



## Searching algorithms

Sequential Search

Interval Search

## Hash structure

Basic concepts

Hash functions

Direct Hashing

Modulo division

Digit extraction

Mid-square

Mid-square

Folding

Rotation

Pseudo-random

Collision resolution

Open addressing

Bucket hashing

Linked list resolution

# Searching and Hash structure

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# Overview

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# SEARCHING ALGORITHMS

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## Definition

**Searching Algorithms** are designed to check for an element or retrieve an element from any data structure where it is stored. Based on the type of search operation, these algorithms are generally classified into two categories:

- ① **Sequential Search:** In this, the list or array is traversed sequentially and every element is checked.
- ② **Interval Search:** These algorithms are specifically designed for searching in sorted data-structures.

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## Approach

- 1 Start from the leftmost element of list and one by one compare  $x$  with each element of list.
- 2 If  $x$  matches with an element, return the index.
- 3 If  $x$  doesn't match with any of elements, return -1.



## Approach

- 1 Start from the leftmost element of list and one by one compare  $x$  with each element of list.
- 2 If  $x$  matches with an element, return the index.
- 3 If  $x$  doesn't match with any of elements, return -1.

The **time complexity** of the above algorithm is  $O(n)$ .

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## Approach

Search a sorted array by repeatedly dividing the search interval in half. Begin with an interval covering the whole array.

- ① If the value of the search key is **less than** the item in the middle of the interval, narrow the interval to the lower half, otherwise narrow it to the upper half.
- ② Repeatedly check until the value is found or the interval is empty.



## Approach

Search a sorted array by repeatedly dividing the search interval in half. Begin with an interval covering the whole array.

- ① If the value of the search key is **less than** the item in the middle of the interval, narrow the interval to the lower half, otherwise narrow it to the upper half.
- ② Repeatedly check until the value is found or the interval is empty.

## Implementation:

- Recursive
- Iterative

**Time complexity:**  $O(\log n)$



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## Approach

**Jump Search** is a searching algorithm for sorted arrays. The basic idea is to check **fewer elements** (than linear search) by jumping ahead by fixed steps or skipping some elements in place of searching all elements.



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## Approach

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Suppose that an array **arr** of size  **$n$**  divided to some blocks with fixed size  **$m$** .

- 1 Find the block  **$k$**  such that the first element of block  **$k$**  is less than **key** and the first element of block  **$k + 1$**  is greater than or equals to **key**.
- 2 Perform the linear search on the block  **$k$** .



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Suppose that an array **arr** of size  **$n$**  divided to some blocks with fixed size  **$m$** .

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- 2 Perform the linear search on the block  **$k$** .

**Time complexity:**  $\sqrt{n}$ .

Consider the following array:

[0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, 610].

Length of the array is 16, block size is 4.

- ➊ Jump from index 0 to index 4.
- ➋ Jump from index 4 to index 8.
- ➌ Jump from index 8 to index 12.
- ➍ Since the element at index 12 is greater than 55 we will jump back a step to come to index 8.
- ➎ Do linear search from index 8 to get the element 55.



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## Approach

**Interpolation Search** is an improvement over Binary Search for instances, where the values in a sorted array are uniformly distributed.

- 1 Calculate the value of **pos** using the probe position formula.

$$\text{pos} = \text{lo} + \frac{(x - \text{arr}[\text{lo}]) \times (\text{hi} - \text{lo})}{\text{arr}[\text{hi}] - \text{arr}[\text{lo}]}$$

where

- **arr**: array where elements need to be searched.
- **x**: element to be searched.
- **lo**: starting index in **arr**.
- **hi**: ending index in **arr**.



## Approach

**Interpolation Search** is an improvement over Binary Search for instances, where the values in a sorted array are uniformly distributed.

- 1 Calculate the value of **pos** using the probe position formula.
- 2 If it is a match, return the index of the item, and exit.

## Time complexity:

- If elements are uniformly distributed, then  $O(\log \log n)$ .
- In worst case, it can take up to  $O(n)$ .



## Approach

**Interpolation Search** is an improvement over Binary Search for instances, where the values in a sorted array are uniformly distributed.

- 1 Calculate the value of **pos** using the probe position formula.
- 2 If it is a match, return the index of the item, and exit.
- 3 If the item is **less than** `arr[pos]`, calculate the probe position of the left sub-array. Otherwise calculate the same in the right sub-array.

## Time complexity:

- If elements are uniformly distributed, then  $O(\log \log n)$ .
- In worst case, it can take up to  $O(n)$ .



## Approach

**Interpolation Search** is an improvement over Binary Search for instances, where the values in a sorted array are uniformly distributed.

- 1 Calculate the value of **pos** using the probe position formula.
- 2 If it is a match, return the index of the item, and exit.
- 3 If the item is **less than** `arr[pos]`, calculate the probe position of the left sub-array. Otherwise calculate the same in the right sub-array.
- 4 Repeat until a match is found or the sub-array reduces to zero.

## Time complexity:

- If elements are uniformly distributed, then  $O(\log \log n)$ .
- In worst case, it can take up to  $O(n)$ .





# Basic concepts



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- Sequential search:  $O(n)$
- Binary search:  $O(\log_2 n)$

→ Requiring several **key comparisons** before the target is found.





## Search complexity:

Size	Binary	Sequential (Average)	Sequential (Worst Case)
16	4	8	16
50	6	25	50
256	8	128	256
1,000	10	500	1,000
10,000	14	5,000	10,000
100,000	17	50,000	100,000
1,000,000	20	500,000	1,000,000

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Is there a search algorithm whose complexity is  $O(1)$ ?



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Is there a search algorithm whose complexity is  $O(1)$ ?  
**YES**



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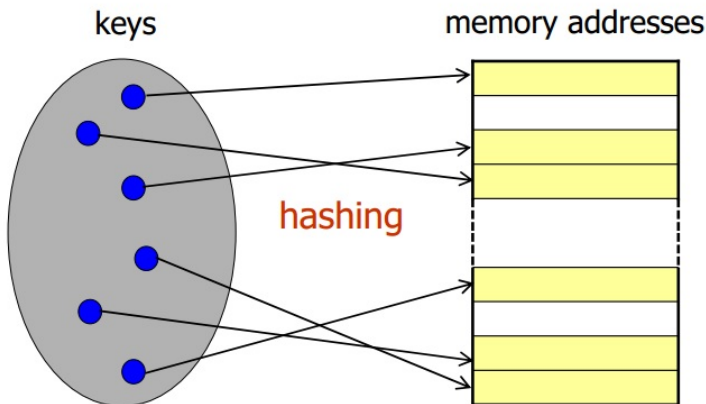
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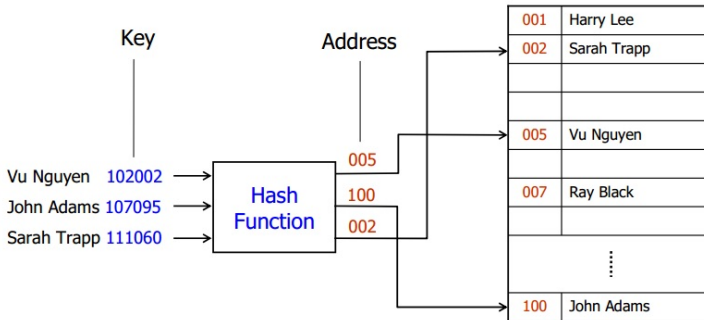
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**Figure:** Each key has only one address

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## Basic concepts

- **Home address**: address produced by a hash function.
- **Prime area**: memory that contains all the home addresses.



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## Basic concepts

- **Home address**: address produced by a hash function.
- **Prime area**: memory that contains all the home addresses.
- **Synonyms**: a set of keys that hash to the same location.
- **Collision**: the location of the data to be inserted is already occupied by the synonym data.



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- **Home address**: address produced by a hash function.
- **Prime area**: memory that contains all the home addresses.
- **Synonyms**: a set of keys that hash to the same location.
- **Collision**: the location of the data to be inserted is already occupied by the synonym data.
- **Ideal hashing**:
  - No location collision
  - Compact address space



# Basic concepts

Insert A, B, C

hash(A) = 9

hash(B) = 9

hash(C) = 17



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# Basic concepts

Insert A, B, C

hash(A) = 9

hash(B) = 9

hash(C) = 17

B and A  
collide at 9



**Collision Resolution**

Search + Hash

Dept. Computer  
Science



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# Basic concepts

Insert A, B, C

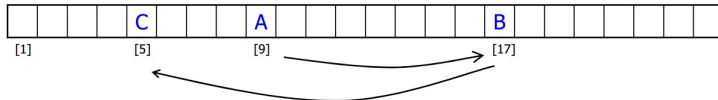
hash(A) = 9

hash(B) = 9

hash(C) = 17

B and A  
collide at 9

C and B  
collide at 17



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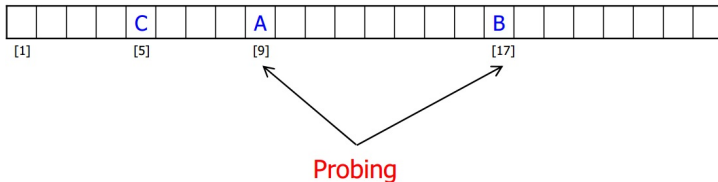
# Basic concepts

Search for B

hash(A) = 9

hash(B) = 9

hash(C) = 17



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The address is the key itself:

$$\text{hash}(\text{Key}) = \text{Key}$$



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- **Advantage:** there is no collision.
- **Disadvantage:** the address space (storage size) is as large as the key space.



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$$Address = Key \bmod listSize$$

- Fewer collisions if *listSize* is a prime number.
- Example:  
Numbering system to handle 1,000,000 employees  
Data space to store up to 300 employees  
 $hash(121267) = 121267 \bmod 307 = 2$



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# Digit extraction

*Address = selected digits from Key*

Example:

379452 → 394

121267 → 112

378845 → 388

160252 → 102

045128 → 051



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*Address = middle digits of  $Key^2$*

Example:

$$9452 * 9452 = 89340304 \rightarrow 3403$$

- **Disadvantage:** the size of the  $Key^2$  is too large.
- **Variations:** use only a portion of the key.

Example:

$$379452: 379 * 379 = 143641 \rightarrow 364$$

$$121267: 121 * 121 = 014641 \rightarrow 464$$

$$045128: 045 * 045 = 002025 \rightarrow 202$$



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The key is divided into parts whose size matches the address size.

Example:

Key = 123—456—789

*fold shift*

$123 + 456 + 789 = 1368$

→ 368



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The key is divided into parts whose size matches the address size.

Example:

Key = 123—456—789

*fold shift*

$$123 + 456 + 789 = 1368$$

→ 368

*fold boundary*

$$321 + 456 + 987 = 1764$$

→ 764



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- Hashing keys that are identical except for the last character may create synonyms.
- The key is rotated before hashing.

original key    rotated key

600101        160010

600102        260010

600103        360010

600104        460010

600105        560010



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- Used in combination with fold shift.

original key	rotated key
600101 → 62	160010 → 26
600102 → 63	260010 → 36
600103 → 64	360010 → 46
600104 → 65	460010 → 56
600105 → 66	560010 → 66

Spreading the data more evenly across the address space.



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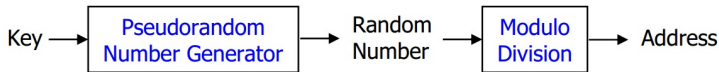
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$$y = ax + c$$

For maximum efficiency,  $a$  and  $c$  should be prime numbers.



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- Collision resolution
  - Open addressing
  - Bucket hashing
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Example:

Key = 121267

$a = 17$

$c = 7$

listSize = 307

Address =  $((17 * 121267 + 7) \bmod 307$

$= (2061539 + 7) \bmod 307$

$= 2061546 \bmod 307$

$= 41$



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# Collision resolution



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## Collision resolution

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- Except for the direct hashing, none of the others are **one-to-one mapping**  
→ Requiring collision resolution methods
- Each collision resolution method can be used **independently** with each hash function



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- Closed Hashing
  - Open addressing
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When a collision occurs, an **unoccupied element** is searched for placing the new element in.



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Hash function:

$$h : U \rightarrow \{0, 1, 2, \dots, m - 1\}$$

set of keys

addresses



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Hash and probe function:

$$hp : U \times \{0, 1, 2, \dots, m-1\} \rightarrow \{0, 1, 2, \dots, m-1\}$$

set of keys      probe numbers      addresses



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# Open Addressing

```
1 Algorithm hashInsert(ref T [array], val k [key])
2 Inserts key k into table T.

3 i = 0
4 while i < m do
5     j = hp(k, i)
6     if T[j] = nil then
7         T[j] = k
8         return j
9     else
10        i = i + 1
11    end
12 end
13 return error: "hash table overflow"
14 End hashInsert
```



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# Open Addressing

```
1 Algorithm hashSearch(val  $T$  [array], val  $k$  [key])
2 Searches for key  $k$  in table  $T$ .

3  $i = 0$ 
4 while  $i \neq m$  do
5      $j = \text{hp}(k, i)$ 
6     if  $T[j] = k$  then
7         return  $j$ 
8     else if  $T[j] = \text{nil}$  then
9         return nil
10    else
11         $i = i + 1$ 
12    end
13 end
14 return nil
15 End hashSearch
```



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There are different methods:

- Linear probing
- Quadratic probing
- Double hashing
- Key offset



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Collision resolution

Open addressing

Bucket hashing

Linked list resolution

## Linear Probing

- When a home address is occupied, go to the **next address** (the current address + 1):

$$hp(k, i) = (h(k) + i) \bmod m$$



### Searching algorithms

Sequential Search

Interval Search

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Modulo division

Digit extraction

Mid-square

Mid-square

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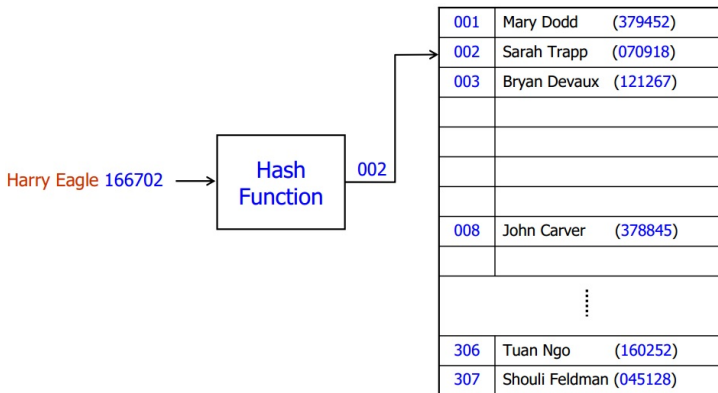
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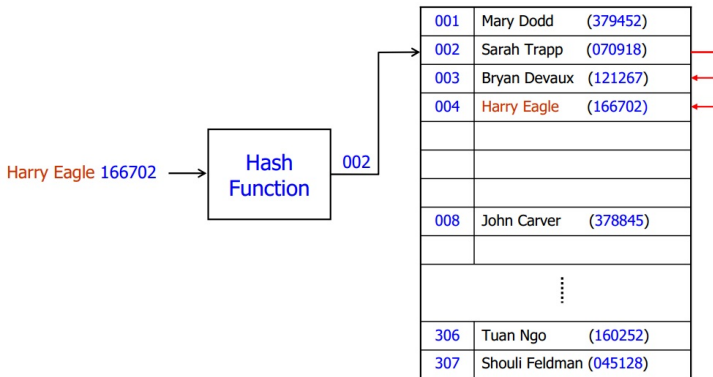
Pseudo-random

### Collision resolution

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- Advantages:
  - quite simple to implement
  - data tend to remain near their home address (significant for disk addresses)
- Disadvantages:
  - produces primary clustering



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- The address increment is the **collision probe number** squared:

$$hp(k, i) = (h(k) + i^2) \bmod m$$



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- Advantages:
    - works much better than linear probing
  - Disadvantages:
    - time required to square numbers
    - produces secondary clustering
- $$h(k_1) = h(k_2) \rightarrow hp(k_1, i) = hp(k_2, i)$$



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- Using **two** hash functions:  
$$hp(k, i) = (h_1(k) + ih_2(k)) \bmod m$$



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- The new address is a function of the collision address and the key.

$$\begin{aligned} offset &= [key / listSize] \\ newAddress &= (collisionAddress + \\ &offset) \bmod listSize \end{aligned}$$



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## Open addressing

Bucket hashing  
Linked list resolution

- The new address is a function of the collision address and the key.

$$offset = [key / listSize]$$

$$newAddress = (collisionAddress + offset) \bmod listSize$$

$$hp(k, i) = (hp(k, i - 1) + [k/m]) \bmod m$$





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Hash and probe function:

$$hp : U \times \{0, 1, 2, \dots, m-1\} \rightarrow \{0, 1, 2, \dots, m-1\}$$

set of **keys**      **probe numbers**      **addresses**

$\{hp(k, 0), hp(k, 1), \dots, hp(k, m-1)\}$  is a permutation of  $\{0, 1, \dots, m-1\}$

- Hashing data to **buckets** that can hold multiple pieces of data.
- Each bucket has an address and **collisions are postponed** until the bucket is full.



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**Bucket hashing**

Linked list resolution



# Bucket hashing

001	Mary Dodd (379452)
002	Sarah Trapp (070918)
	Harry Eagle (166702)
	Ann Georgis (367173)
003	Bryan Devaux (121267)
	Chris Walljasper(572556)
⋮	
307	Shouli Feldman (045128)



linear probing



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**Bucket hashing**

Linked list resolution

- Major disadvantage of Open Addressing:  
each collision resolution increases the probability for future collisions.  
→ use **linked lists** to store synonyms



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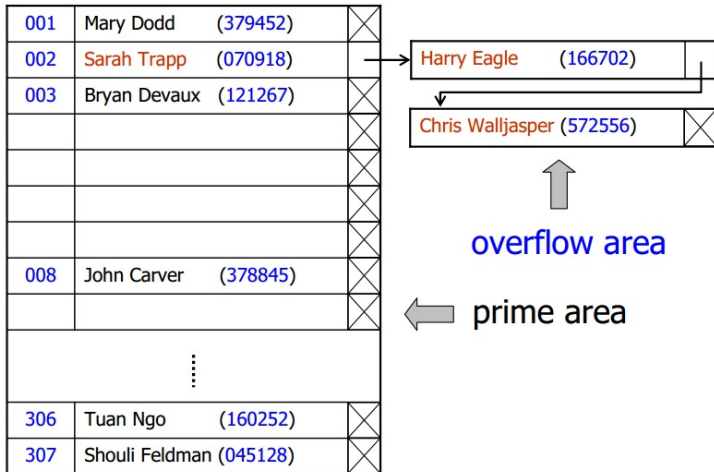
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# Linked list resolution



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# THANK YOU.



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