

Project

- General task: train a (shallow) feature extractor via PSRs (on a learned dataset) that work well with a task-specific head

$$\min_{\mathcal{D}} \sum_j \min_{w_{\text{head}}^j} \mathcal{L}_{\text{tr}}^j(w_{\text{head}}^j \circ w_{\text{base}}^*) \quad \text{s.t. } w_{\text{base}}^* = \arg \min_w \mathcal{L}_{\text{PSR}}(w; \mathcal{D})$$

- Why shallow feature extractor?
Inner problem should be “easy” and quick to solve
Head part of NN can be arbitrary
- E.g. use shallow LVM such as GRBM

$$\begin{aligned} \log p_{\theta}(x) &\doteq -\frac{1}{2\sigma^2} \|x - a\|^2 + \sum_k S(w_k^{\top} x + b_k) \\ \nabla_x \log p_{\theta}(x) &= \frac{1}{\sigma^2} \|a - x\|^2 + \sum_k w_k \sigma(w_k^{\top} x + b_k) \end{aligned}$$

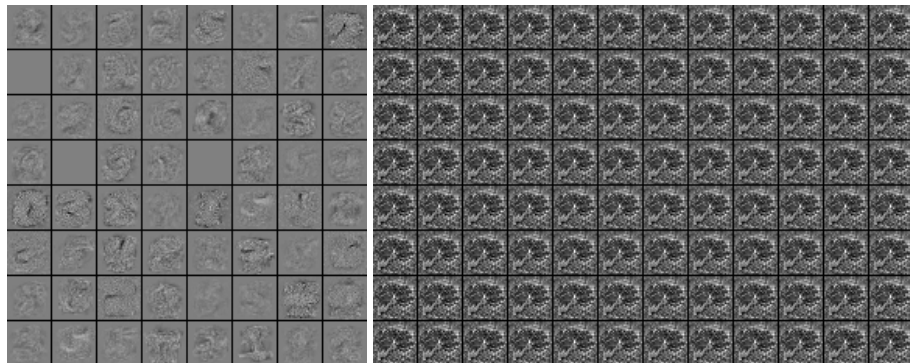
Feature extractor $S'(w_k^{\top} x + b_k) = \sigma(w_k^{\top} x + b_k)$

- Dataset $\mathcal{D} = \{x_1, \dots, x_N\}$ of unlabeled data

Unsupervised dataset distillation: Reference implementation

Quadratic penalty method

Epoch	Test accuracy	$\ X - AE(x)\ $
1	46.1%	5.4
100	92.1%	0.067
200	94.1%	0.01

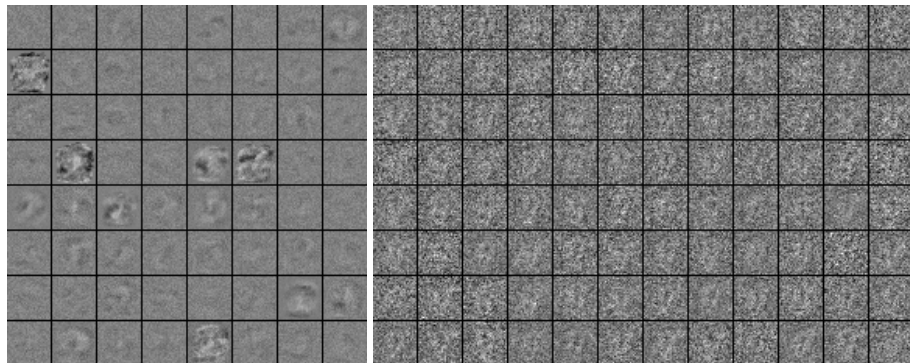


w_{base} and distilled dataset \mathcal{D}

Unsupervised dataset distillation: Reference implementation

Quadratic penalty method (now with “diversity term” $-\lambda_{\text{divers}} \|\mathcal{D} - \text{mean}(\mathcal{D})\|^2$)

Epoch	Test accuracy	$\ X - AE(x)\ $
1	24.0%	5.6
100	92.3%	0.22
200	93.2%	0.20

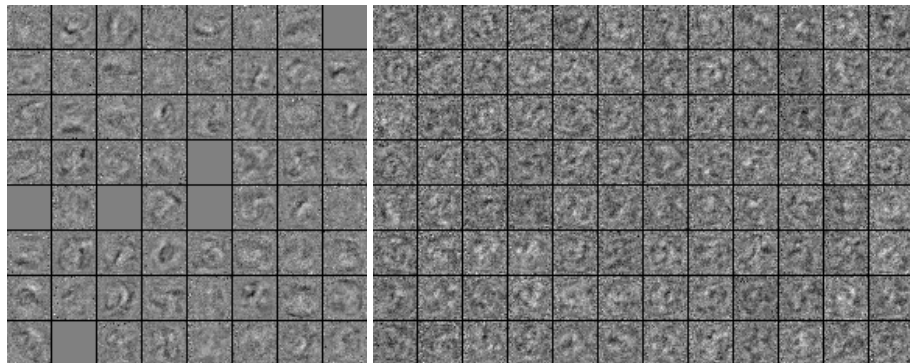


w_{base} and distilled dataset \mathcal{D}

Unsupervised dataset distillation: Reference implementation

Optimal value reformulation (diversity term has little impact)

Epoch	Test accuracy	$\ X - AE(x)\ $
1	32.6%	4.8
100	81.4%	2.6
200	94.6%	3.3



w_{base} and distilled dataset \mathcal{D}

Unsupervised dataset distillation: What you should do

- Choose meta-learning approach
 - Quadratic penalty method (probably the easiest to make it work)
 - Optimal value reformulation
 - Implicit differentiation & approximate Hessian matrix inversion
 - Or any other method that approximately solves bilevel programs
- Baseline code provided
 - Available on Canvas
 - Implements penalty methods using AE as unsupervised learner
 - Implemented in Julia + Flux
- Extend what is implemented in the baseline, e.g.
 - Different NN / DEM architectures, different target dataset and/or different $|\mathcal{D}|$
 - Multiple training datasets for outer loss (MTL setting)
 - Use different PSRs / unsupervised learning methods (instead of simple AE)
 - Investigate other ways to prevent mode collapse and/or to make \mathcal{D} look more natural
 - E.g. pre-train a prior $\log p_{\psi}(x)$ to represent a desired image statistics and add to loss
 - Note: there is an ambiguity between w_{base} and \mathcal{D} : $w_{\text{base}}\mathcal{D} = w_{\text{base}}QQ^{-1}\mathcal{D}$
 - Apply the approach layer-wise / meta-learn multiple layers at once
 - You only need to work on some of these (and your own) suggestions, not all!
 - Your time spent should be worth 2 ECTS (≈ 40 hours, i.e. 56 hours minus lecture time)

Unsupervised dataset distillation: Hints

- If you opt for the quadratic penalty method, you need to calculate $\nabla_w \mathcal{L}_{\text{inner}}(w, \theta)$
 - Because most auto-diff packages cannot do $\nabla_w f(\nabla_x g(x, w))$ well (but JAX might be able to do it)
- In the case of AE and GBRM:

$$\nabla_{W,b,a} \left(\frac{1}{2} \left\| \frac{1}{\sigma^2} (a - x) + W\sigma(W^\top x + b) \right\|^2 \right)$$

- Define $\mathbf{r} := \frac{1}{\sigma^2} (a - x) + W\sigma(W^\top x + b)$
- Main task: ∇_{w_k} , where w_k is k -th column of W

$$\nabla_{w_k} \left(\frac{1}{2} \left\| \frac{1}{\sigma^2} (a - x) + \sum w_k \sigma(w_k^\top x + b_k) \right\|^2 \right)$$

- Hint: ∇ yields column vector, hence chain rule is transposed

$$\nabla_{w_k} = (\sigma(w_k^\top x + b_k) \mathbf{1} + \sigma'(w_k^\top x + b_k) w_k x^\top) \mathbf{r}$$

- Efficient implementation in Julia:

```
as = m.W' * Xs .+ m.b
hiddens = my_σ.(as); dhiddens = ∇my_σ.(as)
XXs = m.W * hiddens .+ m.a / σ2; residual = (XXs - Xs / σ2) / N
W_r = m.W' * residual

gs_W = residual * hiddens' + Xs * (W_r .* dhiddens)'
gs_b = sum(W_r .* dhiddens, dims=2)
gs_a = sum(residual, dims=2) / σ2

return sum(abs2.(gs_W)) + sum(abs2.(gs_b)) + sum(abs2.(gs_a))
```

Unsupervised dataset distillation: Hints

- Consult the “Matrix Cookbook” for vector and matrix-based calculus
- Penalty: verify numerically that $\|\nabla_w \mathcal{L}_{\text{inner}}(w, \theta)\|^2$ matches with and w/o auto-diff
 - Math: Jacobian $\sigma'(w_k^\top x + b_k)$ is a diagonal matrix (tensor for a batch)
 - Implementation: `dhiddens = $\nabla_{\text{my_}\sigma} \cdot (W' * x + b)$` is a vector (matrix for a batch)
 - Efficient summation over entire batch
- OVR: make sure $\min_{w'} \mathcal{L}_{\text{inner}}(w', \theta)$ works well!

Don't panic

- Solving bilevel programs is difficult & brittle!
 - Especially for non-convex inner losses
 - Results may vary significantly with different hyper-parameters
- No need to win a benchmark!

Unsupervised dataset distillation: What to submit

- Short report (pdf!) describing what you have done beyond the baseline code
 - And some visualization of the distilled dataset and the training progress
 - Also document unexpected problems/surprises you encountered
- Your Python / Julia / Matlab / ... code
- Email to `zach@chalmers.se` by November 30, 2024
 - With subject containing “Project LFR-2024”
- Contact me with a proposal for a project if you cannot do this one
 - E.g. no access to sufficiently capable PC or no coding experience

You can work in pairs (and submit one pdf and implementation)!

Examples of last year's project reports

WASP Learning Feature Representations Module 1 Assignment

1. CNN architecture

We consider two networks architectures. "ConvNet" is a simple convolutional network with four blocks, each consisting of a convolutional layer (followed by batch normalization, a ReLU activation function and average pooling). "ResNet" is a residual network with 9 ResNet blocks. Each ResNet block consists of two layers of convolution/batch normalization/ReLU. Each network has a simple fully-connected head.

2. Backbone pre-training

We pre-train the backbone on the Tiny ImageNet dataset using SimCLR [1], a contrastive method for representation learning. This method learns representations by maximizing the agreement between differently augmented views of the same image in the latent space using the InfoNCE loss [2]. Each image is randomly augmented twice to produce two different views of the same example. Following the SimCLR paper, we use random cropping, random color distortion and random Gaussian blur. We also use random horizontal flip and random grayscale. Fig. 1 shows some examples of augmented pairs.

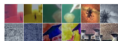


Figure 1: Data augmentation. Augmented image examples from the Tiny ImageNet dataset.

As illustrated in Fig. 2, the two augmented views are encoded by an encoder network f (the backbone of the network that we want to pre-train) to generate the representations h_1 and h_2 . These representations are subsequently transformed by a projection head g , yielding z_1 and z_2 . This projection head is a two-layer MLP which is used to place the network's head during pre-training.

The network is trained to maximize the agreement between z_1 and z_2 using the loss

$$\ell_{\text{SimCLR}} = -\log \frac{\exp(\text{sim}(z_1, z_2))}{\sum_{j \neq 1} \exp(\text{sim}(z_1, z_j))} \quad (1)$$

where $\text{sim}(z_1, z_2) = \frac{z_1^T z_2}{\|z_1\| \|z_2\|}$ is the cosine similarity between vectors z_1 and z_2 , and τ is a temperature parameter. This loss encourages the network to pull closer the representations of positive pairs (z_1, z_2) , while pushing away negative pairs (i.e. all other feature vectors z_i in the search space).

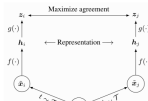


Figure 2: The SimCLR framework. A backbone network f and projection head g are trained to maximize the agreement between two augmented views of the same image using a contrastive loss during train [1].

3. Evaluation on downstream tasks

After pre-training, the projection head is discarded and the backbones are used for evaluation on downstream tasks on the CIFAR10 dataset.

3.1. Fully-supervised baseline

In the following experiments, all fully-supervised backbones are trained from scratch for 180 epochs using SGD with momentum 0.9, weight decay 0.0001 and batch size 128. The initial learning rate is 0.1, and is multiplied by 0.1 at epochs 100 and 150.

3.2. Linear evaluation

We first evaluate the quality of the representations learned during pre-training under the linear classification protocol. We freeze the backbone weights and train only the network's final linear layer. We train for 100 epochs using SGD with momentum 0.9, weight decay 0.001, and batch size 64. The initial learning rate is 0.001, and is multiplied by 0.1 at epochs 100 and 150. We report the mean and standard deviation of the Top-1 accuracies obtained when training the models with four random seeds.

Backbone	Pre-trained	Supervised
ConvNet	48.74 ± 0.09	79.44 ± 0.28
ResNet	52.91 ± 0.39	80.89 ± 0.12

Table 1: Linear evaluation protocol. Performance comparison (Top-1 accuracy) % with the fully-supervised baseline on CIFAR10.

As shown in Table 1, the linear layers trained on the frozen backbones achieve an accuracy that is far better than random (which would be 10% on CIFAR10). However, we still observe a significant gap with the fully-supervised baseline.

3.3. Semi-supervised learning

We now evaluate the learned representations in a semi-supervised learning task. We sample 1% (5% and 10% of the CIFAR10 training set as labeled samples and fine-tune the networks (both the backbones and the linear heads). We train for 180 epochs using SGD with momentum 0.9, weight decay 0.0001 and batch size 128. The initial learning rate is 0.1, and is

multiplied by 0.1 at epochs 100 and 150. We report top-1 accuracy on the entire test set. We present results averaged over four random seeds.

	Conv4		ResNet	
Label fraction	Final result	Supervised	Final result	Supervised
1%	45.54 ± 0.8	40.15 ± 0.5	52.62 ± 1.1	58.04 ± 0.8
5%	60.95 ± 0.7	57.88 ± 1.0	69.73 ± 0.4	62.94 ± 0.8
10%	66.25 ± 0.5	65.12 ± 0.4	75.29 ± 0.4	73.26 ± 0.6
50%	76.28 ± 0.3	78.37 ± 0.4	87.23 ± 0.1	80.68 ± 0.6
100%	79.99 ± 0.1	79.44 ± 0.3	80.93 ± 0.1	80.89 ± 0.1

Table 2: Semi-supervised learning on CIFAR10 with different label fractions for fine-tuning. No pre-train results averaged over four random seeds.

As shown in Table 2, fine-tuning the pre-trained networks performs better than training from scratch when using fewer labeled samples. However, when fine-tuning on the full training set the pre-trained networks do not perform better than the networks trained from scratch.

Note however that we did not perform (extensive) hyperparameter search for fine-tuning; the hyperparameters are roughly the same as when training from scratch.

References

- [1] T. Chen, S. Kornblith, M. Shwartz, and G. Hinton. A simple framework for contrastive learning of visual representations. Feb. 2020.
- [2] A. van den Oord, Y. Li, and O. Vinyals. Representation learning with contrastive predictive coding. July 2018.

Examples of last year's project reports

LEARNING FEATURE REPRESENTATIONS ASSIGNMENT MODULE 1

ABSTRACT

In the context of this assignment, we explored different unsupervised pre-training techniques and investigated their benefit on the image-classification downstream task. More specifically, we considered the Deep InfoMax framework as well as plain, heuristic AS for unsupervised pre-training purposes under different settings of the low-level tuning and different amounts of available data during the fully-supervised training. Our results suggest that the unsupervised pre-training can boost classification performance in low-data regimes and in the absence of backbone fine-tuning. Conversely, the benefits of our consistent pre-training setups are not as evident in the backbone fine-tuning setup. The code can be found at https://github.com/chrz123/learn_fm.

1 PROBLEM DEFINITION

This project aims to leverage unsupervised pre-training approaches and evaluate whether and to what extent they improve the performance in the image classification task using deep neural networks (DNN) architectures. Given a labeled image dataset \mathcal{D} and an unlabeled one \mathcal{U} , at first, we perform unsupervised pre-training on the latter. We then use the learned pre-trained weights to initialize the DNN network and fine-tune on dataset \mathcal{D} .

2 METHODOLOGY

2.1 BASELINE

In order to measure the merit of using pre-training, a suitable baseline architecture is needed. For that, we use the architecture as outlined in [DenseNet101](#). More specifically, the architecture consists of four convolutional layers and 7 fully-connected ones paired with three fully-connected layers classification head. The architecture's weights are updated towards minimizing the cross-entropy loss between the prediction and the target.

2.2 DENOISING AUTOENCODER

A denoising Autoencoder (dAE) architecture was trained to reconstruct samples perturbed with Gaussian noise $\epsilon \sim \mathcal{N}(0, I)$. The dAE utilized the baseline's encoder architecture whereas the decoder is a mirrored version of that one modified. Here, the weights are updated towards minimizing the cross-entropy rate between the reconstructed input and the original input. Assuming an augmented input \tilde{X} and a decoder structure g , the reconstruction loss can be formulated as

$$\mathcal{L}_{\text{dAE}} = X - \tilde{X}_g \quad (1)$$
$$\text{with } \tilde{X}_g = \text{Dec} \circ \text{Enc}(\tilde{X} + \epsilon). \quad (2)$$

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2.3 DEEP INFOMAX

Mutual information (MI) is a measure of independence inferring the amount of information revealed for one random variable X by knowing the other Y . From a representation-learning perspective, we are interested in promoting informative latent representations of the data in order to achieve downstream tasks such as image classification and speech recognition etc. Assuming X and Y to be the data and their corresponding latent representations respectively, high mutual information between these two random variables is desired. Since Y conditioned on X , $p(Y|X)$, would reveal a lot of information about the latter. On the contrary, since extended $(X|Y)$ between X and Y would mean that $p(Y|X) = p(Y)$, or in other words that Y does not include any information about X . MI can be expressed as the Kullback-Leibler divergence between the joint distribution $P(X, Y)$ and the product of the marginals $P(X)P(Y)$:

$$I(X; Y) = D_{\text{KL}}(P(X, Y) \| P(X)P(Y)) \quad (3)$$

Having explained the motivation why having high MI between the input and their corresponding latent representations is a desirable property, we adopt the Deep InfoMax (DIM) [\(Hjorring et al. 2019\)](#) framework to carry out for unsupervised pre-training purposes. Conceptually, DIM aims at learning conditional representations based on two main ideas: (i) Matching the MI between low-level and high-level feature representations of the input, and (ii) constraining the generated representation to follow an assumed prior distribution.

2.3.1 GLOBAL DENSE INFOBOTTLE

To realize the DIM objectives, the baseline Encoder architecture E_{enc} (parameterized by φ) is decomposed between the low-level $E_{\text{enc},L}$ of M \times M dimension and high-level $E_{\text{enc},H}$ feature extraction modules as $E_{\text{enc}} = E_{\text{enc},L} \circ E_{\text{enc},H}$. In DIM, the MMD [\(Bergman et al. 2018\)](#) framework is utilized in order to estimate the MI. More specifically, MMD can be written as [\(Dankert-Vetlesen 2016\)](#) [\(Penderick & Vashishth 2015\)](#). MI divergence estimation in order to compare the lower bound of the MI as

$$I(X; Y) = D_{\text{KL}}(P(X, Y) \| P(X)P(Y)) \geq \frac{2}{\log 2} I(X; Y) \quad (4)$$

$$= \frac{2}{\log 2} \frac{1}{2} \left(\mathbb{E}_{x, y} [\langle f(x), g(y) \rangle] - \mathbb{E}_x [f(x)] \mathbb{E}_y [g(y)] \right) \quad (5)$$

Considering that both the discriminators D_L and the encoder architecture aim at maximizing the MI objective we can activate the computation by sharing layers between these modules. Based on that, the discriminator architecture is modified as $\tilde{D}_{\text{enc}} = \tilde{D}_{\text{enc},L} \circ \tilde{D}_{\text{enc},H}$ with $\tilde{D}_{\text{enc},H}$ being the classification head and $\tilde{D}_{\text{enc},L}$ being a function combining the high and low feature representation. Building upon the observation that the over-value of the MI is not of interest but rather the maximization of it, the DIM adopts different strategies with more favorable properties. In this case, we considered the Jensen-Shannon (JS) and divergence the global MI $I_{\text{enc}}(X; Y)$ as explained as

$$\mathbb{E}_{x, y} [\langle f(x), g(y) \rangle] = \mathbb{E}_{x, y} [\langle \varphi(T_{\text{enc}}(x), T_{\text{enc}}(y)), \psi(T_{\text{enc}}(x), T_{\text{enc}}(y)) \rangle] \quad (6)$$
$$= \mathbb{E}_{x, y} [\langle \varphi(p(T_{\text{enc}}(x), T_{\text{enc}}(y))), \psi(T_{\text{enc}}(x), T_{\text{enc}}(y)) \rangle] \quad (7)$$

where $\varphi(\cdot)$ is the sigmoidal function.

When computing the $\mathbb{E}_{x, y}[\cdot]$ term of the objective, we sample from joint and marginal distributions of the learned high-level representation and the whole low-level feature map and therefore it turned as

2.3.2 LOCAL DEEP INFOMAX

The MI objective as formulated in the previous section maximizes the information between the extracted high-level representation and the whole low-level feature map and therefore it turned as

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global MI objective. It is argued in [\(Hjorring et al. 2019\)](#) that this formulation does not always work in desirable properties since the high-level representation will be encouraged to include irrelevant (e.g. background) information of the input. To mitigate this shortcoming, a local MI objective variants is constructed such that the average MI is maximized between the product of the low-level feature map and the high-level representation. In this sense, the local MI objective encourages the high-level representation to encode the information that is shared among all the local patches. The local MI is computed as:

$$\mathbb{E}_{\text{local}}^{\text{enc}}(I_{\text{enc}}(X; Y)) = \frac{1}{N^2} \sum_{i=1}^N \sum_{j=1}^N I_{\text{enc}}^{\text{local}}(x_i^{\text{enc}}, y_j^{\text{enc}} | x_i^{\text{enc}}, y_j^{\text{enc}}) \quad (8)$$

2.3.3 PRIOR MATCHING

DIM also defines the push-forward distribution $E_{\text{enc},L}$ of the encoder E_{enc} to match a uniform distribution \mathcal{U} . The prior matching is realized through an adversarial training objective. The distribution matching is realized through training a discriminator D_L to estimate the divergence $D_{\text{KL}}(\mathcal{U} \| P_{\text{enc},L})$ while the encoder $E_{\text{enc},L}$ is trained to minimize that estimate. The prior matching objective can be expressed as:

$$\mathbb{E}_{\text{enc},L} [D_L(\mathcal{U})] = \mathbb{E}_{x, y} [\langle D_L(\varphi(x)), \varphi(y) \rangle] + \mathbb{E}_{x, y} [\langle \varphi(x), D_L(y) \rangle] - D_L(\mathcal{U}) \quad (9)$$

Finally, we combine both global and local MI objectives and the prior matching into a single minimization objective to estimate the network based on:

$$\text{train}_{\text{enc}}^{\text{enc}}(E_{\text{enc}}(X; Y)) = I_{\text{enc}}(X; Y) + \frac{1}{2} (\mathbb{E}_{\text{local}}^{\text{enc}}(I_{\text{enc}}(X; Y)) + \mathbb{E}_{x, y} [\langle \varphi(T_{\text{enc}}(x), T_{\text{enc}}(y)), \psi(T_{\text{enc}}(x), T_{\text{enc}}(y)) \rangle]) \quad (10)$$

Note that the global and local MI objectives and different discriminators D_L and T_{enc} parameterized by α and ω , respectively. The hyperparameters α , β , and ω are trainable and weight the contribution of each term in the optimization process.

3 EXPERIMENTS

In the context of this assignment, the CIFAR-10 [\(Krizhevsky et al. 2009\)](#) and the 32 \times 32 resolution version of TinyImageNet [\(Le & Yang 2015\)](#) were utilized for supervised training and unsupervised pre-training respectively.

3.1 IMPLEMENTATION DETAILS

We trained dAE and DIM for 10 and 20 epochs respectively whereas in both unsupervised training approaches a 64-dimensional high-level latent representation was used, a batch-size of 128, and a learning rate of $1e-4$. The baseline architecture was trained for 20 epochs with a learning rate of $1e-3$ and a batch-size of 64. Finally, the Adam optimizer was used for all three training setups. For DIM we set the hyperparameters α , β , γ to 0.5, 1, and 0.1 respectively.

3.2 RESULTS

We experimented with two different unsupervised pre-training setups, namely dAE and DIM. We also considered the setup of fine-tuning. During the encoder and/or decoder training, we used the encoder's head (i.e. 5000 classes) either training on the 100% or 5% of the CIFAR-10 images. In [\(Hjorring et al. 2019\)](#) we report the classification performance on the CIFAR-10 test split. In our pre-training setup, the benefit of using unsupervised pre-training is evident since both dAE and DIM outperform the baseline architecture. In all setups, the DIM pre-training led to better classification performance than

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fine-tuning on the 100% of the data whereas the baseline performs slightly better. In all cases using 100% of the data resulted in better performance compared to only using 5%. Additionally, fine-tuning also resulted in better performance notably compared to not using fine-tuning.

Method	Baseline	As Pre-Train	Baseline
Baseline	100%	100%	100%
Baseline+dAE	95%	95%	95%
Baseline+DIM	100%	100%	100%
Baseline+DIM	100%	100%	100%

Table 1: Accuracy performance on CIFAR10 test set

3.3 CONCLUSIONS

In this assignment, we evaluated the effect of using unsupervised pre-training on the downstream task of image classification. By the low-data regime both unsupervised pre-training approaches led to better performance, namely, with the DIM outperforming the dAE. While 100% of the data was used, the dAE performs similarly to the baseline architecture whereas the DIM outperforms the baseline in the low-data regime setting but performs slightly worse when the baseline is trained and used. Towards further investigating the aforementioned motivation for not using and potentially re-train the experiment using different setups, introduce a warm-up training period, train DIM for longer, or experiment with different hyperparameters setups. More specifically, increasing the contribution of the local MI objective appears to be more suitable for classification tasks according to the authors in [\(Hjorring et al. 2019\)](#).

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