# §1 Lecture 11-13

**Definition 1.1.** Let I be an ideal of R. Then  $\phi: R \to R/I$  is a <u>canonical homomorphism</u> associated to I.

$$\phi(r) = r + I$$
$$\phi(xy) = xh + I$$
$$\phi(x)\phi(y) = (x + I)(y + I)$$

## §1.1 Maximal and Prime Ideals

**Definition 1.2.** An ideal  $M \subseteq R$  is <u>maximal</u> if the only ideal larger than M is R itself.

i.e. There does not exist ideal I with  $M \subsetneq I \subsetneq R$ .

**Definition 1.3.** An ideal  $P \subsetneq R$  where R is commutative is <u>prime</u> if for all  $a, b \in R$ ,  $ab \in P \Rightarrow [a \in P \text{ or } b \in P]$ .

#### Example 1.4

A proper ideal  $n\mathbb{Z} \subset \mathbb{Z}$  is maximal  $\Leftrightarrow n\mathbb{Z} \subset \mathbb{Z}$  is prime  $\Leftrightarrow n$  is a prime number. Reasoning:

 $n\mathbb{Z}\subsetneq m\mathbb{Z}\subsetneq \mathbb{Z}$  if and only if m|n but  $m\neq 1$  and  $m\neq n$  i.e. n is not prime.

 $(ab \in n\mathbb{Z}) \Leftrightarrow n|(ab)$  but if n is prime then  $n|(ab) \Leftrightarrow n|a$  or n|b. Hence  $a \in n\mathbb{Z}$  or  $b \in n\mathbb{Z}$ .

If n is not prime, then n = xy where 1 < x, y < n and  $xy \in n\mathbb{Z}$  but  $x \notin n\mathbb{Z}$  and  $y \notin n\mathbb{N}$ . This would mean that  $n\mathbb{Z}$  is not prime.

#### Example 1.5

In  $\mathbb{Z}[x]$ , the ideal  $\langle x \rangle$  is prime but not maximal.

#### **Maximal Proof:**

- $\langle x \rangle$  is not maximal because  $\langle x \rangle \subsetneq \langle x, 2 \rangle \subsetneq \mathbb{Z}[x]$
- $\langle x,2 \rangle$  consists of all polynomials of the form  $f \cdot x + g \cdot 2$  (where  $f,g \in \mathbb{Z}[x]$ ). i.e. all polynomials whose consant term is even.
- $\langle x \rangle$  consists of all polynomials of the form  $f \cdot x$ . i.e. all polynomials whose constant term is zero.

## **Prime Proof**:

 $\langle x \rangle$  is prime because  $f \cdot g \in \langle x \rangle \Rightarrow (f \in \langle x \rangle \text{ or } g \in \langle x \rangle \text{ because if both } f$  and g have non zero constant term than  $f \cdot g$  has a non zero constant term.

#### Theorem 1.6

Let R be a commutative ring with 1. Let  $I \subsetneq R$  be a proper ideal. Then:

I is maximal  $\Leftrightarrow R/I$  is a field.

I is prime  $\Leftrightarrow R/I$  is an integral domain.

# Example 1.7

Let  $R = \mathbb{R}[x]$ , and  $I = \langle x^2 + 1 \rangle$ . Then  $R/I \cong \mathbb{C}$ . Note the following for gaining an intuition:

$$(x+I)(x+I) = (x^2+I)$$
 
$$(x^2+I) + (1+I) = (x^2+1+I) = 0 + I \Rightarrow (x^2+I) = (-1+I)$$
 
$$i \leftrightarrow x+I$$
 
$$1 \leftrightarrow 1+I$$

Going through an a demonstration:

$$7x^{3} - 3x^{2} + x + 9 + I \leftrightarrow ? \in \mathbb{C}$$

$$(7x^{3} + I) + (-3x^{2} + I) + (x + I) + (9 + I)$$

$$(7x + I)(x^{2} + I) + (-3 + I)(x^{2} + I) + (x + I) + (9 + I)$$

$$(7x + I)(-1 + I) + (-3 + I)(-1 + I) + (x + I) + (9 + I)$$

$$(-7x + I) + (3 + I) + (x + I) + (9 + I)$$

$$(-6x + I) + (12 + I)$$