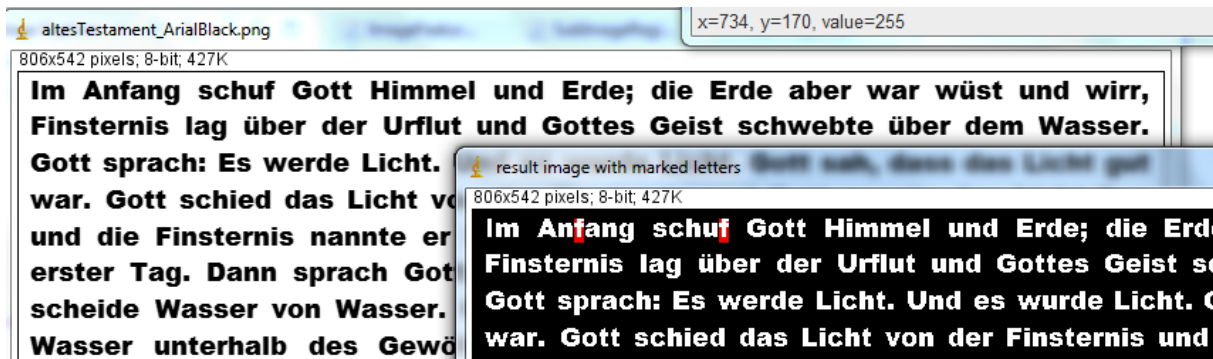


## BVA2 – Exercise 06: Pattern Recognition - OCR

[18 points]

Application of OCR pattern recognition to mark target letter in a text

Implement an ImageJ plugin to mark all occurrences of a target letter within an input text provided as grayscale image as illustrated below:



Example with reference character set to row=1, column=5, i.e. “f”. Some/most occurrences of “f” are marked in red as final result.

### 1. Basic Implementation [14 points]

For implementation of this exercise utilized the provided template files and implement the defined interfaces. The following steps need to be implemented. Always evaluate all of the achieved intermediate results:

- At first the input image needs to be binarized utilizing a proper threshold value.
- Separate the input image horizontally by splitting into sub-images that are containing the letters, i.e. lines. Then further separate the lines by vertical splitting to separate into character/letter images. Therefore, the sub-image data structure (**class** SubImageRegion) needs to be utilized. To separate the characters, the method `splitCharacters` needs to be implemented utilizing “fire-through” strategy. As an alternative, common region growing could be applied too (*cons: no ordering of letters in rows and columns, consider problems related to “f”,...*).  

```
public Vector<Vector<SubImageRegion>> splitCharacters(int[][] inImg, int width, int height, int BG_val, int FG_val)
public Vector<SubImageRegion> splitCharactersVertically(SubImageRegion rowImage, int BG_val, int FG_val, int[][] origImg)
```
- Define the reference character (user input) and calculate the feature vector:  

```
double[] calcFeatureArr(SubImageRegion region, int FGval, Vector<ImageFeatureBase> featuresToUse)
```

All the utilized features are derived from abstract base class **abstract class** ImageFeatureBase and necessitate implementation of the evaluation function **public abstract double** CalcFeatureVal(SubImageRegion imgRegion, int FG\_val). Implement at least all of the pre-defined features.
- For normalization, the mean feature values need to be calculated utilizing all characters in the provided text image:  

```
double[] normArr = calculateNormArr(splittedChars, BG_VAL, featureVect);
```

- Finally, classify all characters that show some level of similarity compared to the provided reference character. For comparison of the feature vectors, utilize correlation coefficient calculation and normalization.
- All letters that show a correlation coefficient above a certain confidence level are marked in the final result image. To allow for good results due to parameter tuning, the correlation coefficient threshold should be defined/adapted by the user.

Extensively test your implementation and evaluate the classification accuracy.

- Which letters can be detected in a confident way and which letters lead to problems – and why?
- Are all fonts applicable to this kind of OCR strategy – why or why not?
- Does classification accuracy depend on the other characters in the specific line – if that's the case: why and how to overcome this issue?

## 2. Advanced Implementation [4 points]

- Implement at least 3 additional features that improve robustness of the basic implementation.
- Ensure that the split characters image region is shrinked to its bounding box. How can that help to improve result quality?
- Discuss the normalization process – how does character occurrence probability influence the results?
- Discuss how the classification process itself could be improved. Are there better strategies for feature-based classification?
- How does correlation of some of the features itself influence the achievable classification results (*e.g. **max-distance-from-centroid** will somehow be correlated to the specific **width***)?

# 1 Basic Implementation

## 1.0.1 Lösungsidee

TODO

## 1.0.2 Ausarbeitung

Listing 1: OCRanalysis\_.java

```
1  import ij.IJ;
2  import ij.ImagePlus;
3  import ij.gui.GenericDialog;
4  import ij.gui.Roi;
5  import ij.measure.Measurements;
6  import ij.measure.ResultsTable;
7  import ij.plugin.filter.Analyzer;
8  import ij.plugin.filter.PlugInFilter;
9  import ij.process.ImageProcessor;
10 import ij.process.ImageStatistics;
11
12 import java.awt.Point;
13 import java.awt.Rectangle;
14 import java.util.Random;
15 import java.util.Vector;
16
17 public class OCRanalysis_ implements PlugInFilter {
18
19     private ImagePlus imp;
20     private ImageProcessor ip;
21
22     public int setup(String arg, ImagePlus imp) {
23         if (arg.equals("about")) {
24             showAbout();
25             return DONE;
26         }
27
28         this.imp = imp;
29
30         return DOES_8G + DOES_RGB + DOES_STACKS + SUPPORTS_MASKING;
31     } //setup
32
33     //----- the defined features -----
34     public static int F_FGcount = 0;
35     public static int F_MaxDistX = 1;
36     public static int F_MaxDistY = 2;
37     public static int F_AvgDistanceCentroide = 3;
38     public static int F_MaxDistanceCentroide = 4;
39     public static int F_MinDistanceCentroide = 5;
40     public static int F_Circularity = 6;
41     public static int F_CentroideRelPosX = 7;
42     public static int F_CentroideRelPosY = 8;
43     //-----
44
45
46     public void run(ImageProcessor ip) {
47         this.ip = ip;
48
49         Vector<ImageFeatureBase> featureVect = new Vector<ImageFeatureBase>();
50         featureVect.add(new ImageFeatureF_FGcount());
51         featureVect.add(new ImageFeatureF_MaxDistX());
52         featureVect.add(new ImageFeatureF_MaxDistY());
```

```

53     featureVect.add(new ImageFeatureF_MaxDistanceCentroide());
54     featureVect.add(new ImageFeatureF_MinDistanceCentroide());
55     featureVect.add(new ImageFeatureF_AvgDistanceCentroide());
56     featureVect.add(new ImageFeatureF_Circularity());
57
58
59     byte[] pixels = (byte[]) ip.getPixels();
60     int width = ip.getWidth();
61     int height = ip.getHeight();
62     int[] [] inDataArrInt = ImageJUtility.convertFrom1DByteArr(pixels, width, height);
63
64     //(1) at first do some binarization
65     int FG_VAL = 0;
66     int BG_VAL = 255;
67     int MARKER_VAL = 127;
68     int thresholdVal = 0;///;
69
70     int[] binaryThreshTF = ImageTransformationFilter.GetBinaryThresholdTF(255, thresholdVal,
71     ↪ MARKER_VAL, FG_VAL, BG_VAL);
72     int[] [] binaryImgArr = ImageTransformationFilter.GetTransformedImage(inDataArrInt, width,
73     ↪ height, binaryThreshTF);
74
75     ImageJUtility.showNewImage(binaryImgArr, width, height, "binary image at threh = " +
76     ↪ thresholdVal);
77
78     //(2) split the image according to fire-trough or multiple region growing
79     Vector<Vector<SubImageRegion>> splittedCharacters = splitCharacters(binaryImgArr, width,
80     ↪ height, BG_VAL, FG_VAL);
81
82     // for reasons of testing, visualize some of the split characters
83     Random random = new Random();
84     int randomLine = random.nextInt(splittedCharacters.size());
85     for (SubImageRegion subImageRegion : splittedCharacters.get(randomLine)) {
86         subImageRegion.showImage();
87     }
88
89     //let the user specify the target character
90     final int[] max = {0};
91     splittedCharacters.stream().forEach(e -> {
92         if (e.size() > max[0]) {
93             max[0] = e.size();
94         }
95     });
96     GenericDialog dialog = createDialog("tgtCharRow", splittedCharacters.size(), "tgtCharCol",
97     ↪ max[0]);
98     int tgtCharRow = (int) dialog.getNextNumber();
99     int tgtCharCol = (int) dialog.getNextNumber();
100     System.out.println("Chosen row: " + tgtCharRow);
101     System.out.println("Chosen col: " + tgtCharCol);
102
103     SubImageRegion charROI = splittedCharacters.get(tgtCharRow).get(tgtCharCol);
104     ImageJUtility.showNewImage(charROI.subImgArr, charROI.width, charROI.height, "char at pos
105     ↪ " + tgtCharRow + " / " + tgtCharCol);
106
107     //calculate features of reference character
108     double[] featureResArr = calcFeatureArr(charROI, FG_VAL, featureVect);
109     printoutFeatureRes(featureResArr, featureVect);
110
111     // TODO calculate mean values for all features based on all characters
112     // ==> required for normalization
113     double[] normArr = calculateNormArr(splittedCharacters, BG_VAL, featureVect);
114     printoutFeatureRes(normArr, featureVect);
115
116     //

```

```

110 //      int hitCount = 0; //count the number of detected characters
111 //
112 //      //TODO: now detect all matching characters
113 //      //forall SubImageRegion sir in splittedCharacters
114 //      //if isMatchingChar(..,sir,..) then markRegionInImage(..,sir,..)
115 //
116 //
117 //      IJ.log("# of letters detected = " + hitCount);
118 //
119 //      bva2.ImageJUtility.showNewImage(binaryImgArr, width, height, "result image with marked
↪ letters");
120
121 } //run
122
123 public int[] [] markRegionInImage(int[] [] inImgArr, SubImageRegion imgRegion, int
↪ colorToReplace, int tgtColor) {
124     //TODO: implementation required
125     return inImgArr;
126 }
127
128 boolean isMatchingChar(double[] currFeatureArr, double[] refFeatureArr, double[]
↪ normFeatureArr) {
129     double CORR_COEFFICIENT_LIMIT = -1;//?;
130
131     //TODO: implementation required
132
133
134     return false;
135 }
136
137
138 void printoutFeatureRes(double[] featureResArr, Vector<ImageFeatureBase> featuresToUse) {
139     IJ.log("===== features =====");
140     for (int i = 0; i < featuresToUse.size(); i++) {
141         IJ.log("res of F " + i + ", " + featuresToUse.get(i).description + " is " +
↪ featureResArr[i]);
142     }
143 }
144
145
146 double[] calcFeatureArr(SubImageRegion region, int FGval, Vector<ImageFeatureBase>
↪ featuresToUse) {
147     //TODO implementation required
148     double[] featureResArr = new double[featuresToUse.size()];
149     for (int i = 0; i < featuresToUse.size(); i++) {
150         featureResArr[i] = featuresToUse.get(i).CalcFeatureVal(region, FGval);
151     }
152
153     return featureResArr;
154 }
155
156 double[] calculateNormArr(Vector<Vector<SubImageRegion>> inputRegions, int FGval,
↪ Vector<ImageFeatureBase> featuresToUse) {
157     //calculate the average per feature to allow for normalization
158     double[] returnArr = new double[featuresToUse.size()];
159     //TODO implementation required
160
161     return returnArr;
162 }
163
164 //outer Vector ==> lines, inner vector characters per line, i.e. columns
165 public Vector<Vector<SubImageRegion>> splitCharacters(int[] [] inImg, int width, int height,
↪ int BG_val, int FG_val) {

```

```

166     Vector<Vector<SubImageRegion>> returnCharMatrix = new Vector<Vector<SubImageRegion>>();
167
168     int startY = 0;
169     boolean foundFG = false;
170     boolean foundOnlyBackgroundInLine = true;
171     for (int y = 0; y < height; y++) {
172         foundOnlyBackgroundInLine = true;
173         for (int x = 0; x < width; x++) {
174             // if the value is a FG_val set start points
175             // go on until there is a completely white line
176             if (inImg[x][y] == FG_val) {
177                 if (foundFG == false) {
178                     startY = y;
179                 }
180                 foundFG = true;
181                 foundOnlyBackgroundInLine = false;
182                 break;
183             }
184
185         }
186         // found a completely background line and there was a FG_val before
187         // so this is a new region
188         if (foundOnlyBackgroundInLine && foundFG) {
189             foundFG = false;
190             SubImageRegion subImageRegion = new SubImageRegion(0, startY, width, y - 1 -
191                 ↪ startY, inImg);
192             Vector<SubImageRegion> horizontalRegions =
193                 ↪ splitCharactersVertically(subImageRegion, BG_val, FG_val, inImg);
194             returnCharMatrix.add(horizontalRegions);
195         }
196     }
197
198     return returnCharMatrix;
199 }
200
201 public Vector<SubImageRegion> splitCharactersVertically(SubImageRegion rowImage, int BG_val,
202     ↪ int FG_val, int[][] origImg) {
203     Vector<SubImageRegion> returnCharArr = new Vector<SubImageRegion>();
204
205     int startX = 0;
206     int startY = 0;
207     boolean foundFG = false;
208     boolean foundOnlyBackgroundInLine = true;
209     for (int x = rowImage.startX; x < rowImage.width; x++) {
210         foundOnlyBackgroundInLine = true;
211         for (int y = 0; y < rowImage.height; y++) {
212             if (rowImage.subImgArr[x][y] == FG_val) {
213                 if (foundFG == false) {
214                     startX = x;
215                     startY = rowImage.startY;
216                 }
217                 foundFG = true;
218                 foundOnlyBackgroundInLine = false;
219                 break;
220             }
221
222         }
223         if (foundOnlyBackgroundInLine && foundFG) {
224             foundFG = false;
225             SubImageRegion subImageRegion = new SubImageRegion(startX, startY, x - startX,
226                 ↪ rowImage.height, origImg);
227             returnCharArr.add(subImageRegion);
228         }
229     }
230 }

```

```
225     }
226
227     return returnCharArr;
228 }
229
230 void showAbout() {
231     IJ.showMessage("About Template...",
232         "this is a RegionGrowing_ template\n");
233 } //showAbout
234
235
236 //the features to implement
237
238
239 class ImageFeatureF_FGcount extends ImageFeatureBase {
240
241     public ImageFeatureF_FGcount() {
242         this.description = "Pixelanzahl";
243     }
244
245     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
246         double count = 0;
247
248         for (int x = 0; x < imgRegion.width; x++) {
249             for (int y = 0; y < imgRegion.height; y++) {
250                 if (imgRegion.subImgArr[x][y] == FG_val) {
251                     count++;
252                 }
253             }
254         }
255
256         return count;
257     }
258 }
259
260 class ImageFeatureF_MaxDistX extends ImageFeatureBase {
261
262     public ImageFeatureF_MaxDistX() {
263         this.description = "maximale Ausdehnung in X-Richtung";
264     }
265
266     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
267         int maxNumberOfFGInLine = 0;
268         int counter = 0;
269
270         for (int y = 0; y < imgRegion.height; y++) {
271             counter = 0;
272             for (int x = 0; x < imgRegion.width; x++) {
273                 if (imgRegion.subImgArr[x][y] == FG_val) {
274                     counter++;
275                 }
276             }
277             if (counter > maxNumberOfFGInLine) maxNumberOfFGInLine = counter;
278         }
279         return maxNumberOfFGInLine;
280     }
281 }
282
283
284 class ImageFeatureF_MaxDistY extends ImageFeatureBase {
285
286     public ImageFeatureF_MaxDistY() {
287         this.description = "maximale Ausdehnung in Y-Richtung";
```

```
288     }
289
290     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
291         int maxNumberOfFGInLine = 0;
292         int counter = 0;
293
294         for (int x = 0; x < imgRegion.width; x++) {
295             counter = 0;
296             for (int y = 0; y < imgRegion.height; y++) {
297                 if (imgRegion.subImgArr[x][y] == FG_val) {
298                     counter++;
299                 }
300             }
301             if (counter > maxNumberOfFGInLine) maxNumberOfFGInLine = counter;
302         }
303         return maxNumberOfFGInLine;
304     }
305
306 }
307
308
309 private double calcDistance(int x1, int y1, int x2, int y2) {
310     return Math.sqrt(Math.pow(x2 - x1, 2) + Math.pow(y2 - y1, 2));
311 }
312
313 private Point calcCentroid(SubImageRegion imgRegion, int FG_val) {
314     // https://ask2mujahed.wordpress.com/category/research-topics/ocr/
315
316     int centroidX = 0;
317     int centroidY = 0;
318     int cnt = 0;
319     for(int x = 0; x < imgRegion.width; x++) {
320         for(int y = 0; y < imgRegion.height; y++) {
321             if(imgRegion.subImgArr[x][y] == FG_val) {
322                 centroidX += x;
323                 centroidY += y;
324                 cnt++;
325             }
326         }
327     }
328
329     return new Point(centroidX / cnt, centroidY / cnt);
330 }
331
332 class ImageFeatureF_AvgDistanceCentroid extends ImageFeatureBase {
333
334     public ImageFeatureF_AvgDistanceCentroid() {
335         this.description = "mittlere Distanz zum Centroid";
336     }
337
338     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
339         Point centroid = calcCentroid(imgRegion, FG_val);
340
341         double avgDist = 0;
342         int cnt = 0;
343         for(int x = 0; x < imgRegion.width; x++) {
344             for(int y = 0; y < imgRegion.height; y++) {
345                 if(imgRegion.subImgArr[x][y] == FG_val) {
346                     avgDist += calcDistance(centroid.x, centroid.y, x, y);
347                     cnt++;
348                 }
349             }
350         }
351     }
352 }
```



```
351         return avgDist / cnt;
352     }
353 }
354
355
356 class ImageFeatureF_MaxDistanceCentroid extends ImageFeatureBase {
357
358     public ImageFeatureF_MaxDistanceCentroid() {
359         this.description = "maximale Distanz zum Centroid";
360     }
361
362     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
363         Point centroid = calcCentroid(imgRegion, FG_val);
364
365         double maxDist = 0;
366         for(int x = 0; x < imgRegion.width; x++) {
367             for(int y = 0; y < imgRegion.height; y++) {
368                 if(imgRegion.subImgArr[x][y] == FG_val) {
369                     double actDist = calcDistance(centroid.x, centroid.y, x, y);
370                     if(actDist > maxDist) {
371                         maxDist = actDist;
372                     }
373                 }
374             }
375         }
376
377         return maxDist;
378     }
379 }
380
381 class ImageFeatureF_MinDistanceCentroid extends ImageFeatureBase {
382
383     public ImageFeatureF_MinDistanceCentroid() {
384         this.description = "minimale Distanz zum Centroid";
385     }
386
387     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
388         Point centroid = calcCentroid(imgRegion, FG_val);
389
390         double minDist = Double.MAX_VALUE;
391         for(int x = 0; x < imgRegion.width; x++) {
392             for(int y = 0; y < imgRegion.height; y++) {
393                 if(imgRegion.subImgArr[x][y] == FG_val) {
394                     double actDist = calcDistance(centroid.x, centroid.y, x, y);
395                     if(actDist < minDist) {
396                         minDist = actDist;
397                     }
398                 }
399             }
400         }
401
402         return minDist;
403     }
404 }
405
406
407 class ImageFeatureF_Circularity extends ImageFeatureBase {
408
409     ImageStatistics stats;
410     Analyzer analyzer;
411
412     public ImageFeatureF_Circularity() {
413         this.description = "Circularitaet";
```

```

414     }
415
416     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
417         Roi roi = new Roi(imgRegion.startX, imgRegion.startY, imgRegion.width,
418             ↳ imgRegion.height);
419         ip.setRoi(roi);
420
421         int measurements = Analyzer.getMeasurements();
422         measurements |= Measurements.AREA + Measurements.PERIMETER;
423         Analyzer.setMeasurements(measurements);
424         analyzer = new Analyzer();
425         stats = imp.getStatistics(measurements);
426
427         analyzer.saveResults(stats, roi);
428         ResultsTable rt = Analyzer.getResultsTable();
429         int counter = rt.getCounter();
430         double area = rt.getValueAsDouble(ResultsTable.AREA, counter-1);
431         double perimeter = rt.getValueAsDouble(ResultsTable.PERIMETER, counter-1);
432
433         return perimeter == 0.0 ? 0.0 : 4.0*Math.PI*(area/(perimeter*perimeter));
434     }
435 }
436
437 class ImageFeatureF_CentroidRelPosX extends ImageFeatureBase {
438
439     public ImageFeatureF_CentroidRelPosX() {
440         this.description = "relative x-Position des Centroide";
441     }
442
443     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
444         Point centroid = calcCentroid(imgRegion, FG_val);
445         return calcDistance(centroid.x, centroid.y, centroid.x, 0);
446     }
447 }
448
449 class ImageFeatureF_CentroidRelPosY extends ImageFeatureBase {
450
451     public ImageFeatureF_CentroidRelPosY() {
452         this.description = "relative y-Position des Centroide";
453     }
454
455     public double CalcFeatureVal(SubImageRegion imgRegion, int FG_val) {
456         Point centroid = calcCentroid(imgRegion, FG_val);
457         return calcDistance(centroid.x, centroid.y, 0, centroid.y);
458     }
459 }
460
461 }
462
463 private GenericDialog createDialog(String rowName, int maxRow, String colName, int maxCol) {
464     GenericDialog gd = new GenericDialog("User Input");
465     gd.addSlider(rowName, 0, maxRow - 1, 1);
466     gd.addSlider(colName, 0, maxCol - 1, 1);
467     gd.showDialog();
468     if (gd.wasCanceled()) {
469         return null;
470     } //if
471     return gd;
472 }
473
474 } //class OCRanalysisTemplate

```

### **1.0.3 Tests**