief executive's reasons for giving the approval.
- (9) An entity given notice under subsection (5) or (7) of a decision of the chief executive to give, or refuse to give, the approval may apply, as provided under the QCAT Act, to the tribunal for a review of the decision.
- (10) In this section—

*impaired capacity* see the *Guardianship and Administration Act 2000*, schedule 4.

## **179 Period for which short term approval has effect**

- (1) An approval given by the chief executive under section 178 has effect for the period stated in the notice given to the relevant service provider under section 178(5).
- (2) However, the period must not be more than 6 months.
- (3) The approval ends if—
  - (a) a guardian for a restrictive practice (general) matter for the adult gives, or refuses to give, consent to the relevant service provider to use the restrictive practice in relation to the adult; or

- (b) the tribunal gives a containment or seclusion approval for the adult.

## **Division 5                      Use of restrictive practices for respite services or community access services**

### **180      Purpose of div 5**

- (1) This division prescribes the requirements that a relevant service provider must comply with before using a restrictive practice in the course of providing respite services or community access services to an adult with an intellectual or cognitive disability.
- (2) This division does not apply for the use of chemical restraint (fixed dose) under section 168.

### **181      Requirement to develop respite/community access plan**

- (1) The relevant service provider must develop a respite/community access plan for the adult.
- (2) A *respite/community access plan*, for an adult with an intellectual or cognitive disability, is a plan stating at least each of the following—
  - (a) the adult's name;
  - (b) a description of the behaviour of the adult that causes harm to the adult or others, including the consequences of the behaviour;
  - (c) a description of the restrictive practices used in relation to the adult;
  - (d) the reasons for using the restrictive practices;
  - (e) any strategies that must be attempted before using the restrictive practices;

- (f) the procedure for using the restrictive practices, including observations and monitoring, and any other measures necessary to ensure the adult's proper care and treatment, that must take place while the restrictive practices are being used;
- (g) a demonstration of why the restrictive practices are the least restrictive way of ensuring the safety of the adult or others;
- (h) a description of the positive strategies that will be used to—
  - (i) meet the adult's needs and improve the adult's capabilities and quality of life; and
  - (ii) reduce the intensity, frequency and duration of the adult's behaviour that causes harm to the adult or others;
- (i) for containment—a description of the place where the adult will be contained;
- (j) for seclusion—
  - (i) a description of the place where the adult will be secluded; and
  - (ii) the maximum period for which seclusion may be used at any 1 time and the maximum frequency of the seclusion;
- (k) for chemical restraint—
  - (i) the name of the medication to be used and any available information about the medication, including, for example, information about possible side effects; and
  - (ii) the dose, route and frequency of administration, including, for medication to be administered as and when needed, the circumstances in which the medication may be administered, as prescribed by the adult's treating doctor; and
  - (iii) the name of the adult's treating doctor;

- (l) for mechanical or physical restraint—the maximum period for which the restraint may be used at any 1 time;
- (m) any other matter prescribed by regulation.

## **182 Obtaining information about the adult**

In developing a respite/community access plan, the relevant service provider must obtain, from the entities consulted under section 183, any information available and relevant for identifying the following—

- (a) the adult's needs;
- (b) the behaviour of the adult that causes harm to the adult or others, including the consequences of the behaviour;
- (c) the factors contributing to the behaviour mentioned in paragraph (b).

## **183 Consultation**

In developing a respite/community access plan for an adult, the relevant service provider must consult with, and consider the views of, the following entities—

- (a) the adult;
- (b) if the adult has a guardian or informal decision-maker—the guardian or informal decision-maker;
- (c) any other relevant service provider providing disability services or NDIS supports or services to the adult;
- (d) any other person considered by the relevant service provider to be integral to the development of the plan.

*Example—*

a family member who is part of the adult's support network, a key health care provider or an advocate for the adult

### **183A Other requirements for developing respite/community access plan**

In developing a respite/community access plan, the relevant service provider must comply with any other requirements prescribed by regulation.

### **184 Risk assessment**

The relevant service provider must identify, and keep a record of—

- (a) the risks associated with the provision of respite services or community access services to the adult by the relevant service provider; and

*Examples of possible risks—*

- the adult's behaviour causing harm to another client of the relevant service provider
  - the staff of the relevant service provider not being adequately trained to manage the behaviour of the adult
  - the physical environment in which the services are provided not being adequate to accommodate the needs of the adult
- (b) the procedures the relevant service provider will implement to mitigate those risks.

## **Division 6                      Review of particular chief executive decisions**

### **185 Application of div 6**

This division applies if the chief executive makes a decision (a ***relevant decision***) about which the chief executive is required under this part to give a decision notice.

*Note—*

See sections 157 (a decision that a multidisciplinary assessment will not be conducted) and 158(6) (a decision not to develop a positive behaviour support plan under division 3).

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**186 Definitions for div 6**

In this division—

*interested person*, for a relevant decision, means an entity to which the chief executive is required under this part to give a decision notice about the decision.

*relevant decision* see section 185.

**187 Application for review by chief executive**

- (1) An interested person for a relevant decision may apply to the chief executive, within 28 days after receiving a decision notice about the decision, to review the decision.
- (2) The chief executive may extend the time for applying for the review.
- (3) Also, an interested person for a relevant decision may apply to the chief executive to review the decision if the chief executive has not given the interested person a decision notice about the decision.
- (4) The application must be in the approved form and accompanied by enough information to enable the chief executive to decide the application.

**188 Review of relevant decision**

- (1) This section applies to an application under section 187 for review of a relevant decision.
- (2) Unless the chief executive made the relevant decision personally, the chief executive must ensure the application is not dealt with by—
  - (a) the person who made the relevant decision; or
  - (b) a person in a less senior office than the person who made the relevant decision.
- (3) Within 28 days after receiving the application, the chief executive must review the relevant decision and make a decision (the *review decision*)—

- (a) confirming the relevant decision; or
  - (b) amending the relevant decision; or
  - (c) substituting another decision for the relevant decision.
- (4) Immediately after deciding the application, the chief executive must give the interested person a notice stating—
  - (a) the review decision; and
  - (b) the reasons for the review decision.

## **Division 7                      Miscellaneous provisions**

### **Subdivision 1              Immunity for use of restrictive practices**

#### **189      Immunity from liability—relevant service provider**

A relevant service provider is not criminally or civilly liable if the relevant service provider, acting honestly and without negligence, uses a restrictive practice under this part.

#### **190      Immunity from liability—individual acting for relevant service provider**

- (1) This section applies to an individual who, acting for a relevant service provider, uses a restrictive practice in relation to an adult with an intellectual or cognitive disability.
- (2) The individual is not criminally or civilly liable for using the restrictive practice if the individual acts honestly and without negligence in compliance with—
  - (a) if the restrictive practice is used under section 151—
    - (i) the containment or seclusion approval for the adult; and
    - (ii) either—



- (A) if the containment or seclusion for the adult is given as an interim order under the GAA, section 80ZR—the terms of the order; or
  - (B) otherwise—the positive behaviour support plan for the adult; or
- (b) if the restrictive practice is used under section 152—
  - (i) the consent of a guardian for a restrictive practice (respite) matter for the adult; and
  - (ii) the respite/community access plan for the adult; or
- (c) if the restrictive practice is used under section 153 or 170—the relevant short term approval; or
- (d) if the restrictive practice is used under section 154 or 171—the positive behaviour support plan or respite/community access plan for the adult; or
- (e) if the restrictive practice is used under section 166—
  - (i) the positive behaviour support plan for the adult; and
  - (ii) either—
    - (A) a containment or seclusion approval for the adult; or
    - (B) the consent of a relevant decision-maker for the adult within the meaning of section 166; or
- (f) if the restrictive practice is used under section 167—
  - (i) the respite/community access plan for the adult; and
  - (ii) the consent of a relevant decision-maker (respite) for the adult; or
- (g) if the restrictive practice is used under section 168—the consent of a relevant decision-maker (respite) for the adult; and
- (h) if the restrictive practice is used under section 169—

- (i) the existing consent for the adult under that section; and
  - (ii) the positive behaviour support plan or respite/community access plan for the adult.
- (3) For subsection (2), the individual is taken to be acting in compliance with an approval, consent or plan mentioned in the subsection if the individual reasonably believes he or she is acting in compliance with the approval, consent or plan.

## **Subdivision 2      Requirements for relevant service providers**

*Note—*

Under the *Community Services Act 2007*, section 19, a funded service provider may be given a compliance notice requiring the provider to remedy a contravention of a requirement under this subdivision.

### **191      Requirement to give statement about use of restrictive practices**

- (1) This section applies to a relevant service provider, other than a relevant service provider prescribed by regulation, that—
  - (a) is providing disability services or NDIS supports or services to an adult with an intellectual or cognitive disability; and
  - (b) is considering using restrictive practices in relation to the adult.
- (2) The relevant service provider must give a statement in the approved form to the following persons about the use of restrictive practices generally—
  - (a) the adult;
  - (b) a person with a sufficient and continuing interest in the adult (an *interested person*).
- (3) The statement must state—

- (a) why the relevant service provider is considering using restrictive practices in relation to the adult; and
  - (b) how the adult and the interested person can be involved and express their views in relation to the use of restrictive practices; and
  - (c) who decides whether restrictive practices will be used in relation to the adult; and
  - (d) how the adult and the interested person can make a complaint about, or seek review of, the use of restrictive practices.
- (4) Also, the relevant service provider must explain the statement to the adult—
- (a) in the language or way the adult is most likely to understand; and
  - (b) in a way that has appropriate regard to the adult's age, culture, disability and communication ability.

*Example of a way for paragraph (a) or (b)—*

by using visual or other aids

## **192 Requirement to give information to guardian or informal decision-maker**

- (1) This section applies if—
- (a) a relevant service provider seeks consent from a substitute decision-maker for an adult to use a restrictive practice in relation to the adult; and
  - (b) the restrictive practice is not chemical restraint (fixed dose) used under section 168 in the course of providing respite services to the adult.
- (2) To enable the substitute decision-maker to make an informed decision about the use of a restrictive practice other than in the course of providing respite services or community access services to the adult, the relevant service provider must give the substitute decision-maker each of the following—
- (a) the positive behaviour support plan for the adult;

- (b) any assessment or other information used to develop or change the plan;
  - (c) if the relevant service provider is aware the adult is subject to a forensic order, treatment support order or treatment authority under the *Mental Health Act 2016*—the terms of the order or authority.
- (3) To enable the substitute decision-maker to make an informed decision about the use of a restrictive practice in the course of providing respite services or community access services to the adult, the relevant service provider must give the substitute decision-maker—
  - (a) the respite/community access plan for the adult; and
  - (b) any information used to develop the plan.
- (4) If the relevant service provider, acting honestly and without negligence, gives the information to the substitute decision-maker, the relevant service provider is not liable, civilly, criminally or under an administrative process, for giving the information.
- (5) Also, merely because the relevant service provider gives the information, the relevant service provider can not be held to have—
  - (a) breached any code of professional etiquette or ethics; or
  - (b) departed from accepted standards of professional conduct.
- (6) Without limiting subsections (4) and (5)—
  - (a) in a proceeding for defamation, the relevant service provider has a defence of absolute privilege for publishing the information; and
  - (b) if the relevant service provider would otherwise be required to maintain confidentiality about the information under an Act, oath or rule of law or practice, the relevant service provider—
    - (i) does not contravene the Act, oath or rule of law or practice by giving the information; and

(ii) is not liable to disciplinary action for giving the information.

(7) This section does not limit the GAA, section 44.

*Note—*

The GAA, section 44 enables a guardian to obtain all information the adult would have been entitled to if the adult had capacity and that is necessary for the guardian to make informed decisions.

(8) In this section—

**information** includes a document.

**substitute decision-maker**, for an adult, means a guardian for a restrictive practice matter, or an informal decision-maker, for the adult.

*Note—*

A guardian or informal decision-maker who gains confidential information is subject to confidentiality requirements under the GAA. See the GAA, section 249 for guardians and section 80ZT for informal decision-makers.

## 193 Requirement to keep and implement procedure

(1) This section applies to a relevant service provider, other than a relevant service provider prescribed by regulation, that uses a restrictive practice in relation to an adult with an intellectual or cognitive disability.

(2) The relevant service provider must keep and implement procedures that ensure an individual acting for the relevant service provider who uses the restrictive practice in relation to the adult—

- (a) has sufficient knowledge of the requirements for lawful use of the restrictive practice; and
- (b) has the skills and knowledge required to use the restrictive practice appropriately.

(3) In this section—

**keep and implement**, for a procedure, means—

- (a) prepare the procedure and keep it up to date; and

- (b) implement and comply with the procedures; and
- (c) keep a copy of the up-to-date procedure at premises where the restrictive practice is used.

## **194 Requirement to keep records and other documents**

- (1) This section applies to a relevant service provider, other than a relevant service provider prescribed by regulation, that is using a restrictive practice in relation to an adult with an intellectual or cognitive disability.
- (2) The relevant service provider must make, and keep for the time prescribed under a regulation, the records prescribed under a regulation.
- (3) Also, the relevant service provider must keep, at premises where disability services or NDIS supports or services are provided to the adult, a copy of—
  - (a) if the restrictive practice is used under section 151 or 166—the positive behaviour support plan for the adult; or
  - (b) if the restrictive practice is used under section 152 or 167—the respite/community access plan for the adult; or
  - (c) if the restrictive practice is used under section 153 or 170—the short term approval for the adult.
- (4) If the restrictive practice is used under a containment or seclusion approval given as an interim order under the GAA, section 80ZR—
  - (a) subsection (3)(a) does not apply; and
  - (b) the relevant service provider must keep, at premises where disability services or NDIS supports or services are provided to the adult, a copy of the interim order.

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**195 Notification requirements about approvals given for use of restrictive practices**

(1) If—

- (a) a relevant service provider, other than a relevant service provider prescribed by regulation, is given a limited restrictive practice approval authorising the provider to use a restrictive practice at a service outlet; and
- (b) there is no other limited restrictive practice approval in effect relating to the service outlet;

the relevant service provider must, within the required period, give notice in the approved form to the chief executive.

(2) A relevant service provider that has given a notice under subsection (1) must, within the period mentioned in subsection (6), give notice in the approved form to the chief executive if all limited restrictive practice approvals relating to the service outlet stop having effect.

(3) If—

- (a) a relevant service provider, other than a relevant service provider prescribed by regulation, is given a restrictive practice approval authorising the provider to use a restrictive practice at a visitable site as defined under the *Public Guardian Act 2014*, section 39; and
- (b) there is no other restrictive practice approval in effect relating to the visitable site;

the relevant service provider must, within the required period, give notice to the public guardian.

(4) For subsection (3), the notice must state—

- (a) the name and address of the visitable site; and
- (b) that a restrictive practice approval has been given in relation to the visitable site.

(5) A relevant service provider that has given a notice under subsection (3) must, within the period mentioned in subsection (6), give notice to the public guardian if all

restrictive practice approvals relating to the visitable site stop having effect.

(6) For subsections (2) and (5), the notice must be given within 21 days after the event mentioned in the subsection happens.

(7) In this section—

***limited restrictive practice approval*** means a restrictive practice approval other than—

- (a) a containment or seclusion approval; or
- (b) a short term approval given by the public guardian under the GAA, chapter 5B, part 4.

***required period*** means—

- (a) if the restrictive practice approval is a short term approval—14 days after the approval is given; or
- (b) otherwise—21 days after the restrictive practice approval is given.

***restrictive practice approval*** means—

- (a) a containment or seclusion approval; or
- (b) consent to use of a restrictive practice given by—
  - (i) a guardian for a restrictive practice matter; or
  - (ii) an informal decision-maker; or
- (c) a short term approval.

***service outlet*** means a place at which disability services or NDIS supports or services are provided.

## Subdivision 3      Confidentiality provisions

### 196      Definitions for sdv 3

In this subdivision—



**chief executive (health)** means the chief executive of the department in which the *Hospital and Health Boards Act 2011* is administered.

**health professional** means a person engaged in delivering a private sector health service, including the following—

- (a) a registered health practitioner;
- (b) any other person, including, for example, an audiologist, dietician, social worker or speech pathologist.

**health service chief executive** means a health service chief executive under the *Hospital and Health Boards Act 2011*.

**private sector health service** means a service for maintaining, improving or restoring people's health and wellbeing, other than a service provided by the State.

**197 Relevant service provider may request confidential information from health professional, chief executive (health) or health service chief executive**

- (1) This section applies if a relevant service provider considers a health professional, the chief executive (health), or a health service chief executive, may hold confidential information about an adult with an intellectual or cognitive disability that is relevant to any of the following being done by the provider—
  - (a) the assessment of the adult, including the making of a decision about whether to assess the adult;
  - (b) the development or changing of a positive behaviour support plan for the adult;
  - (c) the development of a respite/community access plan for the adult.
- (2) The relevant service provider may ask the health professional or chief executive (health) for the confidential information.
- (3) A health professional or the chief executive (health) who gives confidential information requested under this section and who

would otherwise be required to maintain confidentiality about the information under an Act, oath or rule of law or practice—

- (a) does not contravene the Act, oath or rule of law or practice by giving the information; and
  - (b) is not liable to disciplinary action for giving the information.
- (4) Also, merely because the health professional or the chief executive (health) gives the confidential information, the person can not be held to have—
- (a) breached any code of professional etiquette or ethics; or
  - (b) departed from accepted standards of professional conduct.

## **198 Relevant service providers must maintain confidentiality**

- (1) This section applies if a relevant service provider—
- (a) gains confidential information under section 197; or
  - (b) otherwise gains confidential information in the course of—
    - (i) assessing an adult with an intellectual or cognitive disability or developing a positive behaviour support plan for the adult; or
    - (ii) developing a respite/community access plan for an adult with an intellectual or cognitive disability.
- (2) The relevant service provider, or an individual acting for the relevant service provider, must not disclose the information to anyone other than under subsection (3).
- Maximum penalty—100 penalty units.
- (3) The relevant service provider, or an individual acting for the relevant service provider, may disclose the information to someone else—
- (a) for this Act; or
  - (b) to discharge a function under another law; or

- (c) for a proceeding in a court or tribunal; or
- (d) if authorised under another law or a regulation made under this Act; or
- (e) if authorised in writing by the adult with an intellectual or cognitive disability to whom the information relates; or
- (f) to protect a person with disability from abuse, neglect or exploitation.

## **Subdivision 4      Reporting and provision of particular information**

### **199      Requirement to give information about use of restrictive practice to chief executive**

- (1) This section applies to a relevant service provider, other than a relevant service provider prescribed by regulation, that is using a restrictive practice in relation to an adult with an intellectual or cognitive disability.
- (2) The relevant service provider must give to the chief executive, in the way and at the times prescribed under a regulation, information about the use of the restrictive practice prescribed under a regulation.

### **200      Chief executive may give information about use of restrictive practice**

- (1) This section applies to information given to the chief executive by a relevant service provider under section 199.
- (2) The chief executive may give the information to any or all of the following—
  - (a) QCAT;
  - (b) the public guardian;
  - (c) the public advocate under the GAA;

- (d) the relevant service provider.

## **Part 6A                      Investigation, monitoring and enforcement**

### **Division 1                      Preliminary**

#### **200A    Purpose of part**

The purpose of this part is to prescribe particular functions and powers of authorised officers appointed under the *Community Services Act 2007*.

#### **200B    References to exercise of powers**

If—

- (a) a provision of this part refers to the exercise of a power by an authorised officer; and

- (b) there is no reference to a specific power;

the reference is to the exercise of all or any authorised officer's powers under this part or a warrant, to the extent the powers are relevant.

#### **200C    Reference to document includes reference to reproductions from electronic document**

A reference in this part to a document includes a reference to an image or writing—

- (a) produced from an electronic document; or

- (b) not yet produced, but reasonably capable of being produced, from an electronic document, with or without the aid of another article or device.

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## Division 2                      Functions of authorised officers

### 200D   Existing functions of authorised officers in relation to funding

For this Act and the *Community Services Act 2007*, section 24(d), the functions of an authorised officer, to the extent the *Community Services Act 2007* applies in relation to funding because of section 10 of that Act, include the following—

- (a) investigating, monitoring and ensuring compliance with this Act;
- (b) investigating or monitoring whether an occasion has arisen for the exercise of powers under the *Community Services Act 2007*;
- (c) facilitating the exercise of powers under the *Community Services Act 2007*.

*Note—*

See the *Community Services Act 2007*, part 4, divisions 3 and 4 for powers an authorised officer may exercise under that Act for the performance of the authorised officer's functions under this section.

### 200E   Additional functions of authorised officers in relation to NDIS service providers

Without limiting section 200D, for this Act and the *Community Services Act 2007*, section 24(d), an authorised officer also has the functions of—

- (a) investigating, monitoring and ensuring compliance of NDIS service providers with a provision of this Act, other than a provision prescribed by regulation (an ***excluded provision***); and
- (b) for the purposes of paragraph (a)—
  - (i) investigating or monitoring whether an occasion has arisen for the exercise of powers under this Act; and

- (ii) facilitating the exercise of powers under this Act.

## **Division 3                    Powers of authorised officers for particular functions**

### **Subdivision 1            Preliminary**

#### **200F    Application of division for particular functions**

This division applies for the performance of the functions of an authorised officer mentioned in section 200E.

### **Subdivision 2            General power of entry**

#### **200G    General power to enter places**

- (1) An authorised officer may enter a place if—
  - (a) an occupier of the place consents under subdivision 3 to the entry and section 200J has been complied with for the occupier; or
  - (b) it is a public place and the entry is made when it is open to the public; or
  - (c) the entry is authorised under a warrant and, if there is an occupier of the place, section 200Q has been complied with for the occupier; or
  - (d) it is the place of business of an NDIS service provider and is—
    - (i) open for carrying on the business; or
    - (ii) otherwise open for entry.
- (2) For subsection (1)(d), a *place of business* does not include a part of the place where a person resides.

- (3) If the power to enter arose only because an occupier of the place consented to the entry, the power is subject to any conditions of the consent and ceases if the consent is withdrawn.
- (4) If the power to enter is under a warrant, the power is subject to the terms of the warrant.
- (5) In this section—

***public place*** means a place, or part of the place—

- (a) the public is entitled to use, that is open to members of the public or that is used by the public, whether or not on payment of money; or

*Examples of a place that may be a public place under paragraph (a)—*

a beach, a park, a road

- (b) the occupier of which allows, whether or not on payment of money, members of the public to enter.

*Examples of a place that may be a public place under paragraph (b)—*

a saleyard, a showground

## **Subdivision 3      Entry by consent**

### **200H    Application of subdivision**

This subdivision applies if an authorised officer intends to ask an occupier of a place to consent to the authorised officer or another authorised officer entering the place under section 200G(1)(a).

### **200I    Incidental entry to ask for access**

For the purpose of asking the occupier for the consent, an authorised officer may, without the occupier's consent or a warrant—

- (a) enter land around premises at the place to an extent that is reasonable to contact the occupier; or
- (b) enter part of the place the authorised officer reasonably considers members of the public ordinarily are allowed to enter when they wish to contact an occupier of the place.

### **200J Matters authorised officer must tell occupier**

Before asking for the consent, the authorised officer must give a reasonable explanation to the occupier—

- (a) about the purpose of the entry, including the powers intended to be exercised; and
- (b) that the occupier is not required to consent; and
- (c) that the consent may be given subject to conditions and may be withdrawn at any time.

### **200K Consent acknowledgement**

- (1) If the consent is given, the authorised officer may ask the occupier to sign an acknowledgement of the consent.
- (2) The acknowledgement must state—
  - (a) the purpose of the entry, including the powers to be exercised; and
  - (b) the following has been explained to the occupier—
    - (i) the purpose of the entry, including the powers intended to be exercised;
    - (ii) that the occupier is not required to consent;
    - (iii) that the consent may be given subject to conditions and may be withdrawn at any time; and
  - (c) the occupier gives the authorised officer or another authorised officer consent to enter the place and exercise the powers; and
  - (d) the time and day the consent was given; and



- (e) any conditions of the consent.
- (3) If the occupier signs the acknowledgement, the authorised officer must immediately give a copy to the occupier.
- (4) If—
  - (a) an issue arises in a proceeding about whether the occupier consented to the entry; and
  - (b) a signed acknowledgement complying with subsection (2) for the entry is not produced in evidence;the onus of proof is on the person relying on the lawfulness of the entry to prove the occupier consented.

## **Subdivision 4      Entry under warrant**

### **200L    Application for warrant**

- (1) An authorised officer may apply to a magistrate for a warrant for a place.
- (2) The authorised officer must prepare a written application that states the grounds on which the warrant is sought.
- (3) The written application must be sworn.
- (4) The magistrate may refuse to consider the application until the authorised officer gives the magistrate all the information the magistrate requires about the application in the way the magistrate requires.

*Example—*

The magistrate may require additional information supporting the application to be given by statutory declaration.

### **200M    Issue of warrant**

- (1) A magistrate may issue a warrant for a place only if the magistrate is satisfied there are reasonable grounds for suspecting that it is necessary to enter the place to check whether an NDIS service provider has complied with, or is

complying with, a provision of this Act, other than an excluded provision.

- (2) The warrant must state—
  - (a) the place to which the warrant applies; and
  - (b) that a stated authorised officer may, with necessary and reasonable help and force—
    - (i) enter the place and any other place necessary for the entry; and
    - (ii) exercise the officer's powers; and
  - (c) particulars of the reason it is necessary to enter the place that the magistrate considers appropriate;
  - (d) the hours of the day or night when the place may be entered; and
  - (e) the evidence that may be seized under the warrant; and
  - (f) the magistrate's name; and
  - (g) the day and time of the warrant's issue; and
  - (h) the day, within 14 days after the warrant's issue, the warrant ends.

## **200N Electronic application**

- (1) An application under section 200L may be made by phone, fax, email, radio, videoconferencing or another form of electronic communication if the authorised officer reasonably considers it necessary because of—
  - (a) urgent circumstances; or
  - (b) other special circumstances, including, for example, the authorised officer's remote location.
- (2) The application—
  - (a) may not be made before the authorised officer prepares the written application under section 200L(2); but
  - (b) may be made before the written application is sworn.

## 200O Additional procedure if electronic application

- (1) For an application under section 200N, the magistrate may issue the warrant (the *original warrant*) only if the magistrate is satisfied—
  - (a) it was necessary to make the application under section 200N; and
  - (b) the way the application was made under section 200N was appropriate.
- (2) After the magistrate issues the original warrant—
  - (a) if there is a reasonably practicable way of immediately giving a copy of the warrant to the authorised officer, including, for example, by sending a copy by fax or email, the magistrate must immediately give a copy of the warrant to the authorised officer; or
  - (b) otherwise—
    - (i) the magistrate must tell the authorised officer the information mentioned in section 200M(2); and
    - (ii) the authorised officer must complete a form of warrant, including by writing on it the information mentioned in section 200M(2) provided by the magistrate.
- (3) The copy of the warrant mentioned in subsection (2)(a), or the form of warrant completed under subsection (2)(b) (in either case the *duplicate warrant*), is a duplicate of, and as effectual as, the original warrant.
- (4) The authorised officer must, at the first reasonable opportunity, send to the magistrate—
  - (a) the written application complying with section 200L(2) and (3); and
  - (b) if the authorised officer completed a form of warrant under subsection (2)(b)—the completed form of warrant.
- (5) The magistrate must keep the original warrant and, on receiving the documents under subsection (4)—

- (a) attach the documents to the original warrant; and
  - (b) give the original warrant and documents to the clerk of the court of the relevant magistrates court.
- (6) Despite subsection (3), if—
  - (a) an issue arises in a proceeding about whether an exercise of a power was authorised by a warrant issued under this section; and
  - (b) the original warrant is not produced in evidence;the onus of proof is on the person relying on the lawfulness of the exercise of the power to prove a warrant authorised the exercise of the power.
- (7) This section does not limit section 200L.
- (8) In this section—

***relevant magistrates court***, in relation to a magistrate, means the Magistrates Court that the magistrate constitutes under the *Magistrates Act 1991*.

## **200P Defect in relation to a warrant**

- (1) A warrant is not invalidated by a defect in—
  - (a) the warrant; or
  - (b) compliance with section 200L, 200M or 200N;unless the defect affects the substance of the warrant in a material particular.
- (2) In this section—

**warrant** includes a duplicate warrant mentioned in section 200O(3).

## **200Q Entry procedure**

- (1) This section applies if an authorised officer named in a warrant issued under this subdivision for a place is intending to enter the place under the warrant.

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- (2) Before entering the place, the authorised officer must do or make a reasonable attempt to do the following things—
- (a) identify himself or herself to a person who is an occupier of the place and is present by producing a copy of the authorised officer's identity card or another document evidencing the authorised officer's appointment;
  - (b) give the person a copy of the warrant;
  - (c) tell the person the authorised officer is permitted by the warrant to enter the place;
  - (d) give the person an opportunity to allow the authorised officer immediate entry to the place without using force.
- (3) However, the authorised officer need not comply with subsection (2) if the authorised officer believes on reasonable grounds that entry to the place without compliance is required to ensure the effective execution of the warrant is not frustrated.
- (4) In this section—
- warrant** includes a duplicate warrant mentioned in section 200O(3).

## **Subdivision 5      General powers of authorised officer after entering place**

### **200R    Application of subdivision**

- (1) The powers under this subdivision may be exercised if an authorised officer enters a place under section 200G(1)(a), (c) or (d).
- (2) However, if the authorised officer enters under section 200G(1)(a) or (c), the powers under this subdivision are subject to any conditions of the consent or terms of the warrant.

## **200S General powers**

- (1) The authorised officer may do any of the following (each a *general power*)—
  - (a) search any part of the place;
  - (b) inspect, examine or film any part of the place or anything at the place;
  - (c) take for examination a thing, or a sample of or from a thing, at the place;
  - (d) place an identifying mark in or on anything at the place;
  - (e) take an extract from, or copy, a document at the place or take the document to another place to copy;
  - (f) produce an image or writing at the place from an electronic document or, to the extent it is not practicable, take a thing containing an electronic document to another place to produce an image or writing;
  - (g) take to, into or onto the place and use any person, equipment and materials the authorised officer reasonably requires for exercising the officer's powers under this subdivision;
  - (h) confer alone with a person at the place;
  - (i) require a person at the place to answer questions by the authorised officer to help the authorised officer ascertain whether a provision of this Act, other than an excluded provision, is being or has been complied with;
  - (j) remain at the place for the time necessary to achieve the purpose of the entry.
- (2) The authorised officer may take a necessary step to allow the exercise of a general power.
- (3) If the authorised officer takes a document from the place to copy it, the authorised officer must copy the document and return it to the place as soon as practicable.

- (4) If the authorised officer takes from the place an article or device reasonably capable of producing a document from an electronic document to produce the document, the authorised officer must produce the document and return the article or device to the place as soon as practicable.
- (5) In this section—  
*examine* includes analyse, test, account, measure, weigh, grade, gauge and identify.

## **200T Power to require reasonable help**

- (1) The authorised officer may make a requirement (a *help requirement*) of an occupier of the place or a person at the place to give the authorised officer reasonable help to exercise a general power, including, for example, to produce a document or to give information.
- (2) When making the help requirement, the authorised officer must warn the person it is an offence not to comply with the requirement unless the person has a reasonable excuse.

## **200U Offence to contravene help requirement**

- (1) A person of whom a help requirement is made must comply with the requirement unless the person has a reasonable excuse.  
Maximum penalty—40 penalty units.
- (2) It is a reasonable excuse for an individual to not comply with a help requirement if complying might tend to incriminate the individual or expose the individual to a penalty.

## **200V Failure to answer questions**

- (1) A person of whom a requirement is made under section 200S(1)(i) must comply with the requirement, unless the person has a reasonable excuse.  
Maximum penalty—40 penalty units.

- (2) It is a reasonable excuse for the person to not comply with the requirement if complying with the requirement might tend to incriminate the person.

## **Subdivision 6      Additional information-obtaining power**

### **200W Power to require information**

- (1) This section applies if an authorised officer reasonably believes an offence against a provision of this Act, other than an excluded provision, has been committed by an NDIS service provider.
- (2) The authorised officer may, by notice given to the NDIS service provider, require the service provider to give the authorised officer information related to the offence within a stated reasonable time and in a stated way.
- (3) A requirement under subsection (2) is an *information requirement*.
- (4) For information that is an electronic document, compliance with the information requirement requires the giving of a clear image or written version of the electronic document.
- (5) The authorised officer may keep information that is a document to copy it.
- (6) If the authorised officer copies the document, or an entry in the document, the authorised officer may require the NDIS service provider that has possession or control of the document to certify the copy as a true copy of the document or entry.
- (7) A requirement under subsection (6) is a *document certification requirement*.
- (8) The authorised officer must return the document to the NDIS service provider as soon as practicable after copying it.



- (9) However, if a document certification requirement is made of a person, the authorised officer may keep the document until the person complies with the requirement.
- (10) When making an information requirement or document certification requirement, the authorised officer must warn the NDIS service provider it is an offence not to comply with the requirement unless the service provider has a reasonable excuse.

## **200X Offence to contravene information requirement**

- (1) An NDIS service provider of whom an information requirement is made must comply with the requirement unless the service provider has a reasonable excuse.

Maximum penalty—50 penalty units.

- (2) It is a reasonable excuse for an individual to not comply with the information requirement if complying with the requirement might tend to incriminate the individual or expose the individual to a penalty.
- (3) If a court convicts a person of an offence against subsection (1), the court may also order the person to give to a stated authorised officer, within a stated time and in a stated way, the information to which the information requirement related.

# **Part 7 Legal proceedings**

## **Division 1 Application**

### **201 Application of pt 7**

This part applies to a proceeding under this Act.

## **Division 2                      Evidence**

### **202      Appointments and authority**

The following must be presumed unless a party to the proceeding, by reasonable notice, requires proof of it—

- (a) the chief executive's appointment;
- (b) the authority of the chief executive to do anything under this Act.

### **203      Signatures**

A signature purporting to be the signature of the chief executive is evidence of the signature it purports to be.

### **204      Evidentiary provisions**

- (1) A certificate purporting to be signed by the chief executive and stating any of the following matters is evidence of the matter—
  - (a) a stated document is 1 of the following things made, given, issued or kept under this Act—
    - (i) an appointment, approval or decision;
    - (ii) a notice or requirement;
    - (iii) a record, or an extract from a record;
  - (b) a stated document is another document kept under this Act;
  - (c) a stated document is a copy of a thing mentioned in paragraph (a) or (b);
  - (d) on a stated day, a stated entity was given a stated notice under this Act;
  - (e) on a stated day, a stated requirement was made of a stated person.

- (2) In a complaint starting a proceeding, a statement that the matter of complaint came to the complainant's knowledge on a stated day is evidence of when the matter came to the complainant's knowledge.

## **205 Clearance card is evidence of clearance**

A clearance card issued to a person is evidence that the person holds a clearance.

## **Division 3 Proceedings**

### **206 Indictable and summary offences**

- (1) An offence against this Act is an indictable offence that is a crime if the maximum penalty for the offence is—
- (a) 500 penalty units or more; or
  - (b) 5 years imprisonment or more.
- (2) Otherwise, an offence against this Act is a summary offence.

### **207 Proceedings for indictable offences**

- (1) A proceeding for an indictable offence against this Act may be taken, at the election of the prosecution—
- (a) by way of summary proceedings under the *Justices Act 1886*; or
  - (b) on indictment.
- (2) A magistrate must not hear an indictable offence summarily if—
- (a) the magistrate is satisfied, at any stage of the hearing and after hearing submissions by the prosecution and defence, that because of the nature or seriousness of the offence or any other relevant consideration the defendant, if convicted, may not be adequately punished on summary conviction; or

- (b) the magistrate is satisfied, on an application made by the defence, that because of exceptional circumstances the offence should not be heard and decided summarily.
- (3) If subsection (2) applies—
  - (a) the magistrate must proceed by way of an examination of witnesses for an indictable offence; and
  - (b) a plea of the person charged at the start of the proceeding must be disregarded; and
  - (c) evidence brought in the proceeding before the magistrate decided to act under subsection (2) is taken to be evidence in the proceeding for the committal of the person for trial or sentence; and
  - (d) before committing the person for trial or sentence, the magistrate must make a statement to the person as required by the *Justices Act 1886*, section 104(2)(b).
- (4) The maximum penalty that may be summarily imposed for an indictable offence is 100 penalty units or 3 years imprisonment.

## **208 Limitation on who may summarily hear indictable offence proceedings**

- (1) A proceeding must be before a magistrate if it is a proceeding—
  - (a) for the summary conviction of a person on a charge for an indictable offence; or
  - (b) for an examination of witnesses for a charge for an indictable offence.
- (2) However, if a proceeding for an indictable offence is brought before a justice who is not a magistrate, jurisdiction is limited to taking or making a procedural action or order within the meaning of the *Justices of the Peace and Commissioners for Declarations Act 1991*.

## **209 Proceeding for offences**

A proceeding for an offence against this Act, other than an indictable offence, must be taken in a summary way under the *Justices Act 1886*.

## **210 When proceeding may start**

A proceeding for a summary offence against this Act must start within the later of the following periods to end—

- (a) 1 year after the commission of the offence;
- (b) 6 months after the offence comes to the complainant's knowledge, but within 2 years after the offence is committed.

## **211 Allegations of false or misleading information or document**

In any proceeding for an offence against this Act defined as involving false or misleading information, or a false or misleading document, it is enough for a charge to state that the information or document was, without specifying which, 'false or misleading'.

## **212 Forfeiture on conviction**

- (1) On conviction of a person for an offence against this Act, a court may order the forfeiture to the State of—
  - (a) anything used to commit the offence; or
  - (b) anything else the subject of the offence.
- (2) The court may make the order—
  - (a) whether or not the thing has been seized; and
  - (b) if the thing has been seized, whether or not the thing has been returned to its owner.
- (3) The court may make any order to enforce the forfeiture it considers appropriate.

- (4) This section does not limit the court's powers under the *Penalties and Sentences Act 1992* or another law.

## **213 Dealing with forfeited thing**

- (1) On the forfeiture of a thing to the State, the thing becomes the State's property and may be dealt with by the State as the State considers appropriate.
- (2) Without limiting subsection (1), the State may destroy the thing.

## **214 Responsibility for acts or omissions of representative**

- (1) This section applies in a proceeding for an offence against this Act.
- (2) If it is relevant to prove a person's state of mind about a particular act or omission, it is enough to show—
- (a) the act was done or omitted to be done by a representative of the person within the scope of the representative's actual or apparent authority; and
  - (b) the representative had the state of mind.
- (3) An act done or omitted to be done for a person by a representative of the person within the scope of the representative's actual or apparent authority is taken to have been done or omitted to be done also by the person, unless the person proves the person could not, by the exercise of reasonable diligence, have prevented the act or omission.
- (4) In this section—

***representative*** means—

- (a) for a corporation—an executive officer, employee or agent of the corporation; or
- (b) for an individual—an employee or agent of the individual.

***state of mind*** of a person includes—

- (a) the person's knowledge, intention, opinion, belief or purpose; and
- (b) the person's reasons for the intention, opinion, belief or purpose.

## **Part 8                      Miscellaneous**

### **Division 1                Records**

#### **215      Funded service provider or NDIS service provider must keep records**

A funded service provider or an NDIS service provider must make, and keep for the time prescribed under a regulation, the records prescribed under a regulation.

Maximum penalty—

- (a) for an individual guilty under chapter 2 of the Criminal Code of an offence—20 penalty units; or
- (b) for a funded service provider—100 penalty units.

### **Division 2                Locking of gates, doors and windows**

#### **216      Application of division**

- (1) This division—
  - (a) applies in relation to the following service providers that provide disability services or NDIS supports or services to an adult with an intellectual or cognitive disability—
    - (i) an NDIS service provider;
    - (ii) a funded service provider;
    - (iii) the department;

- (iv) another service provider prescribed by regulation for this section; and
- (b) applies if—
  - (i) a service provider in relation to which this division applies locks gates, doors or windows at premises where disability services or NDIS supports or services are provided to adults with an intellectual or cognitive disability; and
  - (ii) the only reason the gates, doors or windows are locked is to prevent physical harm being caused to an adult with a skills deficit.
- (2) However, this part does not apply in relation to a service provider—
  - (a) prescribed by regulation; or
  - (b) to the extent the service provider is providing disability services or NDIS supports or services prescribed by regulation.
- (3) A service provider is a ***division 2 service provider*** to the extent this division applies in relation to the provider under subsections (1)(a) and (2).

## 217 Definitions for division

In this division—

***adult with an intellectual or cognitive disability*** see section 144.

***adult with a skills deficit*** means an adult with an intellectual or cognitive disability who can not safely exit premises where disability services or NDIS supports or services are provided to the adult without supervision, if the only reason the adult can not safely exit the premises without supervision is—

- (a) the adult lacks road safety skills; or
- (b) the adult is vulnerable to abuse or exploitation by others; or



- (c) the adult is unable to find his or her way back to the premises; or
- (d) another reason prescribed under a regulation.

***division 2 service provider*** see section 216(3).

## **218 Immunity from liability—division 2 service provider**

- (1) A division 2 service provider is not civilly or criminally liable for locking gates, doors or windows if—
  - (a) the division 2 service provider acts honestly and without negligence; and
  - (b) the division 2 service provider keeps and implements a policy about the locking of gates, doors and windows that is consistent with the department’s policy about the locking of gates, doors and windows; and
  - (c) the gates, doors or windows are locked in compliance with the policy; and
  - (d) the division 2 service provider takes reasonable steps to minimise the impact of locking the gates, doors or windows on a person living at the premises who is not an adult with a skills deficit.
- (2) Subsection (1) applies to the extent the locking of the gates, doors or windows prevents the free exit from the premises of—
  - (a) an adult with a skills deficit; or
  - (b) any other person living at the premises, other than an adult with an intellectual or cognitive disability who is contained within the meaning of part 6.
- (3) In this section—

***keep and implement***, for a policy, means—

  - (a) prepare the policy and keep it up to date; and
  - (b) when providing disability services or NDIS supports or services, implement and comply with the procedures and other matters stated in the policy; and

- (c) keep a copy of the up-to-date policy at the premises.

## **219 Immunity from liability—individual acting for division 2 service provider**

An individual, acting for a division 2 service provider, is not civilly or criminally liable for locking gates, doors or windows if the individual acts in compliance with, or reasonably believes he or she is acting in compliance with, the provider's policy about the locking of gates, doors and windows.

## **220 Department's policy about locking of gates, doors and windows**

- (1) The department must have a policy about the locking of gates, doors and windows under this division.
- (2) The policy must outline the procedures a division 2 service provider will use to ensure gates, doors and windows are locked only if it is—
  - (a) necessary to prevent physical harm to an adult with a skills deficit; and
  - (b) the least restrictive way of ensuring the adult's safety as is practicable in the circumstances.
- (3) Also, the policy must ensure appropriate regard is had to linguistic and cultural diversity and Aboriginal tradition and Island custom.

*Editor's note—*

For definitions *Aboriginal tradition* and *Island custom*, see the *Acts Interpretation Act 1954*, schedule 1.

- (4) The chief executive must keep a copy of the policy available for inspection free of charge by division 2 service providers at—
  - (a) the department's head office and regional offices; and
  - (b) other places the chief executive considers appropriate.

- (5) Also, the chief executive must publish the policy on the department's website on the internet.

## **Division 3                      Other matters**

### **221      Disability service plans for departments**

- (1) The chief executive of a department must develop and implement disability service plans for the chief executive's department.
- (2) The first plan must be developed and implemented within 1 year after the commencement of this section.
- (3) A further plan must be developed and implemented at least once every 3 years.
- (4) The purpose of a plan is to ensure each department has regard to the following to the extent they reasonably apply to the department's operations—
  - (a) the human rights principle;
  - (b) the service delivery principles;
  - (c) the government's policies for people with disability.
- (5) In developing a disability service plan, the chief executive of a department must consult with the following to ensure the chief executive's plan forms part of a coordinated whole-of-government approach for service delivery to people with disability—
  - (a) the chief executive of the department in which this Act is administered;
  - (b) the chief executives of other departments.
- (6) The plan must, for the period of the plan—
  - (a) identify the issues relating to service delivery to people with disability by the department; and
  - (b) state the way the issues will be addressed; and

- (c) state the way the chief executive of a department is to consult with other chief executives to achieve the whole-of-government approach mentioned in subsection (5).
- (7) The chief executive of a department must publish the current disability service plan for the department on the department's website on the internet.
- (8) Despite the *Public Sector Act 2022*, section 274, this section does not apply to a public service entity mentioned in the *Public Sector Act 2022*, section 9(b).

## **222 Establishment of Ministerial advisory committees**

The Minister may establish—

- (a) a committee to advise on the system that deals with complaints received from the following—
  - (i) consumers;
  - (ii) family members, carers or advocates of consumers;and
- (b) as many other committees to advise on disability issues, disability services and NDIS supports or services as the Minister considers appropriate.

## **223 Membership of advisory committee**

- (1) An advisory committee has the membership decided by the Minister.
- (2) The Minister may appoint the following persons to an advisory committee—
  - (a) a person with disability;
  - (b) a family member or carer of a person with disability;
  - (c) another person the Minister considers has expertise or experience relevant to people with disability.

## **224 Dissolution**

The Minister may dissolve an advisory committee at any time.

## **225 Other matters**

The Minister may decide matters about an advisory committee that are not provided for under this Act, including, for example, the way a committee must conduct meetings or report to the Minister.

## **226 Person with disability must advise chief executive about compensation**

- (1) This section applies to a person with disability who, in relation to the disability—
  - (a) is applying for, or is receiving—
    - (i) funding for disability services from the department; or
    - (ii) disability services from another entity the person knows is a funded service provider; and
  - (b) has received, or may receive, an amount relating to the disability.
- (2) The person must notify the chief executive in the approved form—
  - (a) if action has been taken to claim an amount relating to the disability—of the type of action taken; and
  - (b) if an amount has been paid—of the date it was paid and the amount; and
  - (c) if part or all of the amount relates to future care—of the amount that relates to future care.

Maximum penalty—200 penalty units.

- (3) Subsection (4) applies to a person who, on behalf of a person with disability, applies for—
  - (a) funding for disability services from the department; or

- (b) disability services from another entity the person applying knows is a funded service provider.
- (4) The person who applies for funding on behalf of a person with disability must notify the chief executive in the approved form of the matters mentioned in subsection (2).

Maximum penalty—200 penalty units.

- (5) In this section—

*an amount relating to the disability* includes an amount relating to the disability resulting from any of the following—

- (a) a proceeding in a court;
- (b) action taken for compensation under the *Workers' Compensation and Rehabilitation Act 2003* or an Act or law of another State, a Territory or the Commonwealth corresponding to that Act;
- (c) an insurance claim;
- (d) any other action taken under the common law or under an Act or law of a State or Territory or the Commonwealth.

## **227 Confidentiality of police, disciplinary, mental health and other protected information**

- (1) This section applies to a person who—
  - (a) is or has been a public service employee employed in the department; and
  - (b) in that capacity, was given, or given access to, protected information about another person.
- (2) The following information is *protected information* about a person—
  - (a) police information about the person and information related to the police information;
  - (b) domestic violence information about the person and information related to the domestic violence information;

- (c) disciplinary information about the person;
  - (d) NDIS disciplinary or misconduct information about the person;
  - (e) information about the person's mental health, including, for example, information given to the chief executive under part 5, division 8, subdivision 6;
  - (f) other information about the person given to the chief executive to help the chief executive decide whether the person poses an unacceptable risk of harm to people with disability, including information about the person given to the chief executive—
    - (i) by the NDIS commission; or
    - (ii) by an NDIS worker screening unit; or
    - (iii) by a prescribed entity under section 138R.
- (3) The person must not use the protected information, or disclose or give access to the protected information to anyone else, unless the use, disclosure or giving of access is allowed under subsection (4).
- Maximum penalty—100 penalty units or 2 years imprisonment.
- (4) The person may use the protected information, or disclose or give access to the protected information to another person, if the use, disclosure or giving of access—
- (a) is for the performance of the chief executive's screening functions; or
  - (b) is expressly permitted under part 5; or
  - (c) happens with the consent of the person to whom the information relates; or
  - (d) is otherwise required under an Act.

## **228 Confidentiality of other information**

- (1) This section applies to confidential information other than protected information under section 227(2).

- (2) If a person gains confidential information through involvement in this Act's administration, the person must not disclose the information to anyone, other than under subsection (4), (5) or (6).

Maximum penalty—100 penalty units.

- (3) A person gains information through involvement in this Act's administration if the person gains the information because of being, or an opportunity given by being—
- (a) the chief executive; or
  - (b) an employee in the department; or
  - (c) a person contracted by the chief executive to provide disability services or NDIS supports or services for the department; or
  - (d) a person contracted by the department for the purpose of conducting a multidisciplinary assessment, or developing a positive behaviour support plan, under part 6, division 3; or
  - (e) a person authorised by the chief executive to carry out research related to the objects of this Act; or
  - (f) a member of a Ministerial advisory committee.
- (4) A person may disclose information to someone else—
- (a) for administering, monitoring or enforcing compliance with, this Act; or
  - (b) to discharge a function under another law; or
  - (c) for a proceeding in a court or tribunal; or
  - (d) if authorised under another law or a regulation made under this Act; or
  - (e) if—
    - (i) the person is authorised in writing by the person to whom the information relates; and
    - (ii) the person to whom the information relates is an adult when the authorisation is given; or



- (f) to protect a person with disability from abuse, neglect or exploitation.
- (5) Also, a person may disclose information to—
  - (a) another department, a funded service provider, an NDIS service provider or another entity to enable the department, service provider or entity to provide for the needs of a person with disability; or
  - (b) the Commonwealth or another entity for the purposes of an agreement with the Commonwealth.
- (6) The chief executive may—
  - (a) disclose information to the NDIS commissioner if satisfied the disclosure would assist in the performance of the commissioner's functions under the *National Disability Insurance Scheme Act 2013* (Cwlth); or
  - (b) disclose information to an entity responsible for the administration or enforcement of a corresponding law if satisfied the disclosure would assist in the performance of the entity's functions relating to the corresponding law.

## **229 Power to require information or documents**

- (1) The chief executive may give notice to a funded service provider or an NDIS service provider requiring the service provider to give the chief executive, within a stated reasonable time, information or a document relating to the provision of disability services or NDIS supports or services to consumers of the service provider.
- (2) The funded service provider or NDIS service provider must comply with the notice.
- (3) For a requirement to give a document, the funded service provider or NDIS service provider may comply with the requirement by giving a copy of the document certified as a true copy of the document.

## **230 Protection from liability for giving information**

- (1) This section applies to the giving of information to the chief executive, by a funded service provider or an NDIS service provider under this Act.
- (2) A funded service provider or an NDIS service provider, or a person on behalf of the service provider, may give the information despite any other law that would otherwise prohibit or restrict the giving of the information.
- (3) If a person, acting honestly on reasonable grounds, gives the information to the chief executive, the person is not liable, civilly, criminally or under an administrative process, for giving the information.
- (4) Also, merely because the person gives the information, the person can not be held to have—
  - (a) breached any code of professional etiquette or ethics; or
  - (b) departed from accepted standards of professional conduct.
- (5) Without limiting subsections (3) and (4)—
  - (a) in a proceeding for defamation, the person has a defence of absolute privilege for publishing the information; and
  - (b) if the person would otherwise be required to maintain confidentiality about the information under an Act, oath or rule of law or practice, the person—
    - (i) does not contravene the Act, oath or rule of law or practice by giving the information; and
    - (ii) is not liable to disciplinary action for giving the information.
- (6) In this section—  
*information* includes a document.

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**231 Chief executive to advise on-disclosure**

- (1) This section applies to information or a document that the chief executive has obtained from a funded service provider or an NDIS service provider under section 229.
- (2) The chief executive must advise the funded service provider or NDIS service provider before giving the information or document to another entity, unless the chief executive considers that doing so would not be in the best interests of a consumer to whom the information or document relates.

**233 Chief executive may enter into arrangements about giving and receiving information about eligible persons**

- (1) The purpose of this section is to facilitate the monitoring and reconciliation of funding under this Act and other Acts, including the *National Disability Insurance Scheme Act 2013* (Cwlth), by sharing information about persons who may be eligible persons.
- (2) If the chief executive requests auditing information about persons who may be eligible persons from the chief executive of another department, the other chief executive must comply with the request.
- (3) To facilitate the giving of auditing information about persons who may be eligible persons, the chief executive and the chief executive of the other department may enter into a written arrangement by which the auditing information is given or received.
- (4) Without limiting subsection (3), the arrangement may provide for the electronic transfer of auditing information about persons who may be eligible persons.
- (5) However, if auditing information about persons who may be eligible persons is to be electronically transferred and, under this Act, there is a limitation on who may access the information or the purposes for which the information may be used, the arrangement must provide for the limitation.

- (6) Without limiting section 228(5), the chief executive may disclose to the chief executive of another department under the arrangement mentioned in subsection (3) the fact that an eligible person has become, or will not become, a participant under the *National Disability Insurance Scheme Act 2013* (Cwlth).

- (7) In this section—

***auditing information***, about a person who may be an eligible person, means each of the following—

- (a) the person's full name;
- (b) the person's unique agency client identifier, if any;
- (c) the person's date of birth;
- (d) the person's gender;
- (e) the person's residential address;
- (f) the name and full contact details of the person's carer or guardian and details of any relationship between the person and the person's carer or guardian.

***eligible person*** means a person receiving services funded or delivered by a department who may meet the access criteria under the *National Disability Insurance Scheme Act 2013* (Cwlth), section 21.

## **234 Delegation by Minister**

- (1) The Minister may delegate the Minister's powers under this Act to an appropriately qualified person who is a public service employee.
- (2) However, the Minister must not delegate the review of the Act under section 240.

## **235 Delegation by chief executive**

The chief executive may delegate the chief executive's powers under this Act to an appropriately qualified person who is a public service employee.

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**236 Protecting officials from liability**

- (1) An official is not civilly liable for an act done, or omission made, honestly and without negligence under this Act.
- (2) If subsection (1) prevents a civil liability attaching to an official, the liability attaches instead to the State.
- (3) In this section—  
*official* means—
  - (a) the Minister; or
  - (b) the chief executive; or
  - (c) a public service employee; or
  - (d) a member of a Ministerial advisory committee; or
  - (e) a person acting under the direction of an official.

**237 Approval of forms**

The chief executive may approve forms for use under this Act.

**238 Service of documents**

- (1) If a document is required or permitted under this Act to be given to a person, the document may be given to the person by fax transmission directed and sent to—
  - (a) the last fax number given to the giver of the document by the person as the facsimile transmission number for service of documents on the person; or
  - (b) the fax transmission number operated—
    - (i) at the address of the person last known to the giver of the document; or
    - (ii) if the person is a corporation, at the corporation's registered office under the Corporations Act.
- (2) A document given under subsection (1) is taken to have been given on the day the document is transmitted.

## **239 Regulation-making power**

- (1) The Governor in Council may make regulations under this Act.
- (2) A regulation may—
  - (a) provide for arrangements between the chief executive and the chief executive (working with children) in relation to receiving, withdrawing, dealing with and deciding combined applications; and
  - (b) prescribe fees payable under this Act, the matters for which fees are payable and provide for the refund or waiver of the fees; and
  - (c) impose a penalty of not more than 20 penalty units for a contravention of a provision of a regulation.

## **240 Review of Act**

The Minister must review the efficacy and efficiency of this Act as soon as practicable after the end of 5 years after the commencement of this section.

## **241 Review of Guardianship and Administration Act 2000, ch 5B**

When the Minister conducts the review required under section 240, the Minister and the Minister responsible for administering the *Guardianship and Administration Act 2000*, acting jointly, must review the efficacy and efficiency of chapter 5B of that Act.

## **241A Review of impact of NDIS on Act**

- (1) The Minister must review the efficacy and efficiency of this Act in the light of amendments of the Act made by the *Disability Services and Other Legislation Amendment Act 2016*.
- (2) The review must be completed by 30 June 2019.

## **241AA Review of particular matters**

- (1) The Minister must review—
  - (a) section 32A; and
  - (b) section 140; and
  - (c) the chief executive's functions under part 6, division 3, subdivisions 2 and 3; and
  - (d) section 216.
- (2) The review must be completed within 1 year after the commencement.

# **Part 9                      Repeal and transitional provisions**

## **Division 1                      Repeal**

### **242      Repeal of Disability Services Act 1992**

The Disability Services Act 1992 No. 24 is repealed.

## **Division 2                      Transitional provisions for Act No. 12 of 2006**

### **243      Definitions for div 2**

In this division—

***commencement*** means the commencement of this part.

***repealed Act*** means the *Disability Services Act 1992*.

**244 Screening of persons engaged by funded non-government service providers at the commencement**

- (1) This section applies to a funded non-government service provider who is engaging a person at a service outlet of the service provider at the commencement.
- (2) Section 65 does not apply to the continued engagement of the person until the earliest of the following—
  - (a) the end of the period after the commencement prescribed under a regulation;
  - (b) if an application for a prescribed notice about the person is made within that period and is not withdrawn—the day a prescribed notice is issued to the person;
  - (c) if an application for a prescribed notice about a person is made within that period and is withdrawn—the day of the withdrawal.
- (3) For subsection (2)(a), a regulation must—
  - (a) name each funded non-government service provider; and
  - (b) assign a category to the service provider; and
  - (c) state the period after the commencement, not exceeding 6 months, that applies to the category.
- (4) The category must relate to the type of disability services provided by the service provider and is for the purposes of this section only.
- (5) A particular category may be assigned to a funded non-government service provider even though the service provider also falls within another category.

**245 Certain non-government service providers taken to be approved under part 6 and to be funded non-government service providers**

- (1) This section applies to a non-government service provider that, at the commencement, is receiving financial assistance under the repealed Act that is recurrent funding.



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- (2) The service provider is, from the commencement, taken to be—
- (a) an approved non-government service provider for this Act; and
  - (b) a funded non-government service provider for this Act.

*Note—*

The definition *approved non-government service provider* and part 6 were omitted from this Act by the *Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014*.

## **246 When grants of financial assistance under the repealed Act continue**

- (1) This section applies to a non-government service provider that, at the commencement, is receiving financial assistance under the repealed Act that is recurrent funding.
- (2) Subject to subsection (4), the service provider may continue to receive recurrent funding under this Act.
- (3) If the service provider has, before the commencement, signed an agreement under the repealed Act known as a general service agreement, that agreement is taken to be a funding agreement under this Act.

*Note—*

The definition *funding agreement* was omitted from this Act by the *Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014*.

- (4) If the service provider has not signed a general service agreement before the commencement, funding must stop 3 months after the commencement unless—
  - (a) the Minister approves funding under this Act; and
  - (b) a funding agreement is signed by the service provider.
- (5) No compensation is payable to a service provider if funding to the service provider stops under subsection (4).

**247 Queensland disability service standards to continue in force**

- (1) The prescribed standards are taken to be service standards made and notified under this Act and take effect for this Act from the commencement.

*Note—*

The definition *service standards* was omitted from this Act by the *Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014*.

- (2) The prescribed standards may be amended and repealed under this Act.
- (3) In this section—

***prescribed standards*** means the standards called the Queensland disability service standards that were approved by the Minister administering the *Disability Services Act 1992* in December, 2003.

**248 Disability sector quality system to continue in force**

- (1) The prescribed system is taken to be the disability sector quality system approved under this Act and takes effect for this Act from the commencement.

*Note—*

The definition *disability sector quality system* was omitted from this Act by the *Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014*.

- (2) The Minister may approve an amendment of, or the repeal of, the prescribed system under this Act.
- (3) Subsection (4) applies if—
  - (a) the Minister administering the *Disability Services Act 1992* has approved an entity as being suitable to accredit another entity for the purpose of the other entity deciding whether a service provider has met the service standards for the prescribed system; and
  - (b) the approval is in force immediately before the commencement.

- (4) The entity is taken to be an entity approved under section 38.
- (5) The Minister may revoke the approval of the entity.
- (6) In this section—

*prescribed system* means the process called the disability sector quality system that was approved by the Minister administering the *Disability Services Act 1992* in June, 2004.

## Division 3                      **Transitional provisions for Disability Services and Other Legislation Amendment Act 2008 and Justice and Other Legislation Amendment Act 2010**

### Subdivision 1              **Preliminary**

#### **249      Interpretation**

- (1) In this division—

*authorised guardian*, for an adult with an intellectual or cognitive disability in relation to a restrictive practice, means a guardian for the adult—

- (a) appointed before the commencement under the *Guardianship and Administration Act 2000*; and
- (b) who is authorised under that Act, in accordance with the terms of the guardian's appointment, to make decisions about the use of the restrictive practice in relation to the adult.

*Note—*

See also the GAA, section 265.

*commencement* means the commencement of this division.

*compliance period* means—

- (a) if the restrictive practice used is containment or seclusion or restricting access—6 months from the day the relevant service provider first uses the restrictive practice in relation to the adult on or after the commencement; or
- (b) if the restrictive practice used is chemical, mechanical or physical restraint—9 months from the day the relevant service provider first uses the restrictive practice in relation to the adult on or after the commencement.

***previous service provider*** means—

- (a) the State, to the extent the State provided disability services for adults with an intellectual or cognitive disability before the commencement; or
- (b) a person other than the State who provided disability services funded by the State for adults with an intellectual or cognitive disability before the commencement.

***transitional period*** means the period starting on the commencement and ending 33 months after the commencement.

- (2) If the context permits, terms used in this division that are defined for part 6 have the meanings given for part 6.

## **250 Declaration about transitional period**

To remove doubt, it is declared that for all purposes, including for anything done in relation to the transitional period, the transitional period includes, and is taken to have always included, the period from after 30 September 2010 until the commencement of this section.

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## **Subdivision 2      Immunity from liability for use of restrictive practices before commencement**

### **251      Immunity of previous service provider**

A previous service provider is not criminally or civilly liable for using a restrictive practice before the commencement in relation to an adult with an intellectual or cognitive disability if—

- (a) the previous service provider acted honestly and without negligence; and
- (b) using the restrictive practice was—
  - (i) necessary to prevent the adult’s behaviour causing harm to the adult or others; and
  - (ii) the least restrictive way of ensuring the safety of the adult or others; and
- (c) within a reasonable time before using the restrictive practice, the previous service provider assessed the adult to identify—
  - (i) the nature and causes of the adult’s behaviour that caused harm to the adult or others; and
  - (ii) strategies for managing the adult’s behaviour that caused harm to the adult or others, and for meeting the adult’s needs; and
- (d) the previous service provider carried out monitoring in relation to use of the restrictive practice to ensure the safety of the adult.

### **252      Immunity of individual acting for previous service provider**

- (1) This section applies to an individual who, acting for a previous service provider before the commencement, used a

restrictive practice in relation to an adult with an intellectual or cognitive disability.

- (2) The individual is not criminally or civilly liable for using the restrictive practice if—
- (a) the individual acted honestly and without negligence; and
  - (b) use of the restrictive practice was necessary, or the individual reasonably believed use of the restrictive practice was necessary, to prevent the adult's behaviour causing harm to the adult or others.

### **Subdivision 3      Immunity from liability for use of restrictive practices during transitional period**

#### **253      Immunity of relevant service provider**

- (1) A relevant service provider is not criminally or civilly liable for using a restrictive practice during the transitional period in relation to an adult with an intellectual or cognitive disability if—
- (a) the relevant service provider acts honestly and without negligence; and
  - (b) use of the restrictive practice is—
    - (i) necessary to prevent the adult's behaviour causing harm to the adult or others; and
    - (ii) the least restrictive way of ensuring the safety of the adult or others; and
  - (c) either—
    - (i) if there is an authorised guardian for the adult in relation to the restrictive practice—the restrictive practice is used in compliance with the consent of the authorised guardian; or

- (ii) otherwise—the relevant service provider complies with section 254; and
  - (d) the relevant service provider carries out monitoring in relation to use of the restrictive practice to ensure the safety of the adult; and
  - (e) for containment or seclusion—within 60 days after first containing or secluding the adult on or after the commencement, the relevant service provider gives notice to the chief executive in the approved form of the containment or seclusion of the adult; and
  - (f) from the first day after the end of the compliance period until the last day of the transitional period—the relevant service provider keeps and implements a policy about use of the restrictive practice during the transitional period that is consistent with the department's policy about use of the restrictive practice mentioned in section 258.
- (2) Subsection (1)(b) to (d) does not apply if the restrictive practice is chemical restraint (fixed dose) used in the course of providing respite services to the adult.
- (3) This section is subject to section 257.

## **254 Requirement to assess adult if no authorised guardian**

For section 253(1)(c)(ii), the requirements are that the relevant service provider must, during the compliance period, assess the adult to identify—

- (a) the nature and causes of the adult's behaviour that causes harm to the adult or others; and
- (b) strategies for managing the adult's behaviour that causes harm to the adult or others, and for meeting the adult's needs.

## **255 Immunity for individual acting for relevant service provider**

- (1) This section applies to an individual who, acting for a relevant service provider during the transitional period, uses a restrictive practice in relation to an adult with an intellectual or cognitive disability.
- (2) The individual is not criminally or civilly liable for using the restrictive practice if—
  - (a) the individual acts honestly and without negligence; and
  - (b) use of the restrictive practice is necessary, or the individual reasonably believes use of the restrictive practice is necessary, to prevent the adult's behaviour causing harm to the adult or others.
- (3) Subsection (2)(b) does not apply if the restrictive practice is chemical restraint (fixed dose) used in the course of providing respite services to the adult.
- (4) This section is subject to section 257.

## **256 Relationship of subdivision with pt 6**

This subdivision does not limit part 6.

## **257 Circumstances in which subdivision stops applying**

- (1) This section provides for the circumstances in which this subdivision stops applying to the use of a restrictive practice by a relevant service provider in relation to an adult with an intellectual or cognitive disability.
- (2) If the restrictive practice is not used in the course of providing respite services or community access services to the adult, the circumstances are—
  - (a) for a restrictive practice considered by the tribunal—the tribunal gives, or refuses to give, an approval under the *Guardianship and Administration Act 2000*, section 80V or 80X authorising the relevant service



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- provider to use the restrictive practice in relation to the adult; or
- (b) for chemical, mechanical or physical restraint—a guardian for a restrictive practice (general) matter for the adult gives, or refuses to give, consent to use of the restrictive practice by the relevant service provider; or
  - (c) for restricting access—a guardian for a restrictive practice (general) matter, or an informal decision-maker, for the adult gives, or refuses to give, consent to use of the restrictive practice by the relevant service provider.
- (3) If the restrictive practice is used in the course of providing respite services or community access services to the adult, the circumstances are a guardian for a restrictive practice (respite) matter, or an informal decision-maker, for the adult gives, or refuses to give, consent to use of the restrictive practice by the relevant service provider.

## **Subdivision 4      Other provisions**

### **258      Requirements for department's policy about use of restrictive practices during transitional period**

- (1) The department must have a policy about use of each type of restrictive practice during the transitional period.
- (2) The policy must outline the procedures a relevant service provider must use to—
  - (a) if the relevant service provider is required to comply with section 254—assess an adult with an intellectual or cognitive disability; and
  - (b) ensure an individual acting for the relevant service provider who uses the restrictive practice in relation to the adult—
    - (i) has sufficient knowledge of the requirements for lawful use of the restrictive practice; and

- (ii) has the skills and knowledge required to use the restrictive practice appropriately; and
- (c) monitor use of the restrictive practice to safeguard against abuse, neglect or exploitation; and
- (d) review use of the restrictive practice at least once every 9 months; and
- (e) if the policy is about restricting access—minimise the impact on other persons living at the premises.
- (3) Subsection (2) does not limit the matters that may be stated in the policy.
- (4) The policy must ensure appropriate regard is had to linguistic and cultural diversity and Aboriginal tradition and Island custom.

*Editor's note—*

For definitions *Aboriginal tradition* and *Island custom*, see the *Acts Interpretation Act 1954*, schedule 1.

- (5) The chief executive must keep a copy of the policy available for inspection free of charge by relevant service providers at—
  - (a) the department's head office and regional offices; and
  - (b) other places the chief executive considers appropriate.
- (6) Also, the chief executive must publish the policy on the department's website on the internet.
- (7) This section stops applying on the day after the transitional period ends.

## **259 Short term approvals not to be given during transitional period**

- (1) Sections 153 and 170 and part 6, division 4, subdivision 4 do not apply during the transitional period.
- (2) In this section—

*transitional period* means the period starting on the commencement and ending on the date of assent of the *Criminal History Screening Legislation Amendment Act 2010*.

## **Division 4                      Transitional provision for Criminal Code and Other Acts Amendment Act 2008**

### **260        References in schs 2 and 4 to Criminal Code offence**

Schedules 2 and 4 apply as if a reference to the Criminal Code, section 208 included a reference to the Criminal Code, section 209 as in force at any time before its repeal by the *Criminal Code and Other Acts Amendment Act 2008*.

## **Division 5                      Transitional provisions for Criminal History Screening Legislation Amendment Act 2010**

### **Subdivision 1              Preliminary**

#### **261        Definition for div 5**

In this division—

*amending Act* means the *Criminal History Screening Legislation Amendment Act 2010*.

### **Subdivision 2              Transitional provisions relating to previous part 9**

#### **262        Definitions for sdiv 2**

In this subdivision—

***commencement*** means the commencement of this section.

***engaged by the department*** means engaged by the department as defined under section 63 of the unamended Act.

***prescribed police information*** means a report or other information mentioned in section 70(2) of the unamended Act.

***unamended Act*** means this Act as in force from time to time before the commencement.

## **263 Notice about change in criminal history not given at the commencement**

- (1) This section applies if—
  - (a) before the commencement, there is a change in the criminal history of a person engaged by the department; and
  - (b) at the commencement, the person has not disclosed the details of the change to the chief executive as required by section 67 of the unamended Act; and
  - (c) the person is engaged by the department at a service outlet of the department.
- (2) Section 76 applies in relation to the change in the person's criminal history.

*Note—*

See also the *Public Service Act 2008*, sections 155B and 257.

## **264 Request for prescribed police information not complied with at the commencement**

- (1) This section applies if—
  - (a) the chief executive has, under section 70 of the unamended Act, asked the police commissioner for prescribed police information about a person; and

- (b) at the commencement, the police commissioner has not given the prescribed police information to the chief executive.
- (2) Despite section 70(3) of the unamended Act, the police commissioner is no longer required to comply with the chief executive's request.

**265 Particular prescribed police information obtained but not used before commencement**

- (1) This section applies if—
  - (a) before the commencement, the police commissioner gave the chief executive a person's prescribed police information under section 70 of the unamended Act; and
  - (b) at the commencement, the chief executive has not, in relation to the prescribed police information, made an assessment about the person's suitability for engagement, or continued engagement, by the department under section 72 of the unamended Act.
- (2) The chief executive must immediately—
  - (a) destroy the prescribed police information; and
  - (b) stop making the assessment.

*Note—*

Now see the following in relation to the engagement, or continued engagement, of the person by the department—

- (a) if the person is engaged or to be engaged at a service outlet of the department—part 5;
- (b) if the person is engaged or to be engaged in regulated employment—the Working with Children Act, chapter 8 and the *Public Service Act 2008*, chapter 5, part 6, division 3A;
- (c) in any other case—the *Public Service Act 2008*, chapter 5, part 6.

**266 Notice not given by prosecuting authority at the commencement**

- (1) This section applies if—

- (a) before the commencement, a person engaged by the department is charged with an indictable offence; and
  - (b) at the commencement, the police commissioner or director of public prosecutions (a ***prosecuting authority***) has not given information about the charge to the chief executive as required by section 71 of the unamended Act.
- (2) Despite section 71 of the unamended Act, the prosecuting authority is no longer required to give the information to the chief executive.

## **267 Use of particular information obtained before commencement**

Section 72(2) of the unamended Act continues to apply in relation to information about a person received by the chief executive under part 9 of the unamended Act as if the amending Act had not been enacted.

## **Subdivision 3 Transitional provisions relating to particular amendments of part 5**

### **268 Explanation of sdiv 3**

- (1) The amendments of provisions of part 5 of this Act by the amending Act are to commence in 2 stages.
- (2) This subdivision provides for particular transitional arrangements for amendments of provisions of part 5 that are to commence in the first of the 2 stages.

### **269 Definitions for sdiv 3**

In this subdivision—

***commencement*** means the commencement of this section.

***stage 2 commencement date*** means the day subdivision 4 commences.

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***unamended Act*** means this Act as in force from time to time before the commencement.

## **270 New serious offences**

- (1) The amendment of section 47 by the amending Act does not affect a positive notice that is in force at the commencement.
- (2) Without limiting subsection (1), the chief executive may not cancel a person's positive notice on the basis the person would not have been issued a positive notice under this Act because the person was charged with or convicted of a new serious offence before the commencement.
- (3) However, subsections (1) and (2) do not prevent the chief executive having regard to a charge or conviction of a person for a new serious offence happening before the commencement in making a decision about the person if—
  - (a) the decision is being made under this Act after the commencement—
    - (i) because there has been a change in the person's criminal history; or
    - (ii) because the chief executive has become aware of the charge or conviction (but was not aware of it at the time the positive notice was issued); or
  - (b) the decision relates to a prescribed notice application about the person—
    - (i) to which section 271 applies; or
    - (ii) made after the commencement.
- (4) In this section—

***new serious offence*** means an offence that—

- (a) is a serious offence under this Act; but
- (b) was not a serious offence under section 47 as in force immediately before the commencement.

## **271 Existing applications for prescribed notice**

- (1) This section applies if—
  - (a) a prescribed notice application has been made about a person; and
  - (b) the application has not been decided or withdrawn at the commencement.
- (2) This Act applies to the application and, if the application complies with the requirements of the unamended Act, the application is not invalid only because it does not comply with this Act as in force immediately after the commencement.

## **272 Continuation if chief executive acting on own initiative**

- (1) This section applies if—
  - (a) before the commencement, the chief executive had started on the chief executive's own initiative to exercise a power in relation to a person or a prescribed notice; and
  - (b) the chief executive may, immediately after the commencement, exercise the power under this Act.
- (2) The chief executive may continue to exercise the power under this Act in relation to the person or prescribed notice.

## **273 Replacement of positive notice or positive notice card**

- (1) This section applies if—
  - (a) a person's current positive notice or current positive notice card is lost or stolen 14 days or less before the commencement; and
  - (b) at the commencement, the person has not applied for a replacement notice or card as required under section 106 of the unamended Act.
- (2) Section 106 of the unamended Act does not apply to the person.



- (3) Section 106 as in force after the commencement applies to the person as if the reference to 14 days after the loss or theft were a reference to the later of the following—
  - (a) 14 days after the loss or theft;
  - (b) 7 days after the commencement.

## **274 Existing reviews and appeals**

- (1) This section applies if—
  - (a) before the commencement, a person—
    - (i) applied for a review of a decision of the chief executive under section 108 or 113(2)(a) of the unamended Act; or
    - (ii) appealed under the QCAT Act against a decision of the tribunal relating to a review under section 108 or 113(2)(a) of the unamended Act; and
  - (b) the review or appeal has not been decided at the commencement.
- (2) The entity hearing the review or appeal must apply this Act in relation to the matter the subject of the review or appeal.
- (3) Without limiting subsection (2), the entity may exercise a power of the chief executive under part 5 for deciding a review of a decision of the chief executive, or an appeal against a decision of the tribunal, as to whether or not there is an exceptional case for a person.

## **275 Police commissioner's decision that information is investigative information**

- (1) This section applies in relation to an offence (*new investigative information offence*) that—
  - (a) is a schedule 6 offence; but
  - (b) was not a serious sexual or violent offence within the meaning of that term under the unamended Act.

- (2) Section 111 applies in relation to a positive notice holder's acts or omissions constituting a new investigative information offence even if the acts were committed or omissions were made before the commencement of this section.
- (3) In this section—  
*schedule 6 offence* see section 111 as in force before the commencement of the *Criminal Law (Child Exploitation and Dangerous Drugs) Amendment Act 2013*, section 29.

## 276 References to exemption notices etc.

- (1) The purpose of this section is to explain references to any of the following in a provision of this Act as in force immediately after the commencement—
  - (a) an exemption notice application;
  - (b) an exemption notice;
  - (c) a positive exemption notice or negative exemption notice;
  - (d) a provision of proposed part 5, division 6.
- (2) Proposed part 5, division 6 provides for the making of an exemption notice application and the issue of an exemption notice to a person who holds a CCYPCG positive notice.
- (3) An exemption notice issued under proposed part 5, division 6 may be a positive exemption notice or negative exemption notice.
- (4) Proposed part 5, division 6 is to commence at the stage 2 commencement date, which is after the commencement.
- (5) A reference in part 5 to a thing mentioned in subsection (1)(a) to (d) does not have any effect until the stage 2 commencement date.
- (6) In this section—  
*proposed part 5, division 6* means part 5, division 6 of this Act to be inserted by the amending Act.

## **277 References to eligibility declarations etc.**

- (1) The purpose of this section is to explain references to any of the following in a provision of this Act as in force immediately after the commencement—
  - (a) an eligibility application;
  - (b) an eligibility declaration;
  - (c) a provision of proposed part 5, division 10.
- (2) Proposed part 5, division 10 provides for the making of an eligibility application and the issue of an eligibility declaration to a person.
- (3) Proposed part 5, division 10 is to commence at the stage 2 commencement date, which is after the commencement.
- (4) A reference in part 5 to a thing mentioned in subsection (1)(a) to (c) does not have any effect until the stage 2 commencement date.
- (5) In this section—

*proposed part 5, division 10* means part 5, division 10 of this Act to be inserted by the amending Act.

## **Subdivision 4 Transitional provisions relating to other amendments of part 5**

### **278 Explanation of sdiv 4**

- (1) The amendments of provisions of part 5 of this Act by the amending Act are to commence in 2 stages.
- (2) This subdivision provides for particular transitional arrangements for amendments of provisions of part 5 that are to commence in the second of the 2 stages.

### **279 Definitions for sdiv 4**

In this subdivision—

*commencement* means the commencement of this section.

*unamended Act* means this Act as in force from time to time before the commencement.

## **280 Obtaining particular information from police commissioner about particular persons**

- (1) This section applies in relation to a person if—
  - (a) the person—
    - (i) has a current positive notice; or
    - (ii) is the subject of an application for a prescribed notice that has not been decided or withdrawn at the commencement; or
    - (iii) has made an application for the cancellation of the person's negative notice, or the cancellation of the suspension of the person's positive notice, that has not been decided or withdrawn at the commencement; and
  - (b) under section 117, the chief executive requested information from the police commissioner, or access to the police commissioner's records, about the person before the commencement.
- (2) If, at the commencement, the police commissioner has complied with the request, the chief executive may ask the police commissioner for information, or access to the police commissioner's records, to enable the chief executive to learn whether there is any new police information about the person.
- (3) Section 117 applies in relation to the chief executive's request under subsection (2) as if it were made under section 117(2) in relation to only new police information.
- (4) If, at the commencement, the police commissioner has not complied with the request, section 117 as in force from the commencement applies in relation to the request.
- (5) In this section—

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*new police information* means information mentioned in section 117(7) or (8).

**281 Existing applications for prescribed notice about a disqualified person who is not a relevant disqualified person**

- (1) This section applies to a prescribed notice application made before the commencement if the application—
  - (a) has not been decided or withdrawn at the commencement; and
  - (b) relates to a person who is a disqualified person but not a relevant disqualified person.
- (2) If, at the time of the application, the person did not hold a positive notice or held a positive notice that was suspended under section 86—
  - (a) the application is taken to have been withdrawn; and
  - (b) the chief executive must give notice of the withdrawal to the person and the funded non-government service provider who made the application.
- (3) If, at the time of the application, the person held a positive notice that was not suspended under section 86—
  - (a) the person is taken to have been issued with an eligibility declaration other than for section 54(6)(b); and
  - (b) the chief executive must decide the application under this Act.
- (4) Subject to subsection (5), this Act applies to an application mentioned in subsection (3)(b) and, if the application complies with the requirements of the unamended Act, the application is not invalid only because it does not comply with this Act as in force immediately after the commencement.
- (5) Despite section 58(2), a prescribed notice issued under subsection (3)(b) in relation to a prescribed notice application made before the commencement remains current for 2 years

after it is issued, unless it is earlier cancelled under part 5, division 8.

**282 Existing applications for prescribed notice about a relevant disqualified person**

- (1) This section applies to a prescribed notice application made before the commencement if the application—
  - (a) has not been decided or withdrawn at the commencement; and
  - (b) relates to a person who is a relevant disqualified person.
- (2) The application is taken to have been withdrawn.
- (3) The chief executive must give notice of the withdrawal to the person and the funded non-government service provider who made the application.

**283 Other existing applications for prescribed notice**

- (1) This section applies if—
  - (a) a prescribed notice application has been made about a person; and
  - (b) the application has not been decided or withdrawn at the commencement; and
  - (c) neither section 281 nor section 282 applies to the application.
- (2) Subject to subsection (3), this Act applies to the application and, if the application complies with the requirements of the unamended Act, the application is not invalid only because it does not comply with this Act as in force immediately after the commencement.
- (3) Despite section 58(2), a prescribed notice issued under subsection (2) in relation to a prescribed notice application made before the commencement remains current for 2 years after it is issued, unless it is earlier cancelled under part 5, division 8.

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**284 Existing positive notices held by disqualified persons  
who are not relevant disqualified persons**

- (1) This section applies in relation to a positive notice issued under section 54(2)(a) before the commencement to a person who is a disqualified person but who is not—
  - (a) a relevant disqualified person; or
  - (b) a person in relation to whom the chief executive has started, before the commencement, to exercise a power under section 83.
- (2) If, at the commencement, the positive notice is not suspended under section 86, the person is taken to have been issued with an eligibility declaration.
- (3) If, at the commencement, the positive notice is suspended under section 86, this Act continues to apply in relation to the positive notice.
- (4) If, after the commencement, the chief executive is to make a decision about the person under section 54, and it is the first time the chief executive is to make a decision under that section about the person after the commencement, section 54(6)(b) does not apply to the chief executive making the decision.
- (5) Without limiting subsection (3), the chief executive may have regard to a charge or conviction of a person for a new disqualifying offence happening before the commencement in making a decision about the person or the person's positive notice under this Act.
- (6) In this section—

***new disqualifying offence*** means an offence that—

  - (a) is a disqualifying offence under this Act; but
  - (b) was not an excluding offence under section 81 as in force immediately before the commencement.

**285 Existing positive notices held by relevant disqualified person because of temporary or interim order**

- (1) This section applies in relation to a positive notice issued under section 54(2) before the commencement (including a positive notice that is suspended under section 86 at the commencement) to a person who is a relevant disqualified person only because the person is subject to either or both of the following—
  - (a) a temporary offender prohibition order;
  - (b) an interim sexual offender order.
- (2) If the positive notice is not suspended under section 86 at the commencement, the chief executive must, by notice given to the person, suspend the person's positive notice.
- (3) The notice must state the matters mentioned in section 86(2).
- (4) If the positive notice is suspended under section 86 at the commencement, the suspension continues subject to subsection (5).
- (5) Sections 86 and 87 apply to the suspended positive notice as if the notice were suspended under section 86(1) as in force immediately after the commencement.

**286 Existing positive notices held by other relevant disqualified person**

- (1) This section applies in relation to a positive notice issued under section 54(2) before the commencement (including a positive notice that is suspended under section 86 at the commencement) to a person who is a relevant disqualified person other than a relevant disqualified person mentioned in section 285(1).
- (2) The positive notice is cancelled.
- (3) If the positive notice is suspended under section 86 at the commencement, any application for the cancellation of the suspension under section 87 that has not been decided or withdrawn at the commencement is taken to have been withdrawn.



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- (4) The chief executive must give notice of the cancellation of the positive notice to—
- (a) the person; and
  - (b) the funded non-government service provider who—
    - (i) is engaging the person at a service outlet of the service provider; or
    - (ii) if the positive notice is suspended under section 86 at the commencement—was engaging the person at a service outlet of the service provider immediately before the positive notice was suspended.
- (5) A notice mentioned in subsection (4)—
- (a) must state that the positive notice is cancelled and the date the positive notice is cancelled; and
  - (b) is taken, for sections 70 and 71, to be a notice that a negative notice has been issued to the person on the date the positive notice is cancelled.

## **287 Currency of existing prescribed notices**

- (1) A positive notice in force at the commencement remains current for 2 years after it was issued under the unamended Act, unless it is earlier cancelled under part 5, division 8.
- (2) Subsection (1) applies despite section 58(2).

## **288 Existing applications to cancel negative notice by relevant disqualified person**

- (1) This section applies if—
  - (a) a person who is a relevant disqualified person has applied to the chief executive to cancel the person's negative notice under section 82 or 83(3); and
  - (b) the application has not been decided or withdrawn at the commencement.

- (2) The application is taken to have been withdrawn.
- (3) The chief executive must give notice of the withdrawal to the person.

## **289 Other existing applications to cancel a negative notice**

- (1) This section applies if—
  - (a) a person has applied to the chief executive to cancel the person's negative notice under section 82 or 83(3); and
  - (b) the application has not been decided or withdrawn at the commencement; and
  - (c) section 288 does not apply to the application.
- (2) This chief executive must decide the application under this Act.
- (3) For subsection (2), an application made under section 101(3) of the unamended Act is taken to have been made under section 82.

## **290 Continuation if chief executive acting on own initiative**

- (1) This section applies if—
  - (a) before the commencement, the chief executive had started on the chief executive's own initiative to exercise a power in relation to a person or a prescribed notice; and
  - (b) the chief executive may, immediately after the commencement, exercise the power under this Act.
- (2) The chief executive may continue to exercise the power under this Act in relation to the person or prescribed notice.
- (3) For subsection (1)(b), the chief executive's power under section 103 of the unamended Act is taken to be exercisable, immediately after the commencement, under section 83(1)(b)(ii).

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**291 Application of s 97 and sch 8, definition *relevant disqualified person***

For section 97(1)(a) and schedule 8, definition *relevant disqualified person*, paragraph (a), it is immaterial as to when the offence mentioned in the provision was committed or when the person to whom the provision applies was convicted.

*Example—*

An offence may have been committed, and the person convicted of the offence, before the commencement.

**292 Application of s 98**

- (1) This section applies in relation to a person who—
  - (a) is a disqualified person; and
  - (b) at the commencement, is engaged by a funded non-government service provider at a service outlet of the service provider; and
  - (c) is not a person in relation to whom section 281(3) or 284(2) applies.
- (2) To remove any doubt, it is declared that section 98(1)(b) applies to the person even if it is not an offence for a funded non-government service provider to engage the person at a service outlet of the service provider.

**293 Existing reviews and appeals by disqualified person**

- (1) This section applies if—
  - (a) before the commencement, a person—
    - (i) applied for a review of a decision of the chief executive under section 108 or 113(2)(a) of the unamended Act; or
    - (ii) appealed under the QCAT Act against a decision of the tribunal relating to a review under section 108 or 113(2)(a) of the unamended Act; and

- (b) the review or appeal has not been decided at the commencement; and
  - (c) the person is a disqualified person.
- (2) The entity hearing the review or appeal must dismiss the review or appeal.

## **294 Other existing reviews and appeals**

- (1) This section applies if—
  - (a) before the commencement, a person—
    - (i) applied for a review of a decision of the chief executive under section 108 or 113(2)(a) of the unamended Act; or
    - (ii) appealed under the QCAT Act against a decision of the tribunal relating to a review under section 108 or 113(2)(a) of the unamended Act; and
  - (b) the review or appeal has not been decided at the commencement; and
  - (c) the person is not a disqualified person.
- (2) The entity hearing the review or appeal must apply this Act in relation to the matter the subject of the review or appeal.
- (3) For subsection (2), a review under section 108 of the unamended Act is taken to be a review under section 109.
- (4) Without limiting subsection (2), the entity may exercise a power of the chief executive under part 10 for deciding a review of a decision of the chief executive, or an appeal against a decision of the tribunal, as to whether or not there is an exceptional case for a person.

## **295 Disqualification orders for acts done or omissions made before commencement**

A court may make a disqualification order under section 137 in relation to a person convicted of an offence after the

commencement arising out of an act done or omission made before the commencement.

## **296 Continuing engagement of volunteers**

- (1) This section applies if—
  - (a) immediately before the commencement, a person was engaged by a funded non-government service provider at a service outlet of the service provider as a volunteer; and
  - (b) at the commencement—
    - (i) the person does not have a current positive notice; and
    - (ii) there is a current prescribed notice application about the person.
- (2) The funded non-government service provider—
  - (a) may continue to engage the person in the regulated engagement even though the person does not have a current positive notice; and
  - (b) does not commit an offence against section 68 for the continued engagement.
- (3) Subsection (2) applies until the earlier of the following—
  - (a) 12 months after the commencement;
  - (b) the funded non-government service provider is given a notice by the chief executive that a negative notice has been issued to the person or the application is withdrawn.

## **297 Provision about persons engaged to provide disability services only to children**

- (1) Sections 281 to 283 apply in relation to a prescribed notice application about a person engaged, or to be engaged, by a funded non-government service provider to provide disability services only to children.

- (2) Sections 284 to 287 apply in relation to a current positive notice held by a person engaged by a funded non-government service provider to provide disability services only to children.
- (3) A current positive notice card or current negative notice held by a person engaged by a funded non-government service provider to provide disability services only to children continues subject to section 58.
- (4) This section applies despite section 42.
- (5) In this section—

***current***, for a prescribed notice or positive notice card, means current under section 58 at the commencement.

*Note—*

See the CCYPCG Act, chapter 8 for obligations relating to children disability services.

## **298 Provision about holders of CCYPCG positive notice**

- (1) Sections 281 to 283 apply in relation to a prescribed notice application about the holder of a CCYPCG positive notice despite section 51.
- (2) Sections 284 to 287 apply in relation to a current positive notice held by the holder of a CCYPCG positive notice at the commencement despite section 51.
- (3) A current positive notice card or current negative notice held by the holder of a CCYPCG positive notice at the commencement continues in effect despite section 51 but subject to section 58.
- (4) Section 95 applies to a current positive notice or current negative notice held by the holder of a CCYPCG positive notice at the commencement.
- (5) A court may make an order under section 137 before the commencement stating that a person who holds a CCYPCG positive notice—

- (a) may not hold a positive exemption notice, or apply for an exemption notice, for a stated period; or
- (b) may never hold a positive exemption notice or apply for an exemption notice.

## **Subdivision 5      Other transitional provision**

### **299      References to Youth Justice Act 1992**

- (1) This section applies to a reference to the *Youth Justice Act 1992* in a provision of this Act if the provision commences before the JJA short title amendment commences.
- (2) Until the JJA short title amendment commences, the reference is taken to be a reference to the *Juvenile Justice Act 1992*.
- (3) In this section—

*JJA short title amendment* means the *Juvenile Justice and Other Acts Amendment Act 2009*, section 9.

## **Division 6                      Provision for the Health Practitioner Registration and Other Legislation Amendment Act 2013**

### **300      Application of pt 5 to former registered speech pathologist**

- (1) Section 43 continues to apply to the engagement of a former registered speech pathologist to the extent mentioned in that section for a period of 3 months after the commencement.
- (2) In this section—

*commencement* means the commencement of this section.

*former registered speech pathologist* means a person who, immediately before the commencement, was registered under the repealed *Speech Pathologists Registration Act 2001*.

## **Division 7                      Transitional provisions for Criminal Law (Child Exploitation and Dangerous Drugs) Amendment Act 2013**

### **Subdivision 1              Interpretation**

#### **301       Definitions for div 7**

In this division—

***amended Act*** means this Act as amended by the *Criminal Law (Child Exploitation and Dangerous Drugs) Amendment Act 2013*.

***commencement*** means the commencement of this section.

***new disqualified person*** means a person who is a disqualified person only because the person has been convicted or is convicted of a new disqualifying offence.

***new disqualifying offence*** means an offence that is a disqualifying offence under this Act but was not a disqualifying offence under this Act immediately before the commencement.

***new relevant disqualified person*** means a person who is a relevant disqualified person only because the person has been convicted or is convicted of a new disqualifying offence for which an imprisonment order has been or is imposed.

***new serious offence*** means an offence that is a serious offence under this Act but was not a serious offence under this Act immediately before the commencement.



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## **Subdivision 2      Prescribed notice applications and prescribed notices**

### **302      Existing prescribed notice application by new relevant disqualified person**

- (1) This section applies if—
  - (a) before the commencement a prescribed notice application had been made about a person; and
  - (b) immediately before the commencement the application had not been decided or withdrawn; and
  - (c) at the commencement the person is a new relevant disqualified person.
- (2) The person's application is taken to be withdrawn.
- (3) The chief executive must give written notice about the withdrawal of the application to the person and the funded non-government service provider who made the application.

### **303      Existing prescribed notice application if person charged with new disqualifying offence or is new disqualified person but not new relevant disqualified person**

- (1) This section applies if—
  - (a) before the commencement a prescribed notice application had been made about a person; and
  - (b) immediately before the commencement the application had not been decided or withdrawn; and
  - (c) at the commencement the person—
    - (i) is charged with a new disqualifying offence; or
    - (ii) is a new disqualified person but not a new relevant disqualified person.

*Note—*

If a person holds a positive notice, see also sections 306 and 307.

- (2) Subsection (3) applies if—

- (a) at the commencement the person is charged with a new disqualifying offence; or
  - (b) at the commencement the person is a new disqualified person but not a new relevant disqualified person and, at the time of making the application—
    - (i) was not issued, or not taken to have been issued, an eligibility declaration; and
    - (ii) did not hold a positive notice.
- (3) At the commencement—
- (a) the application is taken to be withdrawn; and
  - (b) the chief executive must give written notice about the withdrawal of the application to the person and the funded non-government service provider who made the application.

*Note—*

If a person charged with a new disqualifying offence also holds a positive notice, see also sections 307 and 86.

- (4) Subsection (5) applies if the person is a new disqualified person but not a new relevant disqualified person and at the time of making the application the person—
- (a) was not issued, or not taken to have been issued, an eligibility declaration; and
  - (b) held a positive notice that was not suspended.
- (5) At the commencement—
- (a) the person is taken to have been issued an eligibility declaration other than for section 54(6)(b); and
  - (b) the chief executive must decide the application under the amended Act.
- (6) If the person is a new disqualified person but not a new relevant disqualified person and, at the time of the application the person was issued an eligibility declaration, at the commencement the chief executive must decide the

application under the amended Act but section 54(6)(b) does not apply to the chief executive making the decision.

- (7) For subsections (3) and (6), if before the commencement the chief executive had, under section 82, cancelled a negative notice issued to the person, on the commencement section 54(6)(a) does not apply to the chief executive making the decision.

### **304 Other existing prescribed notice applications**

- (1) This section applies if—
- (a) before the commencement a prescribed notice application had been made about a person; and
  - (b) immediately before the commencement the application had not been decided or withdrawn; and
  - (c) section 302 or 303 does not apply.
- (2) At the commencement the chief executive must decide the application under the amended Act.

### **305 Current positive notice for new relevant disqualified person**

- (1) This section applies if—
- (a) before the commencement a person held a positive notice; and
  - (b) immediately before the commencement the notice was current; and
  - (c) at the commencement the person is a new relevant disqualified person.
- (2) At the commencement the amended Act applies and the chief executive must cancel the person's positive notice and substitute a negative notice under section 85.

*Note—*

Under section 80, a person must return their cancelled positive notice and any positive notice card issued to the person to the chief executive.

- (3) Also, if the positive notice is suspended under section 86 at the commencement, any application for the cancellation of the suspension under section 87 that has not been decided or withdrawn at the commencement is taken to have been withdrawn.
- (4) The chief executive must give written notice about the withdrawal of the application under subsection (3) to the person and the funded non-government service provider who made the application.

### **306 Current positive notice for new disqualified person other than new relevant disqualified person**

- (1) This section applies if—
  - (a) before the commencement a person held a positive notice; and
  - (b) immediately before the commencement the notice was current; and
  - (c) at the commencement the person is a new disqualified person but not a new relevant disqualified person.
- (2) If, at the commencement, the person's positive notice is suspended under section 86, the amended Act applies in relation to the positive notice.
- (3) If, at the commencement, the person is not issued, or not taken to have been issued, an eligibility declaration and the person's positive notice is not suspended under section 86—
  - (a) the person is taken to have been issued an eligibility declaration under section 101; and
  - (b) the amended Act applies in relation to the positive notice.
- (4) If, at the commencement, the person is issued, or taken to have been issued, an eligibility declaration and the person's positive notice is not suspended under section 86, the amended Act applies in relation to the person's positive notice.

- (5) If, after the commencement, the chief executive is to make a decision about the person under section 54, and it is the first time the chief executive is to make a decision under that section about the person after the commencement, section 54(6)(b) does not apply to the chief executive making the decision.

### **307 Other current positive notices**

- (1) This section applies if—
- (a) before the commencement a person held a positive notice; and
  - (b) immediately before the commencement the positive notice was current; and
  - (c) section 305 or 306 does not apply.
- (2) The amended Act applies to the person's positive notice.

## **Subdivision 3 Exemption notice applications and exemption notices**

### **308 Existing exemption notice application by new relevant disqualified person or person charged with a new disqualifying offence**

- (1) This section applies if—
- (a) before the commencement an exemption notice application was made about a person; and
  - (b) immediately before the commencement the application has not been decided or withdrawn; and
  - (c) at the commencement—
    - (i) the person is a new relevant disqualified person; or
    - (ii) the person is charged with a new disqualifying offence.
- (2) The application is taken to be withdrawn.

- (3) The chief executive must give written notice about the withdrawal of the application to the person and the funded non-government service provider who made the application.

**309 Existing exemption notice application if person is new disqualified person but not new relevant disqualified person**

- (1) This section applies if—
  - (a) before the commencement an exemption notice application was made about a person; and
  - (b) immediately before the commencement the application had not been decided or withdrawn; and
  - (c) at the commencement the person is a new disqualified person but not a new relevant disqualified person.
- (2) At the commencement the chief executive must decide the application under the amended Act.
- (3) However, if before the commencement the chief executive had under section 82 cancelled a negative exemption notice issued to the person, on the commencement section 54(6)(a) does not apply to the chief executive making the decision.
- (4) The chief executive may only act under section 61(3) if the chief executive has acted under section 62 after the commencement.

**310 Other existing exemption notice applications**

- (1) This section applies if—
  - (a) before the commencement an exemption notice application was made about a person; and
  - (b) immediately before the commencement the application had not been decided or withdrawn; and
  - (c) section 308 or 309 does not apply.
- (2) At the commencement the chief executive must decide the application under the amended Act.

- (3) Also, the chief executive may only act under section 61(3) if the chief executive has acted under section 62 after the commencement.

### **311 Current positive exemption notice for person whose CCYPCG positive notice is cancelled**

- (1) This section applies if—
- (a) before the commencement a person held a positive exemption notice; and
  - (b) immediately before the commencement the notice was current; and
  - (c) at the commencement the person no longer holds a CCYPCG positive notice.
- (2) At the commencement the person's positive exemption notice ceases to have effect under section 64.
- (3) Section 90 applies in relation to the positive exemption notice.

*Note—*

- 1 Section 90(2) requires the chief executive to give notice to a person if the person's positive exemption notice has ceased to have effect under section 64.
  - 2 Section 90(5) requires the person to return their positive exemption notice to the chief executive.
- (4) Also, if the person's positive exemption notice was suspended under section 88 immediately before the commencement, any application for the cancellation of the suspension under section 89 that has not been decided or withdrawn at the commencement is taken to have been withdrawn.
- (5) The chief executive must give written notice about the withdrawal of the application under subsection (4) to the person and the funded non-government service provider who made the application.

### **312 Other positive exemption notices**

- (1) This section applies if—

[s 313]

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- (a) before the commencement a person held a positive exemption notice; and
  - (b) immediately before the commencement the notice was current; and
  - (c) section 311 does not apply.
- (2) At the commencement the amended Act applies to the positive exemption notice.

## **Subdivision 4      Existing applications for cancellation or ending suspension of prescribed notices and exemption notices**

### **313      Existing applications to cancel negative notice or negative exemption notice**

- (1) This section applies if—
  - (a) before the commencement a person had applied to the chief executive to cancel the person's negative notice or negative exemption notice under section 82; and
  - (b) immediately before the commencement, the application had not been decided.
- (2) At the commencement—
  - (a) if the person is a new relevant disqualified person—
    - (i) the application is taken to be withdrawn; and
    - (ii) the chief executive must give written notice about the withdrawal of the application to the person; or
  - (b) if the person is not a new relevant disqualified person—the chief executive must decide the application under the amended Act.



**314 Existing application to end suspension of positive notice for person other than new relevant disqualified person**

- (1) This section applies if—
  - (a) before the commencement a person had applied to the chief executive to cancel the person's suspended positive notice under section 87; and
  - (b) immediately before the commencement the application had not been decided; and
  - (c) at the commencement the person is not a new relevant disqualified person.
- (2) At the commencement the chief executive must decide the application under the amended Act.

**315 Existing application to end suspension of positive exemption notice for person other than new relevant disqualified person**

- (1) This section applies if—
  - (a) before the commencement a person had applied to the chief executive to cancel the person's suspended positive exemption notice under section 89; and
  - (b) immediately before the commencement the application had not been decided; and
  - (c) at the commencement the person is not a new relevant disqualified person.
- (2) At the commencement the chief executive must decide the application under the amended Act.

**Subdivision 5 Eligibility applications and eligibility declarations**

**316 Existing eligibility application**

- (1) This section applies if—

- (a) before the commencement a person had made an eligibility application to the chief executive under section 99; and
  - (b) immediately before the commencement the person's eligibility application had not been decided or withdrawn.
- (2) At the commencement the chief executive must decide the application under the amended Act.

*Note—*

The chief executive may issue an eligibility declaration if the person has been convicted of a disqualifying offence and is not a relevant disqualified person. See section 101(1).

### **317 Current eligibility declaration for new relevant disqualified person or person charged with new disqualifying offence**

- (1) This section applies to a person if—
  - (a) before the commencement the chief executive had issued, or was taken to have issued, the person an eligibility declaration; and
  - (b) immediately before the commencement the person's eligibility declaration had not expired; and
  - (c) at the commencement the person—
    - (i) is a new relevant disqualified person; or
    - (ii) is charged with a new disqualifying offence.
- (2) At the commencement the person's eligibility declaration is taken to have expired.

*Note—*

If the person also holds a positive notice, see sections 305 and 307.

### **318 Current eligibility declaration for person convicted of new serious offence**

- (1) This section applies to a person if—

- (a) before the commencement the chief executive had issued, or was taken to have issued, the person an eligibility declaration; and
  - (b) immediately before the commencement the person's eligibility declaration had not expired; and
  - (c) before the commencement the person was convicted of a new serious offence.
- (2) At the commencement—
- (a) the amended Act applies to the person's eligibility declaration; and
  - (b) the person's eligibility declaration is taken to have been issued on the commencement.
- (3) If, after the commencement, the chief executive is to make a decision about the person under section 54, and it is the first time the chief executive is to make a decision under that section about the person after the commencement, section 54(6)(b) does not apply to the chief executive making the decision.

### **319 Other eligibility declarations**

- (1) This section applies to a person if—
- (a) before the commencement the chief executive had issued, or was taken to have issued, the person an eligibility declaration; and
  - (b) immediately before the commencement the person's eligibility declaration had not expired; and
  - (c) at the commencement section 317 or 318 does not apply.
- (2) At the commencement the amended Act applies to the person's eligibility declaration.

### **320 Existing application for reversal of decision refusing an eligibility declaration**

- (1) This section applies if—

- (a) before the commencement a person had made an application under section 107(2) to the chief executive; and
  - (b) immediately before the commencement the application had not been decided.
- (2) At the commencement the amended Act applies and the chief executive may decide the application under the amended Act.

## **Subdivision 6      Reviews and appeals**

### **321      Undecided reviews and appeals by new disqualified persons**

- (1) This section applies if—
  - (a) before the commencement, a person—
    - (i) applied, under section 109, for a review of a part 5 reviewable decision; or
    - (ii) appealed, under the QCAT Act, against a decision of the tribunal relating to a part 5 reviewable decision; and
  - (b) at the commencement—
    - (i) the application or appeal has not been decided; and
    - (ii) the person is a new disqualified person.
- (2) The application or appeal, and any proceeding in relation to the application or appeal, must be dismissed—
  - (a) if a proceeding in relation to the application or appeal is before a court—by the court; or
  - (b) otherwise—by the tribunal, even if the dismissal would be contrary to a direction of the Court of Appeal.

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**322 Review of part 5 reviewable decision about new disqualified person**

- (1) This section applies if—
- (a) before the commencement—
    - (i) the chief executive makes a part 5 reviewable decision about a person; and
    - (ii) the person has not applied for a review of the decision under section 109; and
  - (b) the person is a new disqualified person at the commencement.
- (2) At the commencement, the amended Act applies.

*Note—*

Under section 109, only a person who is not a disqualified person may apply for a review of a part 5 reviewable decision.

**323 Appeal by new disqualified person against decision of tribunal on review of part 5 reviewable decision**

- (1) This section applies if—
- (a) before the commencement, a person may appeal under the QCAT Act against a decision of the tribunal relating to a part 5 reviewable decision; and
  - (b) at the commencement—
    - (i) the time within which the person may appeal under the QCAT Act has not passed; and
    - (ii) the person is a new disqualified person.
- (2) Any appeal by the person against the decision must be dismissed—
- (a) if a proceeding in relation to the appeal is before a court—by the court; or
  - (b) otherwise—by the tribunal, even if the dismissal would be contrary to a direction of the Court of Appeal.

**324 Existing appeal by chief executive against decision of tribunal on review of part 5 reviewable decision**

- (1) This section applies if—
  - (a) before the commencement, the chief executive appealed, under the QCAT Act, against a decision of the tribunal relating to a part 5 reviewable decision about a person; and
  - (b) at the commencement—
    - (i) the appeal has not been decided; and
    - (ii) the person is a new disqualified person.
- (2) The entity hearing the appeal must apply the amended Act in relation to the matter the subject of the appeal.

**325 Appeal by chief executive against decision of tribunal on review of part 5 reviewable decision**

- (1) This section applies if—
  - (a) before the commencement, the chief executive may appeal under the QCAT Act against a decision of the tribunal relating to a part 5 reviewable decision about a person; and
  - (b) at the commencement—
    - (i) the time within which the chief executive may appeal under the QCAT Act (the *appeal period*) has not passed; and
    - (ii) the person is a new disqualified person.
- (2) The chief executive may appeal against the decision within the appeal period and the entity hearing the appeal must apply the amended Act in relation to the matter the subject of the appeal.

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**326 Existing reviews and appeals against part 5 reviewable decisions by persons other than new disqualified persons**

- (1) This section applies if—
  - (a) before the commencement—
    - (i) a person applied, under section 109, for a review of a part 5 reviewable decision; or
    - (ii) the chief executive or another person appealed, under the QCAT Act, against a decision of the tribunal relating to a part 5 reviewable decision; and
  - (b) at the commencement—
    - (i) the review or appeal has not been decided; and
    - (ii) the person about whom the part 5 reviewable decision was made is not a new disqualified person.
- (2) The entity hearing the review or appeal must apply the amended Act in relation to the matter the subject of the review or appeal.
- (3) To remove any doubt, it is declared that section 110 applies in relation to the application for the review.

**327 Review of part 5 reviewable decision about person other than new disqualified person**

- (1) This section applies if—
  - (a) before the commencement—
    - (i) the chief executive makes a part 5 reviewable decision about a person; and
    - (ii) the person has not applied for a review of the decision under section 109; and
  - (b) at the commencement—

- (i) the period within which the person could have applied for a review of the decision under section 109 (the *review period*) has not passed; and
  - (ii) the person is not a new disqualified person.
- (2) The person may apply for a review of the decision under section 109 within the review period.

## **Subdivision 7      Miscellaneous**

### **328      Chief executive acting on own initiative**

- (1) This section applies if—
  - (a) before the commencement the chief executive had started, on the chief executive's own initiative, to exercise a power in relation to the following—
    - (i) a person;
    - (ii) an eligibility application;
    - (iii) a prescribed notice;
    - (iv) an exemption notice; and
  - (b) on the commencement the chief executive may exercise the power under the amended Act in relation to the person, application or notice.
- (2) The chief executive may exercise the power under the amended Act in relation to the person, application or notice.

### **329      Disqualification orders for acts done or omissions made before commencement**

A court may make a disqualification order under section 137 in relation to a person convicted of an offence after the commencement arising out of an act done or omission made before the commencement.



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**330 Effect of conviction or charge for new disqualifying offence**

- (1) For applying section 97(1)(a) and schedule 8, definition *relevant disqualified person*, paragraph (a), it is immaterial when the offence mentioned in the provision was committed or when the person to whom the provision applies was convicted.
- (2) Section 86 applies in relation to a person who holds a current positive notice who is charged with a new disqualifying offence even if the charge, or the acts or omissions constituting the alleged offence, happened before the commencement.
- (3) Section 88 applies in relation to a person who holds a current positive exemption notice who is charged with a new disqualifying offence even if the charge, or the acts or omissions constituting the alleged offence, happened before the commencement.
- (4) Without limiting this division, in applying the amended Act on and from the commencement, it is immaterial—
  - (a) when a new disqualifying offence or new serious offence was committed; or
  - (b) when a person was convicted of a new disqualifying offence or new serious offence; or
  - (c) when a charge for a new disqualifying offence, or the acts or omissions constituting the alleged offence, happened.

*Example—*

An offence may have been committed, and the person convicted of the offence, before the commencement.

## **Division 8                      Transitional provision for Disability Services (Restrictive Practices) and Other Legislation Amendment Act 2014**

### **331      Notice about use of restrictive practices**

- (1) This section applies if on the commencement of this section a relevant service provider is using restrictive practices in relation to an adult with an intellectual or cognitive disability.
- (2) Section 191 applies to the relevant service provider as if the relevant service provider was considering using restrictive practices in relation to the adult on the commencement of this section.

## **Division 9                      Transitional provisions for Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014**

### **332      Definitions for div 9**

In this division—

***amending Act*** means the *Communities Legislation (Funding Red Tape Reduction) Amendment Act 2014*.

***authorised officer*** means a person appointed immediately before the commencement as an authorised officer under previous section 125.

***commencement*** means the commencement of the provision in which the term is used.

***funding agreement*** see previous section 56(1).

***interim manager*** means a person appointed as interim manager under previous section 169.

*previous*, for a provision of this Act, means the provision as in force immediately before the commencement of the amending Act, section 73.

*unamended Act* mean this Act as in force from time to time before the commencement.

### **333 Funding agreements continue in force**

- (1) This section applies if a funding agreement between the chief executive and a funded non-government service provider was in force immediately before the commencement.
- (2) The funding agreement continues in force despite the repeal, under the amending Act, of provisions of this Act relating to funding agreements.
- (3) However, if the funding agreement contains a term mentioned in previous section 58(1)(j), on and from the commencement—
  - (a) the term is of no effect; and
  - (b) the agreement is taken to provide that—
    - (i) the funded non-government service provider must comply with the Human Services Quality Standards; and
    - (ii) recurrent funding to the funded non-government service provider will stop if the service provider does not comply with the Human Services Quality Standards.
- (4) Also, on and from the commencement, a term of the funding agreement requiring the funded non-government service provider to obtain or maintain approval as an approved non-government service provider is of no effect.
- (5) In this section—

*approved non-government service provider* see former section 16.

*Human Services Quality Standards* means the standards stated in the document called ‘Human Services Quality Standards’ published on the department’s website on the internet.

**334      *Obligation to enter agreement about assistance continues***

- (1) This section applies if, immediately before the commencement, a non-government service provider who received funding after giving the written agreement mentioned in previous section 56(4)(a) has not complied with previous section 56(4)(b).
- (2) The chief executive must stop the funding if the non-government service provider does not enter into a funding agreement about the funding within the time stated in the written agreement given under previous section 56(4)(a).

**335      *Contraventions of funding agreement or Act before commencement***

- (1) This section applies if, before the commencement—
  - (a) a funding agreement between the chief executive and a funded non-government service provider was contravened; or
  - (b) a provision of the unamended Act related to the funding agreement mentioned in paragraph (a) was contravened.
- (2) The unamended Act continues to apply for the contravention as if the amending Act had not been enacted.
- (3) Without limiting subsection (2)—
  - (a) an authorised officer may exercise, or continue to exercise, powers under the unamended Act; and
  - (b) a magistrate may hear and decide, or continue to hear and decide, an application for a warrant for a place under previous part 11, division 2, subdivision 2; and

- (c) the chief executive may give the funded non-government service provider a compliance notice under previous section 161; and
  - (d) if the service provider contravenes a compliance notice—
    - (i) the service provider may be prosecuted for an offence against previous section 161(6); or
    - (ii) the chief executive may suspend or cancel funding to the service provider under previous section 161(8); and
  - (e) an interim manager may be appointed for the service provider under previous part 12.
- (4) In this section—

***authorised officer*** means an authorised officer under the *Community Services Act 2007*.

### **336 Interim managers**

- (1) This section applies if—
  - (a) immediately before the commencement, a person was appointed as an interim manager for a funded non-government service provider; or
  - (b) an interim manager is appointed for a funded non-government service provider under section 335.
- (2) The interim manager may exercise, or continue to exercise, a power under the unamended Act in relation to the service provider.
- (3) For the purposes of subsection (2), the unamended Act continues to apply to the interim manager's appointment as if the amending Act had not been enacted.

**337 Confidentiality obligation continues to apply to authorised officers and interim managers**

- (1) This section applies to a person who is or has been either of the following under the unamended Act—
  - (a) an authorised officer;
  - (b) an interim manager.
- (2) Previous section 222 continues to apply to the person as if the amending Act had not been enacted.

**338 Reviews**

- (1) This section applies to a decision of the chief executive under this Act if, before the commencement, the decision was a reviewable decision.
- (2) The unamended Act continues to apply to the decision despite the enactment of the amending Act.
- (3) Without limiting subsection (2)—
  - (a) an interested person for the review decision made by the chief executive under previous section 211 may apply to QCAT for a review of the decision under previous section 212; and
  - (b) QCAT may hear and decide, or continue to hear and decide, an application for a review of the review decision under previous section 212.
- (4) In this section—

***reviewable decision*** means a decision stated in previous schedule 2.

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## Division 11      **Transitional provisions for Disability Services and Other Legislation (Worker Screening) Amendment Act 2018**

### **341      Definitions for division**

In this division—

*amending Act* means the *Disability Services and Other Legislation (Worker Screening) Amendment Act 2018*.

*unamended Act* means this Act as in force immediately before the commencement.

### **342      Prescribed notices or exemption notices issued to sole traders before commencement**

- (1) This section applies if, before the commencement, the chief executive issued a prescribed notice or an exemption notice to a sole trader.
- (2) The prescribed notice or exemption notice is taken to be, and always to have been, as valid as if part 5, as in force immediately after the commencement, had been in force on the day the notice was given.

### **343      Certification by prescribed persons before commencement**

- (1) This section applies if, before the commencement—
  - (a) a sole trader applied under the unamended Act, section 52 or 59 for a prescribed notice or an exemption notice; and
  - (b) a prescribed person certified that the prescribed person had sighted documents, relating to proof of the sole trader's identity, prescribed by regulation.
- (2) Despite the unamended Act—

- (a) the chief executive may, whether before or after the commencement, accept the certification by the prescribed person instead of by the NDIS non-government service provider as proof of the sole trader's identity; and
- (b) the application, or prescribed notice or exemption notice issued by the chief executive as a result of the application (whether issued before or after the commencement), is not affected only because of a change to the entity certifying the documents.

### **344 Delayed application of particular provisions**

- (1) This section applies to a person who is a sole trader if, before the commencement—
  - (a) the person was providing disability services as an NDIS non-government service provider at a service outlet of the service provider; and
  - (b) the person had applied for—
    - (i) a prescribed notice under the unamended Act, section 52; or
    - (ii) a prescribed notice under the Working with Children Act, section 199; and
  - (c) the application had not been decided.
- (2) Sections 67A and 67B do not apply to the person until the day that is 4 months after the commencement.



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## Division 12      Transitional provisions for Disability Services and Other Legislation (NDIS) Amendment Act 2019

### Subdivision 1      Preliminary

#### 345      Definitions for division

In this division—

***amended Act*** means this Act as amended by the amending Act.

***amending Act*** means the *Disability Services and Other Legislation (NDIS) Amendment Act 2019*.

***former***, in relation to a provision, means as in force immediately before the provision was amended or repealed under the amending Act.

***new disqualified person*** means a person who is a disqualified person only because the person has been convicted or is convicted of a new disqualifying offence.

***new disqualifying offence*** means an offence that is a disqualifying offence under the amended Act but was not a disqualifying offence under this Act immediately before the commencement.

***new relevant disqualified person*** means a person who is a relevant disqualified person only because the person has been or is convicted of a new disqualifying offence for which an imprisonment order was or is imposed.

***new serious offence*** means an offence that is a serious offence under the amended Act but was not a serious offence under this Act immediately before the commencement.

## **Subdivision 2      Screening**

### **345A    Effect of pre-commencement charge for serious offence**

- (1) This section applies if a person is the subject of a charge for a serious offence that has not been dealt with on the commencement.
- (2) For applying the amended Act in relation to the person, the person is taken to have been charged with the offence on the commencement.

### **345B    Effect of conviction or charge for new serious offence**

- (1) For applying the amended Act in relation to a person convicted of a new serious offence, it is immaterial—
  - (a) when the offence was committed; or
  - (b) when the person was convicted of the offence.
- (2) The amended Act applies in relation to a person who is charged with a new serious offence, even if—
  - (a) the person was charged before the commencement; or
  - (b) the acts or omissions constituting the offence happened before the commencement.

### **346      Effect of conviction or charge for new disqualifying offence**

- (1) For applying section 97(1)(a) and schedule 8, definition *relevant disqualified person*, paragraph (a) in relation to a person who has been or is convicted of a new disqualifying offence, it is immaterial when the offence was committed or the person was convicted.
- (2) Without limiting this division, in applying the amended Act from the commencement, it is immaterial—
  - (a) when a new disqualifying offence was committed; or

- (b) when a person was convicted of a new disqualifying offence; or
- (c) when a charge for a new disqualifying offence, or the acts or omissions constituting the alleged offence, happened.

*Example—*

An offence may have been committed, and the person convicted of the offence, before the commencement.

- (3) For applying the amended Act to a current positive notice held by a person immediately before the commencement, a person the subject of a charge for a new disqualifying offence that has not been dealt with on the commencement is taken to have been charged with the offence on the commencement.

### **346A Effect of conviction for new disqualifying offence on existing positive notice or positive exemption notice**

- (1) This section applies if—
  - (a) a person held a current positive notice or positive exemption notice immediately before the commencement; and
  - (b) the person was convicted of a new disqualifying offence before the commencement.
- (2) For applying the amended Act to the person's positive notice or positive exemption notice, the offence is taken to be, or to continue to be, a serious offence despite the enactment of the amending Act.
- (3) Without limiting subsection (2), if the person applies for another positive exemption notice after the commencement, or section 347, 348 or 349 applies in relation to the person—
  - (a) the person's conviction for the offence is taken to be, or to continue to be, a conviction for a serious offence; and
  - (b) the person is taken not to be a disqualified person or relevant disqualified person in relation to the person's conviction for the offence.

**347 Particular existing prescribed notice applications and exemption notice applications**

- (1) This section applies if—
  - (a) before the commencement, a prescribed notice application or exemption notice application had been made about a person; and
  - (b) immediately before the commencement, the application had not been decided or withdrawn; and
  - (c) on the commencement—
    - (i) the person is a new disqualified person; or
    - (ii) the person is the subject of a charge for a new disqualifying offence that has not been dealt with.
- (2) The prescribed notice application or exemption notice application is taken to be withdrawn.
- (3) The chief executive must give notice of the withdrawal to—
  - (a) the person; and
  - (b) the funded non-government service provider, or NDIS non-government service provider, that made the application.

**348 Existing positive notice held by person convicted of new serious offence or new disqualifying offence**

- (1) This section applies if—
  - (a) immediately before the commencement, a person held a current positive notice; and
  - (b) before the commencement, the person was convicted of—
    - (i) a new serious offence; or
    - (ii) a new disqualifying offence that is taken to be a serious offence under section 346A(2).
- (1A) Section 74 does not apply to the person in relation to the person's conviction for the offence.

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- (1B) The chief executive must—
- (a) consider whether it is appropriate to cancel the person's positive notice under this section because of the person's conviction for the offence; and
  - (b) give the person a notice stating that, because of the enactment of the amending Act, the chief executive is considering under this section whether the person should continue to hold the person's positive notice.
- (1C) If the person is engaged by a funded non-government service provider or an NDIS non-government service provider and is not a sole trader, the chief executive must give the service provider a notice mentioned in subsection (1B)(b).
- (2) The chief executive may cancel the positive notice and substitute a negative notice if satisfied that, if the chief executive were to decide a prescribed notice application about the person under the amended Act, the chief executive would issue a negative notice to the person.

*Note—*

See also section 85 for when the chief executive must cancel a positive notice held by a person who becomes a relevant disqualified person.

- (3) Section 83(2), (3), (5) and (6) apply to the decision under subsection (2) as if a reference in section 83 to making a decision, or proposing to substitute a negative notice, were a reference to making a decision, or proposing to substitute a negative notice, under subsection (2).
- (4) If the chief executive decides not to cancel the person's positive notice under this section, the chief executive must give the persons mentioned in subsection (5) a notice stating that the chief executive is satisfied, having considered the enactment of the amending Act, the person should continue to hold the person's positive notice.
- (5) For subsection (4), the persons to whom the notice must be given are—
  - (a) the person; and

- (b) each service provider given a notice under subsection (1B).

**349 Existing positive exemption notice held by person convicted of new serious offence or new disqualifying offence**

- (1) This section applies if—
  - (a) immediately before the commencement, a person held a current positive exemption notice; and
  - (b) before the commencement, the person was convicted of—
    - (i) a new serious offence; or
    - (ii) a new disqualifying offence that is taken to be a serious offence under section 346A(2).
- (1A) Section 74 does not apply to the person in relation to the person's conviction for the offence.
- (1B) The chief executive must—
  - (a) consider whether it is appropriate to cancel the person's positive exemption notice under this section because of the person's conviction for the offence; and
  - (b) give the person a notice stating that, because of the enactment of the amending Act, the chief executive is considering under this section whether the person should continue to hold the person's positive exemption notice.
- (1C) If the person is engaged by a funded non-government service provider or an NDIS non-government service provider and is not a sole trader, the chief executive must give the service provider a notice mentioned in subsection (1B)(b).
- (2) The chief executive may cancel the positive exemption notice and substitute a negative exemption notice if satisfied that, if the chief executive were to decide an exemption notice application about the person under the amended Act, the chief

executive would issue a negative exemption notice to the person.

*Note—*

See also section 64(2) for the currency of a positive exemption notice.

- (3) Section 84(2), (3), (5) and (6) apply to the decision under subsection (2) as if a reference in section 84 to making a decision, or proposing to substitute a negative exemption notice, were a reference to making a decision, or proposing to substitute a negative exemption notice, under subsection (2).
- (4) If the chief executive decides not to cancel the person's positive exemption notice under this section, the chief executive must give the persons mentioned in subsection (5) a notice stating that the chief executive is satisfied, having considered the enactment of the amending Act, the person should continue to hold the person's positive exemption notice.
- (5) For subsection (4), the persons to whom the notice must be given are—
  - (a) the person; and
  - (b) each service provider given a notice under subsection (1B).

### **350 Existing applications to cancel negative notices or negative exemption notices**

- (1) This section applies if—
  - (a) before the commencement, a person had applied to the chief executive under section 82 to cancel the person's negative notice or negative exemption notice; and
  - (b) immediately before the commencement, the application had not been decided.
- (2) If, on the commencement, the person is a new relevant disqualified person—
  - (a) the application is taken to be withdrawn; and

- (b) the chief executive must give the person notice of the withdrawal.
- (3) If, on the commencement, the person is not a new relevant disqualified person, the chief executive must decide the application under the amended Act.

### **351 Particular existing applications to end suspension of positive notices**

- (1) This section applies if—
  - (a) before the commencement, a person had applied to the chief executive under section 87 to cancel the person's suspended positive notice; and
  - (b) immediately before the commencement, the application had not been decided; and
  - (c) on the commencement, the person is not a new relevant disqualified person.
- (2) The chief executive must decide the application under the amended Act.

*Note—*

See also section 85 for when the chief executive must cancel a positive notice held by a person who becomes a relevant disqualified person.

### **352 Particular existing applications to end suspension of positive exemption notices**

- (1) This section applies if—
  - (a) before the commencement, a person had applied to the chief executive under section 89 to cancel the person's suspended positive exemption notice; and
  - (b) immediately before the commencement, the application had not been decided; and
  - (c) on the commencement, the person is not a new relevant disqualified person.



- (2) The chief executive must decide the application under the amended Act.

*Note—*

See also section 64(2) for the currency of a positive exemption notice.

### **353 Existing eligibility applications**

- (1) This section applies if—
- (a) before the commencement, a person had made an eligibility application; and
  - (b) immediately before the commencement, the eligibility application had not been decided or withdrawn.
- (2) The chief executive must decide the application under the amended Act.

### **354 Particular existing eligibility declarations**

- (1) This section applies if—
- (a) before the commencement, the chief executive issued, or was taken to have issued, an eligibility declaration to a person; and
  - (b) immediately before the commencement, the eligibility declaration had not expired; and
  - (c) on the commencement, the person—
    - (i) is a new relevant disqualified person; or
    - (ii) is charged with a new disqualifying offence.
- (2) The eligibility declaration is taken to have expired.

### **355 Existing application for reversal of decision refusing an eligibility declaration**

- (1) This section applies if—

- (a) before the commencement, a person had made an application under section 107(2) to the chief executive; and
  - (b) immediately before the commencement, the application had not been decided or withdrawn.
- (2) The chief executive must decide the application under the amended Act.

### **356 Undecided reviews and appeals by new disqualified persons**

- (1) This section applies if—
  - (a) before the commencement, a person—
    - (i) applied, under section 109, for a review of a part 5 reviewable decision; or
    - (ii) appealed, under the QCAT Act, against a decision of the tribunal relating to a part 5 reviewable decision; and
  - (b) immediately before the commencement, the application or appeal had not been decided or withdrawn; and
  - (c) on the commencement, the person is a new disqualified person.
- (2) The application or appeal, and any proceeding in relation to the application or appeal, must be dismissed—
  - (a) if a proceeding in relation to the application or appeal is before a court—by the court; or
  - (b) otherwise—by the tribunal, even if the dismissal would be contrary to a direction of the Court of Appeal.

### **357 Review of part 5 reviewable decision about new disqualified person**

- (1) This section applies if—
  - (a) before the commencement—

- (i) the chief executive made a part 5 reviewable decision about a person; and
  - (ii) the person had not applied for a review of the decision under section 109; and
- (b) on the commencement, the person is a new disqualified person.
- (2) The amended Act applies in relation to the part 5 reviewable decision.

**358 Appeal by new disqualified person against decision of tribunal on review of part 5 reviewable decision**

- (1) This section applies if—
  - (a) before the commencement, a person may have appealed under the QCAT Act against a decision of the tribunal relating to a part 5 reviewable decision; and
  - (b) on the commencement—
    - (i) the time within which the person may appeal under the QCAT Act has not passed; and
    - (ii) the person is a new disqualified person.
- (2) Any appeal started by the person against the decision must be dismissed—
  - (a) if a proceeding in relation to the appeal is before a court—by the court; or
  - (b) otherwise—by the tribunal, even if the dismissal would be contrary to a direction of the Court of Appeal.

**359 Existing appeal by chief executive against decision of tribunal on review of part 5 reviewable decision**

- (1) This section applies if—
  - (a) before the commencement, the chief executive appealed, under the QCAT Act, against a decision of the tribunal

- relating to a part 5 reviewable decision about a person;  
and
  - (b) immediately before the commencement, the appeal had not been decided or withdrawn; and
  - (c) on the commencement, the person is a new disqualified person.
- (2) The entity hearing the appeal must apply the amended Act in relation to the matter the subject of the appeal.

**360 Appeal by chief executive against decision of tribunal on review of part 5 reviewable decision**

- (1) This section applies if—
- (a) before the commencement, the chief executive may have appealed under the QCAT Act against a decision of the tribunal relating to a part 5 reviewable decision about a person; and
  - (b) on the commencement—
    - (i) the time within which the chief executive may appeal under the QCAT Act (the *appeal period*) has not passed; and
    - (ii) the person is a new disqualified person.
- (2) The chief executive may appeal against the decision within the appeal period and the entity hearing the appeal must apply the amended Act in relation to the matter the subject of the appeal.

**361 Disqualification orders for new disqualifying offences committed before commencement**

A court may make a disqualification order under section 137 in relation to a person convicted after the commencement of a new disqualifying offence committed before the commencement.

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## Subdivision 3 Immunity from liability

### 362 Definition for subdivision

In this subdivision—

*relevant service provider* has the meaning given by former section 140(1).

### 363 Immunity from liability—use of restrictive practices before commencement

Former part 6, division 7, subdivision 1 continues to apply in relation to the use of a restrictive practice by a relevant service provider, or an individual acting for a relevant service provider, before the commencement.

### 364 Immunity from liability—locking gates, doors and windows before commencement

Former sections 218 and 219 continue to apply in relation to the locking of gates, doors or windows by a relevant service provider, or an individual acting for a relevant service provider, before the commencement.

## Subdivision 4 Repeal of particular provisions

### 365 Repeal of former ss 339 and 340

The *Acts Interpretation Act 1954*, sections 20 and 20A do not apply in relation to the repeal of former sections 339 and 340.

## **Division 13                      Transitional provisions for Disability Services and Other Legislation (Worker Screening) Amendment Act 2020**

### **Subdivision 1              Preliminary**

#### **367      Definitions for division**

In this division—

***amended Act*** means this Act as in force after the commencement.

***amendment Act*** means the *Disability Services and Other Legislation (Worker Screening) Amendment Act 2020*.

***former***, for a provision of this Act, means the provision as in force from time to time before the commencement.

***new***, for a provision of this Act, means the provision as in force from the commencement.

***transitioned applicant***, for a transitioned application, means the person who is taken to have made the application under section 372(2) or (3) or 373(2).

***transitioned application*** see sections 372(2) and (3) and 373(2).

***transitioned clearance*** see section 369(2).

***transitioned exclusion*** see section 371(2).

#### **368      Particular terms having meaning given under unamended Act**

- (1) In this division, a term defined under the unamended Act but not under the amended Act has the meaning it had under the unamended Act.
- (2) In this section—

*unamended Act* means this Act as in force from time to time before the commencement.

## **Subdivision 2      Existing prescribed notices, exemption notices, prescribed notice applications and exemption notice applications**

### **369      Existing positive notice and positive notice card**

- (1) This section applies if, immediately before the commencement, a person holds a current positive notice or current positive exemption notice.
- (2) The positive notice or positive exemption notice is taken to be a clearance (a *transitioned clearance*), that is both an NDIS clearance and a State clearance, issued to the person under new part 5, division 4.
- (3) However, for the purposes of a corresponding law, the positive notice or positive exemption notice is taken to be a State clearance issued to the person under new part 5, division 4.
- (4) The term of the transitioned clearance ends, unless it is cancelled earlier—
  - (a) if the person holds a positive notice—when the positive notice would have ended under the unamended Act; or
  - (b) if the person holds a positive exemption notice because, on the commencement, the person also holds a working with children authority—on the day the term of the person’s working with children authority is due to end under the Working with Children Act, regardless of whether the authority is cancelled earlier under that Act.
- (5) A positive notice card for the person’s positive notice, or an exemption card for the person’s positive exemption notice, is taken to be a clearance card issued to the person under new section 98 for the person’s clearance under subsection (2).

- (6) To remove any doubt, it is declared that subsection (2) applies even if the person is a disqualified person on the commencement.

*Note—*

See, however, new section 119 which requires the chief executive to cancel a person's clearance if the person becomes a disqualified person.

### **370 Existing suspension of positive notice or positive exemption notice**

- (1) This section applies if, immediately before the commencement—
- (a) former section 74 applied to a person and a prescribed event under that section had not happened for the person; or
  - (b) a current positive notice held by a person was suspended under former section 86; or
  - (c) a current positive exemption notice held by a person was suspended under former section 88.
- (2) The person's transitioned clearance is taken to have been suspended under new section 111.
- (3) The chief executive must give the person, and each notifiable person for the person, a notice that states—
- (a) the person's transitioned clearance is taken to have been suspended under new section 111; and
  - (b) how long the suspension will continue; and
  - (c) the effect of the suspension.
- (4) An application under former section 87 or 89 to cancel the person's suspended positive notice or positive exemption notice that, immediately before the commencement, had not been decided or withdrawn is taken to be an application under new section 116 to end the suspension under subsection (2).
- (5) The suspension and application may be dealt with under the amended Act.



- (6) However, the person may not apply under new section 116 to end the suspension under subsection (2) until 6 months after the commencement.

**371 Existing current negative notice or current negative exemption notice**

- (1) This section applies if, immediately before the commencement, a person holds a current negative notice or current negative exemption notice.
- (2) The negative notice or negative exemption notice is taken to be an exclusion (a *transitioned exclusion*), that is both an NDIS exclusion and a State exclusion, issued to the person under new part 5, division 4.
- (3) However, for the purposes of a corresponding law, the negative notice or negative exemption notice is taken to be a State exclusion issued to the person under new part 5, division 4.
- (4) New section 130(1)(a) applies in relation to the transitioned exclusion as if the reference in new section 130(1)(a) to 5 years after the exclusion was issued were a reference to 5 years after the negative notice or negative exemption notice was issued to the person.

**372 Existing prescribed notice application or exemption notice application**

- (1) This section applies if—
- (a) immediately before the commencement, a prescribed notice application or exemption notice application about a person had been made but not decided, withdrawn or taken to be withdrawn; or
- (b) an exemption notice application about a person given, under an arrangement between the chief executive and the chief executive (working with children), to the chief executive (working with children) before the

commencement is given to the chief executive after the commencement.

- (2) If the person is a person who may make an application under new section 65, the application is taken to be an NDIS worker screening application (a *transitioned application*) made by the person.
- (3) If the person is a person who may make an application under new section 66, the application is taken to be a State disability worker screening application (also a *transitioned application*) made by the person.
- (4) If neither subsection (2) nor (3) applies to the application—
  - (a) the application lapses on the commencement; and
  - (b) the chief executive must give the person who made the application and the person about whom the application was made a notice that states the application has lapsed.
- (5) To remove any doubt, it is declared that subsections (2) and (3) apply even if the person is a disqualified person on the commencement.

### **373 Existing consent to screening by person engaged by department**

- (1) This section applies if—
  - (a) before the commencement, a person gave the chief executive consent under former section 50 to undertake screening of the person under former part 5; and
  - (b) immediately before the commencement—
    - (i) the person's consent had not been withdrawn; and
    - (ii) the chief executive had not made a decision about issuing the person a prescribed notice or exemption notice.
- (2) The person's consent is taken to be a State disability worker screening application (also a *transitioned application*) made by the person.

- (3) To remove any doubt, it is declared that subsection (2) applies even if the person is a disqualified person on the commencement.

### **374 Dealing with and deciding transitioned applications**

- (1) The amended Act applies for dealing with and deciding a transitioned application.
- (2) The chief executive must give a notice that states the transitioned application is being dealt with and decided as an application made under the amended Act by the transitioned applicant for the application to—
- (a) the transitioned applicant; and
  - (b) if the transitioned applicant is not the person who made the application—the person who made the application.

*Note—*

See new section 69 in relation to the chief executive asking for further information relating to the transitioned application.

- (3) The notice must be given to the transitioned applicant and person mentioned in subsection (2)(b) within 1 month after—
- (a) for a transitioned application mentioned in section 372(1)(b)—the chief executive (working with children) gives the transitioned application to the chief executive; or
  - (b) otherwise—the commencement.

### **375 Application of new pt 5 to transitioned applicants**

- (1) This section applies to a person if, on the commencement, the person—
- (a) is the transitioned applicant for a transitioned application; and
  - (b) is engaged to carry out disability work by an NDIS service provider, funded service provider or the department, other than as a volunteer; and

- (c) does not hold a transitioned exclusion.
- (2) This section also applies to a person if, on the commencement, the person—
  - (a) is the transitioned applicant for a transitioned application; and
  - (b) is carrying out disability work as either—
    - (i) an NDIS sole trader or State sole trader; or
    - (ii) a volunteer engaged by an NDIS service provider, funded service provider or the department to carry out disability work; and
  - (c) holds a transitioned clearance or a working with children authority.
- (3) Despite new part 5 and until a relevant event happens for the person—
  - (a) the person may continue to carry out, or be engaged to carry out, the disability work; and
  - (b) new sections 53, 54, 59 and 61 do not apply in relation to the person carrying out the disability work.
- (4) Each of the following is a **relevant event** for the person—
  - (a) the person's transitioned application is decided or withdrawn;
  - (b) for a person who holds a transitioned clearance—the clearance is suspended or cancelled;
  - (c) an interim bar is imposed on the person.

### **Subdivision 3      Application of new part 5 for particular persons**

#### **376      Working with children authority holders**

- (1) This section applies to a person if, on the commencement, the person—

- (a) holds a working with children authority; and
  - (b) does not hold a transitioned clearance or a transitioned exclusion.
- (2) This section also applies to a person if—
  - (a) the person is issued a working with children authority after the commencement in relation to a working with children check application made by the person before the commencement; and
  - (b) when the working with children authority is issued to the person, the person does not hold a clearance or exclusion, including a transitioned clearance or transitioned exclusion.
- (3) However, this section does not apply to a person who is a transitioned applicant for a transitioned application on the commencement.
- (4) Until a relevant event happens for the person—
  - (a) the person may carry out, or be engaged to carry out, disability work; and
  - (b) a registered NDIS provider or funded service provider may engage the person to carry out disability work; and
  - (c) new sections 53, 54, 59 and 61 do not apply in relation to the person carrying out disability work.
- (5) Subsection (4) applies only to the extent the disability work includes the delivery of disability services or NDIS supports or services to a child or children with disability.
- (6) Each of the following is a **relevant event** for the person—
  - (a) the person's working with children authority expires under the Working with Children Act and, at the time of the expiry, the person has not made a disability worker screening application;
  - (b) the person's working with children authority is suspended or cancelled under the Working with Children Act;

- (c) a negative notice is issued to the person under the Working with Children Act;
- (d) the person makes a disability worker screening application and the application is decided or withdrawn or an interim bar is imposed on the person.

### **377 Registered health practitioners**

- (1) This section applies to a person if, on the commencement, the person—
  - (a) is a registered health practitioner; and
  - (b) does not hold a transitioned clearance or a transitioned exclusion.
- (2) However, this section does not apply to a person who is a transitioned applicant for a transitioned application on the commencement.
- (3) Until a relevant event happens for the person—
  - (a) the person may carry out, or be engaged to carry out, disability work; and
  - (b) a registered NDIS provider or funded service provider may engage the person to carry out disability work; and
  - (c) new sections 53, 54, 59 and 61 do not apply in relation to the person carrying out disability work.
- (4) Each of the following is a *relevant event* for the person—
  - (a) the person's current health profession registration expires under the Health Practitioner Regulation National Law (Queensland) and, at the time of the expiry, the person has not made a disability worker screening application;
  - (b) the person's current health profession registration is surrendered, suspended or cancelled under the Health Practitioner Regulation National Law (Queensland);

- (c) the person makes a disability worker screening application and the application is decided or withdrawn or an interim bar is imposed on the person.
- (5) In this section—

***current health profession registration*** means the person's registration in a health profession under the Health Practitioner Regulation National Law (Queensland) during the period of registration that is in effect on the commencement.

## **Subdivision 4      New disqualifying offences and new serious offences**

### **378      Effect of conviction or charge for new disqualifying offence or new serious offence**

- (1) For applying this Act in relation to a person convicted of a new disqualifying offence or new serious offence, it does not matter when the offence was committed or when the person was convicted of the offence.
- (2) This Act applies in relation to a person who is charged with a new disqualifying offence or new serious offence even if the charge, or the acts or omissions constituting the alleged offence, happened before the commencement.
- (3) For applying this Act to a transitioned clearance—
  - (a) a person convicted of a new disqualifying offence or new serious offence before the commencement is taken to have been convicted of the offence on the commencement; and
  - (b) a person the subject of a charge for a new disqualifying offence or new serious offence that has not been dealt with on the commencement is taken to have been charged with the offence on the commencement; and
  - (c) the fact that the person has been charged with, or convicted of, a new disqualifying offence or new serious

offence, is taken to be information not known to the chief executive before the commencement.

(4) In this section—

***new disqualifying offence*** means an offence that—

- (a) is a disqualifying offence; but
- (b) was not a disqualifying offence immediately before the commencement.

***new serious offence*** means an offence that—

- (a) is a serious offence; but
- (b) was not a serious offence immediately before the commencement.

### **379 Existing application to cancel negative notice or negative exemption notice**

- (1) This section applies if, immediately before the commencement, an application under former section 82 to cancel a person's negative notice or negative exemption notice had not been decided or withdrawn.
- (2) If the person is a disqualified person—
  - (a) the application is taken to be withdrawn; and
  - (b) the chief executive must give a notice about the withdrawal to the person.
- (3) If subsection (2) does not apply—
  - (a) the application is taken to be an application made under new section 130 in relation to the person's transitioned exclusion; and
  - (b) the amended Act applies for deciding the application.



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## Subdivision 5      Reassessment of holders of transitioned clearances

### 380      Reassessment of holder of transitioned clearance

- (1) This section applies if, under new section 108, the chief executive conducts a risk assessment of a person who holds a transitioned clearance.
- (2) The chief executive may give the person a notice asking the person to give the chief executive stated information, including by way of a submission, about a stated matter that the chief executive reasonably believes is relevant to whether the person poses a risk of harm to people with disability.
- (3) A request under subsection (2) must state that, if the person does not comply with the request within the reasonable time stated in the request, the chief executive may decide whether the person poses an unacceptable risk of harm to people with disability without the stated information.
- (4) If, after conducting the risk assessment of the person, the chief executive is required, or decides, to cancel the person's transitioned clearance under new part 5, division 6, subdivision 3, the chief executive must issue a State exclusion to the person.
- (5) Subsection (4) applies despite new section 122.

## Subdivision 6      Reviews and appeals

### 381      Definitions for subdivision

In this subdivision—

***affected person***, for a part 5 reviewable decision, means the person about whom the decision was made.

***part 5 reviewable decision*** means a part 5 reviewable decision under former section 108.

### **382 Undecided reviews or appeals**

- (1) This section applies if, immediately before the commencement—
  - (a) an application for a review of a part 5 reviewable decision, made under former section 109 by the affected person for the decision, had not been decided or withdrawn; or
  - (b) an appeal against a decision of the tribunal relating to a part 5 reviewable decision, started under the QCAT Act by the affected person for the decision or the chief executive, had not been decided or withdrawn.
- (2) The entity hearing the review or appeal must dismiss—
  - (a) the application or appeal; and
  - (b) any proceeding that relates to the application or appeal.
- (3) Subsection (2) applies to a proceeding before the tribunal even if the dismissal would be contrary to a direction of the Court of Appeal.
- (4) The chief executive must make a new decision about the affected person under section 385.

### **383 Reviews not started on commencement**

- (1) This section applies if—
  - (a) before the commencement—
    - (i) the chief executive made a part 5 reviewable decision; and
    - (ii) the affected person for the decision had not applied for a review of the decision under former section 109; and
  - (b) on the commencement—
    - (i) the affected person is not a disqualified person; and
    - (ii) the period for applying for a review of the decision had not ended.

- (2) The affected person may, within the period mentioned in subsection (1)(b)(ii), apply to the chief executive to make a new decision about the person under section 385.
- (3) No fee is payable for an application under subsection (2).

### **384 Appeals not started on commencement**

- (1) This section applies if—
  - (a) before the commencement, the chief executive or the affected person had a right to appeal, under the QCAT Act, against a decision of the tribunal relating to a part 5 reviewable decision; and
  - (b) on the commencement, the period for starting the appeal had not ended.
- (2) The right to appeal ends on the commencement.
- (3) Instead, within the period mentioned in subsection (1)(b)—
  - (a) the affected person may apply to the chief executive to make a new decision about the affected person under section 385; or
  - (b) the chief executive may decide to make a new decision about the affected person under section 385.
- (4) No fee is payable for an application under subsection (3)(a).

### **385 Chief executive must make new decision about affected person**

- (1) This section applies in relation to the chief executive making a new decision about an affected person for a part 5 reviewable decision—
  - (a) under section 382(4) or 384(3)(b); or
  - (b) on application by the affected person under section 383(2), 384(3)(a), 386(5) or 387(5).
- (2) The chief executive must, within the prescribed period—
  - (a) conduct a risk assessment of the affected person; and

- (b) decide—
  - (i) if the person holds a transitioned exclusion—to cancel or not to cancel the exclusion; or
  - (ii) if the person holds a transitioned clearance that is suspended—to cancel or not to cancel the suspension; and
- (c) give the person a QCAT information notice for the chief executive's decision.
- (3) The chief executive may give the affected person a notice extending, for a further 28 days, the period for the chief executive to comply with subsection (2).
- (4) For conducting the risk assessment under subsection (2)(a)—
  - (a) new part 5, division 4, subdivision 3 applies with necessary changes; and
  - (b) the chief executive may give the affected person a notice asking the person to give the chief executive stated information, including by way of a submission, about a stated matter that the chief executive reasonably believes is relevant to whether the person poses a risk of harm to people with disability.
- (5) A request under subsection (4)(b) must state that, if the affected person does not comply with the request within the reasonable time stated in the request, the chief executive may decide whether the person poses an unacceptable risk of harm to people with disability without the stated information.
- (6) The chief executive must decide the matter under subsection (2)(b) afresh, disregarding the part 5 reviewable decision and the reasons for that decision.
- (7) The risk assessment under subsection (2)(a), and the decision under subsection (2)(b), may be conducted and made only by a person who—
  - (a) did not make the part 5 reviewable decision; and
  - (b) holds a more senior office than the person who made the part 5 reviewable decision.

- (8) Subsection (7) does not apply to a part 5 reviewable decision made by the chief executive personally.
- (9) If the chief executive does not give the affected person a QCAT information notice within the prescribed period or a longer period notified under subsection (3), the chief executive is taken to have decided—
  - (a) if the person holds a transitioned exclusion—not to cancel the exclusion; or
  - (b) if the person holds a transitioned clearance that is suspended—not to cancel the suspension.
- (10) New sections 138ZW, 138ZX and 138ZY apply in relation to the chief executive's decision under this section as if it were an internal review decision.
- (11) In this section—

***prescribed period*** means 28 days after—

  - (a) for making a new decision under section 382(4)—the commencement; or
  - (b) for making a new decision under section 384(3)(b)—the period mentioned in section 384(1)(b) ends; or
  - (c) for making a new decision on application by an affected person under section 383(2), 384(3)(a), 386(5) or 387(5)—the application is made.

### **386 Undecided appeals about investigative information decisions**

- (1) This section applies if, immediately before the commencement, an appeal to a Magistrates Court about a decision that information is investigative information, made under former section 113, had not been decided or withdrawn.
- (2) The court may continue to hear and decide the appeal as if the amendment Act had not been enacted.
- (3) Former sections 113 and 114 continue to apply in relation to the appeal as if the amendment Act had not been enacted.

- (4) If the court sets aside the decision appealed against, the appellant may apply to the chief executive under new section 130(1)(b) to cancel the appellant's transitioned exclusion.
- (5) If the court confirms the decision appealed against, the appellant may apply for a new decision under section 385 in relation to the relevant part 5 reviewable decision.
- (6) An application mentioned in subsection (5)—
  - (a) must be made within 28 days after the appellant is given notice of the court's decision under former section 114(4); and
  - (b) may be made even if, before the appeal was decided, the appellant applied to the chief executive for a new decision under section 385 in relation to the relevant part 5 reviewable decision.
- (7) No fee is payable for an application under subsection (5).
- (8) In this section—  
*relevant part 5 reviewable decision*, in relation to the appellant, means a part 5 reviewable decision relating to the issue of the negative notice or negative exemption notice in relation to which the appellant started the appeal.

### **387 Appeals about investigative information decisions not started on commencement**

- (1) This section applies if—
  - (a) before the commencement, a person had a right to appeal to a Magistrates Court under former section 113 about a decision that information, given to the chief executive as investigative information, is investigative information; and
  - (b) on the commencement, the period for starting the appeal had not ended.

- (2) The person may, within the period mentioned in subsection (1)(b), appeal to a Magistrates Court about the decision.
- (3) Former sections 113 and 114 apply in relation to the appeal as if the amendment Act had not been enacted.
- (4) If the court sets aside the decision appealed against, the appellant may apply to the chief executive under new section 130(1)(b) to cancel the appellant's transitioned exclusion.
- (5) If the court confirms the decision appealed against, the appellant may apply for a new decision under section 385 in relation to the relevant part 5 reviewable decision.
- (6) An application mentioned in subsection (5)—
  - (a) must be made within 28 days after the appellant is given notice of the court's decision under former section 114(4); and
  - (b) may be made even if, before the appeal was decided, the appellant applied to the chief executive for a new decision under section 385 in relation to the relevant part 5 reviewable decision.
- (7) No fee is payable for an application under subsection (5).
- (8) In this section—

*relevant part 5 reviewable decision*, in relation to the appellant, means a part 5 reviewable decision relating to the issue of the negative notice or negative exemption notice in relation to which the appellant started the appeal.

## **Subdivision 7      Other transitional provisions**

### **388      Things done before commencement in relation to prescribed notice or exemption notice**

- (1) This section applies in relation to a thing done by the chief executive or another person under this Act before the commencement in relation to—
  - (a) a prescribed notice application or exemption notice application; or
  - (b) screening, under former part 5, a person who consented to the screening under former section 50; or
  - (c) a positive notice, positive exemption notice, negative notice or negative exemption notice.
- (2) The thing is taken to have been done under the amended Act in relation to—
  - (a) for a thing done in relation to a prescribed notice application or exemption notice application—the transitioned application under section 372(2) or (3) for the application; or
  - (b) for a thing done in relation to screening, under former part 5, a person who consented to the screening under former section 50—the person’s transitioned application under section 373(2); or
  - (c) for a thing done in relation to a positive notice or positive exemption notice—the transitioned clearance under section 369(2) for the notice; or
  - (d) for a thing done in relation to a negative notice or negative exemption notice—the transitioned exclusion under section 371(2) for the notice.
- (3) The amended Act applies for the purpose of subsection (2) with necessary changes.
- (4) This section does not limit another provision of this division.



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**389 Obligations or powers arising before commencement in relation to prescribed notice or exemption notice**

- (1) This section applies if—
  - (a) before the commencement, the chief executive or another person was required or permitted under this Act to do, but did not do, something in relation to—
    - (i) a prescribed notice application or exemption notice application; or
    - (ii) screening, under former part 5, a person who consented to the screening under former section 50; or
    - (iii) a positive notice, a positive exemption notice, a negative notice or a negative exemption notice; and
  - (b) on the commencement, the period within which the chief executive or other person was required or permitted to do the thing has not ended.
- (2) The chief executive or other person must or may do the thing under the amended Act in relation to—
  - (a) for a thing required or permitted to be done in relation to a prescribed notice application or exemption notice application—the transitioned application under section 372(2) or (3) for the application; or
  - (b) for a thing required or permitted to be done in relation to screening, under former part 5, a person who consented to the screening under former section 50—the person’s transitioned application under section 373(2); or
  - (c) for a thing required or permitted to be done in relation to a positive notice or positive exemption notice—the transitioned clearance under section 369(2) for the notice; or
  - (d) for a thing required or permitted to be done in relation to a negative notice or negative exemption notice—the transitioned exclusion under section 371(2) for the notice.

- (3) The amended Act applies for the purpose of subsection (2) with necessary changes.
- (4) This section does not limit another provision of this division.

### **390 Particular references in Act or document**

In an Act or a document, to the extent the context permits—

- (a) a reference to a prescribed notice application or exemption notice application under this Act includes a reference to a disability worker screening application; and
- (b) a reference to a positive notice or positive exemption notice under this Act includes a reference to a clearance; and
- (c) a reference to a negative notice or a negative exemption notice under this Act includes a reference to an exclusion.

### **391 Eligibility applications and eligibility declarations lapse**

- (1) An eligibility application made but not decided before the commencement lapses.
- (2) An eligibility declaration held by a person immediately before the commencement lapses.

### **392 Continuing obligation of confidentiality**

- (1) This section applies if—
  - (a) immediately before the commencement, former section 227 applied to a person in relation to particular information; and
  - (b) on the commencement, new section 227 does not apply to the person in relation to the information.

- (2) Former section 227 continues to apply to the person in relation to the information as if the amendment Act had not been enacted.

# Schedule 2      Current serious offences

schedule 8, definition *serious offence*

**1    *Animal Care and Protection Act 2001***

Provision	Relevant heading	Circumstances for offence
17(2)	Breach of duty of care prohibited	if the breach causes death, serious deformity, serious disability or prolonged suffering of an animal
18	Animal cruelty prohibited	

**2    Criminal Code**

Provision	Relevant heading	Circumstances for offence
215	Engaging in penile intercourse with child under 16	the offence is not a disqualifying offence
218	Procuring sexual acts by coercion etc.	the offence is not a disqualifying offence
221	Conspiracy to defile	the offence is not a disqualifying offence
222	Incest	the offence is not a disqualifying offence
223	Distributing intimate images	the offence was committed in relation to an intimate image of a child or vulnerable person
227A	Observations or recordings in breach of privacy	the offence was committed against a child or vulnerable person

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
227B	Distributing prohibited visual recordings	the offence was committed in relation to a prohibited visual recording of a child or vulnerable person
229BB	Failure to protect child from child sexual offence	
242	Serious animal cruelty	
300	Unlawful homicide	the offence is not a disqualifying offence
311	Aiding suicide	
313(1) or (2)	Killing unborn child	
314A	Unlawful striking causing death	
315	Disabling in order to commit indictable offence	the offence is not a disqualifying offence
315A	Choking, suffocation or strangulation in a domestic setting	the offence is not a disqualifying offence
316	Stupefying in order to commit indictable offence	the offence is not a disqualifying offence
317	Acts intended to cause grievous bodily harm and other malicious acts	the offence is not a disqualifying offence
320	Grievous bodily harm	
320A	Torture	the offence is not a disqualifying offence
321	Attempting to injure by explosive or noxious substances	the offence is not a disqualifying offence

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
322	Administering poison with intent to harm	the offence is not a disqualifying offence and the penalty under section 322, penalty, paragraph (a) applies to the offence
323	Wounding	
323A	Female genital mutilation	the offence is a not disqualifying offence
324	Failure to supply necessities	the offence was committed against a child or vulnerable person who is a person under care in relation to the offender
326	Endangering life of children by exposure	the offence was committed against a child who is a person under care in relation to the offender
327	Setting mantraps	the offence is not a disqualifying offence
328	Negligent acts causing harm	the offence was committed against a child or vulnerable person who is a person under care in relation to the offender
328A(4)	Dangerous operation of a vehicle	
352	Sexual assaults	the offence is not a disqualifying offence
354	Kidnapping	the offence is not a disqualifying offence

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
354A	Kidnapping for ransom	the offence is not a disqualifying offence
363	Child-stealing	the offence is not a disqualifying offence
363A	Abduction of child under 16	the offence is not a disqualifying offence
364	Cruelty to children under 16	the offence was committed against a child who is a person under care in relation to the offender
399	Fraudulent concealment of particular documents	the offence was committed with intent to defraud a child or vulnerable person
408C	Fraud	the offence was committed against, or in relation to the property of, a child or vulnerable person
408D	Obtaining or dealing with identification information	the offence was committed in relation to identification information about a child or vulnerable person
415	Extortion	the penalty under section 415(1), penalty, paragraph (a) applies to the offence
430	Fraudulent falsification of records	the offence was committed with intent to defraud a child or vulnerable person
488	Forgery and uttering	the offence was committed with intent to defraud a child or vulnerable person

**3   *Drugs Misuse Act 1986***

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
5	Trafficking in dangerous drugs	
6	Supplying dangerous drugs	the offence is one of aggravated supply under section 6(2)(a), (aa), (b) or (c)
8	Producing dangerous drugs	the penalty under section 8(1), penalty, paragraph (a) or (b) applies to the offence
9D	Trafficking in relevant substances or things	



## Schedule 3      Repealed or expired serious offences

schedule 8, definition *serious offence*

### 1      Criminal Code

Provision	Relevant heading	Circumstances for offence
208	Unlawful sodomy	as the provision was in force from time to time before its repeal by the <i>Health and Other Legislation Amendment Act 2016</i>
212	Defilement of Girls under Twelve	as the provision was in force from time to time before its repeal by the <i>Criminal Code, Evidence Act and Other Acts Amendment Act 1989</i>
214	Attempt to Abuse Girls under Ten	as the provision was in force from time to time before its repeal by the <i>Criminal Code, Evidence Act and Other Acts Amendment Act 1989</i>
218A	Using internet etc. to procure children under 16	as the provision was in force from time to time before its repeal by the <i>Criminal Law (Child Exploitation and Dangerous Drugs) Amendment Act 2013</i>

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
218B	Grooming children under 16	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Child Sexual Offences Reform) and Other Legislation Amendment Act 2020</i>
220	Unlawful Detention with Intent to Defile or in a Brothel	as the provision was in force from time to time before its repeal by the <i>Criminal Code, Evidence Act and Other Acts Amendment Act 1989</i>
223	Incest by adult female	as the provision was in force from time to time before its repeal by the <i>Criminal Law Amendment Act 1997</i>
325	Endangering life or health of apprentices or servants	as the provision was in force from time to time before its repeal by the <i>Training and Employment Act 2000</i>
344	Aggravated assaults	as the provision was in force from 20 December 1946 to 30 June 1997 if the circumstance of aggravation was that the unlawful assault was an offence of a sexual nature as defined in the <i>Criminal Law Amendment Act 1945</i> , section 2A <sup>a</sup>

Provision	Relevant heading	Circumstances for offence
400	Concealing wills	as the provision was in force from time to time before its repeal by the <i>Criminal Code and Other Acts Amendment Act 2008</i>
401	Concealing deeds	as the provision was in force from time to time before its repeal by the <i>Criminal Code and Other Acts Amendment Act 2008</i>
416	Attempts at extortion by threats	as the provision was in force from time to time before its repeal by the <i>Criminal Code and Other Acts Amendment Act 2008</i>
417	Procuring execution of deeds etc. by threats	as the provision was in force from time to time before its repeal by the <i>Criminal Code and Other Acts Amendment Act 2008</i>
427	Obtaining goods or credit by false pretence or wilfully false promise	as the provision was in force from time to time before its repeal by the <i>Criminal Law Amendment Act 1997</i>

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- a *Criminal Law Amendment Act 1945*, section 2A was inserted into the *Criminal Law Amendment Act 1945* by the *Criminal Law Amendment Act 1946*.

## 2 *Crimes Act 1914* (Cwlth)

<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
50BA	Sexual intercourse with child under 16	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BB	Inducing child under 16 to engage in sexual intercourse	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BC	Sexual conduct involving child under 16	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BD	Inducing child under 16 to be involved in sexual conduct	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50DA	Benefiting from offence against this Part	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)

<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
50DB	Encouraging offence against this Part	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)

### 3 Criminal Code (Cwlth)

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
270.6	Sexual servitude offences	only if an offender was or could have been liable as mentioned in section 270.8, as the provisions were in force from time to time before their repeal by the <i>Crimes Legislation Amendment (Slavery, Slavery-like Conditions and People Trafficking) Act 2013</i> (Cwlth)

# Schedule 4      Current disqualifying offences

schedule 8, definition *disqualifying offence*

**1    *Classification of Computer Games and Images Act 1995***

Provision	Relevant heading	Circumstances for offence
23	Demonstration of an objectionable computer game before a minor	
26(3)	Possession of objectionable computer game	
27(3) or (4)	Making objectionable computer game	
28	Obtaining minor for objectionable computer game	

**2    *Classification of Films Act 1991***

Provision	Relevant heading	Circumstances for offence
41(3)	Possession of objectionable film	
42(3) or (4)	Making objectionable film	
43	Procurement of minor for objectionable film	

**3    *Classification of Publications Act 1991***

Provision	Relevant heading	Circumstances for offence
12	Sale etc. of prohibited publication	the penalty under section 12, penalty, paragraph (c) applies to the offence

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
13	Possession of prohibited publication	the penalty under section 13, penalty, paragraph (c) applies to the offence
14	Possession of child abuse publication	
15	Exhibition or display of prohibited publication	the penalty under section 15, penalty, paragraph (c) applies to the offence
16	Leaving prohibited publication in or on public place	the penalty under section 16, penalty, paragraph (c) applies to the offence
17	Producing prohibited publication	the penalty under section 17(1), penalty, paragraph (c), (2), penalty, paragraph (c), (3) or (4) applies to the offence
18	Procurement of minor for RC publication	
20	Leaving prohibited publication in or on private premises	the penalty under section 20, penalty, paragraph (c) applies to the offence

#### **4 Criminal Code**

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
210	Indecent treatment of children under 16	
211	Bestiality	
213	Owner etc. permitting abuse of children on premises	

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
215	Engaging in penile intercourse with child under 16	the offence was committed against a child aged under 14 years or a child who is more than 5 years younger than the offender
216	Abuse of persons with an impairment of the mind	
217	Procuring young person etc. for penile intercourse	
217A	Obtaining commercial sexual services from person who is not an adult	
217B	Allowing person who is not an adult to take part in commercial sexual services	
217C	Conduct relating to provision of commercial sexual services by person who is not an adult	
218	Procuring sexual acts by coercion etc.	the offence was committed against a child or vulnerable person
218A	Using internet etc. to procure children under 16	
218B	Grooming child under 16 years or parent or carer of child under 16 years	
219	Taking child for immoral purposes	
221	Conspiracy to defile	the offence was committed against a child or vulnerable person



<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
222	Incest	the offence was committed against a child or vulnerable person
228	Obscene publications and exhibitions	a penalty under section 228(2) or (3) applies to the offence
228A	Involving child in making child exploitation material	
228B	Making child exploitation material	
228C	Distributing child exploitation material	
228D	Possessing child exploitation material	
228DA	Administering child exploitation material website	
228DB	Encouraging use of child exploitation material website	
228DC	Distributing information about avoiding detection	
228I	Producing or supplying child abuse object	
228J	Possessing child abuse object	
229B	Repeated sexual conduct with a child	
300	Unlawful homicide	the unlawful killing is murder under section 302
306	Attempt to murder	
307	Accessory after the fact to murder	

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
309	Conspiring to murder	
315	Disabling in order to commit indictable offence	the offence was committed against a child or vulnerable person
315A	Choking, suffocation or strangulation in a domestic setting	the offence was committed against a child or vulnerable person
316	Stupefying in order to commit indictable offence	the offence was committed against a child or vulnerable person
317	Acts intended to cause grievous bodily harm and other malicious acts	the offence was committed against a child or vulnerable person
320A	Torture	the offence was committed against a child or vulnerable person
321	Attempting to injure by explosive or noxious substances	the offence was committed against a child or vulnerable person
322	Administering poison with intent to harm	the offence was committed against a child or vulnerable person and the penalty under section 322, penalty, paragraph (a) applies to the offence
323A	Female genital mutilation	the offence was committed against a child or vulnerable person
323B	Removal of child from State for female genital mutilation	

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
327	Setting mantraps	the commission of the offence resulted in the death or injury of a child or vulnerable person
349	Rape	
350	Attempt to commit rape	
351	Assault with intent to commit rape	
352	Sexual assaults	the offence was committed against a child or vulnerable person
354	Kidnapping	the offence was committed against a child or a vulnerable person, other than in a familial context
354A	Kidnapping for ransom	the offence was committed against a child or a vulnerable person, other than in a familial context
363	Child-stealing	the offence was not committed in a familial context
363A	Abduction of child under 16	the offence was not committed in a familial context

# Schedule 5      Repealed or expired disqualifying offences

schedule 8, definition *disqualifying offence*

1      Criminal Code

Provision	Relevant heading	Circumstances for offence
208	Unlawful sodomy	as the provision was in force from time to time before its repeal by the <i>Health and Other Legislation Amendment Act 2016</i> for an offence committed before 1 July 1997, only if committed against a child or a person with an impairment of the mind
212	Defilement of Girls under Twelve	as the provision was in force from time to time before its repeal by the <i>Criminal Code, Evidence Act and Other Acts Amendment Act 1989</i>
214	Attempt to Abuse Girls under Ten	as the provision was in force from time to time before its repeal by the <i>Criminal Code, Evidence Act and Other Acts Amendment Act 1989</i>

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
218A	Using internet etc. to procure children under 16	as the provision was in force from time to time before its repeal by the <i>Criminal Law (Child Exploitation and Dangerous Drugs) Amendment Act 2013</i>
218B	Grooming children under 16	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Child Sexual Offences Reform) and Other Legislation Amendment Act 2020</i>
220	Unlawful Detention with Intent to Defile or in a Brothel	as the provision was in force from time to time before its repeal by the <i>Criminal Code, Evidence Act and Other Acts Amendment Act 1989</i> only if, at the time of the offence, the person in relation to whom the offence was committed was a child
223	Incest by adult female	as the provision was in force from time to time before its repeal by the <i>Criminal Law Amendment Act 1997</i> only if, at the time of the offence, the person in relation to whom the offence was committed was a child

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
229FA	Obtaining prostitution from person who is not an adult	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i>
229G	Procuring engagement in prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i> only if the penalty under section 229G(2) applies to the offence
229H	Knowingly participating in provision of prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i> only if the penalty under section 229H(2) applies to the offence
229HB	Carrying on business of providing unlawful prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i> only if the penalty under section 229HB(2) applies to the offence

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
229I	Persons found in places reasonably suspected of being used for prostitution etc.	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i> only if the penalty under section 229I(2) applies to the offence
229L	Permitting young person etc. to be at place used for prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i>
325	Endangering life or health of apprentices or servants	as the provision was in force from time to time before its repeal by the <i>Training and Employment Act 2000</i>

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
344	Aggravated assaults	<p>as the provision was in force from 20 December 1946 to 30 June 1997 if—</p> <p>(a) the circumstance of aggravation was that the unlawful assault was an offence of a sexual nature as defined in the <i>Criminal Law Amendment Act 1945</i>, section 2A; and</p> <p>(b) at the time of the offence, the person in relation to whom the offence was committed was a child</p>

## 2 *Crimes Act 1914* (Cwlth)

<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
50BA	Sexual intercourse with child under 16	<p>as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)</p>
50BB	Inducing child under 16 to engage in sexual intercourse	<p>as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)</p>



<b>Provision</b>	<b>Relevant heading</b>	<b>Circumstances for offence</b>
50BC	Sexual conduct involving child under 16	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BD	Inducing child under 16 to be involved in sexual conduct	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50DA	Benefiting from offence against this Part	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50DB	Encouraging offence against this Part	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)

### 3 Criminal Code (Cwlth)

Provision	Relevant heading	Circumstances for offence
270.6	Sexual servitude offences	only if an offender was or could have been liable as mentioned in section 270.8, as the provisions were in force from time to time before their repeal by the <i>Crimes Legislation Amendment (Slavery, Slavery-like Conditions and People Trafficking) Act 2013</i> (Cwlth)

## Schedule 6      Offences that may form basis of investigative information

schedule 8, definition *schedule 6 or 7 offence*

### 1      *Classification of Computer Games and Images Act 1995*

Provision of Act	Relevant heading	Qualification relating to the provision of the Act
23	Demonstration of an objectionable computer game before a minor	
28	Obtaining minor for objectionable computer game	

### 2      *Classification of Films Act 1991*

Provision of Act	Relevant heading	Qualification relating to the provision of the Act
43	Procurement of minor for objectionable film	

### 3      *Classification of Publications Act 1991*

Provision of Act	Relevant heading	Qualification relating to the provision of the Act
18	Procurement of minor for RC publication	

### 4      Criminal Code

<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
210	Indecent treatment of children under 16	
213	Owner etc. permitting abuse of children on premises	
215	Engaging in penile intercourse with child under 16	
216	Abuse of persons with an impairment of the mind	
217	Procuring young person etc. for penile intercourse	
217A	Obtaining commercial sexual services from person who is not an adult	
217B	Allowing person who is not an adult to take part in commercial sexual services	
217C	Conduct relating to provision of commercial sexual services by person who is not an adult	
218	Procuring sexual acts by coercion etc.	if the offence was committed against a child or a person with disability
218B	Grooming children under 16	
219	Taking child for immoral purposes	

<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
221	Conspiracy to defile	if the offence was committed against a child or a person with disability
222	Incest	if the offence was committed against a child or a person with disability
228A	Involving child in making child exploitation material	
229B	Repeated sexual conduct with a child	
300	Unlawful homicide	only if the unlawful killing is murder under section 302 and was committed against a child or a person with disability
349	Rape	if the offence was committed against a child or person with disability
350	Attempt to commit rape	if the offence was committed against a child or a person with disability
351	Assault with intent to commit rape	if the offence was committed against a child or a person with disability
352	Sexual assaults	if the offence was committed against a child or a person with disability

## 5 Criminal Code (Cwlth)

<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
270.6	Sexual servitude offences	only if an offender was or could have been liable as mentioned in section 270.8 or if the offence is committed against a person with disability
270.7	Deceptive recruiting for sexual services	only if an offender was or could have been liable as mentioned in section 270.8 or if the offence is committed against a person with disability
272.8	Sexual intercourse with child outside Australia	
272.9	Sexual activity (other than sexual intercourse) with child outside Australia	
272.10	Aggravated offence—child with mental impairment or under care, supervision or authority of defendant	
272.11	Persistent sexual abuse of child outside Australia	
272.12	Sexual intercourse with young person outside Australia—  defendant in position of trust or authority	

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<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
272.13	Sexual activity (other than sexual intercourse) with young person outside Australia— defendant in position of trust or authority	
272.14	Procuring child to engage in sexual activity outside Australia	
272.15	“Grooming” child to engage in sexual activity outside Australia	

**Schedule 7      Repealed or expired offences  
that may form basis of  
investigative information**

schedule 8, definition *schedule 6 or 7 offence*

***Crimes Act 1914 (Cwlth)***

<b>Provision of Act</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
50BA	Sexual intercourse with child under 16	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BB	Inducing child under 16 to engage in sexual intercourse	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BC	Sexual conduct involving child under 16	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)
50BD	Inducing child under 16 to be involved in sexual conduct	as the provision was in force from time to time before its repeal by the <i>Crimes Legislation Amendment (Sexual Offences Against Children) Act 2010</i> (Cwlth)



**Criminal Code**

<b>Provision of Code</b>	<b>Relevant heading</b>	<b>Qualification relating to the provision of the Act</b>
208	Unlawful sodomy	as the provision was in force from time to time before its repeal by the <i>Health and Other Legislation Amendment Act 2016</i> for an offence committed before 1 July 1997, only if committed against a child or a person with an impairment of the mind
229G	Procuring engagement in prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i> only if an offender was or could have been liable as mentioned in section 229G(2)
229H	Knowingly participating in provision of prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i> only if an offender was or could have been liable as mentioned in section 229H(2)
229L	Permitting young person etc. to be at place used for prostitution	as the provision was in force from time to time before its repeal by the <i>Criminal Code (Decriminalising Sex Work) and Other Legislation Amendment Act 2024</i>

## Schedule 8 Dictionary

### section 9

***administrator*** means an administrator appointed under the *Guardianship and Administration Act 2000*.

***adult with an intellectual or cognitive disability***, for part 6 and part 8, division 2, see section 144.

***adult with a skills deficit***, for part 8, division 2, see section 217.

***affected person***, for a reviewable decision, see section 138ZR(2).

***appellant***, for an appeal under section 138J, see section 138J(2).

***applicant***, for part 5, means the applicant for a disability worker screening application.

***appropriately qualified***, for part 6, see section 144.

***approved form*** means a form approved by the chief executive under section 237.

***approved way***, for making an application or request or giving a notice, means a way for making the application or request or giving the notice—

- (a) approved by the chief executive; and
- (b) notified on—
  - (i) the department’s website; or
  - (ii) the whole-of-government website.

***assessment***, for part 6, see section 144.

***authorised entity***, for a person, see section 138ZJ.

***authorised officer*** means an authorised officer appointed under the Community Services Act, section 25.

***authorised psychiatrist***, for part 6, see section 144.

**banning order** see the *National Disability Insurance Scheme Act 2013* (Cwlth), section 9.

**carer** means a person of any age, who, without being paid, cares for another person who needs ongoing support because of a disability, but does not include a volunteer for an organisation.

**chemical restraint**, for part 6, see section 144.

**chemical restraint (fixed dose)**, for part 6, see section 144.

**chief executive (child safety)** means the chief executive of the department in which the *Child Protection Act 1999* is administered.

**chief executive (health)**, for part 6, division 7, subdivision 3, see section 196.

**chief executive's screening functions** means the chief executive's functions under part 5.

**chief executive (working with children)** means the chief executive of the department in which the *Working with Children Act* is administered.

**chief psychiatrist**, for part 6, see section 144.

**clearance** see section 50(1).

**clearance card** means a clearance card for a clearance within the meaning of section 98(2).

**combined application** see section 67(2).

**community access services**, for part 6, see section 144.

**complaints agency** means any of the following—

- (a) the ombudsman under the *Ombudsman Act 2001*;
- (b) the Crime and Corruption Commission;
- (c) the human rights commissioner under the *Anti-Discrimination Act 1991*;
- (d) the health ombudsman under the *Health Ombudsman Act 2013*;
- (e) the public guardian.

**conduct**, of a person, means an act or an omission to perform an act.

**confidential information** includes information about a person's affairs but does not include—

- (a) information already publicly disclosed unless further disclosure of the information is prohibited by law; or
- (b) statistical or other information that could not reasonably be expected to result in the identification of the person to whom the information relates.

**consumer** means—

- (a) for an NDIS service provider—a person with disability who is provided with NDIS supports or services by the service provider; or
- (b) for the department or a funded service provider—a person with disability who is provided with disability services by the department or service provider.

**contain**, for part 6, see section 144.

**containment or seclusion approval**, for part 6, see section 144.

**conviction** means a finding of guilt or the acceptance of a plea of guilty by a court, whether or not a conviction is recorded.

**corresponding law** means a law of another State that relates to the screening of persons who carry out, or propose to carry out, NDIS disability work.

**criminal history**, of a person, means—

- (a) every conviction of the person for an offence, in Queensland or elsewhere, and whether before or after the commencement of this Act; and
- (b) every charge made against the person for an offence, in Queensland or elsewhere, and whether before or after the commencement of this Act.

**criminal history event**, in relation to a person, see section 138B.

***dealt with***, in relation to a charge for an offence, means any of the following—

- (a) the person who is charged is convicted or acquitted of the charge;
- (b) the person who is charged is convicted of another offence for which the conduct was substantially the same as the conduct of the offence charged;
- (c) the charge has been withdrawn or dismissed;
- (d) a nolle prosequi or no true bill is presented in relation to the charge.

***decision notice***, for part 6, see section 144.

***director of forensic disability*** means the director under the *Forensic Disability Act 2011*.

***disability*** see section 11.

***disability services*** see section 12.

***disability work*** means—

- (a) NDIS disability work; or
- (b) State disability work.

***disability worker screening application*** means—

- (a) an NDIS worker screening application; or
- (b) a State disability worker screening application.

***disciplinary action***, in relation to a person, see section 138O(2).

***disciplinary information***, about a person, see section 138O(1).

***disqualified person*** means a person who—

- (a) has a conviction for a disqualifying offence; and
- (b) was an adult when the offence was committed.

***disqualifying offence*** means—

- (a) an offence against a provision of an Act mentioned in schedule 4 or 5—

- (i) if each circumstance (if any) stated for the offence in the schedule applies to the offence; and
  - (ii) regardless of whether the provision has been amended from time to time or numbered differently; or
- (b) an offence against a provision of an Act of the Commonwealth prescribed by regulation to be a disqualifying offence; or
- (c) a related offence for an offence mentioned in paragraph (a) or (b).

***division 2 service provider*** see section 216(3).

***domestic violence information***, about a person, means information about the history of domestic violence orders made, or police protection notices issued, against the person under the *Domestic and Family Violence Protection Act 2012*.

***domestic violence order*** see the *Domestic and Family Violence Protection Act 2012*, section 23(2).

***employer***, of a person in relation to disability work, means the entity that engages the person to carry out the disability work.

***engaged***, in relation to carrying out work, see—

- (a) section 44; and
- (b) also—
  - (i) for risk-assessed NDIS work—section 46; or
  - (ii) for State disability work—sections 48 and 49.

***excluded provision*** see section 200E(a).

***exclusion*** see section 51(1).

***executive officer***, of a corporation, means any person, by whatever name called and whether or not the person is a director of the corporation, who is concerned or takes part in the management of the corporation.

***financial reward*** does not include a payment that is a reimbursement for expenses.

***forensic disability client*** means a forensic disability client under the *Forensic Disability Act 2011*.

***funded service provider*** see section 14.

***GAA***, for part 6, see section 144.

***general power***, see section 200S(1).

***guardian*** means a guardian appointed under the *Guardianship and Administration Act 2000*.

***guardian for a restrictive practice (general) matter***, for part 6, see section 144.

***guardian for a restrictive practice matter***, for part 6, see section 144.

***guardian for a restrictive practice (respite) matter***, for part 6, see section 144.

***harm***, to a person—

- (a) for part 6—see section 144; or
- (b) otherwise—includes any detrimental effect on a person's physical, psychological, emotional, sexual or financial wellbeing, however the detrimental effect is caused.

***health professional***, for part 6, division 7, subdivision 3, see section 196.

***health service chief executive***, for part 6, division 7, subdivision 3, see section 196.

***help requirement*** see section 200T(1).

***human rights principle*** means the principle and rights stated in section 18.

***indictable offence*** includes an indictable offence dealt with summarily, whether or not the Criminal Code, section 659 applies to the indictable offence.

***individual funding agreement*** see section 37.

***informal decision-maker***, for part 6, see section 144.

***information requirement*** see section 200W(3).

***interested person***, for a relevant decision for part 6, division 6, see section 186.

***interim bar*** means an interim bar imposed under section 82.

***internal review***, of a reviewable decision, see section 138ZT(1).

***internal review decision*** means a decision made, or taken to have been made, under section 138ZV on an application for internal review of a reviewable decision.

***interstate NDIS clearance*** see section 50(3).

***interstate NDIS exclusion*** see section 51(3).

***investigative information***, about a person, see section 138I(1).

***investigative information decision*** see section 138J(2).

***least restrictive***, for part 6, see section 144.

***mechanical restraint***, for part 6, see section 144.

***model positive behaviour support plan***, for part 6, see section 144.

***multidisciplinary assessment***, for part 6, see section 144.

***national disability insurance scheme*** means the National Disability Insurance Scheme under the *National Disability Insurance Scheme Act 2013* (Cwlth).

***NDIS clearance*** see section 50(2).

***NDIS commission*** means the NDIS Quality and Safeguards Commission established under the *National Disability Insurance Scheme Act 2013* (Cwlth), section 181A.

***NDIS commissioner*** means the Commissioner of the NDIS commission appointed under the *National Disability Insurance Scheme Act 2013* (Cwlth), section 181L.

***NDIS disability work*** see section 45(1).

***NDIS disciplinary or misconduct information***, about a person—

- (a) means information about the person's professional conduct in relation to the national disability insurance



scheme given to the chief executive by the NDIS commission; and

- (b) includes information about—
  - (i) an incident involving the person, or a complaint or allegation about the person, investigated under the *National Disability Insurance Scheme Act 2013* (Cwlth); and
  - (ii) adverse and substantiated findings of an investigation conducted under the *National Disability Insurance Scheme Act 2013* (Cwlth); and
  - (iii) a banning order made against the person.

**NDIS exclusion** see section 51(2).

**NDIS service provider** see section 15(1).

**NDIS sole trader** see section 16(1).

**NDIS supports or services** see section 12A.

**NDIS worker screening application** means—

- (a) an application for a clearance made under section 65; or
- (b) a combined application to the extent it is an application under section 65.

**NDIS worker screening database** see the *National Disability Insurance Scheme Act 2013* (Cwlth), section 9.

**NDIS (Worker Screening) Practice Standards** means NDIS Practice Standards that are made under the *National Disability Insurance Scheme Act 2013* (Cwlth) about the screening of workers employed or otherwise engaged by registered NDIS providers.

**NDIS worker screening unit** means an entity responsible, under a corresponding law, for screening persons who carry out, or propose to carry out, NDIS disability work.

**notice** means a written notice.

**notifiable person**, for a person, see section 52.

**occupier**, of a place, includes the following—

- (a) if there is more than 1 person who apparently occupies the place—any 1 of the persons;
- (b) any person at the place who is apparently acting with the authority of a person who apparently occupies the place;
- (c) if no-one apparently occupies the place—any person who is an owner of the place.

***offender prohibition disqualification order*** means a disqualification order made under the *Child Protection (Offender Reporting and Offender Prohibition Order) Act 2004*, section 13T.

***offender prohibition order*** means an offender prohibition order under the *Child Protection (Offender Reporting and Offender Prohibition Order) Act 2004*.

***offender reporting obligations*** means reporting obligations under the *Child Protection (Offender Reporting and Offender Prohibition Order) Act 2004*.

***parent***, for part 4, see section 37.

***parent***, of a person, includes—

- (a) in any case—the spouse of a parent of the person; and
- (b) for an Aboriginal person—a person who, under Aboriginal tradition, is regarded as a parent of the person; and
- (c) for a Torres Strait Islander—a person who, under Island custom, is regarded as a parent of the person.

***person under care***, in relation to a person, means a child or vulnerable person who—

- (a) is receiving care or support because the child or vulnerable person is unable to—
  - (i) care for himself or herself; or
  - (ii) protect himself or herself from harm or exploitation; and

*Examples of a child or vulnerable person who is receiving care or support for a reason mentioned in paragraph (a)(i) or (ii)—*

- a child in foster care

- an elderly person in residential aged care
  - a person with a mental illness receiving inpatient treatment in a hospital
- (b) has a relationship with the person—
- (i) because of the care or support mentioned in paragraph (a); and
  - (ii) other than because the person is a relative of the child or vulnerable person.

***physical restraint***, for part 6, see section 144.

***place*** includes premises and vacant land.

***police information***, about a person, means the following—

- (a) the person's criminal history;
- (b) investigative information about the person;
- (c) information about whether the person is or has been—
  - (i) subject to offender reporting obligations; or
  - (ii) subject to an offender prohibition order or offender prohibition disqualification order; or
  - (iii) named as the respondent to an application for an offender prohibition order; or
  - (iv) the subject of an application for an offender prohibition disqualification order.

***police protection notice*** means a police protection notice under the *Domestic and Family Violence Protection Act 2012*.

***police service*** means the Queensland Police Service.

***positive behaviour support plan***, for part 6, see section 144.

***premises*** includes—

- (a) a building or other structure; and
- (b) a part of a building or other structure; and
- (c) a vehicle; and
- (d) a caravan.

**private sector health service**, for part 6, division 7, subdivision 3, see section 196.

**public guardian** means the public guardian under the *Public Guardian Act 2014*.

**QCAT information notice**, for an internal review decision, means a notice complying with the QCAT Act, section 157(2).

**reasonably believes** means believes on grounds that are reasonable in the circumstances.

**reasonably suspects** means suspects on grounds that are reasonable in the circumstances.

**recorded statement** see the *Evidence Act 1977*, section 103A.

**registered health practitioner** means a person registered under the Health Practitioner Regulation National Law.

**registered NDIS provider** see section 15(2).

**related offence**, for a particular offence, means another offence that is—

- (a) an offence of counselling or procuring the commission of the particular offence; or
- (b) an offence of attempting, or of conspiring, to commit the particular offence; or
- (c) an offence that, at the time it was committed, was the particular offence; or
- (d) an offence under a law of another jurisdiction that, if it had been committed in Queensland, would have constituted the particular offence or an offence mentioned in paragraph (a), (b) or (c).

**relative**, of a person—

- (a) means the person's spouse, child, parent, grandparent, great-grandparent, brother, sister, uncle, aunt, niece, nephew or first cousin; and
- (b) for an Aboriginal person—includes a person who, under Aboriginal tradition, is regarded as a relative mentioned in paragraph (a); and

- (c) for a Torres Strait Islander—includes a person who, under Island custom, is regarded as a relative mentioned in paragraph (a); and
- (d) for a person with a parent who is not a biological parent—includes anyone who would be a relative mentioned in paragraph (a) if the parent were a biological parent.

*Example for paragraph (d)—*

the child of a person's step-parent

**relevant decision**, for part 6, division 6, see section 186.

**relevant decision-maker (respite)**, for part 6, see section 144.

**relevant disability services** see section 37.

**relevant person**—

- (a) for part 4—see section 37; or
- (b) for part 5, division 8—see section 136.

**relevant review and appeal information**, for a decision about a person, means the following information—

- (a) if the reasons for the decision do not include investigative information—the circumstances in which the person may apply for a review of the decision under part 5, division 9;
- (b) if the reasons for the decision include investigative information—
  - (i) the right of the person to appeal, under section 138J, to a Magistrates Court about the police commissioner's decision that the information is investigative information; and
  - (ii) the circumstances in which the person may apply for a review of the decision under section 138L;
- (c) the period within which the person must apply for the review or start the appeal;
- (d) how the person may apply for the review or start the appeal;

- (e) there is no review or appeal under this Act in relation to the decision other than as mentioned in paragraph (a) or (b).

**relevant service provider** see section 140(3).

**respite/community access plan**, for part 6, see section 144.

**respite services**, for part 6, see section 144.

**restricting access**, for part 6, see section 144.

**restrictive practice** see section 144.

**reviewable decision** see section 138ZR(1).

**risk-assessed NDIS work** see section 45(2).

**risk assessment** means a risk assessment under part 5, division 4, subdivision 3.

**risk assessment matter**, in relation to a person, means a matter that—

- (a) is or may be relevant to whether the person poses a risk of harm to people with disability; and
- (b) is prescribed by regulation to be a risk assessment matter.

**schedule 6 or 7 offence** means—

- (a) an offence against a provision of an Act mentioned in schedule 6 or 7—
  - (i) if each circumstance (if any) stated for the offence in the schedule applies to the offence; and
  - (ii) regardless of whether the provision has been amended from time to time or numbered differently; or
- (b) a related offence for an offence mentioned in paragraph (a).

**seclude**, for part 6, see section 144.

**section 93A transcript** see the *Evidence Act 1977*, schedule 3.

**serious offence** means—

- 
- (a) an offence against a provision of an Act mentioned in schedule 2 or 3—
    - (i) if each circumstance (if any) stated for the offence in the schedule applies to the offence; and
    - (ii) regardless of whether the provision has been amended from time to time or numbered differently; or
  - (b) an offence against a provision of an Act of the Commonwealth prescribed by regulation to be a serious offence; or
  - (c) a related offence for an offence mentioned in paragraph (a) or (b).

***service delivery principles*** means the principles stated in part 2, division 2.

***service provider*** see section 13.

***sexual offender order*** means a division 3 order, interim detention order or interim supervision order under the *Dangerous Prisoners (Sexual Offenders) Act 2003*.

***short term approval***, for part 6, see section 144.

***State clearance*** see section 50(4).

***State disability work*** see section 47.

***State disability worker screening application*** means—

- (a) an application for a clearance made under section 66; or
- (b) a combined application to the extent it is an application under section 66.

***State entity*** see section 138P.

***State exclusion*** see section 51(4).

***State sole trader*** see section 16(2).

***support network*** see the *Guardianship and Administration Act 2000*, schedule 4.

***tribunal*** means QCAT.

**vulnerable person** means an adult who, because of the person's age, illness or disability, is, or may be, unable to—

- (a) care for himself or herself; or
- (b) protect himself or herself from harm or exploitation.

**whole-of-government website** means—

- (a) [www.qld.gov.au](http://www.qld.gov.au); or
- (b) another website prescribed by regulation.

**Working with Children Act** means the *Working with Children (Risk Management and Screening) Act 2000*.

**working with children check application** see the Working with Children Act, schedule 7.

**working with children clearance** see the Working with Children Act, section 220(2).