

*Instant Help for Java Programmers*

# Java™

*Pocket Guide*



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*Robert Liguori  
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## Java Pocket Guide



Ever reach an impasse while writing code because you can't remember how something works in Java? This new pocket guide is designed to keep you moving. Concise, convenient, and easy to use, *Java Pocket Guide* is Java stripped down to its bare essentials—in fact, it's the only quick reference guide to Java that you can actually fit in your pocket.

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- It includes many command-line options
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# Java™

## *Pocket Guide*

*Robert Liguori and Patricia Liguori*

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## **Java™ Pocket Guide**

by Robert Liguori and Patricia Liguori

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# Preface

Designed to be your companion in the office, in the lab, or even on the road, this pocket guide provides a quick reference to the standard features of the Java™ programming language and its platform.

This pocket guide provides you with the information you will need while developing or debugging your Java programs, including helpful programming examples, tables, figures, and lists.

It also contains supplemental information about things such as the new Java Scripting API, third-party tools, and the basics of the Unified Modeling Language (UML).

Coverage is provided through the Java 6 Platform.

## Book Structure

This book is broken into two sections: language and platform. Chapters 1 through 8 detail the Java programming language as derived from the Java Language Specification (JLS). Chapters 9 through 18 detail Java platform components and related topics.

# Font Conventions

## *Italic*

Denotes filenames, file extensions (such as *.java*), and directory paths.

## Constant width

Denotes class names, types, methods, data members, commands, properties, and values.

## *Constant width italic*

Indicates user-supplied values.

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## Dedication

This book is dedicated to our daughter, Ashleigh.





**PART I**

---

# **Language**



---

# Naming Conventions

Naming conventions are used to make Java programs more readable. It is important to use meaningful and unambiguous names comprised of ASCII letters.

## Class Names

Class names should be nouns, as they represent “things” or “objects.” They should be mixed case with only the first letter of each word capitalized.

```
public class Fish {...}
```

## Interface Names

Interface names should be adjectives. They should end with “able” or “ible” whenever the interface provides a capability; otherwise, they should be nouns. Interface names follow the same capitalization convention as class names.

```
public interface Serializable {...}  
public interface SystemPanel {...}
```

## Method Names

Method names should contain a verb, as they are used to make an object take action. They should be mixed case, beginning with a lowercase letter, and the first letter of each

internal word should be capitalized. Adjectives and nouns may be included in method names.

```
public void locate() {...} // verb
public String getWayPoint() {...} // verb and noun
```

## Instance and Static Variable Names

Instance variable names should be nouns and should follow the same capitalization convention as method names.

```
private String wayPoint;
```

## Parameter and Local Variables Names

Parameter and local variable names should be descriptive lowercase single words, acronyms, or abbreviations. If multiple words are necessary, they should follow the same capitalization convention as method names.

```
public void printHotSpot(String spot) {
    String bestSpot = spot;
    System.out.print("Fish here: " + bestSpot);
}
```

Temporary variable names may be single letters such as *i*, *j*, *k*, *m*, and *n* for integers and *c*, *d*, and *e* for characters.

## Generic Type Parameter Names

Generic type parameter names should be uppercase single letters. The letter *T* for type is typically recommended.

The Collections Framework makes extensive use of generics. *E* is used for collection elements, *S* is used for service loaders, and *K* and *V* are used for map keys and values.

```
public interface Map <K,V> {
    V put(K key, V value);
}
```

## Constant Names

Constant names should be all uppercase letters, and multiple words should be separated by underscores.

```
public static final int MAX_DEPTH = 200;
```

## Enumeration Names

Enumeration names should follow the conventions of class names. The enumeration set of objects (choices) should be all uppercase letters.

```
enum Battery {CRITICAL, LOW, CHARGED, FULL}
```

## Package Names

Package names should be unique and consist of lowercase letters. Underscores may be used if necessary.

```
package com.oreilly.fish_finder
```

Publicly available packages should be the reversed Internet domain name of the organization, beginning with a single-word top-level domain name (i.e., *com*, *net*, *org*, or *edu*), followed by the name of the organization and the project or division. (Internal packages are typically named according to the project.)

Package names that begin with *java* and *javax* are restricted and can be used only to provide conforming implementations to the Java class libraries.

## Acronyms

When using acronyms in names, only the first letter of the acronym should be uppercase and only when uppercase is appropriate.

```
public String getGpsVersion() {...}
```

# Lexical Elements

Java source code consists of words or symbols called lexical elements or tokens. Java lexical elements include line terminators, whitespace, comments, keywords, identifiers, separators, operators, and literals. The words or symbols in the Java programming language are comprised of the Unicode character set.

## Unicode and ASCII

Unicode is the universal character set with the first 128 characters being the same as those in the American Standard Code for Information Exchange (ASCII) character set. Unicode provides a unique number for every character, given all platforms, programs, and languages. Unicode 5.0.0 is the latest version, and you can find more about it at <http://www.unicode.org/versions/Unicode5.0.0/>.

---

### TIP

Java comments, identifiers, and string literals are not limited to ASCII characters. All other Java input elements are formed from ASCII characters.

---

The Unicode set version used by a specified version of the Java platform is documented in the class `Character` of the Java API.

## Printable ASCII Characters

ASCII reserves code 32 (spaces) and codes 33 to 126 (letters, digits, punctuation marks, and a few others) for printable characters. Table 2-1 contains the decimal values followed by the corresponding ASCII characters for these codes.

*Table 2-1. Printable ASCII characters*

32 SP	48 0	64 @	80 P	96 '	112 p
33 !	49 1	65 A	81 Q	97 a	113 q
34 "	50 2	66 B	82 R	98 b	114 r
35 #	51 3	67 C	83 S	99 c	115 s
36 \$	52 4	68 D	84 T	100 d	116 t
37 %	53 5	69 E	85 U	101 e	117 u
38 &	54 6	70 F	86 V	102 f	118 v
39 `	55 7	71 G	87 W	103 g	119 w
40 (	56 8	72 H	88 X	104 h	120 x
41 )	57 9	73 I	89 Y	105 i	121 y
42 *	58 :	74 J	90 Z	106 j	122 z
43 +	59 ;	75 K	91 [	107 k	123 {
44 ,	60 <	76 L	92 \	108 l	124
45 -	61 =	77 M	93 ]	109 m	125 }
46 .	62 >	78 N	94 ^	110 n	126 ~
47 /	63 ?	79 O	95 _	111 o	

---

## Non-Printable ASCII Characters

ASCII reserves decimal numbers 0–31 and 127 for *control characters*. Table 2-2 contains the decimal values followed by the corresponding ASCII characters for these codes.

Table 2-2. Non-printable ASCII characters

00 NUL	07 BEL	14 SO	21 NAK	28 FS
01 SOH	08 BS	15 SI	22 SYN	29 GS
02 STX	09 HT	16 DLE	23 ETB	30 RS
03 ETX	10 NL	17 DC1	24 CAN	31 US
04 EOT	11 VT	18 DC2	25 EM	127 DEL
05 ENQ	12 NP	19 DC3	26 SUB	
06 ACK	13 CR	20 DC4	27 ESC	

---

---

### TIP

ASCII 10 is a newline or linefeed. ASCII 13 is a carriage return.

---

## Comments

A single-line comment begins with two forward slashes and ends immediately before the line terminator character.

```
// A comment on a single line
```

A multiline comment begins with a forward slash, immediately followed by an asterisk, and ends with an asterisk immediately followed by a forward slash.

```
/* A comment that can span multiple lines  
just like this */
```

A Javadoc comment is processed by the Javadoc tool to generate API documentation in HTML format. A Javadoc comment must begin with a forward slash, immediately followed by two asterisks, and end with an asterisk immediately followed by a forward slash. You can find more information on the Javadoc tool at <http://java.sun.com/j2se/javadoc/>.

```
/** This is my Javadoc comment */
```



In Java, comments cannot be nested.

```
/* This is /* not permissible */ in Java */
```

## Keywords

Table 2-3 contains the Java keywords. Two of them are reserved but not used by the Java language: `const` and `goto`. These C++ keywords are included as Java keywords to generate better error messages if they are used in a Java program. Java 5.0 introduced the `enum` keyword.

---

### TIP

Java keywords cannot be used as identifiers in a Java program.

---

*Table 2-3. Java keywords*

<code>abstract</code>	<code>double</code>	<code>int</code>	<code>super</code>
<code>assert</code>	<code>else</code>	<code>interface</code>	<code>switch</code>
<code>boolean</code>	<code>enum</code>	<code>long</code>	<code>synchronized</code>
<code>break</code>	<code>extends</code>	<code>native</code>	<code>this</code>
<code>byte</code>	<code>final</code>	<code>new</code>	<code>throw</code>
<code>case</code>	<code>finally</code>	<code>package</code>	<code>throws</code>
<code>catch</code>	<code>float</code>	<code>private</code>	<code>transient</code>
<code>char</code>	<code>for</code>	<code>protected</code>	<code>try</code>
<code>class</code>	<code>if</code>	<code>public</code>	<code>void</code>
<code>const</code>	<code>goto</code>	<code>return</code>	<code>volatile</code>
<code>continue</code>	<code>implements</code>	<code>short</code>	<code>while</code>
<code>default</code>	<code>import</code>	<code>static</code>	
<code>do</code>	<code>instanceof</code>	<code>strictfp</code>	

---

---

### TIP

Sometimes `true`, `false`, and `null` literals are mistaken for keywords. They are not keywords; they are reserved literals.

---

# Identifiers

A Java identifier is the name that a programmer gives to a class, method, variable, etc.

Identifiers cannot have the same Unicode character sequence as any keyword, boolean or null literal.

Java identifiers are made up of Java letters. A Java letter is a character for which `Character.isJavaIdentifierStart(int)` returns true. Java letters from the ASCII character set are limited to the dollar sign, the underscore symbol, and upper- and lowercase letters.

Digits are also allowed in identifiers, but *after* the first character.

```
// Valid identifier examples
class TestDriver {...}
String myTestVariable;
int _testVariable;
Long $testVariable;
startTest(testVariable4);
```

See Chapter 1 for naming guidelines.

## Separators

Nine ASCII characters delimit program parts and are used as separators. ( ), { }, and [ ] are used in pairs.

( ) { } [ ] ; , .

## Operators

Operators perform operations on one, two, or three operands and return a result. Operator types in Java include assignment, arithmetic, comparison, bitwise, increment/decrement, and class/object. Table 2-4 contains the Java operators listed in precedence order (those with the highest precedence at the top of the table), along with a brief description of the operators and their associativity (left to right or right to left).

Table 2-4. Java operators

Precedence	Operator	Description	Association
1	++,--	Postincrement, Postdecrement	R → L
2	++,--	Preincrement, Predecrement	R → L
	+, -	Unary plus, unary minus	R → L
	~	Bitwise compliment	R → L
	!	Boolean NOT	R → L
3	new	Create object	R → L
	(type)	Type cast	R → L
4	*,/,%	Multiplication, division, remainder	L → R
5	+, -	Addition, subtraction	L → R
	+	String concatenation	L → R
6	<<, >>, >>>	Left shift, right shift, unsigned right shift	L → R
7	<, <=, >, >=	Less than, less than or equal to, greater than, greater than or equal to	L → R
	instanceof	Type comparison	L → R
8	==, !=	Value equality and inequality	L → R
	==, !=	Reference equality and inequality	L → R
9	&	Boolean AND	L → R
	&	Bitwise AND	L → R
10	^	Boolean XOR	L → R
	^	Bitwise XOR	L → R
11		Boolean OR	L → R
		Bitwise OR	L → R
12	&&	Conditional AND	L → R
13		Conditional OR	L → R
14	?:	Conditional Ternary Operator	L → R
15	=, +=, -=, *=, /= =, %=, &=, ^=,  =, <<=, >>= =, >>>=	Assignment Operators	R → L

# Literals

Literals are source code representation of values.

For more information on primitive type literals, see the “Literals for Primitive Types” section in Chapter 3.

## Boolean Literals

Boolean literals are expressed as either true or false.

```
boolean isReady = true;  
boolean isSet = new Boolean(false);  
boolean isGoing = false;
```

## Character Literals

A character literal is either a single character or an escape sequence contained within single quotes. Line terminators are not allowed.

```
char charValue1 = 'a';  
// An apostrophe  
Character charValue2 = new Character ('\');
```

## Integer Literals

Integer types (byte, short, int, and long) can be expressed in decimal, hexadecimal, and octal. By default, integer literals are of type int.

```
int intValue = 34567;
```

Decimal integers contain any number of ASCII digits zero through nine and represent positive numbers.

```
Integer decimalValue = new Integer(100);
```

Prefixing the decimal with the unary negation operator can form a negative decimal.

```
int negDecimalValue = -200;
```

Hexadecimal literals begin with 0x or 0X, followed by the ASCII digits 0 through 9 and the letters a through f (or A through F). Java is *not* case-sensitive when it comes to hexadecimal literals.

Hex numbers can represent positive and negative integers and zero.

```
int hexValue = 0X64; // 100 decimal
```

Octal literals begin with a zero followed by one or more ASCII digits zero through seven.

```
int octalValue = 0144; // 100 decimal
```

To define an integer as type long, suffix it with an ASCII letter L (preferred and more readable) or l.

```
long longValue = 500L;
```

## Floating-Point Literals

A valid floating-point literal requires a whole number and/or a fractional part, decimal point, and type suffix. An exponent prefaced by an e or E is optional. Fractional parts and decimals are not required when exponents or type suffixes are applied.

A floating-point literal (double) is a double-precision floating point of eight bytes. A float is four bytes. Type suffices for doubles are d or D; suffices for floats are f or F.

```
[whole-number].[fractional_part][e|E exp][d|D|f|F]
```

```
float floatValue1 = 9.15f;  
Float floatValue2 = new Float(20F);  
double doubleValue1 = 3.12;  
Double doubleValue2 = new Double(1e058);
```

## String Literals

String literals contain zero or more characters, including escape sequences enclosed in a set of double quotes. String literals cannot contain Unicode `\u000a` and `\u000d` for line terminators; use `\r` and `\n` instead. Strings are immutable.

```
String stringValue1 = new String("Valid literal.");
String stringValue2 = "Valid.\nMoving to next line.";
String stringValue3 = "Joins str" + "ings";
String stringValue4 = "\"Escape Sequences\"\\r\"";
```

There is a pool of strings associated with class `String`. Initially the pool is empty. Literal strings and string-valued constant expressions are interned in the pool and added to the pool only once.

The example below shows how literals are added to and used in the pool.

```
// Adds String "thisString" to the pool
String stringValue7 = "thisString";
// Uses String "thisString" from the pool
String stringValue8 = "thisString";
```

A string can be added to the pool (if it does not already exist in the pool) by calling the `intern()` method on the string. The `intern()` method returns a string, which is either a reference to the new string that was added to the pool or a reference to the already existing string.

```
String stringValue9 = new String("thatString");
String stringValue10 = stringValue9.intern();
```

## Null Literals

The null literal is of type `null` and can be applied to reference types. It does not apply to primitive types.

```
String n = null;
```

# Escape Sequences

Table 2-5 provides the set of escape sequences in Java.

Table 2-5. Character and string literal escape sequences

Name	Sequence	Decimal	Unicode
Backspace	<code>\b</code>	8	<code>\u0008</code>
Horizontal tab	<code>\t</code>	9	<code>\u0009</code>
Line feed	<code>\n</code>	10	<code>\u000A</code>
Form feed	<code>\f</code>	12	<code>\u000C</code>
Carriage return	<code>\r</code>	13	<code>\u000D</code>
Double quote	<code>\"</code>	34	<code>\u0022</code>
Single quote	<code>\'</code>	39	<code>\u0027</code>
Backslash	<code>\\</code>	92	<code>\u005C</code>

Different line terminators are used for different platforms to achieve a newline; see Table 2-6. The `println()` method, which includes a line break, is a better solution than hard-coding `\n` and `\r`, when used appropriately.

Table 2-6. Newline variations

Operating system	Newline
POSIX-compliant operating systems (i.e., Solaris, Linux) and Mac OS X	LF ( <code>\n</code> )
Mac OS up to version 9	CR ( <code>\r</code> )
Microsoft Windows	CR+LF ( <code>\r\n</code> )

## Unicode Currency Symbols

Unicode currency symbols are present in the range of `\u20A0-\u20CF` (8352-8399). See Table 2-7 for examples.

Table 2-7. Currency symbols within range

Name	Symbol	Decimal	Unicode
Franc sign	₣	8355	\u20A3
Lira sign	₺	8356	\u20A4
Mill sign	₥	8357	\u20A5
Rupee sign	₹	8360	\u20A8
Euro sign	€	8364	\u20AC

A number of currency symbols exist outside of the designated currency range. See Table 2-8 for examples.

Table 2-8. Currency symbols outside of range

Name	Symbol	Decimal	Unicode
Dollar sign	\$	36	\u0024
Cent sign	¢	162	\u00A2
Pound sign	£	163	\u00A3
Currency sign	₱	164	\u00A4
Yen sign	¥	165	\u00A5
Yen/Yuan variant	¥	22278	\u5706



# Fundamental Types

Fundamental types include the Java primitive types and their corresponding wrapper classes/reference types. Java 5.0 and beyond provide for automatic conversion between these primitive and reference types through autoboxing and unboxing; see the “Autoboxing and Unboxing” section, later in this chapter. Numeric promotion is applied to primitive types where appropriate.

## Primitive Types

There are eight primitive types in Java; each is a reserved keyword. They describe variables that contain single values of the appropriate format and size; see Table 3-1. Primitive types are always the specified precision, regardless of the underlying hardware precisions (e.g., 32- or 64-bit).

*Table 3-1. Primitive types*

Type	Detail	Storage	Range
boolean	true or false	1 bit	Not applicable
char	Unicode character	2 bytes	\u0000 to \uFFFF
byte	integer	1 byte	−128 to 127
short	integer	2 bytes	−32768 to 32767
int	integer	4 bytes	−2147483648 to 2147483647
long	integer	8 bytes	−2 <sup>63</sup> to 2 <sup>63</sup> − 1

Table 3-1. Primitive types (continued)

Type	Detail	Storage	Range
float	floating point	4 bytes	$1.4e^{-45}$ to $3.4e^{+38}$
double	floating point	8 bytes	$5e^{-324}$ to $1.8e^{+308}$

---

**TIP**

Primitive types byte, short, int, long, float, and double are all signed. Type char is unsigned.

---

## Literals for Primitive Types

All primitive types, except boolean, can accept character, decimal, hexadecimal, octal, and Unicode literal formats, as well as character escape sequences. Where appropriate, the literal value is automatically cast or converted. Remember that bits are lost during truncation. The following is a list of primitive assignment examples:

```
boolean isTitleFight = true;
```

The boolean primitive's only valid literal values are true and false.

```
char[] cArray = {'\u004B', '0', '\'', 0x0064, 041,  
(char) 131105}; // KO'd!!
```

The char primitive represents a single Unicode character. Literal values of the char primitive that are greater than two bytes need to be explicitly cast.

```
byte rounds = 12, fighters = (byte) 2;
```

The byte primitive has a four byte signed integer as its valid literal. If an explicit cast is not performed, the integer is implicitly cast to one byte.

```
short seatingCapacity = 17157, vipSeats = (short) 500;
```

The short primitive has a four byte signed integer as its valid literal. If an explicit cast is not performed, the integer is implicitly cast to two bytes.

```
int ppvRecord = 19800000, vs = vipSeats, venues = (int) 20000.50D;
```

The int primitive has a four byte signed integer as its valid literal. When char, byte, and short primitives are used as literals, they are automatically cast to four byte integers, as in the case of the short value within vipSeats. Floating-point and long literals must be explicitly cast.

```
long wins = 38L, losses = 4L, draws = 0, knockouts = (long) 30;
```

The long primitive uses an eight byte signed integer as its valid literal. It is designated by an L or l postfix. The value is cast from four bytes to eight bytes when no postfix or cast is applied.

```
float payPerView = 54.95F, balcony = 200.00f, ringside = (float) 2000, cheapSeats = 50;
```

The float primitive has a four byte signed floating point as its valid literal. An F or f postfix or an explicit cast designates it. No explicit cast is necessary for an int literal because an int fits in a float.

```
double champsPay = 20000000.00D, challengersPay = 12000000.00d, chlTrainerPay = (double) 1300000, refereesPay = 3000, soda = 4.50;
```

The double primitive uses an eight byte signed floating point value as its valid literal. The literal can have a D, d, or explicit cast with no postfix. If the literal is an integer, it is implicitly cast.

See Chapter 2 for more details on literals.

# Floating-Point Entities

Positive and negative floating-point infinities, negative zero, and Not-a-Number (NaN) are special entities defined to meet the IEEE 754-1985 standard; see Table 3-2.

The Infinity, -Infinity, and -0.0 entities are returned when an operation creates a floating-point value that is too large to be traditionally represented.

Table 3-2. Floating-point entities

Entity	Description	Examples
Infinity	Represents the concept of positive infinity	1.0 / 0.0, 1e300 / 1e-300, Math.abs (-1.0 / 0.0)
-Infinity	Represents the concept of negative infinity	-1.0 / 0.0, 1.0 / (-0.0), 1e300/-1e-300
-0.0	Represents a negative number close to zero	-1.0 / (1.0 / 0.0), -1e300 / 1e300
NaN	Represents undefined results	0.0 / 0.0, 1e300 * Float.NaN, Math.sqrt (-9.0)

Positive infinity, negative infinity, and NaN entities are available as double and float constants.

```
Double.POSITIVE_INFINITY; // Infinity
Float.POSITIVE_INFINITY;  // Infinity
Double.NEGATIVE_INFINITY; // -Infinity
Float.NEGATIVE_INFINITY;  // -Infinity
Double.NaN;               // Not-a-Number
Float.NaN;                // Not-a-Number
```

## Operations Involving Special Entities

Table 3-3 shows the results of special entity operations where the operands are abbreviated as: INF for Double.POSITIVE\_INFINITY, -INF for Double.NEGATIVE\_INFINITY, and NAN for Double.NaN.

For example, column four's heading entry (−0.0) and row twelve's entry (+ NaN) have a result of NaN, and could be written as follows:

```
// 'NaN' will be printed
System.out.print((-0.0) + Double.NaN);
```

Table 3-3. Operations involving special entities

	INF	(−INF)	(−0.0)
* INF	Infinity	−Infinity	NaN
+ INF	Infinity	NaN	Infinity
− INF	NaN	−Infinity	−Infinity
/ INF	NaN	NaN	−0.0
* 0.0	NaN	NaN	−0.0
+ 0.0	Infinity	−Infinity	0.0
+ 0.5	Infinity	−Infinity	0.5
* 0.5	Infinity	−Infinity	−0.0
+ (−0.5)	Infinity	−Infinity	−0.5
* (−0.5)	−Infinity	Infinity	0.0
+ NaN	NaN	NaN	NaN
* NaN	NaN	NaN	NaN

---

#### TIP

Any operation performed on NaN results in NaN; there is no such thing as −NaN.

---

## Numeric Promotion of Primitive Types

Numeric promotion consists of rules that are applied to the operands of an arithmetic operator under certain conditions. Numeric promotion rules consist of both unary and binary promotion rules.

## Unary Numeric Promotion

When a primitive of a numeric type is part of an expression, as listed in Table 3-4, the following promotion rules are applied:

- If the operand is of type byte, short, or char, the type will be promoted to type int.
- Otherwise, the type of the operand remains unchanged.

*Table 3-4. Expression for unary promotion rules*

Expression
Operand of a unary plus operator +
Operand of a unary minus operator –
Operand of a bitwise complement operator ~
All shift operators >>, >>>, or <<
Index expression in an array access expression
Dimension expression in an array creation expression

## Binary Numeric Promotion

When two primitives of different numerical types are compared via the operators listed in Table 3-5, one type is promoted based on the following binary promotion rules:

- If either operand is of type double, the non-double primitive is converted to type double.
- If either operand is of type float, the non-float primitive is converted to type float.
- If either operand is of type long, the non-long primitive is converted to type long.
- Otherwise, both operands are converted to int.

Table 3-5. Operators for binary promotion rules

Operators	Description
+ and -	Additive operators
*, /, and %	Multiplicative operators
<, <=, >, and >=	Comparison operators
== and !=	Equality operators
&, ^, and	Bitwise operators
? :	Conditional operator (see next section)

## Special Cases for Conditional Operators

- If one operand is of type byte and the other is of type short, the conditional expression will be of type short.

`short = true ? byte : short`

- If one operand *R* is of type byte, short, or char, and the other is a constant expression of type int whose value is within range of *R*, the conditional expression is of type *R*.

`short = (true ? short : 1967)`

- Else, binary numeric promotion is applied and the conditional expression type will be that of the promoted type of the second and third operands.

## Wrapper Classes

Each of the primitive types has a corresponding wrapper class/reference type, which is located in package `java.lang`. Each wrapper class has a variety of methods including one to return the type's value, as shown in Table 3-6. These integer and floating-point wrapper classes can return values of several primitive types.

Table 3-6. Wrapper classes

Primitive types	Reference types	Methods to get primitive values
boolean	Boolean	booleanValue( )
char	Character	charValue( )
byte	Byte	byteValue( )
short	Short	shortValue( )
int	Integer	intValue( )
long	Long	longValue( )
float	Float	floatValue( )
double	Double	doubleValue( )

## Autoboxing and Unboxing

Autoboxing and unboxing are typically used for collections of primitives. Autoboxing involves the dynamic allocation of memory and initialization of an object for each primitive. Note that the overhead can often exceed the execution time of the desired operation. Unboxing involves the production of a primitive for each object.

Computationally intensive tasks using primitives, e.g., iterating through primitives in a container, should be done using arrays of primitives in preference to collections of wrapper objects.

### Autoboxing

Autoboxing is the automatic conversion of primitive types to their corresponding wrapper classes. In this example, the prizefighter's weight of 147 is automatically converted to its corresponding wrapper class because collections store references, not primitive values.

```
// Create hash map of weight groups
HashMap<String, Integer> weightGroups
    = new HashMap<String, Integer> ();
weightGroups.put("welterweight", 147);
weightGroups.put("middleweight", 160);
weightGroups.put("cruiserweight", 200);
```



The following example shows an acceptable but not recommended use of Autoboxing:

```
// Establish weight allowance
Integer weightAllowanceW = 5; //improper
```

---

### TIP

For these examples, wrapper class variables end with a capital W. This is not the convention.

---

As there is no reason to force autoboxing, the above statement should be written as follows:

```
Integer weightAllowanceW = new Integer (5);
```

## Unboxing

Unboxing is the automatic conversion of the wrapper classes to their corresponding primitive types. In this example, a reference type is retrieved from the hash map. It is automatically unboxed so that it can fit into the primitive type.

```
// Get the stored weight limit
int weightLimitP = weightGroups.get(middleweight);
```

---

### TIP

For these examples, primitive variables end with a capital P. This is not the convention.

---

The following example shows an acceptable but not recommended use of unboxing:

```
// Establish the weight allowance
weightLimitP = weightLimitP + weightAllowanceW;
```

This expression can also be equivalently written with the `intValue()` method, as shown here:

```
weightLimitP = weightLimitP + weightAllowanceW.intValue(
);
```

# Reference Types

Reference types hold references to objects and provide a means to access those objects stored somewhere in memory. The memory locations are irrelevant to programmers. All reference types are a subclass of type `java.lang.Object`.

Table 4-1 lists the five Java reference types.

*Table 4-1. Reference types*

Reference types	Brief description
Annotation	Provides a way to associate metadata (data about data) with program elements.
Array	Provides a fixed-size data structure that stores data elements of the same type.
Class	Designed to provide inheritance, polymorphism, and encapsulation. Usually models something in the real world and consists of a set of values that holds data and a set of methods that operates on the data.
Enumeration	A reference for a set of objects that represents a related set of choices.
Interface	Provides a public API and is “implemented” by Java classes.

## Comparing Reference Types to Primitive Types

There are two categories of types in Java: reference types and primitive types. Table 4-2 shows some of the key comparisons between them. See Chapter 3 for more details.

Table 4-2. Reference types compared to primitive types

Reference types	Primitive types
Unlimited number of reference types, as they are user-defined.	Consists of boolean and numeric types: char, byte, short, int, long, float, and double.
Memory location stores a reference to the data.	Memory location stores actual data held by the primitive type.
When a reference type is assigned to another reference type, both will point to the same object.	When a value of a primitive is assigned to another variable of the same type, a copy is made.
When an object is passed into a method, the called method can change the contents of the object passed to it but not the address of the object.	When a primitive is passed into a method, only a copy of the primitive is passed. The called method does not have access to the original primitive value and therefore cannot change it. The called method can change the copied value.

## Default Values

### Instance and Local Variable Objects

Instance variable objects (objects declared at the class level) have a default value of `null`. `null` references nothing.

Local variable objects (objects declared within a method) do not have a default value, not even a value of `null`. Always initialize local objects because they are not given a default value. Checking an uninitialized local variable object for a value (including a value of `null`) will result in a compile-time error.

Although object references with a value of `null` do not refer to any object on the heap, objects set to `null` can be referenced in code *without* receiving compile-time or runtime errors.

```
Date dateOfParty = null;
// This is ok
if (dateOfParty == null) {
    ...
}
```

Invoking a method on a reference variable that is null or using the dot operator on the object will result in a `java.lang.NullPointerException`.

```
String theme = null;
//This will result in an exception
//if theme is still set to null
if (theme.getLength() > MAX_LENGTH) {
    ...
}
```

## Arrays

Arrays are always given a default value whether they are declared as instance variables or local variables. Arrays that are declared but not initialized are given a default value of null.

In the code below, the `gameList` array is initialized but not the individual values, meaning that the object references will have a value of null. Objects have to be added to the array.

```
// This declared array named gameList
// is initialized to null by default
Game[] gameList;

// This array has been initialized but
// the object references are still null
// since the array contains no objects
gameList = new Game[10];

// Add a Game object to the list
// Now the list has one object
gameList[0] = new Game();
```

## Conversion of Reference Types

An object can be converted to the type of its superclass (widening) or any of its subclasses (narrowing).

The compiler checks conversions at compile time and the JVM checks conversions at runtime.

## Widening Conversions

- Widening implicitly converts a subclass to a parent class (superclass).
- Widening conversions do not throw runtime exceptions.
- No explicit cast is necessary.

```
String s = new String();  
Object o = s; // widening
```

## Narrowing Conversions

- Narrowing converts a more general type into a more specific type.
- Narrowing is a conversion of a superclass to a subclass.
- An explicit cast is required. To cast an object to another object, place the type of object you are casting to in parentheses immediately before the object you are casting.

```
Object a = new Object();  
String b = (String)a; // Cast to String
```

- Illegitimate narrowing results in a `ClassCastException`.
- Narrowing may result in a loss of data/precision.

Objects cannot be converted to an unrelated type—that is, a type other than one of its subclasses or superclasses. Doing so will generate an `inconvertible types` error at compile time. The following is an example of a conversion that will result in a compile-time error due to `inconvertible types`:

```
Object c = "balloons";  
int d = (int) c; // compile-time error
```

## Converting Between Primitives and Reference Types

The automatic conversion of primitive types to reference types and vice versa is called `autoboxing` and `unboxing`, respectively. For more information, see Chapter 3.

# Passing Reference Types into Methods

When an object is passed into a method as a variable:

- A copy of the reference variable is passed, not the actual object.
- The caller and the called methods have identical copies of the reference.
- The caller will also see any changes the called method makes to the object. Passing a copy of the object to the called method will prevent it from making changes to the original object.
- The called method cannot change the address of the object, but it can change the contents of the object.

The following example illustrates passing reference types and primitive types into methods and the effects on those types when changed by the called method:

```
void roomSetup() {  
    // Reference passing  
    Table table = new Table();  
    table.setLength(72);  
    // Length will be changed  
    modTableLength(table);  
  
    // Primitive passing  
    // Value of chairs not changed  
    int chairs = 8;  
    modChairCount(chairs);  
}  
  
void modTableLength(Table t) {  
    t.setLength (36);  
}  
  
void modChairCount(int i) {  
    int i = 10;  
}  
}
```

# Comparing Reference Types

## Using the Equality Operators != and ==

The != and == equality operators:

- Are used to compare the memory locations of two objects. If the memory addresses of the objects being compared are the same, the objects are considered equal.
- Are not used to compare the contents of the two objects.

In the following example, `guest1` and `guest2` have the same memory address, so the statement "They are equal" will be output.

```
Guest guest1 = new Guest("name");
Guest guest2 = guest1;
if (guest1 == guest2)
    System.out.println("They are equal")
```

In the following example, the memory addresses are not equal, so the statement "They are not equal" will be output.

```
Guest guest3 = new Guest("name");
Guest guest4 = new Guest("name");
if (guest3 == guest4)
    System.out.println("They are equal.")
else
    System.out.println("They are not equal")
```

## Using the equals() method

To compare the contents of two class objects, the `equals()` method from class `Object` can be used or overridden.

---

### TIP

By default, the `equals()` method uses only the `==` operator for comparisons. This method has to be overridden to really be useful.

---

For example, if you want to compare values contained in two instances of the same class, you should use a programmer-defined `equals()` method.

## Comparing strings

There are two ways to check whether strings are equal in Java, but the definition of “equal” for each of them is different. Typically, if the goal is to compare character sequences contained in two strings, the `equals()` method should be used.

- The `equals()` method compares two strings, character by character, to determine equality. This is not the default implementation of the `equals()` method provided by the class `Object`. This is the overridden implementation provided by class `String`.
- The `==` operator checks to see whether two object references refer to the same instance of an object.

Below is a program that shows how strings are evaluated using the `equals()` method and the `==` operator. For more information on how strings are evaluated, see the “String Literals” section in Chapter 2.

```
class MyComparisons {  
  
    // Add string to pool  
    String first = "chairs";  
    // Use string from pool  
    String second = "chairs";  
    // Create a new string  
    String third = new String ("chairs");  
  
    void myMethod() {  
  
        // Contrary to popular belief, this evaluates  
        // to true. Try it!  
        if (first == second) {  
            System.out.println("first == second");  
        }  
    }  
}
```



```
// This evaluates to true
if (first.equals(second)) {
    System.out.println("first equals second");
}
// This evaluates to false
if (first == third) {
    System.out.println("first == third");
}
// This evaluates to true
if (first.equals(third)) {
    System.out.println("first equals third");
}
} // End myMethod()
} //end class
```

---

### TIP

Strings are immutable.

---

## Enumerations

enum values can be compared using `==` or the `equals()` method, as they return the same result. The `==` operator is used more frequently to compare enumeration types.

## Copying Reference Types

When reference types are copied, either a copy of the reference to an object is made, or an actual copy of the object is made, creating a new object. The latter is referred to as *cloning* in Java.

### Copying a Reference to an Object

When copying a reference to an object, the result is one object with two references. In the example below, `closingSong` is assigned a reference to the object pointed to by `lastSong`. Any changes made to `lastSong` will be reflected in `closingSong` and vice versa.

```
Song lastSong = new Song();
Song closingSong = lastSong;
```

## Cloning Objects

Cloning results in another copy of the object, not just a copy of a reference to an object. Cloning is not available to classes by default. Note that cloning is usually very complex, so you should consider a copy constructor instead.

- For a class to be cloneable, it must implement the interface `Cloneable`.
- The protected method `clone()` allows for objects to clone themselves.
- For an object to clone an object other than itself, the `clone()` method must be overridden and made public by the object being cloned.
- When cloning, a cast must be used because `clone()` returns type `Object`.
- Cloning can throw a `CloneNotSupportedException`.

### Shallow and deep cloning

Shallow and deep cloning are the two types of cloning in Java.

In shallow cloning:

- Primitive values and the references in the object being cloned are copied.
- Copies of the objects referred to by those references are not made.

In the example below, `leadingSong` will be assigned the values in `length` and `year`, as they are primitive types, and references to `title` and `artist`, as they are reference types.

```
Class Song {
    String title;
    Artist artist;
    float length;
    int year;
    void setData() {...}
}
```

```
Song firstSong = new Song();
try {
    // Make an actual copy by cloning
    Song leadingSong = (Song)firstSong.clone();
} catch (CloneNotSupportedException cnse)
    cnse.printStackTrace();
} // end
```

## In deep cloning

- The cloned object makes a copy of each of its object's fields, recursing through all other objects referenced by it.
- A deep-clone method must be programmer-defined, as the Java API does not provide one.
- Alternatives to deep cloning are serialization and copy constructors. (Copy constructors are often preferred over serialization.)

# Memory Allocation and Garbage Collection of Reference Types

When a new object is created, memory is allocated. When there are no references to an object, the memory that object used can be reclaimed during the garbage collection process. For more information on this topic, see Chapter 15.

# Object-Oriented Programming

Basic elements of object-oriented programming (OOP) in Java include classes, objects, and interfaces.

## Classes and Objects

*Classes* define entities that usually represent something in the real world. They consist of a set of values that holds data and a set of methods that operates on the data.

An instance of a class is called an *object*, and it is allocated memory. There can be multiple instances of a class.

Classes can inherit data members and methods from other classes. A class can directly inherit from only one class—the *superclass*. A class can have only one direct superclass. This is called *inheritance*.

When implementing a class, the inner details of the class should be private and accessible only through public interfaces. This is called *encapsulation*. Although not part of the Java language, the convention is to use accessor methods (i.e., `get()` and `set()`) to indirectly access the private members of a class and to ensure that another class cannot unexpectedly modify private members. Returning immutable values (i.e., strings, primitive values, and objects intentionally made immutable) is another way to protect the data members from being altered by other objects.

## Class Syntax

A class has a class signature, optional constructors, data members, and methods.

```
[javaModifiers] class className
    [extends someSuperClass]
    [implements someInterfaces separated by commas] {
    // Data member(s)
    // Constructor(s)
    // Method(s)
}
```

## Instantiating a Class (Creating an Object)

An object is an instance of a class. Once instantiated, objects have their own set of data members and methods.

```
// Sample class definitions
public class Candidate {...}
class Stats extends ToolSet {...}
public class Report extends ToolSet
    implements Runnable {...}
```

Separate objects of class `Candidate` are created (instantiated) using the keyword `new`.

```
Candidate can1 = new Candidate();
Candidate can2 = new Candidate();
```

## Data Members and Methods

Data members, also known as fields, hold data about a class.

```
[javaModifier] type dataMemberName
```

Methods operate on class data.

```
[javaModifiers] type methodName (parameterList)
[throws listOfExceptionsSeparatedByCommas] {
    // Method body
}
```

The following is an example of class `Candidate` and its data members and methods:

```
public class Candidate {  
    // Data members or fields  
    private String firstName;  
    private String lastName;  
    private int year;  
    // Methods  
    public void setYear (int y) { year = y; }  
    public String getLastName() {return lastName;}  
} // End class Candidate
```

## Accessing Data Members and Methods in Objects

The dot operator (`.`) is used to access data members and methods in objects. It is not necessary to use the dot operator when accessing data members or methods from within an object.

```
can1.setYear(2008);  
String fName = getFirstName();
```

## Overloading

Methods including constructors can be overloaded. Overloading means that two or more methods have the same name but different signatures (parameters and return values). Note that overloaded methods must have different parameters, and they may have different return types; but having only different return types is not overloading. The access modifiers of overloaded methods can be different.

```
public class VotingMachine {  
    ...  
    public void startUp() {...}  
    private void startUp(int g) {...}  
}
```

When a method is overloaded, it is permissible for each of its signatures to throw different checked exceptions.

```
private void startUp(int g) throws new  
IOException {...}
```

## Overriding

A subclass can override the methods it inherits. When overridden, a method contains the same signature (name and parameters) as a method in its superclass, but it has different implementation details.

The method `startUp()` in superclass `Display` is overridden in class `TouchScreenDisplay`.

```
public class Display {  
    void startUp(){  
        System.out.println("Using base display.");  
    }  
}  
public class TouchScreenDisplay extends Display {  
    void startUp() {  
        System.out.println("Using new display.");  
    }  
}
```

Rules regarding overriding methods include:

- Methods that are not final, private, or static can be overridden.
- Protected methods can override methods that do not have access modifiers.
- The overriding method cannot have a more restrictive access modifier (i.e., package, public, private, protected) than the original method.
- The overriding method cannot throw any new checked exceptions.

## Constructors

Constructors are called upon object creation and are used to initialize data in the newly created object. Constructors are optional, have exactly the same name as the class, and they do not have a return in the body (as methods do). Classes implicitly have a no-argument constructor if no explicit constructor is present.

A class can have multiple constructors. The constructor that is called when a new object is created is the one that has a matching signature.

```
public class Candidate {
    Candidate(int id) {
        int identification = id;
    }
}
// Create a new Candidate and call its constructor
class ElectionManager {
    int ss = getIdFromConsole();
    Candidate cand = new Candidate(ss);
}
```

## Superclasses and Subclasses

In Java, a class (known as the *subclass*) can inherit directly from one class (known as the *superclass*). The Java keyword `extends` indicates that a class inherits data members and methods from another class. Note that subclasses do not have direct access to private members of its superclass. A subclass does have access to public, protected, and package members of the superclass. As previously mentioned, accessor methods (i.e., `get()` and `set()`) provide a mechanism to indirectly access the private members of a class, including a superclass.

```
public class Machine {
    boolean state;
    void setState(boolean s) {state = s;}
    boolean getState() {return state;}
}
public class VotingMachine extends Machine {
    ...
}
```

The `super` keyword in the `Curtain` class's default constructor is to access methods in the superclass overridden by methods in the subclass.

```
public class PrivacyWall {
    public void printSpecs() {...}
}
```



```
public class Curtain extends PrivacyWall {
    public void printSpecs() {
        ...
        super.printSpecs();
    }
}
```

Another common use of the keyword `super` is to call the constructor of a superclass and pass it parameters. Note that this call must be the first statement in the constructor calling `super`.

```
public PrivacyWall(int l, int w) {
    int length = l;
    int width = w;
}

public class Curtain extends PrivacyWall {
    // Set default length and width
    public Curtain() {super(15, 25);}
}
```

If there is not an explicit call to the constructor of the superclass, an automatic call to the no-argument constructor of the superclass is made.

## The `this` Keyword

The three common uses of the keyword `this` are to refer to the current object, call a constructor from within another constructor in the same class, and pass a reference of the current object to another object.

To assign a parameter variable to an instance variable of the current object:

```
public class Curtain extends PrivacyWall {
    String color;
    public setColor(String color) {
        this.color = color;
    }
}
```

To call a constructor from another constructor in the same class:

```
public class Curtain extends PrivacyWall {
    public Curtain(int length, int width) {}
    public Curtain() {this(10, 9);}
}
```

To pass reference of current object to another object:

```
public class Curtain {
    Builder builder = new Builder();
    builder.setWallType(this);
}

public class Builder {
    public void setWallType(Curtain c) {...}
}<$/endrange>
```

## Variable Length Argument Lists

Since Java 5.0, methods can have a variable length argument list. Called *varargs*, these methods are declared such that the last (and only the last) argument can be repeated zero or more times when the method is called. The vararg parameter can be either a primitive or an object. An ellipsis (...) is used in the argument list of the method signature to declare the method as a vararg. The syntax of the vararg parameter is:

*type... objectOrPrimitiveName*

The following is an example of a signature for a vararg method:

```
public setDisplayButtons(int row,
    String... names) {...}
```

The Java compiler modifies vararg methods to look like regular methods. The previous example would be modified at compile time to:

```
public setDisplayButtons(int row,
    String [] names) {...}
```

It is permissible for a vararg method to have a vararg parameter as its only parameter.

```
// Zero or more rows
public setDisplayButtons(String... names) {...}
```

A vararg method is called the same way an ordinary method is called except that it can take a variable number of parameters, repeating only the last argument.

```
setDisplayButtons("Jim");
setDisplayButtons("John", "Mary", "Pete");
setDisplayButtons("Sue", "Doug", "Terry", "John");
```

The `printf` method is often used when formatting a variable set of output, as `printf` is a vararg method. From the Java API, type the following:

```
public PrintStream printf(String format,
    Object... args)
```

The `printf` method is called with a format string and a variable set of objects.

```
System.out.printf("Hello voter %s%n
    This is machine %d%n", "Sally", 1);
```

For detailed information on formatting a string passed into the `printf` method, see `java.util.Formatter`.

The enhanced for loop (`foreach`) is often used to iterate through the variable argument.

```
printRows() {
    for (int button: buttons)
        System.out.println(names);
}
```

## Abstract Classes and Abstract Methods

Abstract classes and methods are declared with the keyword `abstract`.

## Abstract Classes

An abstract class is typically used as a base class and cannot be instantiated. It can contain abstract and non-abstract methods, and it can be a subclass of an abstract or a non-abstract class. All of its abstract methods must be defined by the classes that inherit (extend) it unless the subclass is also abstract.

```
public abstract class Alarm {  
    public void reset() {...}  
    public abstract void renderAlarm();  
}
```

## Abstract Methods

An abstract method contains only the method declaration, which must be defined by any non-abstract class that inherits it.

```
public class DisplayAlarm extends Alarm {  
    public void renderAlarm() {  
        System.out.println("Active alarm.");  
    }  
}
```

## Static Data Members, Static Methods, and Static Constants

Static data members, methods, and constants reside with a class and not instances of classes. They can be accessed from within the class defined or another class using the dot operator.

## Static Data Members

Static data members have the same features as static methods, plus they are stored in a single location in memory.

They are used when only one copy of a data member is needed across all instances of a class (i.e., a counter).

```
// Declaring a static data member
public class Voter {
    static int voterCount = 0;
    public Voter() { voterCount++;}
    public static int getVoterCount() {
        return voterCount;
    }
}
...
int numVoters = Voter.voterCount;
```

## Static Methods

Static methods have the keyword `static` in the method declaration.

```
// Declaring a static method
class Analyzer {
    public static int getVotesByAge() {...}
}
// Using the static method
Analyzer.getVotesByAge();
```

Static methods cannot access non-static methods or variables because static methods are associated with a class, not an object.

## Static Constants

Static constants are static members declared constant. They have the keywords `static` and `final`, and a program cannot change them.

```
// Declaring a static constant
static final int AGE_LIMIT = 18;
// Using a static constant
if (age == AGE_LIMIT)
    newVoter = "yes";
```

# Interfaces

Interfaces provide a set of declared public methods that do not have method bodies. A class that implements an interface must provide concrete implementations of all the methods defined by the interface, or it must be declared abstract.

An interface is declared using the keyword `interface`, followed by the name of the interface and a set of method declarations.

Interface names are usually adjectives and end with “able” or “ible,” as the interface provides a capability.

```
interface Reportable {  
    void genReport(String repType);  
    void printReport(String repType);  
}
```

A class that implements an interface must indicate so in its class signature with the keyword `implements`.

```
class VotingMachine implements Reportable {  
    public void genReport (String repType) {  
        Report report = new Report(repType);  
    }  
    public void printReport(String repType) {  
        System.out.println(repType);  
    }  
}
```

---

## TIP

Classes can implement multiple interfaces, and interfaces can extend multiple interfaces.

---

# Enumerations

In simplest terms, enumerations are a set of objects that represent a related set of choices.

```
enum DisplayButton {ROUND, SQUARE}  
DisplayButton round = DisplayButton.ROUND;
```

Looking beyond simplest terms, an enumeration is a class of type `enum`. Enum classes can have methods, constructors, and data members.

```
class enum DisplayButton {  
    // Size in inches  
    ROUND (.50),  
    SQUARE (.40);  
    private final float size;  
    DisplayButton(float size) {this.size = size;}  
    private float size() { return size; }  
}
```

The method `values()` returns an array of the ordered list of objects defined for the enum.

```
for (DisplayButton b : DisplayButton.values())  
    System.out.println("Button: " + b.size());
```

## Annotations Types

Annotations provide a way to associate metadata (data about data) with program elements at compile time and runtime. Packages, classes, methods, fields, parameters, variables, and constructors can be annotated.

### Built-in Annotations

Java annotations provide a way to obtain metadata about a class. Java has three built-in annotation types, as depicted in Table 5-1. These annotation types are contained in the `java.lang` package.

Annotations must be placed directly before the item being annotated. They do not have any parameters and do not throw exceptions. Annotations return primitive types, enumerations, class `String`, class `Class`, annotations and arrays (of these types).

Table 5-1. Built-in annotations

Annotation type	Description
@Override	Indicates that the method is intended to override a method in a superclass.
@Deprecated	Indicates that a deprecated API is being used or overridden.
@SuppressWarnings	Used to selectively suppress warnings.

The following is an example of their use:

```
@Override
public String toString() {
    return super.toString() + " more";
}
```

Because @Override is a marker annotation, a compile warning will be returned if the `toString()` method cannot be found.

```
// Use annotation to indicate that a method
// is being overridden
@Override
public String toString() {
    return super.toString() + " more";
}
```

## Developer-Defined Annotations

Developers can define their own annotations using three annotation types. A *marker* annotation has no parameters, a *single value* annotation has a single parameter, and a *multivalue* annotation has multiple parameters.

The definition of an annotation is the symbol @, followed by the word *interface*, followed by the name of the annotation.

The meta-annotation *Retention* indicates that an annotation should be retained by the VM so that it can be read at run-time. *Retention* is in the package `java.lang.annotation`.



```

@Retention(RetentionPolicy.RUNTIME)
public @interface Feedback {} // Marker
public @interface Feedback {
    String reportName();
} // Single value
public @interface Feedback {
    String reportName();
    String comment() default "None";
} // Multi value

```

Place the user-defined annotation directly before the item being annotated.

```

@Feedback(reportName="Report 1")
public void myMethod() {...}

```

Programs can check the existence of annotations and obtain annotation values by calling `getAnnotation()` on a method.

```

Feedback fb =
    someMethod.getAnnotation(Feedback.class);

```

# Statements and Blocks

A statement is a single command that performs some activity when executed by the Java interpreter.

```
System.out.println("Let's go Golfing!");
```

Java statements include expression, empty, block, conditional, iteration, transfer of control, exception handling, variable, labeled, assert, and synchronized.

Reserved Java words used in statements are if, else, switch, while, do, for, for/in, break, continue, return, synchronized, throw, try, catch, finally, and assert.

## Expression Statements

An expression statement is a statement that changes the program state; it is a Java expression that ends in a semicolon. Expression statements include assignments, pre- and post-increments, pre- and post-decrements, object creation, and method calls. The following are examples of expression statements:

```
isValid = true;  
lastPlayerLocation++;  
remainingHoles--;  
Player player = new Player();  
player.setAndDisplay();
```

# Empty Statement

The empty statement does nothing and is written as a single semicolon (;).

## Blocks

A group of statements is called a block or statement block. A block of statements is enclosed in braces. Variables and classes declared in the block are called local variables and local classes, respectively. The scope of local variables and classes is the block in which they are declared.

In blocks, one statement is interpreted at a time in the order in which it was written or in the order of flow control. The following is an example of a block:

```
if (sponsored) {  
    Game game = new Game();  
    setPlayerId = n;  
    setLastName();  
}
```

## Conditional Statements

if, if else, if else if are decision-making control flow statements. They are used to execute statements conditionally. The expression for any of these statements must have type Boolean or boolean. Type Boolean is subject to unboxing, autoconversion of Boolean to boolean.

### The if Statement

The if statement consists of an expression and a statement or a block of statements that are executed if the expression evaluates to true.

```

if ((hole > 0) && (hole < 19)) {
    parlist[hole] = par;
    isValid = true;
}

```

## The if else Statement

When using else with if, the first block of statements is executed if the expression evaluates to true, otherwise, the block of code in the else is executed.

```

if ((handicap>=0) && (handicap<=40)) {
    isValid = true;
} else {
    System.out.println("Invalid");
    isValid = false;
}

```

## The if else if Statement

if else if is typically used when you need to choose among multiple blocks of code. When the criteria are not met to execute any of the blocks, the block of code in the final else is executed.

```

if (x == 1)
    amount = 10000;
else if (x == 2)
    amount == 20000;
else
    amount = 30000;

```

## The switch Statement

The switch statement is a control flow statement that starts with an expression and transfers control to one of the case statements based on the value of the expression. A switch works with char, byte, short, int, as well as Character, Byte, Short, Integer wrapper types, and enumeration types. The break statement is used to exit out of a switch statement. If a case statement does not contain a break, the line of code after the completion of the case will be executed.

This continues until either a break statement is reached or the end of the switch is reached. One default label is permitted.

```
switch (level) {  
    case 1: abilityLevel = "Amateur";  
        break;  
    case 2: abilityLevel = "Intermediate";  
        break;  
    case 3: abilityLevel = "Pro";  
        break;  
    default: abilityLevel = "Unknown";  
        break;  
}
```

## Iteration Statements

### The for Loop

The for statement contains three parts: initialization, expression, and update. As shown next, the variable (i.e., *i*) in the statement must be initialized before being used. The expression (i.e., `i < Player.getNumPlayers()`) is evaluated before iterating through the loop (i.e., `i++`). The iteration takes place only if the expression is true and the variable is updated after each iteration.

```
int i;  
for (i=0; i < Player.getNumPlayers(); i++) {  
    Player player = new Player();  
    Player.setPlayerInList(player, i);  
}
```

### The Enhanced for Loop

The enhanced for loop, a.k.a. the “for in” loop and “for each” loop, is used to iterate through an array or collection of any object that implements `Iterable`. The loop is executed once for each element of the array or collection and does not use a counter, as the number of iterations is already determined.

```
for (Player p : Player.getPlayerList())  
    updatePlayerStats();
```

## The while Loop

In a while statement, the expression is evaluated and the loop is executed only if the expression evaluates to true. The expression can be of type boolean or Boolean.

```
int dbRef = 0;
while (dbRef !=0) {
    recBroke = searchDb(i, p.getScore(i));
    if (recBroke)
        System.out.println("Broke a record");
    dbRef = getNextDb();
} // end while
```

## The do while Loop

In a do while statement, the loop is always executed at least once and will continue to be executed as long as the expression is true. The expression can be of type boolean or Boolean.

```
boolean isAvailable = true;
do {
    status = checkDevice(counter);
    counter++;
    if (status == -1)
        isAvailable = false;
} while (isAvailable);
```

## Transfer of Control

Transfer of control statements are used to change the flow of control in a program.

## The break Statement

An unlabeled break statement is used to exit the immediate loop (the loop in which it is contained) or the body of a switch statement.

```
for (int i = 1; i <= 18; i++) {
    d = getDistance(i);
```

```

        if (d == 0) // Check for problems
            break;
        System.out.println ("Dist: " + d);
    }

```

A labeled break forces a break of the loop statement immediately following the label. Labels are typically used with for and while loops, when there are nested loops and there is a need to identify which loop to break. To label a loop or a statement, place the label statement immediately before the loop or statement being labeled, as follows:

```

scanScoreTable:
for (int r=0;r<size;r++) { //Labeled
    for (int c = 1; c <= 18; c++) {
        System.out.println("R:"+r+" C:"+c);
        break scanScoreTable; //Exit loops
    }
}

```

## The continue Statement

When executed, the unlabeled continue statement stops the execution of the current while, do, or for loop and starts the next iteration of the loop. The rules for testing loop conditions apply. A labeled continue statement forces the next iteration of the loop statement immediately following the label.

```

for (int i=0;i<Player.getNumPlayers();i++) {
    Player p = players[i];
    if (!p.getUnderParFlag())
        continue;
    else
        p.displayUnderPar();
}

```

## The return Statement

The return statement is used to exit a method and return a value if the method specifies to return a value.

```

int getPar(int hole) {
    return parList[hole];
}

```

## Synchronized Statement

The Java keyword `synchronized` can be used to limit access to sections of code (i.e., entire methods) to a single thread. It provides the capability to control access to resources shared by multiple threads.

```
int getScore (int hole) {
    synchronized(this) {
        return scores[hole];
    }
}
void setScores(int hole, int score) {
    synchronized(this) {
        scores[hole] = score;
    }
}
```

## Assert Statement

Assertions are Boolean expressions used to check whether code behaves as expected while running in debug mode. Assertions are written as shown below:

```
assert boolean_expression;
```

Assertions help identify bugs more easily, including identifying unexpected values. They are designed to validate assumptions that should always be true. While running in debug mode, if the assertion evaluates to false, a `java.lang.AssertionError` is thrown and the program exits; otherwise, nothing happens. Assertions need to be explicitly enabled. To find command-line arguments used to enable assertions, see Chapter 10.

```
// Hole value should be between 1 and 18.
assert (hole >= 1 && hole <= 18);
```

Assertions may also be written to include an optional error code. Although called an error code, it is really just text or a value to be used for informational purposes only.



When an assertion that contains an error code evaluates to false, the error code value is turned into a string and displayed to the user immediately prior to the program exiting.

```
assert boolean_expression : errorcode;
```

An example of an assertion using an error code is as follows:

```
// Show value of invalid hole to user
assert (hole >= 1 && hole <= 18)
      : "Invalid hole: " + hole;
```

## Exception Handling Statements

Exception handling statements are used to specify code to be executed during unusual circumstances. The keywords `throw` and `try/catch/finally` are used for exception handling. For more information on exception handling, see Chapter 7.

# Exception Handling

An *exception* is an anomalous condition that alters or interrupts the flow of execution. Java provides built-in exception handling to deal with such conditions. Exception handling should not be part of normal program flow.

## The Exception Hierarchy

As shown in Figure 7-1, all exceptions and errors inherit from the class `Throwable`, which inherits from the class `Object`.

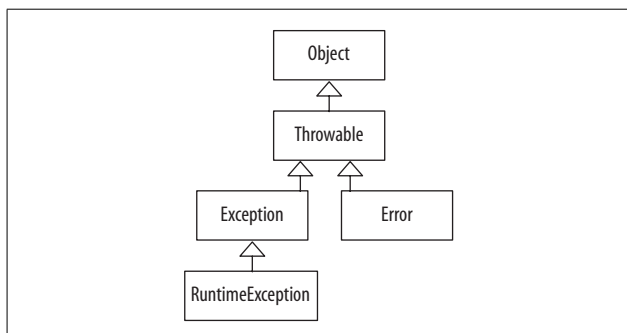


Figure 7-1. Snapshot of the exception hierarchy

# Checked/Unchecked Exceptions and Errors

Exceptions and errors fall into three categories: checked exceptions, unchecked exceptions, and errors.

## Checked Exceptions

- Checked exceptions are checked by the compiler at compile time.
- Methods that throw a checked exception must indicate so in the method declaration using the `throws` clause. This must continue all the way up the calling stack until the exception is handled.
- All checked exceptions must be explicitly caught with a catch block.
- Checked exceptions include exceptions of the type `Exception`, and all classes that are subtypes of `Exception`, except for `RuntimeException` and the subtypes of `RuntimeException`.

The following is an example of a method that throws a checked exception:

```
// Method declaration that throws
// an IOException
void readFile(String filename)
    throws IOException {
    ...
}
```

## Unchecked Exceptions

- The compiler does not check unchecked exceptions at compile time.
- Unchecked exceptions occur during runtime due to programmer error (out-of-bounds index, divide by zero, and null pointer exception) or system resource exhaustion.
- Unchecked exceptions do not have to be caught.

- Methods that may throw an unchecked exception do not have to (but can) indicate this in the method declaration.
- Unchecked exceptions include exceptions of the type `RuntimeException` and all subtypes of `RuntimeException`.

## Errors

- Errors are typically unrecoverable and present serious conditions.
- Errors are not checked at compile time and do not have to be (but can be) caught/handled.

---

### TIP

Any checked exceptions, unchecked exceptions, or errors can be caught.

---

## Common Checked/Unchecked Exceptions and Errors

### Common Checked Exceptions

#### `ClassNotFoundException`

Thrown when a class cannot be loaded because its definition cannot be found.

#### `IOException`

Thrown when a failed or interrupted operation occurs. Two common subtypes of `IOException` are `EOFException` and `FileNotFoundException`.

#### `FileNotFoundException`

Thrown when an attempt is made to open a file that cannot be found.

`SQLException`

Thrown when there is a database error.

`InterruptedException`

Thrown when a thread is interrupted.

`NoSuchMethodException`

Thrown when a called method cannot be found.

`CloneNotSupportedException`

Thrown when `clone()` is called by an object that is not cloneable.

## Common Unchecked Exceptions

`ArrayIndexOutOfBoundsException`

Thrown to indicate index out of range.

`ClassCastException`

Thrown to indicate an attempt to cast an object to a subclass of which it is not an instance.

`IllegalArgumentException`

Thrown to indicate that an invalid argument has been passed to a method.

`IllegalStateException`

Thrown to indicate that a method has been called at an inappropriate time.

`NullPointerException`

Thrown when code references a null object but a non-null object is required.

`NumberFormatException`

Thrown to indicate an invalid attempt to convert a string to a numeric type.

## Common Errors

### AssertionError

Thrown to indicate that an assertion failed.

### ExceptionInInitializerError

Thrown to indicate an unexpected exception in a static initializer.

### VirtualMachineError

Thrown to indicate a problem with the JVM.

### OutOfMemoryError

Thrown when there is no more memory available to allocate an object or perform garbage collection.

### NoClassDefFoundError

Thrown when the JVM cannot find a class definition that was found at compile time.

## Exception Handling Keywords

In Java, error-handling code is cleanly separated from error-generating code. Code that generates the exception is said to “throw” an exception, whereas code that handles the exception is said to “catch” the exception.

```
// Declare an exception
public void methodA() throws IOException {
    ...
    throw new IOException();
    ...
}

// Catch an exception
public void methodB() {
    ...
    /* Call to methodA must be in a try/catch block
    ** since the exception is a checked exception;
    ** otherwise methodB could throw the exception */
    try {
        methodA();
    }
```

```
    } catch (IOException ioe) {  
        System.err.println(ioe.getMessage());  
        ioe.printStackTrace();  
    }  
}
```

## The throw Keyword

To throw an exception, use the keyword `throw`. Any checked/unchecked exception and error can be thrown.

```
if (n == -1)  
    throw new EOFException();
```

## The try/catch/finally Keywords

Thrown exceptions are handled by a Java `try`, `catch`, `finally` block. The Java interpreter looks for code to handle the exception, first looking in the enclosed block of code, and then propagating up the call stack to `main()` if necessary. If the exception is not handled, the program exits and a stack trace is printed.

```
try {  
    method();  
} catch (EOFException eofe) {  
    eofe.printStackTrace();  
} catch (IOException ioe) {  
} finally {  
    //cleanup  
}
```

## The try Block

The `try` block contains code that may throw exceptions. All checked exceptions that may be thrown must have a `catch` block to handle the exception. If no exceptions are thrown, the `try` block terminates normally. A `try` block may have zero or more `catch` clauses to handle the exceptions.

---

### TIP

A try block must have at least one catch or finally block associated with it.

---

There cannot be any code between the try block and any of the catch blocks (if present) or the finally block (if present).

## The catch Block

The catch block(s) contain code to handle thrown exceptions, including printing information about the exception to a file, giving users an opportunity to input correct information, etc. Note that catch blocks should never be empty because such “silencing” results in exceptions being hidden, making errors harder to debug.

A common convention for naming the parameter in the catch clause is a set of letters representing each of the words in the name of the exception.

```
catch (ArrayIndexOutOfBoundsException aioobe) {  
    aioobe.printStackTrace();  
}
```

Within a catch clause, a new exception may also be thrown if necessary.

The order of the catch clauses in a try/catch block defines the precedence for catching exceptions. Always begin with the most specific exception that may be thrown and end with the most general.

---

### TIP

Exceptions thrown in the try block are directed to the first catch clause containing arguments of the same type as the exception object or superclass of that type. The catch block with the Exception parameter should always be last in the ordered list.

---



If none of the parameters for the catch clauses match the exception thrown, the system will search for the parameter that matches the superclass of the exception.

## The finally Block

The finally block is used for releasing resources when necessary.

```
try{  
    ...  
}catch {...}  
finally { fileWriter.close(); }
```

This block is optional and is not typically used. When used, it is executed last in a try/catch/finally block and will always be executed, whether or not the try block terminates normally or the catch clause(s) were executed. If the finally block throws an exception, it must be handled.

## The Exception Handling Process

Following are the steps to the exception handling process:

1. Exception is encountered resulting in an exception object being created.
2. A new exception object is thrown.
3. The runtime system looks for code to handle the exception beginning with the method in which the exception object was created. If no handler is found, the runtime environment traverses the call stack (the ordered list of methods) in reverse looking for an exception handler. If the exception is not handled, the program exits and a stack trace is automatically output.
4. The runtime system hands the exception object off to an exception handler to handle (catch) the exception.

# Defining Your Own Exception Class

Programmer-defined exceptions should be created when those other than the existing Java exceptions are necessary. In general, the Java exceptions should be reused wherever possible.

- To define a checked exception, the new exception class must extend class `Exception`, directly or indirectly.
- To define an unchecked exception, the new exception class must extend class `RuntimeException`, directly or indirectly.
- To define an unchecked error, the new error class must extend class `Error`.

User-defined exceptions should have at least two constructors: a constructor that does not accept any arguments and a constructor that does.

```
public class ReportException extends Exception {  
    public ReportException () {}  
    public ReportException (String message, int  
        reportId) {  
        ...  
    }  
}
```

## Printing Information About Exceptions

The methods in class `Throwable` that provide information about thrown exceptions are `getMessage()`, `toString`, and `printStackTrace()`. In general, one of these methods should be called in the catch clause handling the exception. Programmers can also write code to obtain additional useful information when an exception occurs (i.e., the name of the file that was not found).

## The getMessage( ) Method

The getMessage() method returns a detailed message string about the exception.

```
try {
    new FileReader("file.js");
} catch (FileNotFoundException fnfe) {
    System.err.println(fnfe.getMessage());
}
```

## The toString( ) Method

This toString() method returns a detailed message string about the exception, including its class name.

```
try {
    new FileReader("file.js");
} catch (FileNotFoundException fnfe) {
    System.err.println(fnfe.toString());
}
```

## The printStackTrace( ) Method

This printStackTrace() method returns a detailed message string about the exception, including its class name and a stack trace from where the error was caught, all the way back to where it was thrown.

```
try {
    new FileReader("file.js");
} catch (FileNotFoundException fnfe) {
    fnfe.printStackTrace();
}
```

The following is an example of a stack trace. The first line contains the contents returned when the toString() method is invoked on an exception object. The remainder shows the method calls beginning with the location where the exception was thrown all the way back to where it was caught and handled.

```
java.io.FileNotFoundException: file.js (The system cannot  
find the file specified)  
    at java.io.FileInputStream.open(Native Method)  
    at java.io.FileInputStream.(init)(FileInputSteam.java:  
106)  
    at java.io.FileInputStream.(init)(FileInputSteam.java:66)  
    at java.io.FileReader.(init)(FileReader.java:41)  
    at EHExample.openFile(EHExample.java:24)  
    at EHExample.main(EHExample.java:15)
```

# Java Modifiers

Modifiers, which are Java keywords, may be applied to classes, interfaces, constructors, methods, and data members.

Table 8-1 lists the Java modifiers and their applicability.

*Table 8-1. Java modifiers*

Modifier	Class	Interface	Constructor	Method	Data member
<b>Access modifiers</b>					
<i>package-private</i>	Yes	Yes	Yes	Yes	Yes
private	No	No	Yes	Yes	Yes
protected	No	No	Yes	Yes	Yes
public	Yes	Yes	Yes	Yes	Yes
<b>Other modifiers</b>					
abstract	Yes	Yes	No	Yes	No
final	Yes	No	No	Yes	Yes
native	No	No	No	Yes	No
strictfp	Yes	Yes	No	Yes	No
static	No	No	No	Yes	Yes
synchronized	No	No	No	Yes	No
transient	No	No	No	No	Yes
volatile	No	No	No	No	Yes

# Access Modifiers

Access modifiers define the access privileges of classes, interfaces, constructors, methods, and data members. Access modifiers consist of `public`, `private`, and `protected`. If no modifier is present, the default access of *package-private* is used.

Figure 8-1 illustrates the visibility of the access modifiers, including the differences between classes that are `public` and *package-private*. Note that in the figure, the modifiers refer to both data members and methods.

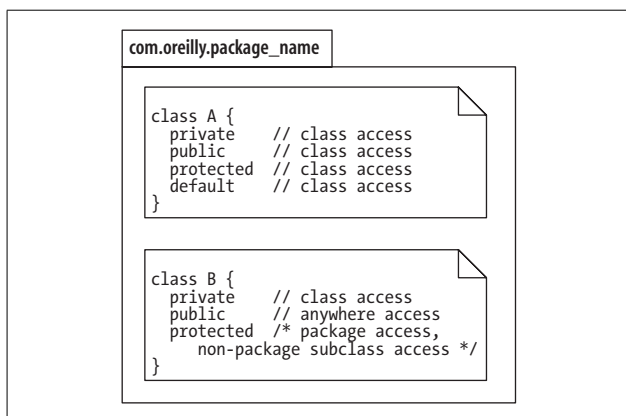


Figure 8-1. Visibility of access modifiers

Table 8-2 provides details on the visibility when these access modifiers are used.

Table 8-2. Access modifiers and their visibility

Modifier	Visibility
<i>package-private</i>	The default <i>package-private</i> limits access from within the package.
<code>private</code>	The <code>private</code> method is accessible from within its class. The <code>private</code> data member is accessible from within its class. It can be indirectly accessed through methods (i.e., getter and setter methods).

Table 8-2. Access modifiers and their visibility (continued)

Modifier	Visibility
protected	The protected method is accessible from within its package, and also from outside its package by subclasses of the class containing the method. The protected data member is accessible within its package, and also from outside its package by subclasses of the class containing the data member.
public	The public modifier allows access from anywhere, even outside of the package in which it was declared. Note that interfaces are public by default.

## Other (Non-Access) Modifiers

Table 8-3 contains the non-access Java modifiers and their usage.

Table 8-3. Non-access Java modifiers

Modifier	Usage
abstract	An abstract class is a class that is declared with the keyword <code>abstract</code> . It cannot be simultaneously declared with <code>final</code> . Interfaces are abstract by default and do not have to be declared <code>abstract</code> . An abstract method is a method that contains only a signature and no body. If at least one method in a class is abstract, then the enclosing class is abstract. It cannot be declared <code>final</code> , <code>native</code> , <code>private</code> , <code>static</code> , or <code>synchronized</code> .
final	A final class cannot be extended. A final method cannot be overridden. A final data member is initialized only once and cannot be changed. A data member that is declared <code>static final</code> is set at compile time and cannot be changed.
native	A native method is used to merge other programming languages such as C and C++ code into a Java program. It contains only a signature and no body. It cannot be used simultaneously with <code>strictfp</code> .
static	A static method is accessed through the class name and is usually used to access a static variable. A static data member is accessed through the class name. Only one static data member exists no matter how many instances of the class exist.

Table 8-3. Non-access Java modifiers (continued)

Modifier	Usage
<code>strictfp</code>	A <code>strictfp</code> class will follow the IEEE 754-1985 floating-point specification for all of its floating-point operations. A <code>strictfp</code> method has all expressions in the method as <code>FP-strict</code> . Methods within interfaces cannot be declared <code>strictfp</code> . It cannot be used simultaneously with the <code>native</code> modifier.
<code>synchronized</code>	A <code>synchronized</code> method allows only one thread to execute the method block at a time, making it thread safe. Statements can also be <code>synchronized</code> .
<code>transient</code>	A <code>transient</code> data member is not serialized when the class is serialized. It is not part of the persistent state of an object.
<code>volatile</code>	A <code>volatile</code> data member informs a thread both to get the latest value for the variable, instead of using a cached copy, and to write all updates to the variable as they occur.



**PART II**

---

# **Platform**



# Java Platform, SE

The Java Platform, Standard Edition includes the Java Runtime Environment (JRE) and its encompassing Java Development Kit (JDK) (see Chapter 10), the Java Programming Language, Java Virtual Machines (JVMs), tools/utilities, and the Java SE API libraries; see Figure 9-1.

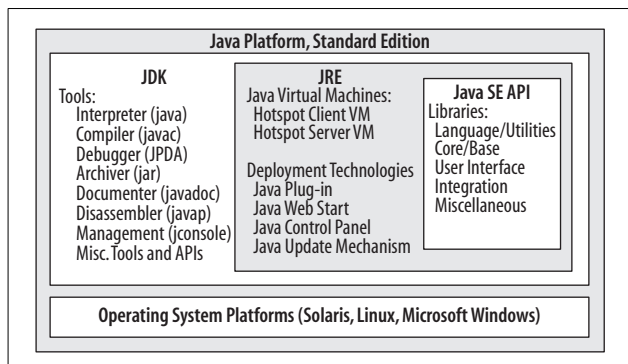


Figure 9-1. Java Platform, SE

## Common Java SE API Libraries

Java SE API 6 standard libraries are provided within packages of which there are more than 200 available. Each package is made up of classes and/or interfaces. An abbreviated list of commonly used packages is represented here.

## Language and Utility Libraries

`java.lang`

Language support; system/math methods, fundamental types, strings, threads, and exceptions

`java.lang.annotation`

Annotation framework; metadata library support

`java.lang.instrument`

Program instrumentation; agent services to instrument JVM programs

`java.lang.management`

Java Management Extensions API; JVM monitoring and management

`java.lang.ref`

Reference-object classes; interaction support with the GC

`java.lang.reflect`

Reflective information about classes and objects

`java.util`

Utilities; collections, event model, date/time, and international support

`java.util.concurrent`

Concurrency utilities; executors, queues, timing, and synchronizers

`java.util.concurrent.atomic`

Atomic toolkit; lock-free thread-safe programming on single variables

`java.util.concurrent.locks`

Locking framework; locks and conditions

`java.util.jar`

Java Archive file format; reading and writing

`java.util.logging`

Logging; failures, errors, performance issues, and bugs

`java.util.prefs`

User and system preferences; retrieval and storage

`java.util.regex`

Regular expressions; char sequences matched to patterns

`java.util.zip`

ZIP and GZIP file formats; reading and writing

## Base Libraries

`java.applet`

Applet Framework; embeddable window and control methods

`java.beans.beancontext`

Bean context; containers for beans, run environments

`java.beans`

Beans; components based on JavaBeans™, long-term persistence

`java.io`

Input/Output; through data streams, the filesystem, and serialization

`java.math`

Mathematics; extra large integer and decimal arithmetic

`java.net`

Networking; TCP, UDP, sockets, and addresses

`java.nio.channels`

Channels for I/O; selectors for non-blocking I/O

`java.nio.charset`

Characters sets; translation between bytes and Unicode

`java.nio`

High performance I/O; buffers, memory mapped files

`java.text`

Text utilities; text, dates, numbers, and messages

- javax.annotation  
Annotation types; library support
- javax.management  
JMX API; application configuration, statistics, and state changes
- javax.net.ssl  
Secured sockets layer; error detection, data encryption/ authentication
- javax.net  
Networking; socket factories
- javax.tools  
Program invoked tool interfaces; compilers, file managers

## Integration Libraries

- java.sql  
Standard Query Language; access and processing data source information
- javax.jws.soap  
Java web services; SOAP bindings and message parameters
- javax.jws  
Java web services; supporting annotation types
- javax.naming.directory  
Directory services; Java Naming and Directory Interface (JNDI) operations for directory-stored objects
- javax.naming.event  
Event services; JNDI event notification operations
- javax.naming.ldap  
Lightweight Directory Access Protocol v3; operations and controls
- javax.naming  
Naming services; JNDI

- `javax.script`  
Scripting language support; integration, bindings, and invocations
- `javax.sql.rowset.serial`  
Serializable mappings; between SQL types and data types
- `javax.sql.rowset`  
Java Database Connectivity (JDBC) Rowset; standard interfaces
- `javax.sql`  
Standard Query Language; database APIs and server-side capabilities
- `javax.transactions.xa`  
XA Interface; transaction and resource manager contracts for JTA

## User Interface Libraries: Miscellaneous

- `javax.accessibility`  
Accessibility technology; assistive support for UI components
- `javax.imageio`  
Java image I/O; image file content description (metadata, thumbnails)
- `javax.print`  
Print services; formatting and job submissions
- `javax.print.attribute`  
Java Print Services; attributes and attribute sets collecting
- `javax.print.attribute.standard`  
Standard attributes; widely used attributes and values
- `javax.print.event`  
Printing events; services and print job monitoring

`javax.sound.midi`

Sound; I/O, sequencing, and synthesis of MIDI Types 0 and 1

`javax.sound.sampled`

Sound; sampled audio data (AIFF, AU, and WAV formats)

## **User Interface Libraries: Abstract Window Toolkit (AWT) API**

`java.awt`

Abstract Window Toolkit; user interfaces, graphics, and images

`java.awt.color`

AWT color spaces; International Color Consortium Profile Format Specs

`java.awt.datatransfer`

AWT data transfers; between/within applications, clipboard support

`java.awt.dnd`

AWT drag and drop; direct GUI manipulation gestures

`java.awt.event`

AWT event listeners/adapters for events fired by AWT components

`java.awt.font`

AWT fonts; Type 1, Open Type fonts; True Type fonts

`java.awt.geom`

AWT geometry manipulation; two-dimensional support

`java.awt.im`

AWT input method framework; text input, languages, and handwriting

`java.awt.image`

AWT image streaming framework; image creation and modification



`java.awt.image.renderable`

AWT rendering-independent images; production

`java.awt.print`

AWT printing API; doc type specs, controls page setup/formats

## User Interface Libraries: Swing API

`javax.swing`

Swing API; pure Java components (buttons, split panes, tables, etc.)

`javax.swing.border`

Swing specialized borders; customized versus default Look-and-Feel borders

`javax.swing.colorchooser`

Swing JColorChooser component support; color selection dialog box

`javax.swing.event`

Swing events; event listeners and event adapters

`javax.swing.filechooser`

Swing JFileChooser component support; filesystem dialog box

`javax.swing.plaf`

Swing Pluggable Look-and-Feel; support for basic and Metal Look-and-Feels

`javax.swing.plaf.basic`

Swing Basic Look-and-Feel; default Look-and-Feel behavior

`javax.swing.plaf.metal`

Swing Metal Look-and-Feel; Metal/Steel Look-and-Feel

`javax.swing.plaf.multi`

Swing Multiple Look-and-Feel; combines multiple Look-and-Feels

`javax.swing.plaf.synth`  
Swing Skinnable Look-and-Feel; all painting is delegated

`javax.swing.table`  
Swing JTable component support; tabular data structures

`javax.swing.text`  
Swing text component support; editable and noneditable text components

`javax.swing.text.html`  
Swing HTML text editors; HTML text editor creation support

`javax.swing.text.html.parser`  
Swing HTML parsers; default HTML parser support

`javax.swing.text.rtf`  
Swing Rich-Text-Format text editors; editing support

`javax.swing.tree`  
Swing JTree component support; construction, management, and rendering

`javax.swing.undo`  
Swing undo/redo operations; text component support

## **Remote Method Invocation (RMI) and CORBA Libraries**

`java.rmi`  
Remote Method Invocation; invokes objects on remote JVMs

`java.rmi.activation`  
RMI Object Activation; activates persistent remote object's references

`java.rmi.dgc`  
RMI distributed garbage-collection (DGC); remote object tracking from client

`java.rmi.registry`

RMI registry; remote object that maps names to remote objects

`java.rmi.server`

RMI server side; RMI Transport protocol, HTTP tunneling, stubs

`javax.rmi`

Remote Method Invocation; RMI-IIOP, IIOP, JRMP

`javax.rmi.CORBA`

CORBA support; portability APIs for RMI-IIOP and ORBs

`javax.rmi.ssl`

Secured Sockets Layer; RMI client and serversupport

`org.omg.CORBA`

OMG CORBA; CORBA to Java mapping, ORBs

`org.omg.CORBA_2_3`

OMG CORBA additions; further JCK test support

## Security Libraries

`java.security`

Security; algorithms, mechanisms, and protocols

`java.security.cert`

Certifications; parsing, managing CRLS and certification paths

`java.security.interfaces`

Security interfaces; RSA and DSA key generation

`java.security.spec`

Specifications; security keys and algorithm parameters

`javax.crypto`

Cryptographic operations; encryption, keys, MAC generations

`javax.crypto.interfaces`

Diffie-Hellman keys; defined in RSA Laboratories' PKCS #3

`javax.crypto.spec`

Specifications; for security key and algorithm parameters

`javax.security.auth`

Security authentication and authorization; access controls specifications

`javax.security.auth.callback`

Authentication callback support; services interaction with apps

`javax.security.auth.kerberos`

Kerberos network authentication protocol; related utility classes

`javax.security.auth.login`

Login and configuration; pluggable authentication framework

`javax.security.auth.x500`

X500 Principal and X500 Private Credentials; subject storage

`javax.security.sasl`

Simple Authentication and Security Layer; SASL authentication

`org.ietf.jgss`

Java Generic Security Service; authentication, data integrity

## **Extensible Markup Language (XML) Libraries**

`javax.xml`

Extensible Markup Language (XML); constants

`javax.xml.bind`

XML runtime bindings; unmarshalling, marshalling, and validation

`javax.xml.crypto`  
XML cryptography; signature generation and data encryption

`javax.xml.crypto.dom`  
XML and DOM; cryptographic implementations

`javax.xml.crypto.dsig`  
XML digital signatures; generating and validating

`javax.xml.datatype`  
XML and Java data types; mappings

`javax.xml.namespace`  
XML namespaces; processing

`javax.xml.parsers`  
XML parsers; SAX and DOM parsers

`javax.xml.soap`  
XML; SOAP messages; creation and building

`javax.xml.transform`  
XML transformation processing; no DOM or SAX dependency

`javax.xml.transform.dom`  
XML transformation processing; DOM-specific API

`javax.xml.transform.sax`  
XML transformation processing; SAX-specific API

`javax.xml.transform.stax`  
XML transformation processing; StAX-specific API

`javax.xml.validation`  
XML validation; verification against XML schema

`javax.xml.ws`  
Java API for XML Web services (JAX-WS); core APIs

`javax.xml.ws.handler`  
JAX-WS message handlers; message context and handler interfaces

`javax.xml.ws.handler.soap`

JAX-WS; Simple Object Access Protocol (SOAP) message handlers

`javax.xml.ws.http`

JAX-WS; Hypertext Transfer Protocol (HTTP) bindings

`javax.xml.ws.soap`

JAX-WS; SOAP bindings

`javax.xml.xpath`

XPath expressions; evaluation and access

`org.w3c.dom`

W3C's Document Object Model (DOM); file content and structure access and updates

`org.xml.sax`

XML.org's Simple API for XML (SAX); file content and structure access and updates

---

# Development Basics

The Java Runtime Environment (JRE) provides the backbone for running Java applications. The Java Development Kit (JDK) provides all of the components and necessary resources to develop Java applications.

## Java Runtime Environment

The JRE is a collection of software that allows a computer system to run a Java application. The software collection consists of the Java Virtual Machines (JVMs) that interpret Java bytecode into machine code, standard class libraries, user interface toolkits, and a variety of utilities.

## Java Development Kit

The JDK is a programming environment for compiling, debugging, and running Java applets, applications, and Java Beans. The JDK includes the JRE with the addition of the Java Programming language and additional development tools and tool APIs. Sun's JDK supports Linux, Solaris, and Microsoft Windows (2000, XP, and Vista). Additional operating system and special purpose JVMs, JDKs, and JREs are freely available at <http://java-virtual-machine.net/other.html>.

Table 10-1 lists versions of the JDK provided by Sun Microsystems®. Download the most recent version at <http://java.sun.com>; download older versions at <http://java.sun.com/products/archive>.

Table 10-1. Java Development Kits

Java Development Kits	Codename	Release
Java SE 6 with JDK 1.6.0	Mustang	2006
Java 2 SE 5.0 with JDK 1.5.0	Tiger	2004
Java 2 SE with SDK 1.4.0	Merlin	2002
Java 2 SE with SDK 1.3	Kestrel	2000
Java 2 with SDK 1.2	Playground	1998

J2SE version 1.3 has completed the Sun End of Life (EOL) process for the Solaris 9, Solaris 10, Windows, and Linux platforms.

## Java Program Structure

Java source files are created with text editors such as jEdit, TextPad®, Vim, or one provided by a Java Integrated Development Environment (IDE). The source files must have the *.java* extension and the same name as the public class name contained in the file. If the class has *package-private* access, the class name can differ from the filename.

Therefore, a source file named *HelloWorld.java* would correspond to the public class named *HelloWorld*, as represented in the example below. All nomenclature in Java is case-sensitive.

```
1 package com.oreilly.utils;
2 import java.util.*;
3
4 public class HelloWorld
5 {
6     public static void main(String[] args)
7     {
8         Date date = new Date();
9         System.out.print(date);
10        System.out.println(" Hello, World!");
11    }
12 }
```



In line 1, the class `HelloWorld` is contained in the package `com.oreilly.utils`. This package name implies that `com/oreilly/utils` is a directory structure that is accessible on the class-path for the compiler and the runtime environment. Packaging source files is optional, but it is recommended to avoid conflicts with other software packages.

In line 2, the `import` declaration provides for the inclusion of classes from other packages. Here, the asterisk allows for all classes in the `java.util` package to be included. However, you should always explicitly include classes so that dependencies are documented; `import java.util.Date` would have been a better choice.

---

#### **TIP**

The `java.lang` package is the only Java package imported by default.

---

In line 4, there must be only one public class defined in a source file. In addition, the file may include multiple non-public classes.

In line 6, Java applications must have a `main` method. This method is the entry point into a Java program, and it must be defined. The modifiers must be declared `public`, `static`, and `void`. The `arguments` parameter provides a string array of command-line arguments.

---

#### **TIP**

Applets, Java Servlets, Enterprise Java Beans (EJBs), and Java Server Pages (JSPs) components do not have a `main` method.

---

In line 10, the statement provides a call to the `System.out.println` method to print out the supplied text to the console window.

# Command-Line Tools

A JDK provides several command-line tools that aid in software development. Commonly used tools include the compiler, launcher/interpreter, archiver, and documenter. Find a complete list of tools at <http://java.sun.com/javase/6/docs/technotes/tools/>.

## Java Compiler

The Java compiler translates Java source files into Java byte-code. The compiler creates a bytecode file with the same name as the source file but with the *.class* extension. Following is a list of commonly used compiler options.

```
javac [-options] [source files]
```

This is the usage to compile Java source files.

```
javac HelloWorld.java
```

This basic usage compiles the program to produce *HelloWorld.class*.

```
javac -cp /dir/Classes/ HelloWorld.java
```

The *-cp* and *-classpath* options are equivalent and identify classpath directories to utilize at compile time.

```
javac -d /opt/hwapp/classes HelloWorld.java
```

The *-d* option places generated class files into a pre-existing specified directory. If there is a package definition, the path will be included (i.e., */opt/hwapp/src/com/oreilly/utills/*).

```
javac -s /opt/hwapp/src HelloWorld.java
```

The *-s* option places generated source files into a pre-existing specified directory. If there is a package definition, the path will be further expanded (i.e., */opt/hwapp/src/com/oreilly/utills/*).

```
javac -source 1.4 HelloWorld.java
```

The `-source` option provides source compatibility with the given release, allowing unsupported keywords to be used as identifiers.

```
javac -Xlint:unchecked
```

The `-X[lint]` option enables recommended warnings. This example prints out further details for unchecked or unsafe operations.

---

### TIP

Even though the `-Xlint` option is commonly found among Java compilers, the `-X` options are not standardized.

---

```
javac -version
```

The `-version` option prints the version of the `javac` utility.

```
javac -help
```

The `-help` option, or the absence of arguments, will cause the help information for the `javac` command to be printed.

## Java Interpreter

The Java interpreter handles the program execution, including launching the application. Following is a list of commonly used interpreter options.

```
java [-options] class [arguments...]
```

This is the usage to run the interpreter.

```
java [-options] -jar jarfile [arguments...]
```

This is the usage to execute a JAR file.

```
java HelloWorld
```

This basic usage starts the JRE, loads the class `HelloWorld`, and runs the main method of the class.

```
java com.oreilly.utils.HelloWorld
```

This basic usage starts the JRE, loads the HelloWorld class under the *com/oreilly/utils/* directory, and runs the main method of the class.

```
java -cp /tmp/Classes HelloWorld
```

The `-cp` and `-classpath` options identify classpath directories to utilize at runtime.

```
java -Dsun.java2d.ddscale=true HelloWorld
```

The `-D` options sets a system property value. Here, the hardware accelerator scaling is turned on.

```
java -ea HelloWorld
```

The `-ea` and `-enableassertions` options enable Java assertions. Assertions are diagnostic code that you insert in your application. For more information on assertions, see the “Assert Statement” section in Chapter 6.

```
java -da HelloWorld
```

The `-da` and `-disableassertions` options disable Java assertions.

```
java -client HelloWorld
```

The `-client` option selects the client virtual machine (versus the server virtual machine) to enhance interactive applications such as GUIs.

```
java -server HelloWorld
```

The `-server` option selects the server virtual machine (versus the client virtual machine) to enhance overall system performance.

```
java -splash:images/world.gif HelloWorld
```

The `-splash` option accepts an argument to display a splash screen of an image prior to running the application.

```
java -version
```

The `-version` option prints the version of the Java interpreter, the JRE, and the virtual machine.

`java -help`

The `-help` option, or the absence of arguments, will cause the help information for the `java` command to be printed.

`javaw <classname>`

On the Windows OS, `javaw` is equivalent to the `java` command but without a console window. The Linux equivalent is accomplished by running the `java` command as a background process with the ampersand, `java <classname> &`.

## Java Program Packager

The Java Archive (JAR) utility is an archiving and compression tool, typically used to combine multiple files into a single file called a JAR file. JAR consists of a ZIP archive containing a manifest file (JAR content descriptor) and optional signature files (for security). Following is a list of commonly used JAR options along with examples.

```
jar [options] [jar-file] [manifest-files] [entry-point]
[-C dir] files...
```

This is the usage for the JAR utility.

```
jar cf files.jar HelloWorld.java com/oreilly/utils/
HelloWorld.class
```

The `c` option allows for the creation of a JAR file. The `f` option allows for the specification of the filename. In this example, *HelloWorld.java* and *com/oreilly/utils/HelloWorld.class* are included in the JAR file.

```
jar tfv files.jar
```

The `t` option is used to list the table of contents of the JAR file. The `f` option is used to specify the filename. The `v` option lists the contents in verbose format.

```
jar xf files.jar
```

The `x` option allows for the extraction of the contents of the JAR file. The `f` option allows for the specification of the filename.

---

## TIP

Several other ZIP tools, such as WinZip® and WinRAR®, can work with JAR files.

---

## JAR File Execution

JAR files can be created so that they are executable by specifying the file within the JAR where the “main” class resides, so the Java interpreter knows which `main()` method to utilize. Here is a complete example of making a JAR file executable.

1. `javac HelloWorld`

Use this command to compile the program and place the *HelloWorld.class* file into the *com/oreilly/utils* directory.

2. Create a file *Manifest.txt* in the directory where the package is located. In the file, include the following line specifying where the main class is located:

```
Main-Class: com.oreilly.utils.HelloWorld
```

3. `jar cmf Manifest.txt helloWorld.jar com/oreilly/utils`

Use this command to create a JAR file that adds the *Manifest.txt* contents to the manifest file, *MANIFEST.MF*. The manifest file is also used to define extensions and various package-related data.

```
Manifest-Version: 1.0
Created-By: 1.6.0 (Sun Microsystems Inc.)
Main-Class: com.oreilly.utils.HelloWorld
```

4. `jar tf HelloWorld.jar`

Use this command to display the contents of the JAR file.

```
META-INF/
META-INF/MANIFEST.MF
com/
com/oreilly/
com/oreilly/utils
com/oreilly/utils/HelloWorld.class
```

5. `java -jar HelloWorld.jar`

Use this command to execute the JAR file.

## Java Documenter

Javadoc is a command-line tool used to generate documentation on source files. The documentation is more detailed when the appropriate Javadoc comments are applied to the source code; see the “Comments” section in Chapter 2. Here is a list of commonly used javadoc options and examples.

```
javadoc [options] [packagenames] [sourcefiles]
```

This is the usage to produce Java documentation.

```
javadoc HelloWorld.java
```

The javadoc command generates HTML documentation files: *HelloWorld.html*, *index.html*, *allclasses-frame.html*, *constant-values.html*, *deprecated-list.html*, *overview-tree.html*, *package-summary.html*, etc.

```
javadoc -verbose HelloWorld.java
```

The `-verbose` option provides more details while Javadoc is running.

```
javadoc -d /tmp/ HelloWorld.java
```

This `-d` option specifies the directory where the generated HTML files will be extracted to. Without this option, the files will be placed into the current working directory.

```
javadoc -sourcepath /Classes/ Test.java
```

The `-sourcepath` option specifies where to find user *.java* source files.

```
javadoc -exclude <pkglist> Test.java
```

The `-exclude` option specifies which packages not to generate HTML documentation files for.

```
javadoc -public Test.java
```

The `-public` option produces documentation for public classes and members.

```
javadoc -protected Test.java
```

The `-protected` option produces documentation for protected and public classes and members. This is the default setting.

```
javadoc -package Test.java
```

The `-package` option produces documentation for package, protected, and public classes and members.

```
javadoc -private Test.java
```

The `-private` option produces documentation for all classes and members.

```
javadoc -help
```

The `-help` option, or the absence of arguments, causes the help information for the `javadoc` command to be printed.

## Classpath

The classpath is an argument set, used by several command-line tools, that tells the JVM where to look for user-defined classes and packages. Classpath conventions differ among operating systems.

On Microsoft Windows operating systems, directories within paths are delineated with backslashes, and the semicolon is used to separate the paths.

```
-classpath \home\XClasses\;dir\YClasses\;.
```

On POSIX-compliant operations systems (i.e., Solaris, Linux, and Mac OS X), directories within paths are delineated with forward slashes and the colon is used to separate the paths.

```
-classpath /home/XClasses/:dir/YClasses/:.
```

---

### TIP

The period represents the current working directory.

---

The `CLASSPATH` environmental variable can also be set to tell the Java compiler where to look for class files and packages.

```
rem Windows
set CLASSPATH=classpath1;classpath2
```

```
# Linux, Solaris, Mac OS X
setenv CLASSPATH classpath1:classpath2
```



---

# Basic Input and Output

Java provides several classes for basic input and output, a few of which are discussed in this chapter. The basic classes can be used to read and write to files, sockets, and the console. They also provide for working with files and directories and for serializing data. Java IO classes throw exceptions, including the `IOException`, which needs to be handled.

Java IO classes also support formatting data, compressing and decompressing streams, encrypting and decrypting, and communicating between threads using piped streams.

The new IO (NIO) APIs that were introduced in Java 1.4 provide additional IO capabilities, including buffering, file locking, regular expression matching, scalable networking, and buffer management.

## Standard Streams in, out, and err

Java uses three standard streams: `in`, `out`, and `err`.

`System.in` is the standard input stream that is used to get data from the user to a program.

```
byte teamName[] = new byte[200];
int size = System.in.read(teamName);
System.out.write(teamName,0,size);
```

`System.out` is the standard output stream that is used to output data from a program to the user.

```
System.out.print("Team complete");
```

`System.err` is the standard error stream that is used to output error data from a program to the user.

```
System.err.println("Not enough players");
```

Note that each of these methods can throw an `IOException`.

## Class Hierarchy for Basic Input and Output

Figure 11-1 shows a class hierarchy for commonly used readers, writers, and input and output streams. Note that I/O classes can be chained together to get multiple effects.

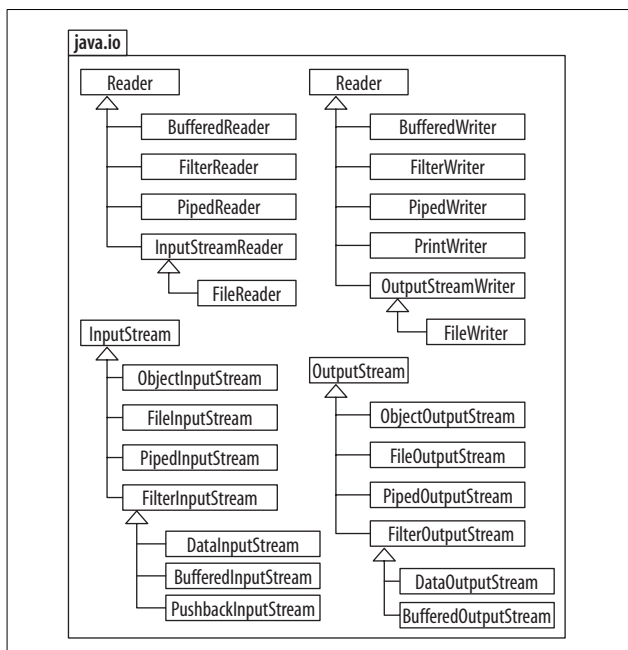


Figure 11-1. Common readers, writers, and input/output streams

The classes `Reader` and `Writer` are used for reading and writing character data (text). The classes `InputStream` and `OutputStream` are typically used for reading and writing binary data.

## File Reading and Writing

### Summary of Class Combinations for File Reading and Writing

Multiple classes are typically used for file reading and writing. The specific class combination to be used depends on the functionality needed.

Read character data from a file:

```
BufferedReader b = new BufferedReader(FileReader);
```

Read binary data from a file:

```
DataInputStream d = new DataInputStream  
(new BufferedInputStream(FileInputStream));
```

Write character data to a file:

```
PrintWriter p = new PrintWriter(FileWriter);
```

Write binary data to a file:

```
DataOutputStream y = new DataOutputStream  
(new BufferedOutputStream(FileOutputStream));
```

### Reading Character Data from a File

To read character data from a file, use a `BufferedReader`. A `FileReader` can also be used, but it will not be as efficient if there is a large amount of data. The call to `readLine()` reads a line of text from the file. When finished reading, call `close()` on the `BufferedReader`.

```
BufferedReader bReader = new BufferedReader  
    (new FileReader("Master.txt"));  
String lineContents;  
while ((lineContents = bReader.readLine())  
    != null) {...}  
bReader.close();
```

## Reading Binary Data from a File

To read binary data, use a `DataInputStream`. The call to `read()` reads the data from the input stream. Note that if only an array of bytes will be read, you should just use `InputStream`.

```
DataInputStream inStream = new DataInputStream  
    (new FileInputStream("Team.bin"));  
inStream.read();
```

If a large amount of data is going to be read, you should also use a `BufferedInputStream` to make reading the data more efficient.

```
DataInputStream inStream = new DataInputStream  
    (new BufferedInputStream(new FileInputStream(team)));
```

Binary data that has been read can be put back on the stream using methods in the `PushbackInputStream` class.

```
unread(int i);    // pushback a single byte  
unread(byte[] b); // pushback array of bytes
```

## Writing Character Data to a File

To write character data to a file, use a `PrintWriter`. Call the `close()` method of class `PrintWriter` when finished writing to the output stream.

```
String in = "A huge line of text";  
PrintWriter pWriter = new PrintWriter  
    (new FileWriter("CoachList.txt"));  
pWriter.println(in);  
pWriter.close();
```

Text can also be written to a file using a `FileWriter` if there is a small amount of text to be written. Note that if the file passed into the `FileWriter` does not exist, it will automatically be created.

```
FileWriter fWriter = new
    FileWriter("CoachList.txt");
fWriter.write("This is the coach list.");
fWriter.close();
```

## Writing Binary Data to a File

To write binary data, use a `DataOutputStream`. The call to `writeInt()` writes an array of integers to the output stream.

```
File positions = new File("Positions.bin");
Int[] pos = {0, 1, 2, 3, 4};
DataOutputStream outStream = new DataOutputStream
    (new FileOutputStream(positions));
for (int i = 0; i < pos.length; i++)
    outStream.writeInt(pos[i]);
```

If a large amount of data is going to be written, also use a `BufferedOutputStream`.

```
DataOutputStream outStream = new DataOutputStream
    (new BufferedOutputStream(positions));
```

## Socket Reading and Writing

### Summary of Class Combinations for Reading and Writing to Sockets

A combination of classes is often used for reading and writing to sockets.

Read character data from a socket:

```
BufferedReader b = new BufferedReader
    (new InputStreamReader(InputStream));
```

Read binary data from socket:

```
DataInputStream d = new DataInputStream  
    (new BufferedInputStream(FileInputStream));
```

Write character data to a socket:

```
PrintWriter p = new PrintWriter(FileWriter);
```

Write binary data to a socket:

```
DataOutputStream y = new DataOutputStream  
    (new BufferedOutputStream(FileOutputStream));
```

## Reading Character Data from a Socket

To read character data from a socket, connect to the socket and then use a `BufferedReader` to read the data.

```
Socket socket = new Socket("127.0.0.1", 64783);  
InputStreamReader reader = new InputStreamReader  
    (socket.getInputStream());  
BufferedReader bReader = new BufferedReader(reader);  
String msg = bReader.readLine();
```

## Reading Binary Data from a Socket

To read binary data use a `DataInputStream`. The call to `read()` reads the data from the input stream. Note that class `Socket` is located in `java.net`.

```
Socket socket = new Socket("127.0.0.1", 64783);  
DataInputStream inStream = new DataInputStream  
    (socket.getInputStream());  
inStream.read();
```

If a large amount of data is going to be read, also use a `BufferedInputStream` to make reading the data more efficient.

```
DataInputStream inStream = new DataInputStream  
    (new BufferedInputStream(socket.getInputStream()));
```

## Writing Character Data to a Socket

To write character data to a socket, make a connection to a socket and then create and use a `PrintWriter` to write the character data to the socket.

```
Socket socket = new Socket("127.0.0.1", 64783);
PrintWriter pWriter = new PrintWriter
    (socket.getOutputStream());
pWriter.println("Dad, we won the game.");
```

## Writing Binary Data to a Socket

To write binary data, use a `DataOutputStream`. The call to `write()` writes the data to an output stream.

```
byte positions[] = new byte[10];
Socket socket = new Socket("127.0.0.1", 64783);
DataOutputStream outStream = new DataOutputStream
    (socket.getOutputStream());
outStream.write(positions, 0, 10);
```

If a large amount of data is going to be written, then also use a `BufferedOutputStream`.

```
DataOutputStream outStream = new DataOutputStream
    (new BufferedOutputStream(socket.getOutputStream()));
```

## Serialization

To save a version of an object as an array of bytes (and everything that it is related to that would need to be restored), it must implement the interface `Serializable`. Note that data members declared `transient` will not be included in the serialized object. Use caution when using serialization and deserialization, as changes to a class—including moving the class in the class hierarchy, deleting a field, changing a field to `nontransient` or `static`, and using different JVMs—can all impact restoring an object.

Class `ObjectOutputStream` and `ObjectInputStream` can be used to serialize and deserialize objects.

## Serialize

To serialize an object, use an `ObjectOutputStream`:

```
ObjectOutputStream s = new  
    ObjectOutputStream(new FileOutputStream("p.ser"));
```

An example of serializing:

```
ObjectOutputStream oStream = new  
    ObjectOutputStream(new  
        FileOutputStream("PlayerDat.ser"));  
oStream.writeObject(player);  
oStream.close();
```

## Deserialize

To deserialize an object (i.e., turn it from a flattened version of an object to an object), use an `ObjectInputStream`, then read in the file and cast the data into the appropriate object.

```
ObjectInputStream d = new  
    ObjectInputStream(new FileInputStream("p.ser"));
```

An example of deserializing:

```
ObjectInputStream iStream = new  
    ObjectInputStream(new  
        FileInputStream("PlayerDat.ser"));  
Player p = (Player) iStream.readObject();
```

## Zippping and Unzipping Files

Java provides classes for creating compressed ZIP and GZIP files. ZIP archives multiple files, whereas GZIP archives a single file.

Use `ZipOutputStream` to zip files and `ZipInputStream` to unzip them.

```
ZipOutputStream zipOut = new ZipOutputStream(  
    new FileOutputStream("out.zip"));  
String[] fNames = new String[] {"f1", "f2"};  
for (int i = 0; i < fNames.length; i++) {
```



```

ZipEntry entry = new ZipEntry(fNames[i]);
FileInputStream fin =
    new FileInputStream(fNames[i]);
try {
    zipOut.putNextEntry(entry);
    for (int a = fin.read();
        a != -1; a = fin.read()) {
        zipOut.write(a);
    }
    fin.close();
    zipOut.close();
} catch (IOException ioe) {...}
}

```

To unzip a file, create a `ZipInputStream`, call its `getNextEntry()` method, and read the file into an `OutputStream`.

## Compressing and Uncompressing GZIP Files

To compress a GZIP file, create a new `GZIPOutputStream`, pass it the name of a file with the `.gzip` extension, and then transfer the data from the `GZIPOutputStream` to the `FileInputStream`.

To uncompress a GZIP file, create a `GZipInputStream`, create a new `FileOutputStream`, and read the data into it.

## File and Directory Handling

Java provides class `File` for working with files and directories, including accessing existing files, searching files, creating directories, listing the contents of a directory, and deleting files and directories.

### Commonly Used Methods in Class `File`

Table 11-1 contains a summary of the commonly used methods used in class `File`.

Table 11-1. Commonly used methods in class *File*

Method	Description
<code>delete()</code>	Deletes a file or directory
<code>exists()</code>	Sees whether a file exists
<code>list()</code>	Lists contents of a directory
<code>mkdir()</code>	Makes a directory
<code>renameTo(File f)</code>	Renames a file

## Accessing Existing Files

Existing files can be accessed using class *File*. Class *File* represents a file or a directory; however, it does not have access to file contents.

To create a *File* object using just a filename, use the following code:

```
File roster = new File("Roster.txt");
```

To create a *File* object using a directory and a filename, use the following code:

```
File rosterDir = new File("/usr/rosters");  
File roster = new File(rosterDir, "Roster.txt");
```

## Seeking in Files

To read and write data at a given position in a file, use the method `seek()` in class *RandomAccessFile*. A *RandomAccessFile* is often created as “read” or “read/write,” denoted by “r” and “rw” in the call to the *RandomAccessFile* constructor. Most random access files are fixed-record length binary files.

```
File team = new File("Team.txt");  
RandomAccessFile raf = new  
    RandomAccessFile(team, "rw");  
raf.seek(10);  
byte data = raf.readByte();
```

# Java Collections Framework

The Java Collections Framework is designed to support numerous collections in a hierarchical fashion. It is essentially made up of interfaces, implementations, and algorithms.

## The Collection Interface

*Collections* are objects that group multiple elements and store, retrieve, and manipulate those elements. The interface *Collection* is at the root of the collection hierarchy. Subinterfaces of *Collection* include *List*, *Queue*, and *Set*. Table 12-1 shows these interfaces and whether they are ordered or allow duplicates. The interface *Map* is also included in the table, as it is part of the framework.

Table 12-1. Common collections

Interface	Ordered	Dupes	Notes
List	Yes	Yes	Positional access. Element insertion control.
Map	Can be	No (Keys)	Unique keys. One value mapping max per key.
Queue	Yes	Yes	Holds elements. Usually FIFO.
Set	Can be	No	Uniqueness matters.

## Implementations

Table 12-2 lists commonly used collection type implementations, their interfaces, and whether or not they are ordered, sorted, and/or contain duplicates.

Table 12-2. Collection type implementations

Implementations	Interface	Ordered	Sorted	Dupes	Notes
ArrayList	List	Index	No	Yes	Fast resizable array
LinkedList	List	Index	No	Yes	Doubly linked list
Vector	List	Index	No	Yes	Legacy, synchronized
HashMap	Map	No	No	No	Key/value pairs
Hashtable	Map	No	No	No	Legacy, synchronized
LinkedHashMap	Map	Insertion, last access	No	No	Linked list/Hash table
TreeMap	Map	Balanced	Yes	No	Red-black tree map
PriorityQueue	Queue	Priority	Yes	Yes	Heap implementation
HashSet	Set	No	No	No	Fast access set
LinkedHashSet	Set	Insertion	No	No	Linked list/Hash set
TreeSet	Set	Sorted	Yes	No	Red-black tree set

# Collection Framework Methods

The subinterfaces of the Collection interface provide several valuable method signatures, as shown in Table 12-3.

Table 12-3. Valuable subinterface methods

Method	List params	Set params	Map params	Returns
add	[index,] element	element	n/a	boolean
contains	Object	Object	n/a	boolean
containsKey	n/a	n/a	key	boolean
containsValue	n/a	n/a	value	boolean
get	index	n/a	key	Object
indexOf	Object	n/a	n/a	int
iterator	none	none	n/a	Iterator
keySet	n/a	n/a	none	Set
put	n/a	n/a	key, value	void
remove	index or Object	Object	key	void
size	none	none	none	int

## Collections Class Algorithms

The class Collections, not to be confused with the interface Collection, contains several valuable static methods (i.e., algorithms). These methods can be invoked on a variety of collection types. Table 12-4 shows commonly used Collection class methods, their acceptable parameters, and return values.

Table 12-4. Collection class algorithms

Method	Parameters	Returns
addAll	Collection <? super T>, T...	boolean
max	Collection, [Comparator]	<T>

Table 12-4. Collection class algorithms (continued)

Method	Parameters	Returns
min	Collection, [Comparator]	<T>
disjoint	Collection, Collection	boolean
frequency	Collection, Object	int
asLifoQueue	Deque	Queue<T>
reverse	List	void
shuffle	List	void
copy	List destination, List source	void
rotate	List, int distance	void
swap	List, int position, int position	void
binarySearch	List, Object	int
fill	List, Object	void
sort	List, Object, [Comparator]	void
replaceAll	List, Object oldValue, Object newValue	boolean
newSetFromMap	Map	Set<E>

See Chapter 13 for more information on typed parameters (i.e., <T>).

## Algorithm Efficiencies

Algorithms and data structures are optimized for different reasons—some for random element access, or insertion/deletion, others for keeping things in order. Depending on your needs, you may have to switch algorithms and structures.

Common collection algorithms, their types, and average time efficiencies are shown in Table 12-5.

Table 12-5. Algorithm efficiencies

Algorithms	Concrete type	Time
add, remove (from end)	Java Collections Framework: Collections class algorithms; Collections class algorithmsArrayList	$O(1)$
get, set, add, remove (from index)	ArrayList	$O(n)$
contains, indexOf	ArrayList	$O(n)$
get, put, remove, containsKey	HashMap	$O(1)$
add, remove, contains	HashSet	$O(1)$
add, remove, contains	LinkedHashSet	$O(1)$
get, set, add, remove (from either end)	LinkedList	$O(1)$
get, set, add, remove (from index)	LinkedList	$O(n)$
contains, indexOf	LinkedList	$O(n)$
peek	PriorityQueue	$O(1)$
add, remove	PriorityQueue	$O(\log n)$
remove, get, put, containsKey	TreeMap	$O(\log n)$
add, remove, contains	TreeSet	$O(\log n)$

The Big O notation is used to indicate time efficiencies, where  $n$  is the number of elements; see Table 12-6.

Table 12-6. Big O notation

Notation	Description
$O(1)$	Time is constant, regardless of the number of elements.
$O(n)$	Time is linear to the number of elements.
$O(\log n)$	Time is logarithmic to the number of elements.
$O(n \log n)$	Time is linearithmic to the number of elements.

## Comparator Interface

Several methods in the class `Collections` assume that the objects in the collection are comparable. If there is no natural ordering, a helper class can implement the interface `Comparator` to specify how the objects are to be ordered.

```
public class Crayon {
    private String color;
    public void setColor(String s)
    {color = s;}
    public String getColor()
    {return color;}
    public String toString()
    {return color;}
}
import java.util.Comparator;
public class CrayonSort implements Comparator<Crayon> {
    public int compare (Crayon one, Crayon two) {
        return one.getColor().compareTo(two.getColor());
    }
}
import java.util.ArrayList;
import java.util.Collections;
public class ComparatorTest {
    public static void main(String[] args) {
        Crayon crayon1 = new Crayon();
        Crayon crayon2 = new Crayon();
        crayon1.setColor("green");
        crayon2.setColor("blue");
        CrayonSort cSort = new CrayonSort();
        ArrayList <Crayon> cList = new
            ArrayList<Crayon>();
```



```
        cList.add(crayon1);  
        cList.add(crayon2);  
        Collections.sort(cList, cSort);  
        System.out.println("\nSorted:" + cList );  
    }  
}
```

\$ Sorted: blue, green

# Generics Framework

The Generics Framework, introduced in Java SE 5.0, provides support that allows for the parameterization of types.

The benefit of generics is the significant reduction in the amount of code that needs to be written when developing a library. Another benefit is the elimination of casting in many situations.

The classes of the Collections Framework, the class `Class`, and other Java libraries have been updated to include generics.

See *Java Generics and Collections*, by Maurice Naftalin and Philip Wadler (O'Reilly), for comprehensive coverage of the Generics Framework.

## Generic Classes and Interfaces

Generic classes and interfaces parameterize types by adding a type parameter within angular brackets (i.e., `<T>`). The type is instantiated at the place of the brackets.

Once instantiated, the generic parameter type is applied throughout the class for methods that have the same type specified. In the following example, the `add()` and `get()` methods use the parameterized type as their parameter argument and return types, respectively:

```
public interface List <E> extends Collection<E>{
    public boolean add(E e);
    E get(int index);
}
```

When a variable of a parameterized type is declared, a concrete type (i.e., `<Integer>`) is specified to be used in place of the type parameter (i.e., `<E>`).

Subsequently, the need to cast when retrieving elements from things such as collections would be eliminated.

```
// Collection List/ArrayList with Generics
List<Integer> ilist = new ArrayList<Integer>();
ilist.add(1000);
// Explicit cast not necessary
Integer i = ilist.get(0);

// Collection List/ArrayList without Generics
List ilist = new ArrayList();
ilist.add(1000);
// Explicit cast is necessary
Integer i = (Integer)ilist.get(0);
```

## Constructors with Generics

Constructors of generic classes do not require generic type parameters as arguments.

```
// Generic Class
public class SpecialList <E> {
    // Constructor without arguments
    public SpecialList() {...}
}
```

A generic object of this class could be instantiated as such:

```
SpecialList<String> b = new
    SpecialList<String>("Joan Marie");
```

# Substitution Principle

As specified in *Java Generics and Collections*, the Substitution Principle allows subtypes to be used where their supertype is parameterized:

- A variable of a given type may be assigned a value of any subtype of that type.
- A method with a parameter of a given type may be invoked with an argument of any subtype of that type.

Byte, Short, Integer, Long, Float, Double, BigInteger, and BigDecimal are all subtypes of class Number.

```
// List declared with generic Number type
List<Number> nList = new ArrayList<Number>();
nList.add((byte)27);      // Byte (Autoboxing)
nList.add((short)30000);  // Short
nList.add(1234567890);    // Integer
nList.add((long)2e62);    // Long
nList.add((float)3.4);    // Float
nList.add(4000.8);        // Double
nList.add(new BigInteger("9223372036854775810"));
nList.add(new BigDecimal("2.1e309"));

// Print Number's subtype values from the list
for( Number n : nList )
    System.out.println(n);
```

## Type Parameters, Wildcards, and Bounds

The simplest declaration of a generic class is with an unbounded type parameter, such as T.

```
public class GenericClass <T> {...}
```

Bounds (constraints) and wildcards can be applied to the type parameter(s) as shown in Table 13-1.

Table 13-1. Type parameters, bounds, and wildcards

Type parameters	Description
<code>&lt;T&gt;</code>	Unbounded type; same as <code>&lt;T extends Object&gt;</code>
<code>&lt;T,P&gt;</code>	Unbounded types; <code>&lt;T extends Object&gt;</code> and <code>&lt;P extends Object&gt;</code>
<code>&lt;T extends P&gt;</code>	Upper bounded type; a specific type <code>T</code> that is a subtype of type <code>P</code>
<code>&lt;T extends P &amp; S&gt;</code>	Upper bounded type; a specific type <code>T</code> that is a subtype of type <code>P</code> and that implements type <code>S</code>
<code>&lt;T super P&gt;</code>	Lower bounded type; a specific type <code>T</code> that is a supertype of type <code>P</code>
<code>&lt;?&gt;</code>	Unbounded wildcard; any object type, same as <code>&lt;? extends Object&gt;</code>
<code>&lt;? extends P&gt;</code>	Bounded wildcard; some unknown type that is a subtype of type <code>P</code>
<code>&lt;? extends P &amp; S&gt;</code>	Bounded wildcard; some unknown type that is a subtype of type <code>P</code> and that implements type <code>S</code>
<code>&lt;? super P&gt;</code>	Lower bounded wildcard; some unknown type that is a supertype of type <code>P</code>

## The Get and Put Principle

As also specified in *Java Generics and Collections*, the Get and Put Principle details the best usage of `extends` and `super` wildcards:

- Use an `extends` wildcard when you get only values out of a structure.
- Use a `super` wildcard when you put only values into a structure.
- Do not use a wildcard when you both get and put values into a structure.

The `extends` wildcard has been used in the method declaration of the `addAll()` method of the `List` collection, as this method *gets* values from a collection.

```

public interface List <E> extends Collection<E>{
    boolean addAll(Collection <? extends E> c)
}

List<Integer> srcList = new ArrayList<Integer>();
srcList.add(0);
srcList.add(1);
srcList.add(2);
// Using addAll() method with extends wildcard
List<Integer> destList = new ArrayList<Integer>();
destList.addAll(srcList);

```

The super wildcard has been used in the method declaration of the `addAll()` method of the class `Collections`, as the method *puts* values into a collection.

```

public class Collections {
    public static <T> boolean addAll
        (Collection<? super T> c, T... elements){...}
}

// Using addAll() method with super wildcard
List<Number> sList = new ArrayList<Number>();
sList.add(0);
Collections.addAll(sList, (byte)1, (short)2);

```

## Generic Specialization

A generic type can be extended in a variety of ways.

Given the parameterized abstract class `AbstractSet <E>`:

```

class SpecialSet<E> extends AbstractSet<E> {...}

```

Class `SpecialSet` extends class `AbstractSet` with the parameter type `E`. This is the typical way to declare generalizations with generics.

```

class SpecialSet extends AbstractSet<String> {...}

```

Class `SpecialSet` extends `AbstractSet` with the parameterized type `String`.

```

class SpecialSet<E,P> extends AbstractSet<E> {...}

```

Class `SpecialSet` extends class `AbstractSet` with the parameter type `E`. Type `P` is unique to the class `SpecialSet`.

```
class SpecialSet<E> extends AbstractSet {...}
```

Class `SpecialSet` is a generic class that would parameterize the generic type of the class `AbstractSet`. Because the raw type of the class `AbstractSet` has been extended (as opposed to generic), the parameterization cannot occur. Compiler warnings will be generated upon method invocation attempts.

```
class SpecialSet extends AbstractSet {...}
```

Class `SpecialSet` extends the raw type of the `AbstractSet` class. Because the generic version of the class `AbstractSet` was expected, compiler warnings will be generated upon method invocation attempts.

## Generic Methods in Raw Types

Static methods, non-static methods, and constructors that are part of non-generic or raw type classes can be declared as generic. A raw type class is the non-generic counterpart class to a generic class.

For generic methods of non-generic classes, the method's return type must be preceded with the generic type parameter (i.e., `<E>`). However, there is no functional relationship between the type parameter and the return type, unless the return type is of the generic type.

```
public class SpecialQueue {  
    public static <E> boolean add(E e) {...}  
    public static <E> E peek() {...}  
}
```

When calling the generic method, the generic type parameter is placed before the method name. Here, `<String>` is used to specify the generic type argument:

```
SpecialQueue.<String>add("White Carnation");
```

# Concurrency

Threads in Java allow the use of multiple processors or multiple cores in one processor more efficiently. On a single processor, threads provide for concurrent operations such as overlapping I/O with processing.

Java supports multithreaded programming features with class `Thread` and interface `Runnable`.

## Creating Threads

Threads can be created two ways, either by extending `java.lang.Thread` or by implementing `java.lang.Runnable`.

### Extend the Class Thread

Extending class `Thread` and overriding the `run()` method can create a threadable class. This is an easy way to start a thread.

```
class Comet extends Thread {  
    public void run() {  
        System.out.println("Orbiting");  
        orbit();  
    }  
}
```

```
Comet halley = new Comet();
```

Remember that only one superclass can be extended, so a class that extends `Thread` cannot extend any other superclass.



## Implementing the Interface Runnable

Implementing the Runnable interface and defining its run() method can also create a threadable class. Creating a new Thread object and passing it an instance of the runnable class creates the thread.

```
class Asteroid implements Runnable {  
    public void run() {  
        System.out.println("Orbiting");  
        orbit();  
    }  
}
```

```
Asteroid maja = new Asteroid();  
Thread majaThread = new Thread(maja);
```

A single runnable instance can be passed to multiple thread objects. Each thread performs the same task.

```
Asteroid pallas = new Asteroid();  
Thread pallasThread1 = new Thread(pallas);  
Thread pallasThread2 = new Thread(pallas);
```

## Thread States

Enumeration Thread.state provides six thread states, as depicted in Table 14-1.

Table 14-1. Thread states

Thread state	Description
NEW	A thread that is created but not started
RUNNABLE	A thread that is available to run
BLOCKED	An “alive” thread that is blocked waiting for a monitor lock
WAITING	An “alive” thread that calls its own wait() or join() while waiting on another thread
TIMED_WAITING	An “alive” thread that is waiting on another thread for a specified period of time; sleeping
TERMINATED	A thread that has completed

# Thread Priorities

The valid range of priority values is typically 1 through 10, with a default value of 5. Thread priorities are one of the least portable aspects of Java, as their range and default values can vary among Java Virtual Machines (JVMs). Using `MIN_PRIORITY`, `NORM_PRIORITY`, and `MAX_PRIORITY` can retrieve priorities.

```
System.out.print(Thread.MAX_PRIORITY);
```

Lower priority threads yield to higher priority threads.

## Common Methods

Table 14-2 contains common methods used for threads from class `Thread`.

*Table 14-2. Thread methods*

Method	Description
<code>getPriority()</code>	Returns the thread's priority
<code>getState()</code>	Returns the thread's state
<code>interrupt()</code>	Interrupts the thread
<code>isAlive()</code>	Returns the thread's alive status
<code>isInterrupted()</code>	Checks for interruption of the thread
<code>join()</code>	Causes the thread to wait for another thread to complete
<code>setPriority(int)</code>	Sets the thread's priority
<code>start()</code>	Places the thread into a runnable state

Table 14-3 contains common methods used for threads from class `Object`.

Table 14-3. Methods from class *Object* used for threads

Method	Description
<code>notify()</code>	Tells a thread to wake up and run
<code>notifyAll()</code>	Tells all threads that are waiting on a thread or resource to wake up, and then the scheduler will select one of the threads to run
<code>wait()</code>	Pauses a thread in a wait state until another thread calls <code>notify()</code> or <code>notifyAll()</code>

---

**TIP**

Calls to `wait()` and `notify()` throw an `InterruptedException` if called on a thread that has its interrupted flag set to true.

---

Table 14-4 contains common static methods used for threads from class `Thread` (i.e., `Thread.sleep(1000)`).

Table 14-4. Static thread methods

Method	Description
<code>activeCount()</code>	Returns number of threads in the current thread's group
<code>currentThread()</code>	Returns reference to the currently running thread
<code>interrupted()</code>	Checks for interruption of the currently running thread
<code>sleep(long)</code>	Blocks the currently running thread for <i>parameter</i> number of milliseconds
<code>yield()</code>	Pauses the current thread to allow other threads to run

## Synchronization

The `synchronized` keyword provides a means to apply locks to blocks and methods. Locks should be applied to blocks and methods that access critically shared resources. These monitor locks begin and end with open and close braces. Following are some examples of synchronized blocks and methods.

Object instance `t` with a synchronized lock:

```
synchronized (t) {  
    // Block body  
}
```

Object instance `this` with a synchronized lock:

```
synchronized (this) {  
    // Block body  
}
```

Method `raise()` with a synchronized lock:

```
// Equivalent code segment 1  
synchronized void raise() {  
    // Method Body  
}  
  
// Equivalent code segment 2  
void raise() {  
    synchronized (this) {  
        // Method body  
    }  
}
```

Static method `calibrate()` with a synchronized lock:

```
class Telescope {  
    synchronized static void calibrate() {  
        // Method body  
    }  
}
```

Synchronized methods generally run up to 10 times slower than the same non-synchronized methods. Synchronized methods also place locks for longer periods of time than synchronized blocks do.

---

#### TIP

A lock is also known as a *monitor* or *mutex* (mutually exclusive lock).

---

The concurrent utilities provide additional means to apply and manage concurrency.

# Concurrent Utilities

Java 2 SE 5.0 introduced utility classes for concurrent programming. These utilities reside in the package `java.util.concurrent`, and they include executors, concurrent collections, synchronizers, and timing utilities.

## Executors

The class `ThreadPoolExecutor` as well as its subclass `ScheduledThreadPoolExecutor` implement the `Executor` interface to provide configurable, flexible thread pools. Thread pools allow server components to take advantage of the reusability of threads.

The class `Executors` provides factory (object creator) methods and utility methods. Of them, the following are supplied to create thread pools:

`newCachedThreadPool()`

Creates an unbounded thread pool that automatically reuses threads

`newFixedThreadPool(int nThreads)`

Creates a fixed-size thread pool that automatically reuses threads off a shared unbounded queue

`newScheduledThreadPool(int corePoolSize)`

Creates a thread pool that can have commands scheduled to run periodically or on a specified delay

`newSingleThreadExecutor()`

Creates a single-threaded executor that operates off an unbounded queue

`newSingleThreadScheduledExecutor()`

Creates a single-threaded executor that can have commands scheduled to run periodically or by a specified delay

The following example demonstrates usage of the `newFixedThreadPool` factory method:

```
import java.util.concurrent.Executors;
import java.util.concurrent.ExecutorService;

public class ThreadPoolExample {
    public static void main() {
        // Create tasks
        // (from 'class RTask implements Runnable')
        RTask t1 = new RTask("thread1");
        RTask t2 = new RTask("thread2");

        // Create thread manager
        ExecutorService threadExecutor =
            Executors.newFixedThreadPool(2);

        // Make threads runnable
        threadExecutor.execute(t1);
        threadExecutor.execute(t2);

        // Shutdown threads
        threadExecutor.shutdown();
    }
}
```

## Concurrent Collections

Even though collection types can be synchronized, it is best to use concurrent thread-safe classes that perform equivalent functionality, as represented in Table 14-5.

*Table 14-5. Collections and their thread-safe equivalents*

Collection class	Thread-safe equivalent
HashMap	ConcurrentHashMap
TreeMap	ConcurrentSkipListMap
TreeSet	ConcurrentSkipListSet
Map subtypes	ConcurrentMap

Table 14-5. Collections and their thread-safe equivalents (continued)

Collection class	Thread-safe equivalent
List subtypes	CopyOnWriteArrayList
Set subtypes	CopyOnWriteArraySet
PriorityQueue	PriorityBlockingQueue
Deque	BlockingDeque
Queue	BlockingQueue

## Synchronizers

Synchronizers are special-purpose synchronization tools. Available synchronizers are listed in Table 14-6.

Table 14-6. Synchronizers

Synchronizer	Description
Semaphore	Maintains a set of permits
CountDownLatch	Implements waits against sets of operations being performed
CyclicBarrier	Implements waits against common barrier points
Exchanger	Implements a synchronization point where threads can exchange elements

## Timing Utility

Enumeration `TimeUnit` is commonly used to inform time-based methods how a given timing parameter should be evaluated, as shown in the following example. Available `TimeUnit` enum constants are listed in Table 14-7.

```
// tyrLock (long time, TimeUnit unit)
if (lock.tryLock(15L, TimeUnit.DAYS)) {...} //15 days
```

Table 14-7. TimeUnit constants

Constants	Unit def.	Unit (sec)	Abbreviation
NANOSECONDS	1/1000 $\mu$ s	.000000001	ns
MICROSECONDS	1/1000 ms	.000001	$\mu$ s
MILLISECONDS	1/1000 sec	.001	ms
SECONDS	sec	1	sec
MINUTES	60 sec	60	min
HOURS	60 min	3600	hr
DAYS	24 hr	86400	d



---

# Memory Management

Java has automatic memory management, which is also known as garbage collection (GC). GC's principal tasks are allocating memory, maintaining referenced objects in memory, and recovering memory from objects that no longer have references to them.

## Garbage Collectors

Since the J2SE 5.0 release, the Java HotSpot Virtual Machine performs self-tuning. This process includes the attempted best-fit GC and related settings at startup, based on platform information, as well as ongoing GC tuning.

Although the initial settings and runtime tuning for GC are generally successful, there are times when you may wish to change or tune your GC based on the following goals:

### *Maximum pause time goal*

The maximum pause time goal is the desired time that the GC pauses the application to recover memory.

### *Throughput goal*

The throughput goal is the desired application time, or the time spent outside of GC.

The following is a list of garbage collectors, their main focus, and situations in which they should be used.

## Serial Collector

The serial collector is performed via a single thread on a single CPU. When this GC thread is run, the execution of the application will pause until the collection is complete.

This collection is best used when your application has a small data set up to approximately 100 MB and does not have a requirement for low pause times.

## Parallel Collector

The parallel collector, also known as the throughput collector, can be performed with multiple threads across several CPUs. Using these multiple threads significantly speeds up GC.

This collector is best used when there are no pause time constraints and application performance is the most important aspect of your program.

## Parallel Compacting Collector

The parallel compacting collector is similar to the parallel collector except for refined algorithms that reduce collection pause times.

This collector is best used for applications that do have pause time constraints.

---

### TIP

The parallel compacting collector is available beginning with J2SE 5.0 update 6.

---

## Concurrent Mark-Sweep (CMS) Collector

The CMS, also known as the low-latency collector, implements algorithms to handle large collections that may warrant long pauses.

This collector is best used when response times take precedence over throughput times and GC pauses.

---

### TIP

Refer to the upcoming “Command-Line Options” section for manually selecting the GC.

---

## Memory Management Tools

Although tuning your GC may prove to be successful, it is important to note that the GCs do not provide guarantees, only goals; any improvement gained on one platform may be undone on another. It is best to find the source of the problem with memory management tools, including profilers.

Table 15-1 lists such tools. All are command-line applications except HPROF (Heap/CPU Profiling Tool). HPROF is dynamically loaded from a command-line option. The following example returns a complete list of options that can be passed to HPROF:

```
java -agentlib:hprof=help
```

*Table 15-1. JDK memory management tools*

Resource	Description
jconsole	Java Management Extensions (JMX)-compliant monitoring tool
jdb	Java debugger tool
jinfo	Configuration information tool
jmap	Memory map tool
jstack	Stack trace tool
jstat	JVM statistics monitoring tool
hat	Heap Analysis Tool ( <a href="https://hat.dev.java.net/">https://hat.dev.java.net/</a> )
HPROF Profiler	CPU usage, heap statistics, and monitor contentions profiler

---

## TIP

To determine which GC is being used, you can view the information in the JConsole application.

---

## Command-Line Options

The following GC related command-line options can be passed into the Java interpreter to interface with the functionality of the Java HotSpot Virtual Machine. For a more complete list of options, visit Java HotSpot VM Options at <http://java.sun.com/javase/technologies/hotspot/vmoptions.jsp>.

`-XX:+PrintGC` or `-verbose:gc`

Prints out general information about the heap and garbage collection at each collection.

`-XX:+PrintGCDetails`

Prints out detailed information about the heap and garbage collection during each collection.

`-XX:+PrintGCTimeStamps`

Adds timestamps to the output from `PrintGC` or `PrintGCDetails`.

`-XX:+UseSerialGC`

Enables the serial collector.

`-XX:+UseParallelGC`

Enables the parallel collector.

`-XX:+UseParallelOldGC`

Enables the parallel compacting collector. Note that `Old` refers to the fact that new algorithms are used for “old” generation GC.

`-XX:+UseConcMarkSweepGC`

Enables the CMS collector.

- XX:+DisableExplicitGC  
Disables the explicit GC (`System.gc()`) methods.
- XX:ParallelGCThreads=[*threads*]  
Defines the number of GC threads. The default correlates to the number of CPUs. This option applies to the CMS and parallel collectors.
- XX:MaxGCPauseMillis=[*milliseconds*]  
Provides a hint to the GC for the desired maximum pause time goal in milliseconds. This option applies to the parallel collectors.
- XX:+GCTimeRatio=[*value*]  
Provides a hint to the GC for the desired ratio of GC time to application time ( $1 / (1 + [value])$ ) for the desired throughput goal. The default value is 99, established a goal in GC at 1 percent of the time (1/100). This option applies to the parallel collectors.
- XX:+CMSIncrementalMode  
Enables Incremental Mode for the CMS collector only. Used for machines with one or two processors.
- XX:+CMSIncrementalPacing  
Enables automatic packing for the CMS collector only.
- XX:MinHeapFreeRatio=[*percent*]  
Sets the minimum target percent for the proportion of free space to total heap size. The default percent is 40.
- XX:MaxHeapFreeRatio=[*percent*]  
Sets the maximum target percent for the proportion of free space to total heap size. The default percent is 70.
- Xms[*bytes*]  
Overrides the minimum heap size in bytes. Default:  $1/64^{\text{th}}$  of the system's physical memory up to 1 GB. Initial heap size is 4 MB for machines that are not server-class.

`-Xmx[bytes]`

Overrides the maximum heap size in bytes. Default: Smaller of 1/4<sup>th</sup> physical memory or 1 GB. Maximum heap size is 64 MB for machines that are not server-class.

`-XX:OnError=[command_line_tool [options]]`

Used to specify user-supplied scripts or commands when a fatal error occurs.

---

#### TIP

Byte values include [k|K] for kilobytes, [m|M] for megabytes, and [g|G] for gigabytes.

---

Note that `-XX` options are not guaranteed to be stable. They are not part of the Java Language Specification (JLS) and are unlikely to be available in exact form and function by other third-party JVMs, if at all.

## Resizing the JVM Heap

The heap is an area in memory that stores all objects created by an executing Java program. You should resize the heap only if it needs to be sized larger than the default heap size. If you are having performance problems or seeing the error message `java.lang.OutOfMemoryError`, you may be running out of heap space.

## Interfacing with the GC

### Explicit Garbage Collection

The garbage collector can be explicitly invoked with `System.gc()` or `Runtime.getRuntime().gc()`. However, explicit invocation of the GC should generally be avoided because it could force full collections (when a minor collection may suffice), thereby unnecessarily increasing the pause times.

## Finalization

Every object has a `finalize()` method inherited from class `Object`. The garbage collector, prior to destroying the object, can invoke this method, but this invocation is not guaranteed. The default implementation of the `finalize()` method does nothing and although it is not recommended, the method can be overridden.

```
public class TempClass extends SuperClass {
    ...
    //Performed when Garbage Collection occurs
    protected void finalize() throws Throwable {
        try {
            /* Desired functionality goes here */
        } finally {
            // Optionally, you can call the
            // finalize method of the superclass
            super.finalize(); // From SuperClass
        }
    }
}
```

The following example destroys an object:

```
public class MainClass {
    public static void main(String[] args) {
        TempClass t = new TempClass();
        // Object has references removed
        t = null;
        // GC made available
        System.gc();
    }
}
```

# The Java Scripting API

The Java Scripting API, introduced in Java SE 6, provides support that allows Java applications and scripting languages to interact through a standard interface. This API is detailed in the JSR 223, “Scripting for the Java Platform” and is contained in the `javax.script` package.

## Scripting Languages

Several scripting languages have script engine implementations available that conform to JSR 223. See the “Scripting Languages” section in Chapter 17 for a subset of these supported languages.

## Script Engine Implementations

The interface `ScriptEngine` provides the fundamental methods for the API. The class `ScriptEngineManager` works in conjunction with this interface and provides a means to establish the desired scripting engines to be utilized.

## Embedding Scripts into Java

The scripting API includes the ability to embed scripts and/or scripting components into Java applications.

The following example shows two ways to embed scripting components into a Java application: (1) the scripting engine’s



eval method reads in the scripting language syntax directly, and (2) the scripting engine's eval method reads the syntax in from a file.

```
import javax.script.ScriptEngine;
import javax.script.ScriptEngineManager;
import java.io.FileReader;

public class HelloWorld {
    public static void main(String[] args) throws
        Exception {
        ScriptEngineManager m
            = new ScriptEngineManager();
        // Sets up Rhino JavaScript Engine.
        ScriptEngine e = m.getEngineByExtension("js");
        // Rhino JavaScript syntax.
        e.eval("print ('Hello, ')");
        // world.js contents: print('World!\n');
        e.eval (new FileReader("c:\\world.js"));
    }
}

$ Hello, World!
```

## Invoking Methods of Scripting Languages

Scripting engines that implement the optional Invocable interface provide a means to invoke (execute) scripting language methods that the engine has already evaluated (interpreted).

The following Java-based invokeFunction() method calls the evaluated Rhino scripting language function post().

```
ScriptEngineManager m = new ScriptEngineManager();
ScriptEngine e = m.getEngineByExtension("js");
e.eval("function post(message)
    {" + "print(message)" + "}");
Invocable i = (Invocable) e;
i.invokeFunction("post", "Greetings from Earth.");

$ Greetings from Earth.
```

## Accessing and Controlling Java Resources from Scripts

The Java Scripting API provides the ability to access and control Java resources (objects) from within evaluated scripting language code. The script engines utilizing key-value bindings is one way this is accomplished.

Here, the evaluated Rhino JavaScript makes use of the `nameKey/world` binding and reads in (and prints out) a Java data member from the evaluated scripting language:

```
ScriptEngineManager m = new ScriptEngineManager();
ScriptEngine e = m.getEngineByExtension("js");
String world = "Gliese 581 c";
e.put("nameKey", world);
e.eval("var w = nameKey" );
e.eval("println(w)");

$ Gliese 581 c
```

By utilizing the key-value bindings, you can make modifications to the Java data members from the evaluated scripting language.

```
ScriptEngineManager m = new ScriptEngineManager();
ScriptEngine e = m.getEngineByExtension("js");
List<String> worldList = new ArrayList<String>();
worldList.add ("Earth");
e.put("nameKey", worldList);
e.eval("var w = nameKey.toArray();");
e.eval(" nameKey.add (\\"Gliese 581 c\\")");
System.out.println(worldList);

$ [Earth, Gliese 581 c]
```

## Setting Up Scripting Languages and Engines

Before using the Scripting API, you must obtain and set up the desired script engine implementations. You can find one scripting project at <https://scripting.dev.java.net/>. It contains

several script engine implementations. Additional scripting languages, such as JavaFX Script, may have support outside of this project.

## Scripting Language Setup

Following are the steps for setting up the scripting language:

1. Set up the scripting language on your system. The “Scripting Languages” section in Chapter 17 contains a list of download sites for some supported scripting languages. Follow the associated installation instructions.
2. Invoke the script interpreters to ensure that they function properly. There is normally a command-line interpreter, as well as one with a Windows-based interpreter.

For JRuby, the following commands should be validated to ensure proper setup:

```
jruby [file.rb] //Command line file  
jruby.bat //Windows batch file
```

## Scripting Engine Setup

Following are the steps for setting up the scripting engine:

1. Download the scripting engines file from the “Documents & files” section of the scripting project at <https://scripting.dev.java.net/>, or from an external project.
2. Place the downloaded file into a directory and extract it. Note that the optional software (*opt*) directory is commonly used for the installation directory.

---

### TIP

To install and configure certain scripting languages on a Windows machine, you need a minimal POSIX-compliant shell, such as MSYS or Cygwin.

---

## Scripting Engine Validation

Validate the scripting engine setup by compiling the scripting language libraries and the scripting engine libraries.

```
javac -cp c:\opt\jruby\lib\jruby.jar; c:\opt\jruby\build\jruby-engine.jar;. Engines
```

You can perform additional testing with short programs. The following application produces a list of the available scripting engine names, language version numbers, and extensions:

```
import java.util.List;
import javax.script.ScriptEngineManager;
import javax.script.ScriptEngineFactory;

public class EngineReport {
    public static void main(String[] args) {
        ScriptEngineManager m =
            new ScriptEngineManager();
        List<ScriptEngineFactory> s =
            m.getEngineFactories();
        // Iterate through list of factories
        for (ScriptEngineFactory f: s) {
            // Release name and version
            String en = f.getEngineName();
            String ev = f.getEngineVersion();
            System.out.println("Engine: " + en + " " + ev);
            // Language name and version
            String ln = f.getLanguageName();
            String lv = f.getLanguageVersion();
            System.out.println("Language: " + ln + " " + lv);
            // Extensions
            List<String> l = f.getExtensions();
            for (String x: l) {
                System.out.println("Extensions: " + x);
            }
        }
    }
}
```

Engine: Mozilla Rhino 1.6 release 2  
Language: ECMAScript 1.6  
Extensions: js

Engine: jruby 1.0  
Language: ruby 1.8.4  
Extensions: rb

---

### **TIP**

Rhino JavaScript is the only scripting API packaged with Java SE 6, and it is available by default.

---

# Third-Party Tools

A wide variety of open source and commercial third-party tools and technologies are available to assist you with developing Java-based applications.

The resources that are listed here are both effective and popular. Remember to check the licensing agreements of the open source tools you are using for commercial environment restrictions.

## Development Tools

*Ant:* <http://ant.apache.org/>

Ant is an XML-based tool for building and deploying Java applications. It's similar to the well-known Unix *make* utility.

*Cactus:* <http://jakarta.apache.org/cactus/>

Cactus is a unit test framework designed to work with server-side code such as servlets and EJBs.

*Continuum:* <http://continuum.codehaus.org/>

Continuum is a continuous integration server that builds and tests code on a frequent, regular basis.

*CruiseControl:* <http://cruisecontrol.sourceforge.net/>

CruiseControl is a framework for a continuous build process. It includes a web interface to view build details and plug-ins for Ant, source control tools, and email notifications.

*FindBugs:* <http://findbugs.sourceforge.net/>

FindBugs is a program that looks for bugs in Java code.

*Jalopy:* <http://jalopy.sourceforge.net/>

Jalopy is a source code formatter for Java that has plug-ins for Eclipse, jEdit, NetBeans, and other tools.

*JavaServer Faces:* <http://java.sun.com/javaee/jaserverfaces/>

JavaServer Faces technology simplifies building user interfaces for JavaServer applications.

*JDocs:* <http://www.jdocs.com/>

JDocs is a documentation repository that provides web access to Java API documentation of open source libraries.

*jEdit:* <http://www.jedit.org/>

jEdit is a text editor designed for programmers. It has several plug-ins available through a plug-in manager.

*JIRA:* <http://www.atlassian.com/software/jira/>

JIRA is a commercial bug tracking, issue tracking, and project management application.

*JMeter:* <http://jakarta.apache.org/jmeter/>

JMeter is an application that measures system behavior, such as functional behavior and performance.

*JUnit:* <http://junit.org/>

JUnit is a framework for unit testing that provides a means to write and run repeatable tests.

*Maven:* <http://maven.apache.org/>

Maven is a software project management tool for enterprise Java projects. Maven can manage builds, reports, and documentation.

*Mercurial:* <http://www.selenic.com/mercurial/>

Mercurial is a distributed-based version control system that keeps track of work and changes for a set of files.

*PMD: <http://pmd.sourceforge.net/>*

PMD scans Java source code for bugs, suboptimal code, and overly complicated expressions.

*Subversion: <http://subversion.tigris.org/>*

Subversion is a centralized-based version control system that keeps track of work and changes for a set of files.

## Libraries

*Hibernate: <http://www.hibernate.org/>*

Hibernate is an object/relational persistence and query service. It allows for the development of persistent classes.

*Jakarta Commons: <http://jakarta.apache.org/commons/>*

Jakarta Commons is a repository of reusable Java components.

*JFreeChart: <http://www.jfree.org/jfreechart/>*

JFreeChart is a Java class library for generating charts.

*JGoodies: <https://jgoodies.dev.java.net/>*

JGoodies provides components and solutions to solve common user interface tasks.

*RXTX: <http://rxtx.org/>*

RXTX provides native serial and parallel communications for Java.

*Spring Framework: <http://www.springframework.org/>*

The Spring Framework is a layered Java/J2EE application framework.



## IDEs

*BlueJ: <http://www.bluej.org/>*

BlueJ is an IDE designed for introductory teaching.

*Eclipse IDE: <http://www.eclipse.org/>*

Eclipse IDE is an IDE for creating Java applets and applications.

*IntelliJ® IDEA: <http://www.jetbrains.com/>*

IntelliJ® IDEA is a commercial IDE for creating Java applets and applications.

*JBuilder: <http://www.borland.com/>*

JBuilder is a commercial IDE for creating Java applets and applications.

*JCreator: <http://www.jcreator.com/>*

JCreator is a commercial IDE for creating Java applets and applications.

*NetBeans: <http://www.netbeans.org>*

NetBeans is an IDE for creating Java applets and applications. It is the foundation for Sun's Java Studio products.

## Web Application Platforms

*ActiveMQ: <http://activemq.apache.org/>*

ActiveMQ is a message broker that supports many cross-language clients and protocols.

*Apache HTTP Server: <http://httpd.apache.org/>*

The Apache HTTP Server is a web server.

*BEA WebLogic Server: <http://www.bea.com/>*

BEA WebLogic Server is a commercial J2EE server used for developing, integrating, and deploying applications, portals, and web services.

*Geronimo:* <http://geronimo.apache.org/>

Geronimo is a J2EE server used for developing, integrating, and deploying applications, portals, and web services.

*IBM WebSphere:* [www.ibm.com/websphere](http://www.ibm.com/websphere)

IBM WebSphere is a commercial J2EE server used for developing, integrating, and deploying applications, portals, and web services.

*Jackrabbit:* <http://jackrabbit.apache.org/>

Jackrabbit is a content repository system that provides hierarchical content storage and control.

*JBoss Application Server:* <http://labs.jboss.com/portal/>

JBoss Application Server is an open source J2EE server used for developing, integrating, and deploying applications, portals, and web services.

*Lenya:* <http://lenya.apache.org/>

Lenya is a Java/XML content management system.

*Oracle Application Server:* <http://www.oracle.com/appserver/>

Oracle Application Server is a commercial J2EE server used for developing, integrating, and deploying applications, portals, and web services.

*ServiceMix:* <http://servicemix.codehaus.org/>

ServiceMix is an enterprise service bus that combines the functionality of a service-oriented architecture and an event-driven architecture on the Java Business Integration specification.

*Shale:* <http://shale.apache.org/>

Shale is a web application framework based on Java-Server Faces. It also provides integration links for other frameworks.

*Struts:* <http://struts.apache.org/>

Struts is a framework for creating enterprise-ready Java web applications that utilize a model-view-controller architecture.

*Tapestry:* <http://tapestry.apache.org/>

Tapestry is a framework for creating web applications based upon the Java Servlet API.

*Tomcat:* <http://tomcat.apache.org/>

Tomcat is the web container for Java Servlets and Java-Server Pages.

## Scripting Languages

*BeanShell:* <http://www.beanshell.org/>

BeanShell is an embeddable Java source interpreter with object-based scripting language features.

*FreeMarker:* <http://freemarker.sourceforge.net/>

FreeMarker is a Java-based general-purpose template engine.

*Groovy:* <http://groovy.codehaus.org/>

Groovy is a scripting language with many Python, Ruby, and Smalltalk features in a Java-like syntax.

*JEP:* <http://www.singularsys.com/jep/>

Java Math Expression Parser (JEP) is a Java library for parsing and evaluating mathematical expressions.

*JavaFX Script:* <https://openjfx.dev.java.net/>

JavaFX Script is a scripting language for creating rich media and content for deployment on Java environments.

*Jacl:* <http://tcljava.sourceforge.net/>

Jacl is a pure Java implementation of the Tcl scripting language.

*Jawk:* <http://jawk.sourceforge.net/>

Jawk is a pure Java implementation of the AWK scripting language.

*Jelly:* <http://commons.apache.org/jelly/>

Jelly is a scripting tool used for turning XML into executable code.

*JRuby: <http://jruby.codehaus.org/>*

JRuby is a pure Java implementation of the Ruby programming language.

*Jython: <http://jython.sourceforge.net/Project/>*

Jython is a pure Java implementation of the Python programming language.

*Rhino: <http://www.mozilla.org/rhino/>*

Rhino is a JavaScript implementation. It is the *only* scripting language that has a script engine implementation included in the Java Scripting API by default.

*Sleep: <http://sleep.hick.org/>*

Sleep, based on Perl, is an embeddable scripting language for Java applications.

*Velocity: <http://velocity.apache.org/>*

Velocity is a Java-based general-purpose template engine.

# UML Basics

Unified Modeling Language (UML) is an object modeling specification language that uses graphical notation to create an abstract model of a system. The Object Management Group (<http://www.uml.org/>) governs UML. This modeling language can be applied to Java programs to help graphically depict such things as class relationships and sequence diagrams. Comprehensive information on UML is covered in *UML Distilled*, Third Edition, by Martin Fowler (Addison-Wesley).

## Class Diagrams

A class diagram represents the static structure of a system, displaying information for classes and relationships between them. The individual class diagram is divided into three compartments: name, attributes (optional), and operations (optional); see Figure 18-1 and the example that follows it.

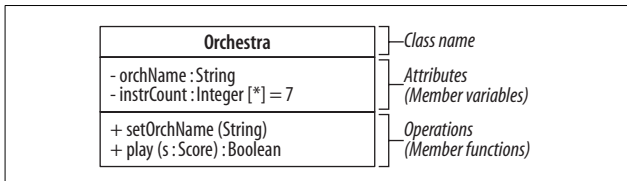


Figure 18-1. Class diagram

```
// Corresponding code segment
class Orchestra { // Class Name
    // Attributes
    private String orchName;
    private Integer instrCount = 7;
    // Operations
    public void setOrchName(String name) {...}
    public Boolean play(Score s) {...}
}
```

## Name

The name compartment is required and includes the class or interface name typed in boldface.

## Attributes

The attributes compartment is optional and includes member variables that represent the state of the object. The complete UML usage is as follows:

```
visibility name : type [multiplicity] = defaultValue
{property-string}
```

Typically, only the attribute names and types are represented.

## Operations

The operations compartment is optional and includes member functions that represent the system's behavior. The complete UML usage for operations is as follows:

```
visibility name (parameter-list) : return-type-expression
{property-string}
```

Typically, only the operation names and parameter lists are represented.

---

### TIP

{property-string} can be any of several properties such as {ordered} or {read-only}.

---

## Visibility

Visibility indicators (prefix symbols) can be optionally defined for access modifiers. The indicators can be applied to the member variables and member functions of a class diagram; see Table 18-1.

Table 18-1. Visibility indicators

Visibility indicators	Access modifiers
~	<i>package-private</i>
#	protected
-	private
+	public

## Object Diagrams

Object diagrams are differentiated from class diagrams by underlining the text in the object's name compartment. The text can be represented three different ways; see Table 18-2.

Table 18-2. Object names

<u>: ClassName</u>	Class name only
<u>objectName</u>	Object name only
<u>objectName : ClassName</u>	Object and class name

Object diagrams are not frequently used, but they can be helpful when detailing information, as shown in Figure 18-2.

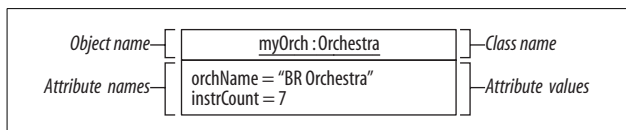


Figure 18-2. Object diagram

# Graphical Icon Representation

Graphical icons are the main building blocks in UML diagrams; see Figure 18-3.

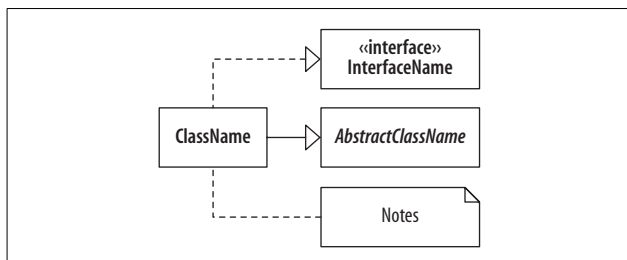


Figure 18-3. Graphical icon representation

## Classes, Abstract Classes, and Interfaces

Classes, abstract classes, and interfaces are all represented with their names in boldface within a rectangle. Abstract classes are additionally italicized. Interfaces are prefaced with the word *interface* enclosed in guillemet characters. Guillemets house stereotypes and in the interface case, a classifier.

## Notes

Notes are comments in a rectangle with a folded corner. They can be represented alone, or they can be connected to another icon by a dashed line.

## Packages

A package is represented with an icon that resembles a file folder. The package name is inside the larger compartment unless the larger compartment is occupied by other graphical elements (i.e., class icons). In the latter case, the package name would be in the smaller compartment. An open arrowhead with a dashed line shows package dependencies.



The arrow always points in the direction of the package that is required to satisfy the dependency. Package diagrams are shown in Figure 18-4.

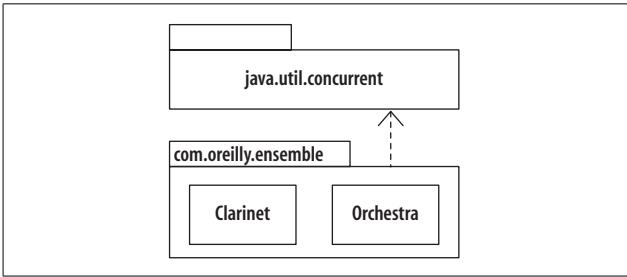


Figure 18-4. Package diagrams

## Connectors

Connectors are the graphical images that show associations between classes. Connectors are detailed in the upcoming “Class Relationships” section of this chapter.

## Multiplicity Indicators

Multiplicity indicators represent how many objects are participating in an association; see Table 18-3. These indicators are typically included next to a connector and can also be used as part of a member variable in the attributes compartment.

Table 18-3. Multiplicity indicators

Indicator	Definition
*	Zero or more objects
0..*	Zero or more objects
0..1	Optional: (Zero or one object)
0..n	Zero to <i>n</i> objects where <i>n</i> > 1
1	Exactly one object

Table 18-3. Multiplicity indicators (continued)

Indicator	Definition
1..*	One or more objects
1..n	One to $n$ objects where $n > 1$
m..n	Specified range of objects
n	Only $n$ objects where $n > 1$

## Role Names

Role names are utilized when the relationships between classes need to be further clarified. Role names are often seen with multiplicity indicators. Figure 18-5 shows Orchestra where it *performs* one or more Scores.

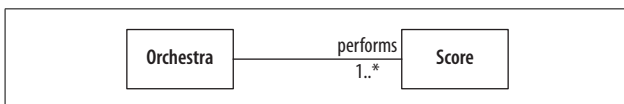


Figure 18-5. Role names

## Class Relationships

Class relationships are represented by the use of connectors and class diagrams; see Figure 18-6. Graphical icons, multiplicity indicators, and role names may also be used in depicting relationships.

## Association

An association denotes a relationship between classes and can be bidirectionally implied. Class attributes and multiplicities can be included at the target end(s).

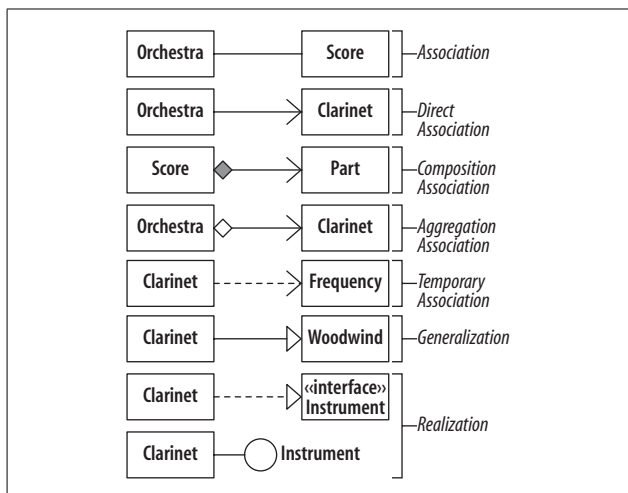


Figure 18-6. Class relationships

## Direct Association

Direct association, also known as navigability, is a relationship directing the source class to the target class. This relationship may be read Orchestra “has-a” Clarinet. Class attributes and multiplicities can be included at the target end. Navigability can be bidirectional between classes.

## Composition Association

Composition association, also known as *containment*, models a whole-part relationship, where the whole governs the lifetime of the parts. The parts cannot exist except as components of the whole. This is a stronger form of association than aggregation. You could say a Score is “composed-of” one or more part(s).

## Aggregation Association

Aggregation association models a whole-part relationship where the parts may exist independently of the whole. The whole does not govern the existence of the parts. You could say Orchestra is the whole and Clarinet is “part-of” Orchestra.

## Temporary Association

Temporary association, better known as *dependency*, is represented where one class requires the existence of another class. It’s also seen in cases where an object is used as a local variable, return value, or a member function argument. Passing a frequency to a tune method of class Clarinet can be read as class Clarinet depends on class Frequency, or Clarinet “uses-a” Frequency.

## Generalization

Generalization is where a specialized class inherits elements of a more general class. In Java, we know this as inheritance, such as class extends class Woodwind, or Clarinet “is-a(n)” Woodwind.

## Realization

Realization models a class implementing an interface, such as class Clarinet implements interface Instrument.

## Sequence Diagrams

UML Sequence diagrams are used to show dynamic interaction between objects; see Figure 18-7. The collaboration starts at the top of the diagram and works its way toward the bottom.

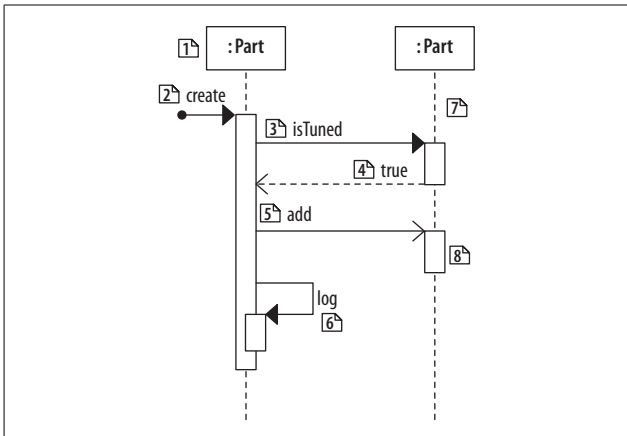


Figure 18-7. Sequence diagram

## Participant (1)

The participants are considered objects.

## Found Message (2)

A found message is one in which the caller is not represented in the diagram. This means that the sender is not known, or does not need to be shown in the given diagram.

## Synchronous Message (3)

A synchronous message is used when the source waits until the target has finished processing the message.

## Return Call (4)

The return call can optionally depict the return value and is typically excluded from sequence diagrams.

## Asynchronous Message (5)

An asynchronous message is used when the source does not wait for the target to finish processing the message.

## Message to Self (6)

A message to self, or *self-call*, is defined by a message that stays within the object.

## Lifeline (7)

Lifelines are associated with each object and are oriented vertically. They are related to time and are read downward, with the earliest event at the top of the page.

## Activation Bar (8)

The activation bar is represented on the lifeline or another activation bar. The bar shows when the participant (object) is active in the collaboration.

---

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