

# Mathematical Studies of Analysis

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# 1 Advanced topics in metric space theory

## 1.1 Baire category

**Definition.** Let  $X$  be a metric space.

1. We say that  $E \subseteq X$  is nowhere dense if  $(\overline{E})^\circ = \emptyset$ .
2. We say that  $E \subseteq X$  is meager in  $X$  if

$$E = \bigcup_{\alpha \in A} E_\alpha,$$

where  $A$  is a countable set and  $E_\alpha \subseteq X$  is nowhere dense for every  $\alpha \in A$ .

**Theorem.** Prove that the following are equivalent for  $E \subseteq X$ :

1.  $E$  is nowhere dense
2.  $\overline{E}$  is nowhere dense
3.  $(\overline{E})^c$  is open and dense in  $X$ .

*Proof.* (a)  $\implies$  (b). Suppose  $E$  is nowhere dense, then  $(\overline{E})^\circ = \emptyset$ . Note that the closure of  $\overline{E}$  is just  $\overline{E}$  itself. It follows that  $\overline{E}$  is also nowhere dense.

(b)  $\implies$  (c). Suppose  $\overline{E}$  is nowhere dense. Note that  $\overline{E}$  is closed, so  $(\overline{E})^c$  is open. Let  $x \in X$  be arbitrary. Since  $\overline{E}$  is nowhere dense,  $x \notin (\overline{E})^\circ$ . This implies that for arbitrary  $\varepsilon > 0$ , we have  $B(x, \varepsilon) \not\subseteq \overline{E}$ . This is equivalent to  $B(x, \varepsilon) \cap (\overline{E})^c \neq \emptyset$ . Hence,  $(\overline{E})^c$  is dense in  $X$ .

(c)  $\implies$  (a). Suppose  $(\overline{E})^c$  is dense in  $X$ . Let  $x \in X$  and  $\varepsilon > 0$  be arbitrary. It follows that  $B(x, \varepsilon) \cap (\overline{E})^c \neq \emptyset$ . This is equivalent to  $B(x, \varepsilon) \not\subseteq \overline{E}$ . Therefore,  $(\overline{E})^\circ = \emptyset$  and  $E$  is nowhere dense.  $\square$

**Theorem** (Baire category theorem). Let  $X$  be a complete metric space. Suppose that for each  $n \in \mathbb{N}$ ,  $U_n \subseteq X$  is open and dense in  $X$ . Prove that  $\bigcap_{n=0}^{\infty} U_n$  is dense in  $X$ . Hint: use the shrinking closed set property.

*Proof.* Consider any  $x \in X$  and arbitrary  $\varepsilon > 0$ , it suffices to show that  $U_n \cap B(x, \varepsilon) \neq \emptyset$  for each  $n \in \mathbb{N}$ . Now inductively choosing a sequence  $x_i \in X$  and  $\varepsilon_i > 0$  such that for each  $i \in \mathbb{N}$ ,  $B[x_i, \varepsilon_i] \subset U_i$ ,  $B[x_{i+1}, \varepsilon_{i+1}] \subset B[x_i, \varepsilon_i] \subset B(x, \varepsilon)$ , and  $\varepsilon_i < 2^{-i}\varepsilon$ .

Since  $U_0$  is dense in  $X$ ,  $B(x, \varepsilon) \cap U_0 \neq \emptyset$ . Note that both  $U_0$  and  $B(x, \varepsilon)$  are open, so we can choose  $x_0 \in B(x, \varepsilon) \cap U_0$  and  $\varepsilon_0 > 0$  so small that  $B[x_0, \varepsilon_0] \subset B(x, \varepsilon) \cap U_0$  and  $\varepsilon_0 < \varepsilon$ . Now suppose for  $0 \leq i \leq n$ , we have chosen  $x_i \in X$  and  $\varepsilon_i > 0$  such that  $B[x_i, \varepsilon_i] \subset U_i$  and  $\varepsilon_i < 2^{-i}\varepsilon$  for all  $0 \leq i \leq n$ ,  $B[x_{i+1}, \varepsilon_{i+1}] \subset B[x_i, \varepsilon_i]$  for all  $0 \leq i < n$ . Since  $U_{n+1}$  is dense in  $X$ ,  $B(x_n, \varepsilon_n) \cap U_{n+1} \neq \emptyset$ . Note also both  $U_{n+1}$  and  $B(x_n, \varepsilon_n)$  are open. Therefore, choose  $x_{n+1} \in B(x_n, \varepsilon_n) \cap U_{n+1}$  and  $\varepsilon_{n+1} > 0$  so small that  $B[x_{n+1}, \varepsilon_{n+1}] \subset B(x_n, \varepsilon_n) \cap U_{n+1}$  and  $\varepsilon_{n+1} < \frac{\varepsilon_n}{2}$ . It follows that  $B[x_{n+1}, \varepsilon_{n+1}] \subset U_{n+1}$  and  $B[x_{n+1}, \varepsilon_{n+1}] \subset B[x_n, \varepsilon_n] \subset B(x, \varepsilon)$ . Also,  $\varepsilon < \frac{\varepsilon_n}{2} < 2^{-n-1}\varepsilon$ . Now we have successfully constructing the desired sequence.

Since  $X$  is complete,  $\bigcap_{n=0}^{\infty} B[x_n, \varepsilon_n] = \{z\}$  for some  $z \in X$ . Note that for each  $n$ , we have  $z \in B[x_n, \varepsilon_n] \subset U_n$ . Also,  $z \in B[x_n, \varepsilon_n] \subset B(x, \varepsilon)$ . Therefore,  $z \in U_n \cap B(x, \varepsilon)$  for each  $n \in \mathbb{N}$  and  $\bigcap_{n=0}^{\infty} U_n$  is dense in  $X$ .  $\square$

**Remark.** An equivalent statement of the theorem is the following:

Let  $X$  be a complete metric space and  $\{C_n\}$  a countable collection of closed subsets of  $X$  such that  $X = \bigcup_{n \in \mathbb{N}} C_n$ . Then at least one of the  $C_n$  contains an open ball.

## 1.2 Open Mapping Theorem

### Linear surjections

**Theorem** (Open mapping theorem). Let  $X, Y$  be Banach spaces over a common field and assume that  $T \in \mathcal{L}(X; Y)$ . Prove that the following are equivalent.

1.  $T$  is surjective.
2. There exists  $\delta > 0$  such that  $B_Y(0, \delta) \subseteq \overline{T(B_X(0, 1))}$ .
3. For every  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $B_Y(0, \delta) \subseteq T(B_X(0, \varepsilon))$ .
4.  $T$  is an open map: if  $U \subseteq X$  is open, then  $T(U) \subseteq Y$  is open.
5. There exists  $C \geq 0$  such that for each  $y \in Y$  there exists  $x \in X$  such that  $Tx = y$  and

$$\|x\|_X \leq C \|y\|_Y.$$

HINT: Prove that (1)  $\implies$  (2)  $\implies$  (3)  $\implies$  (4)  $\implies$  (5)  $\implies$  (1), keeping in mind the following suggestions.

1. For (1)  $\implies$  (2): Study the sets  $C_n = \overline{T(B_X(0, n))} \subseteq Y$  for  $n \geq 1$ .
2. For (2)  $\implies$  (3): Prove that  $\overline{T(B_X(0, 1))} \subseteq T(B_X(0, 3))$  by considering  $y \in \overline{T(B_X(0, 1))}$  and inductively constructing  $\{x_j\}_{j=0}^\infty \subseteq X$  such that  $\|x_j\|_X < 2^{-j}$  and  $y - \sum_{j=0}^m Tx_j \in B_Y(0, 2^{-m-1}R)$  for all  $m \in \mathbb{N}$ .

*Proof.* (1)  $\implies$  (2). Following the hint, for  $n \geq 1$  let  $C_n = \overline{T(B_X(0, n))}$ . Then each of the  $C_n$  are closed. Since  $T$  is surjective,  $Y = \bigcup_{n=1}^\infty C_n$ . Suppose for contradiction that each  $C_n$  are nowhere dense. It then follows that  $C_n^c$  are dense in  $Y$ . By Baire Category Theorem,  $\bigcap_{n=1}^\infty C_n^c$  is dense in  $Y$ . However,  $\bigcap_{n=1}^\infty C_n^c = (\bigcup_{n=1}^\infty C_n)^c = \emptyset$ , a contradiction. Therefore, at least one  $C_n$  is not nowhere dense. That is, there exists some  $n \geq 1$ ,  $\overline{T(B_X(0, n))}$  contains an open ball. However, this is the same set as  $n\overline{T(B_X(0, 1))}$ . Therefore,  $\overline{T(B_X(0, 1))}$  contains an open ball  $B_Y(y_0, 4r)$  for some  $y_0 \in Y$  and  $r > 0$ .

Let  $y_1 = Tx_1$  for some  $x_1 \in B_X(0, 1)$  such that  $\|y_0 - y_1\| < 2r$ . It follows that  $B_Y(y_1, 2r) \subset B_Y(y_0, 4r) \subset \overline{T(B_X(0, 1))}$ . For any  $y \in Y$  such that  $\|y\| < r$ , we have

$$y = -\frac{1}{2}y_1 + \frac{1}{2}(2y + y_1) = -T\left(\frac{x_1}{2}\right) + \frac{1}{2}(2y + y_1).$$

However, notice that

$$\frac{1}{2}(2y + y_1) \subset \frac{1}{2}B_Y(y_1, 2r) \subset \frac{1}{2}\overline{T(B_X(0, 1))} = \overline{T(B_X(0, \frac{1}{2}))}.$$

It follows that

$$y = -T\left(\frac{x_1}{2}\right) + \frac{1}{2}(2y + y_1) \in -T\left(\frac{x_1}{2}\right) + \overline{T(B_X(0, \frac{1}{2}))}.$$

Note that  $-T(\frac{x_1}{2}) \in T(B_X(0, \frac{1}{2}))$ . Therefore,  $y \in \overline{T(B_X(0, 1))}$ . Since  $y$  is arbitrary with  $\|y\| < r$ , we have  $B_Y(0, r) \subset \overline{T(B_X(0, 1))}$ .

(2)  $\implies$  (3). Following the hint, we first show  $\overline{T(B_X(0, 1))} \subset T(B_X(0, 3))$ . By assumption, we have  $B_Y(0, R) \subset \overline{T(B_X(0, 1))}$  for some  $R > 0$ . It follows from homogeneity that for each  $m \in \mathbb{N}$ , we have

$$2^{-m}B_Y(0, R) = B_Y(0, 2^{-m}R) \subset 2^{-m}\overline{T(B_X(0, 1))} = \overline{T(B_X(0, 2^{-m}))}.$$

Let  $y \in \overline{T(B_X(0, 1))}$  and pick  $x_0 \in X$  with  $\|x\| < 1$  such that  $\|y - Tx\| < 2^{-1}R$ . Now suppose we have chosen  $x_j$  for  $0 \leq j \leq m$  such that  $\|x_j\| < 2^{-j}$  and  $y - \sum_{j=0}^m Tx_j \in B_Y(0, 2^{-m-1}R)$  for all  $m \in \mathbb{N}$ . By the inclusion above, we can pick  $x_{m+1} \in X$  with  $\|x_{m+1}\| < 2^{-m-1}$  such that

$$\left\| y - \sum_{j=0}^m Tx_j - Tx_{m+1} \right\| = \left\| y - \sum_{j=0}^{m+1} Tx_j \right\| < 2^{-m-2}R.$$

Therefore,  $y - \sum_{j=0}^{m+1} Tx_j \in B_Y(0, 2^{-m-2})R$ . This completes the inductive construction, and we have found a sequence  $\{x_j\}$  such that  $\|x_j\| < 2^{-j}$  and  $y - \sum_{j=0}^m Tx_j \in B_Y(0, 2^{-m-1}R)$  for each  $m \in \mathbb{N}$ . Note that

$$\sum_{j=0}^{\infty} \|x_j\| \leq \sum_{j=0}^{\infty} 2^{-j} = 2,$$

so  $\sum_{j=0}^{\infty} x_j$  converges absolutely. Since  $X$  is Banach,  $\sum_{j=0}^{\infty} x_j$  converges to some  $x \in X$  with  $\|x\| \leq 2$ . Also, since  $y - \sum_{j=0}^m Tx_j \in B_Y(0, 2^{-m-1}R)$ , taking the limit where  $m$  approaches infinity we obtain

$$y = \sum_{j=0}^{\infty} Tx_j = T\left(\sum_{j=0}^{\infty} x_j\right) = Tx.$$

Therefore,  $y \in T(B_X(0, 3))$  and thus  $\overline{T(B_X(0, 1))} \subset T(B_X(0, 3))$ .

Now for every  $\varepsilon > 0$ , we have  $\frac{\varepsilon}{3}\overline{T(B_X(0, 1))} \subset \frac{\varepsilon}{3}T(B_X(0, 3)) = T(B_X(0, \varepsilon))$ . By assumption, there exists  $\delta > 0$  such that  $B_Y(0, \delta) \subset \overline{T(B_X(0, 1))}$ . Therefore,

$$B_Y\left(0, \frac{\delta\varepsilon}{3}\right) = \frac{\varepsilon}{3}B_Y(0, \delta) \subset \frac{\varepsilon}{3}\overline{T(B_X(0, 1))} \subset T(B_X(0, \varepsilon)).$$

(3)  $\implies$  (4). Let  $U \subset X$  be open and  $y \in T(U)$ . There exists  $x \in U$  such that  $Tx = y$ . Since  $U$  is open, there exists  $\varepsilon > 0$  such that  $B_X(x, \varepsilon) \subset U$ . By assumption, there exists  $\delta > 0$  such that  $B_Y(0, \delta) \subset T(B_X(0, \varepsilon))$ . It follows that

$$B_Y(y, \delta) = y + B_Y(0, \delta) \subset Tx + T(B_X(0, \varepsilon)) = T(x + B_X(0, \varepsilon)) \subset T(U).$$

Therefore,  $T(U)$  is open and  $T$  is an open map.

(4)  $\implies$  (5). Since  $T$  is an open map,  $T(B_X(0, 1))$  is open. Also,  $T(0) = 0$  so there exists  $r > 0$  such that  $B_Y(0, r) \subset T(B_X(0, 1))$ . Now let  $y \in Y$ . Then,  $\frac{r}{2\|y\|}y \in B_Y(0, r)$  and there exists  $x \in B_X(0, 1)$  such that  $Tx = \frac{r}{2\|y\|}y$ . It follows that

$$T\left(\frac{2\|y\|}{r}x\right) = y,$$

and since  $x \in B_X(0, 1)$ ,

$$\left\|\frac{2\|y\|}{r}x\right\| = \frac{2\|y\|\|x\|}{r} < \frac{2}{r}\|y\|.$$

Letting  $C = \frac{2}{r}$  completes the proof.

(5)  $\implies$  (1). Since for each  $y \in Y$  there exists  $x \in X$  such that  $Tx = y$ ,  $T$  is surjective. □

### Linear homeomorphisms, norm equivalence, and closed graphs

**Theorem.** Let  $X$  and  $Y$  be Banach spaces and suppose that  $T \in \mathcal{L}(X, Y)$  is a bijection. Prove that  $T^{-1} \in \mathcal{L}(Y, X)$ , and in particular  $T$  is a linear (and thus bi-Lipschitz) homeomorphism.

*Proof.* Since  $T \in \mathcal{L}(X, Y)$  is a bijection,  $T$  is a surjection. It follows that  $T$  is an open map. In particular, for any  $U \subset X$  open,  $T(U) = (T^{-1})^{-1}(U)$  is open. Therefore,  $T^{-1}$  is continuous and thus  $T$  is a linear homeomorphism. □

**Theorem.** Let  $X$  be a vector space that is complete when equipped with both of the norms  $\|\cdot\|_1$  and  $\|\cdot\|_2$ . Prove that if there exists a constant  $C_1 > 0$  such that  $\|x\|_2 \leq C_1\|x\|_1$  for all  $x \in X$ , then there exists a constant  $C_0 > 0$  such that  $C_0\|x\|_1 \leq \|x\|_2 \leq C_1\|x\|_1$  for all  $x \in X$ .

*Proof.* Let  $T : X_1 \rightarrow X_2$ , where  $X_1$  and  $X_2$  are  $X$  equipped with norms  $\|\cdot\|_1$  and  $\|\cdot\|_2$ , respectively, be the identity map. Then for any  $x \in X$  with  $\|x\|_1 = 1$ , we have

$$\|Tx\|_2 = \|x\|_2 \leq C_1 \|x\|_1 = C_1.$$

Therefore,  $T \in \mathcal{L}(X_1, X_2)$ .  $T$  is also surjective. Therefore, there exists a constant  $C \geq 0$  such that each  $\|x\|_1 \leq C \|x\|_2$ . Hence, for each  $x \in X$

$$\frac{1}{C} \|x\|_1 \leq \|x\|_2 \leq C_1 \|x\|_1.$$

Letting  $C_0 = \frac{1}{C}$  completes the proof.  $\square$

**Theorem.** Let  $X$  and  $Y$  be Banach spaces and let  $T : X \rightarrow Y$  be linear (just the algebraic condition). Prove that the following are equivalent

1.  $T$  is continuous, i.e.  $T \in \mathcal{L}(X; Y)$ .
2. The graph of  $T$ ,  $\Gamma(T) = \{(x, Tx) : x \in X\} \subseteq X \times Y$ , is closed in  $X \times Y$ , where  $X \times Y$  is endowed with any of the usual  $p$ -norms.

*Proof.* (a)  $\implies$  (b). Let  $\{(x_n, Tx_n)\}$  be a convergent sequence in  $\Gamma(T)$ . Since  $X$  is Banach,  $x_n \rightarrow x$  for some  $x \in X$ . Since  $T \in \mathcal{L}(X; Y)$ , we have

$$\lim_{n \rightarrow \infty} Tx_n = T \left( \lim_{n \rightarrow \infty} x_n \right) = Tx.$$

Therefore,  $(x_n, Tx_n) \rightarrow (x, Tx) \in \Gamma(T)$ , and thus  $\Gamma(T)$  is closed.

(b)  $\implies$  (a). Let  $\pi_1 : \Gamma(T) \rightarrow X$  and  $\pi_2 : \Gamma(T) \rightarrow Y$  by  $\pi_1(x, Tx) = x$  and  $\pi_2(x, Tx) = Tx$ . Since  $\Gamma(T)$  is a closed in Banach space  $Y$ ,  $\Gamma(T)$  is Banach space. It is clear that both  $\pi_1$  and  $\pi_2$  are bounded linear maps. Moreover,  $\pi_1$  is a bijection. It follows that  $S = \pi_1^{-1}$  is a bounded linear map. Therefore,  $T = \pi_2 \circ S$  is a bounded linear map.  $\square$

### Linear injections with closed range

**Theorem.** Let  $X$  and  $Y$  be Banach spaces and  $T \in \mathcal{L}(X, Y)$ . Prove the following are equivalent.

1.  $T$  is injective and  $\text{range}(T)$  is closed.
2.  $T : X \rightarrow \text{range}(T)$  is a linear homeomorphism.
3. There exists  $C \geq 0$  such that  $\|x\|_X \leq C \|Tx\|_Y$  for all  $x \in X$ .

HINT: Prove that (1)  $\implies$  (2)  $\implies$  (3)  $\implies$  (1).

*Proof.* (1)  $\implies$  (2). If  $T$  is injective and  $\text{range}(T)$  is closed, then  $\Gamma(T) = \{(x, Tx) : x \in X\}$  is closed in  $X \times Y$ . Therefore,  $T : X \rightarrow \text{range}(T)$  is a bounded linear map. Since  $T$  is injective, this map is actually bijective from  $X$  to  $\text{range}(T)$ . Therefore,  $T$  is a linear homeomorphism.

(2)  $\implies$  (3). Since  $T$  is a bijective bounded linear map, from  $X$  to  $\text{range}(T)$ . There exists a constant  $C \geq 0$  such that for each  $y \in \text{range}(T)$  there exists a unique  $x \in X$  such that  $Tx = y$  and  $\|x\| \leq C \|y\| = C \|Tx\|$ . Since  $T$  is a bijection,  $\|x\| \leq C \|Tx\|$  for all  $x \in X$ .

(3)  $\implies$  (1). Let  $x \in X$  be such that  $Tx = 0$ . It follows that  $\|x\| \leq C \|Tx\| = 0$ . Therefore,  $x = 0$  and  $T$  is injective. To show that  $\text{range}(T)$  is closed, consider a convergent sequence  $\{y_n\} \subset \text{range}(T)$  with  $y_n = Tx_n$ . Since for any  $n, m \in \mathbb{N}$  we have

$$\|x_n - x_m\| \leq C \|T(x_n - x_m)\| = C \|y_n - y_m\|,$$

$\{x_n\}$  is Cauchy. Since  $X$  is Banach,  $x_n \rightarrow x$  for some  $x \in X$ . Therefore, for all  $n \in \mathbb{N}$  we have

$$\|y_n - Tx\| = \|T(x_n - x)\| \leq \|T\| \|x_n - x\|,$$

and  $y_n \rightarrow Tx$ . Hence,  $\text{range}(T)$  is closed and the proof is complete.  $\square$

**Putting it together...**

**Theorem.** Let  $X$  and  $Y$  be Banach spaces over a common field. Then, the following subsets of  $\mathcal{L}(X; Y)$  are open:

1.  $\{T \in \mathcal{L}(X; Y) : T \text{ is surjective}\},$
2.  $\{T \in \mathcal{L}(X; Y) : T \text{ is injective with closed range}\},$
3.  $\mathcal{H}(X; Y) = \{T \in \mathcal{L}(X; Y) : T \text{ is a homeomorphism}\}.$

*Proof.* \*\*\*TO-DO\*\*\* □

**Theorem.** Let  $X$  and  $Y$  be Banach spaces over a common field. Then the following holds.

1. The sets

$$\mathcal{L}_R(X; Y) = \{T \in \mathcal{L}(X; Y) : \text{there exists } S \in \mathcal{L}(Y; X) \text{ such that } ST = I_X\}$$

and

$$\mathcal{L}_L(X; Y) = \{T \in \mathcal{L}(X; Y) : \text{there exists } S \in \mathcal{L}(Y; X) \text{ such that } TS = I_Y\}$$

are open.

2. The following inclusion holds:

$$\mathcal{L}_L(X; Y) \subset \{T \in \mathcal{L}(X; Y) : T \text{ is surjective}\}$$

and

$$\mathcal{L}_R(X; Y) \subset \{T \in \mathcal{L}(X; Y) : T \text{ is injective with closed range}\}.$$

3. The sets  $\mathcal{L}_L(X; Y) \setminus \mathcal{L}_R(X; Y)$  and  $\mathcal{L}_R(X; Y) \setminus \mathcal{L}_L(X; Y)$  are open.

*Proof.* 1. Let  $T_0 \in \mathcal{L}_R$  and  $S_0 \in \mathcal{L}(Y; X)$  be such that  $T_0 S_0 = I_Y$ . Note that  $I_X \in \mathcal{H}(X)$  and when  $\|P\| < 1$  for  $P \in \mathcal{L}(X)$ , we have  $I_X + P \in \mathcal{H}(X)$ . Suppose now  $T \in \mathcal{L}(X; Y)$  and  $\|T\| < \|S_0\|^{-1}$ . It follows that  $I_X + S_0 T \in \mathcal{H}(X)$ . For such  $T$ , we then have

$$T_0 + T = T_0(I_X + S_0 T).$$

Also,

$$(T_0 + T)(I_X + S_0 T)^{-1} S_0 = T_0(I_X + S_0 T)(I_X + S_0 T)^{-1} S_0 = T_0 S_0 = I_Y.$$

Therefore,  $T_0 + T \in \mathcal{L}_R$  for  $T \in B(T_0, \|S_0\|^{-1})$  and  $\mathcal{L}_R$  is open.

Now let  $T_0 \in \mathcal{L}_L$  and  $S_0 \in \mathcal{L}(Y; X)$  be such that  $S_0 T_0 = I_X$ . Again, for  $T \in \mathcal{L}(X; Y)$  with  $\|T\| < \|S_0\|^{-1}$ , we have

$$T_0 + T = (I_X + T S_0) T_0.$$

and

$$S_0(I_X + T S_0)^{-1}(T_0 + T) = I_X.$$

Therefore,  $\mathcal{L}_R$  is also open.

2. Let  $T \in \mathcal{L}_R$  and  $S \in \mathcal{L}(Y; X)$  be such that  $TS = I_Y$ . Then for any  $y \in Y$  let  $x = Sy$ . It follows that  $Tx = TSy = y$ . Also,  $\|x\| \leq \|S\| \|y\|$  so the 4th item in open mapping theorem guarantees that  $T$  is surjective. Hence,  $\mathcal{L}_L \subset \{T \in \mathcal{L}(X; Y) : T \text{ is surjective}\}.$

Now let  $T \in \mathcal{L}_L$  and  $S \in \mathcal{L}(Y; X)$  such that  $ST = I_X$ . Now for any  $x \in X$ , we have  $\|x\| = \|STx\| \leq \|S\| \|Tx\|$ . Then the closed range theorem guarantees that  $T$  is injective with closed range. Hence,  $\mathcal{L}_R \subset \{T \in \mathcal{L}_R(X; Y) : T \text{ is injective with closed range}\}.$

3. \*\*\*TO-DO\*\*\* □

## 2 Practice problems

### Problem 1

Suppose  $\omega : [0, \infty) \rightarrow [0, \infty]$  any function such that  $\omega(x) = 0$  if and only if  $x = 0$ ,  $\omega$  continuous at 0, and  $\omega$  is nondecreasing. For  $f : X \rightarrow Z$  define

$$[f]_\omega = \sup \left\{ \frac{d(f(x), f(y))}{\omega(d(x, y))} : x, y \in X, x \neq y \right\}$$

and the space

$$C^{0,\omega}(X; Z) = \{f : X \rightarrow Z \mid [f]_\omega < \infty\}.$$

1. Prove that  $C^{0,\omega}(X; Z) \subset C^0(X; Z)$ .

*Proof.* Let  $x \in X$  and  $\varepsilon > 0$ . It follows that for any  $x \neq y$  we have

$$d(f(x), f(y)) \leq [f]_\omega \omega(d(x, y)).$$

Since  $\omega(0) = 0$ ,  $\omega$  continuous at 0, and  $\omega$  is nondecreasing, we can find  $\delta > 0$  such that  $0 \leq t < \delta$  implies  $0 \leq \omega(t) < \varepsilon$ . Therefore,  $d(x, y) < \delta$  implies  $d(f(x), f(y)) < \varepsilon [f]_\omega$ . Since  $[f]_\omega < \infty$ ,  $f$  is continuous and  $C^{0,\omega}(X; Z) \subset C^0(X; Z)$ .  $\square$

2. Suppose  $Z$  Banach. Show that  $\|f\|_{C^{0,\omega}} = \|f\|_{C^0} + [f]_\omega$  is a norm on  $C_b^{0,\omega}(X; Z) = C_b^0(X; Z) \cap C^{0,\omega}(X; Z)$ , and that  $C_b^{0,\omega}(X; Z)$  is complete with respect to this norm.

*Proof.* It is easy to show that  $\|\cdot\|_{C^{0,\omega}}$  is indeed a norm on  $C_b^{0,\omega}(X; Z)$ . Now we show that  $C_b^{0,\omega}(X; Z)$  is complete with respect to this norm. Suppose  $\{f_n\} \subset C_b^{0,\omega}$  Cauchy. Then it is also Cauchy in  $C_b^0$ . Therefore there is  $f \in C_b^0$  such that  $f_n \rightarrow f$  under  $C^0$  norm. Remain to show  $[f - f_n]_\omega \rightarrow 0$ . Let  $x, y \in X$  and  $x \neq y$  and  $m, n \geq N$  implies  $[f_m - f_n]_\omega < \varepsilon$ . Then,

$$\frac{\|f_m(x) - f_m(y) - f_n(x) + f_n(y)\|_Z}{\omega(d(x, y))} < \varepsilon.$$

Take  $m \rightarrow \infty$  and take supremum of all  $x, y \in X$  with  $x \neq y$  completes the proof.  $\square$

3. Suppose that  $X$  is compact and  $d \in \mathbb{N}$ , show that  $B_{C^{0,\omega}(X; \mathbb{R}^d)}[0, 1] \subset C^0(X; \mathbb{R}^d)$  is compact.
4. Suppose  $X$  compact and infinite, and  $d \in \mathbb{N}$ . Show that  $B_{C^{0,\omega}}[0, 1] \subset C^{0,\omega}$  is not compact. Conclude that  $\text{id} : (C^{0,\omega}, \|\cdot\|_{C^0}) \rightarrow (C^{0,\omega}, \|\cdot\|_{C^{0,\omega}})$  is not continuous. Also conclude that  $(C^{0,\omega}, \|\cdot\|_{C^0})$  is not complete.
5. Another way to see this last fact is to first prove  $C^{0,\omega}(X; \mathbb{R}^d)$  is a strict subset of  $C^0(X; \mathbb{R}^d)$ . It is helpful to study the sets  $E_n = \{f \in C^0(X; \mathbb{R}^d) : [f]_\omega \leq n\}$ . Show that  $C^{0,\omega}(X; \mathbb{R})$  is dense in  $C^0(X; \mathbb{R})$ . Use this to show that  $C^{0,\omega}(X; \mathbb{R}^d)$  is dense in  $C^0(X; \mathbb{R}^d)$ , and conclude  $(C^{0,\omega}(X; \mathbb{R}^d), \|\cdot\|_{C^0})$  is not complete.