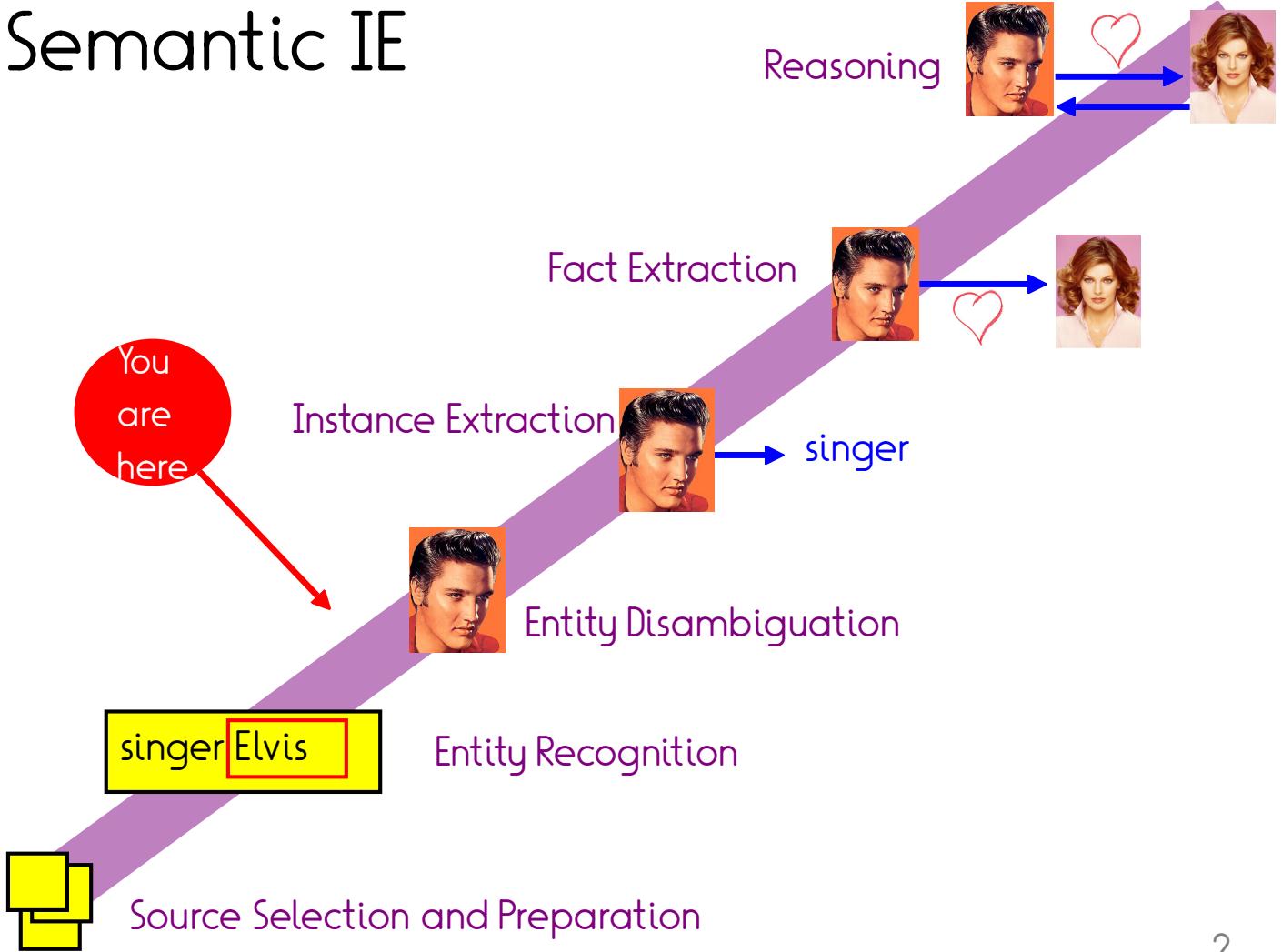


Evaluation

Nada Mimouni

Based on slides by :
Fabian M. Suchanek

Semantic IE



Detect members of the Simpsons

in The Simpsons, Homer Simpson is the father of Bart Simpson and Lisa Simpson. The M above his ear is for Matt Groening.



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5 matches (2 wrong)

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1. $[A-Z][a-z]^+$ Simpson

4 matches (1 wrong)

2. $[A-Z][a-z]^+ [A-Z][a-z]^+$

5 matches (2 wrong)

3. Homer Simpson

1 match

Def: Gold Standard

The gold standard (also: ground truth) for an IE task is the set of desired results of the task on a given corpus.

Task: Detect Simpson members

Corpus:

in The Simpsons, Homer Simpson is the father of Bart Simpson and Lisa Simpson.
The M above his ear is for Matt Groening.

Gold Standard:

{Homer Simpson, Bart Simpson, Lisa Simpson}

Def: Precision

The **precision** of an IE algorithm is the ratio of its outputs that are in the respective gold standard.

$$prec = \frac{|Output \cap GStandard|}{|Output|}$$

Output: {Homer, Bart, Groening}

G.Standard: {Homer, Bart, Lisa, Marge}

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Output: {Homer, Bart, Groening}
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Output: {Homer, Bart, Groening}
✓ ✓ ✗

G.Standard: {Homer, Bart, Lisa, Marge}

=> Precision: 2/3 = 66%

Def: Recall

The **recall** (also: sensitivity, true positive rate, hit rate) of an IE algorithm is the ratio of the gold standard that is output.

$$rec = \frac{|Output \cap GStandard|}{|GStandard|}$$

Output: {Homer, Bart, Groening}

G.Standard: {Homer, Bart, Lisa, Marge}

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✓ ✓ ✗ ✗

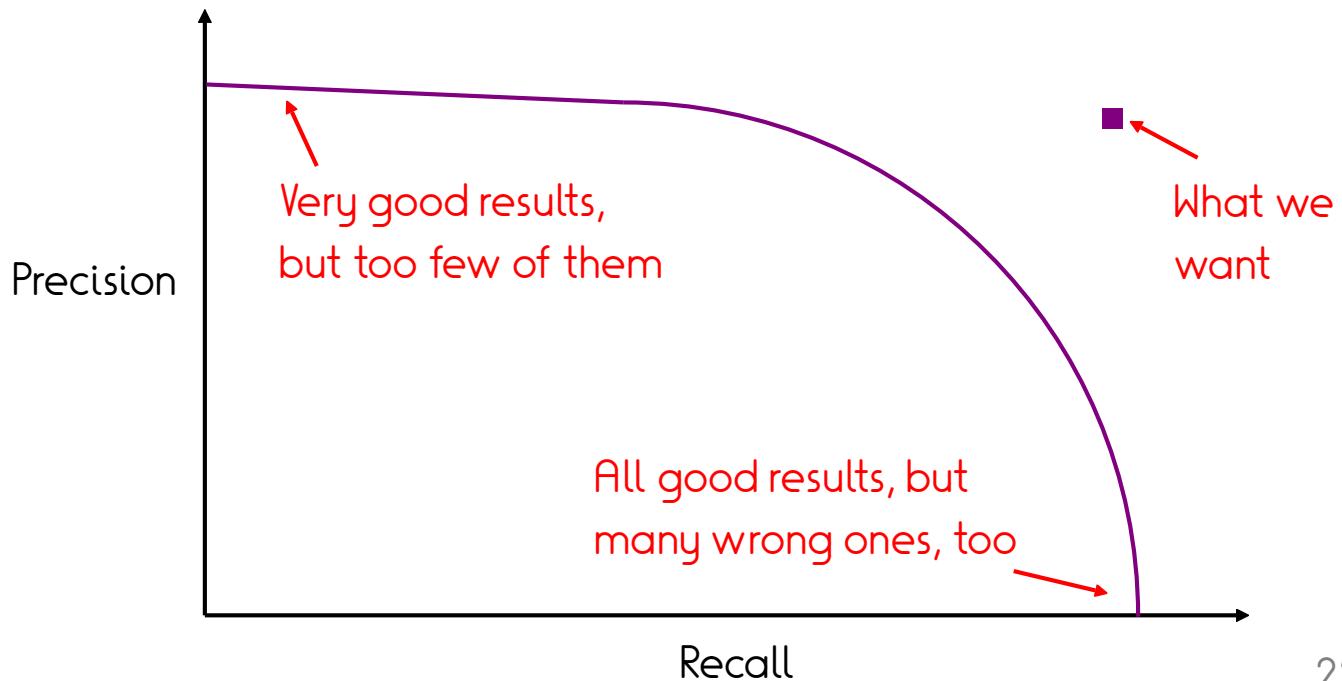
=> Recall: 2/4 = 50%

>F1

Precision-Recall-Tradeoff

It is very hard to get both good precision and good recall.

Algorithms usually allowing varying one at the expense of the other (e.g., by choosing different threshold values). This usually yields:



Def: F1

To trade off precision and recall, we could use the average:

Gold Standard: {Homer, Bart, Lisa, Snowball_4, ..., Snowball_100}

Output: {Homer Simpson}

Def: F1

To trade off precision and recall, we could use the average:

Gold Standard: {Homer, Bart, Lisa, Snowball_4, ..., Snowball_100}

Output: {Homer Simpson}

Precision: $1/1=100\%$, Recall: $1/100=1\%$

Average: $(100\%+1\%)/2=50\%$

Outputting just
a single result
already gives a
score of 50%!

The **F1 measure** is the harmonic mean of precision and recall.

$$F1 = 2 \times \frac{\text{precision} \times \text{recall}}{\text{precision} + \text{recall}}$$

Precision: $1/1=100\%$, Recall: $1/100=1\%$

$F1: 2 \times 100\% \times 1\% / (100\%+1\%) = 2\%$

Task: Precision & Recall

What is the algorithm output, the gold standard, the precision and the recall in the following cases?

1. Nostradamus predicts a trip to the moon for every century from the 15th to the 20th incl.
2. The weather forecast predicts that the next 3 days will be sunny. It does not say anything about the 2 days that follow. In reality, it is sunny during all 5 days.
3. On Elvis Radio™, 90% of the songs are by Elvis. An algorithm learns to detect Elvis songs. Out of 100 songs on Elvis Radio, the algorithm says that 20 are by Elvis (and says nothing about the other 80). Out of these 20 songs, 15 were by Elvis and 5 were not.

>ROC

Imbalanced classes

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

Def: Problem of imbalanced classes

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

Precision: $99/100=99\%$

Recall: $99/99=100\%$

If there are very few negatives,
just outputting all elements
gives great scores.

The problem of **imbalanced classes** appears when only very few of the items of the population are not in the gold standard: An approach that outputs the entire population has a very high precision and a perfect recall.

The **negatives** are the elements of the population that are not in the gold standard.

>confusion

Def: Confusion Matrix

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

The **confusion matrix** for the output of an algorithm looks as follows:

| | | Gold standard | | Σ |
|----------|------------------|------------------|-----------------|--|
| | | Positive | Negative | |
| Output | Positive | True Positives | False Positives | Predicted Positives |
| | Negative | False Negatives | True Negatives | Predicted Negatives |
| Σ | (Gold) Positives | (Gold) Negatives | | "Negative" because it was not output, "True" because that was correct. |

Items of the population that are not output

Items of the population that are not in the gold standard

Def: Confusion Matrix

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

The **confusion matrix** for the output of an algorithm looks as follows:

| | | Gold standard | | 1 item was output as positive, but was negative in the gold standard |
|--------|----------|---------------|----------|--|
| | | Positive | Negative | |
| Output | Positive | 99 | 1 | |
| | Negative | 0 | 0 | 0 |
| | | 99 | 1 | |

Precision = true positives / predicted positives = $99/100 = 99\%$

Recall = true positives / gold positives = $99/99 = 100\%$

Confusion with confusion matrixes

A confusion matrix does not always make sense in an information extraction scenario:

Population: {H, Ho, Hom, ..., o, om, ome, ..., r Sim, r Simps, ...}

Gold Standard: {Homer}

Output: {Homer}

| | | Gold standard | |
|--------|----------|---------------|-------------|
| | | Positive | Negative |
| Output | Positive | 99 | 39462440205 |
| | Negative | 0 | 0 |

A confusion matrix makes sense only when the population is limited
(e.g., in classification tasks)!

Our problem

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

| | | Gold standard | |
|--------|----------|---------------|----------|
| | | Positive | Negative |
| Output | Positive | 99 | 1 |
| | Negative | 0 | 0 |

The problem is that the algorithm did not catch the negatives,
it has a "low recall" on the negatives.

Def: True Negative Rate & FPR

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

The **true negative rate** (also: TNR, specificity, selectivity) is the ratio of negatives that are output as negatives (= the recall on the negatives):

$$\text{TNR} = \text{true negatives} / \text{gold negatives} = 0 / 1 = 0\%$$

| | | Positive | Negative | |
|--------|----------|----------|----------|--|
| Output | Positive | 99 | 1 | |
| | Negative | 0 | 0 | |

The **False Positive Rate** (also: FPR, fall-out) is $1 - \text{TNR}$.

>ROC

>details

TNR & Precision

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

Precision: $99/100=99\%$ TNR: $0/1=0\%$

Recall: $99/99=100\%$

TNR and precision both measure the “correctness” of the output.

Precision:

- measures wrt. the output
- suffers from imbalanced classes
- works if population is infinite
(e.g., set of all extractable entities)

TNR:

- measures wrt. the population
- guards against imbalance
- works if population is limited
(e.g., in classification)

>ROC

>details

Informedness

Population: {Snowball_1,..., Snowball_99, Snowball_100}

Gold Standard: {Snowball_1,..., Snowball_99}

Output: {Snowball_1,..., Snowball_99, Snowball_100}

Precision: $99/100=99\%$ TNR: $0/1=0\%$

Recall: $99/99=100\%$

The informedness (also: Youden's J statistic, Youden's index) combines TNR and Recall as follows:

$$\text{informedness} = \text{recall} + \text{TNR} - 1 = \text{recall} - \text{FPR} = 100\% + 0\% - 1 = 0$$

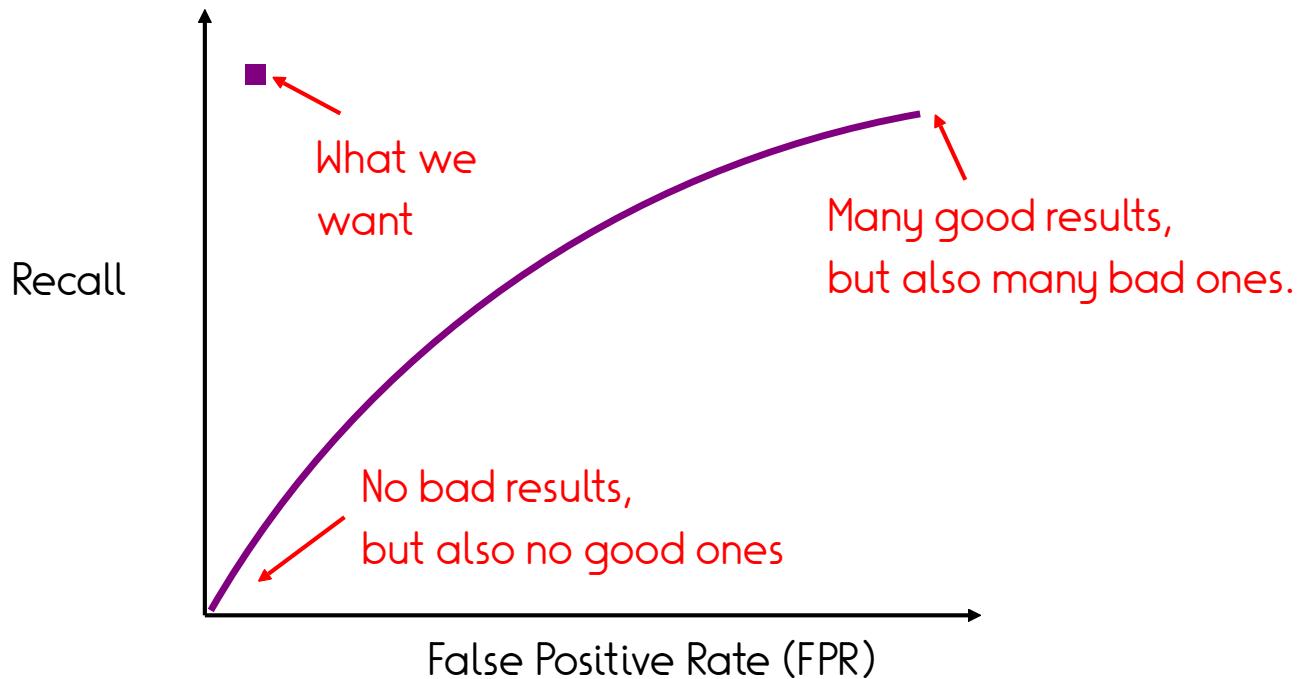
(It's kind of the F1 measure in the case of a limited population.)

>ROC

>details

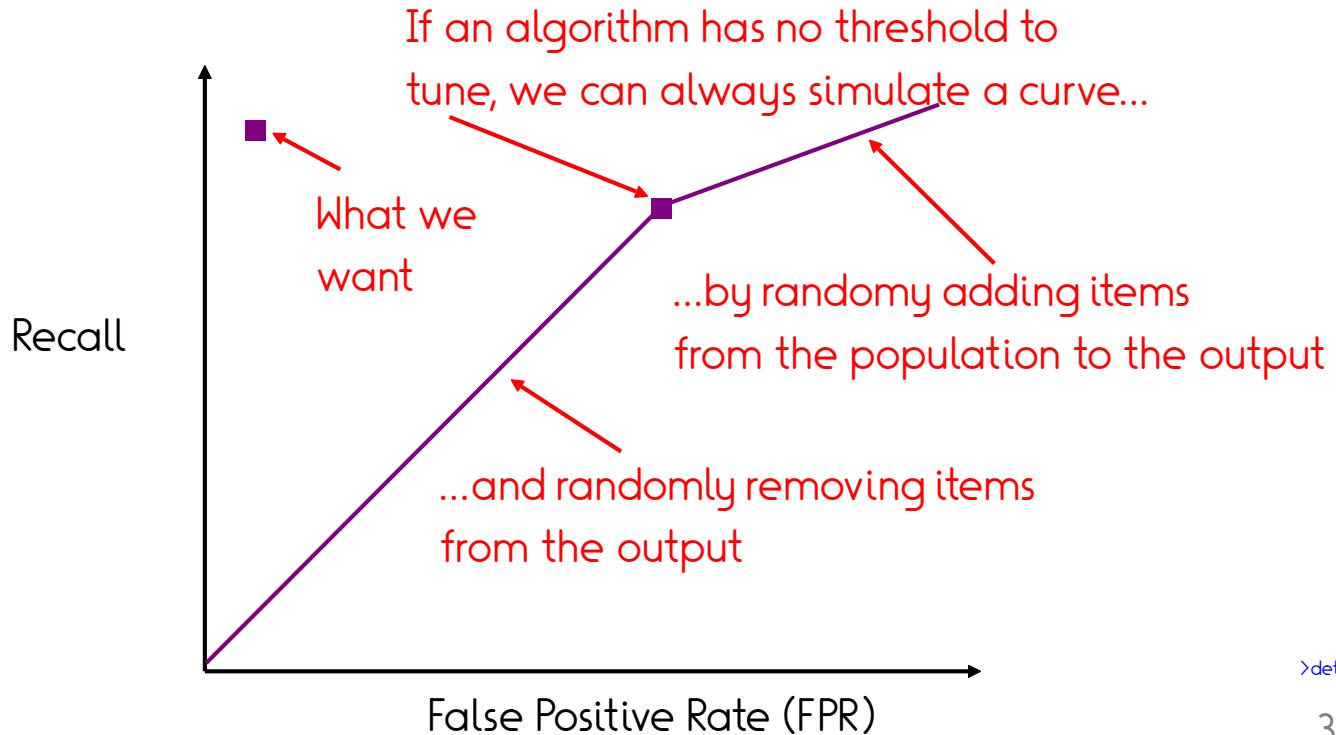
Def: ROC

The **ROC** (receiver operating characteristic) curve plots recall against the FPR for different thresholds of the algorithm. It guards against imbalanced classes, and is applicable when the population is finite.



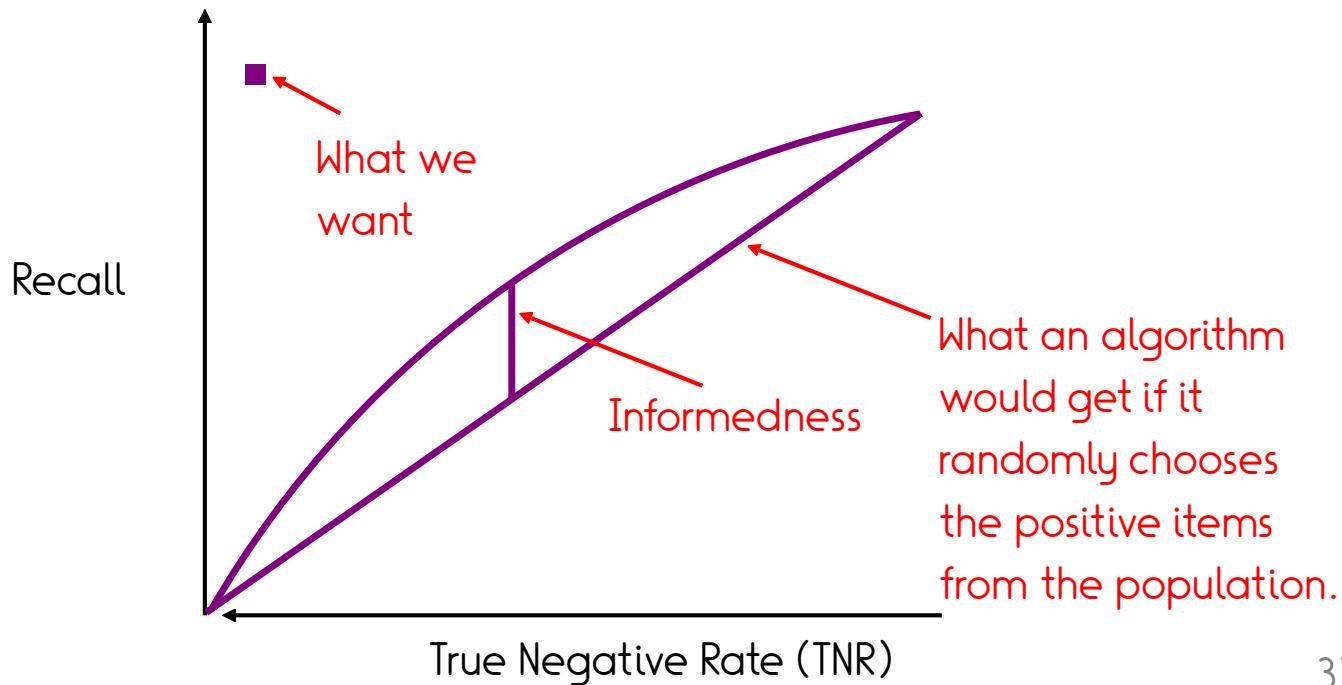
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Def: ROC

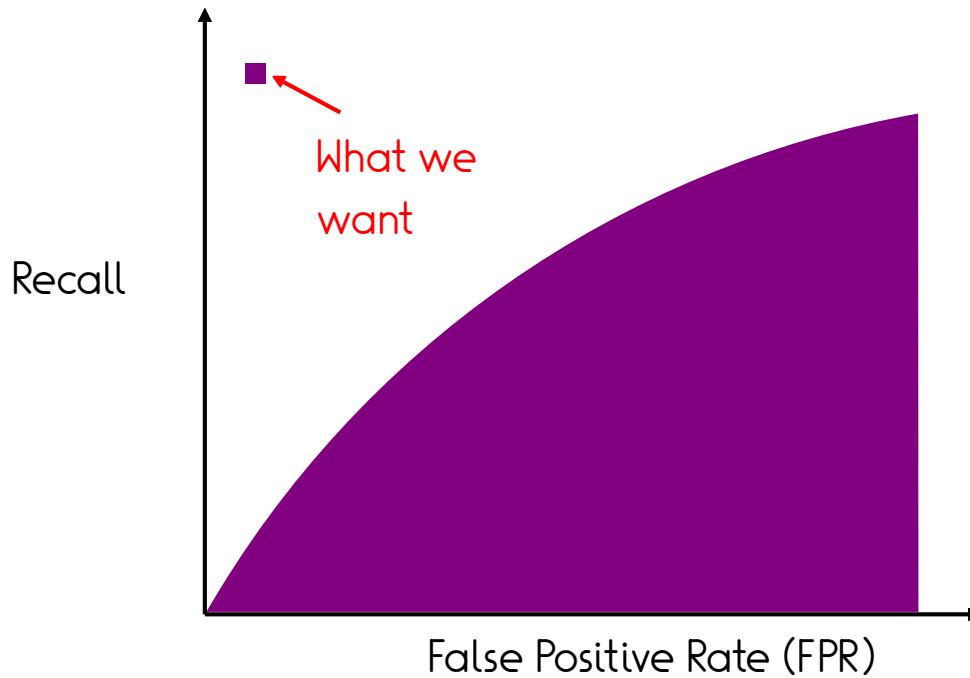
The **ROC** (receiver operating characteristic) curve plots recall against the FPR for different thresholds of the algorithm. It guards against imbalanced classes, and is applicable when the population is finite.



Def: AUC

The **AUC** (area under curve) is the area under the ROC curve.

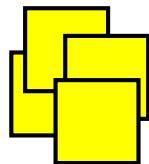
It corresponds to the probability that the classifier ranks a random positive item over a random negative item. (It's kind of the F1 for a limited population and a varying threshold.)



How not to design an IE algorithm

Task: Find Simpson pets

Corpus:

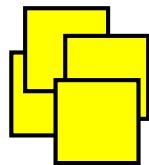


Algorithm: Regex "Snowball I*"

How not to design an IE algorithm

Task: Find Simpson pets

Corpus:



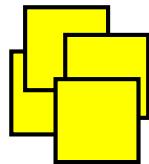
Algorithm: Regex "Snowball I*"

Output: {Snowball I, Snowball II}

How not to design an IE algorithm

Task: Find Simpson pets

Corpus:

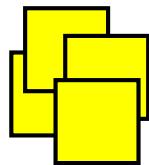


Algorithm: Regex: "Snowball (IIV)*"

How not to design an IE algorithm

Task: Find Simpson pets

Corpus:



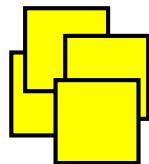
Algorithm: Regex: "Snowball (IIV)*"

Output: {Snowball I,Snowball II,Snowball IV}

How not to design an IE algorithm

Task: Find Simpson pets

Corpus:



Algorithm: Regex: "Snowball (IIV)*"

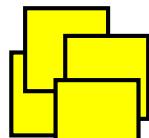
Output: {Snowball I, Snowball II, Snowball IV}

Is this algorithm good?

How to design an IE algorithm

Task: Find Simpson pets

Corpus:



Take only a sample
of the corpus



Lisa decides to play music on her saxophone for Coltrane, but the noise frightens him and he commits suicide. As Gil swerves to avoid hitting Snowball V, his car hits a tree and bursts into flames. Since the cat is unhurt, Lisa takes it as a sign of good luck and adopts her. [...]

How to design an IE algorithm

Task: Find Simpson pets



Corpus:



Consider only
the sample corpus.

How to design an IE algorithm

Task: Find Simpson pets



Corpus:



Consider only
the sample corpus.

Gold Standard:
{Coltrane, Snowball I, ...}

Manually make
a gold standard



How to design an IE algorithm

Task: Find Simpson pets



Corpus:



Algorithm

Gold Standard:

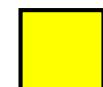
{Coltrane, Snowball I, ...}

How to design an IE algorithm

Task: Find Simpson pets



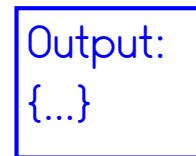
Corpus:



Gold Standard:

{Coltrane, Snowball I, ...}

Algorithm →



How to design an IE algorithm

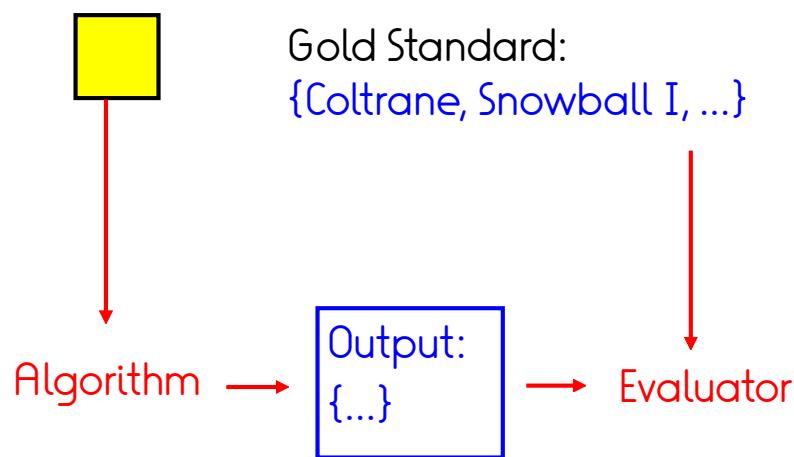
Task: Find Simpson pets



Corpus:



Gold Standard:
{Coltrane, Snowball I, ...}



How to design an IE algorithm

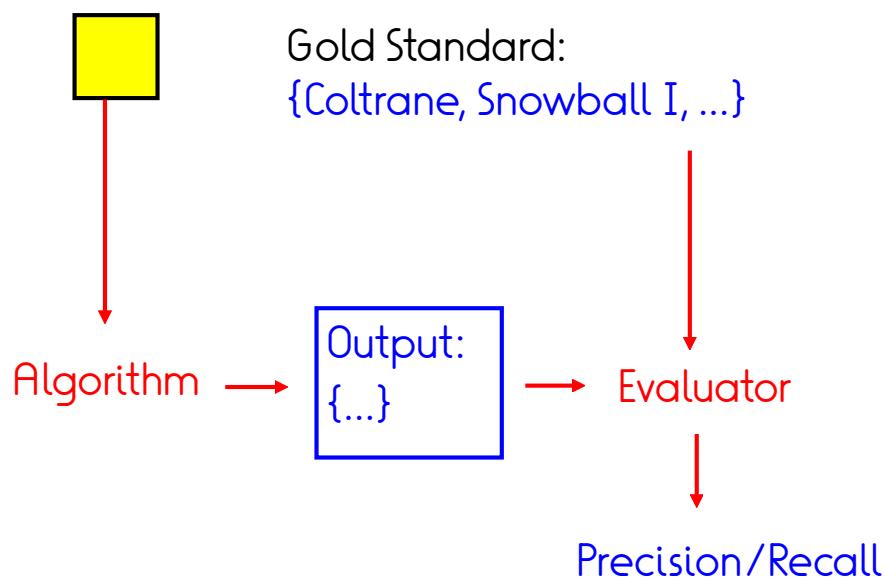
Task: Find Simpson pets



Corpus:



Gold Standard:
{Coltrane, Snowball I, ...}

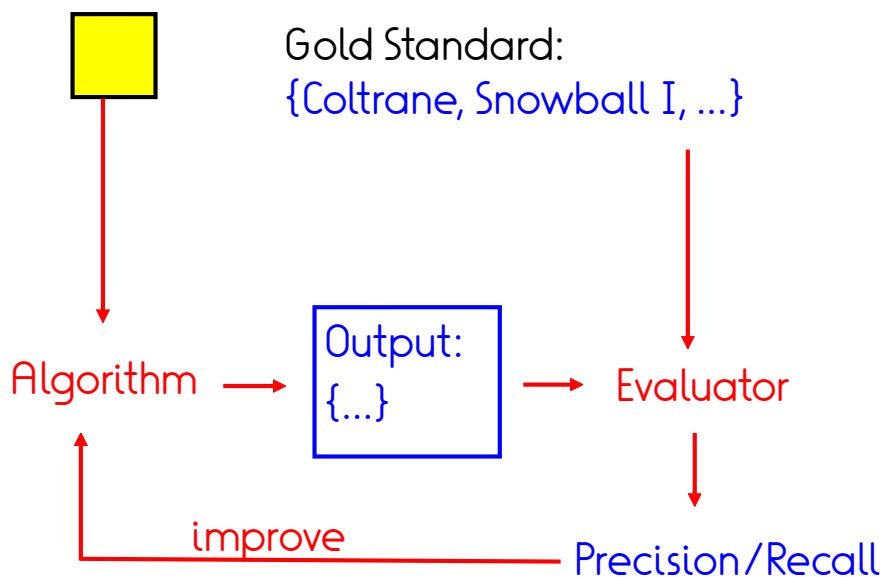


How to design an IE algorithm

Task: Find Simpson pets



Corpus:



->end

Evaluation on a Sample

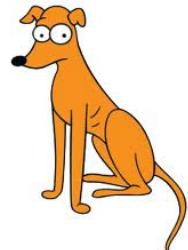
Corpus:

```
1: ...A...B...
2: ...C....
3: ..D.....E...
4: .....H.....
5: ..I...J...K...
```

Sample:

```
3: ...D.....E...
4: .....H.....
```

Gold Standard:
 $\{D, E, H\}$



Algorithm:

```
{1: A, Z
2: C
3: D, E, K
4: L,
5: I, K, X}
```

Sample:

```
{
3: D, E, K
4: L
}
```

Precision: 2/4
Recall: 2/3

A, B, etc. can be entities, but also facts

Simple case: 1 target per document

Corpus:

A: ...A'...
B: ...B'...
C: ...C'...
D: ...D'...
E: ...E'...



Sample:

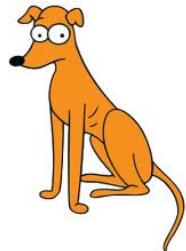
C: ...C'...
D: ...D'...
E: ...E'...



Gold Standard

on sample:

{C:C', D:D', E:E'}



Algorithm:

{A: A'
B: X
C: Z
D: D'
}



Sample output:

{
C: Z,
D: D'
}



Precision: 1 / 2

Recall: 1 / 3

Simple case: 1 target per document

Corpus:

A: ...A'...
B: ...B'...
C: ...C'...
D: ...D'...
E: ...E'...



Sample:

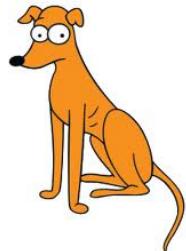
C: ...C'...
D: ...D'...
E: ...E'...



Gold Standard

on sample:

{C:C', D:D', E:E'}



Algorithm:

{A: A'
B: X
C: Z
D: D'
E: K }



Sample output:

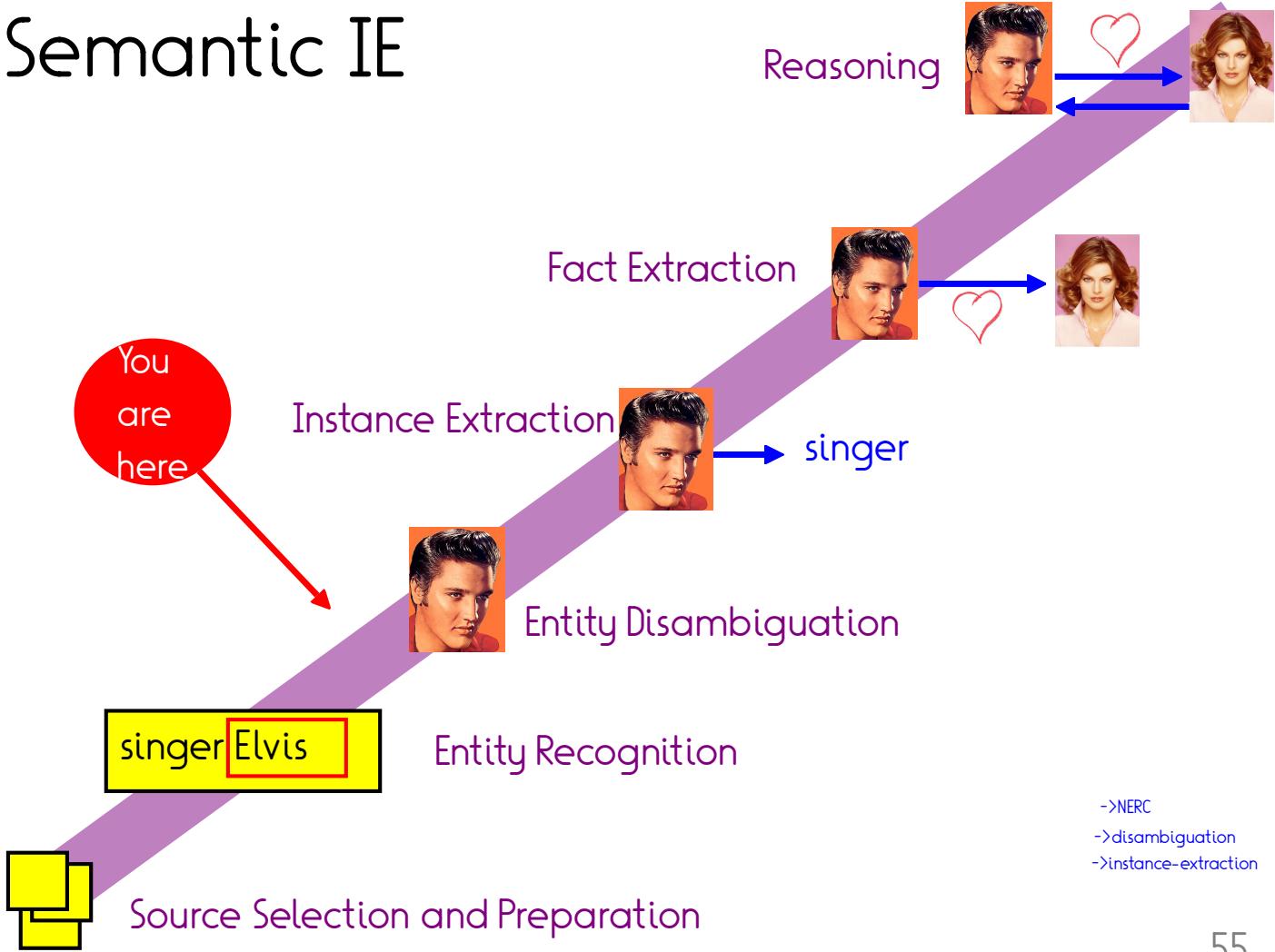
{
C: Z,
D: D',
E: K
}

Precision: 1/3

Recall: 1/3

If the algorithm produces
one output per input, prec=rec.

Semantic IE



->NERC
->disambiguation
->instance-extraction