

# I Many-body physics

## I.1 The electronic structure problem

In solid state physics, one general problem we are concerned with is finding the properties of the ground state of an isolated system of  $N$  interacting electrons in an external potential. The system is described by the Schrödinger equation

$$\hat{H}\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N) = E\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N) \quad (\text{I.1})$$

with the Hamiltonian in first quantization ( $i$  running over the electrons,  $\alpha, \beta$  over the nuclei)

$$\hat{H} = \hat{T}_e + \hat{V}_{n-e} + \hat{V}_{e-e} + \hat{V}_{n-n} \quad (\text{I.2})$$

$$= -\sum_i \frac{1}{2} \nabla_i^2 - \sum_i \sum_{\alpha} \frac{Z_{\alpha}}{|\mathbf{r}_i - \mathbf{R}_{\alpha}|} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} + \frac{1}{2} \sum_{\alpha \beta} \frac{Z_{\alpha} Z_{\beta}}{R_{\alpha \beta}} \quad (\text{I.3})$$

where:

- $\hat{T}_e$  is the kinetic energy of the electrons
- $\hat{V}_{n-e}$  is the potential energy of the electrons in the field of the nuclei
- $\hat{V}_{e-e}$  is the Coulomb interaction between the electrons and
- $\hat{V}_{n-n}$  is the Coulomb interaction between the nuclei

## I.2 Density Functional Theory

A direct solution to the electronic structure problem, this meaning obtaining the ground-state many-body wavefunction  $\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$  for a given potential is analytically impossible even for a small number of electrons compared to the number of electrons in a macroscopic crystal. As such, the need for good approximations to obtain results for real world systems is high. One particularly successful approach is [Density Functional Theory \(DFT\)](#). In the following section, the theoretical framework of [DFT](#) will be developed, following [\[1\]](#).

### I.2.1 Hohenberg-Kohn theorems

The basis for DFT lies in the exact reformulation of the outlined electronic structure problem by Hohenberg and Kohn [\[2\]](#). This reformulation uses the ground state density of the electronic system as the basic variable.

Hohenberg-Kohn theorems:

stuff missing

- I The external potential (and by extension the ground state wave function and the ground state energy) are unique functionals of the ground state density (except for an additive constant).
- II The ground state energy minimizes the energy functional,

$$E[n(r)] > E_0 \quad \forall n(r) \neq n_0(r)$$

.

### **I.2.2 Kohn-Sham equations**

One way of approximating the functional  $F[n]$  was given by Kohn and Sham [3]. The idea is to use a non-interacting auxiliary system of electrons

$$H_0 = \sum_i^{N_e} \frac{p_i^2}{2m} + v_{KS}(\mathbf{r}_i) \tag{I.4}$$

With a correction potential  $v_{KS}$  such that the ground state charge density for the auxiliary and the interacting system are the same. This introduces a new set of orthonormal wave functions, the solutions to the non-interacting problem  $\Psi_i$ . This gives rise to a kinetic energy

### **I.2.3 Pseudopotentials**

## **I.3 Density Functional Perturbation Theory**