

# **ESIGN AGREEMENT**

### **Consent to Use Electronic Signature**

By selecting the "Yes" option below, I hereby consent to the use of my electronic signature to execute the Authorization Form, which will appear on the following screen. I understand that my electronic signature will have the same legal effect, validity and enforceability as if I were to execute by handwritten signature. If I do not wish to have my electronic signature to have a binding effect, I must select "No" option below.

### Right to Entering into Agreement in Non-Electronic Form

I understand that I have the right to agree to the Authorization Form on paper and to execute the Authorization Form by handwritten signature. In order to provide it in written form, I can print and upload the form in the Document Upload section upon completing my profile.

### Withdrawing My Consent and Updating Information

I understand that I have the right to withdraw my consent to use electronic signature and the right to update my contact information at any time. If I decide at any point to withdraw my consent, I understand that the withdrawal is only prospective and shall not impact the legal effectiveness, validity or enforceability of the Authorization Form prior to the implementation of my request withdrawal. The effectiveness of the withdrawal will be within a reasonable period of time after receipt of the withdrawal. If I withdraw during the period where a screening service is in the process of being fulfilled or ordered, it may impact my application for employment, contract work or other purpose.

If I decide at any point to withdraw my consent, I may email Fidelity TalentSource at TAOps@ftsjobs.com, or provide a signed letter via mail to the address below:

Fidelity TalentSource Mail Zone: V4E 245 Summer Street Boston, MA 02210

Email: TAOps@ftsjobs.com

### Requesting a Paper Copy of My Electronic Record

Upon request, I may obtain a paper copy of the Authorization Form by clicking 'print' before submitting. Additionally, I may call, mail a request, or email via the contact information shown above.

I have read and accept the eSignature terms outlined above. \*: Yes

### **Support Information**

## **IMPORTANT INFORMATION**

In connection with your application for the assignment providing inaccurate or incomplete information on this vetting form may result in the withdrawal of an offer or, if an assignment has commenced, dismissal. Please review all the instructions and your responses carefully before you submit this vetting form to the company. Fidelity may verify all the information you provide such as your education, and previous employment (including reasons for leaving prior employers). To comply with regulatory obligations, Fidelity requires all newly hired employees and anyone being placed on assignment to answer the following questions. You need not answer these questions now.

However, you should be aware that, if you are placed on assignment, a "yes" answer to any of these questions will result in termination of your assignment. Have you within the past ten (10) years, been convicted of a misdemeanor involving:

- The purchase or sale of a security
- Taking a false oath or making a false report
- Bribery
- Perjury
- Burglary
- Conspiracy to commit any of the offenses above
- Forgery
- Work that you performed in the securities, banking, or investment industries
- Larceny, theft, robbery, extortion, counterfeiting, fraudulent concealment, fraudulent conversion, embezzlement, or misappropriation of funds or securities
- A violation of United States Code Title 18 Sections 152, 1341, 1342, or Chapters 25 or 47.

Please read this agreement carefully and follow the instructions for completing each area of the background vetting form/personal history statement.

\* In California, do not include any conviction more than two years old for a marijuana related offense.

If you have questions, please contact Background Investigations at (800) 348-5522.

## **Support Information**

## **AUTHORIZATION**

## Notice to Applicant:

In connection with your application, Fidelity TalentSource may, depending on the nature of your position, obtain consumer reports, consumer credit reports, or investigative consumer reports that include information regarding your financial and credit record, education, character, general reputation, personal characteristics, and mode of living. These reports also may be obtained during the course of your employment or assignment, and may be triggered by a change in your title or job responsibilities. The nature and scope of the most common form of investigative consumer report is an investigation into your character, general reputation, criminal history, educational and/or employment history, but it may also include personal interviews or correspondence with your past or present coworkers, neighbors, friends, associates, current or former employers, educational institutions or other acquaintances.

These consumer reports, consumer credit reports and investigative consumer reports may be shared among Fidelity TalentSource affiliates. Fidelity TalentSource affiliates include any and all companies that are owned or controlled by or under common control with Fidelity TalentSource. By consenting to this application, you authorize Fidelity TalentSource to obtain these reports and share them among Fidelity TalentSource affiliates for employment purposes.

Generally, consumer credit reports are obtained from the following consumer credit reporting agencies: Trans Union LLC 55 West Adams Street Chicago, IL 60661-3601 610-690-4909

Equifax, Inc. 1600 Peachtree Street, N.W. Atlanta, GA 30309 1-800-685-1111

The nature and scope of the consumer reports and investigative consumer reports generally sought are outlined above, but you have the right to request additional information regarding the nature and scope of any report by writing to:

Fidelity TalentSource 245 Summer Street Boston, MA 02110

Upon receiving a request from you, Fidelity TalentSource will provide you with a written statement of that information within five days after the date on which Fidelity TalentSource receives your request.

Fidelity TalentSource is furnishing you with a summary of your rights under the Fair Credit Reporting Act in a form prescribed by the Federal Trade Commission, in addition to any applicable state law notices, which will be emailed to you separately.

Included with this application form is a Fair Credit Reporting Act notice, which you may retain for your records.

I have carefully read and understand the previous FCRA disclosure and this authorization form. By my signature below, I consent to the preparation and release of consumer and/or investigative consumer reports to Fidelity. I understand that my consent will remain valid throughout the duration of my assignment and/or employment. A facsimile or photocopy of this authorization and release is valid to the same extent as the original.

I understand that, to the extent allowed by law, information contained in my Personal History Statement or

otherwise disclosed to Fidelity by me may be utilized for the purpose of obtaining consumer reports and/or investigative consumer reports.

By signing this document, I am authorizing anyone to provide information to Fidelity Investments in connection with a pre-hire, background, or other investigation, and am releasing them from any liability which could result from their disclosure of information to Fidelity Investments.

I agree to provide my authorization per the terms of the above authorization. \*: Yes

Important: Please confirm your name details as they are written on official government identification

Legal First Name \* : Ruby
Legal Last Name \* : Shiva

Authorization Agreement Date : 02/27/2025

Current Address

Country\* : United States

Address 1\* : 130 Trotter Road

Address 2 : **Apt 101** 

City\* : Weymouth

State\* : Massachusetts

ZIP Code/Postal Code\* : **02190** 

Check here to receive a copy of your Consumer Report. (For U.S. addresses only): Yes

Credit Report E-Mail Address\*: rshiva80@gmail.com

Electronic Signature\*:



I confirm that the above signature field contains my valid signature. I understand that an incomplete signature will require me to resubmit this form in its entirety \*: Yes

## **Support Information**

## PERSONAL INFORMATION

Social Security Number and Date of Birth information is for background verification purposes only and will not be seen by staffing personnel.

### **Social Security Information**

Do you have a United States Social Security Number or Canadian Social Insurance Number? (Please provide a valid Social Security Number (SSN) or Social Insurance Number (SIN) to be used only for the purposes of validating public records and identity) \*

: Yes

Please enter a valid Social Security Number/Social

Insurance Number \*

· \*\*\*\_\*\*\_\*\*\*

#### **Personal Details**

Important: Please confirm your name details as they are written on official government identification

Legal First Name \* : Ruby
Legal Last Name \* : Shiva

Date of Birth\* : \*\*-\*\*

Mobile Phone Number Country Code : 1

Mobile Phone Number \* : (484) 620-2466

E-Mail Address \* : rshiva80@gmail.com

Have you ever used another legal name (a maiden

name, alias, or AKA)? \*

: Yes

Examples may include maiden name, shortened or abbreviated names you may commonly use on official documents, a former name that you may have legally changed, etc.

#### Aliases

Legal First Name\* : Ruby

Legal Last Name \* : Kapoor

Is this a maiden name ?\* : Yes

Do you currently hold a valid Driver's License? \*: Yes

Country\* : United States
State\* : Massachusetts

Driver's License Number\* : SA1620687

Name as appears on License : Ruby Shiva

License Type\* : Non-Commercial

The safety of Fidelity associates and customers remains our top priority and this will never change. Voluntarily providing vaccination information will assist Fidelity in the workplace re-entry process, allow for compliance with state and local requirements, and per guidance from Centers for Disease Control and Prevention (CDC), we may require less restrictive safety protocols for individuals who are "Up to Date" on their vaccination.

## **Support Information**

# **ADDRESS HISTORY**

List your complete address history (home, school, etc.) for the past five (5) years with no gaps.

Address Type\* : Current

Country\* : United States

Address 1\* : 130 Trotter Road

Address 2 : **Apt 101** 

City\* : Weymouth

State\* : Massachusetts

ZIP Code/Postal Code\* : **02190** 

From\* : **02/01/2020** 

Address Type\* : **Previous** 

Country\* : United States

Address 1\* : **790 Willard Street** 

Address 2 : **Apt 404** 

City\* : Quincy

State\* : Massachusetts

ZIP Code/Postal Code\* : **02169** 

From\* : 02/01/2018 To\* : 02/01/2025

## **Support Information**

## **EMPLOYMENT HISTORY**

## Please read the following instructions in their entirety:

Starting with your present or most recent employment, **list ALL employment for the past five (5) years,** including self-employment, summer employment, seasonal employment, temporary or contract employment, part-time employment, part- or full-time military service. Include any employment while attending school if it was within the past five (5) years.

Please ensure that you do not have any time period gaps in your employment history. Please ensure you include any employment worked simultaneously (e.g. one main job, one side job).

If during the past five (5) years you were not employed, please click "add another" and list your period of unemployment. In the employer field, enter "Unemployed". In the title section, list the reason for unemployment (i.e. looking for work, taking time off, attending school, etc.). You must also list the city and state where you resided during this period of unemployment. Enter **Not Applicable** for the street address and Contact's Name.

If you **ever served in the armed forces,** please list any military history regardless of when that service occurred in this section (click "Yes" to the question "Armed Forces Employer"). If you have been discharged within the last five (5) years or have an "other than honorable" discharge, please upload a copy of your DD214 (Long Form).

We will be verifying all employment, including temporary and contract work. If any prior positions include temporary, contract, or consulting roles, please list all relevant details of the employer/agency who provided your compensation, not the company to which you were assigned. You do not need to list each assignment, project or company where you were deployed.

If an employer is no longer in business, include them in your list and enter "**Defunct**" for the address and Contact's Name.

If you were self-employed or freelancing, please list your company name or "Self Employed" in the company name and complete all other required fields.

If your reason for leaving any employer, current or previous, was involuntary (i.e., layoff or dismissal), you must indicate this as your reason; you should not list a reason that suggests your departure was voluntary.

Country\* : United States

State\* : Massachusetts

Employment Type\* : Former Employer

Job Type : Full-time Employee

Permission to Contact\* : Yes

Employer\* : **GENUINE INTERACTIVE** 

Address 1\* : 33 Arch Street

Address 2 : 14th Floor

City\* : **Boston** 

ZIP Code/Postal Code : **02110** 

Phone Number Country Code : 1

Phone Number : (617) 451-9700

Job/Position Details

Armed Forces Employer \* : No

Employer Contact \* : KATIE GALAN

Employer Contact Title \* : **SVP, HR DIRECTOR** 

Employer Contact Phone Number Country Code : 1

Employer Contact Phone Number \* : (617) 456-5291

Employment Contact Email Address : KGALAN@WEARGENUINE.COM

Reason For Leaving\* : Layoff

Last Position Held\* : Senior QA Analyst

Start Date\* : 11/01/2015 End Date\* : 08/01/2024

Country\* : United States
State\* : Massachusetts

Employment Type\* : Unemployed

Permission to Contact\* : No

Employer : Unemployed
Address 1 : Not Applicable
Address 2 : Not Applicable
City : Weymouth

ZIP Code/Postal Code : **02190** 

Job/Position Details

Armed Forces Employer \* : No

Employer Contact \* : Not Applicable

Employer Contact Title \* : Looking for work

Employer Contact Phone Number \* : (000) 000-0000

Reason For Leaving\* : Layoff

Last Position Held\* : Not Applicable
Start Date\* : 09/01/2024
End Date\* : 02/01/2025

### **Support Information**

# **EDUCATION HISTORY**

Please provide a list of all educational degrees and/or diplomas you have completed, starting with your highest educational level achieved and going back through your high school diploma or GED. You must complete at least one education entry. Do not select a graduation date unless you have actually been awarded a degree/diploma/Certificate. If you did not graduate or if you only completed some courses, please indicate whether you are presently enrolled or when you last attended.

In order to ensure no delays in processing your background investigation, please upload clear uncut scanned copies of all year mark-sheets and degree certificate. If your education documents are not currently available you will be asked for them once your background investigation is started.

Country\* : India

School or Institution\* : Indira Gandhi National Open University

Address : 93, Maidan Garhi Rd, Maidan Garhi, Sainik

Farm

City\* : New Delhi

Did you graduate? \* : Yes

Graduation Date\* : 06/01/2002

I have received my diploma/it is "in hand"\* : Yes

From\* : **07/01/1998**To\* : **06/01/2002** 

Education Type : College / University

Degree Type\* : **Bachelor's** 

Program, Course, or Major : Bachelors in Computer Applications

In order to ensure no delays in processing your background investigation, please upload clear uncut scanned copies of all year mark-sheets and degree certificate. If your education documents are not currently available you will be asked for them once your background investigation is started.

Country\* : India

School or Institution\* : Central Board of Secondary Education

Campus : South Delhi Public School, New Delhi

City\* : New Delhi

Did you graduate? \* : Yes

Graduation Date\* : **03/01/1998** 

I have received my diploma/it is "in hand"\* : Yes

From\* : **04/01/1997**To\* : **03/01/1998** 

Education Type : **High School** 

Degree Type\* : GCE (or equivalent)

## **Support Information**

## PERSONAL HISTORY STATEMENT

Review each question carefully before answering. Providing inaccurate or incomplete information on this application may result in you not being offered employment.

- 1. Are you either a U.S. citizen or an alien legally authorized to work in the United States? If No, Fidelity TalentSource will not be able to process your application without legal authority to work in the United States. Please consult your Staffing Consultant for more information. \*: Yes
- 2. Have you ever worked for or at Fidelity TalentSource, Veritude, Fidelity, or one of Fidelity's affiliated companies?\*: **No**
- 3. Have you ever been dismissed, suspended, or asked to resign from any position? \*: No
- 4. Type of Position\*

Full-Time Temporary : Yes
Part-Time Temporary : No
Summer : No
Co-op/Intern : No

- 5. Do you have any outside business or employment activities that you would like to continue if you are employed by Fidelity TalentSource? \*: No
- 6. Are you seeking a position within financial services? \*: No
- 7. Have you ever been registered with the National Association of Securities Dealers or the New York Stock Exchange or FINRA? \*: No

Date of Registration*	:
Registration Held*	:
Broker/Dealer Associated*	:

To comply with regulatory obligations Fidelity TalentSource requires all applicants to answer the following questions. You should be aware that a "yes" answer to any of these questions may disqualify you for assignment or, if you are hired, could result in the termination of your assignment.

- 8. Have you ever been the subject of a Regulatory Agency complaint, proceeding, or arbitration?\*: No
- 9. Within the past five years, have you been named as a respondent, or have you provided or been asked to provide any testimony, written statements, documents, or information, in connection with any inquiry, investigation, or proceeding with any federal or state regulator of any financial services business(es) (e.g., securities, banking, or insurance) or any federal or state law enforcement entity? \*: **No**
- 10. Have you ever been convicted of a Felony? \*: No

If you answer "Yes" to the questions below, please provide the date(s) and describe that criminal record so that individual circumstances can be considered. A conviction record may, but will not

necessarily, be a bar to employment. Factors such as age and time of the offense, seriousness and nature of the violation, and rehabilitation will be taken into account

### **State-Specific Notices:**

- 1. Massachusetts applicants: If you have a sealed record on file with the Commissioner of Probation, or an expunged record, you may answer "no record" with respect to any inquiry herein relative to prior arrests, criminal court appearances or convictions. You may also answer "no record" with respect to any inquiry relative to prior arrests, court appearances and adjudications in all cases of delinquency or as a child in need of services that did not result in a complaint transferred to the Superior Court for criminal prosecution. In addition, you do not need to provide any information concerning misdemeanor convictions (or incarcerations resulting therefrom) that occurred three or more years prior to the date of the employment application, unless you have been convicted of any offense within the preceding three years.
- **2. California applicants:** Do not include: a misdemeanor conviction more than two years old for (1) possession of 28.5 grams or less of marijuana; (2) operation of a business that displays or sells marijuana paraphernalia in areas accessible to minors, or (3) being under the influence of marijuana; participation in any pretrial or posttrial diversion program for drug or alcohol rehabilitation; or a misdemeanor conviction for which probation was successfully completed or otherwise discharged and the case was judicially dismissed.
- **3.** Connecticut applicants: You need not disclose any records of arrest, criminal charge or conviction that have been erased under Connecticut law pertaining to a finding of delinquency, that a child was a member of a family with service needs, a youthful offender adjudication, a dismissed criminal charge, a not guilty finding, or a conviction for which you received an absolute pardon.
- **4. Illinois applicants:** You do not need to disclose information concerning sealed or expunged records.
- 11. Within the past five years, have you been convicted of, or ended a period of incarceration resulting from a conviction for, a misdemeanor? \*: No
- 12. Within the past 10 years, have you been convicted of any of the listed misdemeanors? Select all that may apply.\*
  - No, I have not been convicted of a misdemeanor involving any of these offenses in the past 10 years: **Yes**
  - The purchase or sale of a security : No
  - Conspiracy to purchase or sell a security : No
  - Taking a false oath or making a false report : No
  - Conspiracy to take a false oath or make a false report : No
  - Bribery : No
  - Conspiracy to commit bribery : No
  - Burglary : No
  - Conspiracy to commit burglary : No
  - Forgery: No
  - Work that you performed in the securities, banking or investment industries : No
  - Larceny, theft, robbery, extortion, counterfeiting, fraudulent concealment, fraudulent conversion, embezzlement, or misappropriation of funds or securities : **No**
  - A violation of United States Code Title 18 Sections 152, 1341, 1342, 1343, or chapters 25 or 47: **No**
- 13. Has there been any unfavorable incident in the past through which your integrity might be questioned?

\*: **No** 

## **Support Information**