

# Application of the Finite-Momentum Pairing Method

1

?? introduced the method of enforcing a finite momentum on the order parameter to gain access to the coherence length  $\xi_0$  and the London penetration depth  $\lambda_{L,0}$ .

In this chapter, it will be applied in two ways. In section 1.1 for the decorated graphene model on the mean-field level. Here, the influence of the quantum geometry on superconductivity as explained in ?? will be explored.

In section 1.2, it is then applied to the one-band attractive Hubbard model on the square lattice, both on the mean-field level and using Dynamical Mean Field Theory (DMFT). It has one parameter tuning the attractive interaction between electrons, making it the prime example for demonstrating the BCS-BEC crossover phenomenon in the DMFT implementation.

## 1.1 Decorated Graphene Model

By self-consistently solving the gap equation ?? for a set of external parameters, the behavior of the gap values  $\Delta_\alpha$  for the three orbitals  $\alpha \in \{\text{Gr}_A, \text{Gr}_B, X\}$  can be analyzed. In the case of the decorated graphene model, these are the Hubbard interaction  $U$  (here set the same for all orbitals), the hybridization  $V$ , temperature  $T$  and Cooper pair momentum  $\mathbf{q}$ . All steps are shown for an example value of  $U = 0.1t$ , results for the superconducting length scales will later be compared between different  $U$ .

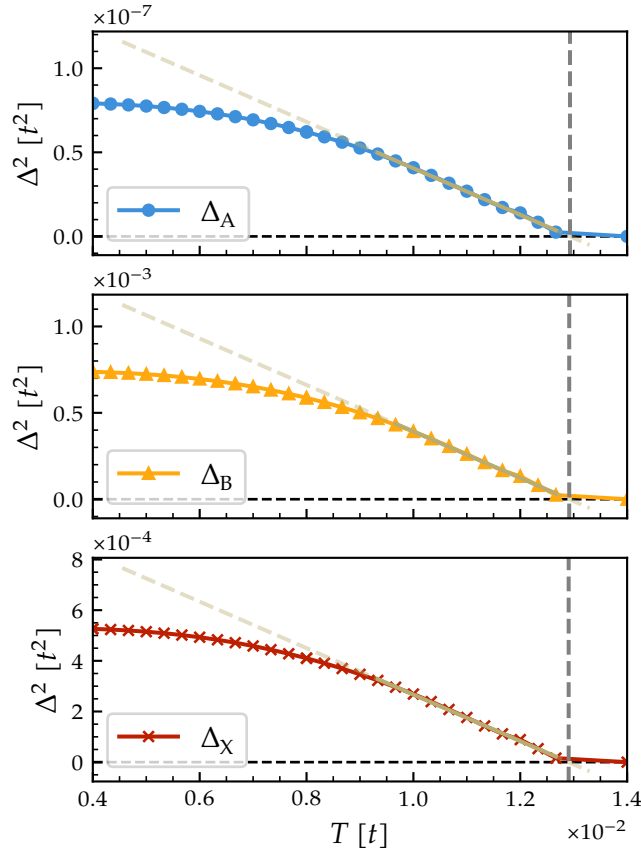
### Critical Temperatures

The zero-temperature lengths  $\xi_0, \lambda_{L,0}$  are extracted from the temperature dependence  $\xi(T), \lambda_L(T)$  in ?? and ?? (which both depend on the ration  $T/T_C$ ). This means the first step in the analysis is to find the critical temperature  $T_C$  for  $\mathbf{q} = 0$ .

Because the calculations near  $T_C$  are hard to converge, finding  $T_C$  by analyzing the point at which the gap vanishes is not feasible. Instead, from the Ginzburg-Landau theory expression ?? (which is valid for  $T \simeq T_C$ ), the  $T_C$  can be extracted from the linear behavior of the order parameter near the phase transition:

$$|\Delta_\alpha|^2 \propto T_C - T. \quad (1.1)$$

This is shown in fig. 1.1. Notable here is that even though  $\Delta_A$  is orders of magnitude smaller than  $\Delta_B$  and  $\Delta_X$ ,  $T_C$  is the same for every orbital. This is the case for all values



**Figure 1.1 – Extraction of  $T_C$  from the linear behavior of the order parameter.** Shown is the square of the gap  $\Delta_\alpha$  near  $T_C$  for  $U = 0.1t$ ,  $V = 1.6t$  and  $\mathbf{q} = 0$ . The linear fit for extracting  $T_C$  is shown in tan, the corresponding  $T_C$  is marked by the dashed gray line.

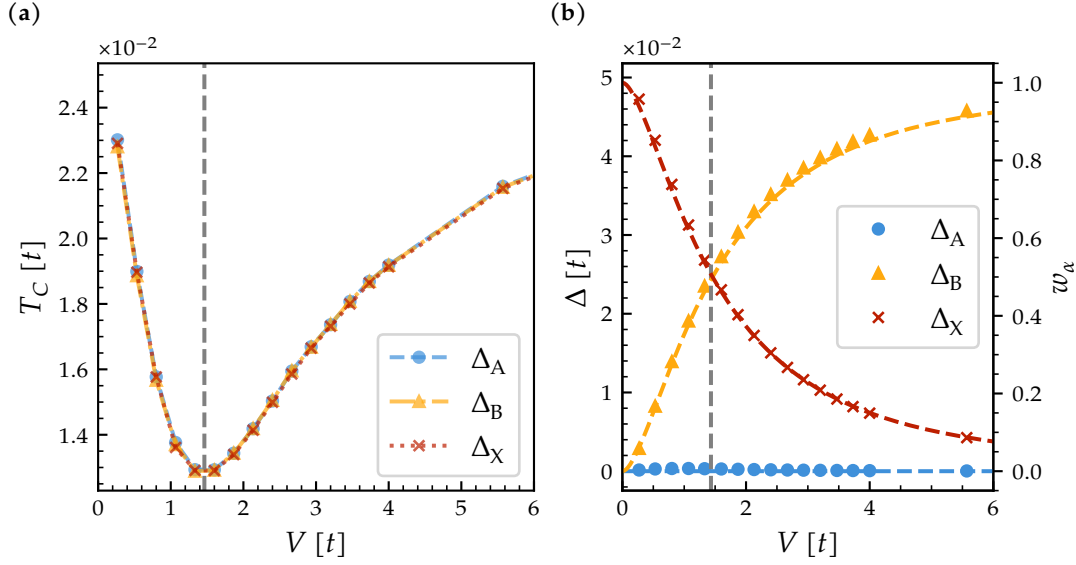
of  $V$ , as shown in fig. 1.2a. Figure 1.2b shows that  $T_C$  follows the maximal value of the  $\Delta_\alpha$ , switching over from X to  $\text{Gr}_B$  at  $V = 1.46t$ .

The value of  $\Delta_\alpha$  follows the corresponding orbital weight  $w_\alpha$ ,  $\alpha \in \{\text{Gr}_A, \text{Gr}_B, \text{X}\}$  of the flat band as shown in ?? . In contrast to a repulsive Hubbard interaction [1] there is no gap closure for a medium  $V$ , there is just a minimum of the maximal gap value at  $V = 1.46t$ .

### Extracting the Superconducting Length Scales

The correlation length  $\xi(T)$  is associated with the breakdown of the order parameter:

$$|\Psi_{\mathbf{q}}|^2 = |\Psi_0|^2 (1 - \xi(T)^2 q^2) , \quad (1.2)$$



**Figure 1.2 – Critical temperatures and gaps against  $V$ .** (a)  $T_C$  against hybridization  $V$ , the same for all three orbitals. (b) Gaps  $\Delta_\alpha$  for the same values of  $V$ . The dashed lines are the orbital weight of the flat band as defined in ?? . The dashed value  $V = 1.46t$  is taken from the minimum of  $T_C(V)$ , coinciding with the switchover of the orbital character. Both plots are for the same  $U = 0.1t$  and  $\mathbf{q} = 0$ .

which means that the  $q_C$  where the order parameter breaks down is related to the correlation length via

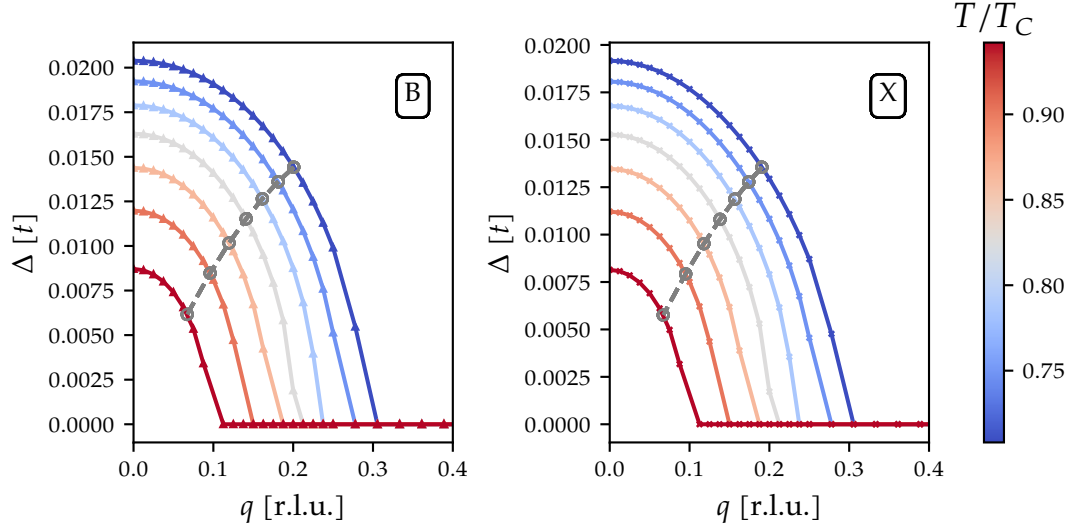
$$\xi = \frac{1}{q_C} . \quad (1.3)$$

The momentum  $\mathbf{q}$  is chosen as  $\mathbf{q} = q \cdot \mathbf{b}_1$  with the reciprocal vector  $\mathbf{b}_1$  and  $q \in [0, 0.5]$ . For  $q > 0.5$ , the vector is outside of the first Brillouin zone and the behavior of  $\Delta_\alpha(\mathbf{q})$  is periodic from that point. This means the maximal  $\xi$  that can be resolved in this method is given by

$$\xi = \frac{1}{0.5 \cdot |\mathbf{b}_1|} = \frac{\sqrt{3}a}{2\pi} = \frac{3a_0}{2\pi} . \quad (1.4)$$

Similar to finding  $T_C$ , numerical calculations near  $q_C$  are hard to converge, so instead the criterion employed here is to choose  $\mathbf{Q}$  such that

$$\left| \frac{\psi_{\mathbf{Q}}(T)}{\psi_0(T)} \right| = \frac{1}{\sqrt{2}} , \quad (1.5)$$



**Figure 1.3 – Suppression of the order parameter with  $q$  for  $V = 1.5t$  and  $U = 0.1t$ .** The x-axis is marked in relative lattice units, i.e.  $\mathbf{q} = q \cdot \mathbf{b}_1$  for the reciprocal unit vector  $\mathbf{b}_1$ . Marked in gray are the points at which the gaps have fallen off to  $1/\sqrt{2}$  of their value at  $q = 0$ .

and then take

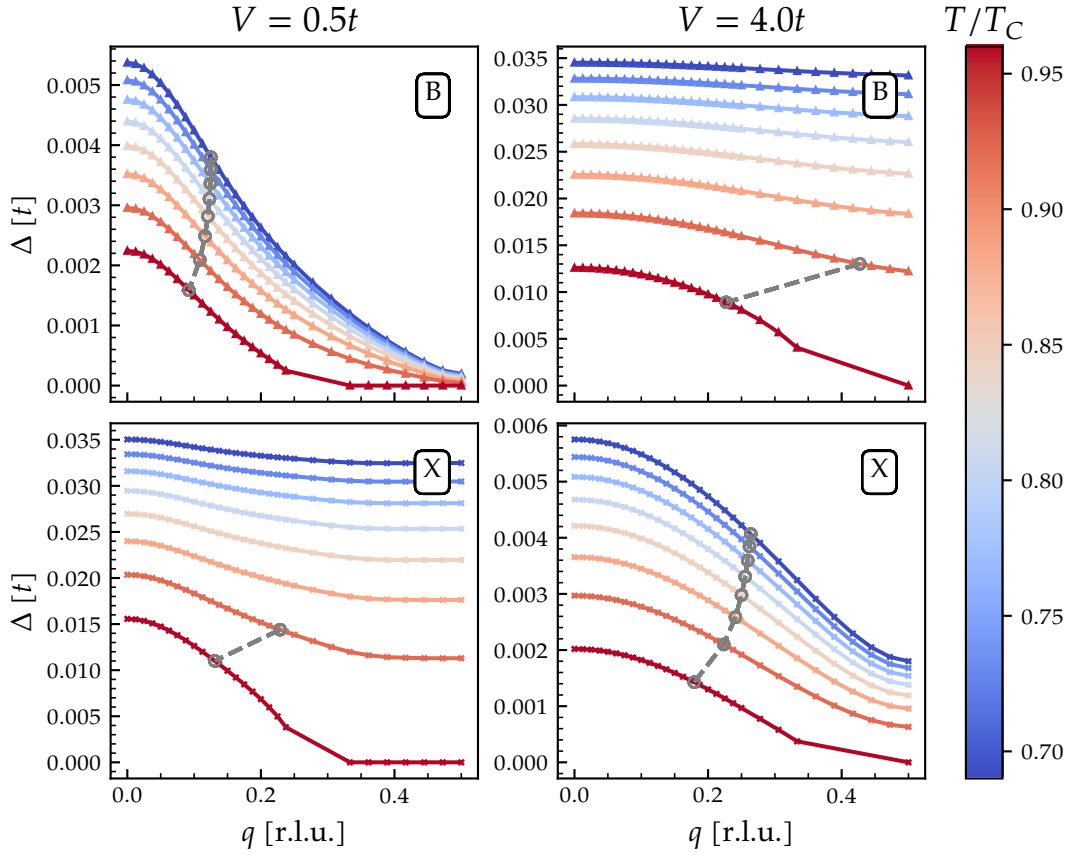
$$\xi = \frac{1}{\sqrt{2}|\mathbf{Q}|} . \quad (1.6)$$

This is not the only way to extract information from the  $\mathbf{q}$ -dependence of the order parameter, compare ref. [2] for discussion about this method and comparison to other methods.

As shown in fig. 1.2b, only  $\Delta_B$  and  $\Delta_X$  have a significant magnitude in the parameter range of  $U$  considered here. So for these two, the  $\mathbf{q}$ -dependence is shown in fig. 1.3. Chosen here is  $V = 1.5t$ , so in the parameter regime switching over between dominating X and B contribution. Both gaps have a  $q_C$  for which the gap vanishes as shown in ???. For higher temperatures  $q_C$  goes to 0, showing how the correlation length diverges for  $T \rightarrow T_C$ .

In the case of high and low  $V$ , the superconducting order is dominated by one of  $\Delta_A, \Delta_X$ . Figure 1.4 shows that the gap does not fully go down to 0 for  $\mathbf{q} = 1/2 \cdot \mathbf{b}_1$ , meaning that in these cases the correlation length calculated in Ginzburg-Landau theory is smaller than  $3a_0/2\pi$ .

The Ginzburg-Landau free energy is an quadratic expansion in the order parameter and thus only applicable near  $T_C$  and for low  $\mathbf{q}$ . Figure 1.4 shows cases where this is not the case and the picture in fig. 1.2b does not hold true. It is still possible to extract



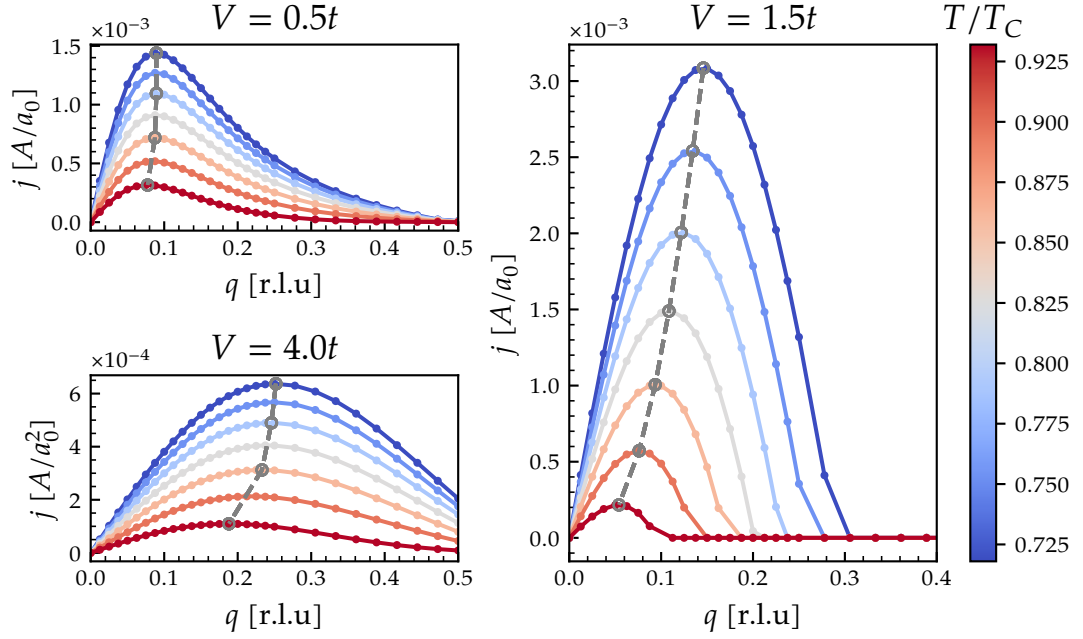
**Figure 1.4 – Suppression of the order parameter with  $q$  for  $V = 0.5t$  and  $V = 4t$  (both for  $U = 0.1t$ ).** In contrast to fig. 1.3, in this parameter regime the order parameter is fully suppressed for the maximal  $q = 0.5$ .

values for  $|\mathbf{Q}|$  especially for  $T \rightarrow T_C$ , but it should be kept in mind that in the low and high  $V$  limit, the analysis loses its foundation.

To calculate the London penetration depth  $\lambda_L$  via

$$\lambda_L(T) = \sqrt{\frac{\Phi_0}{3\sqrt{3}\pi\mu_0\zeta(T)j_{dp}(T)}}, \quad (1.7)$$

also the depairing current  $j_{dp}$ , the maximum of the superconducting current  $\mathbf{j}(\mathbf{q})$  is needed. Figure 1.5 shows the current  $j(\mathbf{q}) = |\mathbf{j}(\mathbf{q})|$  with the maximum marked for every temperature. Similar to the gaps, the current shows the behavior sketched in ?? for  $V = 1.5t$ , but for the low and high  $V$  values, the current is not fully suppressed for the lower temperatures and at  $q = 0.5$ . Still, a maximum can be extracted, but a similar



**Figure 1.5 – Superconducting current from a finite  $q$  for  $U = 0.1t$ .** For calculation of the London penetration depth  $\lambda_L$ , the maximum  $j_{dp}$  of the current is needed, marked here in gray .

caveat as in the discussion of the gaps about the applicability of the Ginzburg-Landau expressions applies.

Figure 1.6 shows the temperature dependence for  $\xi(T)$  and  $\lambda_L(T)$  for  $V = 1.5t$ . These can be fit to the Ginzburg-Landau expressions

$$\xi(T) = \xi_0 \left(1 - \frac{T}{T_C}\right)^{-\frac{1}{2}} \quad (1.8)$$

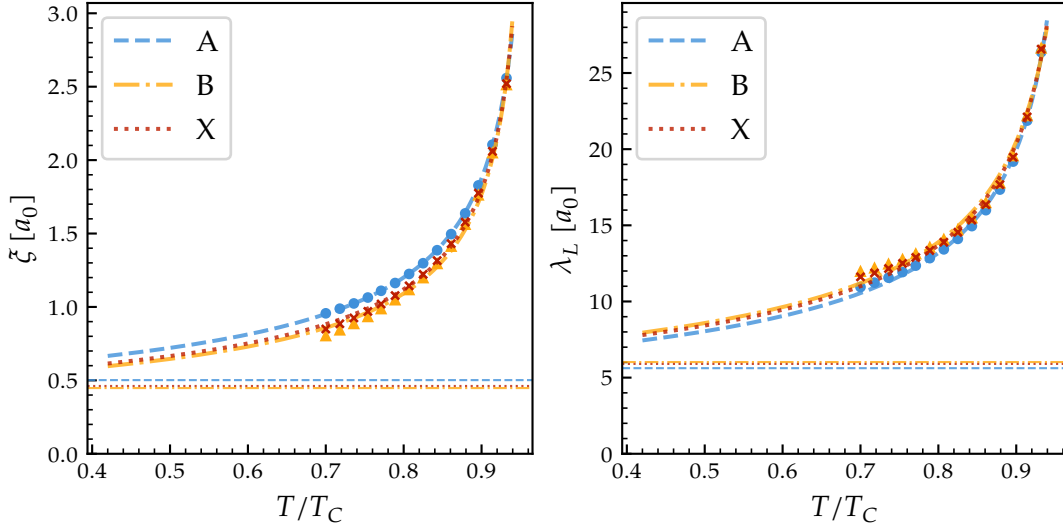
and

$$\lambda_L(T) = \lambda_{L,0} \left(1 - \frac{T}{T_C}\right)^{-\frac{1}{2}} \quad (1.9)$$

to obtain the zero-temperature values  $\xi_0$  and  $\lambda_{L,0}$ .

## Length Scales

Figure 1.7 shows the extracted length scales for two different values of the attractive interaction  $U$ . For the coherence length, the behavior is similar between the two



**Figure 1.6 – Temperature dependence of the correlation length  $\xi$  and London penetration depth  $\lambda_L$  for  $V = 1.50t$  and  $U = 0.1t$ .** The fits for extracting the zero-temperature values  $\xi_0, \lambda_{L,0}$  and the corresponding values are marked as dashed lines.

values: the orbital with the largest gap value has the shortest coherence length, with a switchover between the small and large  $V$  values at around  $V = 1.46t$ , the point at which the dominating gap switches over from the X to the B orbital. Between ?? and ??, the larger attractive interaction leads to a smaller coherence length around  $V = 1.46t$ . Interestingly, the orbitals with vanishing gap in the large  $V$ -limit go to the same value of  $\xi_0$ , independent of  $U$ . The London penetration depth has a minimum around the switchover point  $V = 1.46t$  that is smaller with larger  $U$ . This shows that the superfluid weight

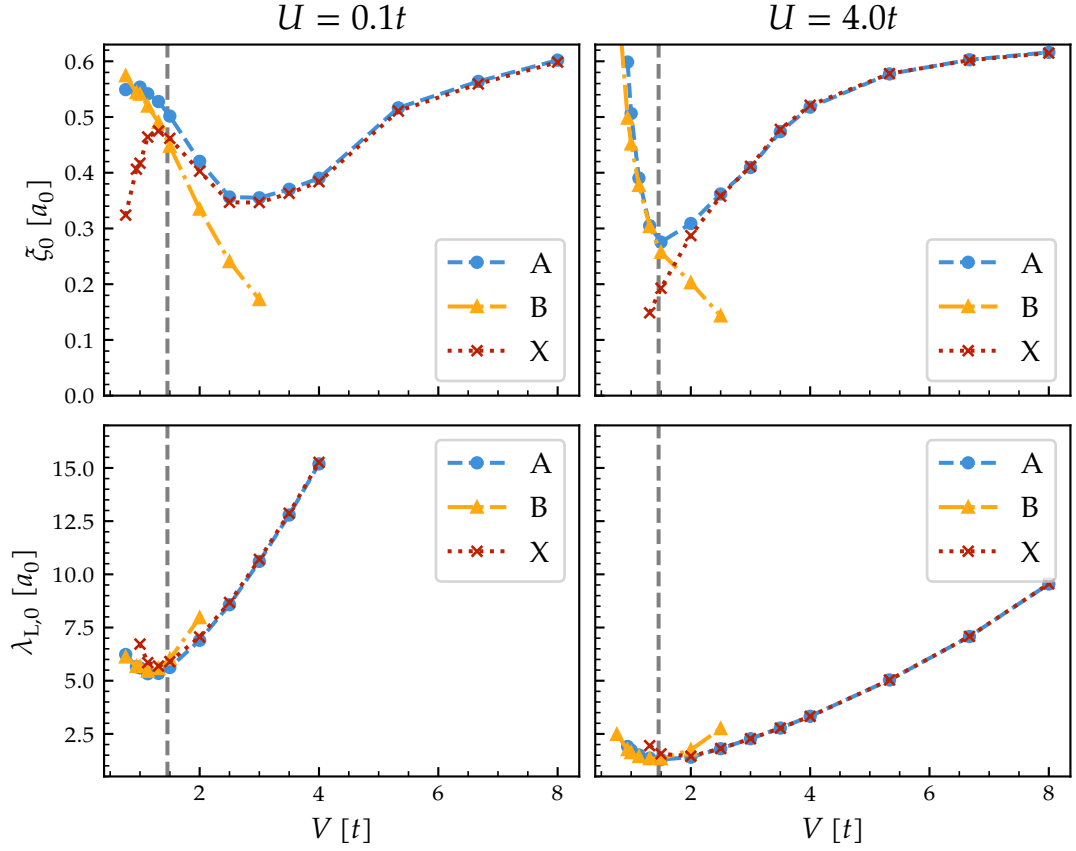
$$D_S \propto \lambda_{L,0}^{-2} \quad (1.10)$$

is suppressed for large values of  $V$ .

Another way to calculate the superfluid weight from linear response theory was introduced in ??. Figure 1.8 shows the superfluid weight from the  $\mathbf{q}$ -dependence and the linear response formula, specifically  $D_{S,xx} + D_{S,yy}$ . This is be split up between the geometric and the conventional contribution. Also shown is the minimal quadratic Wannier spread

$$\Omega_I = \text{Tr} M_{\mu\nu} = \frac{1}{N_{\mathbf{k}}} \sum_{\mathbf{k}} g_{xx}(\mathbf{k}) + g_{yy}(\mathbf{k}) \quad (1.11)$$

calculated from the quantum metric  $g_{\mu\nu}(\mathbf{k})$ . It shows that the condition under which the superfluid weight is entirely determined by the geometric contribution [3] occurs in the case of an isolated flat band: for  $U$  smaller than the gap separating the flat band



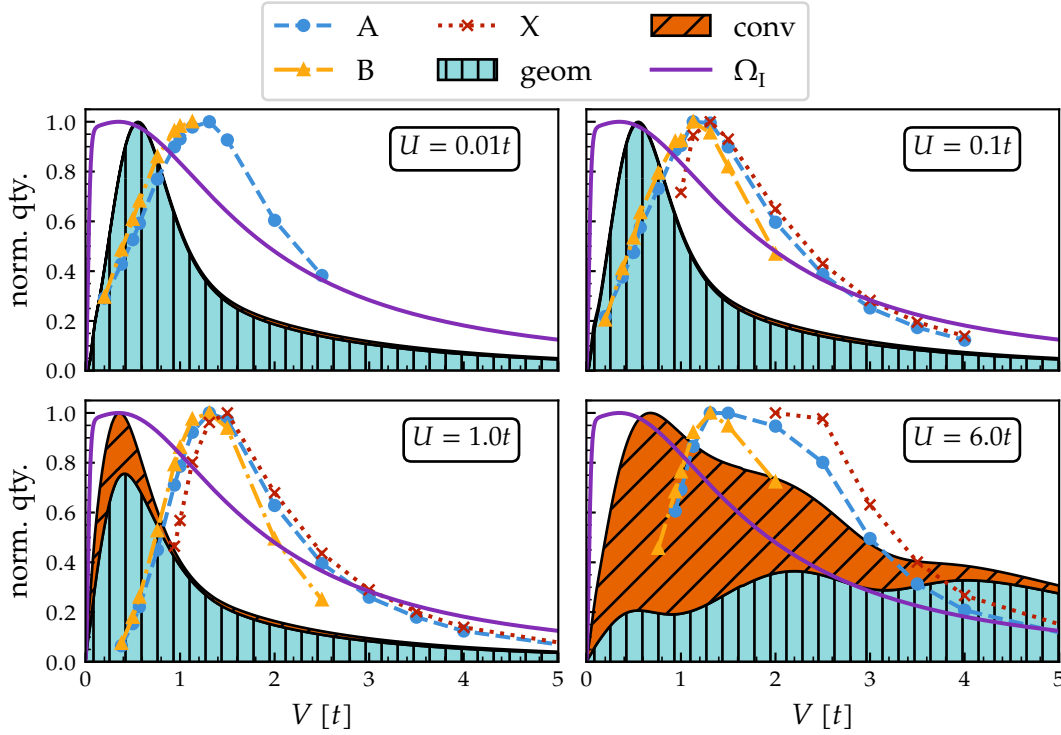
**Figure 1.7 – Superconducting length scales for  $U = 0.1t$  and  $U = 4.0t$ .** Marked in gray is  $V = 1.46t$ , the point at which the dominating gap switches over from the X to the B orbital.

from the dispersive bands (which is  $O(V)$ ), this condition holds. However, for instance, when  $U = 1.0t$ , the conventional contribution increases, and for  $U = 6.0t$ , it becomes dominant until  $U \sim V$ .

The results from the Finite Momentum Pairing (FMP) method agree with the linear response insofar that they show a peak in the intermediate  $V$ -region and go to zero for  $V \rightarrow 0$  and  $V \rightarrow \infty$ , but the location of this peak is not the same between the two methods.

The critical temperatures in BCS theory as seen in fig. 1.2a shows a minimum in the intermediate  $V$  region, while the superfluid weight has its maximum in this region. In consequence, because a finite superfluid weight is needed to support superconductivity, the analysis from BCS theory suggests that the optimum for superconductivity is in this region and not for  $V \rightarrow 0$  or  $V \rightarrow \infty$  where  $T_C$  is largest.



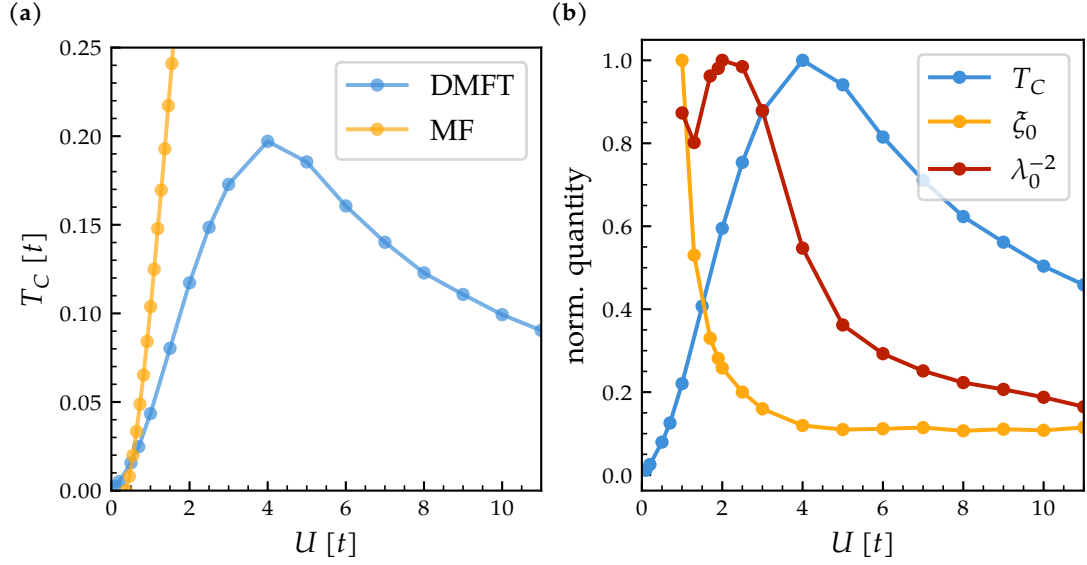


**Figure 1.8 – Comparison of the superfluid weight calculated by different methods.** All quantities are normalized to analyze the general trend in comparison to the minimal quadratic Wannier spread  $\Omega_I$ . For the calculation coming from linear response theory (see ??), the geometric and conventional contributions are marked separately.

## 1.2 One-Band Hubbard Model

DMFT gives insight into the phenomenon of the BCS-BEC crossover [4–7]. To study this, the FMP method is applied for a simpler model in the Hubbard model on the square lattice with only one orbital per unit cell.  $T_C$  can be extracted from the linear behavior of  $\Delta^2$  the same way as above, fig. 1.9a shows  $T_C$  against  $U$  calculated in both BCS and DMFT. This shows how the BCS  $T_C$  only describes the pairing temperature and in DMFT, also phase coherence is captured. The DMFT curve shows the typical dome-shape of the BCS-BEC crossover with stronger attractive interaction.

The extraction of the superconducting length scales works the same as in section 1.1. Figure 1.9b shows how these length scales characterize the BCS-BEC crossover phenomenon: the coherence length goes to a constant value when going into the BEC regime, marking how the Cooper pairs become strongly localized. For  $U \sim 1.0t$  the DMFT calculations were difficult to converge, so especially the values for  $\lambda_{L,0}$  vary in



**Figure 1.9 –  $T_C$  and superconducting length scales for the one-band Hubbard model.** (a)  $T_C$  calculated from mean-field theory and DMFT respectively. It shows the characteristic dome of the BCS-BEC crossover that is not captured in mean-field theory. (b) Critical temperature  $T_C$ , coherence length  $\xi_0$  and superfluid weight  $D_S \propto \lambda_{L,0}^{-2}$  normalized to its maximal value. In the crossover to the BEC-regime, the superfluid weight goes to 0 and the coherence length goes to a constant value.

this regime, but regardless the superfluid weight has its maximal value for low  $U$  and goes to zero for stronger attractive interaction.