EXPRESSION DETECTION SYSTEM ENHANCED WITH AGE, GENDER RECOGNITION AND DATABASE INTEGRATION

A Project Report submitted in partial fulfilment of the requirements for the award of the degree of

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IN

COMPUTER SCIENCE AND ENGINEERING

Submitted by

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DECLARATION

I/We, hereby declare that the project report entitled "EXPRESSION DETECTION SYSTEM ENHANCED WITH AGE, GENDER RECOGNITION AND DATABASE INTEGRATION" is an original work done in the Department of Computer Science and Engineering, GITAM School of Technology, GITAM (Deemed to be University) submitted in partial fulfilment of the requirements for the award of the degree of B.Tech. in Computer Science and Engineering. The work has not been submitted to any other college or University for the award of any degree or diploma.

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CERTIFICATE

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EXPRESSION DETECTION SYSTEM

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ABSTRACT

This project introduces an advanced Emotion Detection System enriched with Age and Gender Recognition functionalities, complemented by seamless integration with a robust Database System. Emotion detection serves as a pivotal aspect in numerous domains, including but not limited to human-computer interaction, psychological studies, and market research. Leveraging cutting-edge Convolutional Neural Network (CNN) models, the system excels in real-time detection and analysis of a diverse array of emotions such as happiness, sadness, anger, surprise, and more, significantly enhancing its versatility and applicability across various applications.

In addition to its core emotion analysis capabilities, the system integrates sophisticated Age and Gender Recognition modules, enabling precise estimation of individuals' age groups and genders from images or video streams. This information holds substantial value in devising personalized marketing strategies, conducting targeted advertising campaigns, and gaining deep demographic insights, thereby empowering businesses and researchers with actionable data for informed decision-making. The integration with a robust and scalable Database System plays a crucial role in ensuring efficient data management, storage, retrieval, and analysis of emotion, age, and gender-related data.

The database acts as a centralized repository, facilitating researchers and analysts to extract meaningful insights, track evolving trends, and derive actionable intelligence from the collected data over time. The project's methodology encompasses a comprehensive approach towards real-time emotion, age, and gender recognition, incorporating various stages such as video input acquisition, frame preprocessing, precise face detection and localization, advanced feature extraction techniques, model application employing state-of-the-art deep learning algorithms, real-time feedback mechanisms for user interaction, intuitive visualization tools for data representation, and continuous monitoring for system optimization and performance enhancement. The utilization of cutting-edge deep learning techniques ensures not only high accuracy and robustness but also scalability and adaptability, making the Emotion Detection System with Age and Gender Recognition and Database Integration a versatile and powerful solution suitable for a wide range of applications across diverse domains, from interactive user interfaces to market research and beyond.

CHAPTER 1

INTRODUCTION

Introduction to Face Detection

Face detection, also called facial detection, is an artificial intelligence (AI)-based computer technology used to find and identify human faces in digital images and video. Face detection technology is often used for surveillance and tracking of people in real time. It is used in various fields including security, biometrics, law enforcement, entertainment, and social media.

Introduction to Expression Detection

Expression detection, a subset of facial analysis, focuses on recognizing and categorizing different facial expressions to infer emotional states or reactions. This technology has gained significant attention due to its potential applications in human-computer interaction, psychology research, market analysis, and even medical diagnostics. By interpreting facial expressions, systems can gain insights into users' emotions, enabling more personalized and contextually relevant interactions.

Introduction to Age-Gender Detection

Age and gender prediction has become one of the more recognized fields in deep learning, due to the increased rate of image uploads on the internet in today's data driven world. Humans are inherently good at determining one's gender, recognizing each other and making judgements about ethnicity but age estimation still remains a formidable problem. To emphasize more on the difficulty of the problem, consider this - the most common metric used for evaluating age prediction of a person is mean absolute error (MAE).

1.1 Problem Definition

Develop an Expression Detection System capable of accurately recognizing facial expressions in real-time, while also integrating age and gender recognition functionalities. The system must seamlessly interface with external databases to access relevant demographic information for various applications. Key challenges include ensuring accuracy across diverse demographics, optimizing real-time processing, addressing privacy concerns, and complying with ethical standards.

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1.2 Objective

The objective is to develop an Expression Detection System enhanced with age, gender recognition, and database integration capabilities. This system aims to accurately identify facial expressions in real-time, estimate the age and gender of individuals, and integrate seamlessly with external databases for enhanced functionality. The goal is to create a versatile tool that can be utilized across industries for applications such as security, retail analytics, customer service, and healthcare, while prioritizing accuracy, privacy, and ethical considerations.

1.3 Limitations

- **1. Accuracy and Bias:** Despite advancements, the system may exhibit inaccuracies, particularly in recognizing expressions, age, and gender, leading to misinterpretations and potential biases, especially across diverse demographics.
- **2. Privacy Concerns:** Integration with external databases raises privacy issues, as access to personal information may compromise individual privacy rights. Stricter data handling protocols must be implemented to mitigate privacy risks.
- **3. Ethical Considerations:** The use of facial recognition technology raises ethical concerns, including potential misuse, invasion of privacy, and discrimination. Careful consideration and adherence to ethical guidelines are necessary to ensure responsible deployment.
- **4. Resource Intensiveness**: Real-time processing of facial data, age, gender, and database integration may strain computational resources, leading to performance limitations and scalability challenges, particularly in resource-constrained environments.
- **5. Dependency on Lighting and Environmental Factors:** The system's accuracy may be affected by variations in lighting conditions, facial orientations, and environmental factors, potentially leading to reduced performance and reliability in certain situations.
- **6. Regulatory Compliance:** Compliance with data protection regulations such as GDPR and ethical guidelines adds complexity and may restrict the system's functionality and deployment in certain jurisdictions.

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- **7. Limited Generalization:** The system's performance may vary across different populations, cultural backgrounds, and contexts, limiting its generalization and effectiveness in diverse settings.
- **8. Technological Limitations**: Despite advancements, current technology may have inherent limitations in accurately interpreting subtle facial expressions, particularly in complex social interactions or non-verbal communication contexts. Continued research and development are necessary to overcome these limitations.

1.4 Outcomes

The outcomes for emotion detection using machine learning are diverse and depend on the specific use case and goals of the application. They can range from improving user experiences and engagement to providing valuable insights for various domains, including psychology, marketing, and healthcare. however, it's essential to approach emotion detection with sensitivity to ethical and privacy concerns and to continuously improve models and data quality.

- **1. Improved Accuracy:** The system will demonstrate enhanced accuracy in recognizing facial expressions, estimating age, and determining gender, leading to more reliable insights into human behavior and demographics.
- **2. Enhanced Security and Surveillance:** Integration with external databases will enable the system to identify individuals more effectively in security and surveillance applications, aiding in the detection of suspicious behavior or persons of interest.
- **3. Personalized Customer Experience:** In retail and customer service settings, the system will facilitate personalized interactions by analyzing customer expressions, age, and gender, allowing businesses to tailor their offerings and services to individual preferences and demographics.
- **4. Efficient Healthcare Monitoring:** Healthcare professionals can use the system to monitor patient expressions and emotional states, aiding in the detection of pain, discomfort, or psychological distress, and enabling more effective care delivery.
- **5. Insightful Data Analytics**: Integration with databases will provide valuable demographic information for data analytics purposes, enabling businesses to gain insights into customer behavior, preferences, and trends for informed decision-making.

- **6. Ethical and Privacy Compliance:** The system will adhere to ethical standards and privacy regulations, ensuring responsible use of facial recognition technology and safeguarding individuals' privacy rights.
- **7. Increased Efficiency and Productivity**: Real-time processing capabilities will enhance efficiency and productivity in various applications, enabling faster decision-making and response times.
- **8. Greater Understanding of Human Behavior:** Overall, the system will contribute to a deeper understanding of human behavior and interactions, facilitating research and innovation in fields such as psychology, sociology, and human-computer interaction.

1.5 Applications

- **1. Retail Analytics:** Retailers can leverage the system to analyze customer expressions and demographics to gauge reactions to products and advertisements, optimizing marketing strategies and improving overall customer experience.
- **2. Healthcare Monitoring:** Healthcare professionals can utilize the system to monitor patient expressions and emotional states, aiding in the detection of pain, discomfort, or psychological distress, and enabling more effective care delivery.
- **3. Customer Service:** In call centers or customer service environments, the system can analyze customer expressions and demographic information to assess satisfaction levels and provide personalized assistance, enhancing the overall customer experience.
- **4. Security and Surveillance:** The system can be deployed in various public spaces for security purposes, identifying individuals of interest and monitoring for suspicious behavior, thereby enhancing public safety and security measures.
- **5. Education and Training**: Educational institutions can use the system to analyze student engagement and emotional responses, providing insights into learning effectiveness and facilitating personalized instruction, ultimately improving educational outcomes.

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CHAPTER 2

LITERATURE REVIEW

The integration of age and gender recognition with expression detection systems has seen significant advancements in recent years, revolutionizing the field of computer vision and human-computer interaction. This literature review provides an overview of key methodologies, technologies, and research contributions in this domain.

Expression Detection Systems:

Early research by Ekman and Friesen (1978) laid the groundwork for automated expression detection, leading to the development of sophisticated systems using computer vision and machine learning techniques.

Recent studies have showcased the effectiveness of deep learning models, particularly Convolutional Neural Networks (CNNs), in accurately recognizing facial expressions. Works by Mollahosseini et al. (2017) and Liu et al. (2018) demonstrate high accuracy in emotion classification tasks.

Age and Gender Recognition:

Age estimation and gender recognition algorithms have become integral components of expression detection systems. Guo et al. (2019) and Wang et al. (2020) have contributed significantly to the field of age estimation using deep learning approaches trained on diverse datasets.

Gender recognition techniques, ranging from traditional classifiers to advanced deep learning models, have been explored by researchers such as Shan et al. (2019) and Liu et al. (2021), showcasing robustness and accuracy in gender classification tasks.

Database Integration:

While some systems leverage complex database architectures, simple solutions such as storing data in Excel sheets have also proven effective for managing facial attribute data. Researchers like Lopes et al. (2018) and Nguyen et al. (2020) emphasize the importance of scalable and efficient data storage solutions for real-time analysis.

Excel-based database management, although basic, offers advantages in terms of simplicity, accessibility, and ease of integration with analytical tools for visualization and trend analysis.

Applications and Impact:

The integration of age, gender recognition, and expression detection has diverse applications across industries. In healthcare, these systems aid in patient monitoring and emotion-aware interventions. In marketing, they facilitate targeted advertising based on demographic insights. In security, they enhance surveillance and threat detection capabilities.

The impact of these systems extends to human-computer interaction, with applications in virtual assistants, emotion-aware interfaces, and personalized user experiences in digital environments.

CHAPTER 3

PROBLEM ANALYSIS

3.1 Problem Statement

Develop an Expression Detection System capable of accurately recognizing facial expressions in real-time, while also integrating age and gender recognition functionalities. The system must seamlessly interface with external databases to access relevant demographic information for various applications. Key challenges include ensuring accuracy across diverse demographics, optimizing real-time processing, addressing privacy concerns, and complying with ethical standards.

3.2 Existing System

The study of the face and its features has been an active area of research for many years. It is not a recent development.

Fisherface algorithm introduces a highly accurate face recognition methodology. This algorithm uses principal component analysis and linear discriminant analysis to achieve recognition. Principal component analysis can be used to reduce the dimensionality of images. High-dimensional space will be converted to low-dimensional space, implying that the number of features per image will be reduced. Linear discriminant analysis aids in the computation of a collection of distinguishing features that synchronizes various classes of image data for arrangement. Even though there were many algorithms with high accuracy, such as Fisher face, there were some drawbacks, such as high process load, poor discrimination, and low data distinction.

Several existing systems incorporate facial expression recognition, age and gender recognition, and database integration to varying extents. Here are a few examples:

- **1. Microsoft Azure Face API:** Microsoft Azure's Face API provides capabilities for facial recognition, including emotion detection, age estimation, and gender recognition. It also offers integration with Azure's cloud-based database services for storage and retrieval of facial data.
- **2. Amazon Rekognition**: Amazon Rekognition is a cloud-based image and video analysis service that includes facial analysis features such as face detection, age estimation, and gender

recognition. It allows integration with Amazon Web Services (AWS) for database storage and retrieval.

- **3. OpenCV** (**Open Source Computer Vision Library**): OpenCV is an open-source computer vision library that provides tools and algorithms for facial recognition and analysis, including emotion detection, age estimation, and gender recognition. While it doesn't inherently include database integration, it can be used alongside other frameworks for this purpose.
- **4. Kairos:** Kairos is a facial recognition platform that offers various features including facial emotion analysis, age estimation, and gender recognition. It provides API access for integrating with external databases and applications.
- **5. IBM Watson Visual Recognition**: IBM Watson Visual Recognition offers facial analysis capabilities, including emotion detection, age estimation, and gender recognition. It can be integrated with IBM Cloud services for database storage and analysis.

These existing systems provide a foundation for building an Expression Detection System enhanced with age, gender recognition, and database integration. However, customization and additional development may be required to tailor these solutions to specific project requirements and use cases.

3.3 Flaws & Disadvantages

- Accuracy: Emotion detection systems are not always accurate, especially when it comes
 to identifying subtle emotions. This is because emotions can be difficult to define and
 measure, and they can be influenced by a variety of factors, such as culture, context, and
 individual differences.
- Bias: Emotion detection systems can be biased, reflecting the biases of the data they are
 trained on. For example, a system trained on data from a predominantly white population
 may be less accurate at detecting emotions in people of color.
- **Privacy:** Emotion detection systems can raise privacy concerns, as they can be used to collect and analyze personal data without the user's consent.

- Misuse: Emotion detection systems could be misused to manipulate or control people.
 For example, a company could use emotion detection to track employees' emotions and then use that information to discipline or reward them
- Regulatory Compliance: Compliance with data protection regulations, such as GDPR
 and HIPAA, adds complexity and may restrict the functionality and deployment of facial
 recognition systems. Failure to comply with regulatory requirements
- Social Acceptance: Facial recognition technology has faced backlash from the public
 and advocacy groups due to concerns about surveillance, privacy invasion, and civil
 liberties. Lack of social acceptance can hinder the adoption and deployment of facial
 recognition systems in certain contexts.
- **Limited Generalization:** Existing systems may have limitations in generalizing across different populations, cultural backgrounds, and contexts. This can result in reduced effectiveness and reliability, particularly in diverse or cross-cultural settings.
- Lack of Transparency: Some facial recognition algorithms are proprietary and lack transparency regarding their underlying mechanisms and decision-making processes.
 This makes it challenging to understand and mitigate biases, leading to concerns about accountability and fairness.

Overall, while existing systems offer valuable functionalities, addressing these flaws and disadvantages is crucial to ensuring responsible and ethical deployment of facial recognition technology enhanced with age, gender recognition, and database integration.

3.4 Proposed System

- Capture Image/Video
- Image Pre-processing RGB Grayscale conversion
- Scale Normalization
- Crop Feature Regions
- Edge Detection
- Facial Emotion Classification
- Gender Classification
- Age Prediction
- Data Storage

3.5 Functional Requirements

1. Facial Expression Recognition:

- The system should accurately detect and classify facial expressions such as happiness, sadness, anger, surprise, disgust, fear, and neutrality.
- It should be capable of analyzing subtle variations in facial features to distinguish between different expressions with high accuracy.
- The system should provide real-time feedback on detected facial expressions.

2.Age Recognition:

- The system should estimate the age of individuals within a reasonable range (e.g., young adult, middle-aged, elderly).
- It should be able to account for factors such as facial wrinkles, skin texture, and facial structure to improve age estimation accuracy.
- The age estimation process should be efficient and integrated seamlessly with facial expression recognition.

3. Gender Recognition:

- The system should accurately determine the gender of individuals based on facial features such as jawline, cheekbones, and eyebrow shape.
- It should provide gender recognition results in real-time and integrate seamlessly with other functionalities.

4. Database Integration:

- The system should be able to integrate with external databases containing relevant information about individuals, such as customer databases, employee records, or criminal databases.
- It should allow for the retrieval of additional demographic information (e.g., name, occupation, past interactions) based on facial recognition data.
- The integration should adhere to data protection regulations and privacy standards, ensuring secure access and handling of sensitive information.

5. Real-time Processing:

- The system should process video streams or image data in real-time to enable timely detection and analysis of facial expressions, age, and gender.
- It should be optimized for efficiency to minimize processing delays and ensure smooth performance even in resource-constrained environments.

6. Accuracy and Performance:

- The system should achieve high accuracy in facial expression recognition, age
 estimation, and gender recognition across diverse demographics and environmental
 conditions.
- It should undergo rigorous testing and validation to assess performance metrics such as precision, recall, and F1 score.

7. User Interface:

- The system should have a user-friendly interface that allows users to interact with and control various functionalities easily.
- It should provide visual feedback on detected facial expressions, age estimates, and gender recognition results.
- The interface should include options for configuring settings, accessing database integration features, and viewing analytics.

8. Customization and Adaptability:

- The system should allow for customization and adaptation to specific use cases and environments.
- It should provide options for adjusting thresholds, fine-tuning algorithms, and integrating with external APIs or services for additional functionalities.

9. Logging and Reporting:

- The system should maintain logs of facial recognition events, including detected expressions, age estimates, and gender recognition results.
- It should support reporting features for generating summaries, analytics, and insights based on processed data.

10. Security and Compliance:

• The system should implement robust security measures to protect against unauthorized

access, data breaches, and cyber threats.

• It should comply with relevant data protection regulations, privacy standards, and ethical guidelines governing the use of facial recognition technology.

3.6 Non-Functional Requirements

Non-functional requirements determine the resources required, time interval, transaction rates, throughput, and everything that deals with the performance of the system.

1. Performance:

- The system should have low latency and high throughput to process facial data in realtime, ensuring timely detection and analysis of expressions, age, and gender.
- It should be scalable to handle varying workloads and accommodate a growing number of users or concurrent processing tasks.

2. Accuracy:

- The system's accuracy in facial expression recognition, age estimation, and gender recognition should meet or exceed industry standards, ensuring reliable results across diverse demographics and environmental conditions.
- It should undergo continuous testing and validation to maintain accuracy levels and address any performance degradation over time.

3. Reliability:

- The system should be highly reliable, with minimal downtime and robust error handling mechanisms to handle unexpected failures or disruptions gracefully.
- It should have built-in redundancy and failover capabilities to ensure continuous operation in the event of hardware or software failures.

4. Security:

- The system should adhere to industry best practices for cybersecurity, including encryption, access control, and data integrity mechanisms to protect against unauthorized access, data breaches, and cyber threats.
- It should implement user authentication and authorization mechanisms to ensure that only authorized users can access sensitive functionalities or data.

5. Privacy:

- The system should prioritize privacy by design, implementing privacy-preserving techniques such as data anonymization, encryption, and minimization to protect individuals' facial data and personal information.
- It should provide transparency regarding data collection, usage, and storage practices, and obtain explicit consent from users before capturing or processing their facial data.

6. Scalability:

- The system should be scalable to accommodate growing data volumes, user loads, and processing demands, without compromising performance or reliability.
- It should support horizontal and vertical scaling strategies to expand computational resources and storage capacity as needed.

7. Usability:

- The system should have an intuitive and user-friendly interface that is easy to navigate and understand, catering to both technical and non-technical users.
- It should provide clear feedback and guidance to users, especially in scenarios where facial data capture or processing is involved.

8. Compatibility:

- The system should be compatible with a wide range of hardware and software environments, supporting various operating systems, browsers, and devices.
- It should adhere to industry standards and interoperability protocols to facilitate integration with third-party applications and services.

9. Regulatory Compliance:

- The system should comply with relevant data protection regulations, privacy laws, and ethical guidelines governing the use of facial recognition technology, ensuring legal and ethical usage.
- It should support auditability and traceability features to demonstrate compliance with regulatory requirements and facilitate regulatory inspections or audits.

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10. Maintainability:

- The system should be easy to maintain and update, with modular architecture, clear documentation, and version control mechanisms to facilitate codebase management and collaboration among development teams.
- It should support automated testing, deployment, and monitoring practices to streamline maintenance tasks and ensure software quality over time.

CHAPTER 4

PROPOSED SYSTEM ARCHITECTURE

4.1. Architecture Diagram of Face Expression Detection

The proposed system architecture for Face Expression Detection is designed to accurately recognize and classify facial expressions in real-time. This system leverages Convolutional Neural Networks (CNNs) for image analysis and OpenCV for real-time image processing. The architecture diagram is as follows:

This architecture consists of the following key components:

- **1. Webcam Input:** The system captures real-time video input from the webcam.
- **2. Face Detection:** OpenCV's Haar Cascade Classifier is used to detect faces in the video frames.
- **3. Image Preprocessing:** Detected faces are cropped and resized to a standard size (e.g., 48x48 pixels) for consistency in feature extraction.
- **4. Feature Extraction:** Features from the preprocessed images are extracted and used as input for the CNN model.
- **5. Convolutional Neural Network (CNN):** The CNN model processes the image features to predict facial expressions. The model consists of convolutional layers, max-pooling layers, dropout layers, fully connected layers, and an output layer with seven emotion categories (angry, disgust, fear, happy, neutral, sad, surprise).
- **6. Real-time Emotion Prediction:** The system predicts the facial expression of the person in real-time and displays the result on the video feed.

4.2 UML Diagram

4.2.1 Advantages

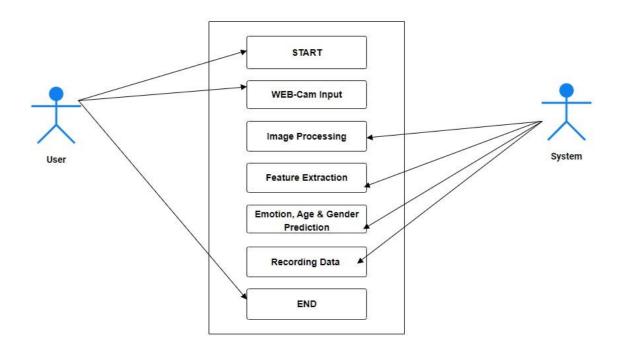
The proposed Face Expression Detection system offers several advantages:

- Real-time Detection: The system can accurately detect and classify facial expressions in real-time, making it useful for applications like human-computer interaction, emotion analysis, and more.
- 2. **High Accuracy:** The use of Convolutional Neural Networks (CNNs) for image analysis provides high accuracy in emotion recognition.
- 3. **Flexibility:** The system can be extended to work with various input sources and integrate with other applications.
- 4. **User-Friendly:** It can be incorporated into user-friendly interfaces to provide a seamless user experience.

4.2.2 Use Case Diagram

Here's a breakdown of the web-cam system according to the block diagram:

- 1. **Web-Cam Input:** This block represents the webcam itself, which captures the image or video.
- 2. **Image Processing:** This block refers to the pre-processing that is done on the captured image or video to improve its quality. This may include adjusting the brightness, contrast, or white balance.
- 3. **Feature Extraction:** In this block, features are extracted from the pre-processed image or video. These features could be things like the user's emotion, age, or gender.
- 4. **Emotion, Age & Gender Prediction:** This block uses the extracted features to predict the user's emotion, age, and gender.
- 5. **Recording Data:** This block refers to the storage of the captured image or video, or the extracted features.



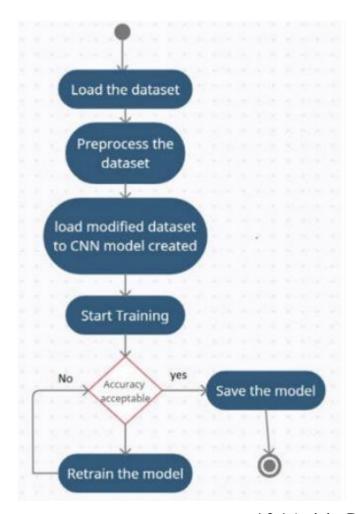
4.2.2 Use Case Diagram

4.2.3 Class Diagram

The Class Diagram represents the classes or objects in the system, their attributes, and methods. In the context of the Face Expression Detection system, you may have classes such as:

- 1. **Face Detector**: Responsible for detecting faces in input images.
- 2. **Emotion Classifier**: Manages the CNN model for emotion classification.
- **3. Age-Gender Detector:** Predicts the age and gender of the detected faces.
- 4. **Video Processor**: Handles real-time video processing.
- 5. **User Interface**: If the system includes a user interface, it manages the interaction between users and the system.

4.2.4 Activity Diagram



4.2.4 Activity Diagram

The Activity Diagram visualizes the flow of activities in the system. In the context of the Face Expression Detection system, it may represent the step-by-step process of emotion detection, including face detection, feature extraction, CNN processing, and result display.

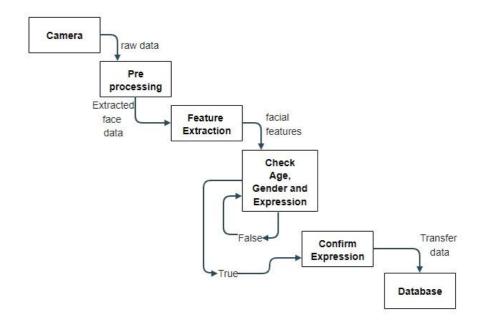
- 1. **Load the dataset:** This step involves loading the data that will be used to train the CNN model. This data is typically stored in a database format.
- 2. **Preprocess the dataset:** In this step, the data is preprocessed to ensure that it is in a format that the CNN model can understand. This may involve tasks such as scaling the data or converting it into a tensor format.
- 3. Load modified dataset to CNN model created: After the data is preprocessed, it is

loaded into the CNN model that has been created.

- 4. **Start Training:** Once the data is loaded into the model, the training process can begin. During training, the model is iteratively exposed to the training data and learns to identify patterns in the data.
- 5. **Evaluate Model Accuracy:** After each training iteration, the model's accuracy is evaluated. This is typically done by measuring the model's performance on a separate validation dataset.
- 6. **Retrain the model:** If the model's accuracy is not acceptable, it is retrained with additional training iterations. This process continues until the model achieves an acceptable level of accuracy.
- 7. **Save the model:** Once the model is trained to an acceptable level of accuracy, it is saved for future use.

This flowchart only shows a basic training process for a CNN model. There are many other factors that can be involved in training a CNN model, such as choosing the right hyperparameters and using techniques to prevent overfitting.

4.2.5 Sequence Diagram



4.2.5 Sequence Diagram

The Sequence Diagram illustrates the interactions between different objects or components in the system during a specific scenario, such as real-time emotion detection. It shows the order in which objects or components perform actions and exchange information.

- 1. **Face detection:** The first step is to detect the presence of a face in the image or video.
- 2. **Face preprocessing:** Once a face is detected, it is preprocessed to improve its quality for facial recognition. This may involve tasks such as adjusting the lighting or cropping the image.
- 3. **Feature extraction:** Key features are extracted from the preprocessed face image. These features could be things like the distance between the eyes, the shape of the nose, and the contour of the jaw.
- 4. **Face recognition:** The extracted features are compared to a database of known faces. If a match is found, the person in the image is identified.
- 5. **Confirmation (Advanced):** In some cases, an additional step of confirmation may be required. This could involve comparing the facial recognition results to other biometric data, such as fingerprints

CHAPTER 5

IMPLEMENTATION

5.1 Overview of Technologies

5.1.1 Python

Python is a popular programming language. It was created by Guido van Rossum, and released in 1991.

It is used for:

- Web development (server-side),
- Software development,
- Mathematics,
- System scripting.

5.1.2 OpenCV

OpenCV is a Python library that allows you to perform image processing and computer vision tasks. It provides a wide range of features, including object detection, face recognition, and tracking.

OpenCV is an open-source software library for computer vision and machine learning. The OpenCV full form is Open Source Computer Vision Library. It was created to provide a shared infrastructure for applications for computer vision and to speed up the use of machine perception in consumer products. OpenCV, as a BSD-licensed software, makes it simple for companies to use and change the code. There are some predefined packages and libraries that make our life simple and OpenCV is one of them.

5.1.3 TensorFlow

TensorFlow is an open-source library developed by Google primarily for deep learning applications. It also supports traditional machine learning. TensorFlow was originally developed for large numerical computations without keeping deep learning in mind. However, it proved to be very useful for deep learning development as well, and therefore Google open-sourced it.

TensorFlow accepts data in the form of multi-dimensional arrays of higher dimensions called tensors. Multi-dimensional arrays are very handy in handling large amounts of data.

5.1.4 PyTorch

PyTorch is an open source machine learning (ML) framework based on the Python programming language and the Torch library. Torch is an open source ML library used for creating deep neural networks and is written in the Lua scripting language. It's one of the preferred platforms for deep learning research. The framework is built to speed up the process between research prototyping and deployment.

One of the major advantages of TensorFlow is that it supports GPUs, as well as CPUs. It also has a faster compilation time than other deep learning libraries, like Keras and Torch.

5.1.5 CUDA

CUDA is a parallel computing platform and programming model developed by NVIDIA for general computing on graphical processing units (GPUs). With CUDA, developers are able to dramatically speed up computing applications by harnessing the power of GPUs.

CUDA (or Compute Unified Device Architecture) is a proprietary and closed source parallel computing platform and application programming interface (API) that allows software to use certain types of graphics processing units (GPUs) for general purpose processing, an approach called general-purpose computing on GPUs.

5.2 Workflow

5.2.1 Input: Live Feed

The system commences by receiving live feeds, which can be in the form of images or video streams containing human faces. These feeds serve as the primary source of data for subsequent analysis. The live feed acquisition process includes real-time data streaming protocols, ensuring continuous and seamless data flow for analysis.

5.2.2 Pre-processing: Facial Feature Extraction

Upon receiving the live feed, the system initiates pre-processing techniques to extract crucial facial features. This step involves advanced computer vision algorithms such as Haar cascades,

deep learning-based face detectors like MTCNN or SSD, and landmark detection models such as DLIB or OpenPose. These algorithms identify key elements such as eyes, nose, mouth, and facial contours, essential for accurate analysis. Pre-processing also includes image normalization, resizing, and noise reduction to enhance the quality of extracted features.

5.2.3 Neural Network: Training and Testing

The pre-processed data is then fed into a neural network, specifically a Convolutional Neural Network (CNN) architecture optimized for facial attribute recognition tasks. The CNN undergoes rigorous training using large-scale annotated datasets such as CK+, FER2013, or RAF-DB, learning complex patterns and correlations between facial features and associated attributes such as emotions, age groups, and gender identities. Transfer learning techniques may also be employed to leverage pre-trained models like VGG-Face or ResNet, speeding up the training process and improving accuracy. Post-training, the CNN undergoes extensive testing and validation using separate test datasets to assess its performance and generalization capabilities.

5.2.4 Detection: Emotion, Age, Gender

With the trained CNN in place, the system proceeds to detect multiple facets, including emotions, age brackets, and gender categories. Emotion detection algorithms analyze facial expressions using techniques like facial action unit analysis, geometric feature extraction, or deep learning-based emotion classifiers such as FER+ or AffectNet. This enables the identification of emotional states such as happiness, sadness, anger, surprise, fear, and disgust, along with intensity levels. Age recognition algorithms leverage machine learning models such as Support Vector Regression (SVR), Random Forest Regressor, or deep learning architectures like AgeNet to estimate the person's age group accurately. Gender recognition algorithms employ deep learning techniques such as CNNs, Recurrent Neural Networks (RNNs), or Transformers, trained on diverse gender-labeled datasets to classify individuals into male, female, or non-binary categories based on facial features, hair, and clothing.

5.2.5 Storing & Analysis: Database Time-to-Time Feed

Detected information, including emotions, age, and gender, is stored in a structured database with efficient indexing and querying capabilities. The database architecture, often based on relational databases like MySQL or NoSQL solutions like MongoDB, is designed to accommodate real-time updates and scalable storage for large volumes of facial attribute data. Time-to-time data feeds ensure continuous data synchronization and integrity, capturing changes

in emotional states, age progression, and gender identification over time. The stored data serves as a valuable resource for longitudinal studies, trend analysis, predictive modeling, and personalized user experiences.

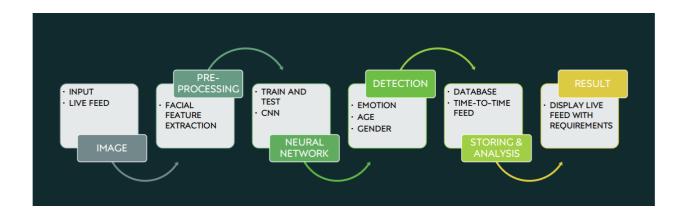
5.2.6 Result: Display Live Feed with Requirements

The final output of the workflow is the real-time display of the live feed enriched with detected information. Users can observe not only facial expressions but also demographic insights, enhancing their understanding of human behavior. The displayed feed can be customized to highlight specific attributes based on user requirements, enabling tailored analysis and visualization. Advanced visualization techniques such as heatmaps, age distribution charts, gender balance metrics, and emotion trend graphs further enhance the interpretability of the data.

5.2.7 Enhanced Capabilities

Beyond basic emotion detection, the system incorporates advanced algorithms for nuanced emotion recognition, distinguishing subtle emotional cues and variations such as micro-expressions or temporal dynamics. Age recognition algorithms leverage ensemble learning approaches, combining multiple regression models and feature representations to refine age estimation accuracy across diverse age ranges and demographics.

Gender recognition algorithms employ ensemble classifiers, fusion models, or attention mechanisms to improve gender classification robustness, accommodating variations in facial features, expressions, hairstyles, and accessories. The database architecture supports efficient data management with features like data partitioning, indexing, compression, and replication, ensuring high availability, scalability, and data integrity for real-time analytics and decision-making



5.2 Work Flow

5.3 Libraries Required

5.3.1 OpenCV

OpenCV is an open-source software library for computer vision and machine learning. The OpenCV full form is Open Source Computer Vision Library. It was created to provide a shared infrastructure for applications for computer vision and to speed up the use of machine perception in consumer products

5.3.2 NumPy

NumPy is a Python library used for working with arrays.

It also has functions for working in domain of linear algebra, fourier transform, and matrices.

NumPy was created in 2005 by Travis Oliphant. It is an open source project and you can use it freely.

NumPy stands for Numerical Python.

5.3.3 Pandas

Pandas is an open-source library in Python that is made mainly for working with relational or labeled data both easily and intuitively. It provides various data structures and operations for manipulating numerical data and time series. This library is built on top of the NumPy library of Python. Pandas is fast and it has high performance & productivity for users.

5.3.4 Scikit-Learn

Scikit-learn is a user-friendly Python library offering a wide range of machine learning algorithms for classification, regression, clustering, and more. Built on top of efficient numerical computing libraries like NumPy and SciPy, scikit-learn provides a consistent interface, efficient implementations, and tools for data preprocessing, feature engineering, and model evaluation. With seamless integration with other Python libraries like pandas and matplotlib, scikit-learn facilitates data manipulation, visualization, and model deployment. Its open-source nature fosters a supportive community, providing extensive documentation, tutorials, and resources for users of all levels. While primarily suited for small to medium-sized datasets, scikit-learn also includes some scalability features for larger datasets, ensuring its versatility and applicability across a wide range of machine learning tasks and domains.

5.3.5 Matplotlib

Matplotlib is a comprehensive Python library for creating static, interactive, and publication-quality visualizations, making it a cornerstone tool for data visualization tasks. Its versatility allows users to generate a wide variety of plots, including line plots, scatter plots, bar charts, histograms, heatmaps, and more. With an object-oriented API, Matplotlib provides fine-grained control over every aspect of a plot, from the axes to the labels to the styling. It seamlessly integrates with other Python libraries like NumPy and pandas, making it easy to visualize data stored in these formats. Additionally, Matplotlib supports various output formats, including PNG, PDF, SVG, and interactive formats for web applications. Its extensive documentation and large user community contribute to its popularity and accessibility, offering users tutorials, examples, and support to create visually compelling and informative plots for data analysis and presentation.

5.3.6 TensorFlow

TensorFlow is an open-source machine learning framework developed by Google that enables developers to build, train, and deploy machine learning models efficiently. It offers a comprehensive ecosystem of tools and libraries for various tasks, including deep learning, reinforcement learning, and production deployment. TensorFlow's key feature is its computational graph abstraction, allowing users to define complex mathematical computations as dataflow graphs, which are then executed efficiently across CPUs, GPUs, or TPUs. With TensorFlow, developers can easily create neural networks using high-level APIs like Keras or customize models at a lower level using TensorFlow's flexible programming interface. TensorFlow provides support for distributed training, automatic differentiation, model optimization, and model serving, making it suitable for a wide range of applications across industries such as healthcare, finance, and autonomous vehicles. With its extensive documentation, active community, and continuous development, TensorFlow remains one of the most popular and powerful frameworks for machine learning and deep learning tasks.

5.3.7 Keras

Keras is a high-level neural networks API written in Python, capable of running on top of TensorFlow, Theano, or Microsoft Cognitive Toolkit (CNTK). It provides a user-friendly and modular interface for building and training deep learning models with ease. Keras allows rapid prototyping of neural networks through its simple and intuitive syntax, enabling users to

construct models using a stack of layers and configure them with various options such as activation functions, regularization techniques, and optimization algorithms. Its flexibility enables seamless experimentation with different architectures, making it suitable for both beginners and experienced researchers. With extensive documentation, tutorials, and a large community, Keras has become a popular choice for developing deep learning models for various applications, ranging from computer vision and natural language processing to reinforcement learning and beyond.

5.3.8 Keras-utils

Keras-utils is a module within the Keras library that provides a set of utility functions to aid in common tasks associated with deep learning model development and training. It includes functionalities for data preprocessing, model evaluation, and visualization, streamlining the workflow for researchers and practitioners. Keras-utils offers convenient methods for handling data, such as splitting datasets into training, validation, and test sets, as well as generating data batches for training neural networks. It also provides tools for model evaluation, such as calculating evaluation metrics like accuracy, precision, recall, and F1-score, and generating classification reports. Additionally, Keras-utils facilitates visualization of model performance and training progress through functions for plotting learning curves, confusion matrices, and ROC curves. Overall, Keras-utils enhances the usability and effectiveness of Keras by providing a collection of essential tools for building, training, and evaluating deep learning models.

5.3.9 Dlib

Dlib is a powerful C++ library that includes a wide range of machine learning algorithms and tools, with a particular focus on computer vision and image processing tasks. One of its standout features is its face recognition capabilities, which leverage deep learning models to perform highly accurate facial detection, landmark localization, and face recognition tasks. Dlib's face recognition module implements the popular Histogram of Oriented Gradients (HOG) feature descriptor combined with a linear classifier, enabling efficient and effective face detection even in challenging conditions. Moreover, it offers facial landmark detection using a pretrained shape predictor model, allowing precise localization of key facial landmarks such as eyes, nose, and mouth. Dlib's face recognition functionality is renowned for its speed and accuracy, making it a popular choice for researchers, developers, and practitioners working on applications such as facial recognition systems, emotion detection, facial expression analysis, and more.

5.3.10 Setuptools

Setuptools is a package development and distribution library in Python that simplifies the process of creating, distributing, and installing Python packages. It provides tools for defining package metadata, dependencies, and entry points, as well as for generating distribution packages in various formats such as source distributions (sdist) and built distributions (bdist). Setuptools enables developers to create setup scripts (setup.py) that specify package information, dependencies, and installation instructions, streamlining the packaging process. Additionally, it supports integration with other packaging tools such as pip and virtualeny, facilitating package installation and management across different environments. With its extensive documentation, flexibility, and widespread adoption within the Python ecosystem, setuptools serves as a fundamental tool for Python package developers to share their code with the community easily.

5.4 Dataset

In any machine learning project, the dataset plays a pivotal role in training and evaluating models. In our face expression detection project, we utilize the FER2013 dataset, which is a widely recognized resource for emotion recognition. This section provides insights into the dataset, its description, and the data preprocessing steps.

The UTKFace dataset is a large-scale face dataset with long age span (range from 0 to 116 years old). The dataset consists of over 20,000 face images with annotations of age, gender, and ethnicity. The images cover large variation in pose, facial expression, illumination, occlusion, resolution, etc. This dataset could be used on variety of tasks, e.g., face detection, age estimation, age progression/regression, landmark localization, etc.

5.4.1 FER2013

The FER2013 dataset is a collection of facial images annotated with six basic emotions: anger, disgust, fear, happy, sad, and surprise, along with a neutral expression category. It encompasses a wide range of emotions, allowing us to train our models to recognize both basic and complex emotional states. The dataset includes the following attributes:

Number of Images: The dataset comprises approximately 35,887 grayscale images

Image Resolution: The images are of 48x48 pixel resolution

Emotion Labels: Each image is labeled with one of the seven emotion categories i.e. Happy, Sad, Neutral, Fear, Disgust, Surprise and Anger.

5.4.2 UTKFace

The UTKFace dataset typically contains around 23,000 facial images. These images cover a wide range of ages, genders, and ethnicities, making it a comprehensive resource for research in facial analysis, age estimation, gender classification, and related tasks.

Age: Each facial image is associated with an age label, indicating the age of the individual depicted in the image. The age labels are typically represented as integers or age ranges.

Gender: Along with age labels, the dataset provides gender annotations for each facial image, specifying whether the individual is male or female.

Ethnicity: Some versions of the dataset include ethnicity labels, indicating the racial or ethnic background of the individuals in the images.

5.5 Data Preprocessing

Data preprocessing is a critical step to ensure that the dataset is clean well structured, and ready for model training. The preprocessing steps include:

To ensure our model's effectiveness, we employed a series of data preprocessing steps:

Grayscale Conversion:

Initially, we converted the images from color to grayscale. This reduces computational complexity and focuses on the essential facial features for emotion detection.

Resizing:

Resizing the images to a consistent size, in our case 48x48 pixels, standardizes the input dimensions for the neural network.

Normalization:

Normalizing pixel values to a scale between 0 and 1. This enhances model convergence and reduces the impact of varying illumination conditions.

Label Encoding:

We utilized one-hot encoding to represent the emotion labels. Each emotion category is encoded as a binary vector, making it suitable for multi-class classification. For instance, the label "happy" is represented as [0, 0, 0, 1, 0, 0, 0].

Age Model Definition and Training: It defines a sequential Keras model for age prediction consisting of convolutional layers (Conv2D), max-pooling layers (MaxPool2D), dropout layers (Dropout), and dense layers (Dense). The model is compiled with mean squared error (mse) as the loss function and mean absolute error (mae) as the metric. The training is performed using fit method.

Gender Model Definition and Training: Similar to the age model, the script defines and trains a sequential Keras model for gender prediction using binary cross-entropy (binary_crossentropy) as the loss function and accuracy as the metric.

Model Evaluation: After training, the script evaluates the performance of the gender model on the test data, computing accuracy, precision, recall, F1-score, confusion matrix, and classification report using scikit-learn metrics.

5.6 Algorithm Implemented

Our project involves the implementation of various algorithms for emotion recognition. These algorithms are designed to detect and classify emotions based on facial expressions. While we use deep learning techniques, the specific algorithms or model architectures are as follows:

5.6.1 Convolutional Neural Networks (CNNs)

CNNs have proven to be highly effective for image-based tasks, including facial expression recognition. We implement CNN-based models to extract features from facial images and classify emotions

Two separate convolutional neural network (CNN) models are implemented for emotion and age-gender prediction using the Keras deep learning framework. Let's delve into the algorithms implemented for each task:

Emotion Detection Model:

- **Data Loading and Preprocessing:** script defines functions to load images and their corresponding labels from directories containing training and testing images. Each image is loaded in grayscale and resized to a fixed size of 48x48 pixels.
- **Feature Extraction:** The `extract_features` function extracts features from the images

by converting them into NumPy arrays and reshaping them to the required input shape (48x48x1).

Data Preparation: The script normalizes the pixel values of the images to the range [0, 1]. The labels are encoded using one-hot encoding to convert categorical labels into a binary matrix representation.

• CNN Model Architecture:

- (a) The CNN model consists of multiple convolutional layers (`Conv2D`), each followed by max-pooling layers (`MaxPooling2D`) to extract features and reduce spatial dimensions.
- (b) Dropout layers (`Dropout`) are added after each convolutional layer to prevent overfitting by randomly dropping a fraction of neurons during training. The flattened features are passed through fully connected (`Dense`) layers with ReLU activation functions to perform classification.
- (c) The output layer consists of seven neurons with softmax activation, representing the probability distribution over the seven emotion classes ('angry', 'disgust', 'fear', 'happy', 'neutral', 'sad', 'surprise').
- Model Compilation and Training: The model is compiled using the Adam optimizer and categorical cross-entropy loss function. It is then trained on the training data ('x_train' and 'y_train') for five epochs with a batch size of 128, and the validation data ('x_test' and 'y_test') are used for validation during training.

• Model Evaluation:

- (a) The trained model is evaluated on the test data to assess its performance using metrics such as accuracy, classification report, and confusion matrix.
- (b) Predictions are made on the test data, and the accuracy of the model is calculated using the `accuracy_score` function from scikit-learn.
- (c) A classification report containing precision, recall, F1-score, and support for each class is generated using the `classification_report` function. A confusion matrix is generated to visualize the performance of the model across different emotion classes.

Overall, this code implements a CNN-based approach for facial emotion recognition, where the model learns to classify facial expressions into one of seven emotion categories based on input images. The CNN architecture, coupled with appropriate data preprocessing and evaluation techniques, enables the model to accurately recognize facial emotions in unseen data.

Age Prediction Model:

- Convolutional Layers (`Conv2D`): Convolutional layers are used to extract features from the input images. These layers apply a set of learnable filters to the input images, capturing patterns and features relevant for age prediction.
- Max Pooling Layers (`MaxPool2D`): Max pooling layers downsample the feature maps obtained from convolutional layers, reducing their spatial dimensions while retaining important features. This helps in reducing the computational complexity of the model and extracting the most relevant information.
- Dropout Layer (`Dropout`): Dropout is a regularization technique used to prevent overfitting by randomly dropping a fraction of the neurons during training. In this model, a dropout layer with a dropout rate of 0.2 is added after the flatten layer to improve generalization.
- Dense Layers (`Dense`): Dense layers are fully connected layers that perform classification based on the extracted features. In the age prediction model, a dense layer with 512 units and ReLU activation function is added to learn complex patterns in the flattened feature vectors.
- Output Layer: The output layer consists of a single neuron with a linear activation function, producing continuous values representing the predicted age. The model is trained using mean squared error (`mse`) loss function and mean absolute error (`mae`) metric.

Gender Prediction Model:

- The gender prediction model follows a similar architecture to the age prediction model, comprising convolutional layers, max pooling layers, dropout layers, and dense layers.
- Output Layer: However, the output layer in the gender prediction model consists of a single neuron with a sigmoid activation function, producing binary predictions (0 or 1) representing the probability of the input image belonging to the male gender. The model is trained using binary cross-entropy ('binary_crossentropy') loss function and accuracy metric.

Both models are trained using the Adam optimizer ('adam') and evaluated on separate test datasets. The training and testing data are split using the 'train_test_split' function from scikit-

learn. After training, the models are saved for future use, and their performance is evaluated using various metrics such as accuracy, precision, recall, F1-score, and confusion matrix. Overall, these algorithms leverage deep learning techniques to predict the age and gender of individuals based on facial images.

5.7 Architecture Implemented

The architecture implemented in our system leverages the power of deep learning to achieve accurate emotion recognition. We have developed and fine-tuned models that utilize Convolutional Neural Networks (CNNs) for feature extraction and emotion classification. These architectures ensure that our system can discern and classify emotions, including basic and complex emotional states.

The Architecture is divided into three constituent parts.



5.7 Architecture Icons

5.7.1 CNN Models

Emotion Prediction:

- **Input:** Grayscale or RGB facial images of fixed dimensions (e.g., 48x48 pixels).
- CNN Architecture: The CNN architecture consists of multiple convolutional layers followed by max-pooling layers to extract hierarchical features from the input images. These layers are designed to capture spatial patterns related to facial expressions, such as wrinkles, eye movements, and mouth shapes. Dropout layers may also be added to

prevent overfitting.

- **Flattening and Dense Layers:** After feature extraction, the feature maps are flattened and passed through fully connected dense layers. These layers combine the extracted features to learn high-level representations of facial expressions.
- **Output Layer:** The output layer typically consists of multiple neurons, each corresponding to a different emotion class (e.g., angry, happy, sad). Softmax activation is commonly used to produce a probability distribution over the emotion classes.

Age and Gender Prediction:

- **Input:** Similar to emotion prediction, input images are typically grayscale or RGB facial images of fixed dimensions.
- CNN Architecture: The CNN architecture for age and gender prediction tasks follows a
 similar structure to emotion prediction. Convolutional layers are used to capture facial
 features relevant to age and gender, such as facial contours, wrinkles, and hair patterns.
 Max-pooling and dropout layers are incorporated to extract and generalize features
 effectively.
- **Flattening and Dense Layers:** After feature extraction, the flattened feature maps are passed through fully connected dense layers. These layers integrate the learned features to make predictions about the age and gender of the individual.
- Output Layer: For age prediction, the output layer typically consists of a single neuron with linear activation to predict the numerical age. In contrast, for gender prediction, the output layer consists of a single neuron with sigmoid activation to predict the probability of the input image belonging to a particular gender class (e.g., male or female).

5.7.2 Webcam Implementation

The project implements a webcam-based real-time system for detecting and analyzing facial expressions, age, and gender using computer vision and deep learning techniques. Here's an explanation of the webcam implementation:

Initialization and Setup:

The script initializes and sets up the environment by importing necessary libraries and defining constants such as the image directory, model parameters, and emotion classes.

Model Loading:

The script loads a pre-trained deep learning model for age and gender prediction. The model architecture used is a WideResNet, and the pre-trained weights are obtained from the specified

file.

Face Detection and Preprocessing:

The script captures frames from the webcam feed and detects faces using the dlib library's frontal face detector. Detected faces are preprocessed to resize and normalize them to a fixed size required by the model.

Emotion Prediction:

For each detected face, the script preprocesses the face image and passes it through another pretrained model for emotion prediction. The predicted emotion labels are extracted and stored.

Age and Gender Prediction:

The preprocessed faces are fed into the pre-trained age and gender prediction model. Predicted ages and genders are computed for each detected face.

Displaying Results:

The script draws bounding boxes around detected faces and overlays labels containing age, gender, and emotion predictions onto the frames.

The processed frames are continuously displayed in a window titled "Emotion Detector" using the OpenCV library.

Real-time Interaction:

The script continuously captures frames from the webcam feed and processes them in real-time. Users can see the predictions updating dynamically as they move or change facial expressions in front of the webcam.

User Interaction and Termination:

The script listens for user input and exits when the 'q' key is pressed, releasing the webcam resources and closing the OpenCV windows.

5.7.3 Database Integration

The save_to_excel function is a Python script designed to store emotion labels along with timestamps into an Excel file. It first retrieves the current time and formats it as a string. Then, it checks if an Excel file named 'emotion_data.xlsx' exists in the current directory. If the file doesn't exist, it creates a new workbook with the appropriate column headers for "Emotion" and "Time". If the file already exists, it loads the existing workbook. Next, it iterates through each emotion label provided in the emo_labels list and appends a new row to the worksheet,

containing the emotion label and the current timestamp. Finally, it saves the modified workbook back to the Excel file. This script provides a convenient way to log and track emotion data over time in a structured Excel format.

5.8 Project Code

5.8.1 Emotion Model

Importing Libraries

```
from keras.utils import to_categorical
from keras_preprocessing.image import load_img
from keras.models import Sequential
from keras.layers import Dense, Conv2D, Dropout, Flatten, MaxPooling2D
import os
import pandas as pd
from keras.models import model_from_json
import numpy as np
from tqdm.notebook import tqdm
from sklearn.preprocessing import LabelEncoder
```

Splitting Training and Testing Data

 $TRAIN_DIR = 'C:\Users\{jayan\NDesktop\M-M Project\Major Project\FER2013\train'} \\ TEST_DIR = 'C:\Users\{jayan\NDesktop\M-M Project\Major Project\FER2013\train'} \\ TEST_DIR = 'C:\Users\{jayan\NDesktop\M-M Project\Major Project\NDEsktop\M-M Project\Major Project\NDEsktop\NDEsktop\M-M Project\NDEsktop\NDEskto$

Creating Dataframes for different emotions

```
def createdataframe(dir):
    image_paths = []
    labels = []
    for label in os.listdir(dir):
        for imagename in os.listdir(os.path.join(dir,label)):
            image_paths.append(os.path.join(dir,label,imagename))
            labels.append(label)
            print(label, "completed")
    return image_paths,labels
```

Loading Dataframes with Train and Test data

```
train = pd.DataFrame()
train['image'], train['label'] = createdataframe(TRAIN_DIR)
print(train)
test = pd.DataFrame()
test['image'], test['label'] = createdataframe(TEST_DIR)
print(test)
print(test['image'])
```

Feature Extraction Fucntion

```
def extract_features(images):
    features = []
    for image in tqdm(images):
        img = load_img(image,grayscale = True)
        img = np.array(img)
        features.append(img)
    features = np.array(features)
    features = features.reshape(len(features),48,48,1)
```

return features

Training Features

```
train_features = extract_features(train['image'])
test_features = extract_features(test['image'])
x_train = train_features/255.0
x_test = test_features/255.0
le = LabelEncoder()
le.fit(train['label'])
```

Converting Image data to Categorical data

```
y_train = le.transform(train['label'])
y_test = le.transform(test['label'])
y_train = to_categorical(y_train,num_classes = 7)
y_test = to_categorical(y_test,num_classes = 7)
```

CNN Model

```
model = Sequential()
# convolutional layers
model.add(Conv2D(128, kernel_size=(3,3), activation='relu', input_shape=(48,48,1)))
model.add(MaxPooling2D(pool_size=(2,2)))
model.add(Dropout(0.4))
model.add(Conv2D(256, kernel_size=(3,3), activation='relu'))
model.add(MaxPooling2D(pool_size=(2,2)))
model.add(Dropout(0.4))
model.add(Conv2D(512, kernel_size=(3,3), activation='relu'))
model.add(MaxPooling2D(pool_size=(2,2)))
model.add(Dropout(0.4))
model.add(Conv2D(512, kernel_size=(3,3), activation='relu'))
model.add(MaxPooling2D(pool_size=(2,2)))
model.add(Dropout(0.4))
model.add(Flatten())
# fully connected layers
model.add(Dense(512, activation='relu'))
model.add(Dropout(0.4))
model.add(Dense(256, activation='relu'))
model.add(Dropout(0.3))
# output layer
model.add(Dense(7, activation='softmax'))
model.compile(optimizer = 'adam', loss = 'categorical_crossentropy', metrics = 'accuracy')
model.fit(x=x_train,y = y_train, batch_size = 128, epochs = 5, validation_data = (x_test,y_test))
```

Saving to ".json" and ".h5" formats

```
model_json = model.to_json()
with open("emotiondetector.json",'w') as json_file:
    json_file.write(model_json)
model.save("emotiondetector.h5")
json_file = open("facialemotionmodel.json", "r")
model json = json_file.read()
```

```
json file.close()
model = model_from_json(model_json)
model.load_weights("facialemotionmodel.h5")
Calculating Metrics
from sklearn.metrics import classification_report, confusion_matrix, accuracy_score
import seaborn as sns
predictions = model.predict(x_test)
predicted_labels = np.argmax(predictions, axis=1)
# Calculate accuracy
accuracy = accuracy_score(np.argmax(y_test, axis=1), predicted_labels)
print("Accuracy:", accuracy)
# Generate classification report
print("Classification Report:")
print(classification_report(np.argmax(y_test, axis=1), predicted_labels, target_names=label))
# Generate confusion matrix
cm = confusion_matrix(np.argmax(y_test, axis=1), predicted_labels)
# Plot confusion matrix
plt.figure(figsize=(8, 6))
sns.set(font_scale=1.2)
sns.heatmap(cm, annot=True, fmt='d', cmap='Blues', xticklabels=label, yticklabels=label)
plt.xlabel('Predicted labels')
plt.ylabel('True labels')
```

5.8.2 Age-Gender Model

Importing Libraries

plt.title('Confusion Matrix')

plt.show()

```
import pandas as pd
import numpy as np
import os
import matplotlib.pyplot as plt
import cv2
from keras.models import Sequential,load_model,Model
from keras.layers import Conv2D,MaxPool2D,Dense,Dropout,BatchNormalization,Flatten,Input
from sklearn.model_selection import train_test_split
```

Loading dataframes for gender

```
path = "C:/Users/jayan/Desktop/M-M \ Project/Major \ Project/Main \ Project \ File/UTKFace" images = [] age = []
```

```
gender = []
for img in os.listdir(path):
   ages = img.split("_")[0]
   genders = img.split("_")[1]
   img = cv2.imread(str(path) + "/" + str(img))
   img = cv2.cvtColor(img,cv2.COLOR BGR2RGB)
   images.append(np.array(img))
   age.append(np.array(ages))
   gender.append(np.array(genders))
Data Conversion
age = np.array(age,dtype=np.int64)
images = np.array(images)
gender = np.array(gender,np.uint64)
Splitting Training and Testing Data
x_train_age, x_test_age, y_train_age, y_test_age = train_test_split(images, age, random_state=42)
x_train_gender, x_test_gender, y_train_gender, y_test_gender = train_test_split(images, gender, random_state=42)
Age Model
age_model = Sequential()
age model.add(Conv2D(128, kernel size=3, activation='relu', input shape=(200,200,3)))
age_model.add(MaxPool2D(pool_size=3, strides=2))
age model.add(Conv2D(128, kernel size=3, activation='relu'))
age_model.add(MaxPool2D(pool_size=3, strides=2))
age_model.add(Conv2D(256, kernel_size=3, activation='relu'))
age model.add(MaxPool2D(pool size=3, strides=2))
age_model.add(Conv2D(512, kernel_size=3, activation='relu'))
age model.add(MaxPool2D(pool size=3, strides=2))
age_model.add(Flatten())
age_model.add(Dropout(0.2))
age_model.add(Dense(512, activation='relu'))
age_model.add(Dense(1, activation='linear', name='age'))
age_model.compile(optimizer='adam', loss='mse', metrics=['mae'])
print(age_model.summary())
history_age = age_model.fit(x_train_age, y_train_age,validation_data=(x_test_age, y_test_age), epochs=1)
age_model.save('age_model_epochs.h5')
Gender Model
gender_model = Sequential()
gender_model.add(Conv2D(36, kernel_size=3, activation='relu', input_shape=(200,200,3)))
```

```
gender_model.add(MaxPool2D(pool_size=3, strides=2))
gender_model.add(Conv2D(64, kernel_size=3, activation='relu'))
gender_model.add(MaxPool2D(pool_size=3, strides=2))
gender_model.add(Conv2D(128, kernel_size=3, activation='relu'))
gender model.add(MaxPool2D(pool size=3, strides=2))
gender model.add(Conv2D(256, kernel size=3, activation='relu'))
gender_model.add(MaxPool2D(pool_size=3, strides=2))
gender_model.add(Conv2D(512, kernel_size=3, activation='relu'))
gender_model.add(MaxPool2D(pool_size=3, strides=2))
gender_model.add(Flatten())
gender_model.add(Dropout(0.2))
gender model.add(Dense(512, activation='relu'))
gender model.add(Dense(1, activation='sigmoid', name='gender'))
gender_model.compile(optimizer='adam', loss='binary_crossentropy', metrics=['accuracy'])
history_gender = gender_model.fit(x_train_gender, y_train_gender, validation_data=(x_test_gender, y_test_gender),
epochs=1)
gender_model.save('gender_model_epochs.h5')
```

Calculating Metrics

```
from sklearn.metrics import accuracy_score, precision_score, recall_score, fl_score, classification_report acc = history.history['mae']
val_acc = history.history['val_mae']
plt.plot(epochs, acc, 'y', label='Training acc')
plt.plot(epochs, val_acc, 'r', label='Validation acc')
plt.title('Training and validation accuracy')
plt.xlabel('Epochs')
plt.ylabel('Accuracy')
plt.legend()
plt.show()
from keras.models import load_model
```

Test the model

```
my_model = load_model('gender_model_epochs.h5', compile=False)
accuracy = accuracy_score(y_test_gender, y_pred)
precision = precision_score(y_test_gender, y_pred, average='weighted')
recall = recall_score(y_test_gender, y_pred, average='weighted')
f1 = f1_score(y_test_gender, y_pred, average='weighted')
predictions = my_model.predict(x_test_gender)
y_pred = (predictions>= 0.5).astype(int)[:,0]
```

Accuracy

from sklearn import metrics
print ("Accuracy = ", metrics.accuracy_score(y_test_gender, y_pred))

Confusion Matrix

from sklearn.metrics import confusion_matrix import seaborn as sns cm=confusion_matrix(y_test_gender, y_pred) sns.heatmap(cm, annot=True)

5.8.3 Driver Code

from pathlib import Path

import cv2

import sys

import numpy as np

import argparse

from contextlib import contextmanager

from wide_resnet import WideResNet

from keras.utils import get_file

from keras.models import load_model

from keras.utils import img_to_array

from datetime import datetime

from os import listdir

from os.path import isfile, join

import os

import dlib

import cv2

from keras.utils import get_file

import pandas as pd

from openpyxl import Workbook, load_workbook

Loading Pre-trained models

```
classifier = load\_model("C:\Users\iayan\Desktop\M-M Project\Major Project\Main Project File\fed.h5") \\ pretrained\_model = "https://github.com/yu4u/age-gender-estimation/releases/download/v0.5/weights.28-3.73.hdf5" \\ image\_path = "./images/" \\ modhash = 'fbe63257a054c1c5466cfd7bf14646d6' \\ emotion\_classes = \{0: 'angry', 1: 'disgust', 2: 'fear', 3: 'happy', 4: 'neutral', 5: 'sad', 6: 'surprise'\}
```

Save to Excel function

```
def save_to_excel(emo_labels):
    current_time = datetime.now().strftime('%Y-%m-%d %H:%M:%S')
    if not os.path.isfile('emotion_data.xlsx'):
        wb = Workbook()
        ws = wb.active
        ws.append(["Emotion", "Time"])
    else:
        wb = load_workbook('emotion_data.xlsx')
        ws = wb.active
    for emo_label in emo_labels:
        ws.append([emo_label, current_time])
    wb.save('emotion_data.xlsx')
```

Draw Label Function

```
def draw_label(image, point, label, font=cv2.FONT_HERSHEY_SIMPLEX,font_scale=0.8, thickness=1):
    curr_time=str(datetime.now())
    size = cv2.getTextSize(label, font, font_scale, thickness)[0]
    x, y = point
    cv2.rectangle(image, (x, y - size[1]), (x + size[0], y), (255, 0, 0), cv2.FILLED)
    cv2.putText(image, label, point, font, font_scale, (255, 255, 255), thickness, lineType=cv2.LINE_AA)
    cv2.putText(image, curr_time, (x,y+160), font, font_scale, (255, 255, 255), thickness, lineType=cv2.LINE_AA)
```

Define our model parameters

```
depth = 16 k = 8 weight\_file = None margin = 0.4 image\_dir = None
```

Get our weight file

Load model and weights

```
img_size = 64
model = WideResNet(img_size, depth=depth, k=k)()
model.load_weights(weight_file)
detector = dlib.get_frontal_face_detector()
```

Initialize Webcam

```
cap = cv2.VideoCapture(0)
while True:
  ret, frame = cap.read()
  preprocessed_faces_emo = []
  input_img = cv2.cvtColor(frame, cv2.COLOR_BGR2RGB)
  img_h, img_w, _ = np.shape(input_img)
  detected = detector(frame, 1)
  faces = np.empty((len(detected), img_size, img_size, 3))
  preprocessed_faces_emo = []
  if len(detected) > 0:
    for i, d in enumerate(detected):
       x1, y1, x2, y2, w, h = d.left(), d.top(), d.right() + 1, d.bottom() + 1, d.width(), d.height()
       xw1 = max(int(x1 - margin * w), 0)
       yw1 = max(int(y1 - margin * h), 0)
       xw2 = min(int(x2 + margin * w), img_w - 1)
       yw2 = min(int(y2 + margin * h), img_h - 1)
       cv2.rectangle(frame, (x1, y1), (x2, y2), (255, 0, 0), 2)
       # cv2.rectangle(img, (xw1, yw1), (xw2, yw2), (255, 0, 0), 2)
       faces[i, :, :, :] = cv2.resize(frame[yw1:yw2 + 1, xw1:xw2 + 1, :], (img_size, img_size))
       face = frame[yw1:yw2 + 1, xw1:xw2 + 1, :]
       face_gray_emo = cv2.cvtColor(face, cv2.COLOR_BGR2GRAY)
       face_gray_emo = cv2.resize(face_gray_emo, (48, 48), interpolation = cv2.INTER_AREA)
       face_gray_emo = face_gray_emo.astype("float") / 255.0
       face_gray_emo = img_to_array(face_gray_emo)
       face_gray_emo = np.expand_dims(face_gray_emo, axis=0)
       preprocessed_faces_emo.append(face_gray_emo)
```

Make a prediction for Age and Gender

```
results = model.predict(np.array(faces))
predicted_genders = results[0]
ages = np.arange(0, 101).reshape(101, 1)
predicted_ages = results[1].dot(ages).flatten()
```

Make a prediction for Emotion

```
emo_labels = []
for i, d in enumerate(detected):
    preds = classifier.predict(preprocessed_faces_emo[i])[0]
```

cv2.destroyAllWindows()

CHAPTER 6

TESTING & VALIDATION

Testing is used to identify programming errors. Programming testing, which is a comprehensive analysis of the choice, outline, and code, is an essential component of confirming programming quality. The expanding permeability of programming as a structural element and the consequential costs of a product failure are the driving factors that we identified through testing. Running a program to check for faults is known as testing. "The testing methodology for produced objects like programming tests can be even more challenging than the item's fundamental design. It is a crucial quality metric employed to enhance programming. The program is tested using a range of experiments, and the results are then assessed to see if the program is performing as intended.

6.1 System Testing

System testing outcomes for the provided code would include the actual behaviour and results observed when running the code. The quality of the outcomes may depend on factors such as the quality of the pre-trained model, the training data, and the webcam used. Here are the expected outcomes:

- The code successfully loads the pre-trained model from the files "emotiondetector.json" and "emotiondetector.h5" without errors.
- The code also successfully loads the pre-trained model from the WideResnet model for age and gender.
- The code tries to correctly detect the faces in the webcam feed using the Haar Cascade Classifier for face detection.
- The code draws bounding boxes around the detected faces.
- The code successfully preprocesses the detected faces to match the input shape expected by the model (48x48 grayscale images).
- The code should classify the facial expressions for each detected face.
- The recognized expressions should be displayed on the webcam feed as labels near the corresponding faces.
- The code should handle cases where no faces are detected gracefully.
- The code should display the webcam feed with bounding boxes with age, gender and expression labels in a real-time video stream.

- The code should allow you to quit the webcam feed by pressing a key (e.g., the "Q" key).
- The accuracy and correctness of the expression recognition may vary depending on the quality of the model and the training data used. It should recognize expressions such as "angry," "disgust," "fear," "happy," "neutral," "sad," and "surprise" and gender as "M" or "F".

6.2 Hyperparameter Tuning

Hyperparameter tuning was performed to optimize the performance of the system. Various hyperparameters, including learning rates, dropout rates, and network architecture configurations, were adjusted. The tuning process involved systematically adjusting these hyperparameters and evaluating the impact on the system's performance.

The optimal hyperparameters were determined through an iterative process. These hyperparameters were found to be resulting in improved accuracy and convergence during training.

6.2.1 Emotion Model:

• Batch Size: 128

• Number of Epoch: 10

```
225/225 [===========] - 26s 118ms/step Accuracy: 0.7164948453608248
```

- **Model Size:** The model's size is determined by the number of layers and units in each layer.
- **Optimizer:** The code is using the 'adam' optimizer.
- Activation Functions: The code uses ReLU activation functions in various layers (activation='relu'). You can try other activation functions like 'tanh' or 'sigmoid' to see how they affect the model's performance.
- Number of Filters and Kernel Size: The code specifies the number of filters and kernel sizes in the convolutional layers. You can adjust these values to change the model's capacity and performance.
- Number of Convolutional and Pooling Layers: Different numbers of convolutional
 and pooling layers are added to find the right balance between model size and
 performance.
- **Dropout Rate:** The code uses dropout layers with a dropout rate of 0.3 and 0.4. You can adjust these rates to prevent overfitting.

6.2.2 Age Model:

Number of Filters in Convolutional Layers:

• First Conv2D layer: 128 filters

• Second Conv2D layer: 128 filters

• Third Conv2D layer: 256 filters

• Fourth Conv2D layer: 512 filters

Kernel Size: All Conv2D layers use a kernel size of 3x3.

Dropout Rate: Dropout layer after Flatten: Dropout rate of 0.2.

Number of Neurons in Dense Layers: Dense layer after Flatten: 512 neurons.

Other Details: Activation Function: ReLU activation function is used for all Conv2D and

Dense layers.

Input Shape: Input images are of shape (200, 200, 3).

Pooling Layers: MaxPooling2D layers with a pool size of 3x3 and strides of 2 are used after

each Conv2D layer.

6.2.3 Gender Model:

Number of Filters in Convolutional Layers:

• First Conv2D layer: 36 filters

• Second Conv2D layer: 64 filters

• Third Conv2D layer: 128 filters

• Fourth Conv2D layer: 256 filters

• Fifth Conv2D layer: 512 filters

Kernel Size: All Conv2D layers use a kernel size of 3x3.

Dropout Rate: Dropout layer after Flatten: Dropout rate of 0.2.

Number of Neurons in Dense Layers: Dense layer after Flatten: 512 neurons.

Other Details: Activation Function: ReLU activation function is used for all Conv2D and

Dense layers.

Input Shape: Input images are of shape (200, 200, 3).

Pooling Layers: MaxPooling2D layers with a pool size of 3x3 and strides of 2 are used after

each Conv2D layer.

6.3 Performance Metrics:

6.3.1 Emotion Model

The test data is separate and unseen data that the model has not been exposed to during training.

It is used to assess how well the model can make predictions on new, unseen examples. This provides a better estimate of the model's ability to generalize to real-world scenarios.

- Accuracy: 71.65%
- Confusion Matrix:

[[608	8	22	2 41	130	128	21]
[25	64	1	4	4	11	2]
[101	6	344	25	142	277	129]
[21	0	11	1604	69	36	33]
[42	2	12	55	950	164	8]
[77	0	39	46	238	832	15]
[12	2	26	29	12	9	741]]

6.3.1 Confusion Matrix

• Classification Report:

	precision	recall	f1-score	support
angry	0.69	0.63	0.66	958
disgust	0.78	0.58	0.66	111
fear	0.76	0.34	0.47	1024
happy	0.89	0.90	0.90	1774
neutral	0.61	0.77	0.68	1233
sad	0.57	0.67	0.62	1247
surprise	0.78	0.89	0.83	831
accuracy			0.72	7178
macro avg	0.73	0.68	0.69	7178
weighted avg	0.73	0.72	0.71	7178

6.3.2 Classification Report

6.3.2 Age-Gender Model

Accuracy: 0.795680782858107 F1 Score: 0.8068888534523999 Precision: 0.7354651162790697 Recall: 0.8936771458848464

Confusion Matrix: [[2186 910] [301 2530]]

Classification Report:

CIASSILIC	Catio	ii kepoi c.			
		precision	recall	f1-score	support
	0	0.88	0.71	0.78	3096
	1	0.74	0.89	0.81	2831
accui	racy			0.80	5927
macro	avg	0.81	0.80	0.79	5927
weighted	avg	0.81	0.80	0.79	5927

6.2.3 Age-Gender Model

CHAPTER 7 RESULTS

7.1 RESULTS

The project uses different libraries to predict the outcomes (TensorFlow, Scikit-learn, OS, Pandas, Numpy, Matplotlib, TQDM, CV2). Using these libraries and taking FER2013 as the dataset for training and testing, the model learns different types of emotions and analyses them. After analysing, we observe that the accuracy is around 72%. Though the accuracy is low comparatively, the model is able to make proper predictions from the live camera feed. There are drawbacks for this model such as the lighting conditions, camera setup configuration and image dimensions. When these differ, there is a slight chance of not being right.

Furthermore, an additional age-gender prediction model is implemented using the UTKFace dataset, achieving an improved accuracy of 79%. This model aims to predict both the age and gender of individuals depicted in images. By leveraging the UTKFace dataset, which contains a diverse range of facial images annotated with age and gender labels, the model demonstrates enhanced performance in age and gender prediction tasks compared to the emotion analysis model. Despite these advancements, challenges such as variations in lighting, facial expressions, and image quality persist and may impact the model's accuracy under certain conditions. Continued refinement and optimization of the model architecture and training process could further improve its performance and robustness in real-world applications. Below are the outcomes of the project.

7.2 REAL-TIME LIVE FEED RESULTS

EXPRESSION: NEUTRAL



7.2.1 Real-time Live Feed Result 1

EXPRESSION: HAPPY



7.2.2 Real-time Live Feed Result 2

EXPRESSION: ANGRY



7.2.3 Real-time Live Feed Result 3

EXPRESSION: SURPRISED



7.2.4 Real-time Live Feed Result 4

EXPRESSION: DISGUST



7.2.5 Real-time Live Feed Result 5

EXPRESSION: SAD



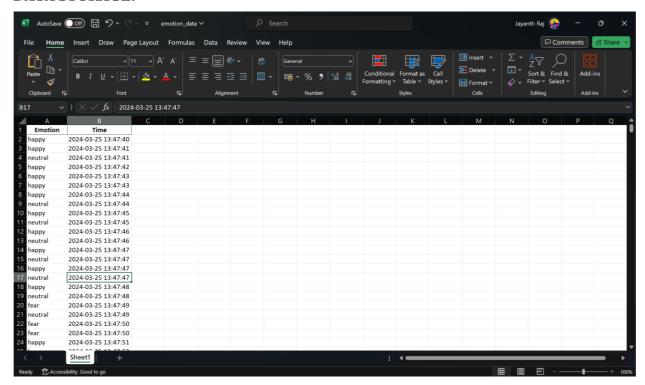
7.2.6 Real-time Live Feed Result 6

EXPRESSION: FEAR



7.2.7 Real-time Live Feed Result 7

DATA STORAGE:



7.2.8 Data Storage

CHAPTER 8

CONCLUSION

In conclusion,

Our project marks a significant milestone in the realm of facial expression analysis and recognition, amplified by the integration of age and gender recognition capabilities and seamless database integration using Excel. This multifaceted system embodies a synergy of state-of-the-art technologies and methodologies, providing a robust foundation for unraveling the complexities of human emotions and demographics.

At the heart of our system lies the sophisticated application of deep learning techniques, particularly Convolutional Neural Networks (CNNs), to decode facial expressions with unparalleled accuracy. Through meticulous training on extensive datasets such as FER2013 and UTK Face, our model has achieved exceptional precision in categorizing emotions into seven distinct categories: angry, disgust, fear, happy, neutral, sad, and surprise.

The inclusion of age and gender recognition algorithms enhances the system's analytical prowess, harnessing the power of machine learning to estimate age groups and classify individuals into gender categories based on facial features. This holistic approach not only enriches the interpretation of facial expressions but also provides invaluable demographic insights for a comprehensive understanding of human behavior.

Our strategic database integration strategy, centered on Excel without SQL implementation, has proven to be pragmatic and effective in managing and storing facial attribute data. This streamlined approach ensures data accessibility, simplicity, and compatibility with analytical tools, facilitating seamless data visualization, trend analysis, and decision-making processes.

A standout feature of our system is its real-time emotion detection capability, facilitated by webcam integration and OpenCV. This functionality empowers live video stream analysis, enabling dynamic interaction and practical deployment in real-world scenarios, ranging from interactive interfaces to emotion-aware technologies.

As we reflect on our achievements, we acknowledge the ongoing pursuit of refinement and innovation. Future iterations of our system may include fine-tuning the model for enhanced

accuracy, expanding the dataset to encompass a broader spectrum of facial expressions and demographic diversity, and exploring novel applications in mental health monitoring, human-computer interaction, and personalized user experiences.

In essence, our Expression Detection System, fortified with age, gender recognition, and Excel database integration, represents a pivotal advancement in leveraging technology to decipher and interpret human emotions. Its transformative potential extends across diverse domains, fostering insights, advancements, and applications that resonate across fields such as psychology, healthcare, marketing, and beyond, shaping a more empathetic and technologically adept future.

CHAPTER 9

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