

Deformation theory and applications in moduli space

- Counting 27 lines \subset cubic surface X in \mathbb{P}^3 :

(1) Moduli problem: $G(2,4) \cong \text{Hilb}_{\text{Proj}}(\mathbb{P}^3)$ (line $\mathbb{P}^1 \hookrightarrow$ Hilbert polynomial $P(n)=n+1$)

Counting lines $\subset X$ determined by given equations of \mathbb{C}^4 (for $G(2,4)$) or \mathbb{P}^3 (for $\text{Hilb}_{\text{Proj}}(\mathbb{P}^3)$):

$$G(2,4)(S) = \left\{ \begin{array}{l} \text{vector bundle of rank 2} \subset \mathcal{O}_S^4 \times S \\ \downarrow \\ S \end{array} \right\} = \left\{ \begin{array}{l} \mathcal{E} \hookrightarrow \mathcal{O}_S^4 \text{ locally free of rank 2} \\ + \text{inclusion of vector bundles, i.e. } \mathcal{E} \hookrightarrow \mathcal{O}_S^4 \rightarrow \mathcal{F} \rightarrow 0 \\ \mathcal{F} \text{ is locally free but } \mathcal{E} \rightarrow \mathcal{O}_S^4 \text{ need not injective} \end{array} \right\}$$

$$\cong \left\{ \begin{array}{l} X \subset \mathbb{P}^3 \times S \\ P \downarrow \text{flat} \\ S \end{array} \right\} \quad \left\{ \begin{array}{l} X_S \subset \mathbb{P}^3 \text{ has Hilbert} \\ \text{polynomial not 1} \end{array} \right\}$$

And the zero ~~set~~ of \mathcal{F} of the section $\tilde{\pi}_* l^* \mathcal{F}$ of \mathcal{F} \Leftrightarrow what we need to count.

(2) Intersection theory: Compute $\text{deg}(\mathcal{F})$ by Euler class $\text{Euler}(\mathcal{F}) = 27 < \infty$ (opt)

(3) Deformation theory: Euler is an expected number, we need show that $s^*(w)$ is exactly 27 pts
(i.e. got by topological perturbation to transversal) (transversality)

\Rightarrow not flat pts \Leftrightarrow tangent spaces trivial.

But $N = \mathcal{O}(-1)$ negative \Leftrightarrow no deformation \square

These three theories are motivated by this leading example.

Deformation theory

• Deformation of subschemes $\text{Def}(X) = H^1(X, T_X)$, $\text{Def}(Y) = H^1(Y, N_{Y/X})$

• Deformation of sheaves i) $\text{Def}(\mathcal{E}) = H^1(X, \mathcal{B}\text{End}(\mathcal{E}))$
ii) $\text{Def}(\mathcal{F}) = \text{Ext}^1(\mathcal{F}, \mathcal{F})$

Pf. Definition of Ext^1 \square

• ii) \Rightarrow i) easily when \mathcal{F} locally free

• Another counting way instead of counting sheaves are counting maps:
 $p: \mathbb{P}^1 \rightarrow X$ | deg 1 } or $p: \mathbb{P}^1 \rightarrow \mathbb{P}^3$ | deg 1, \mathcal{F}
 \hookrightarrow stable maps \subset quasi-maps

The direct proof to i) is delighting:

compare with the scheme case it realized a change $\Rightarrow H^1(X; \mathcal{B}\text{End}(\mathcal{E}))$ \square

from "1" to "2": when we deform objects weakly and when we deform the gluing/higher descent datum $\mathcal{W}^2/\text{Ext}^2$ are obstruction, H^n/Ext^n ($n \geq 3$) are higher obstructions.

• Deformation of schemes $\text{Def}(X) = H^1(X, T_X)$

\Rightarrow infinitesimal automorphism X smooth

$T_X(X, T_X)$ is generated by vector fields

(compare the mfd smooth)

• Kodaira-Spencer map $\mathcal{K} \rightarrow S$, $X = \mathcal{K}_S$

$T_{\mathcal{K}_S} S \hookrightarrow H^1(X, T_X)$, viewing as the deformation of \mathcal{O}_X structure

• Obstruction to higher deformation

e.g. $\text{Spec } \mathcal{K} \xrightarrow{(0,0)} X = \{y^2 = x^3\} \subset \mathbb{A}^2$

$$\begin{array}{c} \mathcal{K}[\varepsilon] \quad (\text{pt}, \mathcal{O}_X) \\ \downarrow \mathcal{K}[\varepsilon] \\ \text{Spec } \mathcal{K}[\varepsilon] \quad (\text{pt}, \mathcal{O}_X) \\ \downarrow \mathcal{K}[\varepsilon] \\ \text{Spec } \mathcal{K}[\varepsilon] \quad (\mathcal{O} + \mathcal{O}\varepsilon^2, \mathcal{O} + \mathcal{O}\varepsilon^2) \end{array}$$

Pf. • Local is trivial

• Given deformation X' and trivial deformation $X[\varepsilon]$. choose cover U_i
 $X'|_{U_i} \xrightarrow{\psi_i} X[\varepsilon]|_{U_i}$, deformation is $\{\psi_i - \psi_j\}$ / changes of ψ_i to ψ_j

$$\cong H^1(\mathcal{K}; T_X) \quad \square$$

• Gluing data not

uses smoothness, local

uses smoothness heavily

as the smooth \mathcal{O}_X

infinitesimal extension

applies to

$$X[\varepsilon] \subset \mathbb{A}^2 - X' \quad \downarrow \text{smooth affine}$$

$\text{Spec } \mathcal{K}[\varepsilon]$



Cech 1-cocycle Cech 1-coboundary of $H^1(\mathcal{K}; T_X)$

As the difference is a derivation
 $\text{Hom}_B(\mathcal{D}_B^1, I) = \text{Hom}_B(\mathcal{P}_B^1, B)$

$$0 \rightarrow I \rightarrow \mathcal{B}' \rightarrow B \rightarrow 0 \quad := I(\text{Spec } B, T_B)$$

$I(\text{id}(\text{id} + \delta))$ δ is derivation

$$0 \rightarrow I \rightarrow \mathcal{B}' \rightarrow B \rightarrow 0$$

$$\text{Spec } B = X, \text{ Spec } B' = X'$$

$$(a_2 + a_3 t)^3 \equiv (b_2 + b_3 t^2)^2 \pmod{t^3} \Rightarrow b_2^2 t^2 \equiv 0 \pmod{t^3} \Rightarrow b_2 = 0, \text{i.e. the second order must vanish.}$$



On the other hand, if we embed Spec $\mathbb{A}^1 \rightarrow X$ has (aut, bit) is
(But singular pt $T_x \cong \mathbb{A}^1$ is flexible)

What it tells? Singularity gives (local) obstruction of the second order deformation. Indeed, the 1st order deformation is always unobstructed.
Now we consider/general (Smooth case of above example tells us the 1st deformation is trivial)
Artinian ring: $0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$, A' as thicken of A , J kills J , called it small if $J = \mathbb{A}^1$
We only consider one setting here, others are similar:

• Construction of vector bundles. ① locally trivial; ② Gluing datum: what we do for 1st deformation is asking whether $E \cong E_0$ by each charts $E|U_i \xrightarrow{\Psi_i} E_0|U_i$, but here we are asking the existence of deformation by translation $E|U_{ijk} \xrightarrow{\Psi_{ijk}} E|U_{ijk} \oplus \Psi_{ki} \oplus \Psi_{jk} \oplus \Psi_{ij} \in \text{End}(E|U_{ijk})$

$$\Psi_{ki} \quad \downarrow \quad \Psi_{jk} \quad \downarrow \quad \Psi_{ij} \quad \downarrow \quad \Psi_{ijk} \cong \text{Ker } \Psi_{ijk} \quad \text{Hence that's: } \{ \Psi_{ijk} \in \text{End}(E|U_{ijk}) \} / \{ \Psi_{ij} + \Psi_{jk} + \Psi_{ki} \text{ (each } \Psi_{ij} \text{)} \} = H^2(\mathcal{O}, \text{End}(E|U))$$

thus our obstruction $E|U_{ijk} \in H^2(X, \text{End}(E) \otimes J)$

Now, in conclusion, we're asking in such manner:
And we have

Subschemes	Vector bundles	Sheaves	Schemes
Obs: $H^0(Y, \mathcal{N}_{Y/X} \otimes J)$	$H^0(X, \text{End}(E) \otimes J)$	$H^0(X, \mathcal{E} \otimes J)$	$H^0(X, \mathcal{T}_X \otimes J)$
Def: $H^0(Y, \mathcal{N}_{Y/X} \otimes J)$	$H^1(X, \text{End}(E) \otimes J)$	$H^1(X, \mathcal{E} \otimes J)$	$H^1(X, \mathcal{T}_X \otimes J)$
Aut: 0	$H^0(X, \text{End}(E) \otimes J)$	$H^0(X, \mathcal{E} \otimes J)$	$H^0(X, \mathcal{T}_X \otimes J)$

Given an object Y, E, F, X
to be deformed
over $0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$

- What is obstruction \in Obstruction space?
its
- If obstruction class trivial, then deformation space = a
- Given deformation family, the automorphism of it

Aut $\cong 0$

Subschemes	Vector bundles	Sheaves	Schemes
Obs: $H^0(Y, \mathcal{N}_{Y/X} \otimes J)$	$H^0(X, \text{End}(E) \otimes J)$	$H^0(X, \mathcal{E} \otimes J)$	$H^0(X, \mathcal{T}_X \otimes J)$
Def: $H^0(Y, \mathcal{N}_{Y/X} \otimes J)$	$H^1(X, \text{End}(E) \otimes J)$	$H^1(X, \mathcal{E} \otimes J)$	$H^1(X, \mathcal{T}_X \otimes J)$
Aut: 0	$H^0(X, \text{End}(E) \otimes J)$	$H^0(X, \mathcal{E} \otimes J)$	$H^0(X, \mathcal{T}_X \otimes J)$

Rk1. Aut = 0 for subscheme is obvious: two ~~two~~ subscheme isomorphic \Leftrightarrow same, as ambient space is strict equality.

Rk2. Subschemes & sheaves & schemes have nontrivial condition on hei, as otherwise the perturbation will destroy flatness heavily!

(due to not being ~~it~~ given) or empty
Rk3. Obs $\in H^2$ instead of \mathbb{A}^1 • all H^2 : Def is a ~~two~~ G -principal homogeneous space instead of G itself.

E.g. Let's explain Obs $\in H^2$ by counterexamples: line bundle is ~~a~~ unobstructed in good cases (\Rightarrow Picard scheme smooth, as it can be extended by writing as difference of two ~~two~~ effective divisors, but $H^0(X, \text{End}(E) \otimes J) = H^0(X, \mathcal{O}_X \otimes J)$) ~~two~~ can be nontrivial.

Hence we have $H^2(X, \text{End}(E) \otimes J) \xrightarrow{\text{tr}} H^2(X, \text{Det}(\text{End}(E) \otimes J))$ trace map (infinitesimal of determinant)

$$\text{ob} \mapsto \text{tr}(\text{ob}) = 0$$

$\Rightarrow \{\text{obs}\} \subset \text{Ker}(\text{tr}) =: H^2(X, \text{End}(E) \otimes J)_{\text{tr}} \subsetneq H^2(X, \text{End}(E) \otimes J)$ the trace-less classes.

Rk4. Now we can answer ②: just as above, ask different question: unique (H^1) existence (H^2) need different gluing datum. Sometimes both local (singular) & global (gluing automorphisms) occurs and problem is very complicated. Higher obstruction = Higher gluing datum, but it's harder to explain it!
Now, what's true obstruction?

Def. An obstruction theory ② of $0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$ for B is V/J , J the residue field of A' and A and

$$\varphi: f: 0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0 \rightsquigarrow \varphi: V/J$$

$f: V \rightarrow J$ (i.e. J is k -vector space as $\text{Im } f = J = 0$).

sit. ① $\text{obs}(A', u) = 0 \Leftrightarrow \exists$ lifting $\tilde{u}: B \rightarrow A'$; ② natural.

For B is local, we have canonical smallest obstruction theory $V_B = (I/\mathfrak{m}_B I)^V$, $0 \rightarrow I \rightarrow P \rightarrow B \rightarrow 0$, $I \subset \mathfrak{m}_B^2$

• Check its obstruction $\varphi: 0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$ different choices of \tilde{u}

$\text{If } \exists \tilde{u}: I \rightarrow J$ have difference a \in derivation $\Rightarrow f: I/\mathfrak{m}_B I \rightarrow J \rightsquigarrow (I/\mathfrak{m}_B I)^V$

• Smallest: It has geometric meaning. $\text{Spec } B \hookrightarrow \text{Spec } P$ with I defining equations $\text{Spec } P$ ~~is~~ and always can be extended (infinitesimal lifting holds for regular local ring too), for any $0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$ the smallest is the defining equation of $\text{Spec } B$ into a smooth space with smallest number of equations, $V_B = \text{Coker}(T_W(k[x]) \rightarrow \mathbb{F}_p)$ for $\text{Spec } B = \text{Spec } C(x, x^{-1})$, $X \hookrightarrow W$ smooth, defined by $S: W \rightarrow E$, $X = V(S)$

It's smallest in meaning that $V(N, \varphi) \rightarrow V_B \hookrightarrow V$ commute \Rightarrow diagram.

V_A not depend on P ; for $0 \rightarrow I \rightarrow P \rightarrow B \rightarrow 0$, two $V_I \hookrightarrow V_P$ (the proof only uses ~~that~~ φ), then V_B unique \Leftrightarrow (① & ② of (N, φ) , not ~~its~~ obstruction to restrict)

Reformation as formal local moduli

Due to formal completion reason, we consider pro-representable functors $F: \mathcal{C} \rightarrow \text{Set}$ if $F \cong \hat{F}$, \hat{C} is pro- \mathbb{Z} -object of \mathcal{C} , i.e. $\hat{C} = \varprojlim C_i$. the category completed in $\hat{F}: \hat{\mathcal{C}} \rightarrow \text{Set}$ is representable. Then one can ask: given formal local functor $\hat{F}: \hat{\mathcal{C}} \rightarrow \text{Set}$, is \hat{F} pro-representable? When \hat{F} algebraic (or \mathcal{C})?

Take \mathcal{C} the category of Artin local rings and $\hat{\mathcal{C}}$ Artin \mathbb{Z} -complete rings, the first question is Schlessinger's criteria:
 E.g. \mathbb{P}^1 has no moduli M , st. $\mathbb{P}^1 \hookrightarrow \text{Hom}(S, M)$, but its deformation is single pt Sch.

Def. A formal family $R \in \hat{\mathcal{C}}$ is natural transformation $h_R: \mathcal{C} \rightarrow F$

($h_R: \mathcal{C} \rightarrow \text{Set}$ instead $\hat{\mathcal{C}}$)

$\Leftrightarrow \exists F(R) \Leftrightarrow S = (\mathbb{Z}_k), \text{ s.t. } F(\mathbb{Z}_k) \hookrightarrow F(R/k)$

Torelli Lemma $\begin{matrix} \mathbb{Z}_k = \mathbb{Z}_{k_1} \times \mathbb{Z}_{k_2} \\ \text{Spec } \mathbb{Z}_k = \text{Spec } \mathbb{Z}_{k_1} \times \text{Spec } \mathbb{Z}_{k_2} \end{matrix} \xleftarrow{\quad \text{isom} \quad} \begin{matrix} \mathcal{O} \hookrightarrow \square \hookrightarrow \square \\ \text{Spec } \mathcal{O} \quad \text{Spec } R \quad \text{Spec } A \end{matrix}$

Due to nonexistence of global moduli,

we should assume weaker correspondence than 1-1

Def. $h_R \rightarrow F$ is

• Universal: $h_R \cong F$

• Versal: $h_R \rightarrow F$ & $h_R \rightarrow F$ strongly surjective, i.e. $\forall B \rightarrow A, h_R(B) \rightarrow h_R(A) \times_{F(A)} F(B) \hookrightarrow F(A)$

Rk. Versal's pullback is versal \Rightarrow versal can be very big

Versal \Leftrightarrow formally smooth as $h_R(B) \rightarrow h_R(A) \times_{F(A)} F(B)$

The pf of equivalence:

$h_R(A) \rightarrow F(A)$

family / A infinitesimal

$\begin{matrix} \mathcal{O} \hookrightarrow \square \hookrightarrow \square \\ \text{Spec } \mathcal{O} \quad \text{Spec } R \quad \text{Spec } A \end{matrix}$

Let $A = \mathbb{R}/\mathbb{Z}_k$ all k , done \square

$$\begin{matrix} \text{Spec } B \hookrightarrow \text{Spec } R \\ \exists \quad \square \quad \square \quad \square \\ \text{Spec } B \hookrightarrow \text{Spec } R \end{matrix} \xrightarrow{\quad \text{isom} \quad} \begin{matrix} \square \\ \square \quad \square \\ \square \quad \square \end{matrix} \xrightarrow{\quad \text{isom} \quad} \begin{matrix} \square \\ \square \quad \square \\ \square \quad \square \end{matrix}$$

We can extend \square to $\text{Spec } B \hookrightarrow \text{Spec } R$
 s.t. \square family / $\text{Spec } B$

$\Leftrightarrow h_A \xrightarrow{\mathcal{O}} h_R$ lifting property (existence), needn't unique

$$\begin{matrix} \mathcal{O} \\ \downarrow \exists \\ h_B \rightarrow F \end{matrix}$$

• Miniversal:

Versal + $h_R(D) \cong F(D)$ for $D = \frac{\mathbb{Z}_{k_1}}{(t_1^2)}$

Prop. F versal then $\# F(\mathbb{Z}) = 1$ and $\forall A' \rightarrow A$ and $A'' \rightarrow A, F(A' \times_A A'') \rightarrow F(A') \times_{F(A)} F(A'')$ (Gluing).

Miniversal then $\forall A, F(A \times D) \xrightarrow{\text{isom}} F(A) \times_{F(A)} F(D)$, let $A=D$, we have $\# F(D)$ is naturally \mathbb{Z} -l.v.space
 and for $0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$ small, $\forall j \in F(A)$

C imagine tangent space with addition $F(D) \times F(D) \xrightarrow{\text{isom}} F(D \times D)$

② transitive action $\# F(D) \cong \# F(A) \# F(A'')$ (imagine as $J^2 \cong \text{Def}$) or empty

Universal then $F(A' \times_A A'') \cong F(A') \times_{F(A)} F(A'')$ and the action is principal $F(D)$ -space or empty

Rk. No automorphism \Leftrightarrow Universal exists

Automorphism not jump \Leftrightarrow Stabilizer of \square exists but not jump \Leftrightarrow Miniversal exists

Automorphism jump \Leftrightarrow \square 's Vector space destroyed \Leftrightarrow Versal only

Rk2. A subtle is $A' \times_A A''$ not tensor not corresponds to geometric pullback/fibre product, but geometric gluing. But this just what we need now: for example $\frac{\mathbb{Z}_{k_1}}{(t_1^2)} \otimes \frac{\mathbb{Z}_{k_2}}{(t_2^2)} = \frac{\mathbb{Z}_{k_1+k_2}}{(t_1^2, t_2^2)}$ (\square isn't what we want as it's meaningless, but $\frac{\mathbb{Z}_{k_1}}{(t_1^2)} \times \frac{\mathbb{Z}_{k_2}}{(t_2^2)} = \frac{\mathbb{Z}_{k_1+k_2}}{(t_1^2, t_2^2, t_1 t_2)}$ makes sense with all at $t_1 t_2$ is addition of tangent vectors)

From (Schlessinger's criteria) Miniversal $\Leftrightarrow \# F(\mathbb{Z}) = 1$ & Gluing map surj for $0 \rightarrow J \rightarrow A' \rightarrow A \rightarrow 0$ small, $F(A \times D) \cong F(A) \times_{F(A)} F(D)$

Universal \Leftrightarrow Miniversal & principal homogeneous space

E.g. X_0 affine/proj w/ isolated singularities (otherwise too many sing $\Rightarrow F(D)$ infinite dim)

\Rightarrow Miniversal exists as $F(D) = H^1(T_{X_0})$ and we can glue schemes

But universal not exists even \Leftrightarrow singular part

when X_0 smooth! One asks we have \square principal homogeneous, why it's not true? This is due to difference of deformation functors: we respect X_0 when we consider its geometric def, but respect $X'/A \otimes A$ for X'/A in the above criteria, this is strictly different (latter auto strictly modulo less than former)

Universal $\Leftrightarrow \forall X'/A!, \text{Aut}_{A'}(X'/X_0) \rightarrow \text{Aut}_A(X'/A/X_0) \Leftrightarrow H^0(X_0, T_{X_0}) = 0 \Rightarrow X_0$ smooth

E.g. Def of g=1 curves has universal. If $g=2$ by $H^0(X_0, T_{X_0}) \neq 0$, $g=1$ by $\text{Aut}_{A'}(X'/X_0) \rightarrow \text{Aut}_A(X'/A/X_0) = A' \rightarrow A$

as elliptic curve (with base), here auto \cong trivial (or more general Abelian vars.)

\Rightarrow Aut = section \Leftrightarrow $\text{Spec } A$ or $A' \cong A$

Rk3. Continuing Rk2. above, given a deformation problem we need choose an equivalence to moduli. The two deformation functors above are modulo x_0 and $x_0|A$. Different equivalence have their uses respectively, their arose are due to $x_0 \cong x_0 \subset x_0 \cong x_0$. Fix larger result the equivalence more relaxed and deformation space larger as if you fix x_0 , one can use $\text{Aut}(x_0)$ to justify the second diagram to make $x_0|A$ fixed.

It's vague to say about "deformation of \mathcal{X} " $\text{Def} \Leftarrow \text{Def}'$

"formal neighbourhood of $x = [X] \in M$ " when M is stacky

and delicate fix automorphism are used, $\Leftrightarrow x = [X, \text{Aut}(x)]$

to ensure the moduli stack do record enough datum of automorphisms

E.g. Modulo equivalence $x_0 \cong x_0$, we get DM stack's formal theory, it means that we fix nothing but only record all automorphism $\text{Spec} A'$

\Leftrightarrow We have $\mathcal{G} \curvearrowright X$ itself instead of X/G (or X/G^\sim)

E.g. $\mathbb{Z}/2\mathbb{Z} \cong \mathbb{A}^2$ with bad pt 0, the result quotient looks like  stack doesn't see the singular 0, $T_0 \cong \mathbb{A}^1$ but stacky $(0, \mathbb{Z}/2\mathbb{Z})$ the only pt this verifies the hidden smooth principle!

E.g. The quotient stack $[\mathbb{C}^2/\mathbb{C}^*]: S \mapsto \text{Hom}(S, [\mathbb{C}^2/\mathbb{C}^*]) = \{f_{ab}(x,y) | f_a \in \text{Pic}(S)\}$ with nontrivial automorphism $\mathbb{Z}/2\mathbb{Z}$

The quotient by \mathbb{C}^* here means different trivialization of \mathcal{L} up $\mathcal{L} \otimes \text{End}(S, f_a)$

to a rescaling

Our functor is $F: \mathcal{C} \rightarrow \text{Set}, F(A) = \{f_{ab}(x,y, \theta) | \mathcal{L} \in \text{Pic}(\text{Spec } A), x, y \in H^0(\text{Spec } A, \mathcal{L}), x, y \equiv 0 \pmod{m}\} / \sim \text{ modulo equality}$

$\Rightarrow F(D) = \{f_{ab}(bt, bt) | ab \in \mathbb{C}\} / \sim \cong \{f_{xy} | xy \in m \subset A\} / \sim (x_0, y_0) \sim (x_1, y_1)$ respecting all structure

$$= \{f_{ab} | ab \in \mathbb{C}\} = \mathbb{C}^2$$

$$\Leftrightarrow \exists h \in 1 + m, x_0 = hx_1, y_0 = hy_1$$

(all second order cancelled, \sim is strict equal)

Is miniversal but not universal (other tangent space are \mathbb{C} / computation shows in $A = \frac{\mathbb{C}[t]}{(t^3)}$, second order term fail)

Now we answer the last two questions, i.e. algebraization: family $\{f_n\} \hookrightarrow \overset{x}{\underset{\text{over } A_n}{\text{Spf } A}} \overset{x}{\underset{\text{Spf } A}{\rightsquigarrow}} \overset{x}{\underset{\text{Spec } A}{\rightsquigarrow}} \overset{x}{\underset{S \text{ finite type}/\mathbb{K}}{\rightsquigarrow}}$

Thm. (Grothendieck)

- If $\exists \mathcal{L}$ on $X, \mathcal{L}|_{x_0}$ ample $\Rightarrow X \rightarrow \text{Spf } R$ effective (i.e. $\exists X \rightarrow \text{Spec } R$ finite type and $X \rightarrow \text{Spf } R, \text{st. } \mathcal{L} \cong \mathcal{L}$ and $X \cong X$)
- (Formal GAGA) $\text{Coh}(X) \cong \text{Coh}(\overset{x}{X})$, X proper $\overset{H^0(X)}{\uparrow}$ 20 abstract def
E.g. (Noneffective) $X_0 \subset \mathbb{P}^3$ quartic smooth (hyper) surface $\Rightarrow K3$, Hodge diamond is $\begin{array}{ccccc} & & & & \\ & & 20 & & \\ & 1 & \downarrow & 19 & \\ \overset{H^0(X_0)}{\uparrow} & 0 & \rightarrow & \mathcal{L} & \downarrow \text{polarized def / terminal} \\ \text{H}^1(\mathcal{O}_{X_0}(1))_{x_0} = 0 \Rightarrow \mathcal{L}_0 = \mathcal{O}_{X_0}(1)|_{x_0} \text{ can't deform} & \text{f.i.e. } \text{Pic}(X_0) = H^2(X) \wedge H^{1,1}(X) \text{, due to } H^1(\mathcal{O}_{X_0}) \neq 0 \text{ obstruction} \end{array}$
- Claim. $\forall \mathcal{L}_0, \exists$ direction $x_0 \hookrightarrow X$, s.t. \mathcal{L}_0 can't lift to $X \rightarrow$ the integral class $\in \text{Pic}(X_0)$ comes to be not integral
 \Rightarrow not effective!

Pf. We need deform pair (X, \mathcal{L}) , controlled by $H^1(T_X)$ and $H^1(\mathcal{L}_X)$, they're entangled as the 1st and constant term of anytropic expansion, we have bundle of principal part $J_L^1 = P_*((P^* \mathcal{L} \otimes \mathcal{O}_{X \times X}/\mathbb{Z}^2))$ (or 1st-Jet). It's natural in geometry as no given direction for X , but in $\Delta X \subset X \times X$, there is $0 \rightarrow \Omega^1 \otimes L \rightarrow J_L^1 \rightarrow L \rightarrow 0$ a canonical one, but there is some algebraic ambiguity in definition.

\exists Canonical \mathbb{K} -linear (not \mathcal{O}_X -linear) section $v \rightarrow I_\Delta/I_\Delta^2 \rightarrow \Omega^1_{\Delta}/I_\Delta^2 \rightarrow \Omega_\Delta \rightarrow 0$, (imagine it as $f \mapsto f + df$)

$\Rightarrow \exists$ canonical \mathbb{K} -linear (not \mathcal{O}_X -linear) section $v: \Omega^1 \otimes L \xrightarrow{\sim} J_L^1 \rightarrow L \rightarrow 0$ satisfy Leibniz rule $= f + f' dx$

\Rightarrow bijection $\{ \nabla: L \rightarrow \Omega^1 \otimes L \text{ algebraic connection} \} \leftrightarrow \{ \text{Pic}(\overset{x}{X}) \text{ section } \psi: L \rightarrow J_L^1 \}$

Prop. dlog: $\Omega_X^* \rightarrow \Omega_X^1$
 $\Rightarrow \text{dlog}: H^1(\mathcal{O}_X^*) \rightarrow H^1(\Omega_X^1)$

$\Leftrightarrow \text{dlog}: \text{Ext}_{\mathcal{O}_X}^1(L, \Omega_X^1) \hookrightarrow \text{Ext}_{\mathcal{O}_X}^1(L, L) = 0$

$\Leftrightarrow H^1(X, \Omega_X^1) \cong \text{Ext}_{\mathcal{O}_X}^1(L, \Omega_X^1) \ni \alpha$ the obstruction to algebraic connection, called Atiyah class

$\Rightarrow H^1(X, \Omega_X^1) \hookrightarrow H^2(X, \mathbb{C}) \cong H^0(X, \Omega_X^2) \oplus H^1(X, \Omega_X^1) \oplus H^2(X, \mathcal{O}_X)$ send α to $C_1(L)$

$0 \rightarrow \mathcal{L} \xrightarrow{\text{exp}} \mathcal{O}_X^* \rightarrow 0 \Rightarrow$ induce LES $\rightarrow H^1(\mathcal{O}_X^*) \xrightarrow{\alpha} H^2(Z)$

$0 \rightarrow Z \rightarrow \mathcal{O}_X \xrightarrow{\text{d}} \Omega_X^1 \xrightarrow{\text{d}} \Omega_X^2 \xrightarrow{\text{d}} \dots$ in $H^{1,1}$ coincide with α

(analytic tripoly) \Rightarrow de Rham resolution by comparing the upper and lower rows of the diagram

$0 \rightarrow \mathcal{O}_X \rightarrow \text{Hom}(J_L^1, L) \rightarrow T_X \rightarrow 0 \Rightarrow$ induce LES $\dots \rightarrow H^1(\mathcal{O}_X) \rightarrow H^1(\text{Hom}(J_L^1, L)) \rightarrow H^1(T_X) \rightarrow \dots, H^1(\text{Hom}(J_L^1, L)) = \text{Def}(X, L)$

$\cdots \rightarrow H^0(T_X) \rightarrow H^1(U_X) \rightarrow H^1(\cdot) \rightarrow H^1(T_X) \rightarrow H^2(O_X) \rightarrow \cdots$ not sing & surj, this can be understood as:
 the deformation of L ($H^1(O_X)$). some part comes from pullback along vector field on X , this part is cancelled as it's
 & the deformation of $X(H^1(T_X))$ not comes from a permiss \Rightarrow obstruction of deformation of L same as $H^1(T_X)$;
 Pk. Due to the non-effectiveness of abstract deformation, we always consider moduli of polarized objects
 Thm. (Artin) $X \rightarrow \text{Spec } R$, $\exists X \rightarrow S$ finite type/ k , so S has fibre X_0 (i.e. given embedding to P^1)
 $\hat{X} = X \times_{S, \text{Spec } O_{S, S_0}}$, and it's étale locally unique.

Cotangent complex $X \xrightarrow{f} Y$ needn't smooth, $\Omega_{X/Y} \in D^{<0}(X)$, we'll control def of $X \rightarrow Y$ by $\text{Ext}^1(L_{X/Y}, J)$
 If J still def of a small extension $\in D^0(X) \subset D^+(X)$, $L \in D^{<0}(X) \subset D^-(X) \Rightarrow \text{Hom} \text{ defined}$
 Recall: $\text{Ext}^1(L_{X/Y}, J) = H^0(R\text{Hom}(L_{X/Y}, J)) = H^0(R\text{Hom}(L_{X/Y}, J[-1])) = \text{Hom}_D(X)(L_{X/Y}, J[-1])$
 tensor is similar. $\text{Tor}^*(E, F) = H^0(E \otimes F) = H^0(E \otimes F[-1])$

$\square \rightarrow I/I^2 \rightarrow \Omega_{X/S} \rightarrow \Omega_{X/S} \rightarrow 0$ if f closed embedding
 $\square \rightarrow f^*\Omega_{Y/S} \rightarrow \Omega_{X/S} \rightarrow \Omega_{X/Y} \rightarrow 0$ if f general

Fact. ① $\Omega_{X/Y}/S \rightarrow \Omega_{X/Y} \rightarrow \Omega_{X/Y}[-1]$ is distinguished triangle in $D^{<0}(X)$;

② $H^0(L_{X/Y}) = \Omega_{X/Y}^1$ (thus we have second SES), by the first one, if f closed embedding, $\Omega_{X/Y} \in D^{<1}(X)$ as $\Omega_{X/Y}^1 = 0$

③ $X \rightarrow Y$ étale (e.g. open embedding), $\Omega_{X/Y} = 0$;

④ $X \rightarrow Y$ smooth, $\Omega_{X/Y} = \Omega_{X/Y}^1$ centred at 0;

⑤ $X \rightarrow Y$ is l.c.; $\exists W, X \xrightarrow{\text{reg}} W \xrightarrow{\text{smooth}} Y \Leftrightarrow \Omega_{X/Y} \text{ has flat resolution in finite length}$ (Quillen)

Thus when singular, any flat resolution is infinite! $\Leftrightarrow \Omega_{X/Y} = [I/I^2 \rightarrow \Omega_{W/Y}|_X]$ length 2 (independent W , up to \mathbb{Q} -is)

Luckily, we here only use the first three terms of $\Omega_{X/Y}$, i.e. $\mathcal{T}^{>-1}\Omega_{X/Y} = \Omega_{X/Y}^1$ called naïve cotangent complex

⑥ $X \xrightarrow{\text{closed}} W \xrightarrow{\text{smooth}} Y$, $\Omega_{X/Y}^1 = [I/I^2 \rightarrow \Omega_{W/Y}|_X] \quad \Rightarrow \quad = [L^1/d(x^2) \rightarrow L^0]$ good truncation

$\Rightarrow X \xrightarrow{\text{closed}} Y$, $\Omega_{X/Y}^1 = [I/I^2][1]$ concentrated at (-1)

To prove them, simplicial definition to $\Omega_{X/Y}$ is unavoidable, we omit! Then we use it to deformation:

Deformation of Maps

Problem. $X \xrightarrow{f} Y$, ? \tilde{f}

$\square \rightarrow T \xrightarrow{f} T' \rightarrow J^2 = 0$

no automorphisms

deformation / extension is pseudo-tensor of $\text{Hom}_T(f^*\Omega_X^1, J) = \text{Ext}_T^0(f^*\Omega_X^1, J)$

obstruction $\in \text{Ext}_T^1(f^*\Omega_X^1, J)$

Ex. ③ Obstruction is given by: $f^*\Omega_X^1 \rightarrow \Omega_T \rightarrow \Omega_T/\Omega_X^1 \xrightarrow{+1} \Rightarrow (f^*\Omega_X^1 \rightarrow J[1]) \in \text{Hom}_T^0(f^*\Omega_X^1, J[1])$

Why?

Rk. If $\exists W, X \xrightarrow{f} W$ smooth $\Rightarrow T \xrightarrow{f} X$
 may not exist if T not affine
 $\Rightarrow I/I^2|_T \xrightarrow{g} \Omega_W|_T \rightarrow \Omega_W|_T \xrightarrow{g^*} 0 \xrightarrow{+1} W$

Recall that: $T \xrightarrow{f} X$ exists $\Leftrightarrow \Omega_W|_T \rightarrow J$ exists the bar resolution of J
 now $[I/I^2|_T \rightarrow \Omega_W|_T] = \Omega_X|_T = f^*\Omega_X^1$, $[J \rightarrow 0] = J[1]$, $(\Omega_W|_T \rightarrow J) \in \text{Ext}_T^1(f^*\Omega_X^1, J)$

then by a fact of homological algebra $\Rightarrow \exists \alpha, \text{def} \alpha + g^* = \text{obstruction}$ existence of $W \xrightarrow{f} T$ not affine
 thus iff $\alpha = 0$, we can extend, i.e. α is obstruction class, the obstruction

$\square \rightarrow X \xrightarrow{f} Y$ exists $\Leftrightarrow \Omega_W|_T \rightarrow J$ exists

$[g^*] = 0 \Leftrightarrow \text{obstruction class} = 0$

local-to-global SS is the Grothendieck SS of composite functor $I(\text{Ext}) = \text{Ext}$ here it explains the two part of obstruction:
 $\text{Ext}^0(T)$ (Ext^0) Set here our SS to be \square direction, read the red part with convergence to $\text{Ext}_T^1(f^*\Omega_X^1, J)$ and its filtration \Rightarrow

$$\begin{array}{ccccccc} H^0(T) & H^1(T) & \cdots & H^2(T) & \cdots & H^3(T) \\ \downarrow & \downarrow & & \downarrow & & \downarrow \\ H^0(T) & H^1(T) & \cdots & H^2(T) & \cdots & H^3(T) \\ \downarrow & \downarrow & & \downarrow & & \downarrow \\ H^0(T) & H^1(T) & \cdots & H^2(T) & \cdots & H^3(T) \end{array}$$

From local to global also in geometry

$$0 \rightarrow H^1(T, \text{Ext}_T^0(f^*L_X, J)) \rightarrow \text{Ext}_T^1(f^*L_X, J) \rightarrow \text{Ker}(H^0(T, \text{Ext}_T^1(f^*L_X, J)) \rightarrow H^1(T, \text{Ext}_T^{100}(f^*L_X, J))) \rightarrow 0$$

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global obstruction \hookrightarrow local obstruction $\hookrightarrow \text{Ext}_T^1(f^*L_X, J)$

(gluing pieces of T is $H^1(T)$) If $T = \text{Spec } k \hookrightarrow \text{Spec } k[[x]] = T'$, Ext_T^1 is local obstruction, coincide with geometric insight by X singular

thus: ① If local obstruction $\neq 0$, can't lift, done; (locally, $H^i(\text{affine}) = 0$ for $i > 0$, only $i=0$ considered)

② If local obstruction $= 0$, lift to global obstruction, if $\neq 0$, obstruction exists: two $U_i, U_j \subset T$

③ If $= 0$ and lift to 0 \Rightarrow no obstruction

Reformation of schemes $\Leftrightarrow \text{Ext}_T^1(f^*L_X, J) = 0$

Similarly for $\text{Ext}_X^2(L_X, J)$, we use the local-to-global SS in

$H^0(\text{Ext}^2) = \text{local obstruction} = \text{Ext}_X^2$

$H^1(\text{Ext}^2) = H^1 + \text{Ext}^1 \stackrel{\text{isomorphic}}{\cong}$ local deformation / extension

$H^2(\text{Ext}^2) = H^2 + \text{Ext}^2 \stackrel{\text{cocycle condition}}{\cong}$ different gluing method of local deformation \hookrightarrow global deformation of \mathcal{S} (in fact, \mathcal{S} is flat over T)

Problem: $X \xrightarrow{\pi} X' \hookrightarrow S'$ flat \Leftrightarrow the I is pullback of J , thus we always acquire $X \rightarrow S'$ flat module for \mathcal{S} (in fact, \mathcal{S} is flat over T)

π pullback \hookrightarrow (check it locally): $I = \pi^* J$

• Automorphism = $\text{Ext}_X^0(L_X, \pi^* J)$

• Deformation = $\text{Ext}_X^1(L_X, \pi^* J)$ - pseudo torsor

• Obstruction class $\in \text{Ext}_X^2(L_X, \pi^* J)$

Locally, it's $0 \rightarrow N \rightarrow B' \rightarrow B \rightarrow 0$, given $I \rightarrow N$ map of A -modules

(Not affine) \hookrightarrow $A' \rightarrow A \rightarrow 0$ and N is B -module (without any flatness), as if $A \rightarrow B$ flat, then $0 \rightarrow B$ is $A \otimes B$ flat

we use bar resolution $A \rightarrow A' \rightarrow A \rightarrow 0$ \Leftrightarrow given two such problems $\Rightarrow 0 \rightarrow N_2 \rightarrow B'_2 \rightarrow B_2 \rightarrow 0$, it's a problem of extension of maps

Lemma: Given two such problems $\Rightarrow 0 \rightarrow N_1 \rightarrow B'_1 \rightarrow B_1 \rightarrow 0 \rightarrow 0 \rightarrow I_1 \rightarrow A'_1 \rightarrow A_1 \rightarrow 0$ \Rightarrow obstruction class $\in \text{Ext}_B^1(L_B/A_1, N)$ (problem for third exact seq.)

Thus deformation is proven: by definition of torsor, one compare two problems $\in \text{Ext}^1$, surjective need ... similar to Extension of modules

Our advantage to move out the flatness is $\text{ker } I = 0$, then the trivial extension $N \oplus B$ always exists, but highly non-flat

$\Rightarrow 0 \rightarrow N \rightarrow B' \rightarrow B \rightarrow 0$ The existence of B' \hookrightarrow base is given \Rightarrow not only torsor but also

$\Rightarrow 0 \rightarrow I \rightarrow A' \rightarrow A \rightarrow 0 \Leftrightarrow$ given $3 \in \text{Ext}_A^1(L_A/A, I)$, the existence of map $A' \rightarrow B'$ (view the upper two row both)

$\Rightarrow 0 \rightarrow I \rightarrow A' \rightarrow A \rightarrow 0 \Leftrightarrow$ given $3' \in \text{Ext}_A^1(L_A/A', I)$, $3'' \in \text{Ext}_B^1(L_B/A, N)$ (problem over lower one!)

$\Rightarrow 0 \rightarrow 0 \rightarrow A' \rightarrow A \rightarrow 0 \Leftrightarrow$ given $3'' \in \text{Ext}_A^1(L_A/A, I)$ $\Rightarrow 3' = 3''$ (comes from $I \hookrightarrow B'$ canonical)

$\Rightarrow 0 \rightarrow N \rightarrow B' \rightarrow B \rightarrow 0$ and $3' \mapsto 3''$ (if $3' = 3''$, then B' by pushout)

$\Rightarrow 0 \rightarrow N \rightarrow B' \rightarrow A \rightarrow 0$ (if $3' = 3''$, then it's extension $\Rightarrow I \rightarrow A' \rightarrow A \rightarrow 0$)

$\Rightarrow 0 \rightarrow N \rightarrow B' \rightarrow A \rightarrow 0$ \Rightarrow all are extension $\Rightarrow 0 \rightarrow 0 \rightarrow A' \rightarrow A \rightarrow 0$

Thus: $\Leftrightarrow \text{Ext}_B^1(L_B/A, N) \xrightarrow{\rho} \text{Ext}_A^1(L_A/A', N) \xleftarrow{\text{Ext}_A^1(L_A/A, I)}$

• Deformation is $\rho'(3')$, $\forall 3'' \in \text{Ext}_A^1(L_A/A', N)$ i.e. pseudo torsor of $\rho'(3')$

• Obstruction class $\in \text{coker } (\rho)$

Then by $L_A/A \otimes B \rightarrow L_B/A' \rightarrow L_B/A \xrightarrow{+1} 0 \Rightarrow$ LES: $\cdots \rightarrow \text{Int}_B^1(L_B/A, N) \rightarrow \text{Ext}_B^1(L_A/A \otimes B, N) \rightarrow \text{Ext}_B^2(L_B/A, N) \rightarrow \cdots$

$\Rightarrow \text{coker } (\rho) \subset \text{Ext}^2$, then done \square

(all other terms have been crossed out, giving proof of $\text{Ext}^2 \subset \text{Ext}^1$)

non-local-to-global

Extension of sheaves F (\mathcal{G} dominates kernel)

Problem: $X \xrightarrow{\pi} X'$, given $I \otimes F \xrightarrow{\rho} \mathcal{G}$, then $\exists ? \mathcal{F}' / X'$ s.t. $\mathcal{G} \xrightarrow{\text{coker } \rho} \mathcal{F}' \rightarrow F \rightarrow 0$ on

$\mathcal{F}' \xrightarrow{\text{coker } \rho} \mathcal{F}$

• Automorphism = $\text{Ext}_F^0(F, \mathcal{G})$

• Deformation = $\text{Ext}_F^1(F, \mathcal{G})$ - pseudo torsor

• Obstruction class $\in \text{Ext}_F^2(F, \mathcal{G})$ P.F. lemma (similar) \square



Stacks

Recall the $\text{Isom}(S_1, S_2) = \{(\beta_1, \beta_2, \psi) \in S_1 \times S_2 \times \text{Isom}(M_{\beta_1}, M_{\beta_2}) \mid \begin{cases} \text{et} \\ \text{étale} \end{cases} \}$ scheme

Scheme \hookrightarrow Functor + Gluing/Algebraic Yoneda condition

$$\begin{matrix} & \downarrow \text{et} \\ S_1 & \rightarrow M \end{matrix}$$

but all these (gluing) maps are étale than Zariski

Same for sheave (big Zariski site)

~~algebraic over~~ space, weak latter; for stack, change target & weak latter

- Algebraic space: $F: \text{Sch}^{\text{op}} \rightarrow \text{Set}$ s.t. sheaf \mathcal{F} over big étale site & $\exists U$ scheme, $h_U \rightarrow F$ étale
- E.g. (Algebraic space but not scheme)

Construction: $X = \mathbb{P}^1 \times C$, C is tors. gluing \mathbb{P}^1 into cubic nodal curve $\mathcal{X} = \bigcirc$
the gluing point set the fibre $C_0 \cong C_{\infty} \rightsquigarrow X$

$$x \mapsto x+a, a \text{ has infinite order in } C \text{ as group}$$



dense / ergodic

of orbit $\bullet C \curvearrowright C$ by "a"

\Rightarrow due to irrationality, the gluing not algebraic (irrational, ergodic)

$\Rightarrow X$ not scheme;

But X is algebraic space by gluing two étale covers by the result glued space has $\mathbb{Z}/2\mathbb{Z}$ -action/involution without fixed points

$\begin{matrix} \square & \rightarrow & X \\ \downarrow & \square & \downarrow \text{et} \\ X & \xrightarrow{\text{et}} & \tilde{X} \end{matrix}$ gluing two base \mathbb{P}^1 by "banana" (due's algebraic curve)



with x glued to ∞ (0 to 0)

at a glued to $x+a$ (∞ to ∞)

The quotient is by functor $[X/G]$

$$S \hookrightarrow \{G \curvearrowright P \rightarrow X\} \rightsquigarrow, \text{ as } \text{Hom}(S, [X/G]) = \{S \rightarrow [X/G]\}$$

E.g. (Stack but not algebraic space) $\hat{\Delta}^M \cong \{S \rightarrow [X/G]\}$

P is G -torsor / S , i.e. $G \curvearrowright P \xrightarrow{\alpha} P$

$$\text{pr} \downarrow \quad \square \downarrow$$

$$P \rightarrow S$$

M_g isn't algebraic space as $(P \rightarrow S$ base change to $P \leftrightarrow$ find $(s, g) \in P_s$

there \exists auto, i.e. \exists family nontrivial

for each fibre a preferred element

\Leftrightarrow globally every fibre are G -trivial after ~~base~~ change

\Rightarrow Pullback is $G \times P$

Rk: DM stack doesn't have infinitesimal auto

\Leftrightarrow finite and reduced

but finite \nRightarrow reduced in char = $P \neq 0$

$$\begin{matrix} A^1 \times \mathbb{Z}/2\mathbb{Z} & \rightarrow & A^1 \\ \downarrow & & \downarrow 2:1 \\ A^1 & \rightarrow & [A^1/G] \end{matrix}$$

étale 2-to-1

even in 0 A^1/G .

(0 is "1-to-1/2")

Abstract nonsense = C^*
of stacks: fibred groupoids ...

$$\begin{aligned} \text{E.g. (What's stack?)} \quad ① [A^1/(\mathbb{Z}/2\mathbb{Z})] &= \text{Cartesian square} \\ ② [*/G] \cong: S \mapsto \{G \curvearrowright P \rightarrow *\} &= \begin{matrix} \text{omitted} \\ \text{1} & 2 \\ 2 & 1 \end{matrix} \end{aligned}$$

$$= \{G \curvearrowright P\} \Rightarrow [*/G] = BG$$

Coherent with algebraic topology: $BG = EG/G$, EG contractible $\simeq *$
 $\Rightarrow BG \simeq */G$