



E.g. Without  $\cong$  (i.e. parameter space instead of moduli space), then it must have no automorphisms  
 $M_{\mathbb{P}^1(\mathbb{P}^1)} = \mathbb{P}^1(\mathbb{P}^2) / \deg E = 3 \cong \mathbb{H}_2(\mathbb{P}^1) = \mathbb{P}^1(\mathbb{P}^2) / \deg E = 3$  is Hilbert scheme.  $M_{\mathbb{P}^1(\mathbb{P}^1)}$ , however, it's not representable.  
 $M_{\mathbb{P}^1(\mathbb{P}^1)} = \frac{\mathbb{H}_2(\mathbb{P}^1)}{\mathrm{PGl}(2)} = \frac{\mathbb{H}_2(\mathbb{P}^1)}{\mathrm{PGl}(3)} = \mathbb{P}^1(\mathbb{P}^2, (\mathbb{P}^1(\mathbb{P}^1)))$  is projective

(By a thm due to Noether's father)

When  $M_g$  (or more general) isn't fine

Rk. Good moduli is more weaker than coarse moduli

Coarse moduli -- Deligne-Mumford stack

Good moduli -- Artinian stack (algebraic stack)

Rk. Zariski  $\Rightarrow$  étale

GAGA: analytic  $\stackrel{\text{isom}}{\sim}$  algebraic

but topology not analytic or projective)

holds. e.g. we can shrinking the open set, then the  $\lim$

The étale topology ( $\hookrightarrow$  ), it can't do by algebraic way!

= analytic topology, use the alternative way, by covering pullback to a big open set and covering to a smaller one

E.g. the defining equation  $y^n = z^m$ ,  $n, m \in \mathbb{N}$ . When  $n, m \in \mathbb{Q}$ , it's an algebraic space, but when  $n, m \in \mathbb{Z}$ , it's more bad, but occurs in study of moduli sometimes;

Q. Give  $M$  the stack structure?  $q_b \rightarrow M$  the  $T_x M$  is what?

the "Geometry"? Fibre  $q_b^{-1}(x) \rightarrow x$   $T_x M = \mathrm{Def}_x$  the deformation space of  $x$  (locally study)

E.g. (Representable functors) I:  $\mathrm{Sch}_{/\mathbb{K}} \rightarrow \mathrm{Set}^{op}$

③ Grassmannian functor  $X \mapsto \mathrm{Gr}(X, G_X) = \mathrm{Hom}(X, \mathrm{At}_X)$   
 $\mathrm{Gr}_B(r, n): \mathrm{Sch}_{/\mathbb{K}} \rightarrow \mathrm{Set}^{op}$ , Given  $V$  vector bundle on  $B$   
 $X \mapsto \{ \text{quotients of } f^*V, \text{rank } r \}$

$= f^*f_*V \rightarrow W \rightarrow 0 \mid \text{rank}(W) = r \}$

$\cong \{ f^*V \rightarrow W \subset f^*V \mid \text{rank}(W) = r \}$  cover

ker (It's the older definition, but functor is worse)

$\mathrm{Gr}_B(r, n) = \{ \mathrm{Gr}_{\mathbb{P}^1(\mathbb{P}^1)(r, n)}(\mathbb{P}^1) \}$ ; and we usual write  $\mathrm{Gr}_B(r, V)$  better

Q. Why we not outline using (not  $n$ ? A. It's representable by a scheme, not depend on  $V$ !)

④  $P_E(Z) = \mathcal{A}(\mathcal{L}_E|_{X_B})$  the Hilbert polynomial respect to  $L$

$\mathrm{Gr}_B(\mathbb{P}^1(\mathbb{P}^1))$ :  $\mathrm{Sch}_{/\mathbb{K}} \rightarrow \mathrm{Set}^{op}$ , fixed  $X/B$  projective flat family

⑤  $Y \mapsto \{ \text{subscheme of } X \times_B Y = X_Y, \text{flat over } Y \}$   
 and relative  $P(Z)$ .

$$= \{ Z \subset X_Y \mid P_Z(Z) = \mathcal{A}(Z|_B, \mathcal{L}_B|_B) \}$$

grlib  $P_A(Z)$  (Spec  $\mathbb{K}$ ) = ? hypersurface of degree  $d \} = \{ \mathrm{Gr}_A(d) \} = \mathbb{P}^n$

grlib  $P_A(Z) + V$  (Spec  $\mathbb{K}$ ) = ? hypersurface of degree  $1 \} \{ \mathrm{pt}_A + V \mathrm{pt}_V \}$

⑥ (Quot scheme) Rk. Hilb scheme is used to build (may intersected)

up moduli of varieties (or Chow scheme); but Quot scheme is for schemes/polarized sheaf/vector bundles Setting  $\pi: X \rightarrow B$  (strongly projective)

Q1) Very ample,  $\mathcal{F}$  coherent, it's quotient of  $\pi^*\mathcal{V}$ ,  $\mathcal{V}$  vs. vector bundle on  $B$

(1) Coarse moduli theory: only consider  $M_g = M_g(\mathbb{P}^1)$   $\in \mathcal{S}$ , we admit a weaker function and by  $M_g$  recover  $M_g$  generally (The coarse moduli space) property: consider moduli function (although it's not deterministic  $f: Y \rightarrow X$ )

(2) Stack theory: First, view  $X \in \mathcal{S}$  as representable functor

$M$  is fine  $\hookrightarrow M$  is representable by scheme and sheaf under Zariski topology

$M$  is  $\mathbb{A}^n$   $\hookrightarrow$  algebraic space sheaf under étale topology

$M$  is D-Mstack  $\hookrightarrow$  stack under étale topology

$M$  is Artin stack  $\hookrightarrow$  stack under smooth topology

Namely, the part is due to Three basic ways:  
 Functor (here)  
 Geometric object  
 Category  
 (All important)

Grothendieck topology

Construction of  $M_g$ :  $M_g : Sch \rightarrow Set^{op}$

Our theorem proves the  $C \mapsto \{X \mapsto C\}$  is representable by  $Gir$ , but it is a family of some genus curves. Furthermore, when  $m \geq 3$ , it comes to very ample:  $K_{X/C} \otimes_{\mathcal{O}_C} = K_X|_X$ ,  $\forall T \in C$  relatively ample  $\Rightarrow$   $K_{X/C} \otimes_{\mathcal{O}_C} = K_X|_X$ .  
 omit Hilb, Quot and Castelnuovo-Numford regularity's prof, so in order to quickly come into stack. Our first approach is IPCE (to forget the grading)  $\Rightarrow$   $Hilb Pg(Z) = \{ \text{curves}, g(C) = g, P(Z) = Pg(Z) \}$  and  $C \subset \mathbb{P}^n = \mathbb{P}^m$  (not dependent on  $Pg(Z)$ )

II. Assume  $B = \text{Spec } k$  (Geometric points  $k = \mathbb{F}$ ), we show stronger consequence: represented by variety (not scheme!) Using representable open subfunctor to cover  $Gir$ , giving algebraically) then the automorphism of  $C$  is the automorphism  $\text{Gr}_I : Sch_k \rightarrow Set^{op}$  (Here over  $k$ , every bundle trivial  $\Rightarrow$  free sheaf of  $\mathcal{O}_V$ )  $\Rightarrow \text{Hilb Pg}(Z) = \{ \text{curves}, g(C) = g, P(Z) = Pg(Z) \}$  and  $C \subset \mathbb{P}^n = \mathbb{P}^m$  (not dependent on  $Pg(Z)$ )

Def.  $\text{Gr}_I : Sch_k \rightarrow Set^{op}$  (Here over  $k$ , every bundle trivial  $\Rightarrow$  free sheaf of  $\mathcal{O}_V$ )  $\Rightarrow \text{Hilb Pg}(Z) = \{ \text{curves}, g(C) = g, P(Z) = Pg(Z) \}$  and  $C \subset \mathbb{P}^n = \mathbb{P}^m$  (not dependent on  $Pg(Z)$ )

rank  $\mathcal{O} = r = |I|$ ,  $\text{Gr}_I$  make  $\text{Gr}_I$  relates to the roots choice (This's why  $\text{GIT} \stackrel{?}{=} \text{Aut}(P^n) / \text{PGL}_n(k)$ )

Lemma.  $\text{Gr}_I$  representable by  $A_I^n$

III. We identify  $\text{Gr}_I$  with  $\text{Gr}_I' : T \mapsto f(\mathbb{P}^n \rightarrow \mathcal{O}_T^I \rightarrow 0)$  understand why cover?

$= (T \mapsto \prod_{i=1}^r T \cap (\mathcal{O}_T^{\oplus n}))$  is representable due to  $T(-, 0)$  is!

For the rest,  $\text{Gr}_I \cap \text{Gr}_J \Rightarrow (\text{Gr}_I)_{I \sqsubseteq J}$  glued into  $\text{Gr}_I$   $\Rightarrow \text{Gr}(r, n)$  covered by  $A_I^n = \{ V_i \neq 0 \text{ in } V \}$

Idea of Quot. View  $\text{Quot}_B(P(Z))$  as a locally closed subfunctor  $\{ \text{1-dim } L \subset V = \mathbb{K}^n \mid L \cap V_i = 0 \}, I = r$

$\text{f} : \text{Gr}(r, V)$  for some  $V$ , by following:

$\forall d > 0$ , Quot  $P(Z) \subset \text{Gr}(P(d), Z(P(d)))$ . Castelnuovo-Numford regularity

$(\mathcal{O}_C \rightarrow \mathcal{O}) \mapsto (\mathcal{O}_C \otimes \mathcal{O}(d)) \rightarrow (\mathcal{O}_C \otimes \mathcal{O}(d))$  the  $d > 0$  vanishing  
Our core step is locally free  $\leftarrow$  not depend on base  $B$  (descent down)

e.g.  $M_{1,1}$  the moduli of elliptic curves.

$M_{1,1} : Sch \rightarrow Set^{op}$  Def. An elliptic curve over  $T$  is triple

$C \mapsto \{ (E, f, 0) : f : E \rightarrow T \text{ s.t. (1) } f \text{ proper, smooth of relative dim 1.}$

E)  $M_{1,1}$  not representable (When  $T = \text{Spec } k$ )

Due to  $\exists (E, 0)$ ,  $\text{Aut } E \neq \{ \text{id} \}$  degenerates to  $(E, 0)$

"involution over  $\mathbb{C}$ " sticky issue"

② The coarse moduli  $M_{1,1} \cong A^1$

$(E, 0) \mapsto \text{j-invariant of } E \Rightarrow M_{1,1}$  is represented by itself

D)  $M_{1,1} \cong \text{quotient stack } [U/\text{PGL}_2(\mathbb{K})]$

$U \subset \text{Op}(3)$  open subsets

$U = \{ \text{containing all smooth cubic curves} \}$

$= \{ \text{Op}(3) - \Delta \text{ (discriminant divisor)} \}$

However, the  $= \{ \text{singular cubic curves} \}$  And satisfy axioms (not too local).

topology of  $M_{1,1} = U/\text{PGL}_2(\mathbb{K})$  can never be Zariski!

( $\hookrightarrow$  the not discrete action is quite analytic (orbifold))

Sites and stacks

Three ways Moduli functor  $\rightarrow$  Representable

Geometric object  $\rightarrow$  Enlarge to red stacks

Category itself  $\rightarrow$  topology of geometric object

E.g. Zariski site (small), or include a  $\mathbb{Q}$  open set category

scheme  $X$ , the open sets category:  $\text{Top}(X_{\text{et}}) = \{ \text{open immersions into } X \mid \text{morphism natural} \}$

Facts: ① Over  $\mathbb{C}$  E genus 1  $\Leftrightarrow E = \mathbb{C}/\mathbb{Z}$

② ( $E, 0$ ) the elliptic curve  $\Rightarrow E \hookrightarrow \mathbb{P}^1 = \mathbb{P}^2$  (30 very ample)

(such 130) is the all elliptic curves is of

cubic curves, with  $\mathbb{P}^2$  fixed, then elliptic curve  $\leftrightarrow$  cubic curve

taking 3-deg point to be 0)

③  $(E, f, 0) \cong (E', f')$  means

commutes or strongly, (Cartesian diagram)

$\begin{array}{ccc} E & \xrightarrow{f} & E' \\ \downarrow & \cong & \downarrow \\ T & \xrightarrow{f} & T' \end{array}$

(data of  $E$ ) (data of  $E'$ )

and  $f : E \rightarrow T$   $f' : E' \rightarrow T'$

$\begin{array}{ccc} E & \xrightarrow{f} & E' \\ \downarrow & \cong & \downarrow \\ T & \xrightarrow{f} & T' \end{array}$

Enlarged (stack)  $\rightarrow$  Later we'll use alternative way to define the stacks.

$\begin{array}{ccc} E & \xrightarrow{f} & E' \\ \downarrow & \cong & \downarrow \\ T & \xrightarrow{f} & T' \end{array}$  (One can view as a 2-category)

Stable under base change.

(It can't be really "covering" (conjective) or be criterioned.)

Def. (Grothendieck topology) Fix  $\mathcal{C}$  category, give data:

$\forall X \in \mathcal{C} \text{ (object)}, \exists \text{ Cov}(X) \text{ a "set": } \{ U_i \mid i \in I \mid f_i : U_i \rightarrow X \}_{i \in I}$

st. ① (Identity)  $f_i^{-1} \cong \text{Id}_{\text{Cov}(X)}$  @ (restriction)  $f_{ij} : U_i \rightarrow X|_{U_j}$

③ (Composition)  $f_{ijk} : U_i \rightarrow X|_{U_j \cap U_k} \cong f_{ij} \circ f_{jk} : U_i \rightarrow X|_{U_j \cap U_k} \cong f_{ij} \circ f_{jk} : U_i \rightarrow X|_{U_j \cap U_k} \cong f_{ijk} : U_i \rightarrow X|_{U_j \cap U_k}$

$\Rightarrow (f_i : U_i \rightarrow X)_{i \in I}$  is a site

Eg. Etale sites (small)  $\text{Top}(X_{et}) = \{ \text{etale morphism into } X \mid \text{morphism natural} \}$

small smooth sites ... or  $\{ f_{\text{pro}} \in C, f_{\text{ppf}} \}$  importantly (French)

faithfully flat (fp)	quasi-compact (qc)	faithfully flat (Cfp)	locally finite type (lp)
locally finite presentation (lf)	locally finite presentation (fp)	locally finite type (fp)	locally finite type (lp)

Ref. (Sheaf) site  
presheaf is just  $F: \mathcal{C} \rightarrow \text{Set}^{\text{op}}$   
sheaf is  $F: (\mathcal{C}, \text{Cov}(X)_{et}) \rightarrow \text{Set}^{\text{op}}$  (left is injective)

s.t.  $F(X) \rightarrow \prod F(U_i) \xrightarrow{\sim} \prod F(U_i \times_X U_j)$  is adhesive

for  $\forall f_i: U_i \rightarrow X \in \text{Cov}(X)$  and  $\forall X \in \mathcal{C}$   
consider the category of sheaves  $\mathcal{S}$  as site fixed.

Morphism of sheaves:  $(\hookrightarrow)$  Grothendieck topology  $\mathcal{T}$  (sheafification)

Def. Presheaf is just natural transformation; sheaf also.  $\text{sh} \dashv F$  (forgetful).

We need determine  $M \in \text{Sh}(\mathcal{S}_{\text{et}})$  whether representable:  $\text{Psh}(\mathcal{C}) \rightarrow \text{Sh}(\mathcal{C})$

Thm.  $P$  is a property of morphism.  $X \rightarrow Y$  subjective & smooth

$F: \text{Sch}_{\text{et}} \rightarrow \text{Set}^{\text{op}}$  sheaf.  $F_X \cong X = \text{Mor}(-, X)$  if  $F_X$  scheme,  $\mathcal{O}_X$  has  $P$   
(Usually, we can take affine to test)

$\vdash \square \downarrow \downarrow \Rightarrow F$  scheme,  $\mathcal{O}_X$  has  $P$

Ex. When fine moduli  $M \cong \text{Mor}(-, M)$ :  $\text{Sch}_{\text{et}} \rightarrow \text{Set}^{\text{op}}$  is a sheaf  $\Rightarrow$  thus sheaf is generalization over  $\text{Sch}_{\text{et}}$ ,  $\mathcal{O}_M$  has  $P$   $\Rightarrow$  need descent theory

For  $M, M'$  moduli: For  $M, M'$  of sheaves not!  $\Rightarrow$  Using etale base change  $\Rightarrow$  weaker also to kill the automorphisms (Conversely, if weaker representable, stronger)

s.t.  $S_i|_{U_i \times_X U_j} = S_j|_{U_i \times_X U_j}$

The equivalence " $\sim$ " is  $(U_i \rightarrow X), (S_i) \sim (U'_i \rightarrow X), (S'_i)$  when  $S_i|_{U_i \times_X U_j} = S'_j|_{U_i \times_X U_j}$

## II. Descent

$\text{Sch}$  is pasted by modification of affine representable functors:  $\text{Sch} \dashv \text{Set}^{\text{op}}$  in  $\text{Sch}_{\text{et}}$

$\rightsquigarrow$  in  $\text{Sch}_{\text{et}}$   $\Rightarrow$  Algebraic space category

Equivalently,  $X \cong Y/\mathcal{O}_X$  is algebraic space,  $Y$  scheme,  $\mathcal{O}_X$  relation  $\mathcal{O}_X \subset Y \times_Y Y$  is etale on  $\mathcal{O}_X$

$\rightsquigarrow$   $\dim \leq 2$ , proper algebraic space  $\Rightarrow Y$  scheme  
(Hironaka)  $\exists$  3-fold algebraic space not a scheme

E.g. Scheme is

stack over  $\text{Sch}$  category

$X: \text{Sch} \rightarrow \text{Set}^{\text{op}} \Rightarrow (X_U \rightarrow \text{Sch}) \text{ ST}$

$(X(T)) = \{ T \rightarrow X \} = \text{Mor}(T, X)$

(Morphism of  $\text{CFT}$ )

Def. A functor  $\mathcal{C} \rightarrow \mathcal{C}'$  over  $\mathcal{I}$  is strictly due to automorphism

Def. (Diagram 2-morphism of  $\text{CFT}$ ) We use this for pullback

A natural transformation  $\phi: \mathcal{C} \rightarrow \mathcal{C}'$  over  $\mathcal{I}$  strictly

Def. (Stack) Above these "restrictions" is pullback omitted

A stack is prestack  $\mathcal{C} \rightarrow \mathcal{B}$  over  $\mathcal{B}$  as site (Glue object)

s.t. for  $\forall X \in \mathcal{B}$ ,  $\forall f_i: U_i \rightarrow X \in \text{Cov}(X)$  as over  $\mathcal{I}$ , a glueing

(Glue morphism)  $\forall a, b \in \mathcal{C}$  over  $X \in \mathcal{B}$ , and  $f_i: U_i \rightarrow X$  s.t.  $a \circ f_i = b \circ f_i$  on  $\text{Mor}(f_i(b, T) | T \in \mathcal{B}, b \in \mathcal{C}(T))$

s.t.  $\psi_{1,1} = \psi_{1,2}, (\psi_{1,1} = \psi_{1,2}) \Rightarrow \psi_{1,1} = \psi_{1,2}$ ,  $\psi_{1,1} = \psi_{1,2}$  is a morphism  $(b, T) \rightarrow (b', T')$

$\text{Mg}(X) \rightarrow \prod \text{Mg}(U_i)$  (Gluing also not holds)  $\Rightarrow$  it's not desired but is trivial,  $\nRightarrow$  globally trivial. If use sheafification, due to  $\text{Sh}(\mathcal{C}) \rightarrow \text{Set}^{\text{op}}$  (locally trivial) we lose  $\text{Mg}(X) \rightarrow X$  (genus)  $\cong$  much  $\Rightarrow \text{Mg}$  not sheaf on  $\text{Sch}_{\text{et}}$ ,  $\text{Mg}(X) \rightarrow X$  (genus)  $\cong$  locally

We need memorize more by groupoid (with automorphism)  
 $\mathcal{M}: \text{Sch} \rightarrow \text{groupoid}$  (Force to be isomorphism, we keep track:  
 $x \mapsto (f: g \rightarrow \mathcal{G}, \text{Aut}(f))$ )  $\cong$  on all isomorphisms, thus we need all diagram = stack

Def. (Category fibred in groupoids) (CFG / prestack) (Generalisation of presheaf)  
 $(\mathcal{C}, F: \mathcal{C} \rightarrow \mathcal{B})$  is a CFG over  $\mathcal{B}$

if (i) (Existence of pullback)  $\square \rightarrow b \vdash \mathcal{C} \in \mathcal{B}$   
(ii) (Universal property of pullback)  $\square \rightarrow T \in \mathcal{B}$

$b \rightarrow c \rightarrow e \in \mathcal{C}$   
 $\square \rightarrow f: g \rightarrow \mathcal{C}$   
 $\square \rightarrow f \circ g \in \mathcal{C}$   
 $\square \rightarrow f \circ g = e$   
compatible (one may use higher category to rewrite).

Def. Now  $\mathcal{C} \rightarrow \mathcal{B}$  (CFG),  $T \in \mathcal{B}$   
 $\star \mathcal{C}(T) = \{ \text{over } T \text{ objects} \}$  over  $T$ 's morphisms

is category, called fibred category (check)

E.g.  $\text{Mg}: \text{Sch} \rightarrow \text{Set}^{\text{op}}$  consider a fibred category [it's a groupoid]  
 $\text{Mg} \dashv \text{Sch}$  (CFG),  $\text{Mg}(\mathcal{B}) = \{ f: g \rightarrow \mathcal{B} \mid \text{family of smooth curve genus } g \}$

It forgets the automorphism if you compare  $\text{Mg}: \mathcal{B} \rightarrow \text{Mg}(\mathcal{B})$  (e.g. Presheaf is CFG:  $F: \mathcal{C} \rightarrow \text{Set}^{\text{op}}$ ) (distinguish the notions)

then  $\mathcal{C}F \rightarrow \mathcal{B}$  is CFG (Remember this "correspondence", it's the idea of we construct morphism on 1)

precisely,  $\mathcal{C}F \rightarrow \mathcal{B}$  (Notice that  $F$  is contravariant!) (thus  $b \in \mathcal{C}(T)$  is over  $T$ :  $b \rightarrow F(T)$ )

by defining  $\mathcal{C}F(T) = \{ b \in \mathcal{C}(T) \mid b \rightarrow F(T) \}$

morphism on 1 both sides:  $\text{Functor}(\text{sheaf}) \dashv \dashv \mathcal{C}$

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4. Quotient stack:  $\forall S \in \text{Sch}$  and  $G$  is a group object of  $\text{Sch}$ , action  $G \times X \rightarrow X$ , finite type map

$\exists P$ , A (principal)  $G$ -bundle over  $S$  is  $P \rightarrow S$ , s.t. Ref.  $[X/G]$  the quotient stack over  $S$ .

$\exists S'$  fibred over  $S$ , s.t.  $P \times S'$  has fibre  $G \times S' / G \cong P$  fibrewise, the fibred structure is  $[X/G](S) = [P \text{ segment by } X]$  naturally.

Thm.  $[X/G]$  is algebraic stack.

I. ①  $[X/G]$  is stack (check)

2) Representation map:  $X \xrightarrow{\quad} [X/G]$  smooth by

$F(S): X(S) \rightarrow [X/G](S)$

$P(S) \xrightarrow{\quad} X(S) \xrightarrow{\quad} [X/G](S)$

$P(S) \xrightarrow{\quad} X(S) \xrightarrow{\quad} [X/G](S)$   
trivial proj

Representable thm) Thm.  $X$  algebraic space, ②  $\Rightarrow$  ①

PF. (Descent) Check  $X \times_{X \times X} T$  is scheme  $=: Y$

$Y$  is a sheaf over  $S$ , it is clear. Prop  $\Rightarrow$  scheme

Consider  $\square$   $U \times U$

① étale  $\square$  étale  $\xrightarrow{X \times X} S \rightarrow T$  étale, say, schemes

②  $Y \rightarrow \square$  ③  $T \times_{X \times X}(U \times U) \rightarrow U \times U$  if  $F \times_T S$  is scheme  $\Rightarrow F$  is scheme

$\square$  étale  $\square$  étale  $T$  scheme  $\Rightarrow T \times_{X \times X}(U \times U)$  scheme

④  $Y \times_T T \times_{X \times X}(U \times U) \rightarrow T \times_{X \times X}(U \times U)$  by the Recall, it suffices show that:

$\square$  étale  $Y = Y \times_T T \times_{X \times X}(U \times U)$  is a scheme

$\square$  étale  $= (X \times_{X \times X} U) \times_T (T \times_{X \times X}(U \times U)) = X \times_{X \times X} (U \times U)$

A point is  $\#$ : Spec  $k \rightarrow X$  in  $X$  stack is a fibre category  $\mathcal{A} \rightarrow \text{Sch}$

Def. Automorphism group/stabilizer of  $x$ :  $\text{Aut}_{\mathcal{A}}(x, x) := \text{Isom}_{\mathcal{A}}(x, x)$  only depend on Spec  $k$  (point) and  $\Delta = \#_{X \times_{X \times X} \text{Spec } k}$ .

Taking local neighborhood of  $x$ ,  $[X/G] \Rightarrow \text{Aut}_{[X/G]}(x, x)$  the stabilizer.

Bks,  $\text{Aut}_{[X/G]}(x, x)$  is scheme/algebraic space if  $\Delta$  is, i.e.  $\#$  is D-M/Artin.

Thm. TFAE ①  $\#$  is DM; ②  $\text{Aut}_{[X/G]}(x, x)$  finite, reduced,  $\forall k$

③  $\Delta$  unramified (Algebraicity assumed)

Thm. TFAE ①  $\#$  is algebraic space; ②  $\Delta$  is monomorphism (Algebraicity assumed)

Using A, we have closed immersion:  $\#$  scheme

(without check the representability) immersion: prescheme  
monomorphism: algebraic space  
unramified: D-M stack

We show  $M_g$  is D-M Step 1.  $M_g$  is algebraic (hard)

(In general holds for) Step 2.  $M_g$  is D-M (easy)

polarized varieties Step 1.

Idea:  $M_g$  is quotient stack.  $\mathcal{O}_{M_g}$  very ample  $\Rightarrow$   $\mathcal{O}_{M_g}$  vector bundle, we embedding  $D \hookrightarrow \mathbb{P}(\mathcal{O}_{M_g})$ , then we use Hilbert scheme to depict it.

each fibre is  $\mathbb{P}H^0(D_S, (\mathcal{O}_{M_g})^{\oplus 3})$  dim deg  $(\mathcal{O}_{M_g})^{\oplus 3} + 1 - g = 6g - 6 + g = 5g - 6$   
 $\Rightarrow D_S \hookrightarrow \mathbb{P}^{5g-6}$  we R.R.

and  $P(n) = \#(C_n) = 6n(g-1) + 1 - g = 6n-1(g-1)$ , let  $\mathcal{O}_D = \mathbb{P}H^0(D, \mathcal{O}_D^{\oplus 6})$

$PGL(5g-5) \supset \mathcal{O}_D$  by coordinate change.  $M_g \subset \mathcal{O}_D$  due to  $M_g$  only smooth and connected loci are open

Claim.  $M_g \subset \mathbb{P}^1/PGL(5g-5)$   $\Rightarrow$  Claim!  $M_g \cong [\mathcal{O}_D / PGL(5g-5)]$  (almost done now)

E.g. let  $S = \text{Spec } k$  naively.  $\square$  principal fibration

$\Rightarrow P = \mathbb{P}(\mathcal{O}_{M_g})$   $\square$  principal fibration

and act of  $G \times X \rightarrow X$ , fix  $\lambda \in X$  in  $P$

gives  $G \rightarrow X$   $G$   $\square$  equivariant  $\Rightarrow \{G \rightarrow X\}$

$\square$   $X/G$  traditional

$\square$   $X/G$  categorical quotient

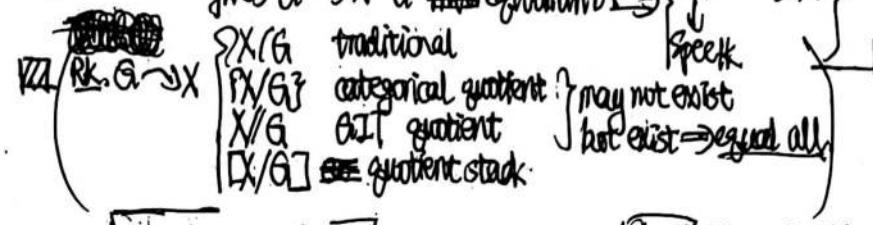
$\square$   $X/G$  GIT quotient

$\square$   $X/G$  quotient stack

$\square$   $X/G$  Spec

$\square$   $X/G$  may not exist

$\square$   $X/G$  hot exist  $\Rightarrow$  equal all



PF. (Conclusion)  $\square$  (Right)

$\square$   $U \rightarrow T$



Universal deformation of schemes (Kodaira-Spencer map), more concrete. E.g. (Kodaira-Spencer map) A family of manifold over  $\mathbb{A}^1$ .  
 Setting,  $X \supset X_0$   $\Delta$  is scheme (E.g. Spec of Artinian local  $\mathbb{k}$ -algebra).  $X$  smooth naturally and satisfy flat + finite type.  
 Observation - When  $B$  is simply connected  
 $B \Rightarrow \mathbb{A}^1 \times B \times \mathbb{A}^n$  as manifold.

$\pi$  is flat, locally finite type.

Motivated, then we have:

Thm. (1)  $X_0$  smooth affine, then its deformation is locally trivial.

Pf. def:  $X \rightarrow \text{Spec } \mathbb{A}^1$  1st-order deformation is trivial,

i.e.  $X \cong X_0 \times \text{Spec } \mathbb{A}^1$

(2)  $\text{Def}^1(X_0) = H^1(X_0, T_{X_0})$  when  $X_0$  smooth. K-S map the proof by the computation and Analytically use.

Pf. (1) By II Ha II 8.8, Ex 8.7-Ex 8.8 infinitesimal lifting solving differential equations and compare with  $B, 0$ .

$X_0 \rightarrow X \supset X_0$  exist  $\Leftrightarrow$  smooth  $\Leftrightarrow$   $\psi: X \rightarrow X_0 \times \mathbb{A}^1$  isomorphism (corresponding to  $B, 0$ ).

Spec  $\mathbb{A}^1 \rightarrow \text{Spec } \mathbb{A}^1$  extend  $\psi|_{X_0} = \text{id}$ . (the datum  $(\phi_{ij})$ )

By affine,  $X, X_0 \times \mathbb{A}^1$  are  $\mathbb{A}^1$ -module.

by quotient maximal, we turn to  $X_0 \Rightarrow \text{id}$  isomorphism

$\Rightarrow \psi$  isomorphism, by the following Lemma. (1)

Lemma:  $M \rightarrow N$  over  $A$  Artinian,  $\frac{M}{N} \cong \frac{A}{N} \Rightarrow M \cong N$

Pf. Take the Kernel/Cokernel,  $B \Rightarrow \frac{B}{mB} = 0 \Rightarrow B = mB = m^2B = \dots$  by Artinian  $\Rightarrow B = 0$

(2) By (1) We cover  $X_0$  by  $\{U_i\}$  locally trivialized:  $(U_i \xrightarrow{\text{affine}} U_i \times \mathbb{A}^1)_{(2)}$ .

assume  $U_i = U_j \cap U_k$  also affine (smooth, affine, separated) and  $\phi_{ij}$  defined.

Then  $(\phi_{ij})_j \in \text{Hom}(U_j, T_{U_j})$  satisfy cocycle condition. Then by Cech cohomology (with affine cover  $\cong$  sheaf cohomology)

$\Rightarrow (\phi_{ij})_j \in H^1(X_0, T_{X_0}) = H^1(X_0, T_{X_0})$  thus  $\text{Def}^1(X_0) \cong H^1(X_0, T_{X_0})$

Following (1)-(2) we can  $\cong H^1(X_0, T_{X_0}) \cong H^1(X_0, T_{X_0})$

prove (1) in versal case, and 1st-order deformation determines universal deformation in scheme.

Obstruction theory asks about this: (1)  $\text{Def}^1(X_0) \neq \emptyset$ ?; (2)  $\forall \alpha \in \text{Def}^1(X_0)$ ,  $\exists \alpha' \in \text{Def}^1(X_0)$  not manifold: polynomial is more rigid

to  $\alpha' \in \text{Def}^1$ ?; (3)  $\lim_{n \rightarrow \infty}$  lifts to formal deformation?; (4) Can we algebraization the formal deformation?

Always: We set  $X_0$  smooth, we have singularity case also;

Usually (2) suffices do for  $n=2$  case due to obstruction lies in  $\text{Obs}(X_0) \subset H^1(X_0, T_{X_0})$  the obstruction space (but not all elements are)

Embedding deformation.  $X \subset Y \times B$  affine,  $X_0 \subset Y$ , the formal 1st-order ... similarly defined.

$X_0 \subset X \subset Y \times B$  E.g.,  $H = \text{Aff}(P^1, P^1)$ , its embedding of  $Y \subset P^1$

$\downarrow$  then by deformation is tangent to moduli

$T_{X_0, 0} \cong \text{Def}(X_0 \hookrightarrow P^1)$

Thm.  $\text{Def}^1(X_0 \hookrightarrow Y) \cong H^1(X_0, N_{X_0/Y})$

Pf. By LFS of  $N_{X_0/Y}$  and  $T_{X_0}$  bundle,  $\text{Def}^1(X_0 \hookrightarrow Y) \cong H^1(X_0, N_{X_0/Y})$

$\text{Def}^1(X_0 \hookrightarrow Y) \cong H^1(X_0, N_{X_0/Y})$  when locally free

Geometry of algebraic stacks  $X \rightarrow S$  algebraic

D Topology (2) Geometry (3)  $f: X \rightarrow S$  the coarse/ good moduli.

E.g.,  $M_g$  (fine) projective

and  $M_g$  both smooth, irreducible (can we pass from  $S$  to  $M_g(S)$ )

separated D-M stacks;  $f$  from  $S$  to  $M_g(S)$

and  $M_g$  admit coarse moduli space (for fans variety) (may not, only good moduli)

not smooth

Then deformation of complex manifold  $\Leftrightarrow$  deformation of complex structure is the Teichmüller space.

E.g. The Riemannian surfaces have clear topology, but adding complex structure isn't such easy.

$\rightarrow T_{X_0} \rightarrow T_{X_0/X_0} \rightarrow H^1(T_B)|_{X_0} \rightarrow$  the LFS  $\Rightarrow$

$\rightarrow \text{Def}^1(X_0) \cong H^1(T_B)|_{X_0} \rightarrow H^1(X_0, T_{X_0})$  the K-S map.

Let  $B$  enough large: universal / mini-versal deformation, we expect that  $\phi$  is isomorphism (coordinate dimension same)

$T_{B, 0} = \text{Hom}(X_0, B) \cong \text{Def}^1(X_0)$

(And versal may have "double or more cover" to  $H^1(X_0, T_{X_0})$   $T_{B, 0}$  more large. We use this to depict).

In each  $U_{ij}$ ,  $\phi_{ij}|_{U_{ij}}$  1-1 corresponds to  $\phi_{ij}: \frac{R_{ij}}{I_{ij}^2} \rightarrow \frac{R_{ij}}{I_{ij}^2}$  and  $\phi_{ij}|_{U_{ij}} = \text{id}$  set  $\phi_{ij}(x+y) = x + \varepsilon(I_{ij})y$  (claim,  $\phi$  is derivative (converse is same))

By let  $y=0$   $\phi_{ij}(x_{ij}) = x_{ij} + \varepsilon(I_{ij})x_{ij}$

$\phi_{ij}(x_{ij}) \cdot \phi_{jk}(x_{jk}) = (x_{ij} + \varepsilon(I_{ij})x_{ij})(x_{jk} + \varepsilon(I_{jk})x_{jk})$

prove (1) in versal case, and 1st-order deformation determines universal deformation in scheme.

Obstruction theory asks about this: (1)  $\text{Def}^1(X_0) \neq \emptyset$ ?; (2)  $\forall \alpha \in \text{Def}^1(X_0)$ ,  $\exists \alpha' \in \text{Def}^1(X_0)$  not manifold: polynomial is more rigid

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$\text{Def}^1(X_0 \hookrightarrow Y) \cong H^1(X_0, N_{X_0/Y})$  when locally free

E.g.,  $X_0 \subset Y$  is surface in  $P^3$ ,  $X_0$  is smooth rational curve on  $Y$

(By Mori's work, exist)  $N_{X_0/Y} = \mathcal{O}(C-2)$  by counting the 1st-Chern class!

and  $N_{X_0/Y}$  is line bundle  $\Rightarrow N_{X_0/Y}$  is just its 1st-Chern class:

$\rightarrow H^0(P^1, \mathcal{O}(-2)) = 0$  by  $X_0 \cong P^1$

$\rightarrow T_{X_0, 0} \rightarrow N_{X_0/Y} \rightarrow 0 \Rightarrow X_0$  can't deform in  $Y$

Furthermore, when  $X_0$  not smooth, normalization  $\rightarrow P^1$   $\rightarrow X_0$  (collect all maps)

Here,  $P^1$  deform to itself, thus deform  $Y$ , i.e. deform the embedding

$P^1 \rightarrow Y$  (it's the usual way to deform morphism)  $\Rightarrow$  contradiction  $\Rightarrow$  can't deform.

Thm.  $\text{Def}^1(f) \cong H^1(P^1, f^*T_Y) \cong$  tangent space of  $\text{Ker } f$  moduli space

$M_g$  or  $Mg$  smooth at  $[C] \iff 3g-3 = \dim T_{[C]}(Mg) = \dim H^1(C, T_C)$ , but  $Mg(\mathbb{K})/Mg(\mathbb{H})$  not  $(3g-3)$

For quotient stack,  $\mathbb{A}^1$  smooth  $\iff \mathbb{A}^1(\mathbb{H})$  smooth  $\iff$  action is free.

Symmetry of  $Mg$   $\Rightarrow$  universal properties of curves  $\circlearrowleft$ .

- ②  $\hookrightarrow$  substacks of  $Mg$   $\Rightarrow$  universal properties of special curves (e.g., hyperelliptic, Hurwitz...)
- ③  $\hookrightarrow$  Local properties  $\Rightarrow$  deformation space or limit process  
(Smoothness, dimension) (properness/compact).

$Mg$ :  $\dim 3g-3$   
smooth

irreducible. It's a highly nontrivial in general; esp.  $\dim \mathbb{A}^1$ , the singularity  
quasi-projective, separated  $\Rightarrow$  (can't be dominated). E.g., (Birkar) He dominates the finite type  
proper  $X \rightarrow Mg$ . Compactification (taking limit and take closure, singularity in Fano case)

Turn back to general  $\square$  Fortunately,  $Mg$  can be depicted concrete.  $\mathbb{A}^1 \rightarrow Mg$  with  $\mathcal{D}$   
setting:

Def.  $F: X \rightarrow \mathcal{Y}$  has  $\mathcal{D}$  ifff representable by schemes  $U$  and  $X \rightarrow \mathcal{Y}$

Def.  $\mathcal{D}$  is étale/smooth local,  $\mathcal{G}$  DM/Artin stack. then  $X$  has  $\mathcal{D}$  if a presentation  $U \rightarrow \mathcal{G}$  in  $Mg(\mathbb{K})$ , and using Kodaira  
The étale/smooth local ensures it not depends on the choice of  $U: U \times \mathcal{G}^l$  and covering  $U$  bundle on  $Mg/\mathbb{Z}$

Def.  $|X|$  the underlying topological space  $|X| = \{ \text{Spec } k \rightarrow X \} \cong \{\text{Spec } k_i \rightarrow X\} \cong \{\text{Spec } k_2 \rightarrow X\}$  ifff  $\exists K/k_1, k_2, s.t.$   
with topology  $\{U \subset |X| \mid U \subset X \text{ open substack}\}$

We call it irreducible, connected, quasi-compact iff  $|X|$  has;

$Mg$  irreducible by back to the Hurwitz space, all bases are fibres irreducible,  $Mg = [W/PGL(3g-5)]$  connected  $\Rightarrow$  Spec  $k$   
Other way:  $Mg = [T_g/\text{mapping class group}]$ ,  $T_g$  is Teichmüller space over  $\Sigma_g$ , but connected over  $\mathbb{C}$

$Mg \cong Mg, \&$  (diffeomorphisms)

Observation:  $Mg, \mathbb{Z}$  has smallest point  
 $Mg, \mathbb{F}_p \pmod p$  Using different bases  $\mathbb{F}_p$ , we  
can't derive topological properties

Def.  $x \in |X|$ , the local dimension  $\dim_x X = \dim_U(U, U \rightarrow X)$  étale  
presentation,  $U =$  the preimage.  $\dim_x X = \dim_U(U, U \rightarrow X)$   
Def. For smooth presentation, — the dimension of fibre."

E.g.,  $\mathcal{X} = [W/G]$ ,  $G$  affine smooth,  $U$  irreducible scheme  
 $\dim \mathcal{X} = \dim \mathcal{X} - \dim U - \dim G \in \mathbb{Z} \cup \{2, 0\}$

Negative case:  $[P^n = \{pt/PGL(n+1)\}], \dim M_0 = -3$

For turn to positive, one can  $M_0, n = f(P^n, x_1, \dots, x_n) \in \mathbb{P}^{n^2-1}$   
 $\Rightarrow \dim M_0, n = n-3$  ( $n \geq 3$ ).

Condition  $M_0, n=0 \Rightarrow \forall x_1, x_2 \in P^1$  can be coordinate change E.g.,  $\mathcal{X}(S) \subset Mg(S) \Rightarrow \dim \mathcal{X}, S = \dim \mathcal{X}$

Def.  $f: X \rightarrow \mathcal{Y}$  over  $S$ .

① Universally closed:  $\forall Y' \rightarrow \mathcal{Y}$ , s.t.  $X \times_{\mathcal{Y}} Y' \rightarrow Y'$  closed

② Separateness:  $X \rightarrow \mathcal{Y}$  s.t.  $X \times_{\mathcal{Y}} Y' \rightarrow Y'$  closed  
is always proper,  $f$  separated if  $X \rightarrow \mathcal{Y} \times_{\mathcal{Y}} \mathcal{Y}'$  proper

③ When  $X \rightarrow \mathcal{Y}$  representable,  $\forall T \rightarrow \mathcal{Y}$ ,  $X \rightarrow \mathcal{Y}$  proper iff:  
 $X \times_T T \rightarrow T$  proper as  $S$  d.f.

④ Properness = separated + universally closed + finite type.

When representable  $\mathcal{D} = \mathcal{A}$

Whitney criterion

Thm.  $f: X \rightarrow \mathcal{Y}$  quasi-compact & locally Noetherian, and  $f$  representable

①  $f$  is universally closed  $\iff \exists$  lifting

②  $f$  is separated  $\iff$  ! lifting (if exists)

③  $f$  is proper  $\iff \exists$  ! lifting.

Different from scheme, we adding a extension of DVR to realize the automorphism obstruction:  $\text{Spec } K' \rightarrow \text{Spec } K \rightarrow \mathcal{Y}$   
 $R \rightarrow R'$  extension,  $K' = (\text{frac}(R')/R)$  is finite transcendental

DVR is a infinitesimal,  $\mapsto$  a point and an arrow, and  $\text{Spec } K \rightarrow \text{Spec } R'$ , analogously it's the disks

$\Delta \rightarrow \Delta \otimes_R K' \rightarrow \Delta \otimes_R K'$ , thus the commutative is  $K' \cong R = \text{Spec } R'$

E.g. (Mordell conjecture)

- ① Naively  $G/K$  number field  
 $g(C) \geq 1 \Rightarrow |\mu(K)| < \infty$   
(Faltings)  $K$ -rational pair
- ② (Uniform Modell object)  
 $\forall C \in Mg(K), |C(K)| \leq N$   
 $N = N(g, K)$  not depends on

- ① done by counting heights  
in  $C$ ,  $N$  depends on
- ② done by counting heights

(Hubconnected,  $\text{Spec } K \rightarrow \text{Spec } k_i \rightarrow X$ )

Def.  $x \in |X|$ , the local dimension  $\dim_x X = \dim_U(U, U \rightarrow X)$  étale  
presentation,  $U =$  the preimage.  $\dim_x X = \dim_U(U, U \rightarrow X)$   
Def. For smooth presentation, — the dimension of fibre."

Def. (The Zariski tangent space)  $X: \text{Spec } k \rightarrow \mathcal{X} \Rightarrow T_k X = \text{Spec } k$

the  $\cong$  is natural equivalence of graphs.

Observation:  $T_k X \cong \text{Def}^1(X, k)$ ,  $\dim_k X = \dim_{\mathbb{C}} \text{Def}^1(X)$

③ By ②  $\Rightarrow \dim T_{k,X} \overset{\text{restrict}}{\geq} \dim_{\mathbb{C}} X$ , take equality iff smooth/regu-

$(\text{Def}^1(X, k)) = \text{Ob}^1(X, k) \cong \text{Def}^1(X)$

$\overset{\text{smooth}}{\cong}$   $\overset{\text{H}^1(Y, T_Y)}{\cong}$ . Thus the vanishing of tangent bundle  $\Rightarrow$  smooth

E.g.,  $Mg$ ,  $\dim T_{k,X} = h^1(C, T_C) = h^0(T_C) - h^0(T_C) = -X(T_C)$

$= -\text{deg}(T_C) + 1 - g = 3g-3$ , and  $H^0(C, T_C) = 0 \Rightarrow \dim Mg = 3$

On the other hand  $\dim Mg = \dim W - \dim \text{Def}^1(X, \mathbb{C})$

$\dim_{\mathbb{C}} W = \text{Def}^1(W) = h^1(C, N_{W/\mathbb{C}}) = h^1(C, N_{W/\mathbb{C}}) + X(T_W)$

Using Euler sequence to compute:  $\text{Ob}^1(W) = H^1(T_W)$

$\Rightarrow H^1(T_W) \rightarrow H^1(N_{W/\mathbb{C}}) \rightarrow 0 : \text{Ob}^1(W)$

By  $0 \rightarrow \mathcal{O}_{W'} \rightarrow \mathcal{O}_{W'}^{\oplus N+1} \rightarrow T_{W'} \rightarrow 0$  restrict to  $C$

$\text{Hilb}^N(C) \cong H^1(C, N_{W/\mathbb{C}}) \cong H^1(C, T_W) \rightarrow 0$

$\Rightarrow 0$

Then at last computation we have also  $3g-3$   $\square$

$\text{Spec } K' \rightarrow \text{Spec } K \rightarrow \mathcal{Y}$

$\text{Spec } R' \rightarrow \text{Spec } R \rightarrow \mathcal{Y}$

掃描全能王 創建

Consider separatedness of  $M_g$ :  $C \hookrightarrow B \hookrightarrow C'$   $\Rightarrow$   $C \cong C'$  smooth (projective) surfaces

In general, similarly,

Given two families of regular polarized schemes  $X_1, X_2$ ,  $(X_1, \mathcal{L}_1), (X_2, \mathcal{L}_2)$

$$\mathcal{L} = \text{free}(R)$$

and  $\mathcal{L}_1, \mathcal{L}_2$  are relatively ample (fibrewise).

$X_1 \text{ Spec } \mathcal{L}_1 \cong (X_2, \mathcal{L}_2) \text{ Spec } \mathcal{L}_2 \Rightarrow (X_1, \mathcal{L}_1) \cong (X_2, \mathcal{L}_2)$  extend it.

except:  $X_1 \text{ Spec } \mathcal{L}_1 \text{ failed! } (X_1 \not\cong P^1 \times Y)$  (Matsusaka-Mumford)

Con. When canonically polarized, the thm holds, in particular  $M_g$  holds

(K is polarization)

The right example shows when not scheme, it not holds.

E.g.,  $M_g$  not proper.

family  $C \subset \text{Hilb}(P^4) \times P^1$ ; s.t.  $C|_B$  is relatively smooth

but  $C|_{B \times B}$  not.

Claim:  $C|_{B \times B}$  consists of stable curves

node

$$\text{Aut}(C) \subset \infty$$

And stable curves' family has "uniqueness":

$\exists C' \text{ s.t. } C'|_B \cong C \Rightarrow C \subset C' \Rightarrow C \cong C'$  good (one blowup)

$B$  smooth

$$\mathbb{P}^1 \supset B \subset C$$

i.e.,  $C_B$  is separated (easy)

This advises

is the node is

good (one blowup)

so it's unique

and  $C|_B$  is SNC (Simple Normal Crossing)

Here we need  $\dim \text{Aut}(C) = 0$  ( $P^1$  open)

$\text{Spec } \mathcal{L}$

$\text{Spec } \mathcal{L}'$

$\text{Spec } \mathcal{L}''$

$\text{Spec } \mathcal{L}'''$

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$\text{Spec } \mathcal{L}^{(n+168)}$



Projectivity:  $\text{Def}(\text{Coarse moduli}) \quad X \rightarrow \text{Sch. algebraic stack}$ , the coarse moduli of  $X$  is a morphism  $\pi: X \rightarrow \text{X algebraic space}$   
 s.t. ①  $\pi: X \rightarrow |X|$  homeomorphism, i.e.  $X(R) \cong |X|(R)$  as set; ②  $\pi$  is universal (smooth):  $X \rightarrow Y$  (at most all time, it's a scheme)  
Thm (Keel-Mori):  $X \rightarrow \text{Sch}/S$  separated DM-stack finite-type for  $Y$  algebraic space  
 S Noetherian, admits  $\pi: X \rightarrow$  coarse moduli space, s.t.  
 ①  $X$  separated over  $S$  and  $\pi_X(X_S = \{0\}) \cong D(S)$ . In stack, the fibre is disconnected:  $\pi_{X,S}(X_S = \{0\}) \cong D(S)$   
 ②  $\pi|_U: U \rightarrow |X|$  is proper homeomorphism; main thing is modified  
 ③ flat algebraic space base change  $X \rightarrow Y$  to this  
 $\Rightarrow \text{Ab}(X \times_{X'} Y) \rightarrow Y'$  is also coarse moduli space.

Thm,  $M_g$  admits a projective coarse moduli space  $M_g \rightarrow \overline{M}_g$

Pf. (Mumford's way) We use the GIT,  $G$  reductive,  $Y$  projective over  $\mathbb{K}$

6.  $\exists Y$  proper,  $Y = \text{Proj}(\oplus H^0(Y, \mathcal{O}_Y^{\oplus m}))$  is a  $G$ -linearization (i.e.  $Y \hookrightarrow \text{mod } G$ )  
 then  $I[Y/G] \rightarrow Y/G \cong \text{Gr}^G(Y, \mathcal{O}_Y^{\oplus m})$  (must!)

is coarse moduli space/good quotient.

The proof is by: ① Reduce to affine  $Y = \text{Spec } R$ ,  $I[Y/G] \rightarrow Y/G = \text{Spec}(R^G)$

② Check  $R^G$  or  $\oplus H^0(Y, \mathcal{O}_Y^{\oplus m})^G$  finite generated (hard!).

③ Then trivial  $\square$

Then we expect to use it:  $U = \text{Hilb}(\mathbb{P}^n)^{\text{ss}}$  and  $M_g = U/\text{PGL}$

where  $C \hookrightarrow \text{Hilb}(\mathbb{P}^n) = \mathbb{P}^n$  (Hilb scheme carries  $(\mathcal{O}(1))$  naturally linearization)  $\rightsquigarrow$

(Gieseker)  $m \geq 5$  case the upper holds  $\square$

However GIT's way has disadvantage: in higher dimension it's useless!

①  $\mathbb{P}^n$  hard to depict; ② May not a quotient stack.

(In higher dimension, Donaldson's work in differential geometry is best.)

Pf. of Keller (Continue) Consider  $\text{Pic}(\overline{M}_g)$ , we have  $\text{Pic}(\overline{M}_g) \otimes \mathbb{Q} \cong \text{Pic}(M_g) \otimes \mathbb{Q}$

Let  $f: \mathbb{C}^m \rightarrow \overline{M}_g$  the universal family.  $\text{rank}(\text{Pic}(\overline{M}_g)) = 1 + \lfloor \frac{m}{2} \rfloor$

then  $V_m = f^*(\mathcal{O}_{\overline{M}_g}(1))$ , take  $\det(V_m) = Q(V_m) \in \text{Pic}(\overline{M}_g)$ .  $\Rightarrow$  It gives a line bundle on  $\overline{M}_g$  (descends). I claim: It's ample for  $m$  large

We use the criteria ①  $\det(V_m) = \mathcal{O}_{\overline{M}_g}(1)$   
 to show ampleness. (after a power  $V_m^{\otimes n}$ ,  $n$  large).

Check ②:  $Z \subset \mathbb{C}^m \setminus \overline{M}_g$ ,  $\mathbb{C}^m \setminus Z \Rightarrow \det(V_m)|_Z = \det(f^*(\mathcal{O}_{\overline{M}_g}(1))|_Z)$

Now we define  $\lambda = \det(V_m|_{\mathbb{C}^m \setminus \overline{M}_g})$  for simplicity and  $N_Z$

Step 1.  $\lambda$  is nef,  $\lambda|_{\text{curve}} \geq 0$ , denote the curve  $B$

Step 2. Kollar's criterion: nef + little big  $\Rightarrow$  big.

Then by the numerical criterion  $\Rightarrow \lambda|_B$  nef for all curves

$\Leftrightarrow$  ample  $\square$

Step 3. (Yeshwanth, Kollar) Symmetric  $\Leftrightarrow$   $\mathcal{O}$  locally free if  $E$  nef and classifying map  $\varphi: X \rightarrow \text{Gr}(g, n)/\text{PGL}(n)$

if  $E$  finite  $\Rightarrow E$  ample.

Setting  $E = f^*(\mathcal{O}_{\overline{M}_g}(1))$ ,  $\vartheta = \varphi(E)$  and  $\varphi_*: \text{Sym}^d E \rightarrow$

$\mathcal{Q} = \text{Gr}(g, n)/\text{PGL}(n)$  d large, s.t.  $\varphi$  is surjective

determined by degree d-equations Fibres of  $f: \mathbb{C}^m \rightarrow \overline{M}_g$  is (A Finite condition)

Rk. (Positivity):  $E$  ample  $\Leftrightarrow$   $E$  ample: finite;  $E$  nef  $\Leftrightarrow$   $E$  nef

do big  $\Leftrightarrow$  do big: generically finite proper mixed character/kind

(This implies its uniqueness  $X = \mathcal{F}(R)$ )  
 Rk. Existence of coarse moduli space is estate tool, thus only check the quotient stack  $U/G$ , and this case is trivial:  $[U/G](R) = [U(R)/G(R)] = [U(R)/G(R)] \square$

Pf. (Kollar's way) Studying the Chern class

line bundle: show  $\overline{M}_g$  admits an ample

line bundle ( $\overline{M}_g$  is proper by  $M_g$  is)

$G \rightarrow \text{PGL}$  linear representation which line bundle we choose?

Lemma. (Numerical criterion) of ampleness

①  $L$  proper algebraic space over  $\mathbb{K}$ ,  $L \in \text{GP}(C)$

②  $L$  ample; ③  $L$  nef, and  $\forall Z \subset X$  closed

$L|_Z$  big; ④  $L$  strictly nef,  $L^{\otimes m}|_Z$  effective for some  $m > 0$  (only compute top intersection)

Rk. Alternatively using Chow variety

$\overline{M}_g = I^V/\text{PGL}$ ,  $V = \text{Chow}(\mathbb{P}^n)^{\text{ss}}$

weaken to  $m \geq 4$

(Chow variety also  $\mathcal{O}(1)$ -linearization)

② Furthermore all of these are reducing to the stability condition, in general

$\overline{M}_g = \{ \text{space of polystable elements} \}$

Stable  $\Leftrightarrow$  semi-stable + destabil orbit

polystable  $\Leftrightarrow$  coarse moduli

By projection formula

i.  $\overline{M}_g \rightarrow M_g$   $\text{Tot}(T_{\overline{M}_g}) = \mathcal{O}(1)\overline{M}_g \otimes L \square$

$T_{\overline{M}_g} = L$   $\Rightarrow \overline{M}_g \otimes L = L$

Keel-Mori only check for it (② is hard to use)

ii. Assume  $B$  is smooth and  $g(B) \geq 2$ ; by blowing & branch covering

②  $X \rightarrow \mathbb{C}^m$   $X$  is minimal  $\Rightarrow$  smooth

By (i) curve exists  $\Rightarrow B' \rightarrow B$  in the fibration  $X \rightarrow B$   $N_B$  nef  $\Rightarrow N_B$  nef  $\square$

$X \rightarrow B$  then is the horizontal curve

proper surface genus 0 can't dominate

projective surface  $g(B) \geq 2 \Rightarrow$  contradiction  $\square$

③ Reduce to char  $\mathbb{K} = \mathbb{C} \neq 0$  (spread out and specialization)

By: let  $B$  over  $\mathbb{K}$ , then  $B$  is over a  $\mathbb{Z}$ -algebra  $R$  finitely generated

$B \rightarrow B'$   $X$  can be spread out to be  $X$  integral mod.

Spec  $R$  higher dimension

Then over  $\mathbb{R}$   $\Rightarrow$  moduli  $\phi$  (i.e.  $\phi \in \mathbb{Z}$  and mod  $\phi(R)$ )

$\Rightarrow$  special fibre  $X_p \rightarrow \text{Spec } \mathbb{R} \Rightarrow$  it's a base change and nef is stable  $\square$

Then we prove  $\mathcal{C} \rightarrow \mathbb{P}^1$  for  $\mathcal{C}/\mathbb{P}^1(\mathbb{C})$  has finite fibres (checked locally).  
# Fibre = # (degree - equations defining this curve)  $\leq \infty$   
The classifying (sections of  $\mathcal{C}/\mathbb{P}^1(\mathbb{C})$ ) is local coordinate map sends two points  $a, b \in \mathcal{C}$  in embedding to  $\mathbb{P}^1$  to same point  $\Leftrightarrow F_a \cong F_b$ , fibres in  $X \rightarrow \mathbb{P}^1$ .  
Precisely,  $2^k | I(A) \leq |\text{Stab}(V)| < \infty$   $\Rightarrow$  isomorphic  
Def.  $\mathcal{O}_d = \mathcal{O}_{\mathbb{P}^1} \otimes_{\mathcal{O}_{\mathbb{P}^1}} \mathcal{O}_{\mathbb{P}^1}(d)$ ,  $d=0, 1, \dots, g-1$ , for  $S_0$  it's  $\mathcal{O}_{\mathbb{P}^1}(d)$   
 $C \cong \mathbb{P}^1$  are fine the absolute & relative Frobenius.

$$\text{Pic}(\mathbb{P}^1) = \langle \lambda, \sigma \rangle \quad (\text{multiplicity } 2)$$

Thm. ①  $\text{Pic}(\mathbb{P}^1) = \text{Span}\{\lambda, \sigma\}$ ,  $\lambda = \sigma^2$  (Recall,  $\lambda = C(\sigma)$ )

② (Strengthen Kollar),  $\lambda - k\sigma$  is ample if  $k \geq g-1$  (later proven)

We prove  $m \geq 2$ , when  $m=1$ ,  $\lambda_1$  is nef & big but not ample

$\Rightarrow \mathbb{M}_g \subset \mathbb{M}_g$  quasi-projective.

Thm.  $\mathbb{M}_g \subset \mathbb{M}_g$  quasi-projective. Pf.

Then in analytic way, using Kodaira embedding  $\mathbb{M}_g \hookrightarrow \text{Proj}(\bigoplus_m H^0(\mathbb{M}_g, \omega_m))$

$\Rightarrow$  Quasi-projective  $\Rightarrow$   $\mathbb{M}_g$  compact by Hodge bundle

Here  $\lambda$  is nef & big and not ample if terms

but  $\lambda = \lambda_1$ .

$\mathbb{M}_g$  smaller than  $\mathbb{M}_g$  in boundary ( $\mathbb{M}_g - \mathbb{M}_g$  even can't form divisor), we have ①  $\mathbb{M}_g \xrightarrow{\text{BB}} \mathbb{M}_g$  birational  $\text{Pic}(\mathbb{M}_g) \cong \text{Pic}(\mathbb{M}_g)$

② is open for  $A_g$  (only topologically proven)

③ we concern  $\text{Pic}(\mathbb{M}_g)$  due to it determines all projective geometry

We study  $\mathbb{M}_g$  more precisely

① Canonical class;

② Ample divisors;

③ Birational model.

Smooth  $H^0(C, 2\omega_C) = H^0(C, T_C)$ ; and cotangent  $T_{\mathbb{M}_g}$  ~~here  $H^0(C, 2\omega_C)$~~   $\Rightarrow$  we define cotangent sheaf

⇒ clearly To compute  $T_{\mathbb{M}_g}(D, \otimes W)$  we use a base change through presentation

$\Rightarrow T_{\mathbb{M}_g}(D, \otimes W) = T_{\mathbb{M}_g}(D, \otimes W)$ , due to we concern  $G$ , let's assume  $\dim B = 1$

Smoothie-Riemann-Roch,  $\pi: X \rightarrow Y$  proper,  $X, Y$  smooth  $\Rightarrow \text{det}(E)(\mathbb{P}^1) = \text{det}(G(E) \otimes \text{det}(X))$

$\Rightarrow \text{det}(T_{\mathbb{M}_g}(D, \otimes W)) = \text{det}(D, \otimes W) \otimes \text{det}(X)$

$= \text{det}(H^0(D, \otimes W)) \otimes \text{det}(X)$

$= \text{det}(H^0$

all of these are quotient (by  $C \hookrightarrow \mathrm{Aut}(X)$  or larger) stack  $\Rightarrow$  D-M stacks. If larger  $\nearrow$  and then moduli  $\searrow$  (called  $M_{\mathrm{GIT}}$  moduli space curve) various compactifications (adding boundary) occurs  $M_g, M_{g,n}, M_{g,n}$  K-compactification all these compactification are  $M_g$  best.

Two ways: [II/6] not "good"  $\rightarrow$  Kollar's way; [II/6]'s coarse moduli, turn back to classical birational geometry.

Classical GIT start

$M(K)$ 's geometry, Good moduli way

DM can be Abelian, generalising quotient  $\Rightarrow$  Norden GIT (one by classical)

E.g.  $M = \text{moduli of Fano varieties (anticanonically (KSPV) done the moduli of canonically polarized variety (e.g. } M_g \text{ General type).}$

polarized  $\Leftrightarrow -K_X$  ample)  $\Rightarrow \mathrm{Aut}(X)$  can be

E.g.  $X = \mathrm{Bl}_{\mathrm{pt}}(\mathbb{P}^3)$ ,  $\mathrm{Aut}(X) \cong \mathrm{PGL}(3)$  fix this pt?

$\Rightarrow \mathrm{Aut} = \mathrm{PGL}(3)/\text{stabilizer infinite}$   
and  $X$  is Fano with volume  $K_X^3 = 8$

let  $M_{K^3=8}$  isn't DM

E.g. Let  $M = \text{moduli of K-semi-stable Fano varieties with some numerical datum } f$

(See Jarod...)  $M$  admits ~~is~~ a good moduli  $M \rightarrow M$  algebraic space (existence is proven in 2021, how  $M$ 's properties are not well understood.)

Def.  $\pi: M \rightarrow M$  is good moduli iff (1)  $M$  algebraic space (Quasi-faithful by pulled back quasi-ample & good convexity) (2) affine.

to V presentation all

Thm.  $\pi: M \rightarrow M$  Good, then

①  $\pi$  is universally closed & surjective;

②  $Z_1, Z_2 \subset M$  closed substack  $\Rightarrow \pi(Z_1 \cap Z_2) = \pi(Z_1) \cap \pi(Z_2)$

$\Rightarrow |M| \xrightarrow{\sim} |M|$ ; (orbit intersection)

③  $M$  noetherian,  $\pi$  universal for morphism to algebraic spaces

i.e.  $M \rightarrow M$  (categorical quotient), all these

are generalisation

Only ② needs (2) and hardest.

Pr.  $\mathrm{codim}(N, M) \xleftarrow{\pi^*} \mathrm{codim}(M)$  exact by (3)

Preserve  
surjectivity  $\Leftrightarrow Z_1 \oplus Z_2 \rightarrow Z_1 \cap Z_2$

$Z_1 \cap Z_2 \cap Z_3 = Z_1 \cap Z_2 = Z_1 \cap Z_3$

Pr. Although good generalises coarse.

(coarse  $\not\Rightarrow$  good; and affine GIT is good if reductive)

Classical GIT. ① Affine GIT. Good quotient is  $G$ -equivariant

map  $U \xrightarrow{\sim} Y$  iff  $\mathrm{sd}_G$  Affine morphism  
then  $G \curvearrowright X$  proper (2)  $G_Y \cong G/G_0$ .

& algebraic;  $X = \mathrm{Spec} A \Rightarrow X$  admits a good quotient  $X \xrightarrow{\sim} X/G$   
 $= Y = \mathrm{Spec}(A^G)$  (linear reductive  $\Rightarrow A^G f, g$ )

② Projective GIT (Mumford).  $X = \mathrm{Proj} R$ ,  $G \curvearrowright X$  "preserving grading"  $\Rightarrow X \xrightarrow{\sim} X/G = \mathrm{Proj} R^G$

(depending on choosing an ample line bundle (Cartierization)  
due to different ways only coincide in  $n > 0$  grading)  
Domain of  $\pi_G = X^{ss} = \overline{X^{ss}}$  Hilbert-Mumford criteria.

Def.  $X^{ss} = \{x \in X \mid \exists S \in \mathrm{SUS}, Sx \neq 0\}$ ,  $R = \bigoplus_{n \geq 0} R^n X^{ss}$

$X^{ss} \rightarrow X/G, \exists x' \in X^{ss}, m \geq 0$

$x \mapsto G \cdot x$  s.t.  $G \cdot x = G \cdot x'$ ,  $x'$  called polystable.

$x' \rightarrow x'$  is a limit by  $\lim_{m \in G} G \cdot x \Rightarrow$  stable.

E.g.  $M_{1,1} \subset [\mathrm{O}_{\mathrm{P}^3}(3)/\mathrm{PGL}(3)] \Rightarrow M_{1,1} \subset [\mathrm{O}_{\mathrm{P}^3}(3)]/\mathrm{PGL}(3)$

by  $M_{1,1} \subset [\mathrm{O}_{\mathrm{P}^3}(3)]/\mathrm{PGL}(3) \supset [\mathrm{O}_{\mathrm{P}^3}(3)^G/\mathrm{PGL}(3)]$

$M_{1,1} \subset [\mathrm{O}_{\mathrm{P}^3}(3)^G/\mathrm{PGL}(3)] \Leftrightarrow$  every

smooth cubic curve is semi-stable  $\Leftrightarrow \exists S \in \mathrm{H}^0(\mathrm{O}_{\mathrm{P}^3}(3)(\mathrm{O}_{\mathrm{P}^3}(3)))^G$   
 $S^G \neq 0$ ,  $\mathrm{ECP}$  the elliptic curve  $\Leftrightarrow \exists$  hyperplane  $H$  & line and  $H \not\subset E$

(3)  $\pi_K: \mathrm{Coh}(M) \rightarrow \mathrm{Coh}(Y)$  (claim.  $\exists$  divisor/hyperplane); discriminant divisor  $\Delta = \{ \text{all singular}\}$

is exact functor (all over does  $\mathrm{codim}_1$  by all the Jacobis = 0)  $\Rightarrow \Delta \not\subset [E]$

①  $\pi$  is universally closed & surjective;  $\pi_K$  Then claim. the inclusion  $M_{1,1} \subset [\mathrm{O}_{\mathrm{P}^3}(3)]/\mathrm{PGL}(3)$

Now  $[\mathrm{O}_{\mathrm{P}^3}(3)]/\mathrm{PGL}(3) \xrightarrow{\sim} \mathrm{Proj}(\bigoplus_{n \geq 0} \mathrm{H}^0(\mathrm{O}_{\mathrm{P}^3}(3), \mathrm{O}_{\mathrm{P}^3}(n))^G)$

$\xrightarrow{\sim} \mathbb{P}^1$

thus we consider the j-invariant of cubic equation  $y^2 = ax^3 + bx$

invariant  $j(a, b) \Rightarrow \{j(f, g)\} = \mathbb{P}^1$

On the other hand,  $M_{1,1} \cong A^1$

$\mathrm{rk. P}^1 - A^1 = \mathrm{B}(\mathrm{O}_{\mathrm{P}^3}(3))$

$-M_{1,1} = \{ \text{node} \};$

$[\mathrm{O}_{\mathrm{P}^3}(3)]^G = \{ \text{smooth or cusps} \}$

Polystable or cusp point is "the most singular"

$\star = \star + \star$

E.g.  $G = \mathrm{Gm}$ ,  $U = \mathrm{Aff}$  torus action,  $K = k$

$\Rightarrow$  [II/6] Spec  $k$  is good moduli space by Spec( $\mathrm{Ran}(k)$ )

One can see [II-10]/[6]  $\rightarrow \mathbb{P}^1 \xrightarrow{\sim}$  is coarse (by closed points in  $\mathbb{P}^1$ )

here good and coarse just complement

$\star = \star + \star$  • All not closed  $\Leftrightarrow$  closed orbits in action and taking lim. so





From E.g. 1 - E.g. 4, the usual objects  $X$  in AG has bad  $\text{Aut}(X)$ , thus bad  $M$  parameterizes all classes of  $X$  in Recreations.  
 Our final task is giving several ways to modify  $M$  to  $M$  "good" (not admits a scheme structure  $M$ , with  $M \rightarrow M$ )  
 which is almost used in all recent papers:  
 even  $M$  not algebraic (not Artinian)

$M = \{ (X, \Sigma) \mid X \in \mathcal{M}, \Sigma \text{ is a natural condition on } X \}$  Forgetful functor  $\mathcal{M} \ni \begin{cases} \text{① } X \subset \mathbb{P}^n & \Leftrightarrow (X, d) \text{ polarisation; (Calabi-Yau not exists)} \\ \text{② } X \subset Y, \text{ with } Y \in A \text{ better moduli known; } \begin{cases} \text{E.g. taking Fano} \\ \text{or general type} \end{cases} \\ \text{③ } D \subset X, \text{ with } D \in A \text{ better moduli known} \end{cases}$   
 $\mathbb{P}^n = \mathbb{A}^n + \text{pt}$

④ is most general, but in form they're all used  
 E.g. 1.  $M_{1,1} \cong \{ E \subset \mathbb{P}^2 \mid \dots \} \stackrel{\text{④}}{\cong} \{ E \rightarrow \mathbb{P}^1 \mid 2:1, \text{ branched in 4 pts} \}$   
 E.g. 2.  $\mathcal{M} = \{ \text{Hyperelliptic curves} \} \stackrel{\text{④}}{\cong} \{ X \rightarrow \mathbb{P}^1 \mid 2:1 \}$  the Hurwitz space  
 E.g. 3. (Best)  $M_4 = \{ \text{Hyperelliptic surfaces} \} \cong \{ S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1 \mid 2:1, \text{ branched over } C, \deg(C) = (4,4) \text{ (bitangent)} \}$  then  $S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1 \xrightarrow{\text{Sel-Kel}} \mathbb{P}^3$   
 $\cong \{ (S, \pi^* \mathcal{O}_{\mathbb{P}^3}(1)) \} \cong \{ (S \subset \mathbb{P}^n) \} \cong \{ \text{Fano threefold} \}$ , thus Hyperelliptic surface  $\Leftrightarrow \deg(4,4) \text{ curve}$   
 $\Leftrightarrow \text{Fano threefold} \Leftrightarrow \pi^* \mathcal{O}_{\mathbb{P}^3}(1)^{\oplus 2} \text{ correspondence}$   
 beautifully through Moduli theory.

Done.

## WRITING PROJECT OF MODULI THEOREY

ZHIYUAN LI

Please choose one of the following topics and write a paper including a short introduction, statement and the proof (at least 5 pages).

1. **Castelnuovo-Mumford Regularity (\*)**. Introduce the regularity, prove the Castelnuovo-Mumford Regularity. Reference: [2, Chapter 5]
2. **Representability of the Quot scheme (\*)**. Reference: [2, Chapter 5]
3. **Chow variety (\*\*\*)**. Introduce the Chow variety and show it is a fine moduli space. Reference: [4, Chapter 1]
4. **Coarse moduli space and Keel-Mori theorem (\*\*)**. Introduce and prove the Keel-Mori theorem. [1, Chapter 4]
5. **Moduli space of elliptic curves (\*)**. Discuss the properties of moduli space of elliptic curves  $\mathcal{M}_{1,1}$ . Prove its coarse moduli space is  $\mathbb{A}^1$ . [1, Chapter 5]
6. **Artin's approximation (\*)**. Introduce the Néron-Popescu desingularization theorem and  $G$ -ring. Show that the localization of finitely generated  $k$ -algebra or  $\mathbb{Z}$ -algebra is a  $G$ -ring. Prove the Artin approximation. [1, Appendix B]
7. **Deformation of schemes (\*\*)**. Discuss the formal deformation theory of schemes. Prove that the first-order deformation of a smooth scheme is  $H^1(X, \mathcal{T}_X)$  and the obstruction space is in  $H^2(X, \mathcal{T}_X)$ . [3, Section 2 and 6] If possibly, discuss the Grothendieck's existence theorem.
8. **Deformation of coherent sheaves (\*\*)**. Prove that the first-order deformation of a coherent sheaf  $\mathcal{F}$  over a variety is  $\text{Ext}^1(\mathcal{F}, \mathcal{F})$  and the obstruction space is in  $\text{Ext}^2(\mathcal{F}, \mathcal{F})$ . [3, Section 5 and 10]
9. **Moduli space of marked curves:  $\mathcal{M}_{g,n}$  and  $\overline{\mathcal{M}}_{g,n}$  (\*)**. Introduction to moduli space of marked curves, such as definitions, why they are smooth separated DM stacks. [1, Chapter 5]
10. **Irreducibility of  $\mathcal{M}_g$  (\*\*)**. [1, Chapter 5]

## REFERENCES

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