

# Overview of Riemann Surfaces

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# Outline

- ▶ Objective of Thesis
- ▶ Riemann surfaces
- ▶ Uniformisation theorem
- ▶ Fuchsian groups
- ▶ Dessins d'Enfants

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# Objective of Thesis

Properly define Riemann Surfaces to;

- ▶ (i) State the Uniformisation Theorem to classify the surfaces
- ▶ (ii) Determine / Study their automorphism groups and their importance
- ▶ (iii) Study the geometric representation of critical points

# Outline

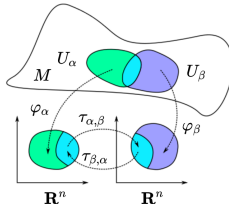
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# Preliminary: Manifolds

Start with reviewing a couple of concepts.

## Recall: Atlas

A set of charts  $\mathcal{A} := \{(U_i, \phi_i) \mid \phi_i : U_i \rightarrow D \subset \mathbb{C}\}$  is called an atlas on a surface  $\mathbf{R}$  if the following hold: (i)  $\bigcup_{(U_i, \phi_i) \in \mathcal{A}} U_i = R$ , and (ii) transition among charts in the atlas is analytic.



When multiple atlases have analytic transition functions, then they are called **compatible**.

# Formally, Riemann Surfaces are ...

The compatibility of atlases forms an equivalence relation (Exercise 4F of [JS87]) that forms the complex structure on  $R$  as follows;

## Def: Conformal Structure

A **conformal structure** on  $R$  is an atlas  $\mathcal{A}$  on  $\mathbf{R}$  which is maximal: if  $(\psi, V)$  is a chart on  $R$  such that, for any  $(\phi, U) \in \mathcal{A}$ , if it is compatible with  $(\psi, V)$ , then  $(\psi, V) \in \mathcal{A}$ .

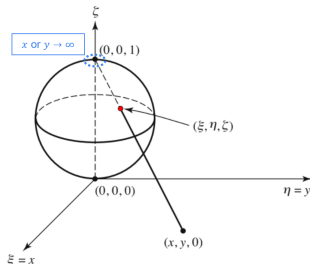
We now have all the concepts we need to define Riemann surfaces.

## Def: Riemann Surfaces

A Riemann surface is a pair  $(R, \mathcal{A})$ , where  $\mathcal{A}$  is a conformal structure on  $R$ .

# Example: Riemann Sphere

A surface  $S = \Sigma := \mathbb{C} \cup \{\infty\}$  is homeomorphic to the sphere  $S^2$  with stereographic projection.



Suppose two charts: **Identity map** ( $\phi_1 : z \mapsto z$  on  $\mathbb{C}$ ) and **Reciprocal map** ( $\phi_2 : z \mapsto 1/z$  with  $\phi_2(\infty) = 0$  on  $\Sigma \setminus \{0\}$ ).

We have  $(\phi_2 \circ \phi_1^{-1})(z) = 1/z$  which is analytic on  $\phi_1(U_1 \cap U_2) = \mathbb{C} \setminus \{0\}$  and similarly for  $(\phi_1 \circ \phi_2^{-1})$ . Thus, this is a Riemann surface, called **Riemann sphere**.



# To the next...

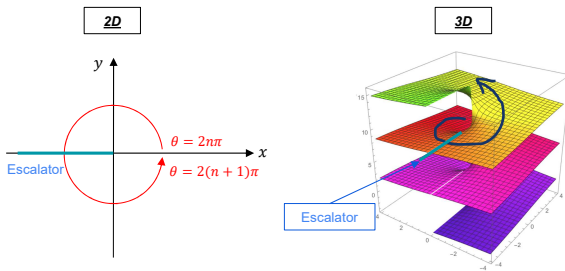
Now, we will take a look at cases where Riemann surfaces are simply connected and the classification results of those surfaces.

1. Analytic continuation
2. Covering space

# (1) Analytic continuation and Example

**Analytic continuation** extends the representation of a function in one region of the complex plane into another region.

**Ex:** For  $z = re^{i\theta}$ , let  $f(z) = \log(z) = \ln r + i(\theta + 2n\pi)$  with  $n \in \mathbb{Z}$ .



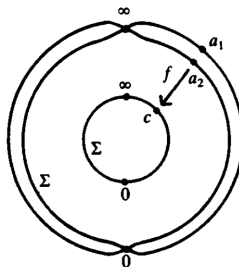
Continuation on a *simply connected region*  $E$  is **path-independent** (w/h common start/end points) by **Monodromy theorem**.

So we have a *single-valued* analytic function on  $E$  over different function elements.

## (2) Covering of a Riemann surface: Example

Let  $f(z) = z^n$ . We have  $f(0) = 0$  with multiplicity  $n$ , and as  $f'(z \neq 0) \neq 0$ , there are no other branch-points in  $\mathbb{C}$ . Similarly for  $\infty \in \Sigma$ , it has the multiplicity of  $n$  and thus a branch-point.

Hence,  $n$ -sheets come together at branch-points of 0 and  $\infty$ .



**Figure:** When  $n = 2$ .

## (2) Covering of a Riemann surface: Theorem

Indeed, for connected surfaces, the covering spaces turn out to be simply connected as promised.

**Thm: Regular covering space; ((10.19) of [Arm13])**

Every connected surface  $S$  has a covering surface  $(\tilde{S}, p)$  where  $p$  is a covering map such that  $\tilde{S}$  is simply connected.

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# Classify simple regions: Riemann Mapping Thm

## Conformal equivalence

A **conformal equivalence** is an analytic bijection of Riemann surfaces  $f : R \rightarrow S$  with any analytic inverse  $f^{-1} : S \rightarrow R$ .

**Ex:** Möbius transformations.

Riemann Mapping Theorem classifies all simply connected open subsets of  $\mathbb{C}$  up to conformal equivalence.

## Riemann Mapping Theorem (Corollary 16.15 of [Wil20])

If  $S$  is a simply connected open subset of  $\mathbb{C}$ , then either  $S = \mathbb{C}$  or else  $S \simeq \mathbb{D}$  (Unit disc).

# Statement / Insight of Uniformisation theorem

## Uniformisation Theorem (Theorem 4.17.2 of [JS87])

Every simply connected Riemann surface is conformally equivalent to one of  $\Sigma = \mathbb{C} \cup \{\infty\}$  (Riemann sphere),  $\mathbb{C}$  (Complex plane), or  $\mathbb{D}$  (Disc).

## Remark

The three Riemann surfaces are **not conformally equivalent** to each other;

- ▶  $\Sigma$  is compact, so not even homeomorphic to the other two,
- ▶  $\mathbb{D}$  is bounded as a disc and by Liouville's theorem any analytic map  $\mathbb{C} \rightarrow \mathbb{D}$  is constant. Thus, not conformally equivalent as not bijection.

# Uniformisation and Coverings

Relationship between a Riemann surface and the covering space is indeed profound.

**Corollary 16.5 of [Wil20] or Theorem 4.19.5 of [JS87].**

Every Riemann surface  $R$  is conformally equivalent to a quotient  $R \simeq \tilde{R}/G$  where  $\tilde{R}$  is one of  $\Sigma$ ,  $\mathbb{C}$  or  $\mathbb{D}$ , and  $G$  is a (properly discontinuous) group of conformal equivalences (automorphisms) of  $\tilde{R}$ .

Thus, it is important to determine the automorphism groups of the Riemann surfaces to study Uniformisation theorem.



# Automorphism groups

Following shows the classification of automorphism groups.

## Thm 4.17.3 of [JS87]

1.  $\text{Aut}(\Sigma) = \text{PSL}(2, \mathbb{C})$  (Möbius transformations),
2.  $\text{Aut}(\mathbb{C}) = \{z \mapsto az + b \mid a, b \in \mathbb{C}, a \neq 0\}$  (Linear transf.),
3.  $\text{Aut}(\mathbb{H}) = \text{PSL}(2, \mathbb{R})$  where  $\mathbb{H}$  is the upper half-plane.

## Remark

$\mathbb{D}$  (Disc) and  $\mathbb{H}$  are conformally equivalent via a Möbius transformation  $T \in \text{PSL}(2, \mathbb{C}) : \mathbb{H} \rightarrow \mathbb{C}$  such that  $z \mapsto \frac{z-i}{z+i}$  (Example (1) of Sec4.17 of [JS87]).

# Automorphism groups

In fact, we can find a stronger result helping us to study of classifications of Riemann surfaces.

## Mutual Exclusivity: Prop. 16.10 of [Wil20]

A Riemann surface  $R$  is uniformised by at most one of  $\Sigma$ ,  $\mathbb{C}$  and  $\mathbb{D}$ .

Now let us focus on the upper half-plane and the associated actions, i.e., the automorphisms group  $\mathrm{PSL}(2, \mathbb{R})$ , namely **Fuchsian groups**.

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# What's $\mathrm{PSL}(2, \mathbb{R})$ ?

Intuitively, we can think of Möbius transformations in  $\mathrm{PSL}(2, \mathbb{C})$  with the Euclidean distance.

## Möbius transformations

It is a complex rational function of the form;  $f(z) = \frac{az+b}{cz+d}$  for  $z, a, b, c, d \in \mathbb{C}$  such that  $ad - bc \neq 0$ .

## Remark

Möbius transformations can take various forms;

- ▶ *Translation*:  $f(z) = b + z$  ( $a = 1, c = 0, d = 1$ ),
- ▶ *Rotation*:  $f(z) = az$  ( $b = 0, c = 0, d = 1$ ),
- ▶ *Inversion*:  $f(z) = 1/z$  ( $a = 0, b = 1, c = 1, d = 0$ ),

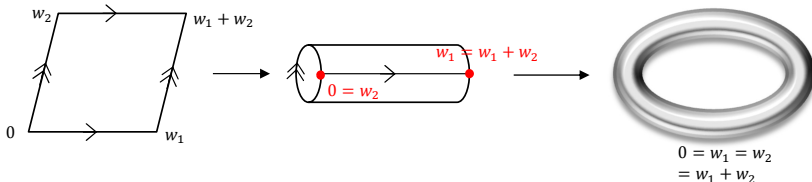
Caution:  $\mathrm{PSL}(2, \mathbb{R})$  acts with the distance defined on a *Hyperbolic* space model ( $\mathbb{H}$ ).

# Preliminary to Fuchsian groups

## Def: Properly discontinuous actions

Let a group  $G$  act by homomorphism on a space  $X$ . The action is said to be **properly discontinuous** if, for every compact  $K \subseteq X$ , the set  $\{g \in G \mid g(K) \cap K \neq \emptyset\}$  is finite.

For instance, if  $\Omega = \Omega(\omega_1, \omega_2)$  is a lattice in  $\mathbb{C}$  then the action of  $\Omega$  on  $\mathbb{C}$  by **translation** is properly discontinuous. By **tessellation**, we can generate the torus (a quotient space of  $\mathbb{C}/\Omega$ )



# Fuchsian groups

## Fuchsian groups

A subgroup of  $\mathrm{PSL}(2, \mathbb{R})$  that acts properly discontinuously on  $\mathbb{H}$  is called a **Fuchsian group**.

## Examples of Fuchsian groups

- ▶ Integer translations  $T(z) = \{z + n \mid n \in \mathbb{N}\}$  form a Fuchsian group.
- ▶ More generally, as  $\mathrm{SL}(2, \mathbb{Z}) \subset \mathrm{SL}(2, \mathbb{R})$ ,  $\mathrm{PSL}(2, \mathbb{Z})$  (**Modular group**) is a subgroup of  $\mathrm{PSL}(2, \mathbb{R})$  and hence is a Fuchsian group.

# Fuchsian groups and Uniformisation Theorem

Similar to the quotient space of the torus ( $\mathbb{C}/\Omega$ ), we can define a quotient space  $\mathbb{H}/\Gamma$  with  $\Pi : \mathbb{H} \rightarrow \mathbb{H}/\Gamma$  s.t.  $z \mapsto [z]_\Gamma$  and  $[z]_\Gamma$  is the  $\Gamma$ -orbits.

The following result shows that the quotient-spaces of Fuchsian groups are Riemann surfaces.

## Thm 5.9.1 of [JS87]

$\mathbb{H}/\Gamma$  is a connected Riemann surface with  $\Pi : \mathbb{H} \rightarrow \mathbb{H}/\Gamma$  being holomorphic.

*In summary*, the problem of classifying the Riemann surfaces uniformised by  $\mathbb{D}$  involves first classifying Fuchsian groups, and then understanding their properly discontinuous actions.

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# Introduction

Now, we turn our attention to interpreting the critical points of a Riemann surface to develop more intuition.

## Recall: Critical points

A **critical point** is a point in the domain of the function where the function is either not differentiable or the derivative is equal to zero.

# Belyi maps

In the following, "a compact Riemann surface  $S$  is defined over  $\bar{\mathbb{Q}}$ " (Algebraic closure of  $\mathbb{Q}$ ) means the corresponding algebraic curve is defined over  $\bar{\mathbb{Q}}$ .

**Belyi's theorem:** Thm 5.1 of [Pos+14] and Sec.2 of [Pér18]

A compact Riemann surface  $S$  can be defined over  $\bar{\mathbb{Q}}$  if and only if there exists a covering  $\beta : S \rightarrow \Sigma$  unramified outside of  $\{0, 1, \infty\}$ .

## Belyi map

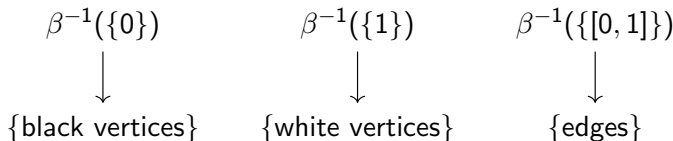
A rational function  $\beta : S \rightarrow \Sigma$  which has at most three critical values  $\{0, 1, \infty\}$  is called a **Belyi map** where  $S$  is a compact Riemann surface.  $(S, \beta)$  is called a *Belyi pair*.

**Example:**  $\beta(z) = f(z) = z^n$  from the slide.11.

# Definition of Dessins

In the following, imagining a bipartite graph on a surface would be useful.

For a Belyi map  $\beta : S \rightarrow \Sigma$ , we define the preimages;

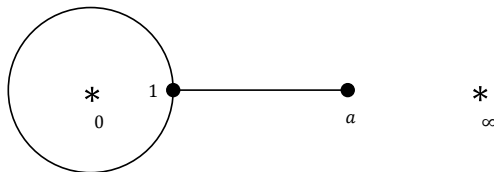


The bipartite graph  $\Delta_\beta = (V, E)$  with the coloured vertices and the edges (called *Hypermap*) is called a **Dessin d'Enfant**.

Intuitively, we embed the graph on the surface  $S$  in 3-dimension to visualise.

## Example: Computing a Dessin

**Task:** Figure out the underlying Belyi map given the following figure with critical points and one unknown (Vertex  $a$ ). Note that the black vertices correspond to the fibre  $f^{-1}(\{0\})$ .



Observe that;

- ▶ Vertex 1 has degree 3 and Vertex  $a$  has degree 1,
- ▶ Locations of Vertices  $0, \infty$  imply the pole at  $x = 0$  or  $x = \infty$ .

We can infer the form of the underlying Belyi map  $f$  as;

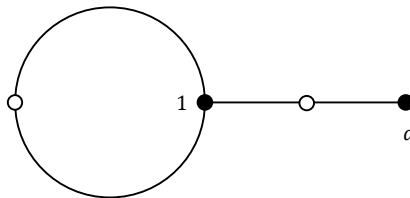
$$f(x) = K \frac{(x-1)^3(x-a)}{x} \text{ for a constant } K.$$

## Example: Computing a Dessin

Define the white vertices of  $f$  on this graph by observing;

- ▶  $f - 1$  forms (a) roots on  $f^{-1}(\{1\})$ ,
- ▶ As *bipartite*, two white vertices cannot be on the same edge!

Thus, the placements of white vertices are as follows;



And this implies the *double* roots, so we obtain;

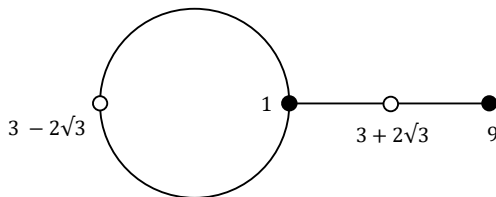
$$f(x) - 1 = K \frac{(x^2 + bx + c)^2}{x} \text{ for } K, b, c \in \mathbb{R}. \quad (1)$$

## Example: Computing a Dessin

Substituting the definition of  $f(x)$  into (1) and working out the equation, we get  $a = 9$ ,  $b = -6$ ,  $c = -3$ , and  $K = -1/64$ . Thus,

$$f(x) = -\frac{(x-1)^3(x-9)}{64x}, \quad f(x) - 1 = -\frac{(x^2 - 6x - 3)^2}{64x}$$

The white vertices are the roots of  $x^2 - 6x - 3$ , that is  $3 \pm 2\sqrt{3}$ .



# A result: Grothendieck correspondence

Let us conclude the talk by introducing one result.

The following result states our intuition from the previous example;

## **Grothendieck correspondence (Thm 6.7 of [Pos+14])**

There is a one-to-one correspondence between Dessins and Belyi pairs.

# References

- [JS87] Gareth A Jones and David Singerman. *Complex functions: an algebraic and geometric viewpoint*. Cambridge university press, 1987.
- [Arm13] Mark Anthony Armstrong. *Basic topology*. Springer Science & Business Media, 2013.
- [Pos+14] DPA van der Post et al. “Riemann surfaces and dessins d’enfants”. B.S. thesis. 2014.
- [Pér18] Javier Alcaide Pérez. “Riemann surfaces and dessin d’enfants”. PhD thesis. 2018.
- [Wil20] Henry Wilton. *Lecture notes in Riemann Surfaces*. 2020.