

# Face Recognition using Eigen Faces: Project Proposal

**Team Number:** 11

**Team Name:** 21 din mein CG double

**Team Members:**

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Repository Link: <https://github.com/Rutvij-1/Eigen-Faces-SMAI-M21-project>

## Problem Statement

Developing a computational model of face recognition is quite difficult, because faces are complex, multidimensional, and meaningful visual stimuli. Unlike most early visual functions, for which we may construct detailed models of retinal or striate activity, face recognition is a very high level task for which computational approaches can currently only suggest broad constraints on the corresponding neural activity. Our aim is to develop a computational model of face recognition which is fast, reasonably simple, and accurate in constrained environments such as an office or a household.

## Goals and Approach

The goal of this project is to develop a method for automated face recognition through the eigenvalues of distribution of faces(or, the "eigenfaces"). This project follows the work of *Matthew A. Turk et al*, and tries to capture the variation in the features of various faces, and uses those features to represent and detect newer faces.

Thus, the approach in this project would be to use the principal components of the distribution of faces, i.e. the eigenvectors of the covariance matrix of the set of face images. To reduce computational cost, we only consider first  $M$  eigenfaces. Now, any since any human face can be represented as a linear combination of these

eigenfaces, we can recognise faces based upon the values of those weights.

The face recognition process can be summarised in the following steps:

1. **Initialisation:** This step involves acquiring the training set of face images and calculating the eigenfaces. For this step, we first shift all the face images by the "average face", denoted by  $\phi_i$ . Then, we obtain  $M$  orthogonal vectors, the **eigenfaces**  $u_n$  with eigenvalues  $\lambda_k$  of the covariance matrix  $C = \frac{1}{M} \sum_{n=1}^M \phi_n \phi_n^T = AA^T$ , where  $A = [\phi_1 \phi_2 \dots \phi_M]$ . **Note:** Since the matrix  $C$  is of order  $N^2$ , we instead solve the eigenvectors of the  $M \times M$  matrix and take linear combination of the resulting vectors to solve the eigenvectors of the  $C$  matrix.
2. When a new face image is encountered, we calculate a set of corresponding weights for the  $M$  eigenfaces by projecting the new face image on each of the eigenfaces. For this projection, we perform the operation  $\omega_k = u_k^T (\gamma - \psi)$  for  $k = 1, 2, \dots, M$ . Thus, we get the weights as the vector  $\Omega^T = [\omega_1 \omega_2 \dots \omega_M]$ , describing the contribution of each eigenface.
3. Now, we determine if the image is a face by checking if the image is sufficiently close to the space spanned by the eigenfaces ("face space"). To do this, we compute the Euclidean distance  $\epsilon_k = \|\Omega - \Omega_k\|$ , where  $\Omega_k$  describes the  $k$ th face class, and reject the image as not a face if the distance is greater than a certain threshold.
4. If the image is recognized as a face, then classify the weight pattern as a "known" or "unknown" person. This is done by classifying the face into the class which has the minimum corresponding value of  $\epsilon_k$ .
5. If the same unknown face is seen several times, then its characteristic weight pattern is calculated and incorporated into the known faces.

## Dataset


We will be using a dataset prepared by Centro Universitário da FEI. Datasets consist of faces of 200 people and each person has two frontal images (one with a neutral expression and the other with a smiling facial expression), there are 400 full frontal face images manually registered and cropped.

Link to the dataset: <https://github.com/lychengr3x/Face-Recognition-Using-Eigenfaces/tree/master/dataset>


## Expected Deliverables

1. **Code:** A working method of face recognition for a given image.
2. **Documentation**
3. **Sample outputs**
4. **Accuracy study:** With respect to hyper-parameters.
5. **Presentation:** Presentation, description of the project along with instructions to run the demo.
6. **Report:** Detailed report of the code, the outputs obtained and the conclusions derived from the accuracy study.

### Milestones & Timeline

<u>Aa</u> Timeline	 Milestone
<u>26 oct</u>	Project allocation
<u>7 nov</u>	Project proposal submission
<u>week 1</u>	Relevant research and discussions, initial project layout with TA review
<u>week 2</u>	Implementing the basic pipeline
<u>Nov 17th - 20th</u>	Mid-evaluation
<u>week 3</u>	Compiling results
<u>week 4</u>	Testing with hyper-parameters and getting all deliverables ready
<u>Dec 1st - Dec 4th</u>	Final presentation
<u>Dec 4th (11:59 PM)</u>	Final submission

### Work Distribution

<u>Aa</u> Work	 Done By
<u>Project proposal</u>	Equal and based on everyone's inputs
<u>Initial research work</u>	independent and equal
<u>Implementing the basic pipeline</u>	Pair 1 will do first half and PCA, pair 2 will do second half
<u>Debugging, testing and compiling results</u>	Pair 1
<u>Getting deliverables ready</u>	Pair 2
<u>Documentations</u>	Pair 2

Pair 1 - Tushar and Suyash

Pair 2 - Rutvij and Tejas

This work distribution is our initial estimate, and might be changed to maintain equal workload for everyone.