

## 第十二讲 数列中的不等式

**例1.** 已知数列  $\{a_n\}$  满足  $\frac{1}{2} < a_1 < \frac{2}{3}$ ,  $a_{n+1} = a_n(2 - a_{n+1})$  ( $n=1, 2, \dots$ ).

求证: 对任意正整数  $n$ , 均有  $n + \frac{1}{2} < \frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_n} < n + 2$ .

证: 由  $a_{n+1} = a_n(2 - a_{n+1})$  可得  $a_{n+1} = \frac{2a_n}{a_n + 1}$ .

利用不动点法可得  $\frac{a_{n+1}-1}{a_{n+1}} = \frac{1}{2} \cdot \frac{a_n-1}{a_n}$ , 即  $\frac{1}{a_{n+1}} - 1 = \frac{1}{2} \left( \frac{1}{a_n} - 1 \right)$ .

注意  $\frac{1}{2} < \frac{1}{a_1} - 1 < 1$ , 故  $\left( \frac{1}{a_1} - 1 \right) + \left( \frac{1}{a_2} - 1 \right) + \dots + \left( \frac{1}{a_n} - 1 \right) \geq \left( \frac{1}{a_1} - 1 \right) > \frac{1}{2}$ ;

$\left( \frac{1}{a_1} - 1 \right) + \left( \frac{1}{a_2} - 1 \right) + \dots + \left( \frac{1}{a_n} - 1 \right) = \left( 1 + \frac{1}{2} + \frac{1}{4} + \dots + \frac{1}{2^{n-1}} \right) \left( \frac{1}{a_1} - 1 \right) < 2 \left( \frac{1}{a_1} - 1 \right) < 2$ .

整理可得  $n + \frac{1}{2} < \frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_n} < n + 2$ .

**例2.** 数列  $\{a_n\}$  满足  $a_1 = 1$ ,  $a_2 = \frac{1}{4}$ ,  $a_{n+1} = \frac{(n-1)a_n}{n-a_n}$  ( $n=2, 3, \dots$ ).

(1) 求数列的通项公式;

(2) 求证: 对任意正整数  $n$ , 均有  $\sum_{k=1}^n a_k^2 < \frac{7}{6}$ .

(1) 解: 由  $a_{n+1} = \frac{(n-1)a_n}{n-a_n}$  变形得  $\frac{1}{a_{n+1}} - 1 = \frac{n}{n-1} \left( \frac{1}{a_n} - 1 \right)$ ,

由累乘法可得  $\frac{1}{a_n} - 1 = (n-1) \left( \frac{1}{a_2} - 1 \right)$ , 于是求出通项  $a_n = \frac{1}{3n-2}$ .

(2) 证: 将通项公式代入, 可得:

$\sum_{k=1}^n a_k^2 = 1 + \frac{1}{4^2} + \frac{1}{7^2} + \dots + \frac{1}{(3n-2)^2} < 1 + \frac{1}{2 \times 5} + \frac{1}{5 \times 8} + \dots + \frac{1}{(3n-4)(3n-1)} = 1 + \frac{1}{3} \left( \frac{1}{2} - \frac{1}{3n-1} \right) < \frac{7}{6}$ .

**例3.** 数列  $\{a_n\}$  定义如下:  $a_1 = 2$ ,  $a_{n+1} = a_n^2 - a_n + 1$  ( $n=1, 2, \dots$ ).

求证:  $1 - \frac{1}{2000^{2000}} < \frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_{2000}} < 1$

证: 由  $a_{n+1} = a_n^2 - a_n + 1$  变形得  $\frac{1}{a_{n+1}} = \frac{1}{a_n^2 - a_n + 1} = \frac{1}{a_n(a_n - 1) + 1}$

代入得  $\frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_{2000}} = \frac{1}{a_1} - \frac{1}{a_1 - 1} + \frac{1}{a_2} - \frac{1}{a_2 - 1} + \dots + \frac{1}{a_{2000}} - \frac{1}{a_{2000} - 1} < 1$ .

要证不等式左边, 只要证明  $a_{2000} > 2000^{2000} + 1$  即可. 下证当  $n \geq 2$  时,  $a_n \geq 2^{2^{n-2}} + 1$ .

当  $n=2, 3$  时显然成立.

若  $n=k$  时成立. 则  $n=k+1$  时,  $a_{k+1} = a_k^2 - a_k + 1 \geq (a_k - 1)^2 + 1 \geq (2^{2^{k-2}})^2 + 1 = 2^{2^{k-1}} + 1$ , 命题成立.

于是得到  $a_{2000} \geq 2^{2^{1998}} + 1 > 2^{(2^{10})^{199}} + 1 > 2^{1000^{199}} > 2^{22000} + 1 = (2^{11})^{2000} + 1 > 2000^{2000} + 1$ .

综上所述可得证.

**例4.** 已知数列  $\{a_n\}$  的各项均为非负实数, 且  $a_n^2 - a_n + a_{n+1} \leq 0$  ( $n=1, 2, \dots$ ).

求证: 对所有不小于 2 的正整数  $n$ , 均有  $a_n \leq \frac{1}{n+2}$ .

证: 当  $n=2$  时, 由  $a_1^2 - a_1 + a_2 \leq 0$  可得  $a_2 \leq -a_1^2 + a_1 = -\left(a_1 - \frac{1}{2}\right)^2 + \frac{1}{4} \leq \frac{1}{4}$ , 命题成立.

若  $n=k$  成立,  $n=k+1$  时, 由  $a_k^2 - a_k + a_{k+1} \leq 0$  可得  $a_{k+1} \leq -a_k^2 + a_k$ ,

由归纳假设,  $a_k \leq \frac{1}{k+2} < \frac{1}{2}$ , 故  $a_{k+1} \leq -\frac{1}{(k+2)^2} + \frac{1}{k+2} < \frac{1}{k+3}$ , 命题成立

综上, 原命题得证.

**例5.** 给定实数  $a$ , 使得  $0 < a < 1$ , 数列  $\{a_n\}$  满足  $a_1 = 1+a$ ,  $a_{n+1} = \frac{1}{a_n} + a$  ( $n=1, 2, \dots$ ).

求证: 对任意正整数  $n$ , 均有  $a_n > 1$ .

证一: 加强命题, 证明  $1 < a_n < \frac{1}{1-a}$ .

当  $n=1$  时,  $1 < 1+a < \frac{1}{1-a}$ , 命题成立.

若  $n=k$  时成立, 则  $n=k+1$  时,  $a_{k+1} = \frac{1}{a_k} + a < \frac{1}{1-a} + a = 1+a < \frac{1}{1-a}$ ,  $a_{k+1} = \frac{1}{a_k} + a > \frac{1}{\frac{1}{1-a}} + a = 1$ .

综上即可得证.

证二: 当  $n=1$  时,  $a_1 = 1+a > 1$ , 命题成立.

当  $n=1$  时,  $a_2 = \frac{1}{a_1} + a = \frac{1}{1+a} + a = \frac{1+a+a^2}{1+a} > 1$ , 命题成立.

若  $n=k$  时命题成立, 则  $n=k+2$  时:

$$a_{k+2} = \frac{1}{a_{k+1}} + a = \frac{1}{\frac{1}{a_k} + a} + a = \frac{(1+a^2)a_k + a}{a \cdot a_k + 1} = \frac{1+a^2}{a} - \frac{1}{a^2 \cdot a_n + a} > \frac{1+a^2}{a} - \frac{1}{a^2 + a} = \frac{a^3 + a^2 + a}{a^2 + a} > 1.$$

综上即可得证.

**例6.** 已知数列  $\{a_n\}$  满足  $a_1 = 4$ ,  $a_{n+1} = \sqrt{2a_n + 3}$  ( $n=1, 2, \dots$ ),

求证: 对任意正整数  $n$ , 均有  $3 - \left(\frac{2}{3}\right)^{n-1} \leq a_n \leq 3 + \left(\frac{2}{3}\right)^{n-1}$ .

证: 本题即证  $|a_{n+1} - 3| \leq \left(\frac{2}{3}\right)^{n-1}$ . 由条件  $|a_{n+1} - 3| = |\sqrt{2a_n + 3} - 3| = \frac{|2a_n - 6|}{\sqrt{2a_n + 3} + 3} \leq \frac{2}{3}|a_n - 3|$ .

从而  $|a_n - 3| \leq \left(\frac{2}{3}\right)^{n-1} |a_1 - 3| = \left(\frac{2}{3}\right)^{n-1}$ . 综上即可得证.

**例7.** 对任意正整数  $n$ , 求证:  $\sum_{k=1}^n \frac{1}{3^k + (-2)^k} < \frac{7}{6}$ .

证: 记  $a_k = \frac{1}{3^k + (-2)^k}$ , 本题即证  $S_n = \sum_{k=1}^n a_k < \frac{7}{6}$ .

$$a_{2k} + a_{2k+1} = \frac{1}{3^{2k} + 2^{2k}} + \frac{1}{3^{2k+1} - 2^{2k+1}} = \frac{3^{2k} + 2^{2k} + 3^{2k+1} - 2^{2k+1}}{(3^{2k} + 2^{2k})(3^{2k+1} - 2^{2k+1})} = \frac{4 \cdot 3^{2k} - 2^{2k}}{3^{4k+1} + (3^{2k} - 2^{2k+1})2^{2k}} < \frac{4}{3^{2k+1}}.$$

$$\text{故 } S_n < a_1 + (a_2 + a_3) + (a_4 + a_5) + \dots = 1 + \frac{4}{27} + \frac{16}{243} + \dots = 1 + \frac{4}{27} \cdot \frac{1}{1 - \frac{1}{9}} = \frac{7}{6}.$$