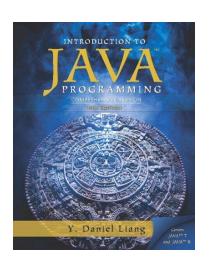
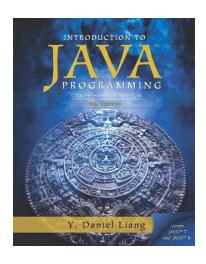
# Chapter 30 Multithreading and Parallel Programming



Supplemental Course Material

These lecture notes are based on Chapter 30 of the following book:



• Introduction to Java Programming, Comprehensive Version (10<sup>th</sup> Edition)

**Authors: Y. Daniel Liang ISBN:** 978-0133761313

**Publisher:** Pearson, 10<sup>th</sup> Edition (2015)

N.B.: Chapter 30, "Multithreading and Parallel Programming", will be available in PDF format on myCourses (Copyright permission obtained via McGill Libraries).

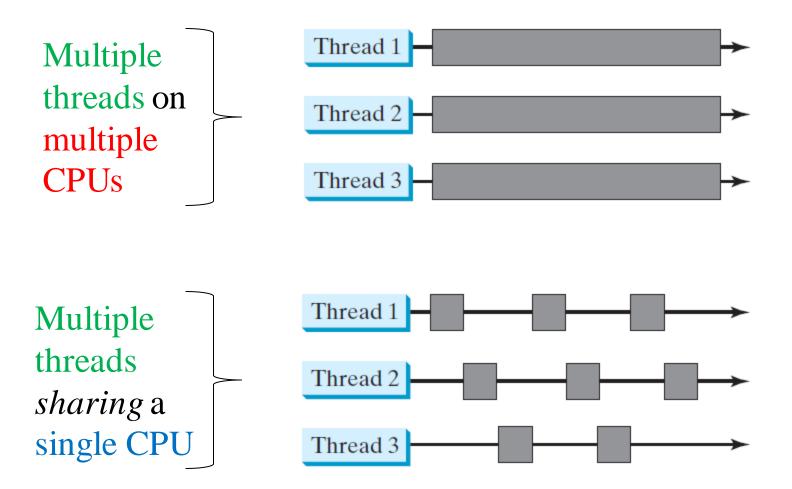
### 30.1 Introduction / Motivation

- > One of the powerful features of Java is its built-in support for multithreading the concurrent running of multiple tasks within a program.
- ➤ In many programming languages, you have to invoke systemdependent procedures and functions to implement multithreading.
- This chapter introduces the concept of **threads** and how multithreading programs can be developed in Java.
- An introduction to developing *parallel* programs in Java using the Fork/Join Framework is also given.

### **Objectives**

- > To get an overview of multithreading (§30.2).
- > To develop task classes by implementing the **Runnable** interface (§30.3).
- > To create threads to run tasks using the **Thread** class (§30.3).
- > To control threads using the methods in the **Thread** class (§30.4).
- > To control animations using threads and use **Platform.runLater** to run the code in the application thread (§30.5).
- > To execute tasks in a thread pool (§30.6).
- > To use synchronized methods or blocks to synchronize threads to avoid race conditions (§30.7).
- > To synchronize threads using locks (§30.8).
- > To facilitate thread communications using conditions on locks (§§30.9–30.10).
- > To use blocking queues to synchronize access to an array queue, linked queue, and priority queue (§30.11).
- > To restrict the number of accesses to a shared resource using semaphores (§30.12).
- > To use the resource-ordering technique to avoid deadlocks (§30.13).
- > To describe the life cycle of a thread (§30.14).
- > To create synchronized collections using the static methods in the **Collections** class (§30.15).
- > To develop parallel programs using the Fork/Join Framework (§30.16).

### 30.2 Thread Concepts



### 30.3 Creating Tasks and Threads

```
// Client class
   java.lang.Runnable 
TaskClass
                                                  public class Client {
// Custom task class
                                                    public void someMethod() {
public class TaskClass implements Runnable {
                                                      // Create an instance of TaskClass
 public TaskClass(...) {-
                                                    ➤ TaskClass task = new TaskClass(...);
                                                      // Create a thread
                                                      Thread thread = new Thread(task);
  // Implement the run method in Runnable
  public void run() {
                                                      // Start a thread
    // Tell system how to run custom thread
                                                      thread.start();
                    (a)
                                                                     (b)
```

# Example: Using the Runnable Interface to Create and Launch Threads

- > Objective: Create and run three threads:
  - The first thread prints the letter a 100 times.
  - The second thread prints the letter *b* 100 times.
  - The third thread prints the integers 1 through 100.

TaskThreadDemo

#### **Important Note**

The run() method in a task specifies how to perform the task. This methods is *automatically invoked* by the JVM. You should *not* invoke it. Invoking run() directly merely executes this method in the same thread; no new thread is started.

#### What is the output of the following code?

```
// Test.java: Define threads using the Thread class
public class Test {
/** Main method */
 public static void main(String[] args) {
  new Test();
 public Test() {
  // Create threads
  PrintChar printA = new PrintChar('a', 4);
  PrintChar printB = new PrintChar('b', 4);
  // Start threads
  printA.run();
  printB.run();
 class PrintChar implements Runnable {
  private char charToPrint; // The character to print
  private int times; // The times to repeat
  /** Construct a thread with specified character and number of
   times to print the character */
  public PrintChar(char c, int t) {
   charToPrint = c;
   times = t:
  /** Override the run() method to tell the system
   what the thread will do */
  public void run() {
   for (int i = 0; i < times; i++)
    System.out.print(charToPrint);
```

- A. aaaabbbb
- B. bbbbaaaa
- C. character a and b are randomly printed
- D. abababab

### 30.4 The Thread Class

«interface» java.lang.Runnable java.lang.Thread +Thread() +Thread(task: Runnable) +start(): void +isAlive(): boolean +setPriority(p: int): void +join(): void +sleep(millis: long): void +yield(): void +interrupt(): void

Creates an empty thread.

Creates a thread for a specified task.

Starts the thread that causes the run() method to be invoked by the JVM.

Tests whether the thread is currently running.

Sets priority p (ranging from 1 to 10) for this thread.

Waits for this thread to finish.

Puts a thread to sleep for a specified time in milliseconds.

Causes a thread to pause temporarily and allow other threads to execute.

Interrupts this thread.

#### **△Note**

- Since the Thread class implements Runnable, you could define a class that extends Thread and implements the run method, as shown in (a) below, and then create an object from the class and invoke its start method in a client program to start the thread, as shown in (b) below.
- ➤ However, this approach is *not* recommended because it mixes the task and mechanism of running the task.
- Separating the task from the thread, as shown earlier is a preferred design.

```
?
    java.lang.Thread
                            CustomThread
                                                   // Client class
                                                   public class Client {
 // Custom thread class
                                                     public void someMethod() {
 public class CustomThread extends Thread {
                                                       // Create a thread
   public CustomThread(...) {
                                                       CustomThread thread1 = new CustomThread(...);
                                                       // Start a thread
                                                       thread1.start():
   // Override the run method in Runnable
                                                       // Create another thread
   public void run() {
     // Tell system how to perform this task
                                                     ➤ CustomThread thread2 = new CustomThread(...);
                                                       // Start a thread
                                                       thread2.start();
                                                                           (b)
                      (a)
```

### The Static yield() Method

> You can use the yield() method to temporarily release time for other threads. For example, suppose you modify the code in Lines 53-57 in TaskThreadDemo.java as follows:

```
public void run() {
  for (int i = 1; i <= lastNum; i++) {
    System.out.print(" " + i);
    Thread.yield();
  }
}</pre>
```

> Every time a number is printed, the print100 thread is yielded.

### The Static sleep(milliseconds) Method

➤ The sleep(long mills) method puts the thread to sleep for the specified time in milliseconds. For example, suppose you modify the code in Lines 53-57 in TaskThreadDemo.java as follows:

```
public void run() {
  for (int i = 1; i <= lastNum; i++) {
    System.out.print(" " + i);
    try {
      if (i >= 50) Thread.sleep(1);
      }
      catch (InterruptedException ex) {
      }
    }
}
```

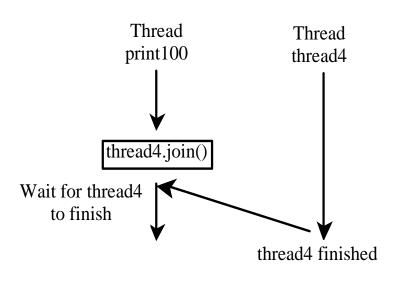
➤ Every time a number (>= 50) is printed, the print100 thread is put to sleep for 1 millisecond.

### The join() Method

➤ You can use the join() method to force one thread to wait for another thread to finish. For example, suppose you modify the code in Lines 53-57 in TaskThreadDemo.java as follows:

```
public void run() {
   Thread thread4 = new Thread(
new PrintChar('c', 40));
   thread4.start();

   try {
     for (int i = 1; i <= lastNum; i++) {
        System.out.print(" " + i);
        if (i == 50) thread4.join();
     }
   }
   catch (InterruptedException ex) {
   }
}</pre>
```



> The numbers after 50 are printed after thread thread4 is finished.

# The Deprecated stop(), suspend(), and resume() Methods

#### **△Note**

- The Thread class also contains the stop(), suspend(), and resume()methods.
- As of Java 2, these methods are *deprecated* (or *outdated*) because they are known to be inherently unsafe.
- You should assign null to a Thread variable to indicate that it is stopped rather than use the stop() method.

### Thread Priority

- ➤ Each thread is assigned a default priority of Thread.NORM\_PRIORITY.
- > You can reset the priority using setPriority(int priority).
- ➤ Some constants for priorities include: Thread.MIN\_PRIORITY Thread.MAX\_PRIORITY Thread.NORM PRIORITY

Which of the following expressions must be true if you create a thread using Thread = new Thread(object)?

- A. object instance of Thread
- B. object instanceof Frame
- C. object instanceof Applet
- D. object instanceof Runnable

Which of the following methods in the Thread class are deprecated?

- A. yield()
- B. stop()
- C. resume()
- D. suspend()

You can use the \_\_\_\_\_ method(s) to temporarily release time for other threads.

- A. sleep(long milliseconds)
- B. yield()
- C. stop()
- D. suspend()

#### When you run the following program, what will happen?

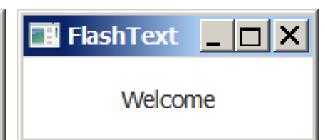
```
public class Test extends Thread {
  public static void main(String[] args) {
    Test t = new Test();
    t.start();
    t.start();
  }
  public void run() {
    System.out.println("test");
  }
}
```

- A. Nothing is displayed.
- B. The program displays test twice.
- C. The program displays test once.
- D. An illegal java.lang.lllegalThreadStateException may be thrown because you just started the thread and the thread might have not yet finished before you start it again.

## 30.5 Case Study: Flashing Text (Optional)







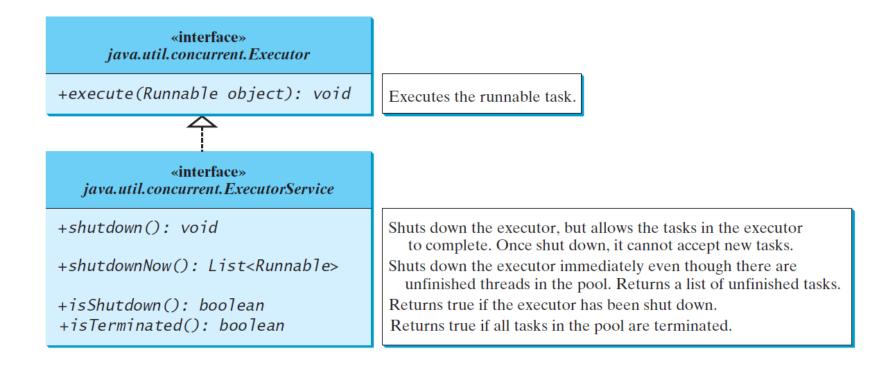
FlashText

### 30.6 Thread Pools

- ➤ Starting a new thread for each task could limit throughput and cause poor performance.
- A *thread pool* is an ideal way to manage the number of tasks executing concurrently.
- ➤ Java provides the Executor interface for executing tasks in a thread pool and the ExecutorService interface for managing and controlling tasks.
- ExecutorService is a **subinterface** of Executor, as shown on the next page.

# The Executor interface and the ExecutorService subinterface

The Executor interface *executes* threads and the ExecutorService subinterface *manages* threads.



### **Creating Executors**

To create an Executor object, use the static methods in the Executors class.

#### java.util.concurrent.Executors

+newFixedThreadPool(numberOfThreads:
 int): ExecutorService

+newCachedThreadPool():
 ExecutorService

Creates a thread pool with a fixed number of threads executing concurrently. A thread may be reused to execute another task after its current task is finished.

Creates a thread pool that creates new threads as needed, but will reuse previously constructed threads when they are available.

ExecutorDemo

### **Tip**

- > If you need to create a thread for just one task, use the Thread class.
- ➤ If you need to create threads for multiple tasks, it is better to use a thread pool.

# Suppose there are three Runnable tasks, task1, task2, task3. How do you run them in a thread pool with 2 fixed threads?

- A. new Thread(task1).start(); new Thread(task2).start(); new Thread(task3).start();
- B. ExecutorService executor = Executors.newFixedThreadPool(3); executor.execute(task1); executor.execute(task2); executor.execute(task3);
- C. ExecutorService executor = Executors.newFixedThreadPool(2); executor.execute(task1); executor.execute(task2); executor.execute(task3);
- D. ExecutorService executor = Executors.newFixedThreadPool(1); executor.execute(task1); executor.execute(task2); executor.execute(task3);

### How do you create a cached thread pool?

- A. ExecutorService executor = Executors.newCachedThreadPool();
- B. ExecutorService executor = Executors.newCachedThreadPool(1);
- C. ExecutorService executor = Executors.newCachedThreadPool(2);
- D. ExecutorService executor = Executors.newCachedThreadPool(3);

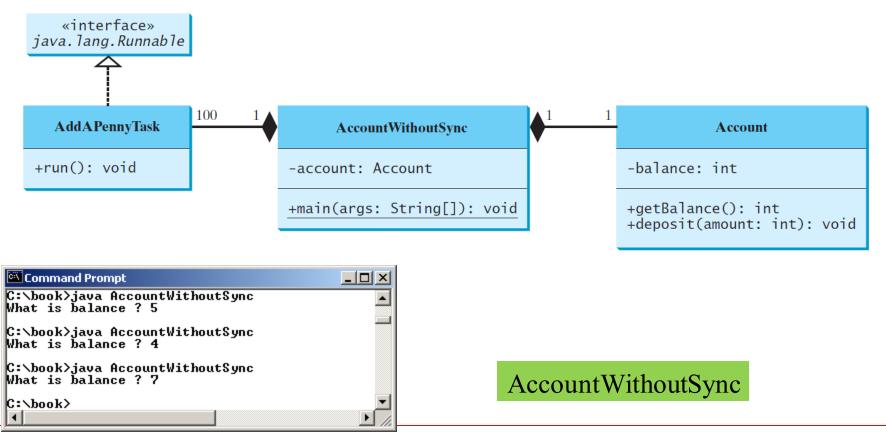
### 30.7 Thread Synchronization

- A shared resource may be corrupted if it is accessed simultaneously by multiple threads.
- For example, two unsynchronized threads accessing the same bank account may cause conflict.

Step	balance	thread[i]	thread[j]
1	0	newBalance = bank.getBalance() + 1;	
2	0		<pre>newBalance = bank.getBalance() + 1;</pre>
3	1	bank.setBalance(newBalance);	
4	1		bank.setBalance(newBalance);

### Example: Showing Resource Conflict

Objective: Write a program that demonstrates the problem of resource conflict. Suppose that you create and launch one hundred threads, each of which adds a penny to an account. Assume that the account is initially empty.



### Race Condition

What caused the error in the example? One possible scenario:

Step	balance	Task 1	Task 2
1 2 3	0 0 1	<pre>newBalance = balance + 1; balance = newBalance;</pre>	newBalance = balance + 1;
4	1		balance = newBalance;

- The effect of this scenario is that Task 1 did nothing, because in Step 4 Task 2 overrides Task 1's result.
- The problem is that Task 1 and Task 2 are accessing a common resource in a way that causes conflict.
- > This is a common problem known as a *race condition* in multithreaded programs.
- A class is said to be *thread-safe* if an object of the class does not cause a race condition in the presence of multiple threads.
- As demonstrated in the preceding example, the Account class is not thread-safe.

### 30.7.1 The synchronized keyword

- ➤ To avoid race conditions, more than one thread must be prevented from simultaneously entering a certain part of the program, known as the *critical region*.
- ➤ The critical region in the Listing AccountWithoutSync.java is the entire deposit method.
- You can use the synchronized keyword to *synchronize the method* so that only one thread can access the method at a time.
- ➤ There are several ways to correct the problem; one approach is to make Account thread-safe by adding the synchronized keyword in the deposit method in Line 38 as follows:

public synchronized void deposit(int amount)

# Synchronizing Instance Methods and Static Methods

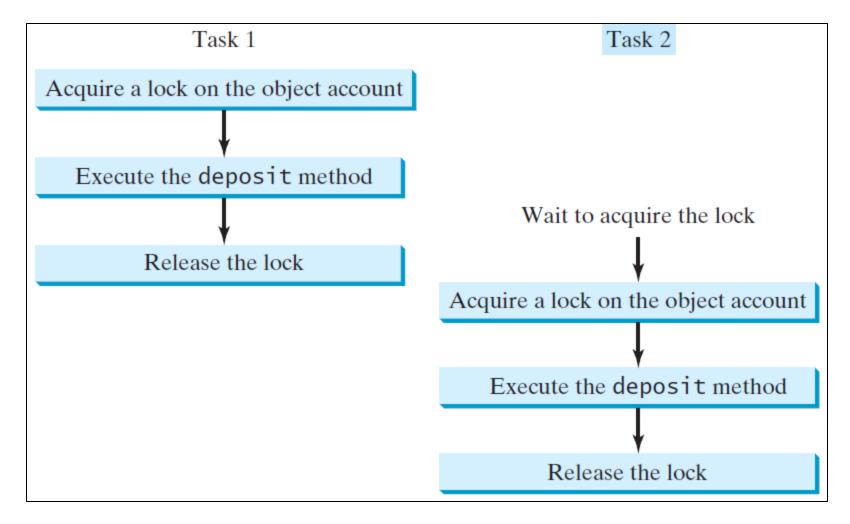
- > A synchronized method acquires a *lock* before it executes.
  - In the case of an instance method, the lock is on the object for which the method was invoked.
  - In the case of a static method, the lock is on the class.
- ➤ If one thread invokes a synchronized instance method (respectively, static method) on an object, the lock of that object (respectively, class) is acquired first, then the method is executed, and finally the lock is released.
- Another thread invoking the same method of that object (respectively, class) is blocked until the lock is released.

# Synchronizing Instance Methods and Static Methods (cont.)

- ➤ With the deposit method *synchronized*, the preceding scenario cannot happen.
- ➤ If Task 2 starts to enter the method, and Task 1 is already in the method, Task 2 is blocked until Task 1 finishes the method.

Step	Balance	Task 1	Task 2
1	0	<pre>newBalance = balance + 1;</pre>	
2	0		<pre>newBalance = balance + 1;</pre>
3	1	<pre>balance = newBalance;</pre>	
4	1		<pre>balance = newBalance;</pre>

### Synchronizing Tasks



### 30.7.2 Synchronizing Statements

- Invoking a synchronized *instance* method of an object acquires a lock on the object, and invoking a synchronized *static* method of a class acquires a lock on the class.
- A synchronized statement can be used to acquire a lock on any object, not just this object, when executing a block of the code in a method. This block is referred to as a synchronized block. The general form of a synchronized statement is as follows:

```
synchronized (expr) {
  statements;
}
```

The expression expr must evaluate to an object reference. If the object is already locked by another thread, the thread is blocked until the lock is released. When a lock is obtained on the object, the statements in the synchronized block are executed, and then the lock is released.

### Synchronizing Statements (cont.)

- > Synchronized statements enable you to synchronize part of the code in a method instead of the entire method.
  - → This increases concurrency.
- > You can make the Listing AccountWithoutSync.java thread-safe by placing the statement in Line 26 inside a synchronized block:

```
synchronized (account) {
  account.deposit(1);
}
```

### Synchronizing Statements vs. Methods

- Any synchronized instance method can be converted into a synchronized statement.
- > Suppose that the following is a synchronized instance method:

```
public synchronized void xMethod() {
  // method body
}
```

This method is equivalent to:

```
public void xMethod() {
    synchronized (this) {
      // method body
    }
}
```

#### The keyword to synchronize methods in Java is

\_\_\_\_•

- A. synchronize
- B. synchronizing
- C. synchronized
- D. Synchronized

#### 3.8 Synchronization Using Locks

- A synchronized instance method *implicitly* acquires a *lock* on the instance before it executes the method.
- > Java enables you to acquire locks *explicitly*, which gives you more control for coordinating threads.
- A lock is an instance of the Lock interface, which declares the methods for acquiring and releasing locks.
- A lock may also use the newCondition() method to create any number of Condition objects, which can be used for thread communications.

## «interface» java.util.concurrent.locks.Lock

+lock(): void

+unlock(): void

+newCondition(): Condition

Acquires the lock.

Releases the lock.

Returns a new Condition instance that is bound to this Lock instance.



#### java.util.concurrent.locks.ReentrantLock

+ReentrantLock()

+ReentrantLock(fair: boolean)

Same as ReentrantLock(false).

Creates a lock with the given fairness policy. When the fairness is true, the longest-waiting thread will get the lock. Otherwise, there is no particular access order.

#### Fairness Policy

- > ReentrantLock is a concrete implementation of Lock for creating mutually exclusive locks.
- > You can create a lock with the specified *fairness policy*.
- > True fairness policies guarantee the longest-wait thread to obtain the lock first.
- > False fairness policies grant a lock to a waiting thread arbitrarily.
- > Programs using fair locks accessed by many threads may have poorer overall performance than those using the default setting, but have smaller variances in times to obtain locks and prevent starvation.

#### Example: Using Locks

This example revises AccountWithoutSync.java to synchronize the account modification using *explicit* locks.

AccountWithSyncUsingLock

Which of the following are correct statements to create a Lock?

- A. Lock lock = new Lock();
- B. Lock lock = new ReentrantLock();
- C. Lock lock = new ReentrantLock(true);
- D. Lock lock = new ReentrantLock(false);

Which of the following are correct statements to create a Lock so the longest-wait thread will obtain the lock first?

- A. Lock lock = new Lock();
- B. Lock lock = new ReentrantLock();
- C. Lock lock = new ReentrantLock(true);
- D. Lock lock = new ReentrantLock(false);

You should always invoke the unlock method in the finally clause.

- A. true
- B. false

# 30.9 Cooperation Among Threads

- > *Conditions* can be used to facilitate communications among threads. A thread can specify what to do under a certain condition.
- Conditions are objects created by invoking the newCondition() method on a Lock object.
- ➤ Once a condition is created, you can use its await(), signal(), and signalAll() methods for thread communications.
- > The await() method causes the current thread to wait until the condition is signaled. The signal()method wakes up one waiting thread, and the signalAll() method wakes all waiting threads.

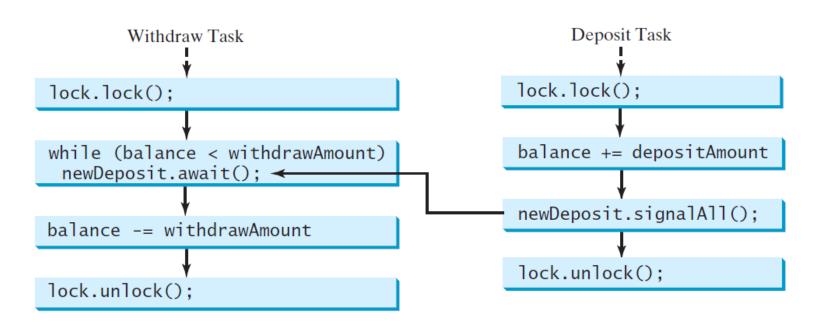
## «interface» java.util.concurrent.Condition

+await(): void
+signal(): void
+signalAll(): Condition

Causes the current thread to wait until the condition is signaled. Wakes up one waiting thread. Wakes up all waiting threads.

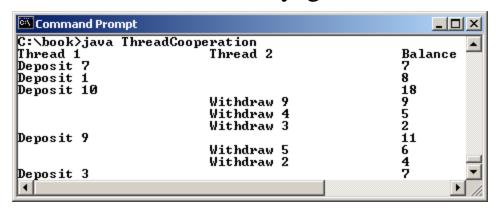
## Example: Cooperation Among Threads

- To synchronize the operations, use a **lock** with a **condition**: newDeposit (i.e., new deposit added to the account).
- ➤ If the balance is less than the amount to be withdrawn, the withdraw task will wait for the newDeposit condition.
- > When the deposit task adds money to the account, the task signals the waiting withdraw task to try again.



#### **Example: Thread Cooperation**

- Descrive: Write a program that demonstrates thread cooperation. Suppose that you create and launch two threads, one deposits to an account, and the other withdraws from the same account.
- > The second thread has to wait if the amount to be withdrawn is more than the current balance in the account.
- Whenever new fund is deposited to the account, the first thread notifies the second thread to resume. If the amount is still not enough for a withdrawal, the second thread has to continue to wait for more fund in the account.
- Assume the initial balance is 0 and the amount to deposit and to withdraw is randomly generated.



ThreadCooperation

#### How do you create a condition on a lock?

- A. Condition condition = lock.getCondition();
- B. Condition condition = lock.newCondition();
- C. Condition condition = Lock.newCondition();
- D. Condition condition = Lock.getCondition();

Which method on a condition should you invoke to cause the current thread to wait until the condition is signaled?

- A. condition.await();
- B. condition.wait();
- C. condition.waiting();
- D. condition.waited();

Which method on a condition should you invoke to wake all waiting threads?

- A. condition.wake();
- B. condition.signal();
- C. condition.wakeAll();
- D. condition.signalAll();

## Java's Built-in Monitors (Optional)

Locks and conditions were new in Java 5. Prior to Java 5, thread communications were programmed using objects' built-in *monitors*. Locks and conditions are more powerful and flexible than the built-in monitor. For this reason, this section can be completely ignored. However, if you work with legacy Java code, you may encounter the Java's built-in monitor. A *monitor* is an object with mutual exclusion and synchronization capabilities. Only one thread can execute a method at a time in the monitor. A thread enters the monitor by acquiring a lock on the monitor and exits by releasing the lock. Any object can be a monitor. An object becomes a monitor once a thread locks it. Locking is implemented using the *synchronized* keyword on a method or a block. A thread must acquire a lock before executing a synchronized method or block. A thread can wait in a monitor if the condition is not right for it to continue executing in the monitor.

## Java's Built-in Monitors (Optional, cont.)

#### wait(), notify(), and notifyAll()

- ➤ Use the wait(), notify(), and notifyAll() methods to facilitate communication among threads.
- ➤ The wait(), notify(), and notifyAll() methods must be called in a synchronized method or a synchronized block on the calling object of these methods. Otherwise, an IllegalMonitorStateException would occur.
- The wait() method lets the thread wait until some condition occurs. When it occurs, you can use the notify()or notifyAll() methods to notify the waiting threads to resume normal execution. The notifyAll() method wakes up all waiting threads, while notify() wakes up only one thread from a waiting queue.

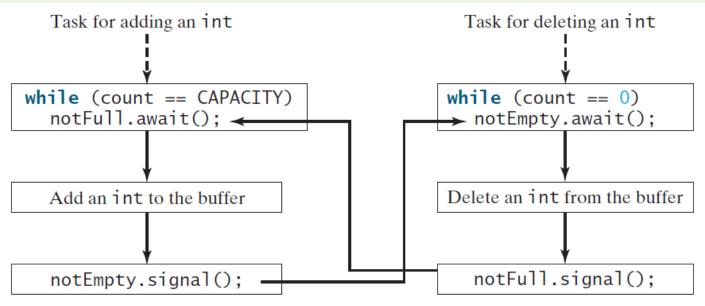
#### Example: Using Monitors (Optional)

```
synchronized (anObject) {
  try {
    // Wait for the condition to become true
    while (!condition)
        anObject.wait();
    // Do something when condition is true
  }
  catch (InterruptedException ex) {
    ex.printStackTrace();
  }
}
synchronized (anObject) {
    // When condition becomes true
    anObject.notify(); or anObject.notifyAll();
    ...
}
```

- > The wait(), notify(), and notifyAll() methods must be called in a synchronized method or a synchronized block on the receiving object of these methods. Otherwise, an IllegalMonitorStateException will occur.
- ➤ When wait() is invoked, it pauses the thread and simultaneously releases the lock on the object. When the thread is restarted after being notified, the lock is automatically reacquired.
- The wait(), notify(), and notifyAll() methods on an object are analogous to the await(), signal(), and signalAll() methods on a condition.

## 30.10 Case Study: Producer/Consumer (Optional)

Consider the classic Consumer/Producer example. Suppose you use a buffer to store integers. The buffer size is limited. The buffer provides the method write(int) to add an int value to the buffer and the method read() to read and delete an int value from the buffer. To synchronize the operations, use a lock with two conditions: notEmpty (i.e., buffer is not empty) and notFull (i.e., buffer is not full). When a task adds an int to the buffer, if the buffer is full, the task will wait for the notFull condition. When a task deletes an int from the buffer, if the buffer is empty, the task will wait for the notEmpty condition.



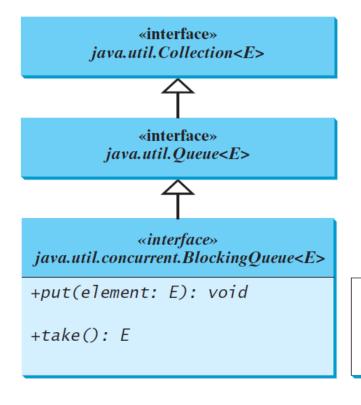
# 30.10 Case Study: Producer/Consumer (Optional)

- Listing 30.7 presents the complete program. The program contains the Buffer class (lines 50-101) and two tasks for repeatedly producing and consuming numbers to and from the buffer (lines 16-47). The write(int) method (lines 62-79) adds an integer to the buffer. The read() method (lines 81-100) deletes and returns an integer from the buffer.
- For simplicity, the buffer is implemented using a linked list (lines 52-53). Two conditions notEmpty and notFull on the lock are created in lines 59-60. The conditions are bound to a lock. A lock must be acquired before a condition can be applied. If you use the wait() and notify() methods to rewrite this example, you have to designate two objects as monitors.

ConsumerProducer

# 30.11 Blocking Queues (Optional)

➤ A *blocking queue* causes a thread to block when you try to add an element to a full queue or to remove an element from an empty queue.

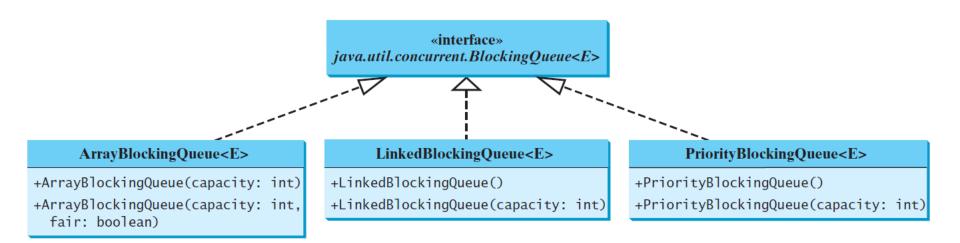


Inserts an element to the tail of the queue. Waits if the queue is full.

Retrieves and removes the head of this queue. Waits if the queue is empty.

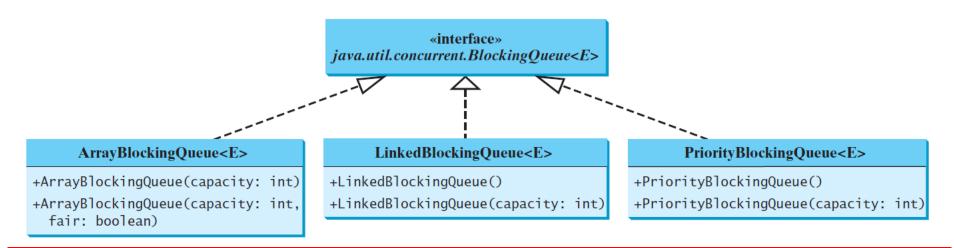
## Concrete Blocking Queues (Optional)

- Three concrete blocking queues ArrayBlockingQueue, LinkedBlockingQueue, and PriorityBlockingQueue are supported in Java.
- ➤ All are in the java.util.concurrent package.



## Concrete Blocking Queues (Optional)

- ArrayBlockingQueue implements a blocking queue using an array. You have to specify a capacity with an optional fairness policy to construct an ArrayBlockingQueue.
- > LinkedBlockingQueue implements a blocking queue using a linked list. You may create an unbounded or bounded LinkedBlockingQueue.
- > PriorityBlockingQueue is a priority queue. You may create an unbounded or bounded priority queue.



# Producer/Consumer Using Blocking Queues (Optional)

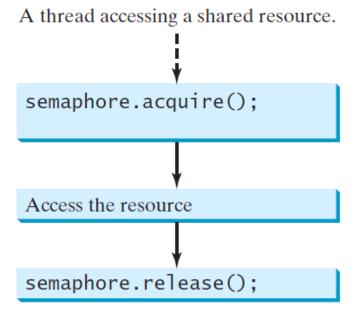
➤ The program gives an example of using an ArrayBlockingQueue for the Consumer/Producer problem.

ConsumerProducerUsingBlockingQueue

# 30.12 Semaphores (Optional)

- > Semaphores can be used to restrict the number of threads that access a shared resource.
- ➤ Before accessing the resource, a thread must acquire a *permit* from the semaphore.
- After finishing with the resource, the thread must return the permit back to the semaphore.

Acquire a permit from a semaphore. Wait if the permit is not available.



Release the permit to the semaphore.

## Creating Semaphores

- > To create a semaphore, you have to specify the number of permits with an optional fairness policy.
- A task acquires a permit by invoking the semaphore's acquire() method and releases the permit by invoking the semaphore's release() method.
- > Once a permit is acquired, the total number of available permits in a semaphore is reduced by 1. Once a permit is released, the total number of available permits in a semaphore is increased by 1.

#### java.util.concurrent.Semaphore

```
+Semaphore(numberOfPermits: int)

+Semaphore(numberOfPermits: int, fair: boolean)
+acquire(): void

+release(): void
```

Creates a semaphore with the specified number of permits. The fairness policy is false.

Creates a semaphore with the specified number of permits and the fairness policy.

Acquires a permit from this semaphore. If no permit is available, the thread is blocked until one is available.

Releases a permit back to the semaphore.

#### **Example: Semaphores**

- A **semaphore** with just *one permit* can be used to simulate a *mutually exclusive lock*.
- The following listing revises the Account inner class using a semaphore to ensure that only one thread at a time can access the deposit method.

```
1 // An inner class for Account
2 private static class Account {
3  // Create a semaphore
4  private static Semaphore semaphore = new Semaphore(1);
5  private int balance = 0;

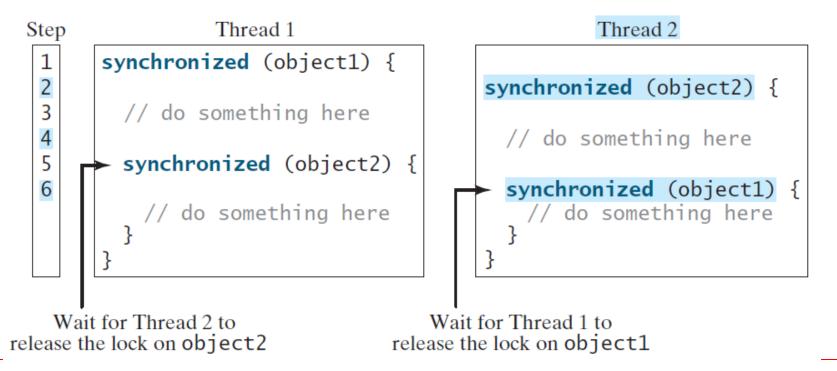
7  public int getBalance() {
8   return balance;
9  }
10
( ... Continued on next page ...)
```

## Example: Semaphores (cont.)

```
11 public void deposit(int amount) {
12
    try {
     semaphore.acquire(); // Acquire a permit
13
14
     int newBalance = balance + amount:
15
     // This delay is deliberately added to magnify the
16
17
     // data-corruption problem and make it easy to see
18
     Thread.sleep(5);
19
      balance = newBalance;
20
21
    catch (InterruptedException ex) {
23
24
    finally {
25
     semaphore.release(); // Release a permit
26
27 }
28}
```

# 30.13 Avoiding Deadlocks

- Sometimes two or more threads need to acquire the locks on several shared objects. This could cause *deadlock*, in which each thread has the lock on one of the objects and is waiting for the lock on the other object.
- Consider the scenario with two threads and two objects. Thread 1 acquired a lock on object1 and Thread 2 acquired a lock on object2. Now Thread 1 is waiting for the lock on object2 and Thread 2 for the lock on object1. The two threads wait for each other to release the in order to get the lock, and neither can continue to run.

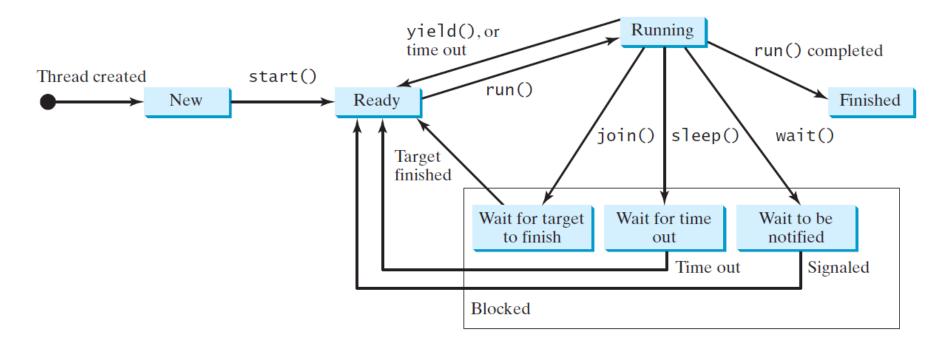


#### Preventing Deadlock

- > Deadlock can be easily avoided by using a simple technique known as *resource ordering*.
- ➤ With this technique, you assign an order on all the objects whose locks must be acquired and ensure that each thread acquires the locks in that order.
- For the example, suppose the objects are ordered as object1 and object2. Using the **resource ordering** technique, Thread 2 must acquire a lock on object1 first, then on object2.
  - ➤ Once Thread 1 acquired a lock on object1, Thread 2 has to wait for a lock on object1. So Thread 1 will be able to acquire a lock on object2 and no deadlock would occur.

#### 30.14 Thread States

➤ A thread can be in one of five states: *New*, *Ready*, *Running*, *Blocked*, or *Finished*.



#### isAlive(), interrupt(), and isInterrupted()

- > The isAlive() method is used to find out the state of a thread.
  - It returns **true** if a thread is in the *Ready*, *Blocked*, or *Running* state;
  - it returns **false** if a thread is *New* and has not started or if it is *Finished*.
- > The interrupt() method interrupts a thread in the following way:
  - If a thread is currently in the *Ready* or *Running* state, its interrupted flag is set; if a thread is currently *Blocked*, it is awakened and enters the *Ready* state, and an java.io.InterruptedException is thrown.
- The isInterrupted() method tests whether the thread is interrupted.

# 30.15 Synchronized Collections (Optional)

- The classes in the Java Collections Framework are not thread-safe, i.e., the contents may be corrupted if they are accessed and updated concurrently by multiple threads.
- You can protect the data in a collection by locking the collection <u>or</u> using *synchronized collections*.
- > The Collections class provides six static methods for wrapping a collection into a synchronized version. The collections created using these methods are called *synchronization wrappers*.

#### java.util.Collections

```
+synchronizedCollection(c: Collection): Collection
+synchronizedList(list: List): List
+synchronizedMap(m: Map): Map
+synchronizedSet(s: Set): Set
+synchronizedSortedMap(s: SortedMap): SortedMap
+synchronizedSortedSet(s: SortedSet): SortedSet
```

Returns a synchronized collection.

Returns a synchronized list from the specified list.

Returns a synchronized map from the specified map.

Returns a synchronized set from the specified set.

Returns a synchronized sorted map from the specified sorted map.

Returns a synchronized sorted set.

#### Vector, Stack, and Hashtable

- Invoking synchronizedCollection(Collection c) returns a new Collection object, in which all the methods that access and update the original collection c are synchronized.
- > These methods are implemented using the **synchronized** keyword. For example, the add method is implemented like this:

```
public boolean add(E o) {
  synchronized (this) { return c.add(o); }
}
```

➤ The synchronized collections can be safely accessed and modified by multiple threads concurrently.

The methods in java.util.Vector, java.util.Stack, and java.util.Hashtable are already synchronized. These are old classes introduced in JDK 1.0. In JDK 1.5, you should use java.util.ArrayList to replace Vector, java.util.LinkedList to replace Stack, and java.util.Map to replace Hashtable. If synchronization is needed, use a synchronization wrapper.

#### Fail-Fast

- > The synchronization wrapper classes are *thread-safe*, but the iterator is *fail-fast*.
- This means that if you are using an iterator to traverse a collection while the underlying collection is being modified by another thread, then the iterator will immediately fail by throwing java.util.ConcurrentModificationException, which is a subclass of RuntimeException.
- To avoid this error, you need to create a synchronized collection object and acquire a lock on the object when traversing it. For example, suppose you want to traverse a set, you have to write the code like this:

```
Set hashSet = Collections.synchronizedSet(new HashSet());
synchronized (hashSet) { // Must synchronize it
    Iterator iterator = hashSet.iterator();

while (iterator.hasNext()) {
    System.out.println(iterator.next());
    }
}
```

➤ Failure to do so may result in nondeterministic behavior, such as ConcurrentModificationException.

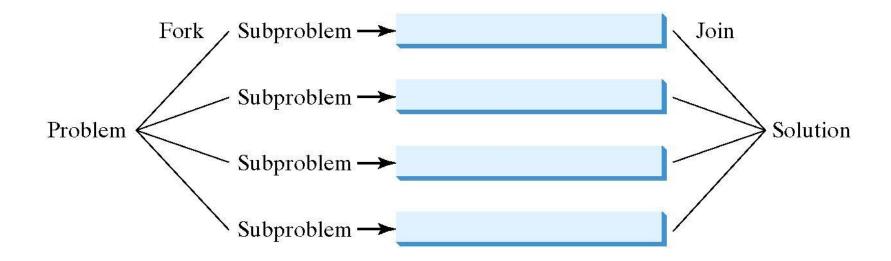
# 30.16 Parallel Programming

#### The Fork/Join Framework

- > The widespread use of multicore systems has created a revolution in software.
- ➤ In order to benefit from multiple processors, software needs to run in parallel.
- > JDK 7 introduced the new *Fork/Join Framework* for parallel programming, which utilizes the multicore processors.

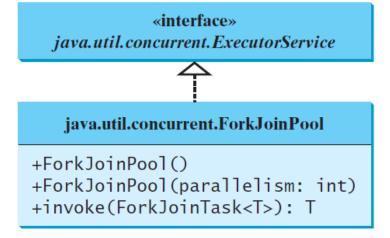
#### The Fork/Join Framework

- ➤ The *Fork/Join Framework* is used for parallel programming in Java.
- ➤ In JDK 7's Fork/Join Framework, a *fork* can be viewed as an independent task that runs on a thread.



#### ForkJoinTask and ForkJoinPool

> The framework defines a task using the ForkJoinTask class, and executes a task in an instance of ForkJoinPool.



See Figure 30.7

Creates a ForkJoinPool with all available processors.

Creates a ForkJoinPool with the specified number of processors.

Performs the task and returns its result upon completion.

#### **ForkJoinTask**

#### 

+cancel(interrupt: boolean): boolean

+get(): V

+isDone(): boolean

Attempts to cancel this task.

Waits if needed for the computation to complete and returns the result.

Returns true if this task is completed.

#### java.util.concurrent.ForkJoinTask<V>

+adapt(Runnable task): ForkJoinTask<V>

+fork(): ForkJoinTask<V>

+join(): V
+invoke(): V

+invokeAll(tasks ForkJoinTask<?>...): void

Returns a ForkJoinTask from a runnable task.

Arranges asynchronous execution of the task.

Returns the result of computations when it is done.

Performs the task and awaits for its completion, and returns its result.

Forks the given tasks and returns when all tasks are completed.

#### java.util.concurrent.RecursiveAction<V>

#compute(): void

Defines how task is performed.

#### java.util.concurrent.RecursiveTask<V>

#compute(): V

Defines how task is performed. Return the value after the task is completed.

- > ForkJoinTask is the abstract base class for tasks.
- A ForkJoinTask is a *thread-like entity*, but it is much lighter than a normal thread because huge numbers of tasks and subtasks can be executed by a small number of actual threads in a ForkJoinPool.
- > The tasks are primarily coordinated using fork() and join().
  - ➤ Invoking fork() on a task arranges asynchronous execution, and invoking join() waits until the task is completed.
  - The invoke() and invokeAll(tasks) methods implicitly invoke fork() to execute the task and join() to wait for the tasks to complete, and return the result, if any.
  - ➤ Note that the static method invokeAll takes a variable number of ForkJoinTask arguments using the ... syntax.

- ➤ The Fork/Join Framework is designed to parallelize divide-and-conquer solutions, which are naturally recursive.
- RecursiveAction and RecursiveTask are two subclasses of ForkJoinTask .
- > To define a concrete task class, your class should extend RecursiveAction or RecursiveTask.
- Recursive Action is for a task that **doesn't** return a value, and Recursive Task is for a task that **does** return a value.
- Your task class should override the compute() method to specify how a task is performed.

#### **Example**

The following program gives a *parallel* implementation of the *merge sort* algorithm (introduced in Section 23.4) and compares its execution time with a sequential sort.

ParallelMergeSort

In general, a problem can be solved in *parallel* using the following pattern:

```
if (the program is small)
  solve it sequentially;
else {
  divide the problem into nonoverlapping subproblems;
  solve the subproblems concurrently;
  combine the results from subproblems to solve the
   whole problem;
}
```

#### **Example**

➤ The following program develops a *parallel* method that finds the maximal number in a list.

ParallelMax