# SIP REGISTRATION FORM
**for first time investors, submit Common Application form along with this form.**

Application No.

| Distributor ARN | Sub-Distributor ARN | Internal Sub-Broker/ Sol ID |
|---|---|---|
| 94466 | | |

| EUIN | Employee Code | RIA CODE ^ |
|---|---|---|
| E100406 | | |

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^ I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser.

☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

| You/ Sole Applicant /Guardian | Second Applicant | Third Applicant | Power of Attorney Holder |
|---|---|---|---|
| | | | |

**TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY** (Refer Instruction No. 20)

☐ I confirm that I am a first time investor across Mutual Funds.    **OR**    ☐ I confirm that I am an existing investor across Mutual Funds.

In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

**1. YOUR INFORMATION (MANDATORY)** | **EXISTING INVESTOR'S FOLIO NUMBER** (If you have an existing folio with KYC validated, please mention here) | Folio number

| Your Name (as in PAN Card / KYC records) | Mr. | Ms. | M/s. | |
|---|---|---|---|---|

| Name of the Guardian | Mr. | Ms. | M/s. | (In case First / Sole Applicant is minor) / Contact Person - Designation / PoA HOLDER (In case of Non-individual Investors) |
|---|---|---|---|---|

| Your PAN | | 2nd Holder PAN | |
|---|---|---|---|

Type your text

| 3rd Holder PAN | |
|---|---|

**DO NOT FILL THE MANDATE BELOW, IF OTM DETAILS ARE PROVIDED IN SECTION 2 ON THE NEXT PAGE.**
To register Axis One Time Mandate, please fill and submit the One Time Mandate form separately.

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◢ AXIS MUTUAL FUND
The RESPONSIBLE Mutual Fund

| | | |
|---|---|---|
| **Tick (✓)** | UMRN | Bank use    Date |
| CREATE ✓ | Sponsor Bank Code | Bank use    Utility Code    Bank use |
| MODIFY ☒ | I/We hereby authorize **Axis Mutual Fund** to debit (tick✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other | |
| CANCEL ☒ | Bank a/c number | |

| with Bank | Name of customers bank | IFSC | or MICR |
|---|---|---|---|

| an amount of Rupees | In Words | ₹ | In Figures |
|---|---|---|---|

| FREQUENCY | ☒ Mthly   ☒ Qtly   ☒ H-Yrly   ☒ Yrly   ✓ As & when presented | DEBIT TYPE | ☒ Fixed Amount   ✓ Maximum Amount |
|---|---|---|---|

| Reference 1 | PAN No. | Phone No. | |
|---|---|---|---|
| Reference 2 | All Schemes of Axis Mutual Fund | Email ID | |

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

**PERIOD**

| From | D D M M Y Y Y Y |
|---|---|
| To | D D M M Y Y Y Y |
| Or | ☐ Until Cancelled |

| 1. Signature Primary Account holder | 2. Signature of Account holder | 3. Signature of Account holder |
|---|---|---|
| Name as in bank records | Name as in bank records | Name as in bank records |

This is to confirm that the declaration (as mentioned overleaf) has been carefully read, understood & made by me / us. I am authorizing the User Entity / Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / Corporate or the bank where I have authorized the debit.

**MANDATORY FIELDS :** • Instrument Date • Account type • Bank A/c number (core banking a/c no only) • Bank name • IFSC code or MICR code (as per the cheque / pass book) • Amount (in words & in figures) • Period start date and end date or until cancelled • Account holder signature • Account holder name as per bank records. Reference 1 - PAN.

**ACKNOWLEDGMENT SLIP (To be filled by the investor)**

| Investor Name | | | |
|---|---|---|---|
| SIP Scheme 1 | | Top-up ☐ Yes ☐ No | |
| SIP Scheme 2 | | Top-up ☐ Yes ☐ No | Stamp & Signature |
| SIP Scheme 3 | | Top-up ☐ Yes ☐ No | |

## 2. SIP DETAILS

SIP Registration Mode: ☐ A-OTM  ☐ K-OTM  ☐ Mandate along with SIP form

OTM Reference No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ (if Multiple One Time Mandate are registered)

| Scheme / Plan / Option | Frequency | SIP Date (DD) | Enrollment Period (MMYY) | SIP Amount | TOP-UP Facility (Optional) Only available for Monthly SIP | |
|---|---|---|---|---|---|---|
| | | | | | Frequency | Amount |
| _____ _____ _____ _____ | ☐ Monthly ☐ Yearly | Default SIP Date 7th | From ☐☐ To ☐☐ or ☐ 1 2 9 9 | ₹ in figures _____ in words | ☐ Half Yearly  ☐ Yearly | ₹ in figures _____ in words |
| | | | | | ☐ Dynamic TOP-UP | |
| _____ _____ _____ _____ | ☐ Monthly ☐ Yearly | Default SIP Date 7th | From ☐☐ To ☐☐ or ☐ 1 2 9 9 | ₹ in figures _____ in words | ☐ Half Yearly  ☐ Yearly | ₹ in figures _____ in words |
| | | | | | ☐ Dynamic TOP-UP | |
| _____ _____ _____ _____ | ☐ Monthly ☐ Yearly | Default SIP Date 7th | From ☐☐ To ☐☐ or ☐ 1 2 9 9 | ₹ in figures _____ in words | ☐ Half Yearly  ☐ Yearly | ₹ in figures _____ in words |
| | | | | | ☐ Dynamic TOP-UP | |

SIP initial payment details (Optional)

Drawn on bank / branch name ☐☐☐☐☐☐☐☐☐  Amount ☐☐☐☐☐☐☐☐

Mode ☐ Cheque/DD  Cheque/DD ☐☐☐☐☐☐☐  Dated D D M M Y Y Y Y

In case of multiple SIP, mention "Axis MF Multiple Schemes" on the payment instrument.

## 3. Declaration and Signature (to be signed by all unit holders if mode of holding is 'joint')

I / We declare that the particulars furnished here are correct. I / We authorize Axis Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform Axis Mutual Fund about any changes in my bank account. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

| You/ Sole Applicant /Guardian | Second Applicant | Third Applicant |
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## INSTRUCTIONS FOR SIP & TOP-UP

1. Multiple SIP registration facility is not available for Axis Children's Gift Fund, Axis Liquid Fund, Gold ETF and during NFO.
2. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 days before the first SIP Installment date.
3. Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st. If SIP date is not mentioned, default date would be considered as 7th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
11. Please refer below table for minimum amount per installments:

| Scheme | Minimum Amount (₹) | Minimum Installments |
|---|---|---|
| All Schemes except Axis Liquid Fund | 1000 | 6 |
| Axis Long Term Equity Fund* | 500 | 6 |

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹1.
For Long Term Equity Fund Minimum amount is as per above table and thereafter in multiple of ₹ 500*.
5. If no amount is mentioned minimum scheme amount would be considered i.e. for Axis Long Term Equity Fund minimum amount would be ₹ 500/- and for other schemes minimum amount would be ₹1,000/-.
6. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
7. The SIP will be discontinued automatically if payment is not received for three successive installments.
8. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar Karvy. Notice of such discontinuance should be received at least 20 days prior to the due date of the next installment / debit.
9. Mandate will be processed through NACH platform offered by NPCI.
10. As per SEBI circular dated August 22, 2011, Transaction Charge per subscription of ₹ 10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new inflows only (lumpsum and SIP), subject to the following:
   - For Existing / New investors: ₹100 / ₹150 as applicable per subscription of ₹10,000/—and above.
   - Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to ₹10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
   - There shall be no transaction charge on subscription below ₹10,000/-.
   - There shall be no transaction charges on direct investments.
   - There shall be no transaction charges for transaction other than purchases/subscriptions relating to new inflows such as Switches, etc.
   - Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges.
   The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount.

However, the option to charge "transaction charges" is at the discretion of the distributors.
Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

11. Investor will not hold Axis Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debit facility. The investor assumes the entire risk of using this facility and takes full responsibility.
12. Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE - FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
13. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:
   - Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
   - The minimum amount for Axis TOP-UP facility is ₹ 500/- and in multiples of ₹ 1/- for all schemes; except Axis Long Term Equity Fund the minimum amount is ₹ 500 and in multiples of ₹ 500 thereafter.
   - If no amount is mentioned as TOP-UP amount under frequency yearly and half-yearly, minimum TOP-UP amount would be considered, i.e., ₹ 500/- for all schemes.
   - TOP-UP frequencies available are Half-Yearly/ Yearly/ Dynamic requested intervals.
   - In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default.
   - The date for Axis Mutual Fund TOP-UP Facility will correspond to the registered SIP.
   - TOP-UP will continue till the End of the SIP tenure by default.
   - In case an investor wishes to change the Top-Up amount, he/she has to provide a cancellation for the existing SIP and register fresh SIP.
   - Only TOP-UP cannot be discontinued anywhere during the SIP tenure.
   - In case of Dynamic Top up option, any changes in the amount can be made only after completion of 6 months from the date of the first installment and minimum gap between two top up requests should be 3 months and amount specified in last request shall be continued till the End of the SIP tenure.
   - Please see the illustration below to know how to calculate SIP Top-Up amount:
     - SIP Starts on 07/May/2016 · SIP ends on 07/12/2099 · SIP amount is ₹1000
     - Top-Up amount is ₹ 500 · Top-Up Frequency is Half-yearly

| Top-Up date | SIP Amount (₹) | Top-Up Amount (₹) | New SIP Amount (₹) |
|---|---|---|---|
| 7-Nov-2016 | 1000 | 500 | 1500 |
| 7-May-2017 | 1500 | 500 | 2000 |
| 7-Nov-2017 | 2000 | 500 | 2500 |
| 7-May-2018 | 2500 | 500 | 3000 |

# ONE TIME MANDATE (OTM) FORM

One Time Mandate (OTM) is a common application form for registration of mandate centrally and not being folio specific.
OTM registration will be PAN Based and will be available for investment in all folios available with Axis Mutual Fund for Multiple SIPs and additional purchases.
One Time Mandate is only available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" mode of holding.

## Investor Details (If One Time Mandate registration is not required, skip this section and only fill the NACH Mandate below.)

**Name of Applicant**

**PAN**    **Mobile No.**

**Email ID**

**Bank Name**    **Account No.**

I / We declare that the particulars furnished here are correct. I / We authorize Axis Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time.

If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible.

I/We will also inform Axis Mutual Fund about any changes in my bank account.

I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form.

Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

I/ We hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of Axis Mutual Fund using this facility.

I/ We request you to make provisions for me/ us and/ or an advisor authorized by me to be able to utilize this mandate for any transaction (not limited to SIP and/ or Lumpsum payments) in all the folios associated with my PAN mentioned above any mode of transaction available to me time to time from Axis Mutual Fund.

I/ We give my consent to Axis Asset Management Company Limited and its agents to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility.

Signature of PAN Holder
(as per folio record)

**Dated** | D D M M Y Y Y Y    **Place**

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▲ AXIS MUTUAL FUND
The RESPONSIBLE Mutual Fund

| | | |
|---|---|---|
| **Tick ( ✓ )** | **UMRN** Bank use | **Date** D D M M Y Y Y Y |
| CREATE ✔ | **Sponsor Bank Code** Bank use | **Utility Code** Bank use |
| MODIFY ☒ | **I/We hereby authorize** Axis Mutual Fund | to debit (tick✓ ) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other |
| CANCEL ☒ | **Bank a/c number** | |

**with Bank** Name of customers bank    **IFSC**    **or MICR**

**an amount of Rupees** In Words    ₹ In Figures

**FREQUENCY** ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ✔ As & when presented    **DEBIT TYPE** ☒ Fixed Amount ✔ Maximum Amount

**Reference 1** PAN No.    **Phone No.**

**Reference 2** All Schemes of Axis Mutual Fund    **Email ID**

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

**PERIOD**

From D D M M Y Y Y Y
To D D M M Y Y Y Y
Or ☐ Until Cancelled

1. _____ Signature Primary Account holder — Name as in bank records
2. _____ Signature of Account holder — Name as in bank records
3. _____ Signature of Account holder — Name as in bank records

This is to confirm that the declaration (as mentioned overleaf) has been carefully read, understood & made by me / us. I am authorizing the User Entity / Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / Corporate or the bank where I have authorized the debit.

**MANDATORY FIELDS :** • Instrument Date • Account type • Bank A/c number (core banking a/c no only) • Bank name • IFSC code or MICR code (as per the cheque / pass book) • Amount (in words & in figures) • Period start date and end date or until cancelled • Account holder signature • Account holder name as per bank records

## ACKNOWLEDGMENT SLIP (To be filled by the investor)

**Investor Name**

**PAN No.**

Stamp & Signature