

## §1 2020-05-25

### §1.1 Reductions

$P$  reduces to  $Q$  means if I can solve  $Q$  I can solve  $P$ . This is roughly equivalent to  $Q$  is “harder than”  $P$ .

Algorithm for solving  $P$ . First transform the problem into a  $Q$  problem. Then feed the problem to the  $Q$  solver.

If you know  $P$  is undecidable then the putative  $Q$  solver cannot exist, so  $Q$  is also undecidable.

$\leq$  is a preorder. Transitive so reductions can be chained. Not partial order because it doesn't have anti-symmetry.

Notation.  $\langle M \rangle$  is encoding of a machine.  $\langle M, w \rangle$  is a machine and its input.  $\langle M_1, M_2 \rangle$  is two machines.  $\langle G \rangle$  encodes a CFG.

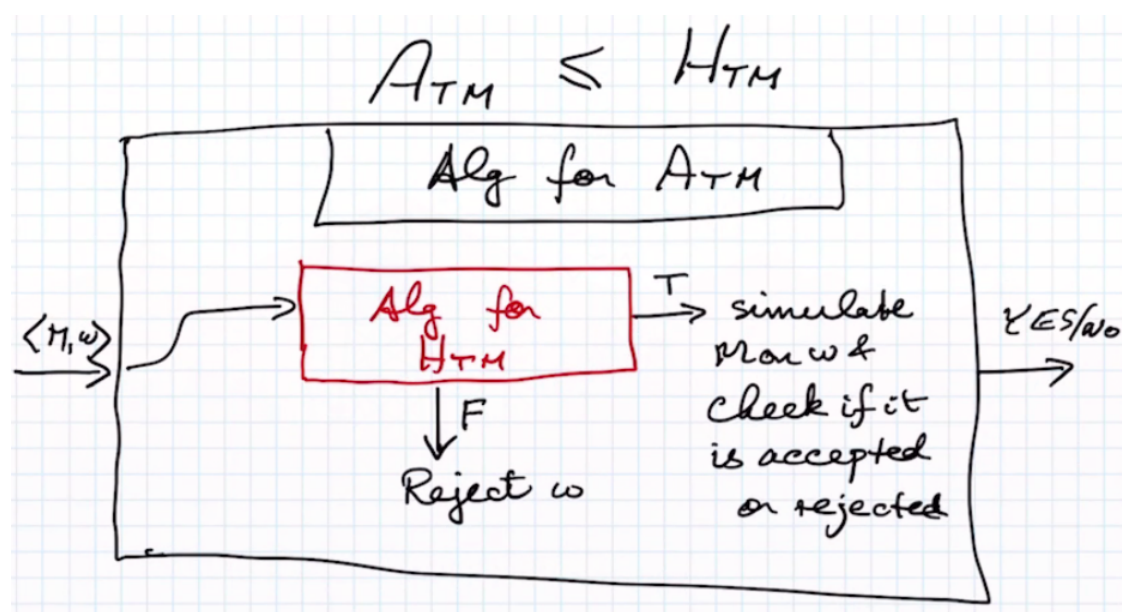
$$H_{TM} = \{ \langle M, w \rangle \mid M \text{ halts on } w \}$$

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$$

$H_{TM}$  accepts the set of machines and input that halt.  $A_{TM}$  accepts the set of machines and inputs that those machines accept.  $H_{TM} \leq A_{TM}$ .

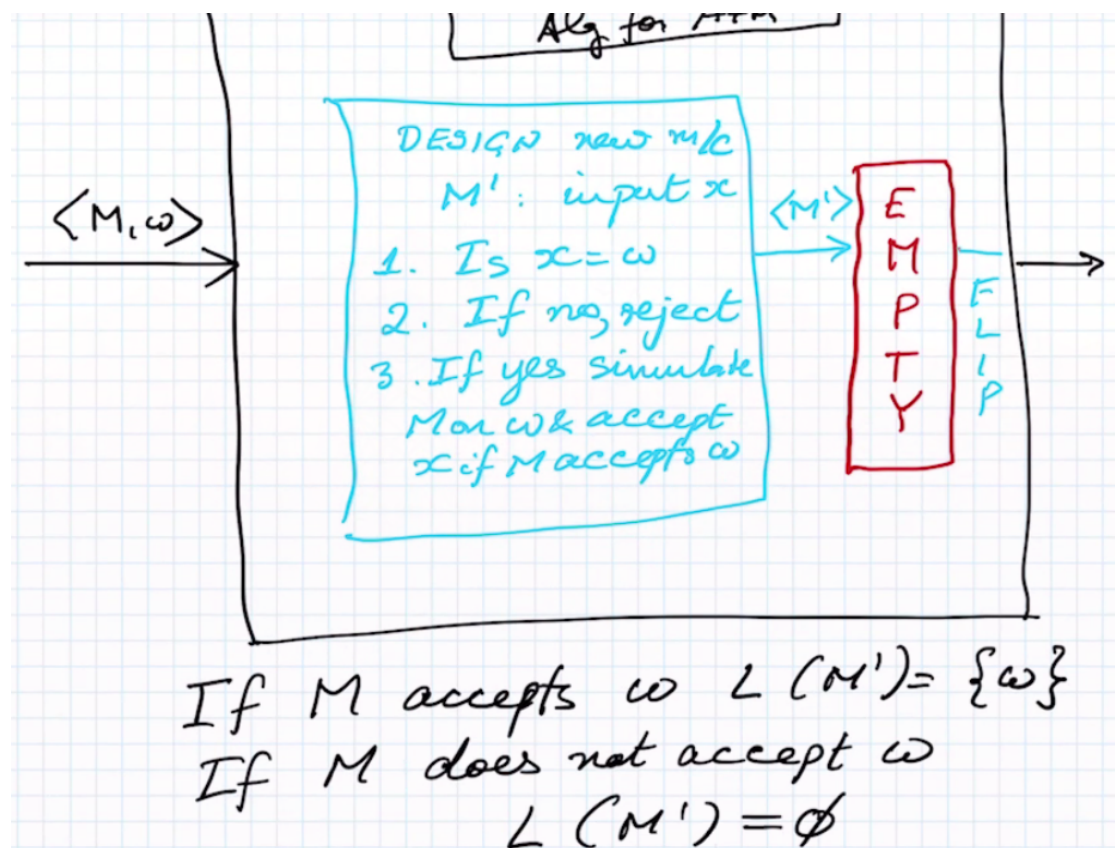
**Note 1.1.** Constructing a machine should be thought of as writing the code for the machine.

Algorithm for  $A_{TM}$  cannot exist because  $H_{TM}$  reduces to it.



$$EMPTY_{TM} = \{ \langle M \rangle \mid L(M) = \emptyset \}.$$

$A_{TM} \leq EMPTY_{TM}$ . Machine:



REG, is  $L(M)$  a regular language? Construct machine.

**Note 1.2.** The more powerful a gadget is, the easier it is to build a reduction to that gadget.

$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid L(M_1) = L(M_2)\}$ .  $EMPTY_{TM} \leq EQ_{TM}$ . Construct a machine that rejects all words, and compare equality of input to  $EMPTY$  with this machine using  $EQ$  machine. If they are equal, then  $M$  is empty.

**Exercise 1.3.** Show that the following are undecidable.

1.  $L(M) = L(M')$  where  $M'$  always halts.
2.  $L(M)$  is context free.
3.  $|L(M)| < \infty$ .
4.  $L(M) = \Sigma^*$ .

## §1.2 Sharper Notion of Reduction

Mapping reduction. Suppose  $L_1, L_2 \subseteq \Sigma^*$ . We say  $L_1$  is mapping reducible to  $L_2$

$$L_1 \leq_m L_2$$

if there exists a total computable function  $f : \Sigma^* \rightarrow \Sigma^*$  such that  $\forall w \in \Sigma^*, w \in L_1 \Leftrightarrow f(w) \in L_2$ .

**Note 1.4.**

1.  $L_1 \leq_m L_2$  then  $\overline{L_1} \leq_m \overline{L_2}$ . This is not true for general reductions.
2.  $\leq_m$  has a direction because  $f$  has a direction. No guarantee that you can go both ways.  $L_1 \leq_m L_2$  does not mean  $L_2 \leq_m L_1$ .

**Fact 1.5.** Facts about  $\leq_m$ .

1.  $P \leq_m Q$  and  $P$  is undecidable then  $Q$  is undecidable
2.  $P \leq_m Q$  and  $Q$  is decidable then  $P$  is decidable.
3.  $P \leq_m Q$  and  $Q$  is computably enumerable then  $P$  is also computably enumerable.
4.  $P \leq_m Q$  and  $P$  is not computably enumerable, then  $Q$  cannot be computably enumerable.
5. If  $P \leq_m Q$  and  $P$  is not co-CE then  $Q$  cannot be co-CE. co-CE means if the algorithm is NO your algorithm will definitely tell you. CE means if the answer is YES your algorithm will definitely tell you.

$H_{TM}, A_{TM}$  are CE but not coCE. Run it and it will tell you if the answer is yes.

$\overline{A_{TM}}, \overline{EMPTY_{TM}}$  are co-CE. You will definitely find out if it is not empty with dove tailing.

Semi decision problem, computably enumerable set.

$A_{TM} \leq \overline{EMPTY_{TM}}$  but this is not a mapping reduction. Suppose we had  $A_{TM} \leq_m \overline{EMPTY_{TM}}$ , then  $\overline{A_{TM}} \leq_m \overline{\overline{EMPTY_{TM}}}$ . But this is not possible because  $\overline{A_{TM}}$  is co-CE while  $\overline{\overline{EMPTY_{TM}}}$  is CE.

### §1.3 Turing Reduction

$P \leq_T Q$ . I get to use a  $Q$  oracle as many times as I want and I can do any computable post processing I want.

$P \leq_m Q$ . I get to do some total computable preprocessing and then ask my  $Q$  oracle 1 question and output the answer without post processing. Can't even flip the output.

#### Theorem 1.6

$EQ_{TM}$  is not CE or coCE. More difficult than halting problem.

**Fact 1.7.** Halting problem is complete for all CE problems. CE complete.

Non-halting problem is coCE complete.

$$|L(M)| = \infty. \text{ INF} = \{\langle M \rangle \mid |L(M)| = \infty\}$$

Claim:  $\overline{H_{TM}} \leq_m \text{INF}$ .

Reducing of non halting problem. Let input to  $M'$  be  $X$ , then run  $M$  on  $w$   $|x|$  times and reject  $x$  if it halts, accept otherwise. The language of  $M'$  is everything if  $w$  runs forever and is finite otherwise, which can be checked with INF.

### Theorem 1.8 (Rice's Theorem)

$P : \mathbb{N} \rightarrow \mathbb{N}$ .  $\llbracket P \rrbracket := \{(x, y) \mid P(x) = y\}$ .

$P_1 \sim P_2$  means  $\llbracket P_1 \rrbracket = \llbracket P_2 \rrbracket$ .

$P_1, P_2$  are extensionally equal.

$M_1 \sim M_2 \Leftrightarrow L(M_1) = L(M_2)$ .  $Q : \text{PROG} \rightarrow \{T, F\}$  is called a property of programs.  $Q$  is an extensional property if  $P_1 \sim P_2 \Leftrightarrow Q(P_1) = Q(P_2)$ .  $Q$  only depends on the IO behavior.  $Q$  only depends on the functional spec.

$Q$  always true or  $Q$  always false are trivial properties.

Rice's theorem: Every non trivial extensional property of programs is undecidable. Nothing that just depends on the IO spec can possibly be decidable.

*Proof.* Let  $Q$  be a nontrivial property of CE sets. i.e.  $\exists P$  such that  $Q(P) = \text{true}$  and  $\exists P'$  such that  $Q(P') = \text{false}$ .

Assume empty does not satisfy  $Q$ . i.e.  $\forall M$  if  $L(M) = \emptyset$  then  $Q(M) = F$ .

Let  $M_0$  be such that  $Q(M_0) = T$ . Then  $L(M_0) \neq \emptyset$  by our assumption.

$$L_q = \{\langle M \rangle \mid Q(M) = T\}$$

Claim:  $A_{TM} \leq_m L_Q$ . Have gadget to solve  $x \in L_Q$ .

$M'$  with input  $x$  construction: Simulate  $M$  on  $w$ . If  $M$  accepts  $w$  then simulate  $M_0$  on  $x$ . □