

### CPU Scheduling in Operating Systems

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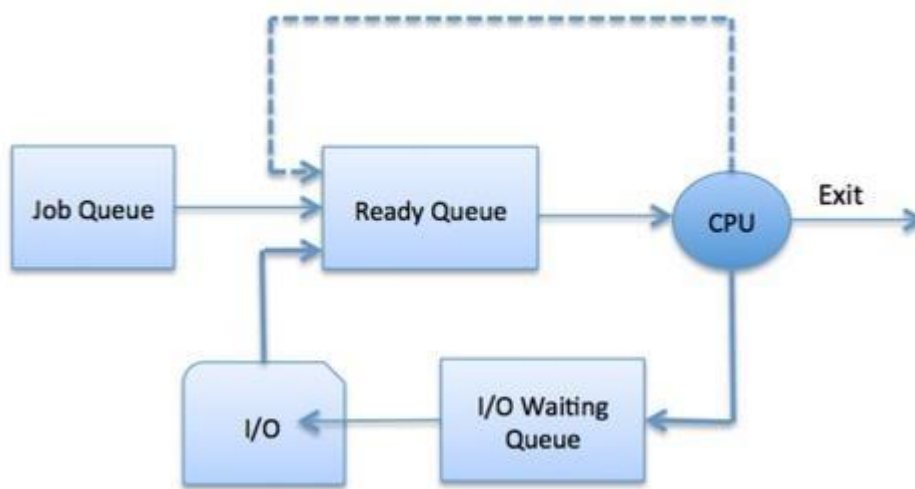
Process scheduling is an essential part of a Multiprogramming operating systems. Such operating systems allow more than one process to be loaded into the executable memory at a time and the loaded process shares the CPU using time multiplexing.

### Process Scheduling Queues

The OS maintains all PCB (Process Control Block)s in Process Scheduling Queues. The OS maintains a separate queue for each of the process states and PCBs of all processes in the same execution state are placed in the same queue. When the state of a process is changed, its PCB is unlinked from its current queue and moved to its new state queue.

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- **Ready queue** – This queue keeps a set of all processes residing in main memory, ready and waiting to execute. A new process is always put in this queue.
- **Device queues** – The processes which are blocked due to unavailability of an I/O device constitute this queue.



The OS can use different policies to manage each queue (FIFO, Round Robin, Priority, etc.). The OS scheduler determines how to move processes between the ready and run queues which can only have one entry per processor core on the system; in the above diagram, it has been merged with the CPU.

### Two-State Process Model

Two-state process model refers to running and non-running states which are described below –

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1	<b>Running</b> When a new process is created, it enters into the system as in the running state.
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### Schedulers

Schedulers are special system software which handle process scheduling in various ways. Their main task is to select the jobs to be submitted into the system and to decide which process to run. Schedulers are of three types –

- Long-Term Scheduler
- Short-Term Scheduler
- Medium-Term Scheduler

#### Long Term Scheduler

- **Planning in advance, so need the information about process in the beginning only**
- It is also called a **job scheduler**. A long-term scheduler determines which programs are admitted to the system for processing. It selects processes from the queue and loads them into memory for execution. Process loads into the memory for CPU scheduling.
- The primary objective of the job scheduler is to provide a balanced mix of jobs, such as I/O bound and processor bound. It also controls the degree of multiprogramming. If the

degree of multiprogramming is stable, then the average rate of process creation must be equal to the average departure rate of processes leaving the system.

- On some systems, the long-term scheduler may not be available or minimal. **Time-sharing operating systems have no long term scheduler.** When a process changes the state from new to ready, then there is use of long-term scheduler.

#### Short Term Scheduler (Using Queue)

- It is also called as **CPU scheduler**. Its main objective is to increase system performance in accordance with the chosen set of criteria. It is the change of ready state to running state of the process. CPU scheduler selects a process among the processes that are ready to execute and allocates CPU to one of them.
- Short-term schedulers, also known as dispatchers, make the decision of which process to execute next. Short-term schedulers are faster than long-term schedulers.

#### Medium Term Scheduler (Not to be focused)

Medium-term scheduling is a part of **swapping**. It removes the processes from the memory. It reduces the degree of multiprogramming. The medium-term scheduler is in-charge of handling the swapped out-processes.

A running process may become suspended if it makes an I/O request. A suspended processes cannot make any progress towards completion. In this condition, to remove the process from memory and make space for other processes, the suspended process is moved to the secondary storage. This process is called **swapping**, and the process is said to be swapped out or rolled out. Swapping may be necessary to improve the process mix.

#### Comparison among Scheduler

S.N.	Long-Term Scheduler	Short-Term Scheduler	Medium-Term Scheduler
1	It is a job scheduler	It is a CPU scheduler	It is a process swapping scheduler.
2	Speed is lesser than short term scheduler	Speed is fastest among other two	Speed is in between both short and long term scheduler.
3	It controls the degree of multiprogramming	It provides lesser control over degree of multiprogramming	It reduces the degree of multiprogramming.

4	It is almost absent or minimal in time sharing system	It is also minimal in time sharing system	It is a part of Time sharing systems.
5	It selects processes from pool and loads them into memory for execution	It selects those processes which are ready to execute	It can re-introduce the process into memory and execution can be continued.

## CPU Scheduling (Process Scheduling)

A Process Scheduler schedules different processes to be assigned to the CPU based on particular scheduling algorithms. There are six popular process scheduling algorithms which we are going to discuss in this chapter –

- **First-Come, First-Served (FCFS) Scheduling**
- Shortest-Job-Next (SJN) Scheduling
- Priority Scheduling
- Shortest Remaining Time
- Round Robin(RR) Scheduling
- Multiple-Level Queues Scheduling

These algorithms are either **non-preemptive or preemptive**.

**Non-preemptive algorithms** are designed so that once a process enters the running state, it cannot be preempted until it completes its allotted time,

**Preemptive scheduling** is based on priority where a scheduler may preempt a low priority running process anytime when a high priority process enters into a ready state priority based or time sharing].

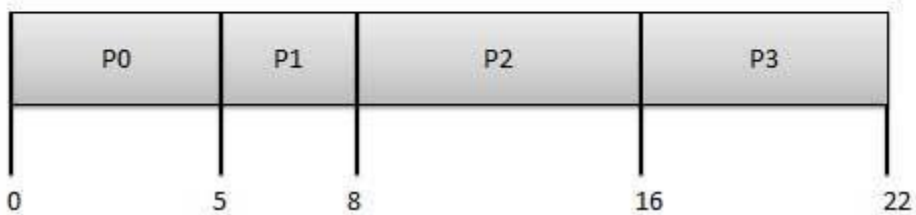
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- **Turn Around Time:** Time Difference between completion time and arrival time.
  - $\text{Turn Around Time} = \text{Completion Time} - \text{Arrival Time}$
- **Waiting Time(W.T):** Time Difference between turn around time and burst time.
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### First Come First Serve (FCFS)

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- Easy to understand and implement.
- Its implementation is based on FIFO queue.
- Poor in performance as average wait time is high.

Process	Arrival Time	Execute Time	Service Time
P0	0	5	0
P1	1	3	5
P2	2	8	8
P3	3	6	16



**Wait time** of each process is as follows –

Process	Wait Time : Service Time - Arrival Time
P0	$0 - 0 = 0$
P1	$5 - 1 = 4$
P2	$8 - 2 = 6$
P3	$16 - 3 = 13$

Average Wait Time:  $(0+4+6+13) / 4 = 5.75$

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Applications of queues related to computer science are

- Data getting transferred between the IO Buffers (Input Output Buffers).
- CPU scheduling and Disk scheduling.
- Managing shared resources between various processes.
- Job scheduling algorithms.
- Round robin scheduling.
- Recognizing a palindrome.

#### **Applications of circular queue**

- **Traffic light** functioning is the best example for [circular queues](#). The colors in the traffic light follow a circular pattern.
- In page replacement algorithms, a circular list of pages is maintained and when a page needs to be replaced, the page in the front of the queue will be chosen.

#### **Applications of deque**

- Pallindrome checker.
- A-steal job scheduling algorithm
- The A-steal algorithm implements task scheduling for multiple processors (multiprocessor scheduling).
  - The processor gets the first element from the double ended queue.
  - When one of the processors completes execution of its own thread, it can steal a thread from other processors.
  - It gets the last element from the deque of another processor and executes it.
- Undo-redo operations in software applications.

#### **Applications of Priority Queue**



- Prim's algorithm implementation can be done using priority queues.
- Dijkstra's shortest path algorithm implementation can be done using priority queues.
- A\* Search algorithm implementation can be done using priority queues.
- Priority queues are used to sort heaps.
- Priority queues are used in operating system for load balancing and interrupt handling.
- Priority queues are used in huffman codes for data compression.
- In traffic light, depending upon the traffic, the colors will be given priority.

# Operating System - Process Scheduling

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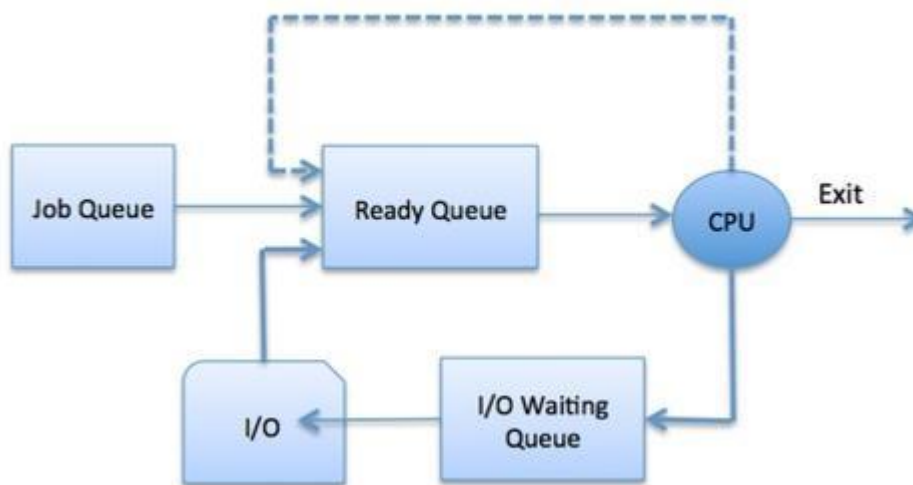
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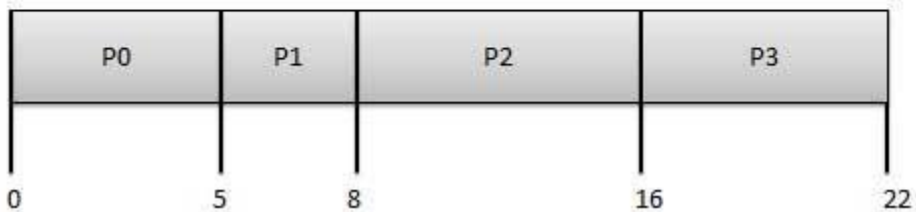
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Average Wait Time:  $(0+4+6+13) / 4 = 5.75$

## Shortest Job Next (SJN)

- This is also known as **shortest job first**, or SJF
- This is a non-preemptive, pre-emptive scheduling algorithm.
- Best approach to minimize waiting time.
- Easy to implement in Batch systems where required CPU time is known in advance.
- Impossible to implement in interactive systems where required CPU time is not known.
- The processor should know in advance how much time process will take.

Given: Table of processes, and their Arrival time, Execution time

Process	Arrival Time	Execution Time	Service Time
P0	0	5	0
P1	1	3	5
P2	2	8	14
P3	3	6	8

**Waiting time** of each process is as follows –

Process	Waiting Time
P0	$0 - 0 = 0$
P1	$5 - 1 = 4$
P2	$14 - 2 = 12$
P3	$8 - 3 = 5$

Average Wait Time:  $(0 + 4 + 12 + 5)/4 = 21 / 4 = 5.25$

## Priority Based Scheduling

- Priority scheduling is a non-preemptive algorithm and one of the most common scheduling algorithms in batch systems.
- Each process is assigned a priority. Process with highest priority is to be executed first and so on.
- Processes with same priority are executed on first come first served basis.
- Priority can be decided based on memory requirements, time requirements or any other resource requirement.

Given: Table of processes, and their Arrival time, Execution time, and priority. Here we are considering 1 is the lowest priority.

Process	Arrival Time	Execution Time	Priority	Service Time
P0	0	5	1	0
P1	1	3	2	11
P2	2	8	1	14
P3	3	6	3	5

**Waiting time** of each process is as follows –

Process	Waiting Time
P0	$0 - 0 = 0$
P1	$11 - 1 = 10$
P2	$14 - 2 = 12$
P3	$5 - 3 = 2$

Average Wait Time:  $(0 + 10 + 12 + 2)/4 = 24 / 4 = 6$

## Shortest Remaining Time

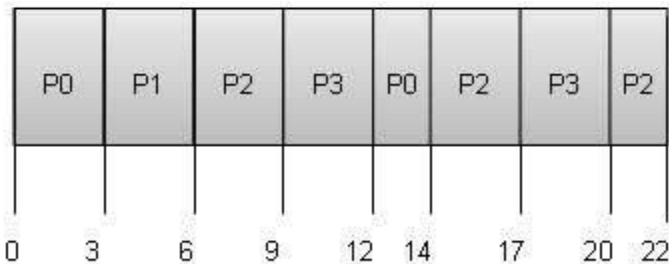
- Shortest remaining time (SRT) is the preemptive version of the SJN algorithm.
- The processor is allocated to the job closest to completion but it can be preempted by a newer ready job with shorter time to completion.
- Impossible to implement in interactive systems where required CPU time is not known.
- It is often used in batch environments where short jobs need to give preference.

## Round Robin Scheduling



- Round Robin is the preemptive process scheduling algorithm.
- Each process is provided a fix time to execute, it is called a **quantum**.
- Once a process is executed for a given time period, it is preempted and other process executes for a given time period.
- Context switching is used to save states of preempted processes.

Quantum = 3



Wait time of each process is as follows –

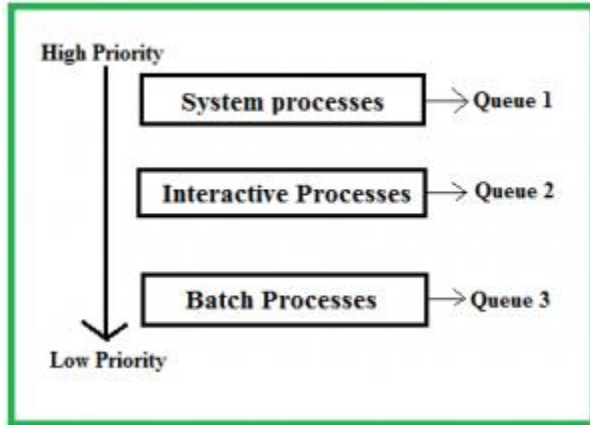
Process	Wait Time : Service Time - Arrival Time
P0	$(0 - 0) + (12 - 3) = 9$
P1	$(3 - 1) = 2$
P2	$(6 - 2) + (14 - 9) + (20 - 17) = 12$
P3	$(9 - 3) + (17 - 12) = 11$

Average Wait Time:  $(9+2+12+11) / 4 = 8.5$

## Multiple-Level Queues Scheduling (Multi Queue example)

It may happen that processes in the ready queue can be divided into different classes where each class has its own scheduling needs. For example, a common division is a **foreground (interactive)** process and **background (batch)** processes. These two classes have different scheduling needs. For this kind of situation Multilevel Queue Scheduling is used. Now, let us see how it works.

**Ready Queue** is divided into separate queues for each class of processes. For example, let us take three different types of process System processes, Interactive processes and Batch Processes. All three process have there own queue. Now,look at the below figure.



All three different type of processes have there own queue. Each queue have its own Scheduling algorithm. For example, queue 1 and queue 2 uses **Round Robin** while queue 3 can use **FCFS** to schedule there processes.

**Scheduling among the queues :** What will happen if all the queues have some processes? Which process should get the cpu? To determine this Scheduling among the queues is necessary. There are two ways to do so –

1. **Fixed priority preemptive scheduling method** – Each queue has absolute priority over lower priority queue. Let us consider following priority order **queue 1 > queue 2 > queue 3**. According to this algorithm no process in the batch queue(queue 3) can run unless queue 1 and 2 are empty. If any batch process (queue 3) is running and any system (queue 1) or Interactive process(queue 2) entered the ready queue the batch process is preempted.
2. **Time slicing** – In this method each queue gets certain portion of CPU time and can use it to schedule its own processes.
3. For instance, queue 1 takes 50 percent of CPU time queue 2 takes 30 percent and queue 3 gets 20 percent of CPU time.

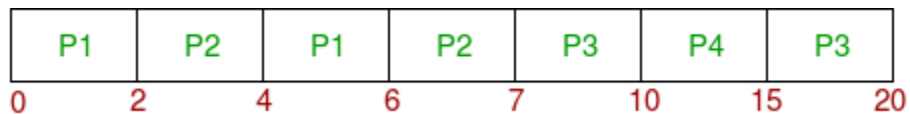
**Example Problem :**

Consider below table of four processes under Multilevel queue scheduling. Queue number denotes the queue of the process.

Process	Arrival Time	CPU Burst Time	Queue Number
P1	0	4	1
P2	0	3	1
P3	0	8	2
P4	10	5	1

Priority of queue 1 is greater than queue 2. queue 1 uses Round Robin (Time Quantum = 2) and queue 2 uses FCFS.

Below is the **gantt chart** of the problem :



At starting both queues have process so process in queue 1 (P1, P2) runs first (because of higher priority) in the round robin fashion and completes after 7 units then process in queue 2 (P3) starts running (as there is no process in queue 1) but while it is running P4 comes in queue 1 and interrupts P3 and start running for 5 second and after its completion P3 takes the CPU and completes its execution.

#### Advantages:

- The processes are permanently assigned to the queue, so it has advantage of low scheduling overhead.

#### Disadvantages:

- Some processes may starve for CPU if some higher priority queues are never becoming empty.
- It is inflexible in nature.

#### Extra Notes:

Multiple-level queues are not an independent scheduling algorithm. They make use of other existing algorithms to group and schedule jobs with common characteristics.

- Multiple queues are maintained for processes with common characteristics.
- Each queue can have its own scheduling algorithms.
- Priorities are assigned to each queue.

For example, CPU-bound jobs can be scheduled in one queue and all I/O-bound jobs in another queue. The Process Scheduler then alternately selects jobs from each queue and assigns them to the CPU based on the algorithm assigned to the queue.

[https://www.tutorialspoint.com/operating\\_system/os\\_process\\_scheduling\\_algorithms.htm](https://www.tutorialspoint.com/operating_system/os_process_scheduling_algorithms.htm)

<https://www.programiz.com/dsa/priority-queue>