#### **5G WIRELESS TECHNOLOGIES**

#### **Basics of Quadrature Amplitude Modulation**

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# **Arbitrary analog modulation**

- A modulation process expands band occupied by the signal:  $\Delta f = 2f_{\rm max}$  for AM and  $\Delta f = 2(f_{\rm max} + f_{\rm dev})$  for FM.
- How to enhance spectral efficiency? Change all parameters simultaneously!
- Instant frequency and instant phase of the signal are related by  $f(t)=rac{1}{2\pi}rac{{
  m d}arphi(t)}{{
  m d}t}$ ;
- Arbitrary modulated signal:

$$s_{\text{mod}}(t) = S_m(t) \cos \left(2\pi f_0 t + \varphi(t)\right).$$

How to distinguish?

16th February 2021 2 / 24

# Quadrature components I

$$s_{\text{mod}}(t) = S_m(t) \cos \left(2\pi f_0 t + \varphi(t)\right)$$

$$= S_m(t) \cos \varphi(t) \cos 2\pi f_0 t - S_m(t) \sin \varphi(t) \sin 2\pi f_0 t$$

$$= I(t) \cos 2\pi f_0 t - Q(t) \sin 2\pi f_0 t$$

#### Quadrature components:

- $I(t) = S_m(t) \cos \varphi(t)$  inphase component
- $Q(t) = S_m(t) \sin \varphi(t)$  quadrature component

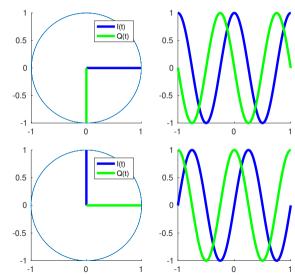
Minus or plus? Sine or cosine? Depends on which is the first!

16th February 2021 3 / 24

# Minus or plus? Sine or cosine?

- The carrier of Q(t) should precede the carrier of I(t).
- Otherwise the spectral density of the s(t) will be inverted.
- Initial phase does not matter.

**Homework:** prove these theses!



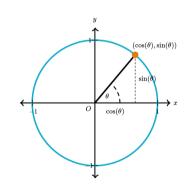
16th February 2021 4 / 24

# Quadrature components II

$$\begin{split} s_{\rm mod}(t) &= I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t \\ &= \sqrt{I^2(t) + Q^2(t)}\cos \left(2\pi f_0 t - \operatorname{arctg} \frac{-Q(t)}{I(t)}\right) \\ &= S_m(t)\cos \left(2\pi f_0 t + \varphi(t)\right) \end{split}$$

So, we can express:

- $S_m(t) = \sqrt{I^2(t) + Q^2(t)}$  instant amplitude;
- $\varphi(t) = \operatorname{arctg} \frac{Q(t)}{I(t)}$  instant phase;
- instant frequency?



Conclusion: Each modulation can be univocally expressed by quadrature components.

#### Modulation and demodulation

Modulation:

$$s_{\mathsf{mod}}(t) = I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t$$

Demodulation:

$$s_{\text{mod}}(t)\cos 2\pi f_0 t = I(t)\cos^2 2\pi f_0 t - Q(t)\sin 2\pi f_0 t \cos 2\pi f_0 t =$$

$$= \frac{I(t)}{2} + \frac{I(t)}{2}\cos 4\pi f_0 t - \frac{Q(t)}{2}\sin 4\pi f_0 t$$

$$-s_{\text{mod}}(t)\sin 2\pi f_0 t = -I(t)\cos 2\pi f_0 t \sin 2\pi f_0 t + Q(t)\sin^2 2\pi f_0 t =$$

$$= \frac{Q(t)}{2} - \frac{I(t)}{2}\sin 4\pi f_0 t - \frac{Q(t)}{2}\cos 4\pi f_0 t$$

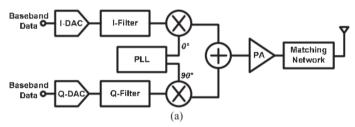
Reference material:

$$\sin x \cos x = \frac{1}{2} \sin 2x$$
  $\cos^2 x = \frac{1}{2} (1 + \cos 2x)$   $\sin^2 x = \frac{1}{2} (1 - \cos 2x)$ 

Demodulation with phase shifted waves  $\varphi_0$ ?

## **QAM** transmitter

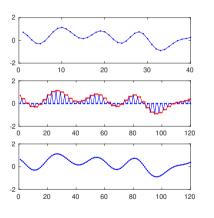
$$s_{\mathsf{mod}}(t) = I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t$$

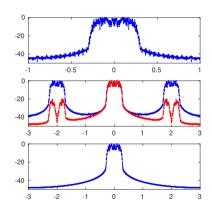


Why the I-filter and Q-filter are added?

16th February 2021 7 / 24

## **Smoothing filters after DAC**





16th February 2021 8 / 24

## **QAM** receiver

$$s_{\text{mod}}(t)\cos 2\pi f_0 t = \frac{I(t)}{2} - \frac{I(t)}{2}\cos 4\pi f_0 t + \frac{Q(t)}{2}\sin 4\pi f_0 t$$

$$-s_{\text{mod}}(t)\sin 2\pi f_0 t = \frac{Q(t)}{2} - \frac{I(t)}{2}\sin 4\pi f_0 t - \frac{Q(t)}{2}\cos 4\pi f_0 t$$

$$-\text{cos} 2\pi f_0 t$$

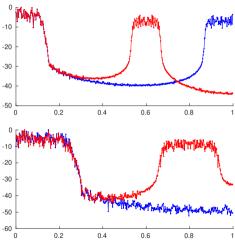
$$-\sin 2\pi f_0 t$$

$$+\text{H}_{\mathbf{r}}(\mathbf{f}) + \text{A/D} + \text{H}_{\mathbf{r}}(\mathbf{f}) + \text{A/D} + \text{H}_{\mathbf{r}}(\mathbf{f})$$

- LPF to get rid of  $2f_0$  spectral component;
- Anti-aliasing filter.

16th February 2021

# **Anti-aliasing filter**



Where is the second spectral component?

16th February 2021 10 / 24

# **Orthogonality**

• The functions  $\sin 2\pi f_0 t$  and  $\cos 2\pi f_0 t$  are orthogonal, i.e.,

$$\int_{0}^{1/f_0} \sin 2\pi f_0 t \cos 2\pi f_0 t dt = 0.$$

- For signals whose maximal frequency  $f_{\text{max}} \ll f_0$  separation is guaranteed!
- Are there any restrictions on channels Q- and I- selection?
- Synchronous or not?

11 / 24 11 / 24

## **Spectral components**

Symmetry property of the Fourier transform

Inverse Fourier transform

$$s(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \dot{S}(\omega) e^{j\omega t} = 0.$$

Assume the spectral density is  $\dot{S}(\omega) = S(\omega) e^{j\Psi(\omega)}$ .

$$s(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} S(\omega) \cos\left(\omega t + \Psi(\omega)\right) + \frac{j}{2\pi} \int_{-\infty}^{\infty} S(\omega) \sin\left(\omega t + \Psi(\omega)\right).$$

To obtain real s(t), we have to restrict amplitude  $S(\omega)=S(-\omega)$  to have even symmetry and phase  $\Psi(\omega)=-\Psi(-\omega)$  to have odd symmetry. Reference material:

$$e^{jx} = \cos x + j\sin x$$

## **Spectral components**

Quadrature components

Modulated signal:

$$s_{\mathsf{mod}}(t) = I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t$$

Rewrite as following:

$$s_{\text{mod}}(t) = I(t) \frac{e^{j2\pi f_0 t} + e^{-j2\pi f_0 t}}{2} - Q(t) \frac{e^{j2\pi f_0 t} - e^{-j2\pi f_0 t}}{2j} =$$

$$= \frac{I(t) + jQ(t)}{2} e^{j2\pi f_0 t} + \frac{I(t) - jQ(t)}{2} e^{-j2\pi f_0 t}$$

Conclusions:

- Is it real?
- Spectrum at the carrier is the same as the spectrum of the signal I(t) + jQ(t).
- Two spectra at the same frequency better efficiency!

Reference material:

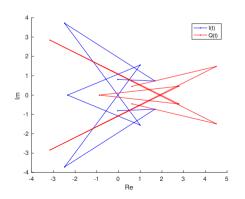
$$e^{jx} = \cos x + j\sin x$$
  $\cos x = \frac{e^{jx} + e^{-jx}}{2}$   $\sin x = \frac{e^{jx} - e^{-jx}}{2i}$ 

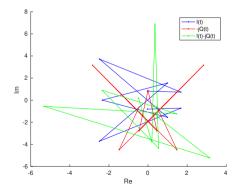
## **Spectral components**

Spectrum of the complex signal

#### Modulated signal:

$$s_{\rm mod}(t) = \frac{I(t) + jQ(t)}{2}e^{j2\pi f_0 t} + \frac{I(t) - jQ(t)}{2}e^{-j2\pi f_0 t}$$





# Single-tone and dual-tone generation I

Why do we need it?

$$s_{\text{mod}}(t) = I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t$$

• How to create single-tone at the carrier? If  $I(t)=1/\sqrt{2}$  and  $Q(t)=1/\sqrt{2}$ , then

$$s_{\text{mod}}(t) = \cos 2\pi f_0 t - \sin 2\pi f_0 t = \cos(2\pi f_0 t + \pi/4)$$

• How to create single-tone at frequency  $f+f_0$ ? If  $I(t)=\cos 2\pi ft$  and  $Q(t)=\sin 2\pi ft$ , then

$$s_{\text{mod}}(t) = \cos(2\pi f t)\cos(2\pi f_0 t) - \sin(2\pi f t)\sin(2\pi f_0 t) = \cos(2\pi f t)\cos(2\pi f_0 t)$$

Reference material:

$$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$$
$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$
$$\sin(\alpha + \beta) = \cos \alpha \sin \beta + \sin \alpha \cos \beta$$

# Single-tone and dual-tone generation II

$$s_{\mathsf{mod}}(t) = I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t$$

• How to create a single-tone at frequency  $f - f_0$ ? If  $I(t) = \cos 2\pi f t$  and  $Q(t) = \sin 2\pi f t$ , then

$$s_{\mathsf{mod}}(t) = \cos(2\pi f t) \cos(2\pi f_0 t) + \sin(2\pi f t) \sin(2\pi f_0 t) = \cos\left(2\pi (f - f_0)t\right)$$

• How to create a dual-tone at frequencies  $f - f_0$  and  $f + f_0$ ? Reference material:

$$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$$
$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$
$$\sin(\alpha + \beta) = \cos \alpha \sin \beta + \sin \alpha \cos \beta$$
$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

16th February 2021 16 / 24

# **Dual-tone generation**

$$s_{\mathsf{mod}}(t) = I(t)\cos 2\pi f_0 t - Q(t)\sin 2\pi f_0 t$$

• How to create a dual-tone at frequencies  $f-f_0$  and  $f+f_0$ ? If  $I(t)=\cos(2\pi ft)$  and  $Q(t)=\cos(2\pi ft)$ , then  $s_{\rm mod}(t)=\cos(2\pi ft)\cos(2\pi f_0t)+\cos(2\pi ft)\sin(2\pi f_0t)=?$ 

$$\cos(2\pi f t)\cos(2\pi f_0 t) = \frac{1}{2}\cos(2\pi (f + f_0)t) + \frac{1}{2}\cos(2\pi (f - f_0)t)$$
$$\cos(2\pi f t)\sin(2\pi f_0 t) = \frac{1}{2}\sin(2\pi (f + f_0)t) - \frac{1}{2}\sin(2\pi (f - f_0)t)$$

Reference material:

$$\cos(\alpha + \beta) = \cos \alpha \cos \beta - \sin \alpha \sin \beta$$
$$\cos(\alpha - \beta) = \cos \alpha \cos \beta + \sin \alpha \sin \beta$$
$$\sin(\alpha + \beta) = \cos \alpha \sin \beta + \sin \alpha \cos \beta$$
$$\sin(\alpha - \beta) = \sin \alpha \cos \beta - \cos \alpha \sin \beta$$

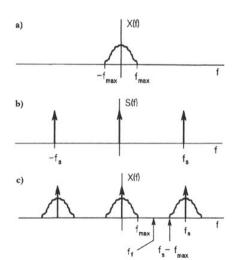
### So, related or not?

- Until now analog and absolute arbitrary Q- and I-channels.
- Transition from analog domain to digital domain.
- Introduce relation between quadrature channels.

16th February 2021 18 / 24

# **Digital domain**

- Sampling frequency or clock  $f_s = 100 \, \mathrm{MHz}.$
- Nyquist frequency  $f_N = f_s/2 \geqslant f_{\text{max}}$ .
- Bandwidth of modulated signal  $\Delta f = 25\,\mathrm{MHz}$  is twice wider than in baseband.
- Symbol rate  $R=1/\tau_s$ , where  $\tau_s$  is a symbol length.



16th February 2021 19 / 24

# Symbol rate and bandwidth

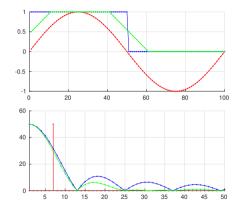
• Assume symbol length is  $\tau_s$ , then its spectral density:

$$\dot{S}(f) = S_m \tau \text{sinc} \left( \pi f \tau_s \right)$$

Bandwidth:

$$\frac{\pi}{2} = \pi f \tau_s \Leftarrow R = \frac{1}{\tau_s} = 2f$$

Another explanation...



#### **Problem**

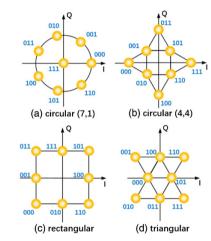
Sampling frequency of the system  $f_s=200\,\mathrm{MHz}$ . Symbol rate to be ensured by the systen is  $R=20\,\mathrm{MBaud}$ . Calculate:

- Bandwidth in the air  $\Delta f$  (20 MHz).
- Nyquist frequency  $f_N$  (100 MHz).
- Bandwidth in baseband (10 MHz).
- Number of samples per symbol (10 samples).
- Symbol length  $\tau_s$  (50 ns).

16th February 2021 21 / 24

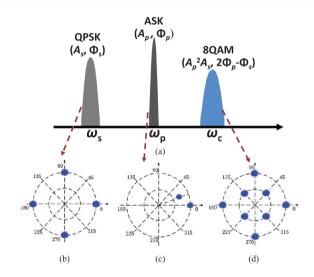
#### Constellation I

- Synchronize symbol instants for Digital systems: PAM+PAM.
- Is it possible to create constellation with  $N \neq 2^n$ ?
- Criteria on point locations:
  - Maximal Euclidean distance:
  - Others?



16th February 2021 22 / 24

## **Constellation II**



16th February 2021 23 / 24

# **Capacity**

 Capacity C shows the number of bits to be transmitted per second:

$$C = nR = R \log_2 N,$$

where N is number of points in the constellation and R is symbol rate.

 Capacity increment lowers at higher modulations.

|          |            |     | Channel Size 56MHz |             |
|----------|------------|-----|--------------------|-------------|
| Bits per |            |     | Capacity           | Incremental |
| Symbol   | Modulation |     | Mbps               | % increase  |
| 8        | 256        | QAM | 370                |             |
| 9        | 512        | QAM | 421                | 13.80%      |
| 10       | 1024       | QAM | 472                | 11.98%      |
| 11       | 2048       | QAM | 523                | 10.83%      |
| 12       | 4096       | QAM | 575                | 9.77%       |

**Problem:** Calculate capacity for 16-QAM modulation and symbol rate  $R=25\,\mathrm{MBaud}$  (100Mbps).

16th February 2021 24 / 24