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I SUPERCONDUCTIVITY

In 1894, Albert Michelson remarked that “it seems probable that most of the grand underlying principles have been firmly established” [1, p. 159].

At the end of the 19th and the beginning of the 20th century, cooling technology made great progress. Liquifying gases, were able to reach temperatures as low as 4 K (the boiling point of Helium). Using that, SC was discovered in mercury in 1911 by Heike Onnes [2]. Superconductivity describes the phenomenon of the electrical resistance of a material suddenly dropping to zero below a critical temperature T_C . Discovery of Meissner effect, perfect expulsion of external magnetic fields in 1933 [3]. This started almost half a century of intensive theoretical research, which culminated in John Bardeen, Leon Cooper and J. Robert Schrieffer developing the microscopic theory now known as BCS theory [4]. 1986 and 1987: discovery of superconductivity with very high T_C found in cuprates [5, 6]. Cuprate superconductors are made up of layers of copper oxide and charge reservoirs in between. The specific charge reservoir layers determine the properties of the SC and varying them lead to a rich zoo of materials with high T_C [7].

Largest commercial application to date is in magnetic resonance imaging, a medical technique using strong magnetic fields and field gradients [8]. Enabled due to the fact, that SCs can carry much stronger currents and thus generate much higher magnetic field strength. Technical applications in research are much wider, ranging from strong superconducting magnets in the LHC [9] and other particle accelerators over detectors of single photons in astrophysics [10] to extremely sensitive measurement devices for magnetic fields [11] and voltages [12] based on the Josephson effect [13].

Since the first discovery of SC in cuprates, there has been a lot of work to develop superconductors with higher transition temperatures. One interesting development is in twisted multilayer systems, first realized as twisted bilayer Graphene [14]. In comparison to the complex crystal structure of e.g. the

Cuprates, twisted multilayer systems have a very simple structure and can be tuned very easily: the angle of twist between the layers can be easily accessed experimentally. The defining feature of these systems are flat electronic bands due to folding of the Brillouin zone. Superconductivity in these systems is enhanced due to the fact that in the flat bands, interactions between the electrons are very strongly enhanced. Thus these systems are a very interesting playground to study strongly correlation effects in general and superconductivity in particular.

This chapter: introduction to the mean-field BCS theory in section I.3, GL-theory in section I.1 and

I.1 GINZBURG-LANDAU THEORY OF SUPERCONDUCTIVITY

Following [15, ch. 11].

ORDER PARAMETER Landau theory: phase transitions (e.g. iron becomes magnetic, water freezes, superfluidity/superconductivity) are associated with the development of an order parameter when the temperature drops below the transition temperature T_C .

$$|\psi| = \begin{cases} 0, & T > T_C \\ |\psi_0| > 0, & T < T_C \end{cases} \quad (\text{I.1})$$

Landau theory does not need microscopic expression for order parameter, it provides coarse-grained description of the properties of matter. The order parameter description is good at length scales above ξ_0 , the coherence length (e.g. size of Cooper pairs for SC). On length scales above ξ_0 , the order parameter behaves as a smoothly varying function.

LANDAU THEORY Basic idea of Landau theory: write free energy as function $F[\psi]$ of the order parameter. Region of small ψ , expand free energy of many-body system as simple polynomial:

$$f_L = \frac{1}{V}F[\psi] = \frac{r}{2}\psi^2 + \frac{u}{4}\psi^4 \quad (\text{I.2})$$

Provided r and u are greater than 0: minimum of $f_L[\psi]$ lies at $\psi = 0$. Landau theory assumes: at phase transition temperature r changes sign, so:

$$r = a(T - T_C) \quad (I.3)$$

Minimum of free energy occurs for:

$$\psi = \begin{cases} 0 \\ \pm \sqrt{\frac{a(T_C - T)}{u}} \end{cases} \quad (I.4)$$

Two minima for free energy function for $T < T_C$. With this, we can extract T_C from the knowledge of the dependence of $|\psi|^2$ on T via a linear fit. This is only valid for an area near T_C (where Landau theory holds), but can be used to get T_C from microscopic theories.

Going from a one to a n -component order parameters, OP acquires directions and magnitude. Particularly important example: complex or two component order parameter in superfluids and superconductors:

$$\psi = \psi_1 + i\psi_2 = |\psi|e^{i\phi} \quad (I.5)$$

The Landau free energy takes the form:

$$f[\psi] = r(\psi^* \psi) + \frac{u}{2}(\psi^* \psi)^2 \quad (I.6)$$

As before:

$$r = a(T - T_C) \quad (I.7)$$

Figure I.1 shows the Landau free energy as function of ψ .

Rotational symmetry, because free energy is independent of the global phase of the OP:

$$f[\psi] = f[e^{ia}\psi] \quad (I.8)$$

In this 'Mexican hat' potential: order parameter can be rotated continuously from one broken-symmetry state to another. If we want the phase to be rigid, we need to introduce an There is a topological argument for the fact that the phase is rigid. This leads to Ginzburg-Landau theory. Will see later: well-defined phase is associated with persistent currents or superflow.

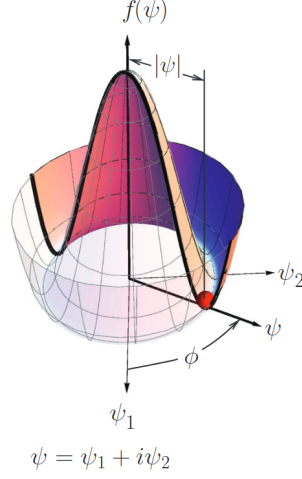


Figure I.1: Mexican hat potential

GINZBURG-LANDAU THEORY Landau theory: energy cost of a uniform order parameter, more general theory needs to account for inhomogeneous order parameters, in which the amplitude varies or direction of order parameter is twisted \rightarrow GL theory. First: one-component, 'Ising' order parameter. GL introduces additional energy $\delta f \propto |\Delta\psi|^2$, $f_{GL}[\psi, \Delta\psi] = \frac{s}{2}|\Delta\psi|^2 + f_L[\psi(s)]$, or in full:

$$f_{GL}[\psi, \Delta\psi, h] = \frac{s}{2}(\Delta\psi)^2 + \frac{r}{2}\psi^2 + \frac{u}{4}\psi^4 \quad (\text{I.9})$$

GL theory is only valid near critical point, where OP is small enough to permit leading-order expansion. Dimensional analysis shows: $\frac{s}{r} = L^2$ has dimension of length squared. Length scale introduced by the gradient term: correlation length

$$\xi(T) = \sqrt{\frac{s}{|r(T)|}} = \xi_0 \left| 1 - \frac{T}{T_C} \right|^{-\frac{1}{2}} \quad (\text{I.10})$$

sets characteristic length scale of order-parameter fluctuations, where

$$\xi_0 = \xi(T = 0) = \sqrt{\frac{s}{\alpha T_C}} \quad (\text{I.11})$$

is a measure of the microscopic coherence length. Near transition $\xi(T)$ diverges, but far from transition it becomes comparable with the coherence length.

COMPLEX ORDER AND SUPERFLOW Now: GL theory of complex or two-component order parameters, so superfluids and superconductors. Heart of discussion: emergence of a ‘macroscopic wavefunction’, where the microscopic field operators $\hat{\psi}(x)$ acquire an expectation value:

$$\langle \hat{\psi}(x) \rangle = \psi(x) = |\psi(x)|e^{i\theta(x)} \quad (\text{I.12})$$

Reminder: Field operators are the real space representations of creation/annihilation operators. They can be thought of the super position of all ways of creating a particle at position x via the basis coefficients.

Magnitude determines density of particles in the superfluid:

$$|\psi(x)|^2 = n_s(x) \quad (\text{I.13})$$

Density operator is

$$\hat{\rho} = \hat{\psi}(x)\hat{\psi}^\dagger(x) \quad (\text{I.14})$$

so expectation value of that is the formula above.

Twist/gradient of phase determines superfluid velocity:

$$\mathbf{v}_s(x) = \frac{\hbar}{m}\Delta\phi(x) \quad (\text{I.15})$$

We will derive this later in the chapter. Counterintuitive from quantum mechanics: GL suggested that $\Phi(x)$ is a macroscopic manifestation of a macroscopic number of particles condensed into precisely the same quantum state. Emergent phenomenon, collective properties of matter not a-priori evident from microscopic physics.

GL free energy density for superfluid (with one added term in comparison to Landau energy):

$$f_{GL}[\psi, \Delta\psi] = s|\Delta\psi|^2 + r|\psi|^2 + \frac{u}{2}|\psi|^4 \quad (\text{I.16})$$

Compare with the energy density of a bosonic field (with a quartic interaction):

$$H = \int d^Dx \frac{\hbar^2}{2m}|\Delta\psi|^2 + r|\psi|^2 + \frac{u}{2}|\psi|^4 \quad (\text{I.17})$$

Interpret GL free energy as energy density of a condensate of bosons in which the field operator behaves as a complex order parameter. Gives interpretation

of gradient term as kinetic energy:

$$s|\Delta\psi|^2 = \frac{\hbar^2}{2m} \langle \Delta\hat{\psi}^\dagger \Delta\hat{\psi} \rangle \Rightarrow s = \frac{\hbar^2}{2m} \quad (\text{I.18})$$

As in Ising order: correlation length/GL-coherence length governs characteristic range of amplitude fluctuations of the order parameter:

$$\xi = \sqrt{\frac{s}{|r|}} = \sqrt{\frac{\hbar^2}{2m|r|}} = \xi_0 \left(1 - \frac{T}{T_C}\right)^{-\frac{1}{2}} \quad (\text{I.19})$$

where $\xi_0 = \xi(T=0) = \sqrt{\frac{\hbar^2}{2maT_C}}$ is the coherence length. Beyond this length scale: only phase fluctuations survive.

I dont know why that is. Can I support that somehow better? -> See Niklas thesis

Freeze out fluctuations in amplitude (no x -dependence in amplitude) $\psi(x) = \sqrt{n_s} e^{i\phi(x)}$, then $\Delta\psi = i\Delta\phi\psi$ and $|\Delta\psi|^2 = n_s(\Delta\phi)^2$, dependency of kinetic energy on the phase twist is (bringing it into the form $\frac{m}{2}v^2$):

$$\frac{\hbar^2 n_s}{2m} (\Delta\phi)^2 = \frac{mn_s}{2} \left(\frac{\hbar}{m} \Delta\phi\right)^2 \quad (\text{I.20})$$

So twist of phase results in increase in kinetic energy, associated with a superfluid velocity:

$$\mathbf{v}_s = \frac{\hbar}{m} \Delta\phi \quad (\text{I.21})$$

(this is explained in detail later).

For interpretation of superfluid states: coherent states. These are eigenstates of the field operator

$$\hat{\psi}(x) |\psi\rangle = \psi(x) |\psi\rangle \quad (\text{I.22})$$

and don't have a definite particle number. Importantly, this small uncertainty in particle number enables a high degree of precision in phase (which is the property of a condensate).

PHASE RIGIDITY AND SUPERFLOW In GL theory, energy is sensitive to a twist of the phase. Substitute $\psi = |\psi|e^{i\phi}$ into GL free energy, gradient term is:

$$\Delta\psi = (\Delta|\psi| + i\Delta\phi|\psi|)e^{i\phi} \quad (\text{I.23})$$

So:

$$f_{GL} = \frac{\hbar}{2m} |\psi|^2 (\Delta\phi)^2 + \left[\frac{\hbar}{2m} (\Delta|\psi|)^2 + r|\psi|^2 + \frac{u}{2} |\psi|^4 \right] \quad (I.24)$$

The second term resembles GL functional for an Ising order parameter, describes energy cost of variations in the magnitude of the order parameter.

Phase rigidity and superflow

I.2 SUPERCONDUCTING LENGTH SCALES FROM THE CONSTRAINT OF FINITE-MOMENTUM PAIRING

From [16].

In most materials: Cooper pairs do not carry finite center-of-mass momentum. In presence of e.g. external fields or magnetism: SC states with FMP might arise.

Theory/procedure in the paper: enforce FMP states via constraints on pair-center-of-mass momentum \mathbf{q} , access characteristic length scales ξ_0, λ_L through analysis of the momentum and temperature-dependent OP. FF-type pairing with Cooper pairs carrying finite momentum:

$$\psi_{\mathbf{q}}(\mathbf{r}) = |\psi_{\mathbf{q}}| e^{i\mathbf{q}\mathbf{r}} \quad (I.25)$$

Then the free energy density is

$$f_{GL}[\psi_{\mathbf{q}}] = \alpha |\psi_{\mathbf{q}}|^2 + \frac{b}{2} |\psi_{\mathbf{q}}|^4 + \frac{\hbar^2 q^2}{2m^*} |\psi_{\mathbf{q}}|^2 \quad (I.26)$$

Stationary point of the system:

$$\frac{\delta f_{GL}}{\delta \psi_{\mathbf{q}}^*} = 2\psi_{\mathbf{q}} \left[\alpha (1 - \xi^2 q^2) + b |\psi_{\mathbf{q}}|^2 \right] = 0 \quad (I.27)$$

which results in the \mathbf{q} -dependence of the OP

$$|\psi_{\mathbf{q}}|^2 = |\psi_0|^2 (1 - \xi(T)^2 q^2) \quad (I.28)$$

For some value, SC order breaks down, $\psi_{\mathbf{q}_c} = 0$, because the kinetic energy from phase modulation exceeds the gain in energy from pairing. In GL theory:

$q_c = \xi(T)^{-1}$. The temperature dependence of the OP and extracted $\xi(T)$ gives access to the coherence length via

$$\tilde{\xi}(T) = \xi_0 \left(1 - \frac{T}{T_C}\right)^{-\frac{1}{2}} \quad (\text{I.29})$$

Specifically: take

$$\tilde{\xi}(T) = \frac{1}{\sqrt{2}|\mathbf{Q}|} \quad (\text{I.30})$$

with \mathbf{Q} such that

$$\left| \frac{\psi_{\mathbf{Q}}(T)}{\psi_0(T)} \right| = \frac{1}{\sqrt{2}} \quad (\text{I.31})$$

Depairing current
from FMP

The Cooper pair [17, 18]

Full formula for su-
percurrent, with
sum over orbitals

I.3 BARDEEN-COOOPER-SCHRIEFFER THEORY

DS from FMP

I.3.1 BCS HAMILTONIAN

Write more about
the connection be-
tween all the things
here

Following [15, ch. 14].

First phenomenological description of SC: Fritz London in 1937 [19]. He was motivated by the discovery of the Meissner effect in 1933 [3], where magnetic flux inside of the superconductor is always pushed out in contrast to a perfectly conducting material, which would hold a ‘memory’ of the magnetic field at the time of the phase transition. This suggests that transition to the SC state is reversible and a SC is not just the limiting case of a conductor with infinite conductivity, in which according to the Maxwell equations, the magnetic flux would not change. Londons first descriptions is based on a one-particle wave function $\phi(x)$. He proposed that persistent supercurrent is a property of the ground state associated with its rigidity against the application of a field.

In 1950 [20]: GL interpreted this wave function as a complex order parameter as explained in section I.1.

Microscopic description of SC: 1957 by John Bardeen, his postdoc Leon Cooper and the graduate in the group, J. Robert Schrieffer [4]. Description is based on the fact that the Fermi sea is unstable towards development of bound pairs under arbitrarily small attraction [21].

Microscopic OP in
BCS

The BCS-Hamiltonian is:

$$H_{\text{BCS}} = \sum_{\mathbf{k}\sigma} \epsilon_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \mathbf{k}'} V_{\mathbf{k}, \mathbf{k}'} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger c_{-\mathbf{k}'\downarrow} c_{\mathbf{k}'\uparrow} \quad (\text{I.32})$$

The final element in this description was the origin of the attractive interaction $V_{\mathbf{k}, \mathbf{k}'}$ between electrons, which Bardeen, Cooper and Schrieffer identified as a retarded electron-phonon interaction [4]. This so-called BCS-theory of superconductivity is very successful in explaining experimental results in many compounds.

BCS theory always comes in conjunction with a mean-field description, decoupling the

The reason for this: the interaction in the BCS Hamiltonian eq. (I.32) takes place at exactly zero momentum and as such is an infinite range interaction

A

I.3.2 ATTRACTIVE HUBBARD MODEL

Simple choice for pairing interaction:

$$V_{\mathbf{k}, \mathbf{k}'} = U \quad (\text{I.33})$$

This is then just the (attractive) Hubbard model. The Hubbard model is the simplest model for interacting electron systems. It goes back to works by Hubbard [22], Kanamori [23] and Gutzwiller [24].

$$H_{\text{int}} = U \sum_i c_{i,\uparrow}^\dagger c_{i,\downarrow}^\dagger c_{i,\downarrow} c_{i,\uparrow} \quad (\text{I.34})$$

where $U > 0$.

Besides

[25]

This simple Hubbard model can be extended in a multitude of ways to model a variety of physical system. In this work: extension to multiple orbitals and an attractive interaction, i.e. a negative U . Physical motivation for taking a negative- U Hubbard model: electrons can experience a local attraction interaction, for example through electrons coupling with phononic degrees of freedom or with

What is explained by phononic pairing?

Other pairing interactions can be taken, gives explanations for a lot of different SCs

Introduce mean field here

What is the connection between mean field theory and BCS theory?

Some relevance of the repulsive Hubbard model

electronic excitations that can be described as bosons [26]. The form of the interaction term is then:

$$H_{\text{int}} = - \sum_{i,\alpha} U_{\alpha} c_{i,\alpha,\uparrow}^{\dagger} c_{i,\alpha,\downarrow}^{\dagger} c_{i,\alpha,\downarrow} c_{i,\alpha,\uparrow} \quad (\text{I.35})$$

where α counts orbitals and the minus sign in front is taken so that $U > 0$ now corresponds to an attractive interaction (this is purely convention).

Define: what exactly are orbitals in this context?

There are so many specific papers on the specific mechanisms (and some more mechanisms), could you look at these here and some more

MULTI-BAND BCS THEORY Look at interaction term eq. (I.35). Mean-field approximation: operators do not deviate much from their average value:

$$H_{\text{int}} \approx \sum_{\alpha,\mathbf{k}} (\Delta_{\alpha} c_{\mathbf{k}\alpha\uparrow}^{\dagger} c_{-\mathbf{k}\alpha\downarrow}^{\dagger} + \Delta_{\alpha}^{*} c_{-\mathbf{k}\alpha\downarrow} c_{\mathbf{k}\alpha\uparrow}) \quad (\text{I.36})$$

Fourier transformation:

$$H_{\text{int}} = - \frac{1}{N^2} \sum_{\alpha,\mathbf{k}_1,2,3,4} U_{\alpha} e^{i(\mathbf{k}_1+\mathbf{k}_4-\mathbf{k}_1-\mathbf{k}_3)r_{i\alpha}} c_{\mathbf{k}_1\alpha\uparrow}^{\dagger} c_{\mathbf{k}_3\alpha\downarrow}^{\dagger} c_{\mathbf{k}_2\alpha\downarrow} c_{\mathbf{k}_4\alpha\uparrow} \quad (\text{I.37})$$

Impose zero-momentum pairing: $\mathbf{k}_1 + \mathbf{k}_3 = 0$ and $\mathbf{k}_2 + \mathbf{k}_4 = 0$:

$$H_{\text{int}} = - \sum_{\alpha,\mathbf{k},\mathbf{k}'} U_{\alpha} c_{\mathbf{k}\alpha\uparrow}^{\dagger} c_{-\mathbf{k}\alpha\downarrow}^{\dagger} c_{-\mathbf{k}'\alpha\downarrow} c_{\mathbf{k}'\alpha\uparrow} \quad (\text{I.38})$$

Mean-field approximation:

$$H_{\text{int}} \approx \sum_{\alpha,\mathbf{k}} (\Delta_{\alpha} c_{\mathbf{k}\alpha\uparrow}^{\dagger} c_{-\mathbf{k}\alpha\downarrow}^{\dagger} + \Delta_{\alpha}^{*} c_{-\mathbf{k}\alpha\downarrow} c_{\mathbf{k}\alpha\uparrow}) \quad (\text{I.39})$$

with

$$\Delta_{\alpha} = -U_{\alpha} \sum_{\mathbf{k}'} \langle c_{-\mathbf{k}'\alpha\downarrow} c_{\mathbf{k}'\alpha\uparrow} \rangle \quad (\text{I.40})$$

$$\Delta_{\alpha}^{*} = -U_{\alpha} \sum_{\mathbf{k}'} \langle c_{\mathbf{k}'\alpha\uparrow}^{\dagger} c_{-\mathbf{k}'\alpha\downarrow}^{\dagger} \rangle \quad (\text{I.41})$$

This gives the BCS mean field Hamiltonian:

$$H_{\text{BCS}} = \sum_{\mathbf{k}\alpha\beta\sigma} [H_{0,\sigma}(\mathbf{k})]_{\alpha\beta} c_{\mathbf{k}\alpha\sigma}^{\dagger} c_{\mathbf{k}\beta\sigma} - \mu \sum_{\mathbf{k}\alpha\sigma} n_{\mathbf{k}\alpha\sigma} + \sum_{\alpha,\mathbf{k}} (\Delta_{\alpha} c_{\mathbf{k}\alpha\uparrow}^{\dagger} c_{-\mathbf{k}\alpha\downarrow}^{\dagger} + \Delta_{\alpha}^{*} c_{-\mathbf{k}\alpha\downarrow} c_{\mathbf{k}\alpha\uparrow}) \quad (\text{I.42})$$

with Nambu spinor

$$\Psi_{\mathbf{k}} = \begin{pmatrix} c_{1,\mathbf{k}\uparrow} \\ c_{2,\mathbf{k}\uparrow} \\ c_{3,\mathbf{k}\uparrow} \\ c_{1,-\mathbf{k}\downarrow}^\dagger \\ c_{2,-\mathbf{k}\downarrow}^\dagger \\ c_{3,-\mathbf{k}\downarrow}^\dagger \end{pmatrix} \quad (\text{I.43})$$

we have:

$$H_{MF} = \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^\dagger \mathcal{H}(\mathbf{k}) \Psi_{\mathbf{k}} \quad (\text{I.44})$$

with

$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} H_{0,\uparrow}(\mathbf{k}) - \mu & \Delta \\ \Delta^\dagger & -H_{0,\downarrow}^*(-\mathbf{k}) + \mu \end{pmatrix} \quad (\text{I.45})$$

with $H_{0,\sigma}$ being the F.T. of the kinetic term and $\Delta = \text{diag}(\Delta_1, \Delta_2, \Delta_3)$.

SELF-CONSISTENT SOLUTION

FINITE MOMENTUM To include finite momentum, take the ansatz of a Fulde-Ferrel (FF) type pairing [27]:

$$\Delta \quad (\text{I.46})$$

General multi-band mean field theory

How to solve mean field theory self-consistently

How to include finite momentum

I.4 DYNAMICAL MEAN-FIELD THEORY

I.4.1 GREEN'S FUNCTION FORMALISM

Following [28]

Green's functions: method to encode influence of many-body effects on propagation of particles in a system.

Have different kinds of Green's functions, for example the retarded Green's function:

$$G^R(\mathbf{r}\sigma t, \mathbf{r}'\sigma't') = -i\Theta(t - t') \langle \{c_{\mathbf{r}\sigma}(t), c_{\mathbf{r}'\sigma'}^\dagger(t')\} \rangle \quad (\text{I.47})$$

They give the amplitude of a particle inserted at point \mathbf{r}' at time t' to propagate to position \mathbf{r} at time t . For time-independent Hamiltonians and systems in equilibrium, the GFs only depend on time differences:

$$G^R(\mathbf{r}\sigma t, \mathbf{r}'\sigma' t') = G^R(\mathbf{r}\sigma, \mathbf{r}'\sigma', t - t') \quad (\text{I.48})$$

So we can take $t' = 0$ and consider t as the only free variable:

$$G^R(\mathbf{r}\sigma, \mathbf{r}'\sigma', t) = -i\Theta(t) \langle \{c_{\mathbf{r}\sigma}(t), c_{\mathbf{r}'\sigma'}^\dagger(0)\} \rangle \quad (\text{I.49})$$

In a translation invariant system: can use \mathbf{k} as a natural basis set:

$$G^R(\mathbf{k}, \sigma, \sigma', t) = -i\Theta(t) \langle \{c_{\mathbf{k}\sigma}(t), c_{\mathbf{k}\sigma'}^\dagger(0)\} \rangle \quad (\text{I.50})$$

Define Fourier-transform:

$$G^R(\mathbf{k}, \sigma, \sigma', \omega) = \int_{-\infty}^{\infty} dt G^R(\mathbf{k}, \sigma, \sigma', t) \quad (\text{I.51})$$

Can define the spectral function from this:

$$A(\mathbf{k}\sigma, \omega) = -2\Im G^R(\mathbf{k}\sigma, \omega) \quad (\text{I.52})$$

Looking at the diagonal elements of G^R here. The spectral function can be thought of as the energy resolution of a particle with energy ω . This mean, for non-interacting systems, the spectral function is a delta-function around the single-particle energies:

$$A_0(\mathbf{k}\sigma, \omega) = 2\pi\delta(\omega - \epsilon_{\mathbf{k}\sigma}) \quad (\text{I.53})$$

Show GFs can be related to observables

For interacting systems this is not true, but A can still be peaked.

Mathematical technique to calculate retarded GFs involves defining GFs on imaginary times τ :

$$t \rightarrow -i\tau \quad (\text{I.54})$$

where τ is real and has the dimension time. This enables the simultaneous expansion of exponential $e^{-\beta H}$ coming from the thermodynamic average and e^{-iHt} coming from the time evolution of operators.

Define imaginary time/Matsubara GF $C_{AB}(\tau, 0)$:

$$C_{AB}(\tau, 0) = -\langle T_\tau(A(\tau)B(0)) \rangle \quad (\text{I.55})$$

with time-ordering operator in imaginary time:

$$T_\tau(A(\tau)B(\tau')) = \Theta(\tau - \tau')A(\tau)B(\tau') \pm \Theta(\tau' - \tau)B(\tau')A(\tau) \quad (\text{I.56})$$

so that operators with later 'times' go to the left.

Can prove from properties of Matsubara GF, that they are only defined for

$$-\beta < \tau < \beta \quad (\text{I.57})$$

Due to this, the Fourier transform of the Matsubara GF is defined on discrete values:

$$C_{AB}(i\omega_n) = \int_0^\beta d\tau \quad (\text{I.58})$$

with fermionic/bosonic Matsubara frequencies

$$\omega_n = \begin{cases} \frac{2n\pi}{\beta} & \text{for bosons} \\ \frac{(2n+1)\pi}{\beta} & \text{for fermions} \end{cases} \quad (\text{I.59})$$

It turns out that Matsubara GFs and retarded GFs can be generated from a common function $C_{AB}(z)$ that is defined on the entire complex plane except for the real axis. So we can get the retarded GF $C_{AB}^R(\omega)$ by analytic continuation:

$$C_{AB}^R(\omega) = C_{AB}(i\omega_n \rightarrow \omega + i\eta) \quad (\text{I.60})$$

So in particular the extrapolation of the Matsubara GF to zero is proportional to the density of states at the chemical potential. Gapped: density is zero (Matsubara GF goes to 0), metal: density is finite (Matsubara GF goes to finite value) [28, p. 8.3.4].

How to resolve ambiguity at borders of integral

I.4.2 PERTURBATION THEORY, DYSON EQUATION

Dyson equation:

$$G_\sigma(\mathbf{k}, i\omega_n) = \frac{G_\sigma^0(\mathbf{k}, i\omega_n)}{1 - G_\sigma^0(\mathbf{k}, i\omega_n)\Sigma_\sigma(\mathbf{k}, i\omega_n)} = \frac{1}{i\omega_n - \xi_{\mathbf{k}-\Sigma_\sigma(\mathbf{k}, i\omega_n)}} \quad (\text{I.61})$$

single-particle Matsubara GF

equations of motion for Matsubara GF

Short introduction to diagrams

Self energy

Dyson equation

I.4.3 NAMBU-GORKOV GF

Introduction following [15, ch. 14.7]

Order parameter can be chosen as the anomalous GF:

$$\Psi = F^{\text{loc}}(\tau = 0^-) \quad (\text{I.62})$$

or the superconducting gap

$$\Delta = Z\Sigma^{\text{AN}} \quad (\text{I.63})$$

that can be calculated from the anomalous self-energy Σ^{AN} and quasiparticle weight Z

Sources for these?

How to get quasiparticle weight?

I.4.4 DMFT

Following [29].

Most general non-interacting electronic Hamiltonian in second quantization:

$$H_0 = \sum_{i,j,\sigma} \quad (\text{I.64})$$

with lattice coordinates i, j and spin σ .

One particle Green's function (many-body object, coming from the Hubbard model):

$$G(\mathbf{k}, i\omega_n) = \frac{1}{i\omega_n + \mu - \epsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, i\omega_n)} \quad (\text{I.65})$$

with the self energy $\Sigma(i\omega_n)$ coming from the solution of the effect on-site problem:

The Dyson equation

$$G(\mathbf{k}, i\omega_n) = (G_0(\mathbf{k}, i\omega_n) - \Sigma(\mathbf{k}, i\omega_n))^{-1} \quad (\text{I.66})$$

relates the non-interacting Greens function $G_0(\mathbf{k}, i\omega_n)$ and the fully-interacting Greens function $G(\mathbf{k}, i\omega_n)$ (inversion of a matrix!).More general
duction into
how they lo
what they c
etc.

II QUANTUM METRIC

First formulated in [30]

Following Cheng - a pedagogical Introduction

Parameter dependent Hamiltonian $\{H(\lambda)\}$, smooth dependence on parameter $\lambda = (\lambda_1, \lambda_2, \dots) \in \mathcal{M}$ (base manifold)

Hamiltonian acts on parametrized Hilbert space $\mathcal{H}(\lambda)$

Eigenenergies $E_n(\lambda)$, eigenstates $|\phi_n(\lambda)\rangle$

System state $|\psi(\lambda)\rangle$ is linear combination of $|\phi_n(\lambda)\rangle$ at every point in \mathcal{M}

Infinitesimal variation of the parameter $d\lambda$:

$$ds^2 = \|\psi(\lambda+d\lambda) - \psi(\lambda)\|^2 = \langle \delta\psi | \delta\psi \rangle = \langle \partial_\mu \psi | \partial_\nu \psi \rangle d\lambda^\mu d\lambda^\nu = (\gamma_{\mu\nu} + i\sigma_{\mu\nu}) d\lambda^\mu d\lambda^\nu \quad (\text{II.1})$$

Last part is splitting up into real and imaginary part

See what is specific to this paper, see that I can derive that myself

Dont get it here

II.1 QUANTUM METRIC AND SUPERFLUID WEIGHT

Write up notes about quantum metric and superfluid weight

III DRESSED GRAPHENE MODEL

III.1 LATTICE STRUCTURE

Structure of honeycomb lattice following [31].

Monolayer graphene forms a honeycomb lattice, which is a hexagonal Bravais lattice with a two atom basis.

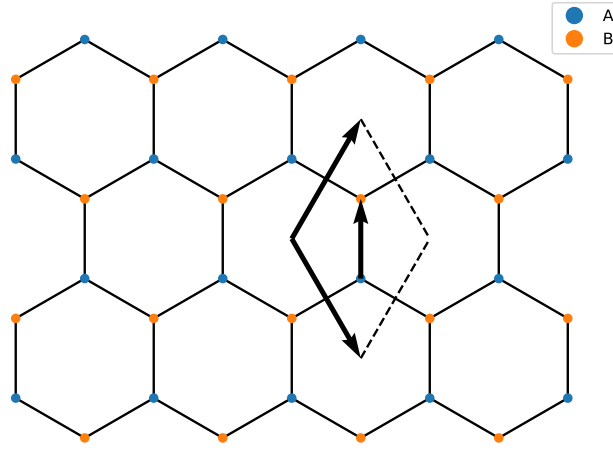


Figure III.1: *Graphene lattice structure*

Primitive lattice vectors of the hexagonal lattice:

$$\mathbf{a}_1 = \frac{a}{2} \begin{pmatrix} 1 \\ \sqrt{3} \end{pmatrix} \quad (\text{III.1})$$

$$\mathbf{a}_2 = \frac{a}{2} \begin{pmatrix} 1 \\ -\sqrt{3} \end{pmatrix} \quad (\text{III.2})$$

with lattice constant $a \approx 2.46 \text{ \AA}$ (distance between unit cells). Have

$$a = \sqrt{3}a_0 \quad (\text{III.3})$$

with the nearest-neighbour distance a_0 .

Vectors to the nearest-neighbor B_i ($i = 1, 2, 3$) atoms from atom A :

$$\delta_{AB,1} = \begin{pmatrix} 0 \\ \frac{a}{\sqrt{3}} \end{pmatrix}, \delta_{AB,2} = \begin{pmatrix} \frac{a}{2} \\ -\frac{a}{2\sqrt{3}} \end{pmatrix}, \delta_{AB,3} = \begin{pmatrix} -\frac{a}{2} \\ -\frac{a}{2\sqrt{3}} \end{pmatrix} \quad (\text{III.4})$$

Vectors to the nearest-neighbor A_i ($i = 1, 2, 3$) atoms from atom B :

$$\delta_{BA,1} = \begin{pmatrix} 0 \\ -\frac{a}{\sqrt{3}} \end{pmatrix}, \delta_{BA,2} = \begin{pmatrix} \frac{a}{2} \\ \frac{a}{2\sqrt{3}} \end{pmatrix}, \delta_{BA,3} = \begin{pmatrix} -\frac{a}{2} \\ \frac{a}{2\sqrt{3}} \end{pmatrix} \quad (\text{III.5})$$

The vectors between the Graphene A atom and the six neighbours on the same sub lattice can be found by rotating \mathbf{a}_1 six times by $1/6 * 2\pi = \pi/3$:

$$\delta_{AA,1} = \mathbf{a}_1 = \frac{a}{2} \begin{pmatrix} 1 \\ \sqrt{3} \end{pmatrix} = a \begin{pmatrix} \frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} = a \begin{pmatrix} \sin(\frac{\pi}{6}) \\ \cos(\frac{\pi}{6}) \end{pmatrix} \quad (\text{III.6})$$

$$\delta_{AA,2} = a \begin{pmatrix} \sin(\frac{3\pi}{6}) \\ \cos(\frac{3\pi}{6}) \end{pmatrix} = a \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad (\text{III.7})$$

$$\delta_{AA,3} = a \begin{pmatrix} \sin(\frac{5\pi}{6}) \\ \cos(\frac{5\pi}{6}) \end{pmatrix} = a \begin{pmatrix} \frac{1}{2} \\ -\frac{\sqrt{3}}{2} \end{pmatrix} \quad (\text{III.8})$$

$$\delta_{AA,4} = a \begin{pmatrix} \sin(\frac{7\pi}{6}) \\ \cos(\frac{7\pi}{6}) \end{pmatrix} = a \begin{pmatrix} -\frac{1}{2} \\ -\frac{\sqrt{3}}{2} \end{pmatrix} \quad (\text{III.9})$$

$$\delta_{AA,5} = a \begin{pmatrix} \sin(\frac{9\pi}{6}) \\ \cos(\frac{9\pi}{6}) \end{pmatrix} = a \begin{pmatrix} -1 \\ 0 \end{pmatrix} \quad (\text{III.10})$$

$$\delta_{AA,6} = a \begin{pmatrix} \sin(\frac{11\pi}{6}) \\ \cos(\frac{11\pi}{6}) \end{pmatrix} = a \begin{pmatrix} -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \end{pmatrix} \quad (\text{III.11})$$

First BZ vs this
Gamma centered
one

The primitive reciprocal lattice vectors $\mathbf{b}_1, \mathbf{b}_2$ fulfill

$$\mathbf{a}_1 \cdot \mathbf{b}_1 = \mathbf{a}_2 \cdot \mathbf{b}_2 = 2\pi \quad (\text{III.12})$$

$$\mathbf{a}_1 \cdot \mathbf{b}_2 = \mathbf{a}_2 \cdot \mathbf{b}_1 = 0, \quad (\text{III.13})$$

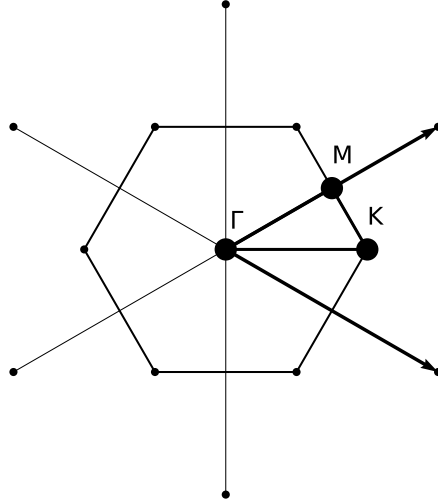


Figure III.2: *Graphene Brillouin Zone*

so we have:

$$\mathbf{b}_1 = \frac{2\pi}{a} \begin{pmatrix} 1 \\ \frac{1}{\sqrt{3}} \end{pmatrix} \quad (\text{III.14})$$

$$\mathbf{b}_2 = \frac{2\pi}{a} \begin{pmatrix} 1 \\ -\frac{1}{\sqrt{3}} \end{pmatrix} \quad (\text{III.15})$$

Points of high symmetry in the Brillouin zone are:

$$\Gamma = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad (\text{III.16})$$

$$\mathbf{M} = \frac{\pi}{a} \begin{pmatrix} 1 \\ \frac{1}{\sqrt{3}} \end{pmatrix} \quad (\text{III.17})$$

$$\mathbf{K} = \frac{4\pi}{3a} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad (\text{III.18})$$

III.2 EG-X MODEL

Graphene lattice and a site X.

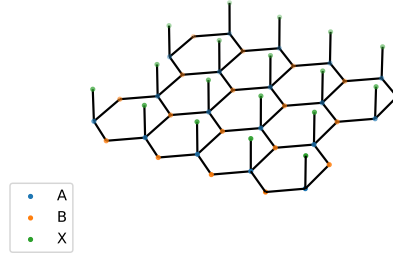


Figure III.3: *EG-X model*

Without interaction:

$$H_0 = -t_X \sum_{\langle ij \rangle, \sigma} d_{i, \sigma}^\dagger d_{j, \sigma} - t_{Gr} \sum_{\langle ij \rangle, \sigma} c_{i, \sigma}^{(A), \dagger} c_{j, \sigma}^{(B)} + V \sum_{i, \sigma \sigma'} d_{i, \sigma}^\dagger c_{i, \sigma'}^{(A)} + \text{h.c.} \quad (\text{III.19})$$

with:

- d operators on the X atom
- $c^{(\epsilon)}$ operators on the graphene site ($\epsilon = A, B$)
- t_X NN hopping for X
- t_{Gr} NN hopping of Gr
- V hybridization between X and Graphene B sites

We can also introduce an onsite Hubbard interaction:

$$H_{\text{int}} = U_X \sum_i d_{i, \uparrow}^\dagger d_{i, \downarrow}^\dagger d_{i, \downarrow} d_{i, \uparrow} + U_{Gr} \sum_{i, \epsilon=A, B} c_{i, \uparrow}^{(\epsilon), \dagger} c_{i, \downarrow}^{(\epsilon), \dagger} c_{i, \downarrow}^\epsilon c_{i, \uparrow}^\epsilon \quad (\text{III.20})$$

Write the Hamiltonian with orbital indices

Define sublattice index

$$\alpha = 1, 2, 3 \quad (\text{III.21})$$

with $1 \equiv \text{Gr}_1, 2 \equiv \text{Gr}_2, 3 \equiv \text{X}$. Then we can write the non-interacting term as

$$H_0 = - \sum_{\langle i,j \rangle, \alpha, \beta, \sigma} [\mathbf{t}]_{i\alpha, j\beta} c_{i\alpha}^\dagger c_{j\beta} \quad (\text{III.22})$$

with the matrix

$$\mathbf{t} = \begin{pmatrix} 0 & t_{\text{Gr}} & 0 \\ t_{\text{Gr}} & 0 & -V\delta_{ij} \\ 0 & -V\delta_{ij} & t_{\text{X}} \end{pmatrix} \quad (\text{III.23})$$

Add chemical potential:

$$-\mu \sum_{i\alpha\sigma} n_{i\alpha\sigma} \quad (\text{III.24})$$

Also write the interaction part with α (with changed signs compared to Niklas, to keep in line with papers about the attractive Hubbard model):

$$H_{\text{int}} = - \sum_{i\alpha} U_\alpha c_{i\alpha\uparrow}^\dagger c_{i\alpha\downarrow}^\dagger c_{i\alpha\downarrow} c_{i\alpha\uparrow} \quad (\text{III.25})$$

III.2.1 BAND STRUCTURE OF THE NON-INTERACTING EG-X MODEL

To treat eq. III.19, we first write out the sums over nearest neighbours $\langle i, j \rangle$ explicitly, writing $\delta_X, \delta_\epsilon$ ($\epsilon = A, B$) for the connections to the nearest neighbours of the X atoms and Graphene A, B sites. Doing the calculation for the example of the X atoms:

$$-t_X \sum_{\langle ij \rangle, \sigma\sigma'} (d_{i,\sigma}^\dagger d_{j,\sigma'} + d_{j,\sigma}^\dagger d_{i,\sigma'}) \quad (\text{III.26})$$

$$= -\frac{t_X}{2} \sum_{i,\sigma,\sigma'} \sum_{\delta_X} d_{i,\sigma}^\dagger d_{i+\delta_X,\sigma'} - \frac{t_X}{2} \sum_{j,\sigma,\sigma'} \sum_{\delta_X} d_{j,\sigma}^\dagger d_{j+\delta_X,\sigma'} \quad (\text{III.27})$$

$$= -t_X \sum_{i,\sigma,\sigma'} \sum_{\delta_X} d_{i,\sigma}^\dagger d_{i+\delta_X,\sigma'} \quad (\text{III.28})$$

(The factor $1/2$ is to account for double counting when going to the sum over all lattice sites i)

Now we can input the discrete Fourier transform (for both graphene and X operators) into eq. III.28

$$c_i = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{k}\mathbf{r}_i} c_{\mathbf{k}} \quad (\text{III.29})$$

$$c_i^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\mathbf{r}_i} c_{\mathbf{k}}^\dagger \quad (\text{III.30})$$

with the completeness relation:

$$\sum_i e^{i\mathbf{k}\mathbf{r}_i} e^{-i\mathbf{k}'\mathbf{r}_i} = N \delta_{\mathbf{k},\mathbf{k}'} . \quad (\text{III.31})$$

We get:

$$-t_X \frac{1}{N} \sum_{i,\sigma,\sigma'} \sum_{\delta_X} d_{i,\sigma}^\dagger d_{i+\delta_X,\sigma'} = -t_X \frac{1}{N} \sum_{i,\sigma,\sigma'} \sum_{\delta_X} \sum_{\mathbf{k},\mathbf{k}'} e^{-i\mathbf{k}\mathbf{r}_i} d_{\mathbf{k},\sigma}^\dagger e^{i\mathbf{k}'\mathbf{r}_i} e^{i\mathbf{k}'\delta_X} d_{\mathbf{k}',\sigma'} \quad (\text{III.32})$$

$$= -t_X \frac{1}{N} \sum_{\mathbf{k},\mathbf{k}',\sigma,\sigma'} \sum_{\delta_X} d_{\mathbf{k},\sigma}^\dagger e^{i\mathbf{k}'\delta_X} d_{\mathbf{k}',\sigma'} \sum_i e^{-i\mathbf{k}\mathbf{r}_i} e^{i\mathbf{k}'\mathbf{r}_i} \quad (\text{III.33})$$

$$= -t_X \frac{1}{N} \sum_{\mathbf{k},\mathbf{k}',\sigma,\sigma'} \sum_{\delta_X} d_{\mathbf{k},\sigma}^\dagger e^{i\mathbf{k}'\delta_X} d_{\mathbf{k}',\sigma'} N \delta_{\mathbf{k},\mathbf{k}'} \quad (\text{III.34})$$

$$= -t_X \sum_{\mathbf{k},\sigma,\sigma'} d_{\mathbf{k},\sigma}^\dagger d_{\mathbf{k},\sigma'} \sum_{\delta_X} e^{i\mathbf{k}\delta_X} \quad (\text{III.35})$$

The nearest neighbours for X atoms are the vectors $\delta_{AA,i}$ from section III.1. With that, we can calculate:

$$f_X(\mathbf{k}) = -t_X \sum_{\delta_X} e^{i\mathbf{k}\delta_X} \quad (\text{III.36})$$

$$= -t_X \left(e^{ia(\frac{k_x}{2} + \frac{\sqrt{3}k_y}{2})} + e^{iak_x} + e^{ia(\frac{k_x}{2} - \frac{\sqrt{3}k_y}{2})} \right) \quad (\text{III.37})$$

$$+ e^{ia(-\frac{k_x}{2} - \frac{\sqrt{3}k_y}{2})} + e^{-iak_x} + e^{ia(-\frac{k_x}{2} + \frac{\sqrt{3}k_y}{2})} \right) \quad (\text{III.38})$$

$$= -t_X \left(2 \cos(ak_x) + 2e^{ia\frac{\sqrt{3}k_y}{2}} \cos(\frac{a}{2}k_x) + 2e^{-ia\frac{\sqrt{3}k_y}{2}} \cos(\frac{a}{2}k_x) \right) \quad (\text{III.39})$$

$$= -2t_X \left(\cos(ak_x) + 2 \cos(\frac{a}{2}k_x) \cos(\sqrt{3}\frac{a}{2}k_y) \right) \quad (\text{III.40})$$

We can do the same for the hopping between Graphene sites, for example :

$$-t_{Gr} \sum_{\langle ij \rangle, \sigma \sigma'} c_{i,\sigma}^{(A)\dagger} c_{j,\sigma'}^{(B)} = -t_{Gr} \sum_{i,\sigma \sigma'} \sum_{\delta_{AB}} c_{i,\sigma}^{(A)\dagger} c_{i+\delta_{AB},\sigma'}^{(B)} \quad (\text{III.41})$$

$$= -t_{Gr} \sum_{\mathbf{k}, \sigma, \sigma'} c_{\mathbf{k},\sigma}^{(A)\dagger} c_{\mathbf{k},\sigma'}^{(B)} \sum_{\delta_{AB}} e^{i\mathbf{k}\delta_{AB}} \quad (\text{III.42})$$

We note

$$\sum_{\delta_{AB}} e^{i\mathbf{k}\delta_{AB}} = \left(\sum_{\delta_{BA}} e^{i\mathbf{k}\delta_{BA}} \right)^* = \sum_{\delta_{BA}} e^{-i\mathbf{k}\delta_{BA}} \quad (\text{III.43})$$

and calculate

$$f_{Gr} = -t_{Gr} \sum_{\delta_{AB}} e^{i\mathbf{k}\delta_{AB}} \quad (\text{III.44})$$

$$= -t_{Gr} \left(e^{i\frac{a}{\sqrt{3}}k_y} + e^{i\frac{a}{2\sqrt{3}}(\sqrt{3}k_x - k_y)} + e^{i\frac{a}{2\sqrt{3}}(-\sqrt{3}k_x - k_y)} \right) \quad (\text{III.45})$$

$$= -t_{Gr} \left(e^{i\frac{a}{\sqrt{3}}k_y} + e^{-i\frac{a}{2\sqrt{3}}k_y} \left(e^{i\frac{a}{2}k_x} + e^{-i\frac{a}{2}k_x} \right) \right) \quad (\text{III.46})$$

$$= -t_{Gr} \left(e^{i\frac{a}{\sqrt{3}}k_y} + 2e^{-i\frac{a}{2\sqrt{3}}k_y} \cos(\frac{a}{2}k_x) \right) \quad (\text{III.47})$$

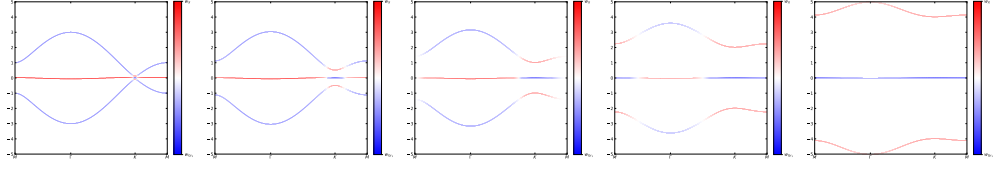


Figure III.4: Bands of the non-interacting EG-X model. All the bands are spin-degenerate.

All together, we get:

$$H_0 = \sum_{\mathbf{k}, \sigma} \begin{pmatrix} c_{\mathbf{k}, \sigma}^{A, \dagger} & c_{\mathbf{k}, \sigma}^{B, \dagger} & d_{\mathbf{k}, \sigma}^{\dagger} \end{pmatrix} \begin{pmatrix} 0 & f_{Gr} & V \\ f_{Gr}^* & 0 & 0 \\ V & 0 & f_X \end{pmatrix} \begin{pmatrix} c_{\mathbf{k}, \sigma}^A \\ c_{\mathbf{k}, \sigma}^B \\ d_{\mathbf{k}, \sigma} \end{pmatrix} \quad (\text{III.48})$$

The band structure for the non-interacting EG-X model is easily obtained by diagonalising the matrix in eq. III.48. This was done in fig. III.4.

Values used for calculation:

- $a_0 = 1$
- $t_{Gr} = 1$
- $t_X = 0.01$

V is the control parameter. A range from $V = 0.1$ to $V = 2$ can be mapped onto materials in experiment.

IV RESULTS

Parameter sweeps using [32].

IV.1 GAPS

IV.2 SUPERFLUID WEIGHT

IV.3 BREAKDOWN OF SC WITH FINITE MOMENTUM

IV.4 COHERENCE LENGTH ETC.

V NOTES ON THE COMPUTATIONAL IMPLEMENTATION

All the code is available at github.com/Ruberhauptmann/quant-met.

All the data, _____

Data availability

For reproducibility, Datalad [33] is used.

The implementation relies on the work of many contributors of packages in Python's ecosystem, most important among them NumPy [34], SciPy [35], Matplotlib [36], Pandas [37, 38] and Parasweep [32]. _____

What software for what?

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LIST OF SYMBOLS

Symbol	Meaning	Definition
τ	general imaginary time variable	12
$\mathcal{C}_{AB}(\tau, 0)$	Correlation function in imaginary time	12