

## **Chapter 4: Discretization of continuous-time controllers**

### **ECE 481 – Digital Control Systems**

Yash Vardhan Pant

# Outline

[X] Introduction

[ ] Ideal sample and zero order hold

[ ] Preserving linearity

[ ] Discrete approximations

[ ] Forward and backward Euler (left and right side rules)

[ ] Trapezoidal approximation

[ ] Exact (step-invariant) approximation

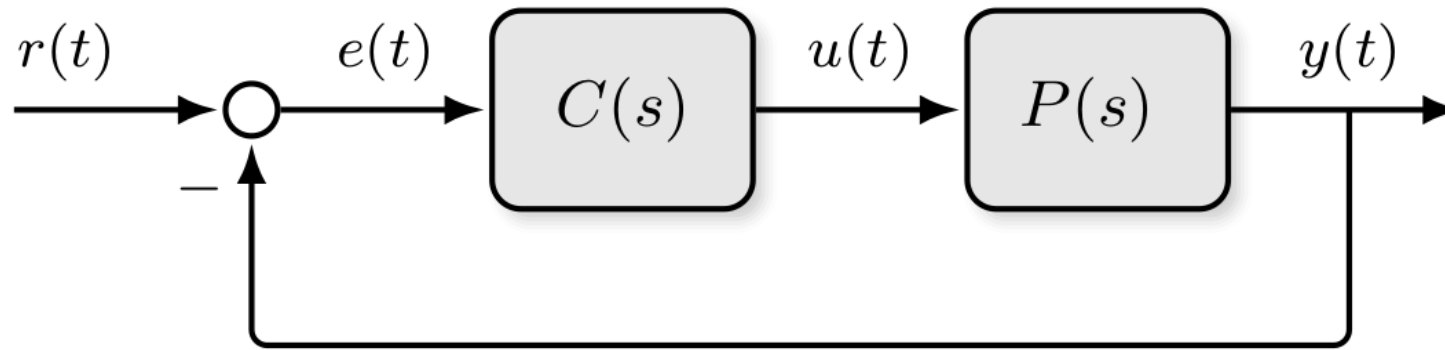
[ ] Controller design via approximation continuous-time controllers

X = The upcoming topic

- = Topic that has been covered

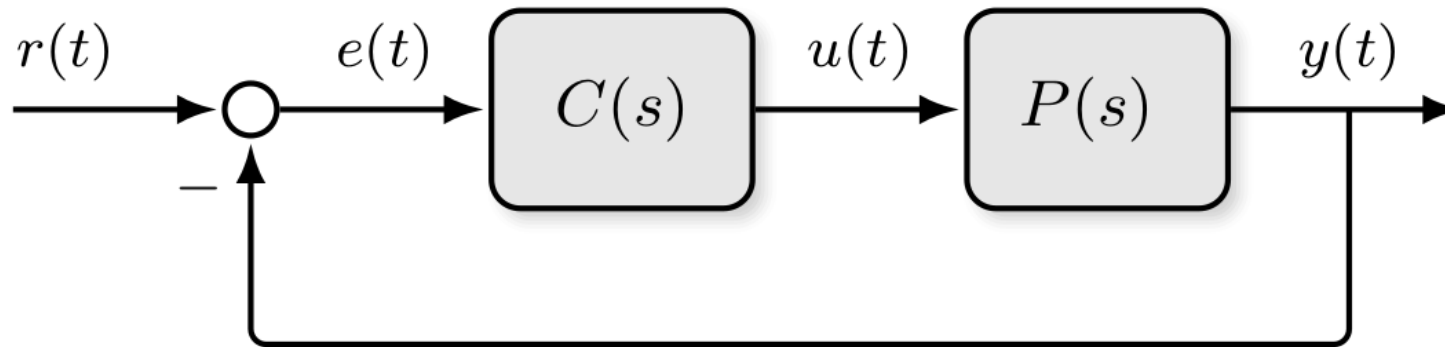
## A first look at discretization of continuous-time controllers

Let us start with a continuous controller  $C(s)$  that works well for the plant  $P(s)$  , e.g. via pole-placement.

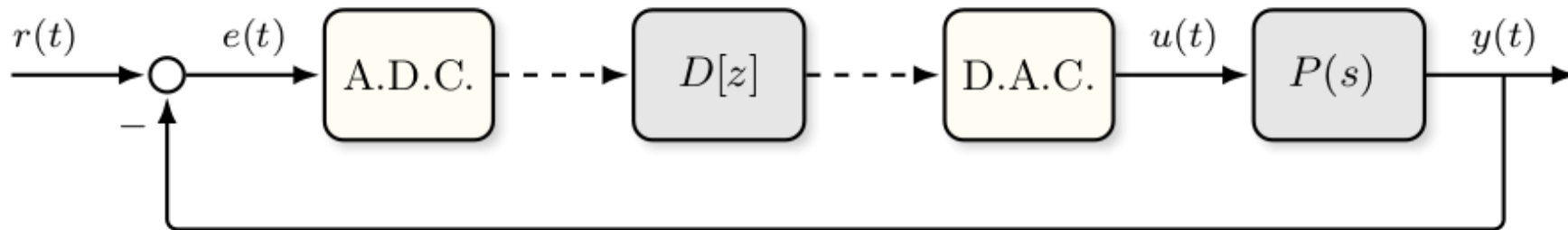


# A first look at discretization of continuous-time controllers

Let us start with a continuous controller  $C(s)$  that works well for the plant  $P(s)$



We want to design a discrete-time controller  $D(z)$  so that the system above is well-approximated.



# Outline

[ - ] Introduction

[ X ] Ideal sample and zero order hold

[ ] Preserving linearity

[ ] Discrete approximations

[ ] Forward and backward Euler (left and right rule)

[ ] Trapezoidal approximation

[ ] Exact (step-invariant) approximation

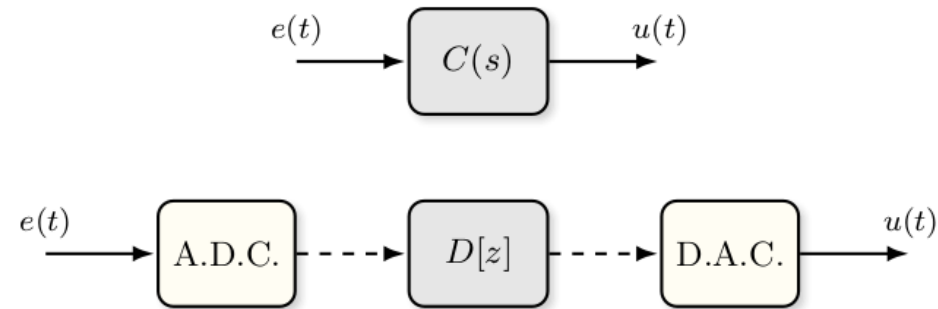
[ ] Controller design via approximation continuous-time controllers

X = The upcoming topic

- = Topic that has been covered

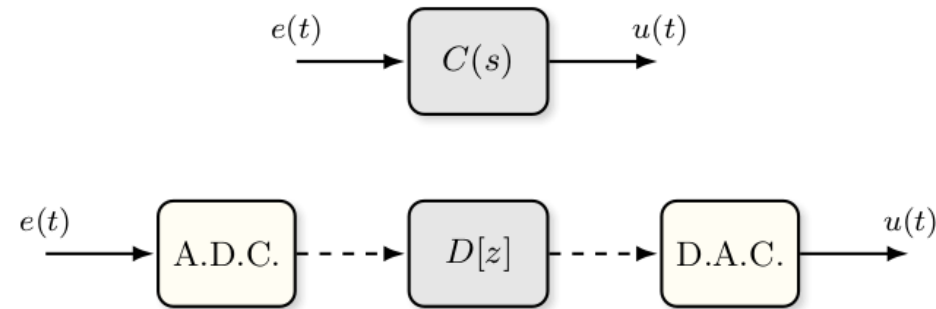
# Ideal sample and zero-order hold

We want to approximate the continuous-time control law



# Ideal sample and zero-order hold

We want to approximate the continuous-time control law

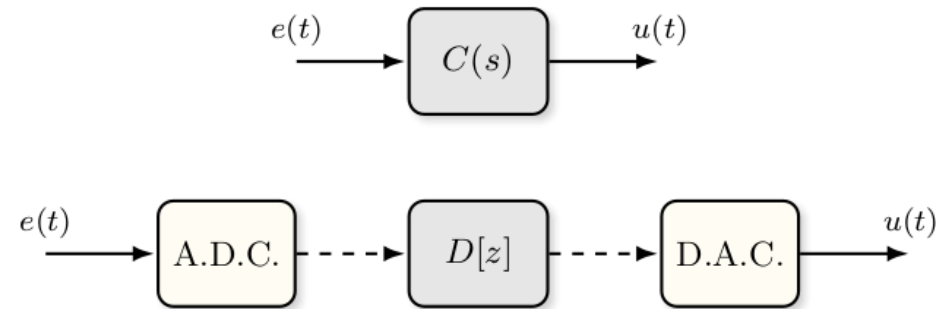


Approximation method:

- Model the A.D.C as an ideal sampler, *no noise, no quantization -*
- Model the D.A.C using zero-order hold

# Ideal sample and zero-order hold

We want to approximate the continuous-time control law



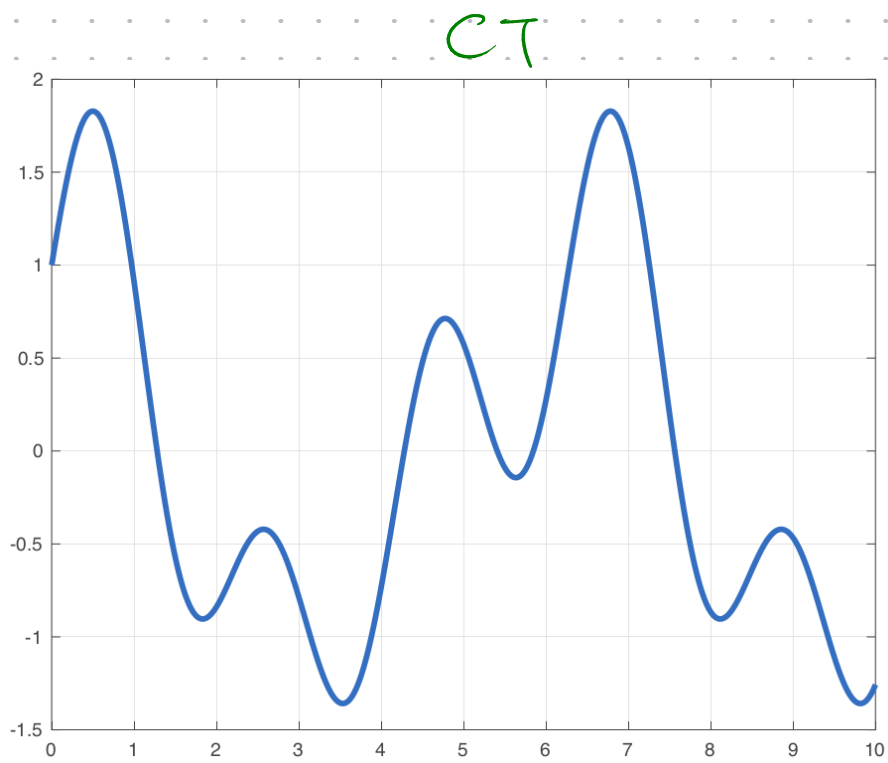
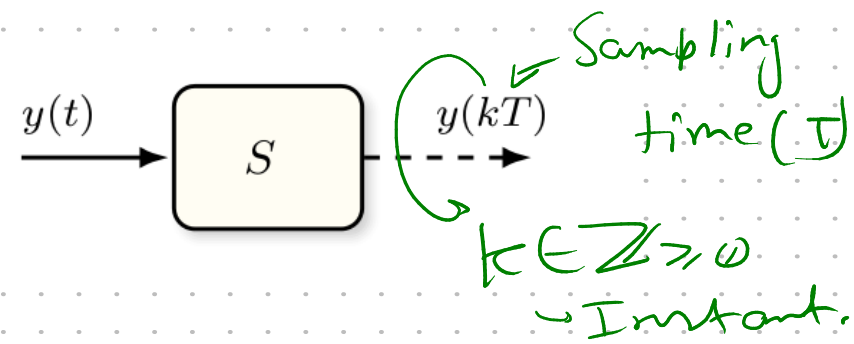
Approximation method:

- Model the A.D.C as an ideal sampler
- Model the D.A.C using zero-order hold

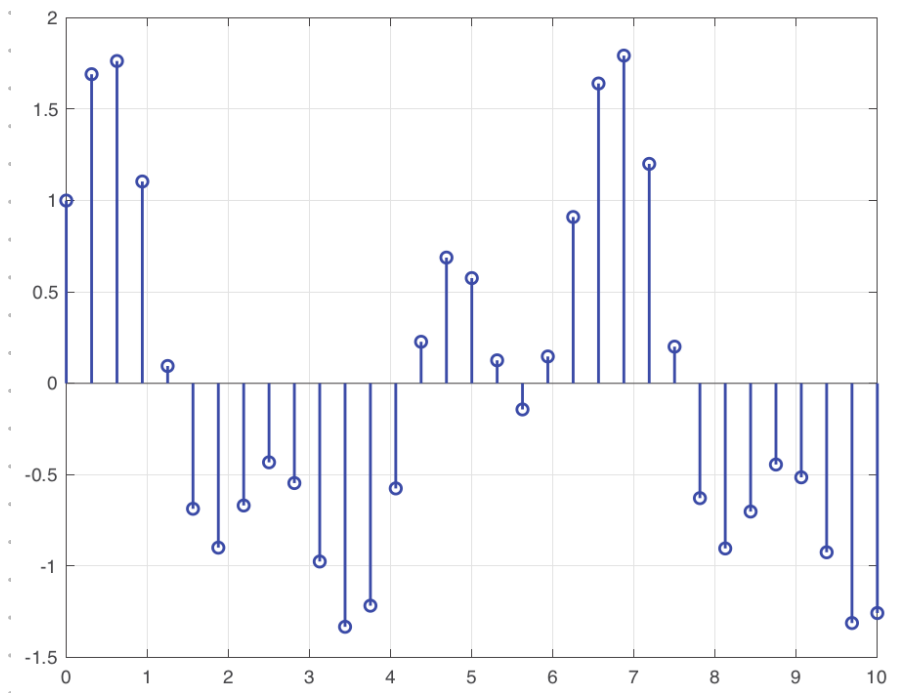


# Ideal sampler

Get a discrete-time representation of a continuous time signal



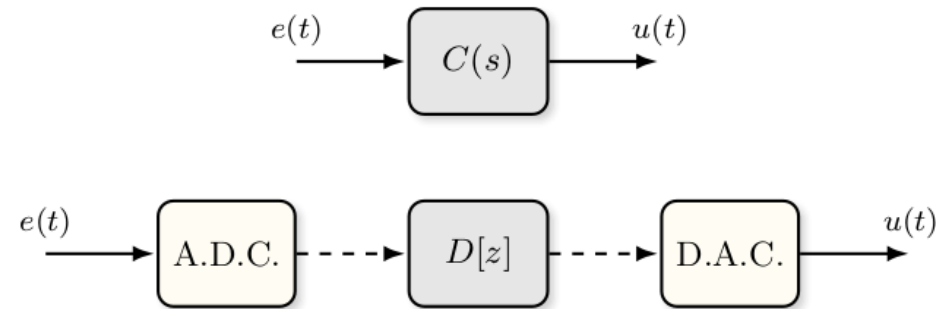
$y(t)$ : Input to  $S$



$y[k]$ : Output of  $S$

# Ideal sample and zero-order hold

We want to approximate the continuous-time control law

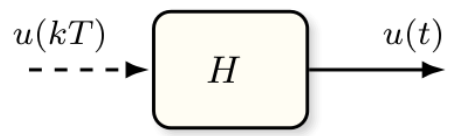


Approximation method:

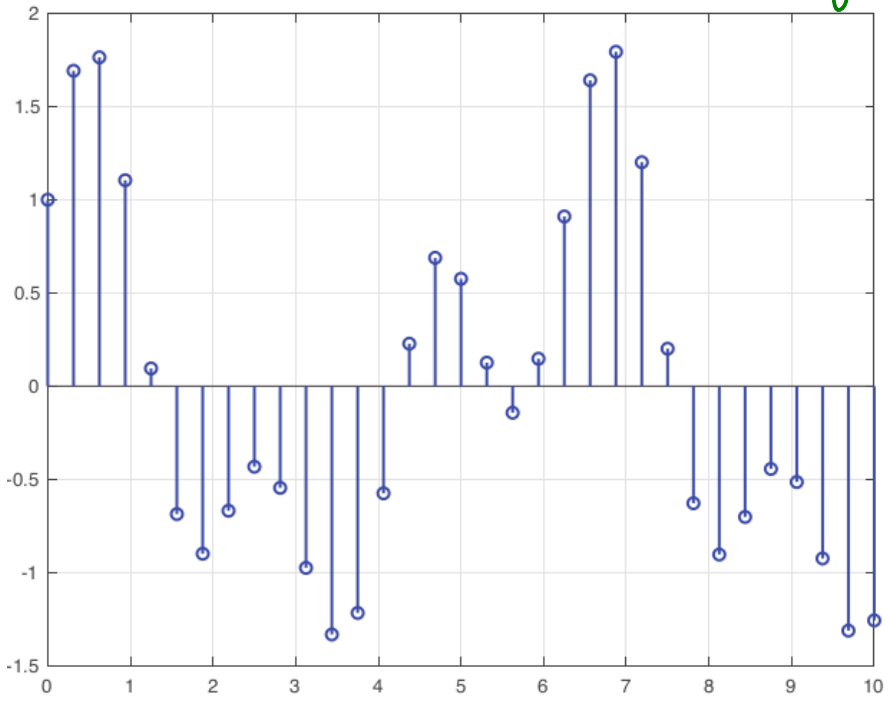
- Model the A.D.C as an ideal sampler
- Model the D.A.C using zero-order hold

# Zero-order hold (DAC)

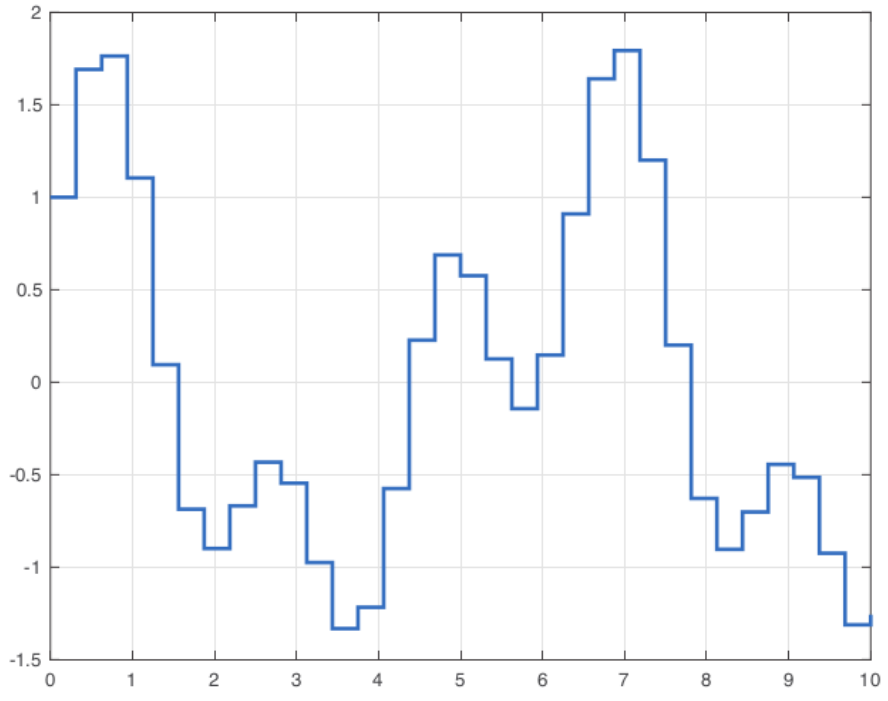
Get a continuous-time signal from a discrete-time signal



CONTROL INPUT (by micro-controller)



$u[k]$ : Input to the ZOH



$u(t)$ : Output of the ZOH

Represent  $u(t)$  as a function of  $u[k]$ :

$$u(t) = H(u(kT)) = u[k], \forall t \in [kT, (k+1)T)$$

# Outline

[ - ] Introduction

[ - ] Ideal sample and zero order hold

[ X ] Preserving linearity

[ ] Discrete approximations

[ ] Forward and backward Euler (left and right rule)

[ ] Trapezoidal approximation

[ ] Exact (step-invariant) approximation

[ ] Controller design via approximation continuous-time controllers

X = The upcoming topic

- = Topic that has been covered

# Preserving linearity with ideal sampling and ZOH

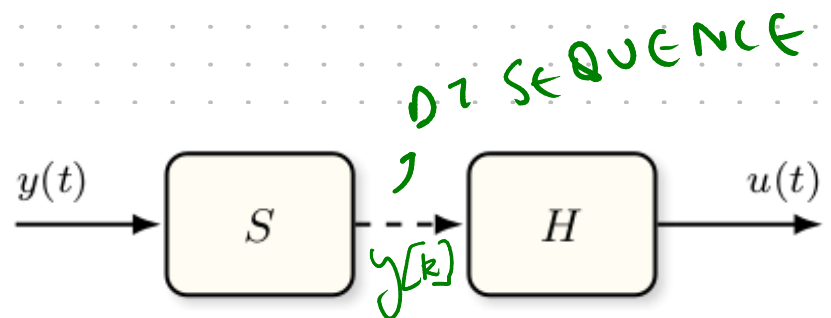
Exercise: Prove that the ideal sampler  $S$ , and zero-order hold  $H$  are both linear systems.

As a consequence, the compositions sample-and-hold and hold-and-sample are both linear systems (ignoring quantization).

# Preserving linearity with ideal sampling and ZOH

Exercise: Prove that the ideal sampler S, and zero-order hold H are both linear systems.

As a consequence, the compositions sample-and-hold and hold-and-sample are both linear systems (ignoring quantization).

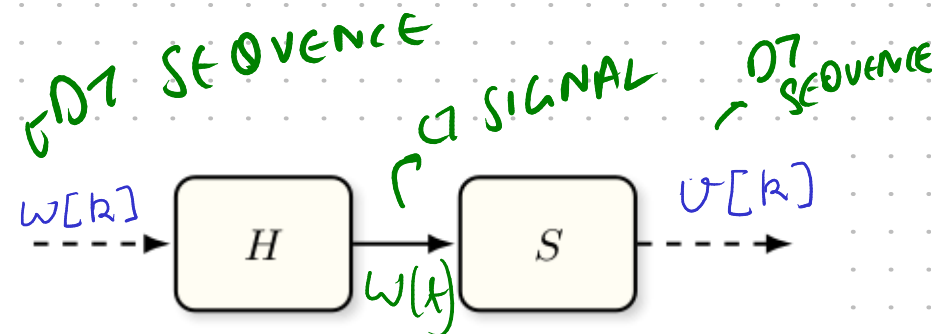


Sample-then-hold:  $H \circ S$

$$u(t) = \underbrace{H(S(y(t)))}_{H \circ S}$$

$u(t) = y(t) \forall t \geq 0$

composition



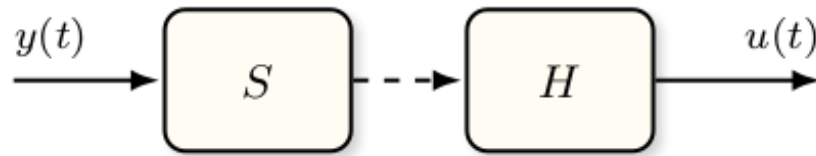
Hold-then-sample:  $S \circ H$

$$v[k] = \underbrace{S(H(w[k]))}_{S \circ H}$$

# Preserving linearity with ideal sampling and ZOH

Exercise: Prove that the ideal sampler  $S$ , and zero-order hold  $H$  are both linear systems.

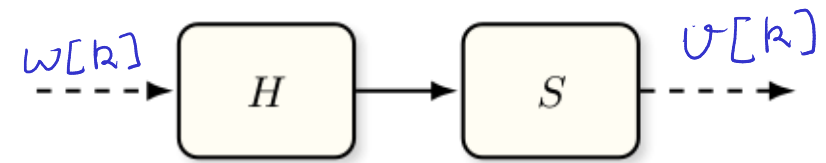
As a consequence, the compositions sample-and-hold and hold-and-sample are both linear systems (ignoring quantization).



Sample-then-hold:  $H \circ S$

$$u(t) = \underbrace{H(S(y(t)))}_{H \circ S}$$

$\uparrow$  composition



Hold-then-sample:  $S \circ H$

$$v[k] = \underbrace{S(H(w[k]))}_{S \circ H}$$

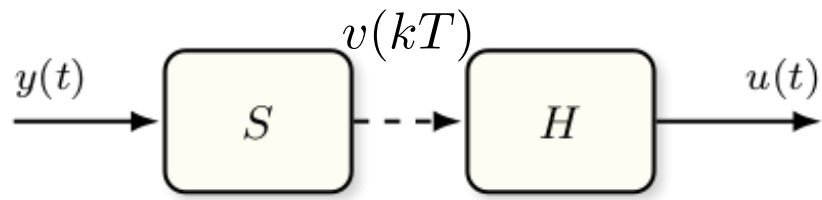
$H \circ S$ : Not a Linear Time-Invariant System!

(No transfer function representation, see Section 4.2 in notes)

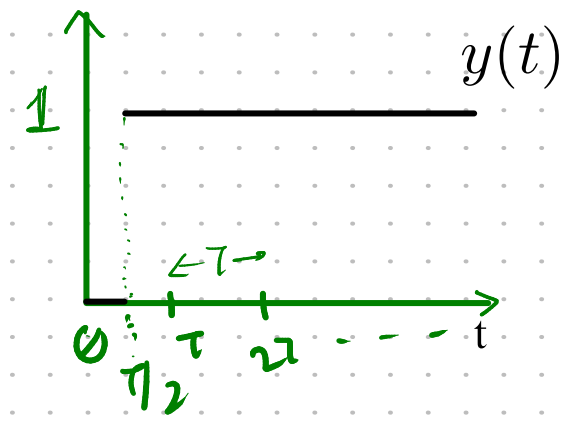
Sample-then-hold (H o S) is not LTI! An example:

$T \rightarrow$  Sampling time

Unit step:  $1(t)$

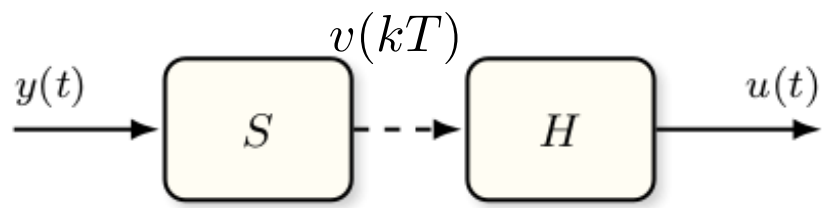


Let  $y(t) = 1(t - T/2)$       UNIT STEP, DELAYED BY  $T/2$



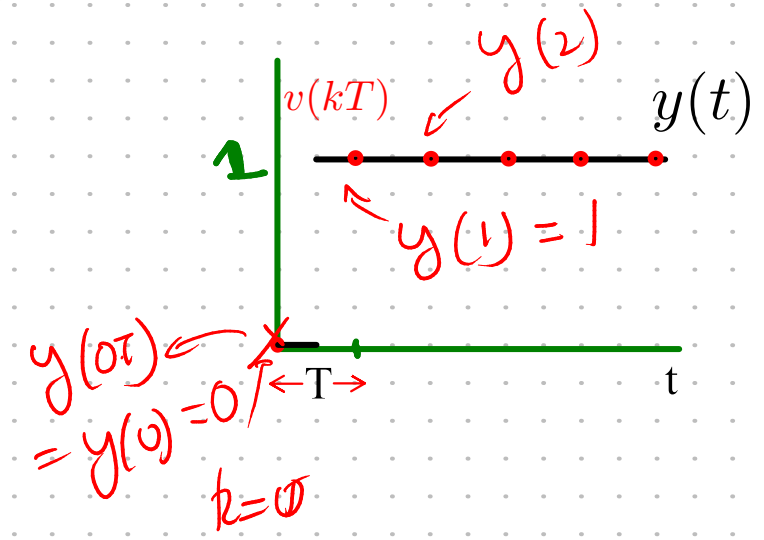


Sample-then-hold (H o S) is not LTI! An example:

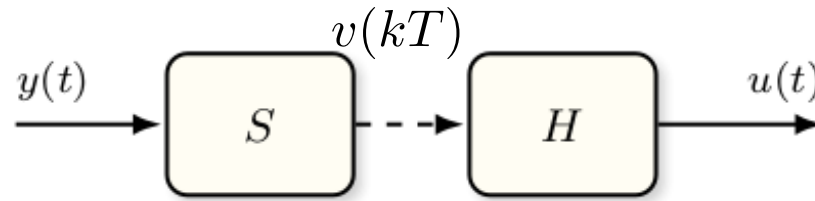


Let  $y(t) = 1(t-T/2)$

$$v(kT) = S(y(t))$$



**Sample-then-hold ( $H \circ S$ ) is not LTI! An example:**

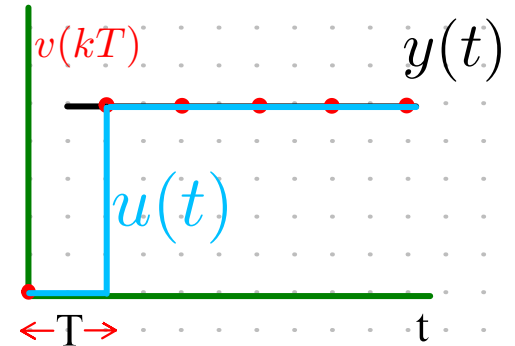


Let  $y(t) = 1(t - T/2)$

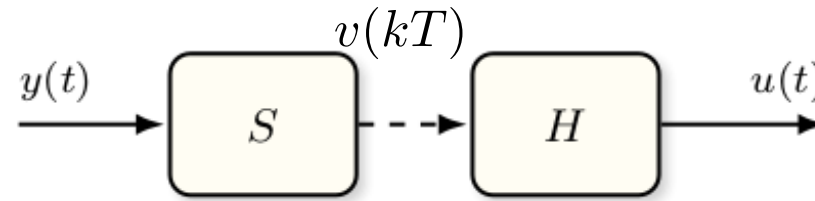
Output  $u(t) = 1(t - T)$

$$\left\{ u(t) = H(v(kT)) \right\}$$

i.e., the output is the unit-step delayed by one sampling period ( $T$ ).



## Sample-then-hold ( $H \circ S$ ) is not LTI! An example:



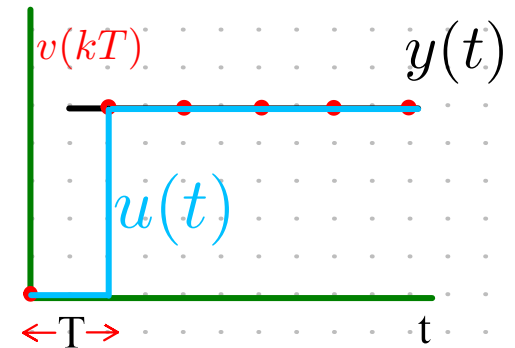
Let  $y(t) = 1(t - T/2)$

Output  $u(t) = 1(t - T)$

i.e., the output is the unit-step delayed by one sampling period ( $T$ ).

Now consider  $y(t) = 1(t - T)$ , then  $u(t) = 1(t - T)$  <verify>

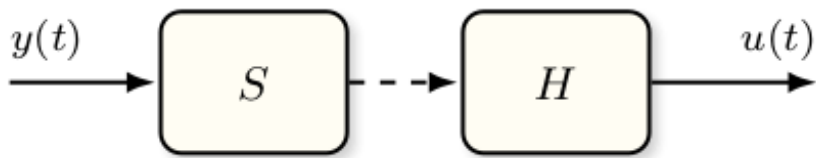
Therefore  $H \circ S$  is linear, but not time-invariant! No transfer function for this operation.



# Preserving linearity with ideal sampling and ZOH

Exercise: Prove that the ideal sampler S, and zero-order hold H are both linear systems.

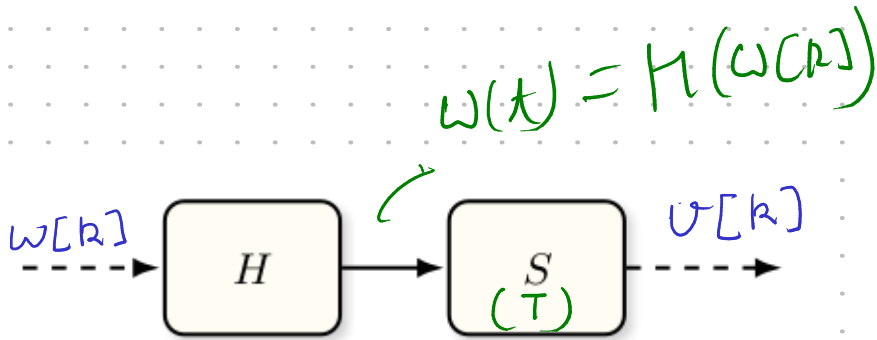
As a consequence, the compositions sample-and-hold and hold-and-sample are both linear systems (ignoring quantization).



Sample-then-hold:  $H \circ S$

$$u(t) = \underbrace{H(S(y(t)))}_{H \circ S}$$

composition

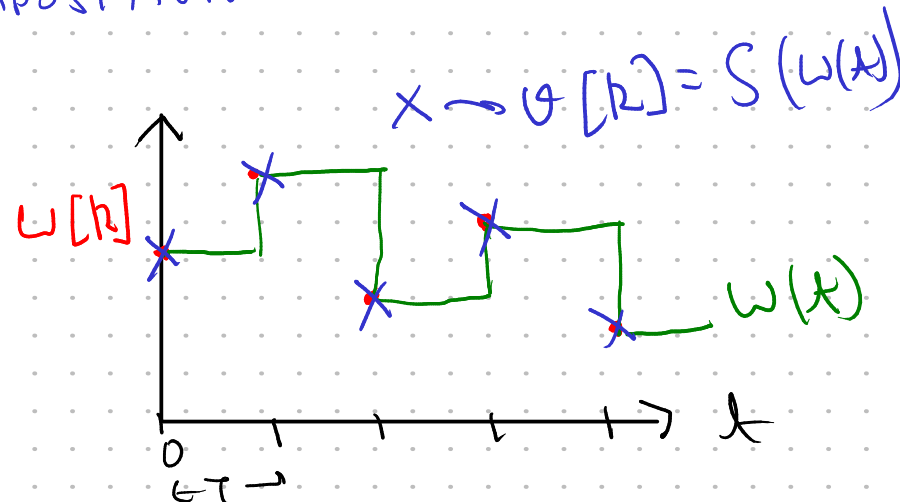


Hold-then-sample:  $S \circ H$

$$v[k] = \underbrace{S(H(w[k]))}_{S \circ H}$$

= ?  $w[k]$

What is  $S \circ H$ ?



Identity system!  
 $v[k] = w[k] \forall k$

# Outline

[ - ] Introduction

[ - ] Ideal sample and zero order hold

[ - ] Preserving linearity

[ X ] Discrete approximations

[ ] Forward and backward Euler (left and right rule)

[ ] Trapezoidal approximation

[ ] Exact (step-invariant) approximation

[ ] Controller design via approximation of continuous-time controllers

X = The upcoming topic

- = Topic that has been covered

## Discrete approximations

- Start with the assumption that the CT controller  $C(s)$  achieves the desired specifications.
- We want to construct a DT controller  $D[z]$  that preserves (or tries to) certain properties of  $C(s)$ .
- In this course, we will study two common types of discretization methods:
  1. Approximation using numerical integration (or differentiation), e.g., Euler's methods.
  2. Construct  $D[z]$  such that it matches response of  $C(s)$  at sample instants for certain inputs, e.g., impulse-invariant and step-invariant discretization methods.

# Outline

[ - ] Introduction

[ - ] Ideal sample and zero order hold

[ - ] Preserving linearity

[ X ] Discrete approximations

[ X ] Forward and backward Euler (left and right rule)

[ ] Trapezoidal approximation

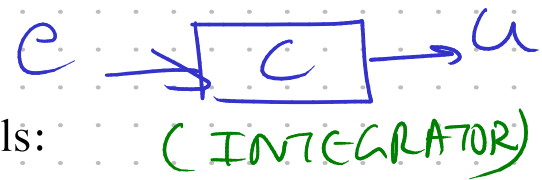
[ ] Exact (step-invariant) approximation

[ ] Controller design via approximation continuous-time controllers

X = The upcoming topic

- = Topic that has been covered

# Numerical integration



Approximating continuous time integration (differentiation) for discrete-time signals:

$$\text{Let } C(s) = 1/s, \text{ or } U(s) = \frac{1}{s} E, \text{ so } sU = E$$

$$\text{Inv LT: } \dot{u}(t) = e(t), \text{ or } u(t) - u(t_0) = \int_{t_0}^t e(\tau) d\tau \quad (1)$$

Sample  $u(t)$  at rate  $1/T$  Hz, then the difference between two successive samples of  $u(t)$  is:

$$u(kT) - u((k-1)T) = \int_{(k-1)T}^{kT} e(\tau) d\tau$$

∴ at sampling instants:

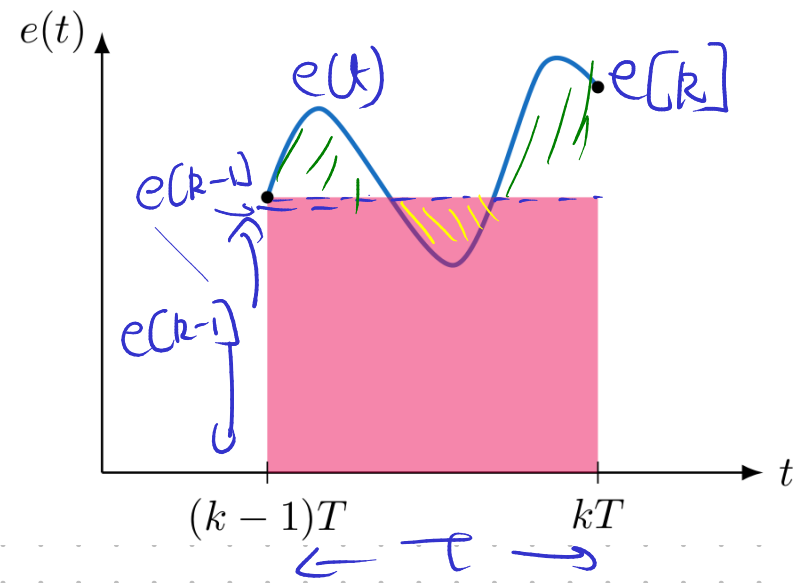
$$u[k] = u[k-1] + (\text{Area under } e(t) \text{ over } t \in [(k-1)T, kT]).$$

→ Approximate this using samples of  $e(t)$  [Numerical integration].



## Euler's approximation (forward), or the Left-side rule

LSR: Approximate by assuming  $e(t)$  is constant in  $[(k-1)T, kT]$ , with value  $e((k-1)T)$



$$\int_{(k-1)T}^{kT} e(\tau) d\tau \approx T e((k-1)T)$$

$$\Rightarrow u(kT) = u((k-1)T) + T e((k-1)T)$$

$$u[k] = u[k-1] + T e[k-1]$$

Take Z-TF ( $\lambda$ ) 0-initial condition

$$\Rightarrow U[z] = z^{-1} U[z] + T z^{-1} E[z]$$

$$\Rightarrow \frac{U[z]}{E[z]} = \frac{T}{z-1}$$

$$\left( \text{CT TF: } \frac{U}{E} = \frac{1}{s} \right) \Rightarrow s \approx \frac{z-1}{T} \quad (\text{LSR})$$

$$\begin{aligned} D_{\text{LSR}}[z] &= C \left( \frac{z-1}{T} \right) \\ &(\text{Summary}) \end{aligned}$$

## C2D for state-space models using forward Euler (or LSR)



$$\begin{aligned}\dot{x}_c &= A_c x_c + B_c e & x_c \in \mathbb{R}^n, u \in \mathbb{R}^m \\ u &= C_c x_c + D_c e & e \in \mathbb{R}^l\end{aligned}$$



LT:  $\delta x_c = A x_c + B_c E$ , Let's apply LSR to this

$$u = C_c x_c + D_c E$$

$$\Rightarrow \left(\frac{z-1}{T}\right) x_c = A x_c + B_c E, \quad u = C_c x_c + D_c E$$

Inv Z TF:  $x_c[k+1] = I \underline{x_c[k]} + T A_c x_c[k] + T B_c e[k]$

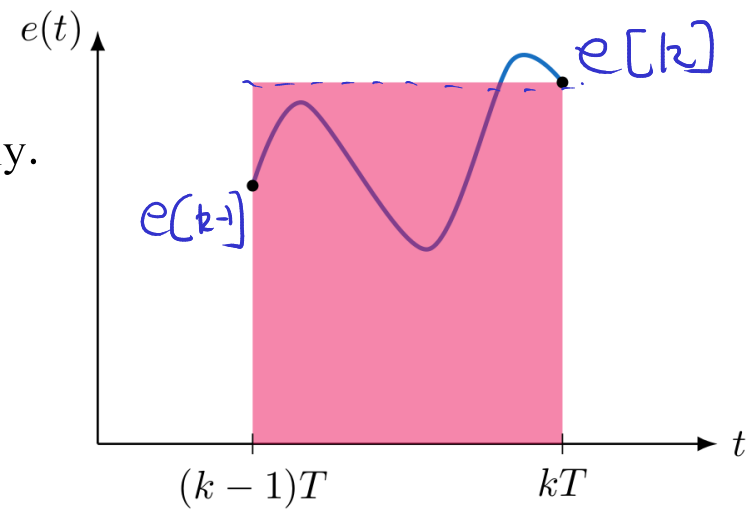
$$u[k] = C_c x_c[k] + D_c e[k]$$

$$\therefore (A_c, B_c, C_c, D_c) \xrightarrow{\text{LSR}} (I + T A_c, T B_c, C_c, D_c)$$

## Euler's approximation (backward), or the right-side rule

We studied the corresponding approximation of time derivative previously.

Assume  $e(t)$  is constant between  $[(k-1)T, kT]$  with value  $e(kT) = e[k]$



$$\frac{U}{E} = \frac{1}{s}, \quad u[k] = u[k-1] + \int_{(k-1)T}^{kT} \dots$$

RSR!

$$u[k] = u[k-1] + T e[k] \leftarrow \text{Take Z-TF}$$
$$\text{Z-TF} \Rightarrow \frac{U[z]}{E[z]} = \frac{Tz}{z-1}, \quad \text{approx for } \frac{U}{E} = \frac{1}{s}$$

$$s \approx \frac{z-1}{Tz}$$

$$D_{\text{RSR}}[z] = C \left( \frac{z-1}{Tz} \right)$$

## Example: PID from CT to DT (Forward Euler)

$$u(t) = k_p e(t) + \frac{d}{dt} e(t) \cdot \frac{1}{s} + k_i \int_0^t e(\tau) d\tau$$

PID controller:  $U(s) = K_p E(s) + sK_d E(s) + (1/s)K_i E(s)$

$$\Delta s R, \Delta \approx \frac{z-1}{T}$$

$$U[z] = k_p E[z] + k_d \left( \frac{z-1}{T} \right) E[z] + k_i \left( \frac{T}{z-1} \right) E[z]$$

$$\begin{aligned} T(z-1)U &= (T(z-1)k_p + k_d(z-1)^2 + k_i T^2) E \\ &= E \left[ k_d z^2 - \underbrace{(2k_d + Tk_p)}_{\gamma} z + \underbrace{(k_d + k_i T^2 - Tk_p)}_c \right] \end{aligned}$$

(You can get DT TF)

$$z^{-1} \Rightarrow Tu[k+1] - Tu[k] = k_d e[k+2] - \gamma e[k+1] + c e[k]$$

$$\Rightarrow u[k+1] = c_1 u[k] + c_2 e[k+1] + c_3 e[k+2] + c_4 e[k]$$



(Non-causal Difference eqn b)

## Example: PID from CT to DT (Backward Euler)

$$\delta \leftarrow \frac{z-1}{Tz}$$

PID controller:  $U(s) = K_p E(s) + sK_d E(s) + (1/s)K_i E(s)$

$$\begin{aligned} U[z] &= K_p E[z] + K_d \left( \frac{z-1}{Tz} \right) E[z] + \frac{K_i T z}{z-1} E[z] \\ &= \left( \underbrace{K_p T z (z-1)}_{C_1} + \underbrace{K_d (z-1)^2}_{C_2} + \underbrace{\frac{T^2 K_i}{z-1}}_{\delta_2} \right) \frac{E[z]}{Tz(z-1)} \end{aligned}$$

Collected terms

$$\Rightarrow \left[ z^2 \left( C_1 + \underbrace{\delta_1}_{\gamma_1} \right) + z \left( -C_1 - 2C_2 + C_2 \right) + C_2 \right] E$$
$$= Tz^2 U - Tz U$$

Inv Z-TF:

$$U[k+2] = \frac{1}{T} (T U[k+1] - \gamma_1 e[k+2] - \gamma_2 e[k+1] - C_2 e[k])$$

LTI system, no shift

$$\Rightarrow U[k] = \frac{1}{T} (T U[k-1] - \gamma_1 e[k] - \gamma_2 e[k-1] - C_2 e[k-2])$$

↪ Causal!

## Tustin's (or Trapezoidal) approximation

Assume  $e(t)$  is a line  
with slope  $= (e_k - e_{k-1})/T$   
Consider the area in the  
trapezoid:

$$\int_{(k-1)T}^{kT} e(\tau) d\tau \approx T e_{k-1} + \frac{T}{2} [e_k - e_{k-1}]$$

$$(k-1)T \quad = \frac{T}{2} (e_k + e_{k-1})$$

$C(s) = 1/s$ , the approx makes it:

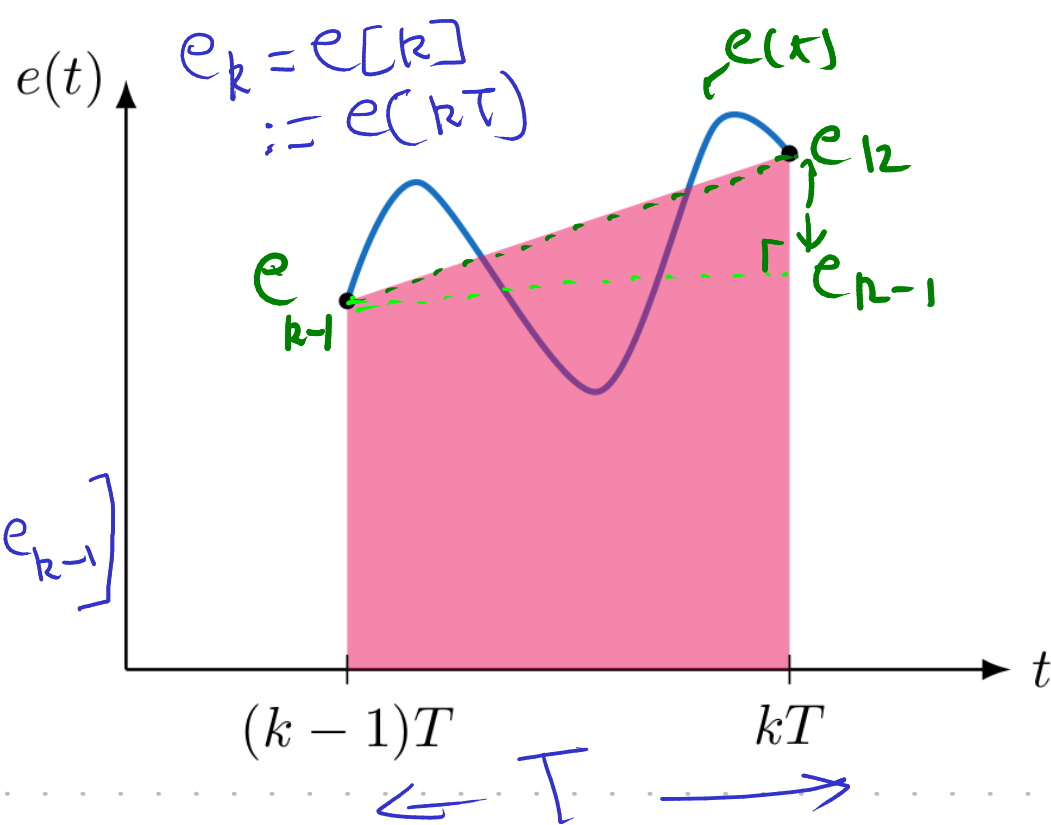
$$u[k] = u[k-1] + \frac{T}{2} (e_k + e_{k-1})$$

(approx. for  $1/s$ )

$$Z \rightarrow TF: \frac{U}{E} = \frac{T}{2} \frac{z+1}{z-1}$$

$$s \approx \frac{2}{T} \frac{z-1}{z+1}$$

$$\Rightarrow D_{\text{TUSTIN}}[z] = C\left(\frac{2}{T} \frac{z-1}{z+1}\right)$$



## Numerical integration: some notes

1. As  $T \rightarrow 0$ , all 3 approximations become perfect (i.e., DT system's behavior approaches the CT system's).
2. The CT controller  $C(s)$  is stable IFF its approximation  $D[z]$  is stable (preservation of stability).  
Only Tustin's approximation preserves stability.

Note, this does not imply closed-loop system stability just yet.

## Quick note: Stability of DT systems

A DT (LTI) system is BIBO stable IFF every pole of the  $G[z]$  has a magnitude less than one.

Consider,  $\frac{Y[z]}{U[z]} = \frac{z}{z-a}$  Pole is at  $z=a$

Corresponding difference equation: Inv.  $z$ -TF  $\{ zY[z] - aY[z] = zU[z] \}$

$$\Rightarrow y[k+1] = ay[k] + u[k+1]$$

That  $u[k]$  is bounded. For ease,  $u[k] = 0 \quad \forall k=0$ .

Say,  $y[0] = y_0 \neq 0$ .

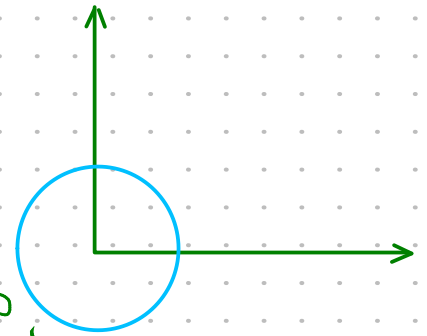
Then,  $y[k+1] = ay[k]$  has the solution:  $y[n] = a^n y_0$

$$y_1 = ay_0, \quad y_2 = ay_1 = a^2 y_0, \dots$$

What happens to  $y[n]$  as  $n \rightarrow \infty$ ?

Case 1:  $|a| > 1$ ,  $y[n]$  doesn't converge.

Case 2:  $|a| < 1$ ,  $y[n]$  converges to 0 as  $n \rightarrow \infty$ .





## Tustin's approximation: Preserving stability

Let  $\lambda$  be a pole of the controller  $C(s)$ , what is the DT pole?

$$C(s) = \frac{1}{s - \lambda} \quad \Rightarrow \quad D_{\text{TUSTIN}}[z] = C\left(\frac{2}{T} \frac{z-1}{z+1}\right)$$

$$\Rightarrow D[z] = \frac{1}{\frac{2}{T} \left(\frac{z-1}{z+1}\right) - \lambda}$$

$$= \frac{T(z+1)}{2z - 2 - Tz\lambda - T\lambda}$$

$$= \frac{T(z+1)}{z(2 - T\lambda) - (2 + T\lambda)}$$

$$\text{Solve for DT pole: } z = \frac{2 + T\lambda}{2 - T\lambda} = \frac{1 + \frac{T}{2}\lambda}{1 - \frac{T}{2}\lambda}$$

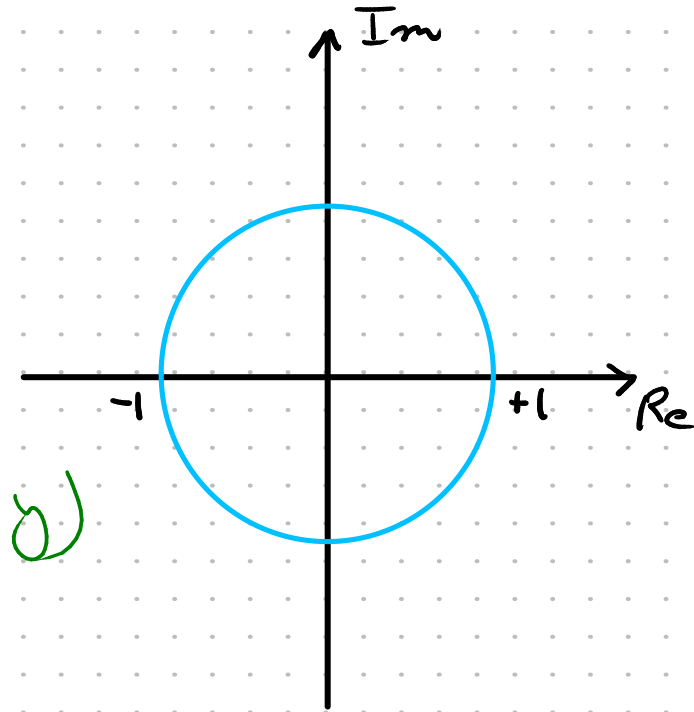
$$\lambda \quad \longleftrightarrow \quad \frac{1 + \frac{T}{2}\lambda}{1 - \frac{T}{2}\lambda} \quad (DT)$$

## Tustin's approximation: Preserving stability

Tustin's approximation maps a CT pole ( $\lambda$ ) to a DT pole at:

$$z = \frac{1 + \frac{T}{2}\lambda}{1 - \frac{T}{2}\lambda}$$

$$\text{If } \underbrace{\text{Re}(\lambda) < 0}_{\text{CT stability}}, \Rightarrow \underbrace{|z| < 1}_{\substack{\text{DT} \\ \text{stability}}} \quad (\text{verified})$$

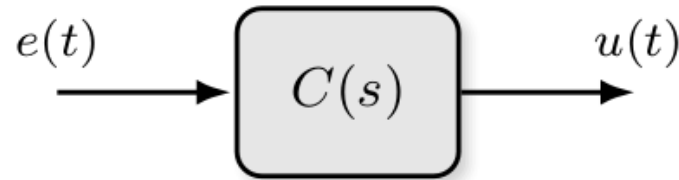


$$\text{e.g., } \lambda = -2, \quad \text{DT pole?} \\ (T=1) \quad \text{at } z = 0.$$

## Step-invariant transformation

The default method in Matlab's `c2d` function. Details in chapter 7.

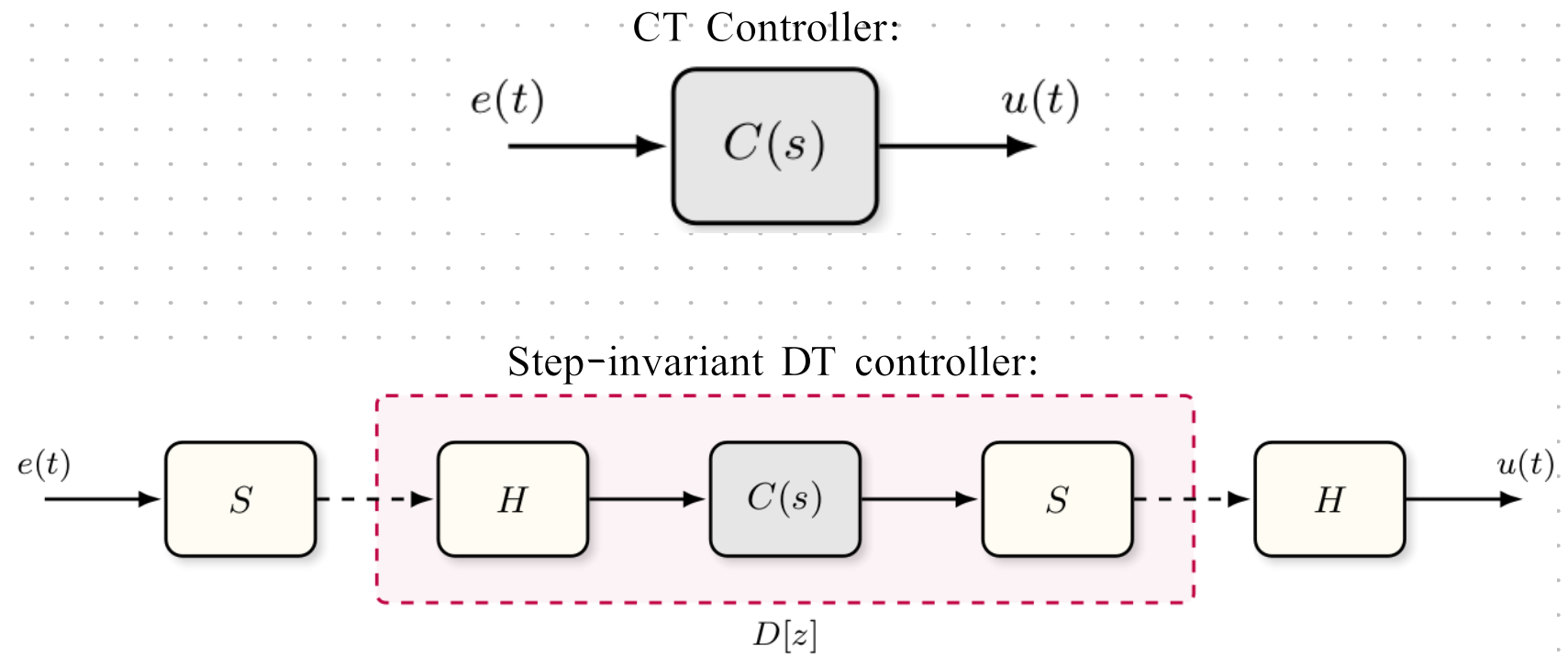
CT Controller:



(only for LTI systems)

# Step-invariant transformation

The default method in Matlab's c2d function. Details in chapter 7.



As we will see later, this corresponds to mapping poles of  $C(s)$  to those of  $D[z]$  by the mapping:

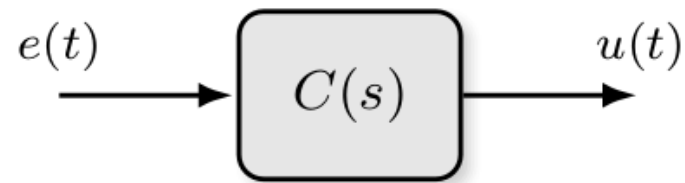
$z = e^{\lambda T}$  CT pole

DT pole.

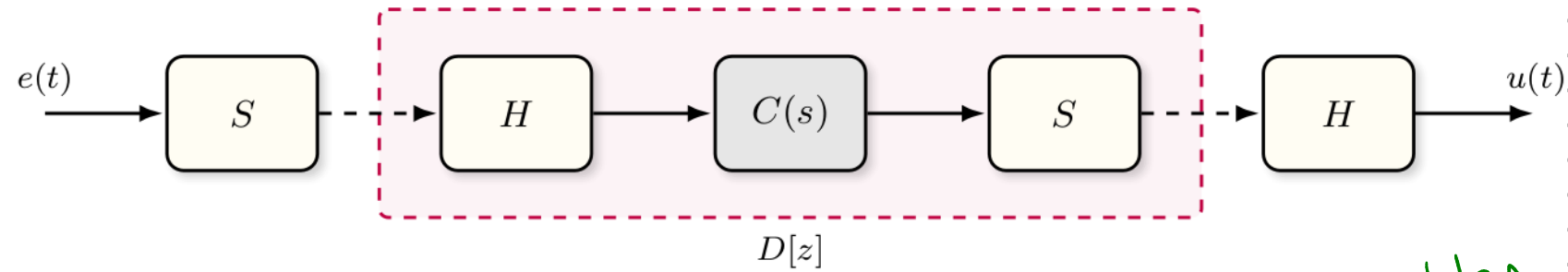
# Step-invariant transformation

The default method in Matlab's c2d function. Details in chapter 7.

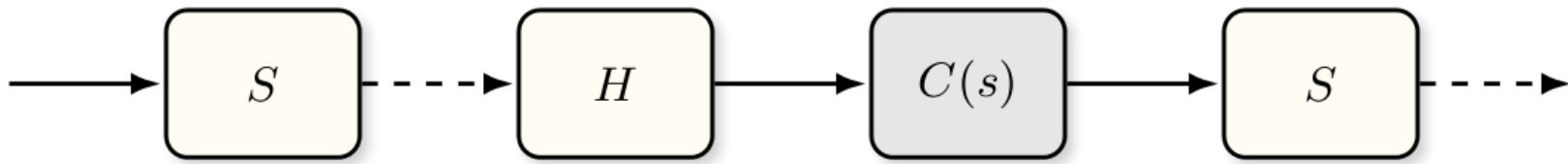
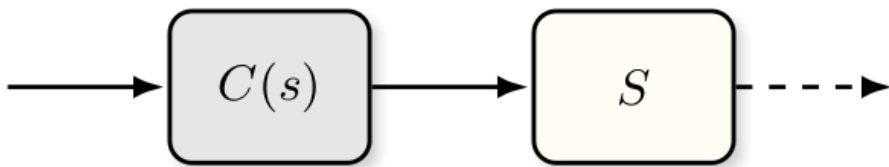
CT Controller:



Step-invariant DT controller:



The response (DT) to a step is the same at DT samples.

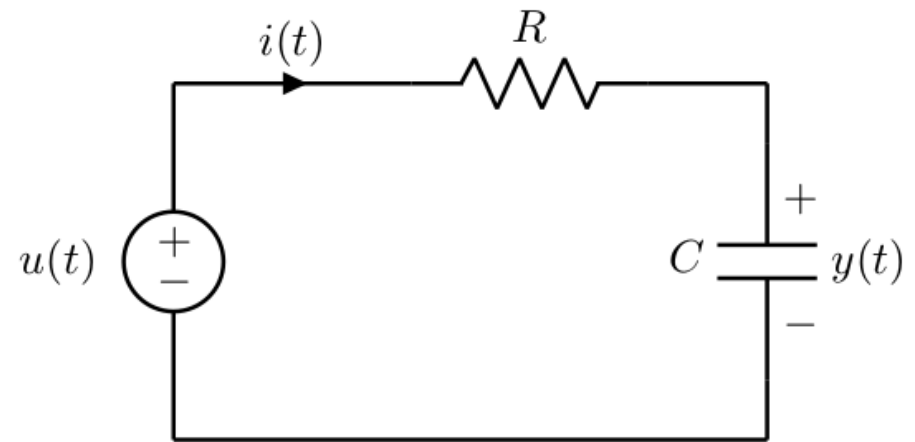


## Step-invariant transformation: Example

Transfer function of the system is:

$$\frac{Y}{U} = \frac{1}{RCs + 1}, \text{ let } R = 10, C = 1$$

See matlab code. We will compare the different discretizations.



# Outline

[ - ] Introduction

[ - ] Ideal sample and zero order hold

[ - ] Preserving linearity

[ - ] Discrete approximations

[ - ] Forward and backward Euler (left and right rule)

[ - ] Trapezoidal approximation

[ - ] Exact (step-invariant) approximation

[X] Controller design via approximation of continuous-time controllers

X = The upcoming topic

- = Topic that has been covered

## Emulation: Controller design via approximating continuous-time controllers

1. Given  $P(s)$  and closed-loop requirements, design  $C(s)$  to satisfy requirements (e.g., pole-placement).



## Emulation: Controller design via approximating continuous-time controllers

1. Given  $P(s)$  and closed-loop requirements, design  $C(s)$  to satisfy requirements (e.g., pole-placement).
2. Approximate  $C(s)$  to get  $D[z]$  via a discretization procedure (numerical approx. or exact transforms).

Question: Is this enough? Is the closed-loop sampled-data system with  $\{A2D, D[z], D2A\}$  and  $P(s)$  guaranteed to be stable or satisfy the performance requirements?

## Emulation: Controller design via approximating continuous-time controllers

1. Given  $P(s)$  and closed-loop requirements, design  $C(s)$  to satisfy requirements (e.g., pole-placement).
2. Approximate  $C(s)$  to get  $D[z]$  via a discretization procedure (numerical approx. or exact transforms).

Question: Is this enough? Is the closed-loop sampled-data system with  $\{A2D, D[z], C2D\}$  and  $P(s)$  guaranteed to be stable or satisfy the performance requirements?

No! The approximations only preserve properties of  $C(s)$  to an extent. Unlike direct DT design, there are no guarantees to be had here.

## Emulation: Controller design via approximating continuous-time controllers

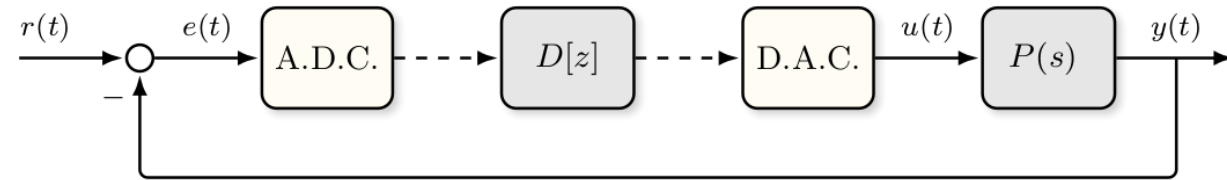
1. Given  $P(s)$  and closed-loop requirements, design  $C(s)$  to satisfy requirements (e.g., pole-placement).
2. Approximate  $C(s)$  to get  $D[z]$  via a discretization procedure (numerical approx. or exact transforms).
3. Simulate the closed-loop sampled-data system (CL composition of  $D[z]$  and  $P(s)$ ), extensively.

## Emulation: Controller design via approximating continuous-time controllers

1. Given  $P(s)$  and closed-loop requirements, design  $C(s)$  to satisfy requirements (e.g., pole-placement).
2. Approximate  $C(s)$  to get  $D[z]$  via a discretization procedure (numerical approx. or exact transforms).
3. Simulate the closed-loop sampled-data system (CL composition of  $D[z]$  and  $P(s)$ ), extensively.
4. If simulation results are satisfactory, implement  $D[z]$  as either a difference equation or state-space model.

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

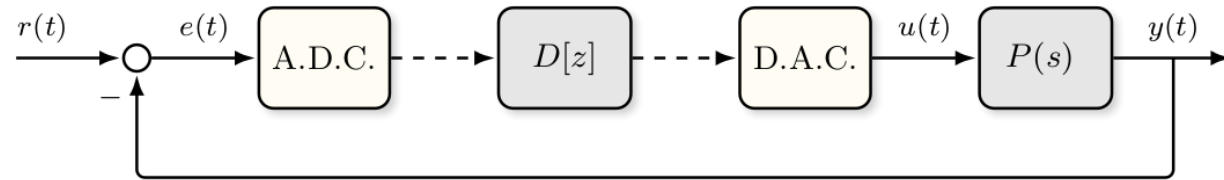
1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time  $T_s \leq 3$  seconds

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time Ts ≤ 3 seconds

$$\} \Rightarrow \phi_{pm} > 65^\circ, \omega_{BW} = 2 \text{ rad s}^{-1}$$

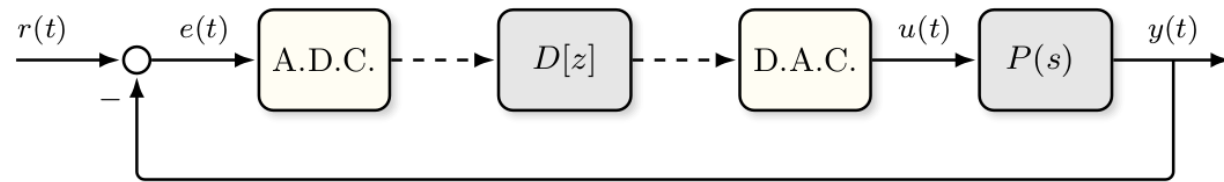
CT design outline:

Design a lead controller (see appendix 2.B.):  $C(s) = K \frac{\alpha Ts + 1}{Ts + 1}$ ,  $\alpha > 1$ ,  $T > 0$ ,  $K > 0$

Mapping the step requirements to frequency response:  $\phi_{pm}^{des} = 65^\circ$  (PM) and  $\omega_{BW}^{des} = 2 \text{ rad/s}$  (bandwidth)

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time  $T_s \leq 3$  seconds

CT design outline:

Design a lead controller (see appendix 2.B.):  $C(s) = K \frac{\alpha T s + 1}{T s + 1}$ ,  $\alpha > 1$ ,  $T > 0$ ,  $K > 0$

Mapping the step requirements to frequency response:  $\Phi_{pm}^{des} = 65^\circ$  (PM) and  $\omega_{BW}^{des} = 2$  rad/s (bandwidth)

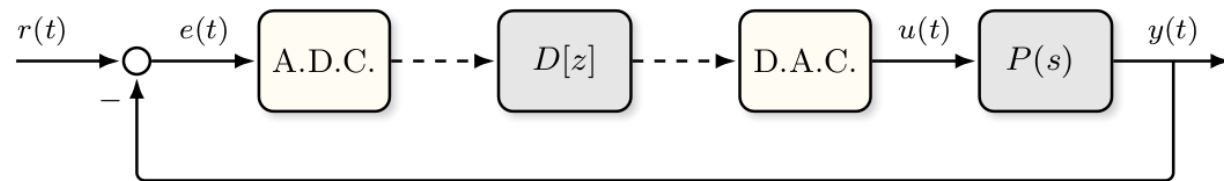
To satisfy the bandwidth specification, set  $\hat{K} = K\alpha^{1/2}$  so that GCF of loop gain is 2 rad/s

From Bode plot of  $\hat{K}P$ , we see that we need a phase lead of an addition 20 degrees (approx) at 2 rad/s

$$\phi_{max} = \Phi_{pm}^{des} - \Phi_{pm} = 20^\circ$$

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time  $T_s \leq 3$  seconds

CT design outline:

Design a lead controller (see appendix 2.B.):  $C(s) = K \frac{\alpha T s + 1}{T s + 1}$ ,  $\alpha > 1$ ,  $T > 0$ ,  $K > 0$

Mapping the step requirements to frequency response:  $\Phi_{pm}^{des} = 65^\circ$  (PM) and  $\omega_{BW}^{des} = 2$  rad/s (bandwidth)

To satisfy the bandwidth specification, set  $\hat{K} = K\alpha^{1/2}$  so that GCF of loop gain is 2 rad/s

From Bode plot of  $\hat{K}P$ , we see that we need a phase lead of an addition 20 degrees (approx) at 2 rad/s

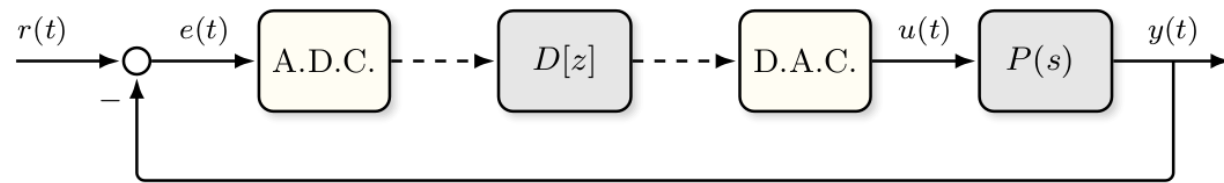
$$\phi_{max} = \Phi_{pm}^{des} - \Phi_{pm} = 20^\circ$$

For the lead controller design, this implies  $\alpha = \frac{1 + \sin(\phi_{max})}{1 - \sin(\phi_{max})} = 2.03 \Rightarrow K = \hat{K}\alpha^{-1/2} = 3.95$



## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time  $T_s \leq 3$  seconds

CT design outline:

Design a lead controller (see appendix 2.B.):  $C(s) = K \frac{\alpha T s + 1}{T s + 1}$ ,  $\alpha > 1$ ,  $T > 0$ ,  $K > 0$

Mapping the step requirements to frequency response:  $\Phi_{pm}^{des} = 65^\circ$  (PM) and  $\omega_{BW}^{des} = 2$  rad/s (bandwidth)

To satisfy the bandwidth specification, set  $\hat{K} = K\alpha^{1/2}$  so that GCF of loop gain is 2 rad/s

From Bode plot of  $\hat{K}P$ , we see that we need a phase lead of an addition 20 degrees (approx) at 2 rad/s

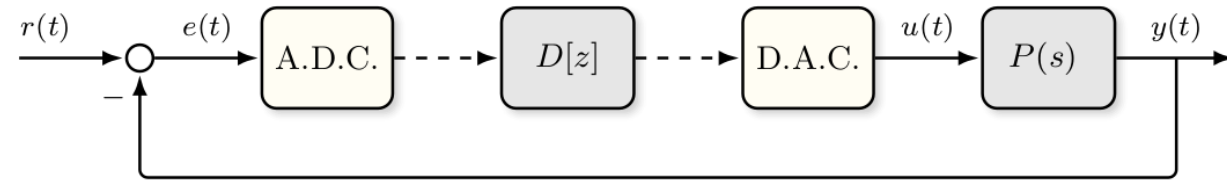
$$\phi_{max} = \Phi_{pm}^{des} - \Phi_{pm} = 20^\circ$$

For the lead controller design, this implies  $\alpha = \frac{1 + \sin(\phi_{max})}{1 - \sin(\phi_{max})} = 2.03 \Rightarrow K = \hat{K}\alpha^{-1/2} = 3.95$

Finally, to get the additional phase at the right frequency, set  $T = \frac{1}{\omega_m \sqrt{\alpha}} = 0.3523$

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time Ts ≤ 3 seconds

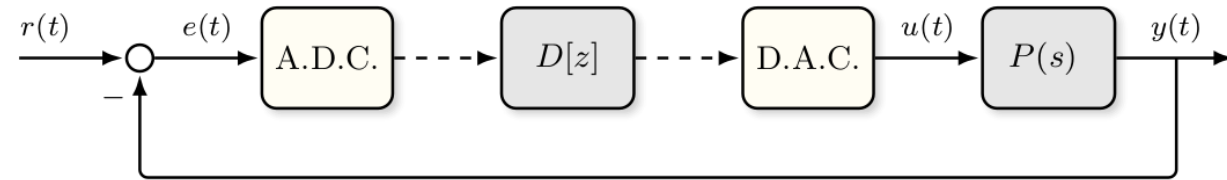
CT controller obtained via lead compensator design:  $C(s) = \frac{8.014s + 11.2}{s + 2.84}$

Check Bode plot of PC to see GCF and PM.

Step response Ts = 1.69s, %OS=3.74% (satisfied)

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS ≤ 5%

3. Settling time Ts ≤ 3 seconds

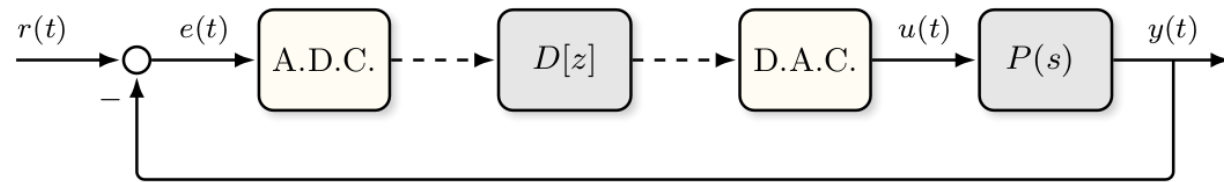
CT controller obtained via lead compensator design:  $C(s) = \frac{8.014s + 11.2}{s + 2.84}$

Get DT controller (Tustin and step-invariant for comparison):

```
Dbt = c2d(C, 0.2, 'tustin'); % Trapezoidal approximation
Dsi = c2d(C, 0.2); % step-invariant method
```

## Example 4.4.1 Lead controller design

Consider the plant  $P(s) = \frac{1}{s(s+2)}$



We want to design a discrete time controller (sampling time = 0.2s). Control specifications are:

0. Closed-loop Stability

1. Asymptotic step tracking (note: plant already has a pole at the origin)

2. Percentage overshoot %OS≤5%

3. Settling time Ts≤3 seconds

CT controller obtained via lead compensator design:  $C(s) = \frac{8.014s + 11.2}{s + 2.84}$

Get DT controller (Tustin and step-invariant for comparison):

```
Dbt = c2d(C, 0.2, 'tustin'); % Trapezoidal approximation
Dsi = c2d(C, 0.2); % step-invariant method
```

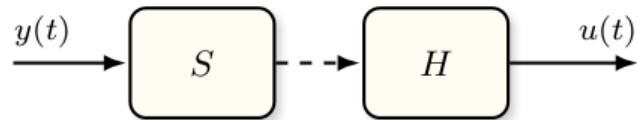
The DT controllers did not meet the CL requirements!

Sampling time (0.2s) is too slow. Close the loop faster.

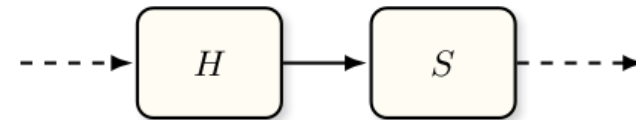
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .

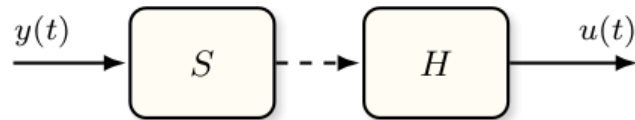


(b) Hold, then sample  $S \circ H$ .

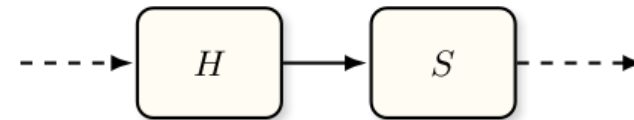
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

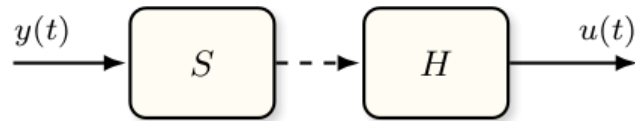
$H \circ S$  is not LTI, but we can approximate it with a system that has the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T)$$

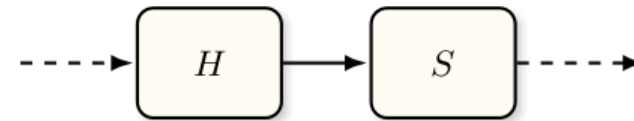
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

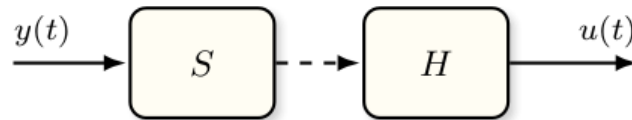
$H \circ S$  is not LTI, but we can approximate it with a system that has the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T), \text{ which has a Laplace transform: } R(s) = \frac{1 - e^{-sT}}{sT} \rightarrow \text{Delay of } T \text{ seconds.}$$

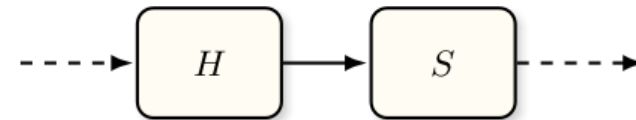
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

$H \circ S$  is not LTI, but we can approximate it with a system that has the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T) \quad , \text{ which has a Laplace transform: } R(s) = \frac{1 - e^{-sT}}{sT}$$

For frequency response of this approximation of sample/hold system, consider:

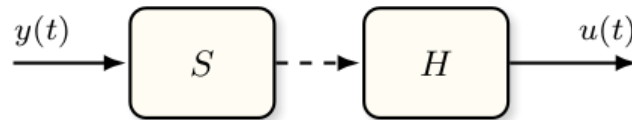
$$\begin{aligned} R(j\omega) &= \frac{1 - e^{-j\omega T}}{j\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{e^{j\omega \frac{T}{2}} - e^{-j\omega \frac{T}{2}}}{j\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{2 \sin\left(\omega \frac{T}{2}\right)}{\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{\sin\left(\omega \frac{T}{2}\right)}{\omega \frac{T}{2}}. \end{aligned}$$



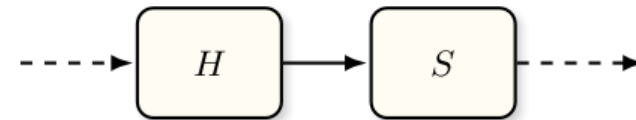
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

$H \circ S$  is not LTI, but we can approximate it with a system that the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T) \quad , \text{ which has a Laplace transform: } R(s) = \frac{1 - e^{-sT}}{sT}$$

For frequency response of this approximation of sample/hold system, consider:

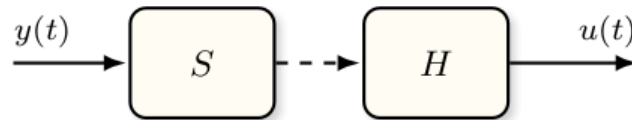
$$\begin{aligned} R(j\omega) &= \frac{1 - e^{-j\omega T}}{j\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{e^{j\omega \frac{T}{2}} - e^{-j\omega \frac{T}{2}}}{j\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{2 \sin\left(\omega \frac{T}{2}\right)}{\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{\sin\left(\omega \frac{T}{2}\right)}{\omega \frac{T}{2}}. \end{aligned}$$

At low frequencies (i.e.  $\omega \rightarrow 0$ ), we get  $R(s) \approx e^{-s \frac{T}{2}}$

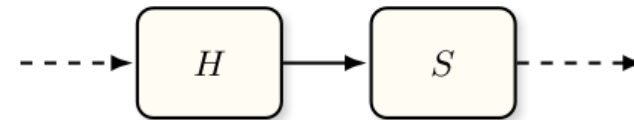
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

$H \circ S$  is not LTI, but we can approximate it with a system that the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T) \quad , \text{ which has a Laplace transform: } R(s) = \frac{1 - e^{-sT}}{sT}$$

For frequency response of this approximation of sample/hold system, consider:

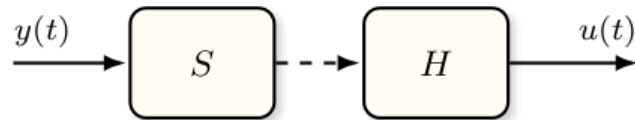
$$\begin{aligned} R(j\omega) &= \frac{1 - e^{-j\omega T}}{j\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{e^{j\omega \frac{T}{2}} - e^{-j\omega \frac{T}{2}}}{j\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{2 \sin\left(\omega \frac{T}{2}\right)}{\omega T} \\ &= e^{-j\omega \frac{T}{2}} \frac{\sin\left(\omega \frac{T}{2}\right)}{\omega \frac{T}{2}}. \end{aligned}$$

At low frequencies (i.e.  $\omega \rightarrow 0$ ), we get  $R(s) \approx e^{-s \frac{T}{2}}$  (Time delay of  $T/2$  seconds)

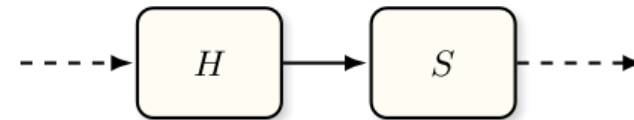
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

$H \circ S$  is not LTI, but we can approximate it with a system that has the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T) \quad , \text{ which has a Laplace transform: } R(s) = \frac{1 - e^{-sT}}{sT}$$

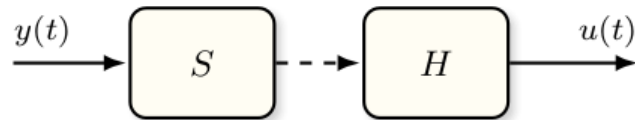
At low frequencies (i.e.  $\omega \rightarrow 0$ ), we get  $R(s) \approx e^{-s\frac{T}{2}}$  (Time delay of  $T/2$  seconds)

Approach: Design a continuous-time controller for the delayed plant  $e^{-s\frac{T}{2}} P(s)$   
Augmented plant.

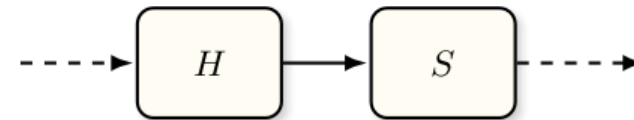
## Worsen the plant to improve the performance of digital controller

What if we cannot just arbitrarily decrease the sampling time?

Let us stop ignoring the sample/hold operation and 'augment' the plant to approximate these operations.



(a) Sample, then hold  $H \circ S$ .



(b) Hold, then sample  $S \circ H$ .

$H \circ S$  is not LTI, but we can approximate it with a system that has the same impulse response:

$$r(t) = \frac{1}{T} \mathbf{1}(t) - \frac{1}{T} \mathbf{1}(t - T), \text{ which has a Laplace transform: } R(s) = \frac{1 - e^{-sT}}{sT}$$

At low frequencies (i.e.  $\omega \rightarrow 0$ ), we get  $R(s) \approx e^{-s\frac{T}{2}}$  (Time delay of  $T/2$  seconds)

Approach: Design a continuous-time controller for the delayed plant  $e^{-s\frac{T}{2}} P(s)$

Two ways to do this:

- a) Using frequency domain methods; compensate for the phase lag introduced by the delay.
- b) Linearize the delay (Pade approximation) and then work with the resulting plant.

We will explore the first method, continuing the previous example.

## Example 4.4.2

Consider the augmented plant  $P_w(s) := e^{-s\frac{T}{2}} \frac{1}{s(s+2)}$

We pick the same  $\hat{K}$  (5.6) for the bandwidth specification, leading to a GCF of 2 rad/s

We now need to increase phase by  $\phi_{\max} = 31.3^\circ$  at 2 rad/s to get a PM of 65 degrees as desired.

### Example 4.4.2

Consider the augmented plant  $P_w(s) := e^{-s\frac{T}{2}} \frac{1}{s(s+2)}$

We pick the same  $\hat{K}$  (5.6) for the bandwidth specification, leading to a GCF of 2 rad/s

We now need to increase phase by  $\phi_{\max} = 31.3^\circ$  at 2 rad/s to get a PM of 65 degrees as desired.

Like before, this gives us

$$\alpha = \frac{1 + \sin(\phi_{\max})}{1 - \sin(\phi_{\max})} = 3.1622 \Rightarrow K = \hat{K}/\alpha^{1/2} = 3.1623$$

To add the phase at the right frequency (2 rad/s), we set  $T = \frac{1}{\omega_m \sqrt{\alpha}} = 0.2823$

## Example 4.4.2

Consider the augmented plant  $P_w(s) := e^{-s\frac{T}{2}} \frac{1}{s(s+2)}$

We pick the same  $\hat{K}$  (5.6) for the bandwidth specification, leading to a GCF of 2 rad/s

We now need to increase phase by  $\phi_{\max} = 31.3^\circ$  at 2 rad/s to get a PM of 65 degrees as desired.

Like before, this gives us

$$\alpha = \frac{1 + \sin(\phi_{\max})}{1 - \sin(\phi_{\max})} = 3.1622 \Rightarrow K = \hat{K}/\alpha^{1/2} = 3.1623$$

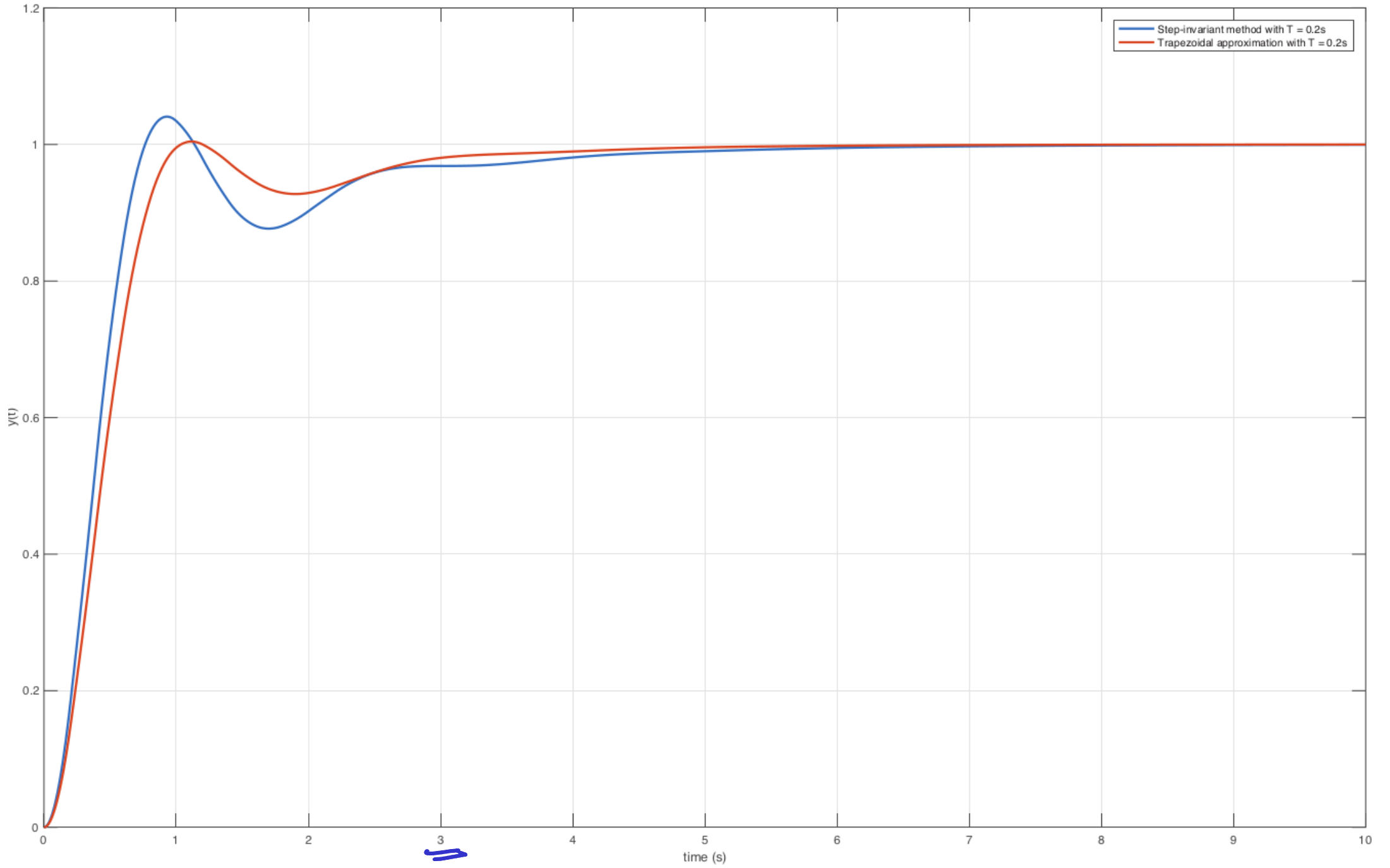
To add the phase at the right frequency (2 rad/s), we set  $T = \frac{1}{\omega_m \sqrt{\alpha}} = 0.2823$

All this gives us the CT lead-controller:

$$C(s) = 10 \frac{s + 11.2}{s + 3.542}$$

Get the DT controllers as before by discretizing  $C(s)$

# Example 4.4.2: Continued



Meet overshoot requirement without needing to sample faster, but the response is sluggish.



## Approximating delay to work in the s-domain: Pade approximation

First order Pade approximation of delay:  $e^{-sT} \approx \frac{1 - \frac{T}{2}s}{1 + \frac{T}{2}s}$

Now, the sample-then-hold (H o S) operation is approximated with the TF:

$$R(s) = \frac{1 - e^{-sT}}{sT} \approx \frac{1}{1 + s\frac{T}{2}}$$

The augmented plant is:

$$P_w(s) := \frac{1}{1 + s\frac{T}{2}}P(s) \approx R(s)P(s)$$

We can now design a controller for this augmented plant, and then discretize it.

# Outline

[ - ] Introduction

[ - ] Ideal sample and zero order hold

[ - ] Preserving linearity

[ - ] Discrete approximations

[ - ] Forward and backward Euler (left and right rule)

[ - ] Trapezoidal approximation

[ - ] Exact (step-invariant) approximation

[ - ] Controller design via approximation continuous-time controllers

X = The upcoming topic

- = Topic that has been covered