

**Tutorial Questions****Question 1.**

Let  $(u_1, u_2, u_3)$  be a list of vectors of a vector space  $V$ .

- Show that if  $(u_1, u_2, u_3)$  span  $V$  then so does the list  $(u_1 - u_2, u_2 - u_3, u_3)$ .
- Show that if  $(u_1, u_2, u_3)$  is linearly independent then so is the list  $(u_1 - u_2, u_2 - u_3, u_3)$ .

*Solution.* a. We will show that  $\text{span}(u_1, u_2, u_3) = \text{span}(u_1 - u_2, u_2 - u_3, u_3)$ , even they are not necessarily equal to  $V$ . The  $\supseteq$  inclusion is clear, so let us prove  $\text{span}(u_1, u_2, u_3) \subseteq \text{span}(u_1 - u_2, u_2 - u_3, u_3)$ . Let  $v \in \text{span}(u_1, u_2, u_3)$ . There exists scalars  $\lambda_1, \lambda_2$  and  $\lambda_3$  such that

$$\begin{aligned} v &= \lambda_1 u_1 + \lambda_2 u_2 + \lambda_3 u_3 \\ &= \lambda_1(u_1 - u_2) + (\lambda_2 + \lambda_1)(u_2 - u_3) + (\lambda_3 + \lambda_2)u_3. \end{aligned}$$

Since all of  $\lambda_1, \lambda_2 + \lambda_1$  and  $\lambda_3 + \lambda_2$  are in  $\mathbf{F}$ , we have just shown that  $v$  belongs to  $\text{span}(u_1 - u_2, u_2 - u_3, u_3)$ , which finishes the proof.

- b. Suppose that  $(u_1, u_2, u_3)$  is linearly independent and let  $\lambda_1, \lambda_2$  and  $\lambda_3$  be scalars such that

$$0 = \lambda_1(u_1 - u_2) + \lambda_2(u_2 - u_3) + \lambda_3 u_3.$$

We have to show that this implies that all the  $\lambda_i$  are zeros. We have

$$0 = \lambda_1 u_1 + (\lambda_2 - \lambda_1)u_2 + (\lambda_3 - \lambda_2)u_3.$$

By linear independence of  $(u_1, u_2, u_3)$ , all the coefficients  $\lambda_1, \lambda_2 - \lambda_1$  and  $\lambda_3 - \lambda_2$  are zeros. This directly implies that all the  $\lambda_i$  are 0, as required. ■

**Question 2.**

Let  $V$  be a finite dimensional vector space and suppose that  $U \subseteq V$  is a subspace. Prove that if  $\dim(U) = \dim(V)$  then  $U = V$ .

*Solution.* By assumption,  $\dim(U) = \dim(V)$ . So there exists a finite basis  $\mathcal{B} = (u_1, \dots, u_n)$  of  $U$ , where  $n = \dim(V)$ . Then  $\mathcal{B}$  is a linearly independent family of vectors of  $U$ , hence also of vectors of  $V$ . Since it has  $\dim(V)$  elements it is spanning in  $V$ . So  $U = \text{span}(\mathcal{B}) = V$ . ■

**Question 3.**

Consider the subspace  $U = \{p \in \mathcal{P}(\mathbf{R})_2 \mid p(1) = 0\}$  of  $\mathcal{P}(\mathbf{R})_2$ .

- Find a basis for  $U$ . (Hint: if a polynomial  $p$  satisfies  $p(a) = 0$  then  $(x - a)$  is a factor of  $p(x)$ .)
- Extend the basis you found to a basis of  $\mathcal{P}(\mathbf{R})_2$ .
- Find a subspace  $W$  of  $\mathcal{P}(\mathbf{R})_2$  such that  $U \oplus W = \mathcal{P}(\mathbf{R})_2$ .

*Solution.* We will show the result for a general field  $\mathbf{F}$ .

- a. On one hand, we know that  $\mathcal{P}(\mathbf{F})_2$  is of dimension 3 (with basis  $(1, x, x^2)$ ). On the other hand,  $U \neq \mathcal{P}(\mathbf{F})_2$  (for example, because  $x \notin U$ ). By Question 2, this implies that  $U$  is of dimension at most 2. So if we find a linearly independent family of length 2 we are done. For example, one can take the family  $(p_1 = x - 1, p_2 = x^2 - 1)$ . We claim that this family is linearly independent. Indeed, if  $0 = \lambda p_1 + \mu p_2$ , then

$$0 = \mu x^2 + \lambda x + (-\lambda - \mu)$$

and therefore  $\mu = 0 = \lambda$ , which proves the claim.

- b. We already have a length 2 linearly independent family. So for any  $q \in \mathcal{P}(\mathbf{F})_2$  not in  $\text{span}(p_1, p_2) = U$ , the family  $(p_1, p_2, q)$  is linearly independent by the linear dependence lemma. Since it is a length 3 linear independent family in a dimension 3 vector space, it is linearly independent.

For a concrete example, one can take  $q = 1$  the constant polynomial 1. But  $q = x$  or  $q = x^2$  also work.

- c. Let  $q \notin U$  be any polynomial in  $\mathcal{P}(\mathbf{F})_2$  but not in  $U$ . We claim that for  $W := \text{span}(q)$  we have  $U \oplus W = \mathcal{P}(\mathbf{F})_2$ . It is clear from above that  $U + W = \mathcal{P}(\mathbf{F})_2$ . It remains to prove that the sum is direct. Let  $p$  be in  $U \cap W$ . We need to show that  $p = 0$ . We have  $p = \lambda q \in U$ . If  $p \neq 0$ , then  $q = \lambda^{-1}p \in U$ ; a contradiction. ■

**Question 4.**

Let  $U$  and  $W$  be 3-dimensional subspaces of  $\mathbf{R}^5$ . What are the possible values of  $\dim(U \cap W)$ ? Can  $U + W$  be a direct sum?

*Solution.* Once again, we will prove the statement for a general  $\mathbf{F}$ .

We have

$$\dim(U + W) = \dim(U) + \dim(W) - \dim(U \cap W) \leq \dim(\mathbf{R}^5) = 5.$$

In other words,  $\dim(U \cap W) = \dim(U) + \dim(V) - \dim(U + W) \geq 3 + 3 - 5 = 1$ . But we also have  $\dim(U + W) \leq \dim(U) = 3$ . So,  $\dim(U + W)$  belongs to  $\{1, 2, 3\}$ . We now show that all this value can happen.

If  $U = W_1$ , for example  $U = W_1 = \{[x_1, x_2, x_3, 0, 0]^\top \mid x_i \in \mathbf{F}\}$ , then  $\dim(U \cap W_1) = 3$ .

For  $U$  as above and  $W_2 = \{[0, x_2, x_3, x_4, 0]^\top \mid x_i \in \mathbf{F}\}$  the subspace  $U \cap W_2 = \{[0, x_2, x_3, 0, 0]^\top \mid x_i \in \mathbf{F}\}$  is of dimension 2.

Finally, for  $U$  as above and  $W_3 = \{[0, 0, x_3, x_4, x_5]^\top \mid x_i \in \mathbf{F}\}$  the subspace  $U \cap W_3 = \{[0, 0, x_3, 0, 0]^\top \mid x_i \in \mathbf{F}\}$  is of dimension 1.

Since  $\dim(U \cap W) \geq 1$ , the sum  $U + W$  is never direct. Indeed, the sum  $U + W$  is direct if and only if  $U \cap W = \{0\}$ , if and only if  $\dim(U \cap W) = 0$ . ■

### Question 5.

*Let  $V$  be a vector space. Suppose that for each integer  $m > 0$ , there exists a list of  $m$  linearly independent vectors in  $V$ . Prove that  $V$  is infinite dimensional.*

*Solution.* By Grassman's exchange lemma, if  $V$  has a linearly independent family of length  $m$ , then any spanning family has length at least  $m$ . In particular, any base of  $V$  has at least  $m$  elements, for all  $m \in \mathbf{N}$ . We conclude that a basis cannot be finite. ■

## Further Questions

### Question 6.

*Prove that  $\mathbf{R}^{\mathbf{N}}$  is infinite dimensional. (More generally, if  $S$  is an infinite set then  $(\mathbf{F}^S)_0$  and  $\mathbf{F}^S$  are infinite dimensional.)*

*Solution.* By Question 5, it is enough to exhibit arbitrary long linearly independent lists. For any  $i \in \mathbf{N}_{\geq 1}$ , define  $e_i = (0, \dots, 0, 1, 0, \dots)$  to be a list with a 1 in coordinate  $i$  and 0 everywhere else. We claim that for any  $m$ , the family  $(e_1, \dots, e_m)$  is linearly independent. Indeed, let  $\lambda_i$  be scalars such that

$$0 = \sum_{i=1}^m \lambda_i e_i.$$

Then

$$(0, 0, \dots) = (\lambda_1, \dots, \lambda_m, 0, \dots)$$

forcing all the  $\lambda_i$  to be 0.

A similar proof shows that  $(\mathbf{F}^S)_0$  (and thus also  $\mathbf{F}^S$ ) are infinite dimensional as soon as  $S$  is infinite. Indeed, for  $s \in S$  one can define a map  $\chi_s: S \rightarrow \mathbf{F}$  by

$$\chi_s(t) = \begin{cases} 1 & \text{if } t = s \\ 0 & \text{otherwise.} \end{cases}$$

We now claim that for any finite subset  $A \subseteq S$ , the family  $(\chi_a)_{a \in A}$  is linearly independent. Indeed, let  $(\lambda_a)_{a \in A}$  be a family of scalars such that

$$0 = \sum_{a \in A} \lambda_a \chi_a.$$

Then, for  $a \in A$  one can evaluate both sides of the above equality on  $a$  to obtain  $0 = \lambda_a \cdot 1$ , and thus  $\lambda_a = 0$ .

**To go further**

It is in fact possible to show that for any  $S$ , finite or infinite, we have  $\dim((\mathbf{F}^S)_0) = \#S$ . Recall that for finite  $S$ , one have  $(\mathbf{F}^S)_0 = \mathbf{F}^S$ .

■

**Question 7.**

*Explain why all the subspaces of  $\mathbf{R}^3$  are precisely  $\{0\}$ , all straight lines through the origin, all planes through the origin, and  $\mathbf{R}^3$ .*

*Solution.* First of all, let us remind that a line in  $\mathbf{R}^3$  is a subset of the form

$$L_{u,v} = \{\lambda u + v \mid \lambda \in \mathbf{R}\}$$

for some vectors  $v$  and  $u \neq 0$ . A line  $L_{u,v}$  goes through the origin if and only if  $v = 0$ . Let us fix  $u \in \mathbf{R}^3$ . One easily check that  $0 \in L_{u,0}$  and that  $L_{u,0}$  is closed under addition and scalar multiplication. In consequence  $L_{u,0}$  is a subspace of  $\mathbf{R}^3$ , of dimension 1 ( $(u)$  is a basis).

Similarly, a plane in  $\mathbf{R}^3$  is a subset of the form

$$P_{u_1,u_2,v} = \{\lambda_1 u_1 + \lambda_2 u_2 + v \mid \lambda_1, \lambda_2 \in \mathbf{R}\}$$

for some vectors  $v$  and non-collinear (that is linearly independent)  $u_1$  and  $u_2$ . Once again, a plane  $P_{u_1,u_2,v}$  goes through the origin if and only if  $v = 0$  and  $P_{u_1,u_2,0}$  is a subspace of  $\mathbf{R}^3$ , of dimension 2 ( $(u_1, u_2)$  is a basis).

Finally, it is clear that both  $\{0\}$  and  $\mathbf{R}^3$  are subspaces of  $\mathbf{R}^3$  (of respective dimension 0 and 3). We will now prove that any subspace  $U$  of  $\mathbf{R}^3$  is of the form  $\{0\}$ ,  $L_{u,0}$  (for some non-zero  $u$ ),  $P_{u_1,u_2,0}$  (for some linearly independent  $u_1$  and  $u_2$ ) or  $\mathbf{R}^3$ . To do this, we will do a case-by-case analysis depending on  $\dim(U) \in \{0, \dots, 3\}$ .

If  $\dim(U) = 0$ , then  $U = \{0\}$ .

If  $\dim(U) = 3 = \dim(\mathbf{R}^3)$ , then  $U = \mathbf{R}^3$  by Question 2.

Suppose now that  $\dim(U) = 1$  and take any  $u \neq 0$  in  $U$ . Then  $\dim(\text{span}(u)) = 1 = \dim(U)$  and thus  $U = \text{span}(u) = \{\lambda u \mid \lambda \in \mathbf{R}\} = L_{u,0}$  is a line through the origin. We also have the converse: any line through the origin is a subspace of dimension 1.

Suppose finally that  $\dim(U) = 2$ . Take any  $u_1 \neq 0$  in  $U$ . Since  $\dim(\text{span}(u)) = 1 < 2 = \dim(U)$ , there exists  $u_2 \in U \setminus \text{span}(u_1)$ . By the linear dependence lemma, the

family  $(u_1, u_2)$  is linearly independent and hence a basis of  $U$ . So  $U = \text{span}(u_1, u_2) = \{\lambda_1 u_1 + \lambda_2 u_2 \mid \lambda_i \in \mathbf{R}\} = P_{u_1, u_2, 0}$  is a plane containing the origin. We also have the converse: any plane containing the origin is a subspace of dimension 2.

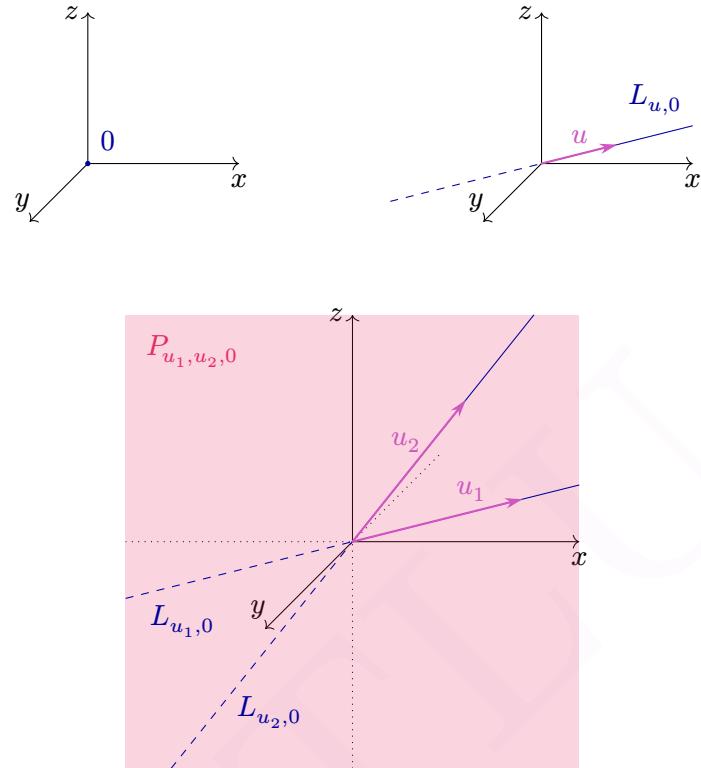


Figure 1: Subspaces of dimension 0 (the origin), 1 (line through the origin) and 2 (plane through the origin) of  $\mathbf{R}^3$ . The last kind of subspace is  $\mathbf{R}^3$  itself.

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