

Ch.5.1-5.6 Trees 參考答案

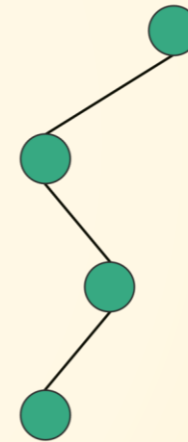
Q1

Please give an example to each of the following binary tree types.

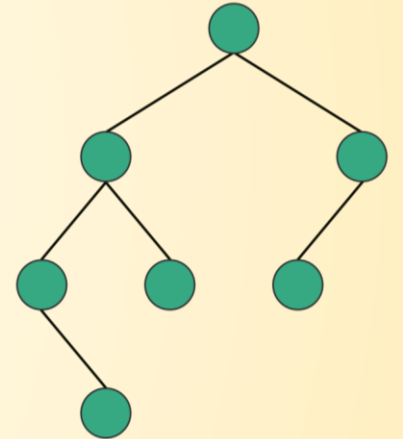
- **Full binary tree**
- **Complete binary tree**
- **Skewed binary tree**
- **Balanced Binary Tree**
- **Degenerate (or pathological) tree**

A1:

- **Balanced binary tree** : a binary tree in which the left and right subtrees of **every node** differ in height by no more than 1.
- **Degenerate binary tree** : a binary tree in which each node has 1 child.



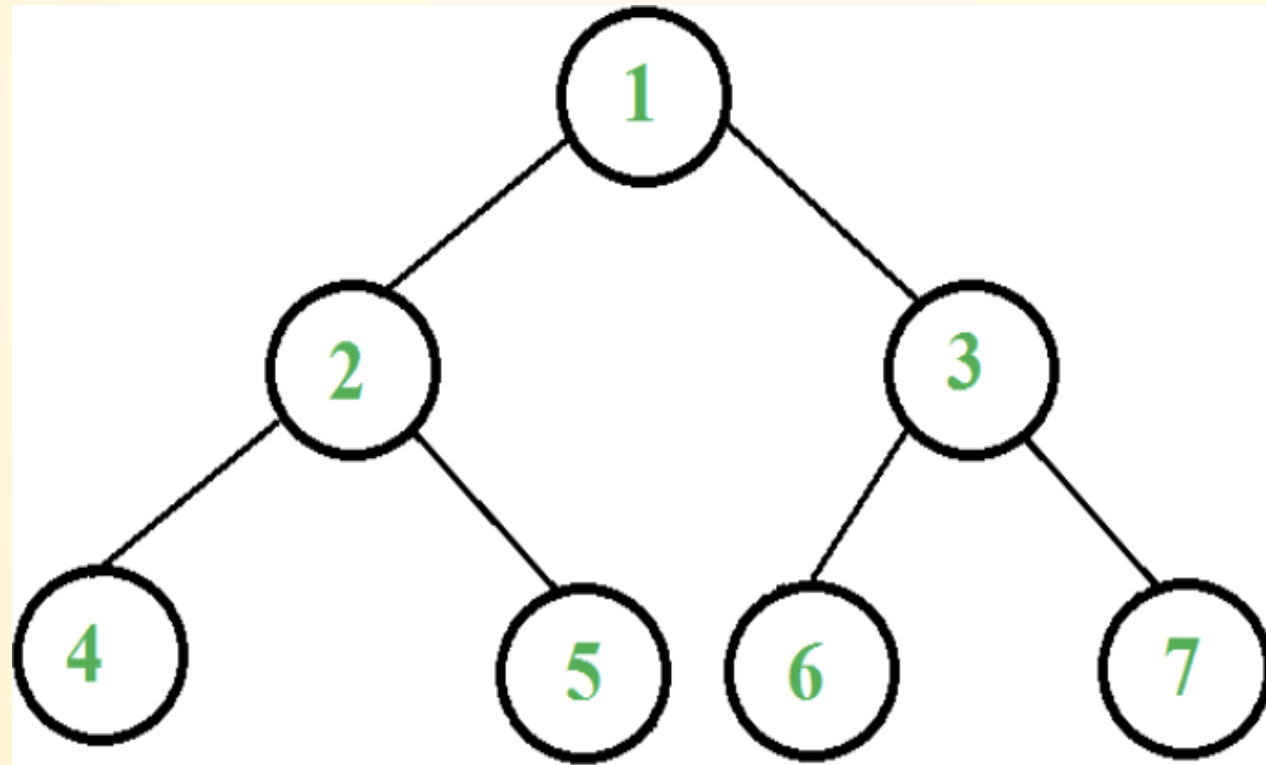
Degenerate



Balanced

Q2:

Design a non-recursive method to traverse a binary tree in postorder.



A2:

参考: <https://www.geeksforgeeks.org/tree-traversals-inorder-preorder-and-postorder/>

Algorithm 1: *postorderIterative(R)*

```
Input: root
stack S;
node currentNode;
if (R == Null) then
    | return;
end
S.push(R);
while S is not empty do
    | currentNode ← S.pop();
    | if (!currentNode → right)&&(!currentNode → left) then
    | | cout << currentNode;
    | else
    | | S.push(currentNode);
    | end
    | if (currentNode → right) then
    | | S.push(currentNode → right);
    | | currentNode → right = Null;
    | end
    | if (currentNode → left) then
    | | S.push(currentNode → left);
    | | currentNode → left = Null;
    | end
end
```

Q3:

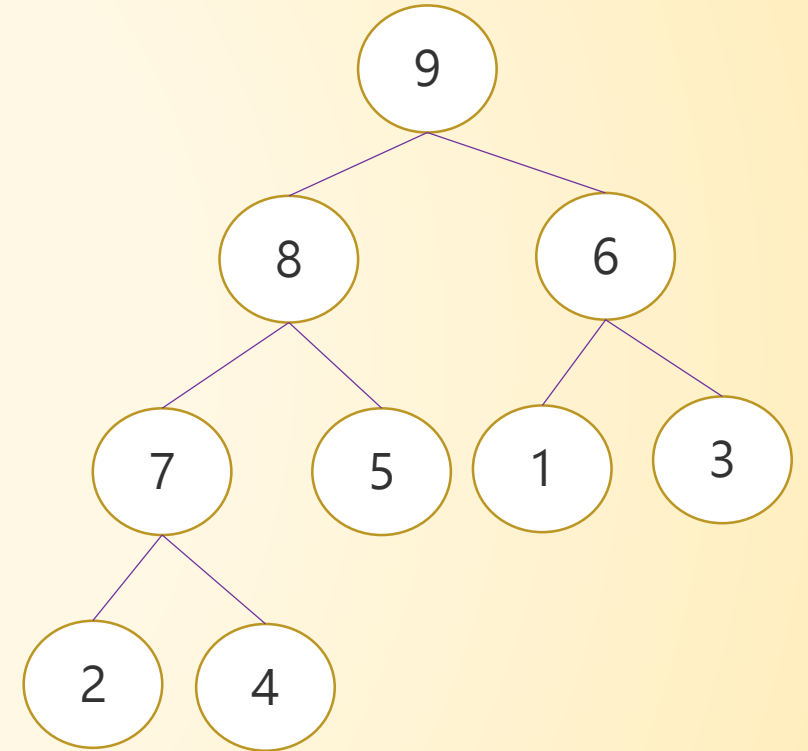
Please construct a max-heap in both **bottom-up and **top-down** method from the following array A, and explain how you do it and time complexity.**

$A[9] = \{ 2, 5, 1, 8, 9, 3, 6, 4, 7 \}$

Try to implement your Max Heap as well as its related operations using C++.

A3: [Top-down]

- Insert item n times
 - worst case: $n * O(\log n) = O(n \log n)$
 - avg. case: $n * O(1) = O(n)$ [supplement]



Insert - Avg. case : $O(1)$ [supplement]

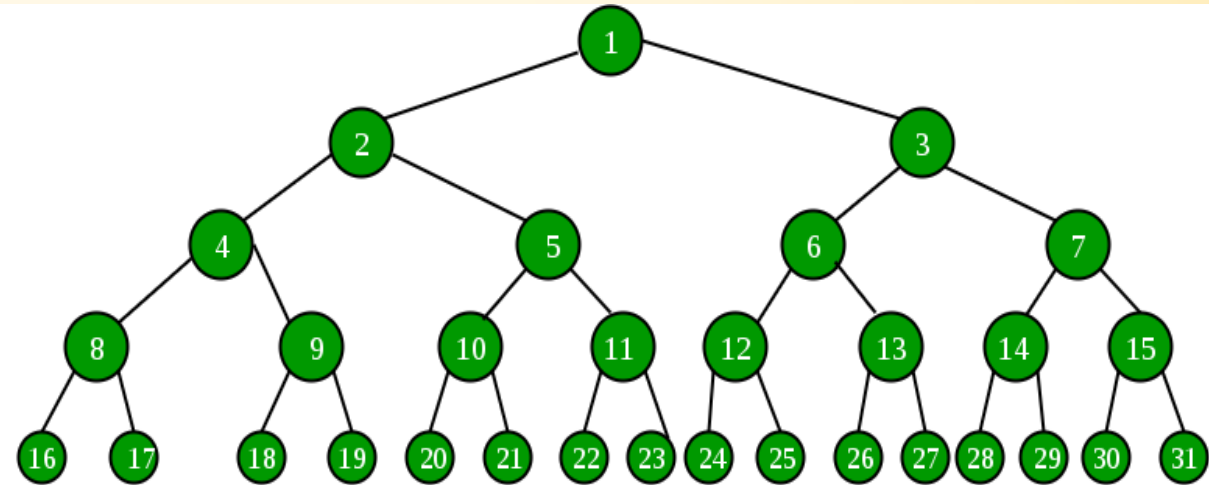
$n/2$ of the values are at height h (the leaves of the tree)

$n/4$ of the values are at height $h - 1$

$n/8$ of the values are at height $h - 2$

...

1 of the values are at height 0 (the root of the tree)



So, our new value has probability $1/2$ of being at height h , which requires one compare and no swaps. It has probability $1/4$ of being at height $h - 1$, which requires two compares and one swap, etc.

expected (average) work to insert = $\frac{1}{2} \cdot 1 + \frac{1}{4} \cdot 2 + \frac{1}{8} \cdot 3 + \frac{1}{16} \cdot 4 + \dots$

The infinite series $\sum \frac{k}{2^k}$ converges (to 2, in fact), so the average amount of work is $O(1)$.

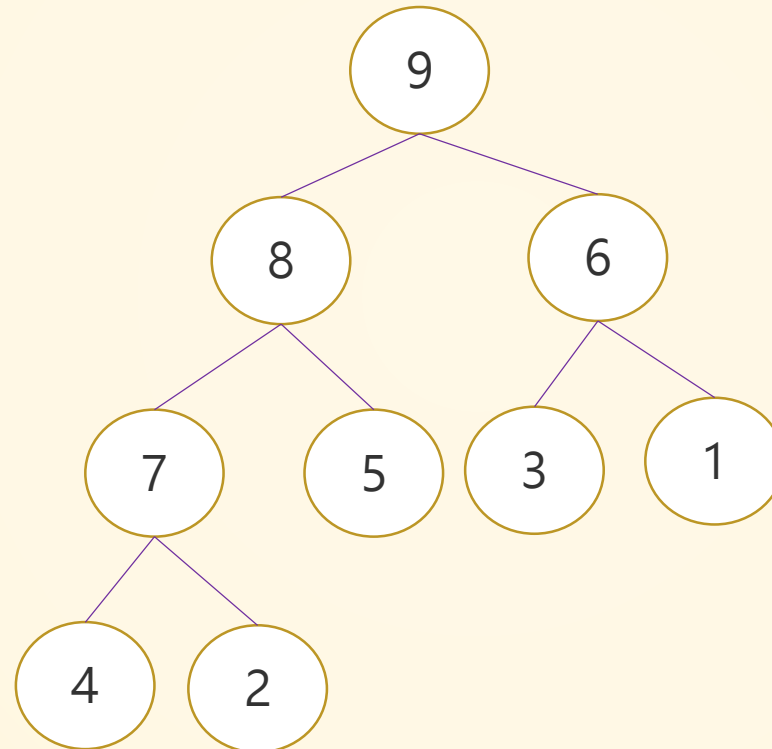
Insert - worst case : $O(\log n)$ [supplement]

Note: Can we optimize heap insertion in the worst case?

(hint: from $O(\log n)$ to $O(\log \log n)$)

A3: [Bottom-up]

▪ $O(n)$

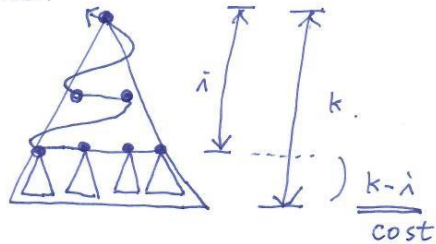


A3: [Bottom-up][supplement]

Bottom-up

$O(n)$ step 1. Construct complete binary tree for given input data.

$O(n)$ step 2. From the last parent to the root node, heapify each subtree.



Time Complexity:

$$k = \lceil \log_2(n+1) \rceil$$

the level of subtree's root = i

the number of level- i subtree $\leq 2^{i-1}$

$$\Rightarrow \text{total cost} = 2^{i-1} \cdot (k-i)$$

$$\Rightarrow \sum_{i=1}^{k-1} 2^{i-1} \cdot (k-i) = S$$

$$S = 2^0(k-1) + 2^1(k-2) + \dots + 2^{k-2} \cdot 1$$

$$2S = 2^1(k-1) + 2^2(k-2) + \dots + 2^{k-1} \cdot 1$$

$$2S - S = -(k-1) + 2^1 + \dots + 2^{k-1}$$

$$S = \left(\frac{2^k - 2^1}{2 - 1} \right) - (k-1) = 2^k - k - 1 \quad (k \approx \log_2 n)$$

$$= n - \log_2 n - 1$$

$$\Rightarrow O(n)$$

Mix-Heap Implementation

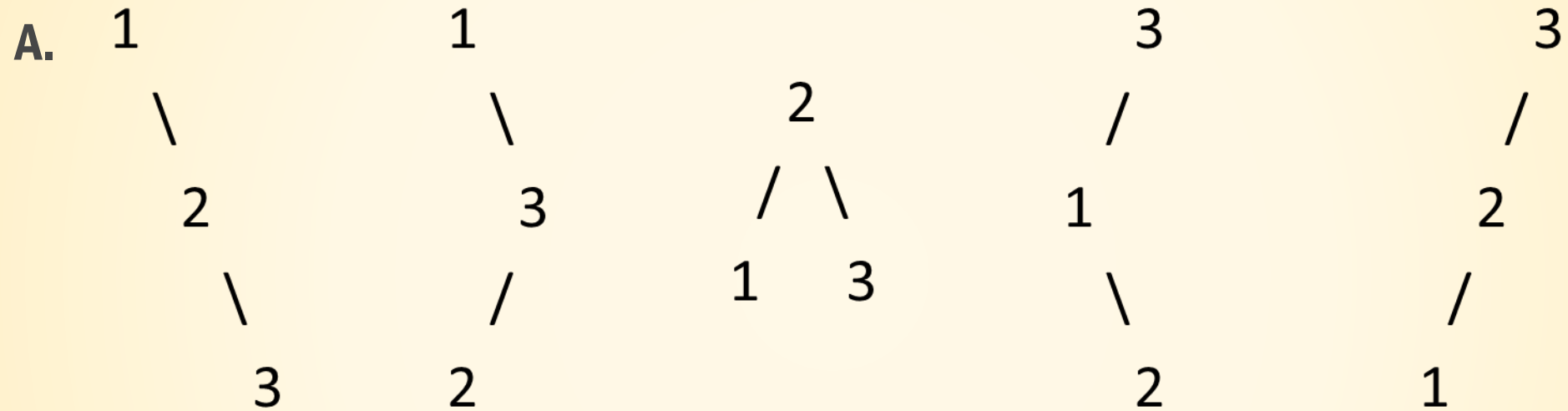
参考: <https://www.geeksforgeeks.org/binary-heap/>

Q4:

Please re-construct all possible binary trees based on each following condition.

- A. Inorder traversal sequence : 1 2 3**
- B. Preorder traversal sequence is equal to postorder traversal sequence**
- C. Postorder traversal sequence is equal to inorder traversal sequence**

A4:



B. Empty and root only

C. Empty, root only and left skewed binary tree

Q5:

Answer True or False to the following questions and explain your answers.

- A. A binary tree re-constructed, based on a given postorder traversal sequence and an inorder traversal sequence, is unique.**
- B. A binary tree re-constructed, based on a given postorder traversal sequence and a preorder traversal sequence, is unique.**

A5:

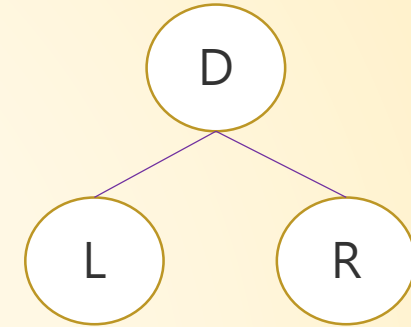
a. True

Postorder : LR**D**

Inorder : L**D**R

We can always find the root node (i.e. D) from postorder sequence, then find the left and right subtrees (i.e. L & R) from inorder sequence.

→ unique

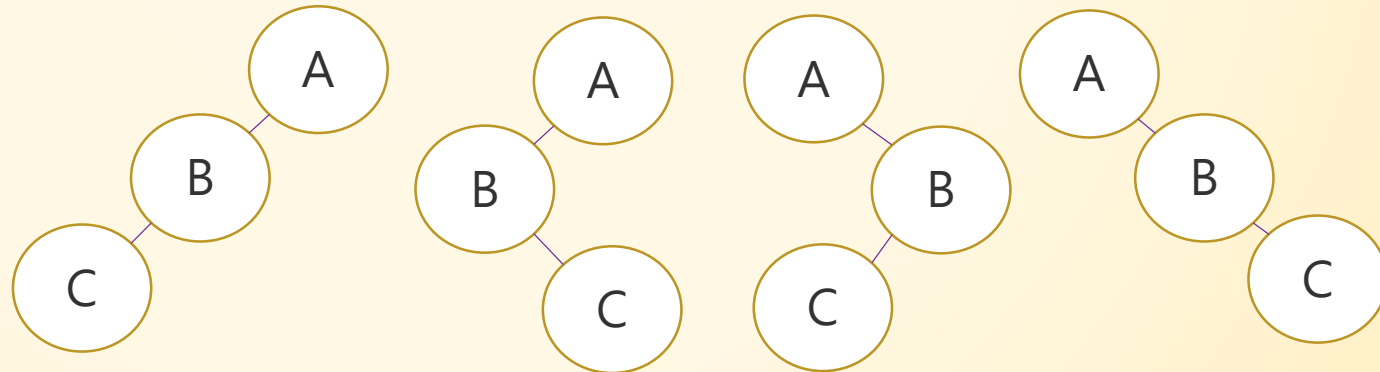


b. False

E.g.

Preorder : ABC

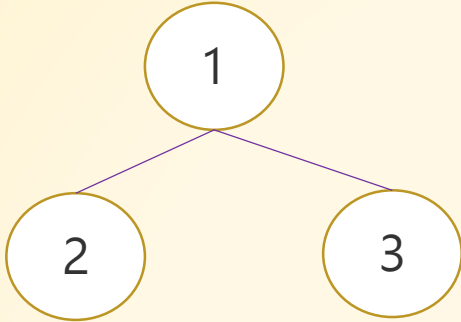
Postorder : CBA



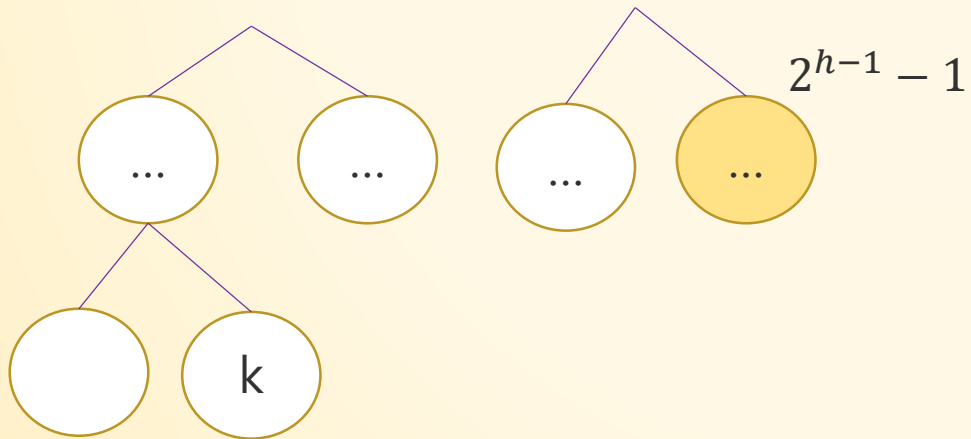
Q6:

Prove that the node of index k in complete binary tree is at the height equals $\lfloor \log_2 k \rfloor + 1$ (The height of the root is 1.) ($k \geq 1$)

A6:



•
•
•
•



level

1

•
•
•
•

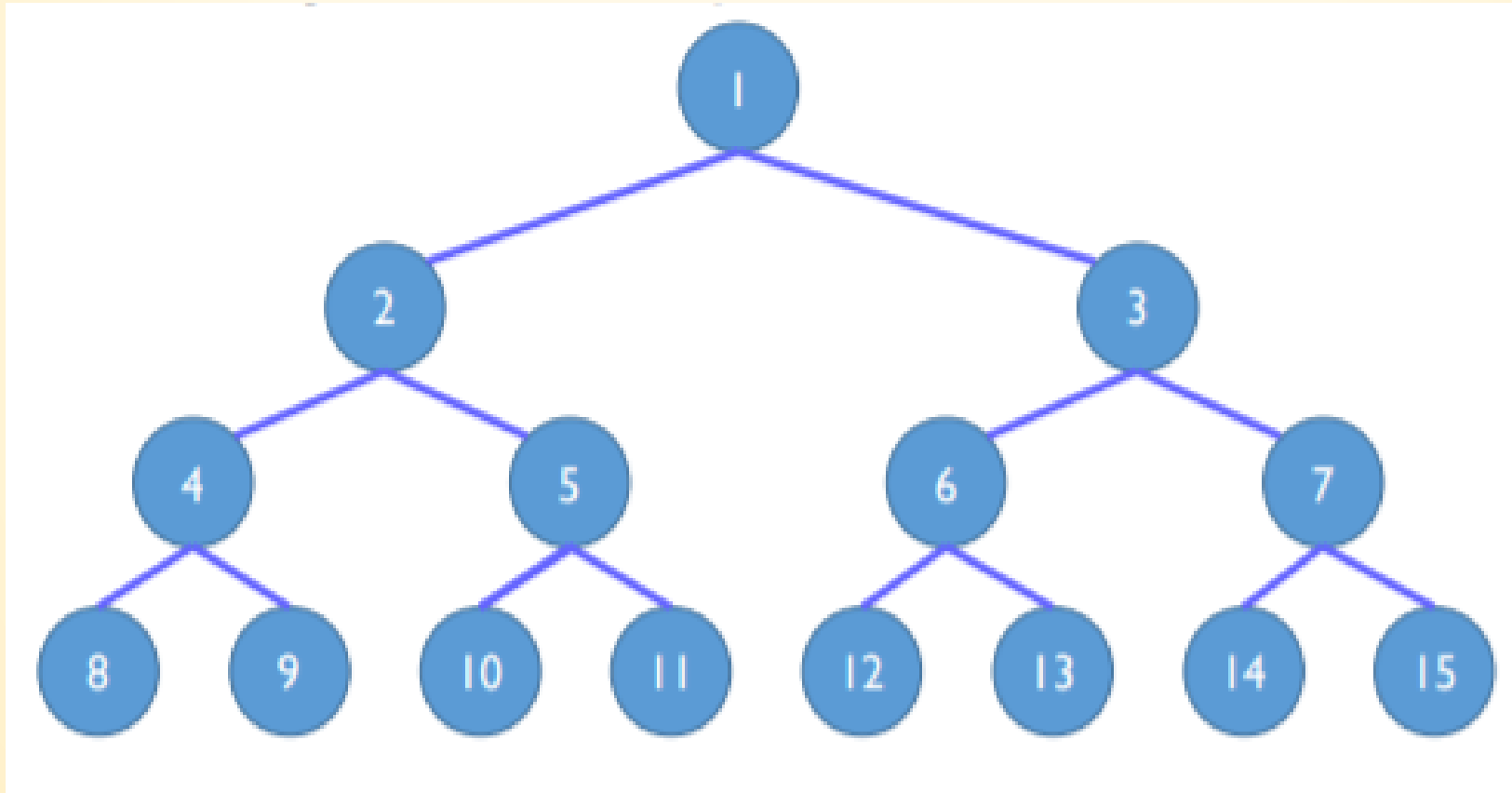
h-1

h

$$\begin{aligned} 2^{h-1} - 1 &< k \\ \Rightarrow 2^{h-1} &\leq k \\ \Rightarrow h - 1 &\leq \log k \\ \Rightarrow h &= \log_2 k + 1 \end{aligned}$$

Q7:

Prove that a full binary tree of depth k has $2^k - 1$ nodes.



A7:

$$2^0 + 2^1 + \dots + 2^{k-1} = 2^k - 1$$

Q8:

Can you apply Max/Min heap for sorting algorithm? If so, explain how to do it.

A8:

Heap sort :

step 1 : construct max heap by bottom-up method $\rightarrow O(n)$

step 2 : find max item and delete it $\rightarrow O(\log k)$

step 3 : go step 2 until the heap is empty $\rightarrow n$ times

Time complexity:

$$O(n) + O\left(\sum_{k=1}^n \log k\right) = O(\log(n!)) = O(n \log n)$$

The disadvantages of heap sort

What are the disadvantages of heap sort?

(Hint: spatial locality, unnecessary comparisons and exchange)

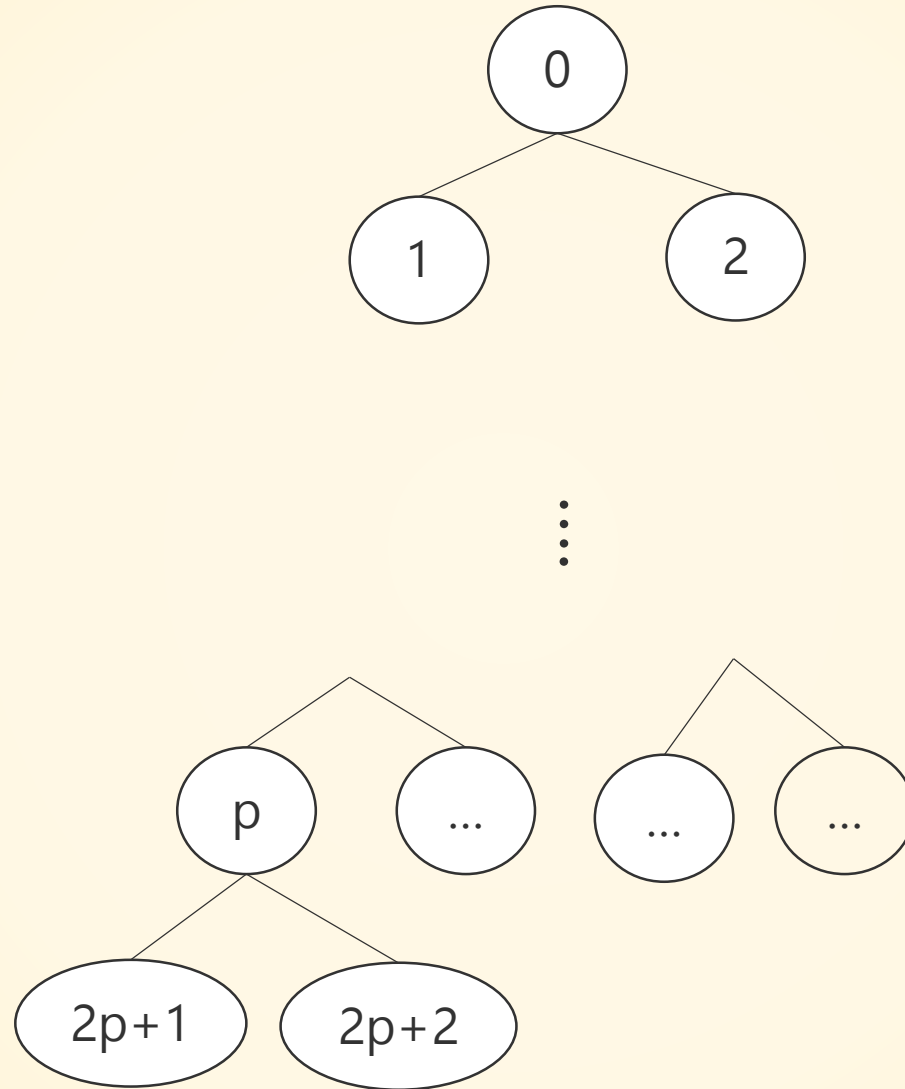
参考: <https://www.cs.auckland.ac.nz/software/AlgAnim/qsrt3.html>

Q9:

If we use an array to represent a binary tree of n nodes, the indices of nodes can start from 0 to $n-1$. If the index of a father node is “ p ”, what are the indices of its left son and right son?

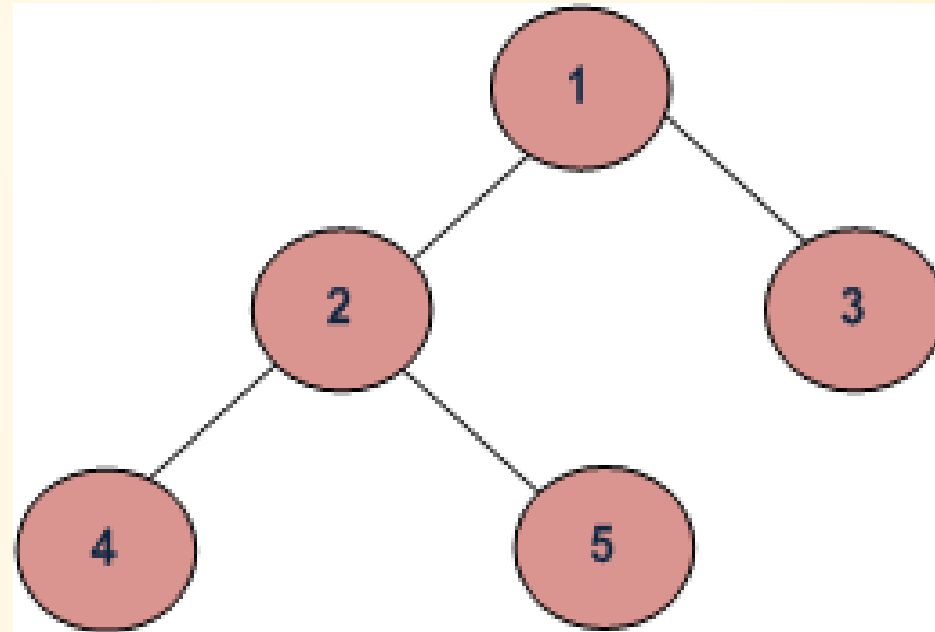
A9:

▪ $2p+1, 2p+2$



Q10:

Please write a program to calculate the size of a binary tree. The size of a tree is the number of elements present in the tree. For example, the size of the tree below is 5.



A10:

Algorithm 1: $NodeCount(T)$

Input: binary tree

if $(T = NULL)$ **then**

 | return 0;

else

 | $nL \leftarrow NodeCount(T \rightarrow LeftChild);$

 | $nR \leftarrow NodeCount(T \rightarrow RightChild);$

 | return $nL + nR + 1$;

end

Q11:

- **Please write a program to count the number of leaf nodes in a binary tree. You must consider two cases: the binary tree is implemented by an array and by a linked list.**

A11:

Algorithm 1: *LeafCount*(T)

Input: binary tree

if ($T = NULL$) **then**

 | return 0;

else

 | $nL \leftarrow \text{LeafCount}(T \rightarrow \text{LeftChild});$

 | $nR \leftarrow \text{LeafCount}(T \rightarrow \text{RightChild});$

 | **if** ($(nL + nR) > 0$) **then**

 | return $nL + nR$;

 | **else**

 | return 1;

 | **end**

end
