

# Lecture 6: Constraint Satisfaction

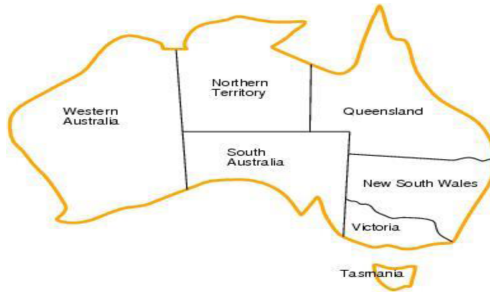
Davide Grossi



# **PART I**

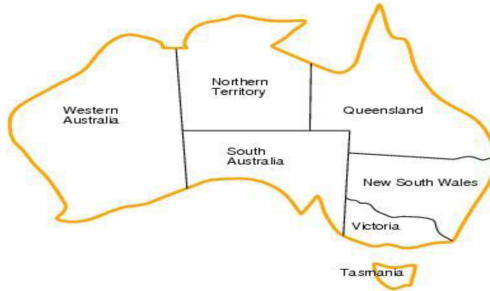
## Constraint-Satisfaction Problems

## A map-coloring problem



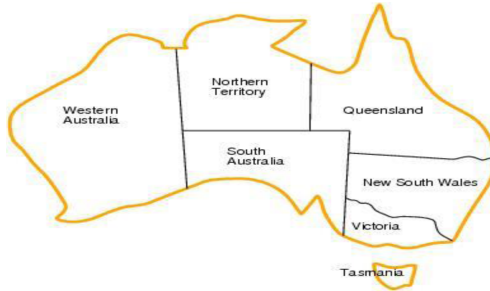
Can you assign a color (blue, red or green) to every state in the map so that no two adjacent states have the same color?

# Map coloring



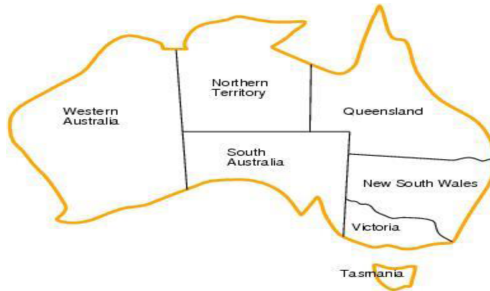
- Variables: {WA, NT, Q, NSW, V, SA, T}

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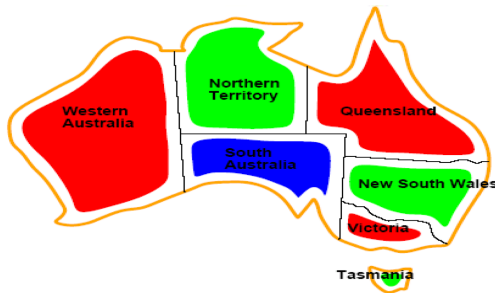
- ▶ Variables: {WA, NT, Q, NSW, V, SA, T}
- ▶ Domain: { red, green, blue } for all variables

# Map coloring



- ▶ Variables: {WA, NT, Q, NSW, V, SA, T}
- ▶ Domain: { red, green, blue } for all variables
- ▶ Constraints: 
$$\begin{cases} WA \neq NT & WA \neq SA & SA \neq NT \\ SA \neq Q & NT \neq Q & Q \neq NSW \\ NSW \neq V \end{cases}$$

# Map coloring



A solution assigns a color to each variable in a way that satisfies the constraints

- ▶ SA = blue
- ▶ WA, Q, V = red
- ▶ NT, NSW, T = green

## A cryptarithmic problem

$$\begin{array}{r} \phantom{+} \phantom{000} T \phantom{00} W \phantom{000} O \\ + \phantom{000} T \phantom{00} W \phantom{000} O \\ \hline \phantom{000} F \phantom{00} O \phantom{00} U \phantom{000} R \end{array}$$

- ▶ Variables:  $\{T, W, O, F, U, R, X1, X2, X3\}$
- ▶ Domains:  $T, W, O, F, U, R \in \{0, \dots, 9\}; X1, X2, X3 \in \{0, 1\}$

### Constraints<sup>1</sup>

- ▶  $\text{Alldiff}(T, W, O, F, U, R)$ , that is, all values should be different
- ▶  $O + O = R + X1 * 10$
- ▶  $X1 + W + W = U + X2 * 10$
- ▶  $X2 + T + T = O + X3 * 10$
- ▶  $X3 = F$

---

<sup>1</sup> A cryptarithmic solver



# Constraint Satisfaction Problems: Definition

A **Constraint Satisfaction Problem** (CSP) consists of

- ▶ A finite set of variables  $\{V_1, \dots, V_n\}$
- ▶ A list of non-empty domains  $(D_1, \dots, D_n)$  for each variable
- ▶ A finite set of constraints  $C_1, \dots, C_m$ .

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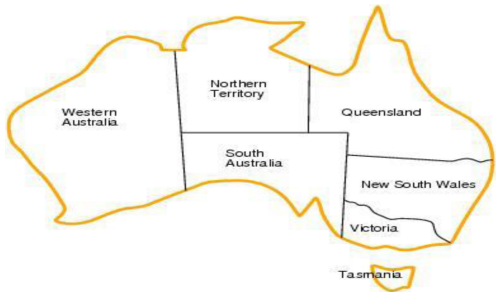
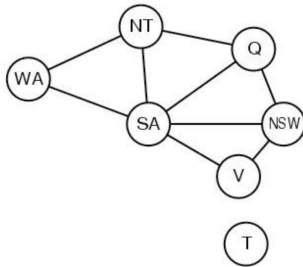
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A **state** is an assignment of values to (some or all) variables  $V_i$

- ▶ An assignment is **complete** if  $V_i \in D_i$  for all  $i$
- ▶ It is **consistent** (or legal) if it satisfies all constraints  $C_j$
- ▶ A **solution** is a complete and legal assignment

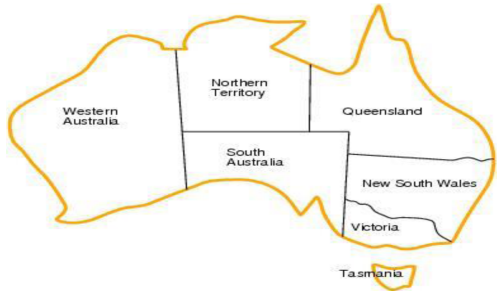
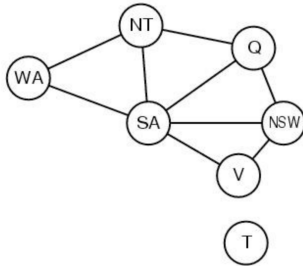
# Constraint graph



The **constraint graph** represents variables as nodes and constraints as edges

- Graphs can simplify the problem structure

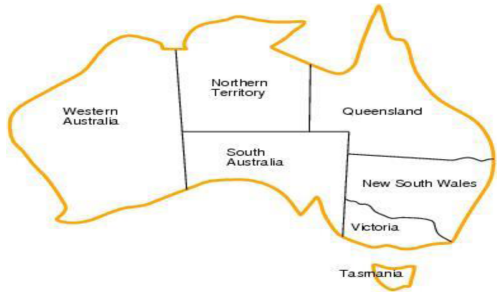
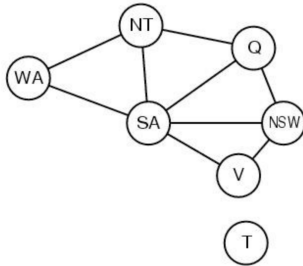
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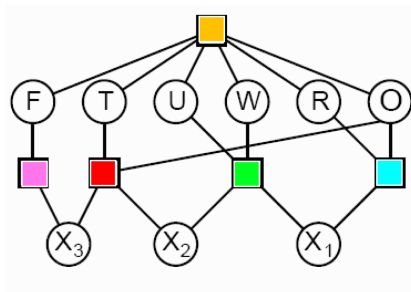
- ▶ Graphs can simplify the problem structure
- ▶ **QUESTION** What feature of the problem does the graph make immediately explicit?
- ▶ Tasmania is an independent subproblem

# Constraint graph

$$\begin{array}{rcccc} & T & W & O & \\ + & T & W & O & \\ \hline F & O & U & R & \end{array}$$

## Constraints

- ▶  $\text{Alldiff}(T, W, O, F, U, R)$
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# Constraints

Constraints are of different types

- ▶ Unary constraints
  - ▶ e.g.  $X \neq 0$
- ▶ Binary constraints
  - ▶ e.g.  $X \neq Y$
- ▶ Higher-order constraints
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  - ▶ e.g.  $X + Y = A + B$
- ▶ QUESTION What type of constraints did we handle in the map coloring problem?



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    - ▶ e.g. job scheduling with integer job start times



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    - ▶ We cannot possibly enumerate all solutions! We need a constraint language to describe them, e.g.  $StartJob_1 + 5 \leq StartJob_2$
- ▶ Continuous variables

# **PART II**

## **Solving CSPs (backtracking)**



# Solving CSP: Search

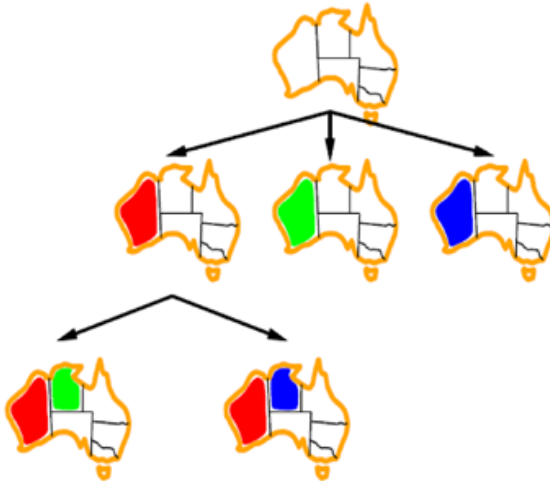
A CSP can be expressed as a search problem

- ▶ **Initial state:** Empty assignment
- ▶ **Actions:** Assign a value to an unassigned variable so that no constraints are violated
- ▶ **Goal test:** Is the complete assignment a solution?

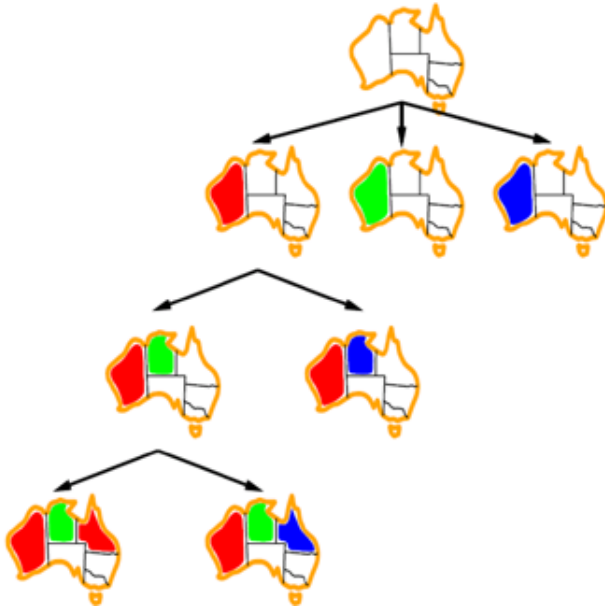
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## Search for CSP: General Features

The solution of a CSP (with **finite** domains) with  $n$  variables is found at depth  $n$

- ▶ Depth-first search is an option
- ▶ For a finite domain size  $d$  CSP, the top level has  $n \cdot d$  successors
  - ▶ At depth  $k$ , there are  $(n - k) \cdot d$  successors
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- e.g.** In the map-coloring problem it does not matter whether I assign **blue** to WA before assigning **red** to SA
- ▶ CSP search problems are said to be **commutative**
    - ▶ **QUESTION** Have we already encountered commutative search problems? What kind of techniques did we use to solve them?



## Backtracking search in CSP

- ▶ **IDEA** Exploiting commutativity, choose values for one variable at a time, and backtrack when a variable has no legal values to assign

# Backtracking search in CSP

- ▶ IDEA Exploiting commutativity, choose values for one variable at a time, and backtrack when a variable has no legal values to assign
- ▶ = *Depth First Search (DFS) with backtracking*

## Basic (naive) Backtracking Search in CSP: Pseudocode

```
function BACKTRACK (assignment, csp) returns solution
  if assignment is complete then return assignment
  var  $\leftarrow$  SELECTUNASSIGNEDVARIABLE (csp)
  for each value in ORDERDOMAIN(var, assignment, csp) do
    if value is consistent with assignment then
      add {var = value} to assignment
      result  $\leftarrow$  BACKTRACK(assignment, csp)
      if result  $\neq$  failure then return result
    remove {var = value} from assignment
  return failure
```

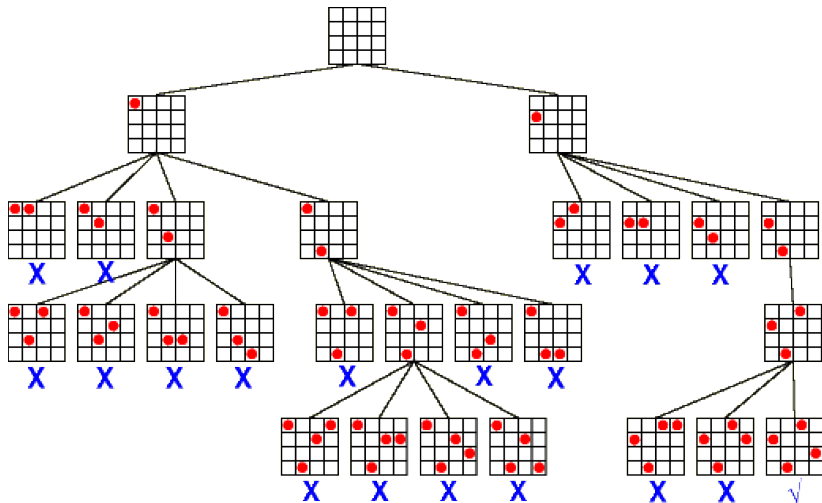


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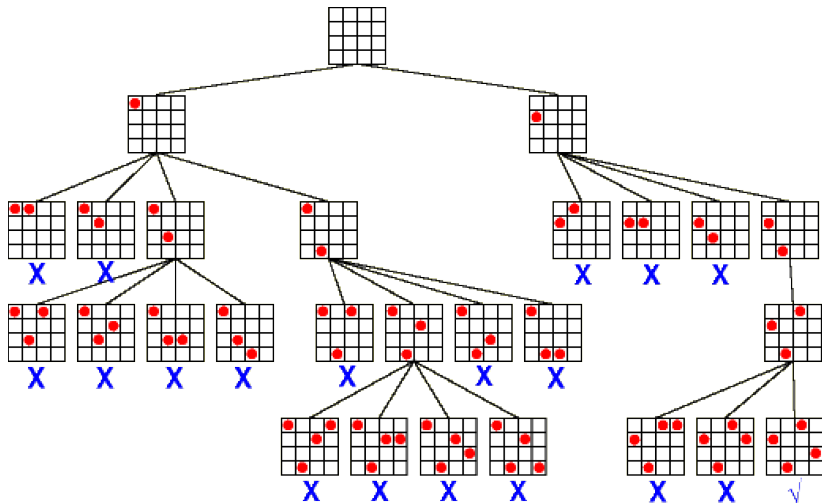


## Example: 4 Queens Problem





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Is this satisfactory?

## Example: Sudoku

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

Solving Sudoku by backtracking, sketch:

- ▶ Select an empty square
- ▶ Fill in a valid integer
- ▶ If no valid integer exists, backtrack
- ▶ Repeat until a solution is found

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**QUESTION** Is this satisfactory?

# **PART III**

## **Solving CSPs (heuristics)**

## Backtracking efficiency

How can we increase the efficiency of backtracking?

Three approaches:

# Backtracking efficiency

How can we increase the efficiency of backtracking?

Three approaches:

- ▶ What **variable** should be assigned first?  
*var* ← SELECT-UNASSIGNED-VARIABLE (*csp*)
- ▶ What **value** should be tried first?  
**for each** *value* **in** ORDERDOMAIN(*var*, *assignment*, *csp*)
- ▶ Can we **detect failure** that are inevitable earlier in the search process?

## Variable selection: MRV heuristic

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- ▶ QUESTION Any ideas?



## Variable selection: MRV heuristic

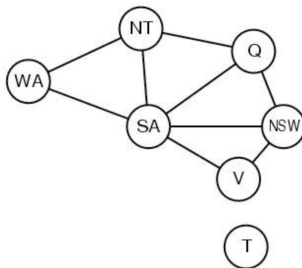
- ▶ What **variable** should be assigned first?
- ▶ **QUESTION** Any ideas?
- ▶ **INTUITION 1** Choose the variable that has the fewest possible options
- ▶ Also known as the *most constrained variable* (MRV) heuristic

## Minimum remaining values: example

$\begin{smallmatrix} 1 \\ 4\ 5\ 6 \\ 9 \end{smallmatrix}$	7	$\begin{smallmatrix} 1 \\ 4 \\ 6 \\ 9 \end{smallmatrix}$	$\begin{smallmatrix} 1 \\ 4\ 5\ 6 \end{smallmatrix}$	8	$\begin{smallmatrix} 1\ 2 \\ 4\ 5 \\ 9 \end{smallmatrix}$	3	$\begin{smallmatrix} 1\ 2 \\ 5 \\ 9 \end{smallmatrix}$	$\begin{smallmatrix} 1\ 4\ 5 \\ 9 \end{smallmatrix}$
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3	<div><math>\begin{smallmatrix} 1 \\ 6 \end{smallmatrix}</math></div>	$\begin{smallmatrix} 1 \\ 4 \\ 8\ 6 \end{smallmatrix}$	9	$\begin{smallmatrix} 1 \\ 4\ 5\ 6 \end{smallmatrix}$	$\begin{smallmatrix} 1\ 2 \\ 4\ 5 \end{smallmatrix}$	$\begin{smallmatrix} 1\ 2 \\ 5\ 6 \end{smallmatrix}$	7	$\begin{smallmatrix} 1\ 4\ 5 \end{smallmatrix}$
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## Variable selection: Degree heuristic

- ▶ What **variable** should be assigned first?
- ▶ **QUESTION** Any ideas?
- ▶ **INTUITION 2** Select the variable that is involved in the largest number of constraints with unassigned variables



## Value selection: LCV heuristic

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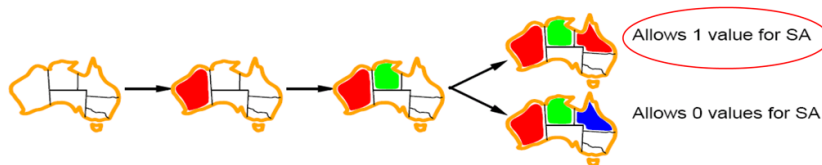
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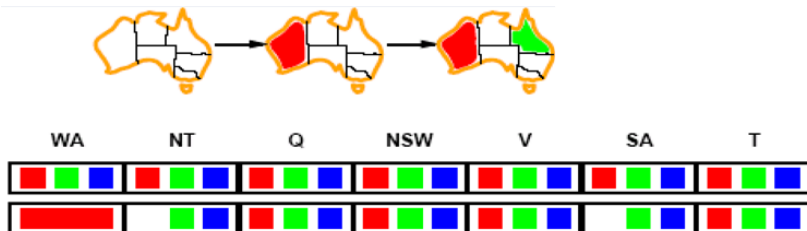
- ▶ Can we **detect failures** that are inevitable earlier in the search process?
- ▶ **Forward checking**
  - ▶ Track remaining legal values for unassigned variables
  - ▶ Terminate search when any variable has no legal values left

## Early failure detection: Forward checking



Initially, everything is possible

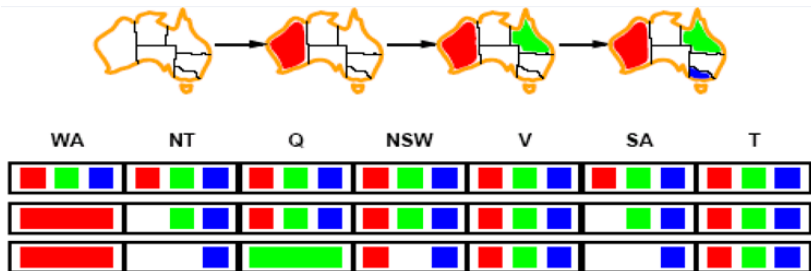
## Early failure detection: Forward checking



Assign **red** to WA

- ▶ NT can no longer be red
- ▶ SA can no longer be red

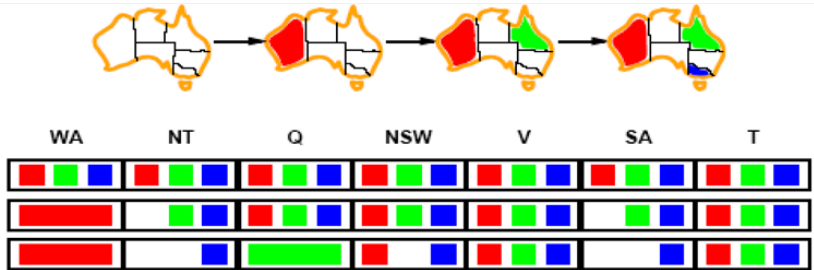
## Early failure detection: Forward checking



Assign **green** to Q

- ▶ NT can no longer be green
- ▶ NSW can no longer be green
- ▶ SA can no longer be green

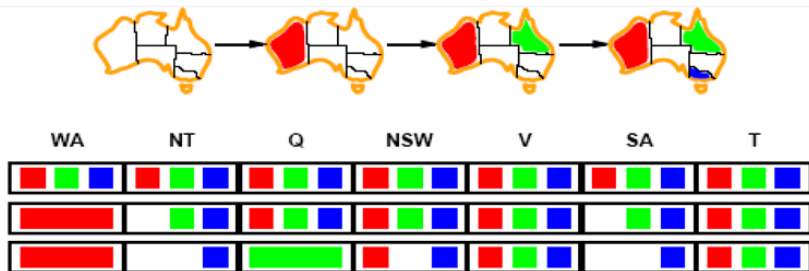
## Early failure detection: Forward checking



Try now to assign **blue** to V

- ▶ Forward checking detects that SA would have no valid values remaining (failure).

## Early failure detection: Forward checking

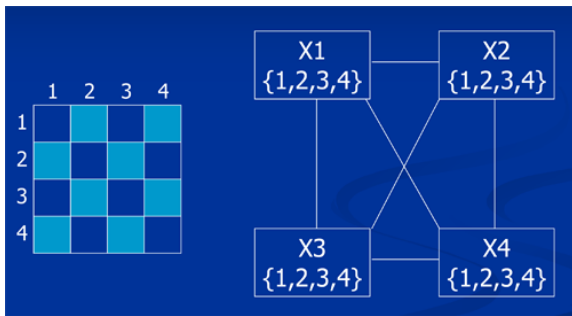


Try now to assign **blue** to V

- ▶ Forward checking detects that SA would have no valid values remaining (failure).

In general, combining heuristics can lead to better performance

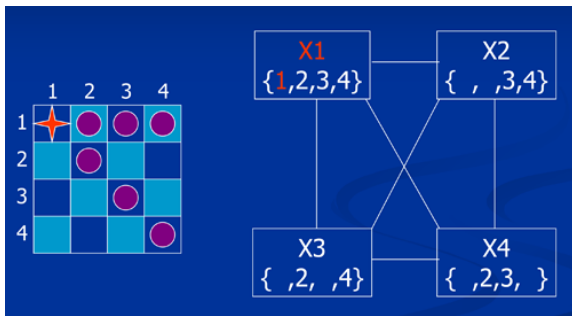
## 4 Queens Problem: Retake



$X_i$  is the row number of the queen in column  $i$

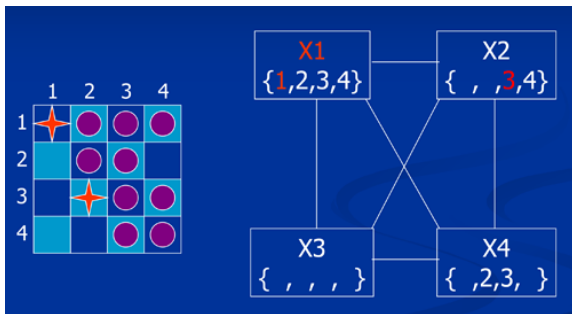


# Four queens problem



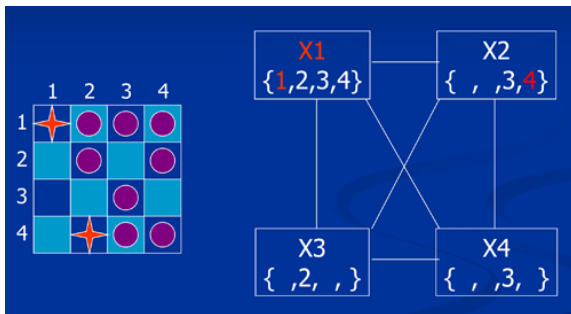
Visited states: 1

## Four queens problem: forward checking



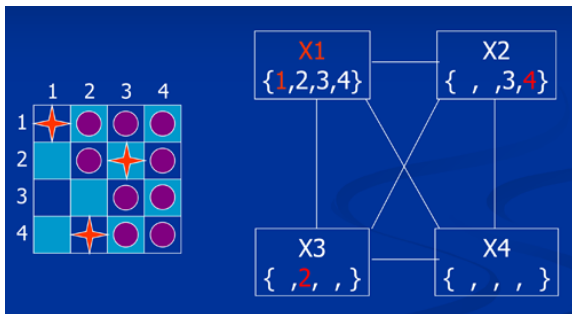
Visited states: 2

## Four queens problem: forward checking



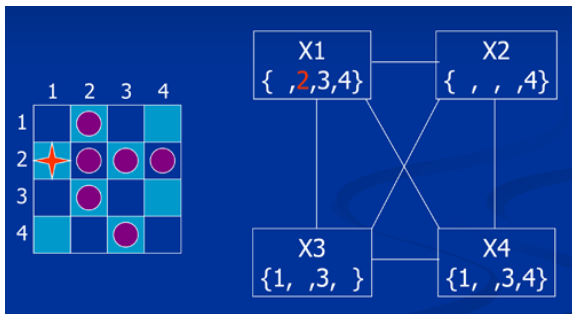
Visited states: 3

## Four queens problem: forward checking



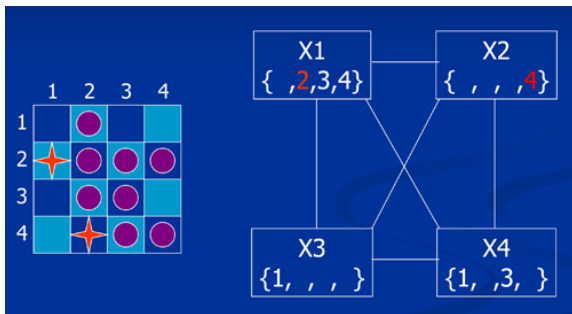
Visited states: 4

## Four queens problem: forward checking



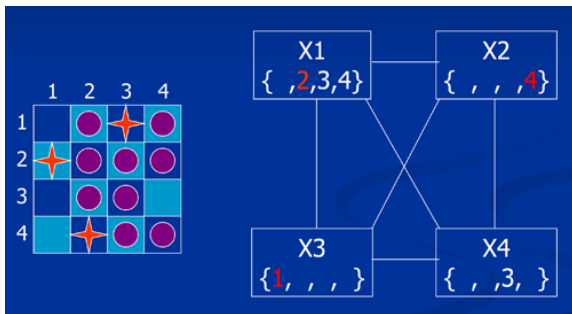
Visited states: 5

## Four queens problem: forward checking



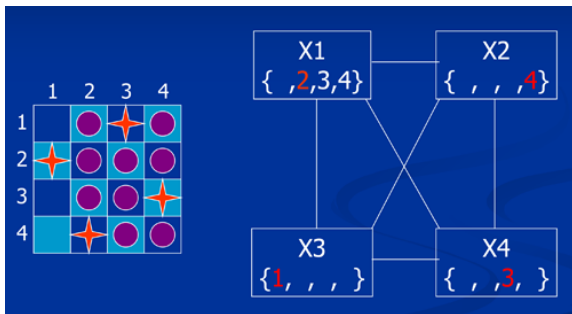
Visited states: 6

## Four queens problem: forward checking



Visited states: 7

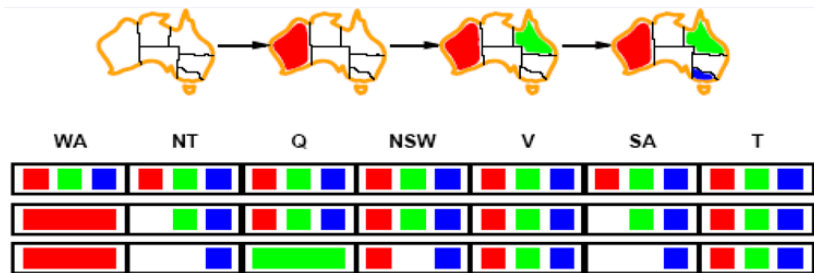
## Four queens problem: forward checking



Visited states: 8

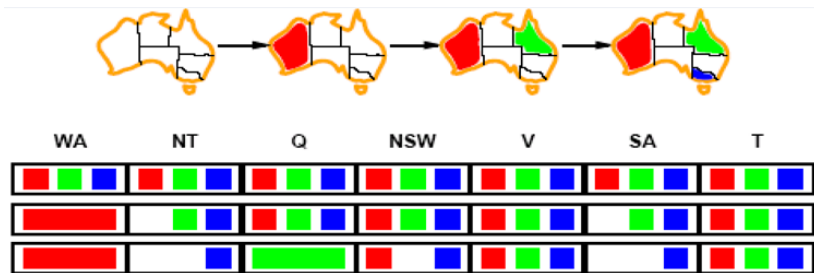


# Constraint propagation



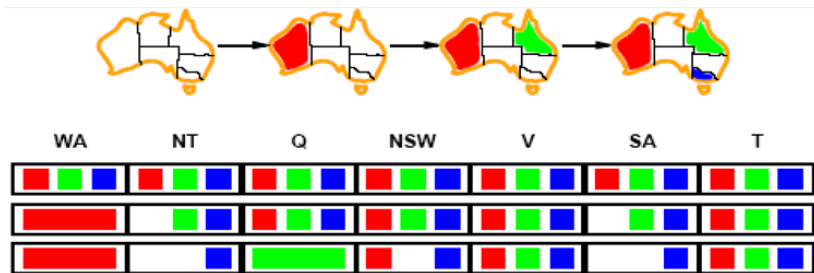
- ▶ Forward checking (FC) propagates information from assigned to unassigned variables, but does not detect all future failures
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  - ▶ Example: NT and SA are adjacent and cannot both be blue
  - ▶ Forward checking checks only constraints involving the current variable
- ▶ **Constraint propagation** iteratively enforces constraints

# **PART IV**

## Solving CSPs (inference)

## Backtracking search with inference (constraint propagation)

**function** BACKTRACKING-SEARCH (*csp*) **returns** solution  
    **return** BACKTRACK({}, *csp*)

**function** BACKTRACK (*assignment*, *csp*) **returns** solution  
    **if** *assignment* is complete **then return** *assignment*  
    *var*  $\leftarrow$  SELECT-UNASSIGNED-VARIABLE (*csp*)  
    **for each** *value* **in** DOMAIN(*var*, *assignment*, *csp*) **do**  
        **if** *value* is consistent with *assignment* **then**  
            add {*var* = *value*} to *assignment*  
            *inferences*  $\leftarrow$  INFERENCE(*csp*, *var*, *value*)  
            **if** *inferences*  $\neq$  failure **then**  
                add *inferences* to *assignment*  
                *result*  $\leftarrow$  BACKTRACK(*assignment*, *csp*)  
                **if** *result*  $\neq$  failure **then return** *result*  
            remove {*var* = *value*}, *inferences* from *assignment*  
    **return** failure



# Node consistency

- ▶ A variable  $X$  is **node consistent** iff each value of  $X$  satisfies all unary constraints on  $X$
- ▶ Node consistency can be applied as a *preprocessing* step before starting search to remove all the node inconsistent values
  - ▶ Effectively changes the domain  $D_i$  of a variable  $X_i$

## Arc consistency

- ▶ **Arc consistency** (AC) deals with binary constraints
- ▶  $X$  is arc consistent w.r.t.  $Y$  iff
  - ▶ for every value  $x \in D_X$ , there exists some legal  $y \in D_Y$



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- ▶ Example: two variables  $X$  and  $Y$ , both with digit domains
  - ▶  $D_X = D_Y = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
  - ▶ Constraint:  $Y = X^2$
  - ▶ It amounts to  $(X, Y) \in \{(0, 0), (1, 1), (2, 4), (3, 9)\}$



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- ▶ So to make  $X$  arc consistent w.r.t.  $Y$  we reduce  $D_X$  to  $\{0, 1, 2, 3\}$
- ▶ If we make  $X$  arc consistent w.r.t.  $Y$  and  $Y$  w.r.t.  $X$ , then  $D_X = \{0, 1, 2, 3\}$  and  $D_Y = \{0, 1, 4, 9\}$

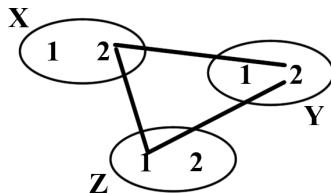
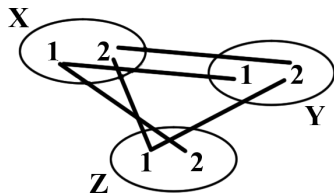
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- ▶ The constraint graph of a CSP is **arc consistent** if every variable is arc consistent w.r.t. any other variable

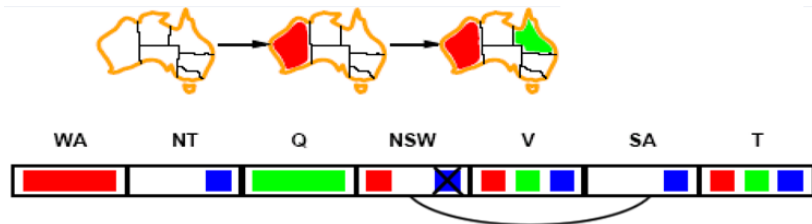
## Arc consistency: example

► Problem:

$$D_X = D_Y = D_Z = \{1, 2\}, X = Y, X \neq Z, Y > Z$$



## Arc consistency: example



- ▶  $X$  is arc consistent w.r.t.  $Y$  iff
  - ▶ for every value  $x$  of  $X$ , there exists some legal  $y$  of  $Y$
- ▶ **QUESTION** Is  $SA$  arc consistent w.r.t.  $NSW$ ?

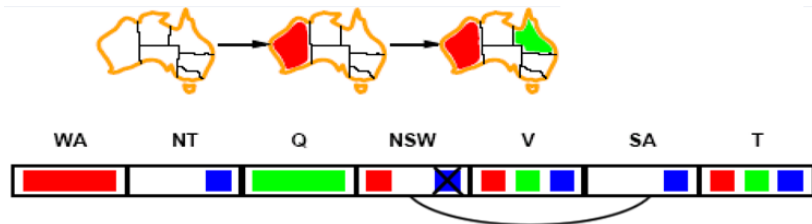


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  - ▶ if SA = blue, then NSW = red is a legal choice
- ▶ **QUESTION** And vice-versa?

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  - ▶ if  $SA = \text{blue}$ , then  $NSW = \text{red}$  is a legal choice
- ▶ **QUESTION** And vice-versa?
  - ▶ if  $NSW = \text{red}$ , then  $SA = \text{blue}$  is a legal choice
  - ▶ if  $NSW = \text{blue}$ , then  $SA$  has no legal value



## Arc consistency: example



- ▶  $X$  is arc consistent w.r.t.  $Y$  iff
  - ▶ for every value  $x$  of  $X$ , there exists some legal  $y$  of  $Y$
- ▶ By removing *blue* from *NSW*, *V* becomes inconsistent w.r.t. *NSW*
  - ▶ if  $V = \text{red}$ , then *NSW* has no legal value



## Arc consistency: example

1		2		
	3			

X1	X2	X3
astar	live	live
happy	load	load
hello	peal	peal
hoses	peel	peel
	save	save
	talk	talk

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## Arc consistency: algorithm

**function** AC-3(*csp*) **returns** *csp* with inconsistencies removed

**inputs:** *csp*, a binary CSP with components  $(X, D, C)$

**local variables:** *queue*, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REVISE(*csp*,  $X_i, X_j$ ) **then**

**for each**  $X_k$  **in**  $X_i.\text{NEIGHBORS} - \{X_j\}$  **do**

            add( $X_k, X_i$ ) to *queue*

**function** REVISE(*csp*,  $X_i, X_j$ ) **returns** true iff we revise  $D_{X_i}$

*revised*  $\leftarrow$  false

**for each**  $x$  **in**  $D_j$  **do**

**if** no value  $y$  in  $D_j$  results in legal  $(x, y)$  **then**

            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  true

**return** *revised*



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Arc consistency is applied

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  - ▶ To reduce size of search tree
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  - ▶ To reduce size of search tree
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  - ▶ May solve the problem without search!
- ▶ Or after an assignment, in order to do early failure detection, called **MAC** (Maintaining Arc Consistency)

## Arc consistency



Is arc consistency enough?

- ▶ If a domain is empty, there is no solution
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  - ▶ It is the same as arc consistency

## Strong $K$ -consistency

A graph is **strongly  $K$ -consistent** if

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Suppose we have a problem with  $n$  variables that is strongly  $n$ -consistent

- ▶ A solution can be found in  $O(n^2 d)$  time
  - ▶ Choose any value for  $X_1$  (consistent since 1-consistent)
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  - ▶ etc.
- ▶ However, establishing  $n$ -consistency takes exponential time in  $n$ , in the worst case

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- ▶ **QUESTION** What kind of inferences can we draw from *AllDiff*?
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  - ▶ **IDEA**
    - ▶ Remove variables with singleton domain *and* delete that value from the domains of the other variables
    - ▶ Repeat as long as there are variables with singleton domains. If an empty domain is produced, then there are more variables than distinct values in the domains

# Backjumping

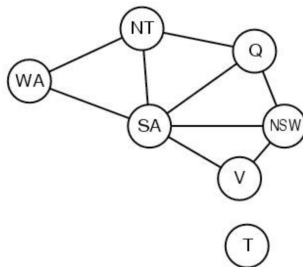
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- ▶ Intelligent backtracking
  - ▶ Standard backtracking is **chronological backtracking**: change the latest assigned variable
  - ▶ More intelligent backtracking: select a variable in the **conflict set**
    - ▶ **Conflict set**: All previously assigned variables connected to the current variable by at least one constraint
    - ▶ **Backjumping**: Backtrack to the most recently assigned variable that conflicts with the current variable

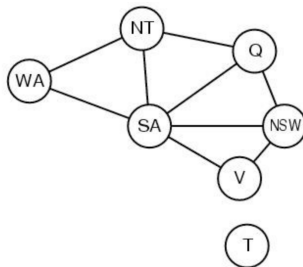


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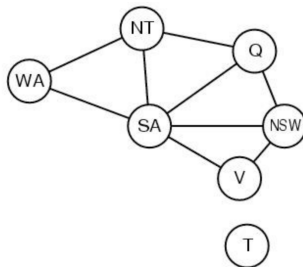
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## Backjumping



Assume assignment  $\{Q=\text{red}, NSW=\text{green}, V=\text{blue}, T=\text{red}\}$  and a fixed variable ordering  $(Q, NSW, V, T, SA, WA, NT)$ . And run the backtracking algorithm:

- ▶ Failure is detected when trying to assign  $SA$
- ▶ Backtracking to  $T$  is not useful, since changing the assignment of  $T$  will not solve an inconsistency
- ▶ Backjumping jumps to  $V$  instead

# **PART V**

## Local Search for CSP



## A different approach: Local search for CSP

- ▶ We have seen that CSP can be expressed as a tree search problem
- ▶ Local search techniques using complete-state representations are applicable
  - ▶ states are complete (possibly illegal!) assignments
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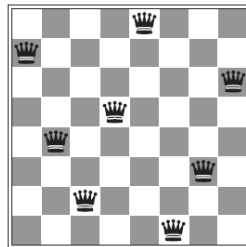
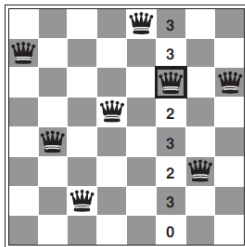
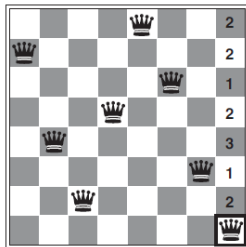
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## Local search for CSP: Min-Conflicts Algorithm

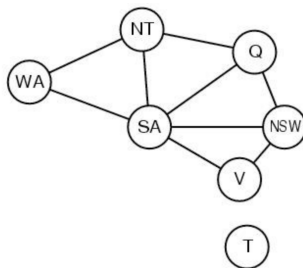
**function** MIN-CONFLICTS (*csp*, *maxSteps*) **returns** solution  
  **inputs:** *csp*, a constraint satisfaction problem  
  *maxSteps*, the number of steps before giving up  
  *current*  $\leftarrow$  an initial complete assignment for *csp*  
  **for**  $i = 1$  to *maxSteps* **do**  
    **if** *current* solves *csp* **then return** *current*  
    *var*  $\leftarrow$  random conflicted variable from *csp*.VARIABLES  
    *val*  $\leftarrow$  minimizes CONFLICTS(*var*, *val*, *current*, *csp*)  
    set *var* = *value* in *current*  
  **return** *failure*

## Local search for CSP: Example



- ▶ At each step, select a queen that violates a constraint
- ▶ Then move the queen to a spot that minimizes the number of conflicts

## Problem structure



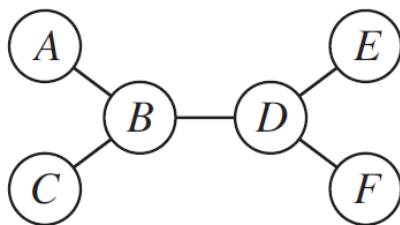
- ▶ The Australia map-coloring problem can be split into two independent subproblems
- ▶ Independent subproblems are represented as sets of constraints that use non-overlapping sets of variables
  - ▶ e.g.  $X_1 + X_2 < 10$ ,  $X_3 + X_4 > 20$

## Problem structure

Splitting up the problem significantly improves performance

- ▶ Assume that some CSP consists of several smaller CSPs
  - ▶ Assume that each subproblem has  $c$  of the  $n$  variables ( $n/c$  subproblems)
- ▶ Worst-case performance is  $O\left(\frac{n}{c} \cdot d^c\right)$ 
  - ▶ Suppose  $n = 80, c = 20, d = 2$
  - ▶ Standard problem takes  $2^{80} \approx 4$  billion years (1 million nodes/sec)
  - ▶ Split problem takes  $4 \cdot 2^{20} \approx 0.4$  seconds (1 million nodes/sec)

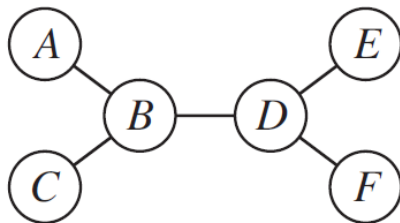
## Tree-structured CSP



If the constraint graph is a tree (any two variables are therefore connected by at most one path), the associated CSP can be solved in  $O(nd^2)$ .

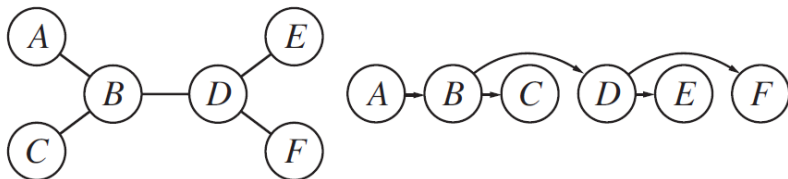


## Tree-structured CSP



If the constraint graph is a tree (any two variables are therefore connected by at most one path), the associated CSP can be solved in  $O(nd^2)$ . While in the general case worst case performance is  $O(d^n)$ .

## Tree-structured CSP: Intuitions



- ▶ Choose a variable as root, order variables from root to leaves
- ▶ Apply arc-consistency on parent-child pairs
- ▶ Assign variables of child nodes consistent with parent nodes

# Summary

- ▶ Definition of CSP
- ▶ Search in CSP (Backtracking search algorithm)
- ▶ Local search in CSP (Min-Conflicts algorithm)