

# Number theory

My CFT teacher only taught us formalism, but her final exam is full of concrete and wonderful number theoretic problems, all of my peers didn't know how to solve them. But I found these concrete problems are very attractive, this is a summary note before the exam for some review of final exam, and after the exam for some conclusions further.

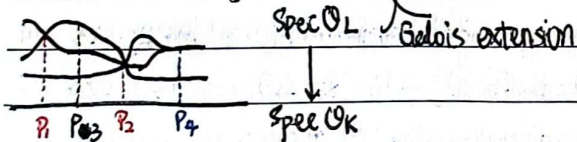
## PART I Review of algebraic number theory

We are not talking about function fields, although most of results make sense, there're some distinguished features: the Grunwald-Wang and some... as the function field  $\supset$  constant field as a transcendental extension and the behavior of the constant field is important.

For global field  $K/\mathbb{Q}$ , we define its place by taking completion at each valuation, the study of global field in CFT is by study the behavior at each places.

The most important feature of CFT is ramification, the other conditions, which're also important, such as Abelian, is ~~the~~ more to technical, for imitate the cyclotomic extension  $/\mathbb{Q}$ .

Recall, let  $L/K$  global fields,  $[L:K]=3$



$\bullet p_1, p_2$  are ramified:  $p_1 O_L = \mathfrak{p}_1^2 \mathfrak{p}_2$ ,  $p_2 O_L = \mathfrak{p}_1^2$

$\bullet p_3$  are unramified:  $p_3 O_L = \mathfrak{p}_1 \mathfrak{p}_2 \mathfrak{p}_3$

$\bullet p_4$  are inert:  $p_4 O_L = \mathfrak{p}_1 \mathfrak{p}_2$   $e_1=1=e_2, f_1=2, f_2=1$

The inertia degree is the "multiplicity", by the degree of extension of residue fields  $f(\mathfrak{p}|\mathfrak{p}) = [O_L/\mathfrak{p} : O_K/\mathfrak{p}]$

$\Rightarrow \sum e_i f_i = 3$  for each  $p_i$  fixed place  $= [L:K]$

$Gal(L/K) \curvearrowright O_L$ , we have, at each place  $w$  of  $L$ , with  $(\mathfrak{p})$  over  $(p)$

$$1 \rightarrow I_w \rightarrow G_w \rightarrow Gal(O_L/\mathfrak{p} / O_K/\mathfrak{p}) \rightarrow 1$$

$e_w \quad e_w f_w \quad f_w$

( $Gal(L/K)$  act trivially on residue field)

$I_w = \{ \sigma \in Gal(L/K) | \sigma(a) \equiv a \pmod{\mathfrak{p}}, \forall a \in O_L \}$

$\triangleq \{ \sigma \in Gal(L/K) | \sigma(\mathfrak{p}) = \mathfrak{p} \} = G_w$

For example, at  $p_4$   $\circ \quad \circ \rightarrow I_w \quad \circ \rightarrow G_w$

from geometric pointview,  $I_w$  is more essential for the arithmetic action  $R_K$ . For higher inertia group and  $\dots$ , omitted, the length of ramification is crucial if we want to state a ramified version of GCT's result on Artin map, but we omit it here.

## PART II Motivation of CFT

• Generalize cyclotomic extension of  $\mathbb{Q}$ :

(Kronecker-Weber)  $\mathbb{Q}^{ab} = \bigcup \mathbb{Q}(\zeta_n) \leadsto$  Structure of Abelian extension (Existence)

• Generalize quadratic reciprocity law:

$(\frac{p}{q})(\frac{q}{p}) \cdot (-1)^{\frac{(p-1)}{2} \frac{(q-1)}{2}} = 1 \leadsto$  Hilbert reciprocity law


• Observation:  $\mathbb{Q}$  has no unramified extension v.s.  $\mathbb{Z}$  is PID

$\mathbb{Q}(\sqrt{5})$  has only unramified extension v.s.  $\mathbb{Z}[\frac{1+\sqrt{5}}{2}]$  has unique not principal ideal  $(2, \frac{1+\sqrt{5}}{2})$

$\leadsto$  Existence of unramified extension v.s.  $CL(O_K)$  ~~trivial~~

$\leadsto$  Ramification v.s. Split primes (Artin map)

RK. I don't know the history, but I think it's impossible to use the second reason to motivate CFT, it's hard to directly see this.

We're introducing the ideal-theoretic result of GCT,  $\ast$  used most in application, equivalent to idele-theoretic one  日月光华 旦复旦兮



By the third observation, we need to dominate the ramification, we say a modulus  $m = \prod p_v^{e_v}$  a finite formal product, and if  $v$  is infinite / Archimedean, the  $e_v = 0$  or 1 for real place. Then  $m$  dominate at  $e_v = 0$  for complex place.

each place  $p_v$ , the ramification  $e_v$ , and we have  $K_m/K$  and  $\text{Cl}_m(K) \cong \text{Gal}(K_m/K)$ . Conductor is the ~~minimal~~ maximal modulus

making  $K_m/K$  unramified in  $L/K$ ,  $L$  fixed, denoted  $f_L/K$

i.e.  $v$  ramified place  $(\Leftrightarrow) v \mid f_L/K$

$f_m=1$  unramified  $\text{Gal}(K_f/K) \cong \text{Cl}_1(K) = \text{Cl}(K) \Rightarrow$

$m=\infty$  unramified except  $\infty$   $\text{Gal}(K_\infty/K) \cong \text{Cl}_\infty(K) =: \text{Cl}^+(K)$   
narrowed class group

$K_1$  is called Hilbert class field,  $K_\infty$  is narrowed ... one

$K_m$  has very concrete definition by  $m$ , called ray class field,

$\text{Cl}^+(K)$  is computed by fractional ideals with positive generators (not compute  $K_\infty$  first, then balabala, it's hard) totally

E.g. Consider each place  $\mathfrak{p}$  of  $\mathbb{Q}$  in  $\mathbb{Q}(i)/\mathbb{Q}$ :

$p=2$   $(x^2+1)$  ramified /  $\mathbb{F}_p$

$p \equiv 1 \pmod{4}$   $(x^2+1)$  split completely /  $\mathbb{F}_p$

$p \equiv 3 \pmod{4}$   $(x^2+1)$  still irreducible /  $\mathbb{F}_p$

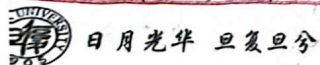
v.s.  $p \mid \text{disc}$   $p \mid \text{disc}$ 's split behaviour in  $\mathbb{Z}[i]$ :

$p=2$   $p \mid \text{disc}$   $\mathbb{Z}[i] = \beta^2$  ramified

$p \equiv 1 \pmod{4}$   $p \mid \text{disc}$   $\mathbb{Z}[i] = \beta_1 \beta_2$  split completely

$p \equiv 3 \pmod{4}$   $p \mid \text{disc}$   $\mathbb{Z}[i]$  is prime inert

4 is the conductor of  $\mathbb{Q}(i)/\mathbb{Q}$



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the statement of CFT in ideal-theoretic language is same as idele-theoretic, place  $\mathbb{Q}_K$  by  $\text{Cl}(K)$ , but note that  $\text{Cl}(K)$  is finite then profinite, the  $\text{Cl}(K)$  is even more concrete to apply.

Application

Split primes (seen above)

Chebotarev density thm (it's used to find density of split primes)

Artin L-function, Weber L-function..

$\mathbb{Q}$  L-function is a kind of invariant, for example, we compared L-function of automorphic forms and Galois rep, and indicated Langlands program. Invariants are also helpful for original properties, it will be used in the proof of ACFT:

PART III Adelic formalism

The idea is also collecting all places' data, and adelic is more direct and natural, I can say nothing interesting, I only give several remarks.

① We take the quotient  $K^\times \backslash \mathbb{A}_K^\times$  the idele class group, because  $K^\times$  has no contribution in any sense:

$$\begin{array}{ccccccc} 1 \rightarrow K^\times & \rightarrow & \mathbb{A}_K^\times & \rightarrow & C_K & \rightarrow & 1 \\ & \searrow & \downarrow & & \downarrow & & \\ & & \mathbb{I}_K & \rightarrow & \text{Cl}(K) & \rightarrow & 1 \end{array} \quad \begin{array}{l} \bullet H^2(\text{Gal}(\mathbb{Q}/K), \mathbb{Z}^\times) = 0 \\ \bullet \text{Hilbert 90} \end{array}$$

send to principal ideals;

• Idele norm of  $\mathbb{A}_K^\times$  is  $[L:K]$ , and the invariant map (later we'll see)  $H^2 \rightarrow \frac{1}{[L:K]} \mathbb{Z}/\mathbb{Z}$  vanishes;

②  $\mathbb{I}_K \rightarrow \mathbb{A}_K$  is continuous by not embedding,  $\mathbb{I}_K$  has finer topology by  $\mathbb{I}_K \hookrightarrow \mathbb{A}_K \times \mathbb{A}_K, x \mapsto (x, x^{-1})$ ;

③ The proof of almost all statement are base on the standard trick:  $\mathbb{A}_K$  is metric space, each component given by valuation, how to justify each non-Archimedean & Archimedean places to control • global is interesting.



# Application.

- Adelic Minkowski lattice thm: classical Minkowski thm only uses real embedding in infinity, but Adelic uses all places;
- Strong approximation (using Adelic Minkowski);
- Class number finite thm (using Adelic Minkowski);
- Automorphic forms: classical modular form only uses ~~real places~~ infinity places, but automorphic uses all places, and general Artin

## PART IV Statement of CRT

$$G_m(K) \setminus G_m(A_K);$$

### Thm. ① (Artin Reciprocity)

$K^\times \setminus A_K^\times \xrightarrow{\phi} \text{Gal}(K^{ab}/K)$  has dense image  $\phi$

and satisfying that:

(i) Let  $v$  unramified place, then  $K_v^\times \hookrightarrow A_K^\times \xrightarrow{\phi} \text{Gal}(K^{ab}/K) \xrightarrow{\text{Artin map}} \text{Gal}(K_v^{ab}/K_v)$   
 $\{v \text{ non Archimedean, } K_v^\times \text{ is DVR, uniformiser } \pi \mapsto \text{Frob}_v\}$

$\{v \text{ Archimedean } (\mathbb{R}^\times \text{ or } \mathbb{C}^\times), \text{ it } -1 \mapsto i\}$

(ii) Let  $v$  unramified place,  $\phi_v$  vanishes under Artin map:

in particular, if  $K^{ab}/K$  unramified,  $K^\times \setminus A_K^\times / \mathcal{O}_K^\times \hookrightarrow \text{Gal}(K^{ab}/K)$

(almost isomorphic)

② (Relative version)  $L/K$  Abelian unramified,

$1 \rightarrow C_L \xrightarrow{N_{L/K}} C_K \rightarrow \text{Gal}(L/K)$ , i.e.  $C_K/N_{L/K}(C_L) \hookrightarrow \text{Gal}(L/K)$  dense

③ For local field, replace  $C_K$  by  $K^\times$ , all same

④ (Local-to-Global)  $\forall v, K_v^\times \rightarrow \text{Gal}(K_v^{ab}/K_v)$

$$K^\times \setminus A_K^\times \rightarrow \text{Gal}(K^{ab}/K)$$

⑤ (Weil group)  $0 \rightarrow \mathcal{O}_K^\times \rightarrow K^\times \xrightarrow{\text{ord}} \mathbb{Z} \rightarrow 0$

$$W^{ab} = \pi_1(\mathbb{Z}) \rightarrow 0 \rightarrow \mathbb{Z}_K \rightarrow W^{ab} \xrightarrow{\text{dense}} \mathbb{Z} \rightarrow 0$$

as set

$$0 \rightarrow \mathbb{Z}_K \rightarrow \text{Gal}(K^{ab}/K) \rightarrow \mathbb{Z} \rightarrow 0$$

injection all left  $\rightarrow$  Embedding

the dense Artin

map factor through

finite  $W^{ab}, K^\times \rightarrow W^{ab}$

also called Artin map

⑥ (Existence)  $\{H \leq C_K \mid \text{finite index, open}\} \xleftrightarrow{1:1} \{L/K \mid L \subset K^{ab} \text{ Abelian}\}$   
 for local is same;

⑦ (Functoriality) The Norm and Ver functoriality, when we change base field  $K$ , induced by Res and Cor in group cohomology.

Rk. ① Here we first see the big coset  $K^\times \setminus A_K^\times / \mathcal{O}_K^\times$ , generalized in Langlands program, and we interpret it as lattice maximal tori the Galois-Rep language:

$$\{\text{character } \rho_i: K^\times \setminus A_K^\times / \mathcal{O}_K^\times \rightarrow \overline{\mathbb{Q}}_i^\times\} \xleftrightarrow{1:1} \{\text{character } \rho_i: \text{Gal}(K^{ab}/K) \rightarrow \overline{\mathbb{Q}}_i^\times\};$$

②  $W^{ab} \rightarrow \text{Gal}(K^{ab}/K)$  is injective but not dense embedding,  $W^{ab}$  has ~~more~~ finer topology than subspace topology;

③ When prove, we use finite extension to approximate; finite case  $L/K$  has isomorphism  $C_K/N_{L/K}(C_L) \cong \text{Gal}(L/K) \cong \text{ideal-theoretic one}$

## Application.

Hilbert Reciprocity: for local field  $K_v \supset \mathbb{Q}_p$ , we set the Hilbert symbol

$$(-, -)_v: K_v^\times \times K_v^\times \rightarrow \mathbb{Z}/2\mathbb{Z}$$

$$(a, b)_v = \frac{\phi(b) \sqrt{a}}{\sqrt{a}}, \text{ as } \phi(b) \in \text{Gal}(K_v^{ab}/K_v) \hookrightarrow K_v^{ab} \hookrightarrow (K_v^{ab})^\times$$

Consider  $n=2$ ,  $a \in K_v^\times \Rightarrow \sqrt{a} \in (K_v^{ab})^\times$

$\mu_2 = \{\pm 1\} \subset K_v^\times$  always holds

$\Rightarrow (a, b)_v = \begin{cases} 1; & ax^2 + by^2 = 1 \text{ have solution (compare with Legendre symbol, if } v = b \text{ or } a \leadsto ax^2 = 1 \text{ or } bx^2 = 1) \\ -1; & \text{otherwise} \end{cases}$

$\Rightarrow$  Let  $K = \mathbb{Q} \Rightarrow (p, q)_2 = (-1)^{\frac{(p-1)(q-1)}{4}}$

$$(p, q)_p = \left(\frac{q}{p}\right), (p, q)_q = \left(\frac{p}{q}\right)$$

$(p, q)_v = 1$  otherwise

$\Rightarrow \prod_v (p, q)_v = 1$  is quadratic reciprocity.

generally,  $\prod_v (a, b)_v = 1$  is Hilbert reciprocity (for higher it also)

thus Hilbert reciprocity  $\Rightarrow$  quadratic reciprocity  $\square$



# PART V Group cohomology and Tate cohomology, Pf of CFT

Date

Fundamental: Group cohomology  $H^i(G, M)$  is by derive the functor (-) homology is similar;

What's good?

- It has standard resolution by the simplicial resolution;
- It has dimension shift trick:  $0 \rightarrow M \rightarrow \text{Ind}_1^G M \rightarrow M' \rightarrow 0$

Induced module has trivial cohomology, LES gives  $H^{n+1}(M) = H^n(M')$  to cut dimension down to 0, then we can prove properties easily.

- $H^i(G) = H^i(BG)$  related with topological theory, explained by the simplicial construction of BG directly;
- Good functoriality when changing groups, e.g. Shapiro Lemma:  $H^i(G, \text{Ind}_H^G M) = H^i(H, M) \leftarrow \text{derive } (\text{Ind}_H^G M)^G = M^H$

What's bad?

- The computation uses inhomogeneous cycles to simplify, just as the computation of Hochschild cohomology, is very complicated;
- The functoriality is not complete, Res/surive in cohomology, Cor/surive in homology, the extension uses strange definitions and the adjoint relations are not full (Frobenius Reciprocity);

⇒ The broken of the last one motivates Tate cohomology, following the insight of Grothendieck's six functor formalism, we glue cohomology and homology (and extending operations such as cup product):

Res, Cor ( $f^*, f_*$ )	Projection formula ✓
Inf, Conf ( $f^!, f_!$ )	Exact triangle/Excision sequence ✓
Ind, ind ( $\text{Hom}, \otimes$ )	Duality/Adjoint ✓

Some of them only survive in homological-level, just as geometric case.



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(The excision sequence is  $H^i(G/H, A^H) \xrightarrow{\text{Inf}} H^i(G, A) \xrightarrow{\text{Res}} H^i(H, A) \xrightarrow{+1}$ )

Bk. Normal basis thm  $\Rightarrow$  Hilbert 90:

Normal basis thm  $\Leftrightarrow L = \text{Ind}_1^G K$ ,  $G = \text{Gal}(L/K)$  is induced module

Shapiro  $H^i(G, L^G) = 0$  for  $i \geq 1$

$\Rightarrow H^1(G, L^G) = 0$  is Hilbert 90  $\mathbb{A}$  (additive)

For  $G$  is Galois group (profinite) in CFT, we proceed by reducing:

$G$  profinite  $\xrightarrow{\varprojlim} G$  finite  $\xrightarrow{\text{Tate-Nakayama}} G$  cyclic  
Sylow p-group

•  $G$  cyclic case is always easy, take complete resolution and we find it has period 2, only compute  $\hat{H}^1(G, M)$  and  $\hat{H}^0(G, M)$  by definition

• Tate - Nakayama is a generalization of Hilbert 90:

We call  $L/K$  is a class formation if  $G = \text{Gal}(L/K)$  finite satisfies

$H^1(G_p, M) = 0$ ,  $H^2(G_p, A) = \mathbb{Z}/p\mathbb{Z}$  for  $G_p \trianglelefteq G$   $p$ -order  $p$ -subgroup

then  $(- \cup x) : \hat{H}^n(H, M) \rightarrow \hat{H}^{n+2}(H, M \otimes N)$  is isomorphism

for  $\forall H \leq G$  and  $\forall$  torsion free  $M$ -module  $N$

where  $x \in \hat{H}^2(H, M)$  is generator of  $\mathbb{Z}/p\mathbb{Z}$

Pf of CFT (Sketch):  $L/K$  finite local

$C_K/N_{L/K}(C_L) \leftarrow \text{Gal}(L/K)^{\text{ab}}$

$\hat{H}^0(\text{Gal}(L/K), L^\times) \rightarrow H_1(\text{Gal}(L/K), \mathbb{Q})$

$(- \cup x) : \hat{H}^2(\text{Gal}(L/K), \mathbb{Q})$

$x \in \hat{H}^2(\text{Gal}(L/K), L^\times) = \text{Br}(L/K) \xrightarrow{\text{ord}_L} H^2(\text{Gal}(L/K), \mathbb{Z})$  (space of characters)

$\xrightarrow{\text{inf}_{L/K}} H^1(\text{Gal}(L/K), \prod_{i=1}^r \mathbb{Z}/\mathbb{Z}) \hookrightarrow \prod_{i=1}^r \mathbb{Z}/\mathbb{Z}$

$\cong \prod_{i=1}^r \mathbb{Z}/\mathbb{Z}$

induced by  $0 \rightarrow C_K^\times \rightarrow L^\times \xrightarrow{\text{ord}_L} \mathbb{Z} \rightarrow 0$  and  $0 \rightarrow \mathbb{Q} \rightarrow \mathbb{A}_K \rightarrow \mathbb{A}_K/C_K \rightarrow 0$

$\Rightarrow (- \cup x)^{-1} =: \phi$  is desired Artin map, and taking limit of  $L$

For Global field, it's much more complicated and tricky, roughly

we have  $0 \rightarrow \text{Br}(K) \rightarrow \bigoplus \text{Br}(K_v) \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0$  ( $\prod_{i=1}^r \mathbb{Z}/\mathbb{Z} \hookrightarrow \mathbb{Q}/\mathbb{Z}$ )

and we compute idèle module  $\xrightarrow{\text{reciprocity}} \text{Galois cohomology}$



For existence via group cohomology, it's also tricky task, a more computable plan is by Lubin-Tate theory, see comments below.

Rk. ① The  $\text{inv}_{L/K}: \text{Br}(L/K) \rightarrow \mathbb{Z}/\mathbb{Z}$ , called Hasse invariant, named by the central simple algebra interpretation of  $\text{Br}(L/K)$ , it associate each algebra a number, thus called "invariant";

② How this proof is motivated? Tate said he aimed imitating the Hilbert 90. If the Galois cohomology governs some deformation problem, then both Hilbert 90 and Tate-Nakayama are considering some "rigid Galois representation character", the couple with the obstruction class induce the isomorphism;

③ Lubin-Tate theory is based on the imitation of Kronecker-Weber which adding all  $\zeta_n$  to extend to Abelian envelope, here Lubin-Tate is by adding all  $p$ -power torsion point of formal group law  $/K$ .  
Q. Is the deformation of formal group law the deformation problem parameterized by Tate cohomology in CFT?

E.g.  $(p = x^2 + ny^2)$

Consider extensions  $\mathbb{Q}(\sqrt{14}, \sqrt{25-1})$

$\mathbb{Q}(\sqrt{14})$  | 4:1, unramified (unique one),  $(x^2+1)^2 - 8 = 0$

| 2:1,  $x^2+14=0$

$\mathbb{Q}$

For  $p \neq 7, \exists x, y \in \mathbb{Z}$ ,

$$p = x^2 + 14y^2 \Leftrightarrow (p) = (x + \sqrt{-14}y)(x - \sqrt{-14}y)$$

$\Downarrow$  GCT

$x^2 \equiv -14 \pmod{p}$

$(x^2+1)^2 \equiv 8 \pmod{p} \Rightarrow$  unramified extension at place  $p$