## **Exercises**

- **5.1** A CPU-scheduling algorithm determines an order for the execution of its scheduled processes. Given n processes to be scheduled on one processor, how many different schedules are possible? Give a formula in terms of n.
- **5.2** Explain the difference between preemptive and nonpreemptive scheduling.
- **5.3** Suppose that the following processes arrive for execution at the times indicated. Each process will run for the amount of time listed. In answering the questions, use nonpreemptive scheduling, and base all decisions on the information you have at the time the decision must be made.

<b>Process</b>	<u>Arrival Time</u>	<b>Burst Time</b>
$P_1$	0.0	8
$P_{2}$	0.4	4
$P_3$	1.0	1

- a. What is the average turnaround time for these processes with the FCFS scheduling algorithm?
- b. What is the average turnaround time for these processes with the SJF scheduling algorithm?
- c. The SJF algorithm is supposed to improve performance, but notice that we chose to run process  $P_1$  at time 0 because we did not know that two shorter processes would arrive soon. Compute what the average turnaround time will be if the CPU is left idle for the first 1 unit and then SJF scheduling is used. Remember that processes  $P_1$  and  $P_2$  are waiting during this idle time, so their waiting time may increase. This algorithm could be known as **future-knowledge scheduling**.
- **5.4** Consider the following set of processes, with the length of the CPU burst time given in milliseconds:

<b>Process</b>	<b>Burst Time</b>	<b>Priority</b>
$P_{\scriptscriptstyle 1}$	2	2
$P_2$	1	1
$P_3$	8	4
$P_4$	4	2
$P_5$	5	3

The processes are assumed to have arrived in the order  $P_1$ ,  $P_2$ ,  $P_3$ ,  $P_4$ ,  $P_5$ , all at time o.

- **a.** Draw four Gantt charts that illustrate the execution of these processes using the following scheduling algorithms: FCFS, SJF, nonpreemptive priority (a larger priority number implies a higher priority), and RR (quantum = 2).
- **b.** What is the turnaround time of each process for each of the scheduling algorithms in part a.?
- **c.** What is the waiting time of each process for each of these scheduling algorithms?
- **d.** Which of the algorithms results in the minimum average waiting time (over all processes)?
- **5.5** The following processes are being scheduled using a preemptive, round-robin scheduling algorithm.

Process	Priority	Burst	Arrival
$P_{\scriptscriptstyle 1}$	40	20	О
$P_2$	30	25	25
$P_3$	30	25	30
$P_4$	35	15	60
$P_5$	5	10	100
$P_6$	10	10	105

Each process is assigned a numerical priority, with a higher number indicating a higher relative priority. In addition to the processes listed below, the system also has an **idle task** (which consumes no CPU resources and is identified as  $P_{idle}$ ). This task has priority 0 and is scheduled whenever the system has no other available processes to run. The length of a time quantum is 10 units. If a process is preempted by a higher-priority process, the preempted process is placed at the end of the queue.

- a. Show the scheduling order of the processes using a Gantt chart.
- **b.** What is the turnaround time for each process?
- **c.** What is the waiting time for each process?
- **d.** What is the CPU utilization rate?
- **5.6** What advantage is there in having different time-quantum sizes at different levels of a multilevel queueing system?
- **5.7** Many CPU-scheduling algorithms are parameterized. For example, the RR algorithm requires a parameter to indicate the time slice. Multilevel feedback queues require parameters to define the number of queues, the scheduling algorithms for each queue, the criteria used to move processes between queues, and so on.

These algorithms are thus really sets of algorithms (for example, the set of RR algorithms for all time slices, and so on). One set of algorithms may include another (for example, the FCFS algorithm is the RR algorithm with an infinite time quantum). What (if any) relation holds between the following pairs of algorithm sets?

- a. Priority and SJF
- **b.** Multilevel feedback gueues and FCFS
- c. Priority and FCFS
- d. RR and SJF
- **5.8** Suppose that a CPU scheduling algorithm favors those processes that have used the least processor time in the recent past. Why will this algorithm favor I/O-bound programs and yet not permanently starve CPU-bound programs?
- **5.9** Distinguish between PCS and SCS scheduling.
- **5.10** The traditional UNIX scheduler enforces an inverse relationship between priority numbers and priorities: the higher the number, the lower the priority. The scheduler recalculates process priorities once per second using the following function:

Priority=(recent CPU usage/2)+basePriority=(recent CPU usage/2)+base where base = 60 and *recent CPU usage* refers to a value indicating how often a process has used the CPU since priorities were last recalculated.

Assume that recent CPU usage for process  $P_1$  is 40, for process  $P_2$  is 18, and for process  $P_3$  is 10. What will be the new priorities for these three processes when priorities are recalculated? Based on this information, does the traditional UNIX scheduler raise or lower the relative priority of a CPU-bound process?

- **5.11** Of these two types of programs:
- a. I/O-bound
- b. CPU-bound

which is more likely to have voluntary context switches, and which is more likely to have nonvoluntary context switches? Explain your answer.

- **5.12** Discuss how the following pairs of scheduling criteria conflict in certain settings.
- a. CPU utilization and response time
- b. Average turnaround time and maximum waiting time
- c. I/O device utilization and CPU utilization
- **5.13** One technique for implementing **lottery scheduling** works by assigning processes lottery tickets, which are used for allocating CPU time. Whenever a scheduling decision has to be made, a lottery ticket is chosen at random, and the process holding that ticket gets the CPU. The BTV operating system implements lottery scheduling by holding a lottery 50 times each second, with each lottery winner getting 20 milliseconds of CPU time (20 milliseconds  $\times$  50 = 1 second). Describe how the BTV scheduler can ensure that higher-priority threads receive more attention from the CPU than lower-priority threads.
- **5.14** Most scheduling algorithms maintain a <u>run queue</u>, which lists processes eligible to run on a processor. On multicore systems, there are two general options: (1) each processing core has its own run queue, or (2) a single run queue is shared by all processing cores. What are the advantages and disadvantages of each of these approaches?
- **5.15** Consider the exponential average formula used to predict the length of the next CPU burst. What are the implications of assigning the following values to the parameters used by the algorithm?
- a.  $\alpha = 0$  and  $\tau_0 = 100$  milliseconds
- b.  $\alpha = 0.99$  and  $\tau_0 = 10$  milliseconds
- **5.16** A variation of the round-robin scheduler is the **regressive round-robin** scheduler. This scheduler assigns each process a time quantum and a priority. The initial value of a time quantum is 50 milliseconds. However, every time a process has been allocated the CPU and uses its entire time quantum (does not block for I/O), 10 milliseconds is added to its time quantum, and its priority level is boosted. (The time quantum for a process can be increased to a maximum of 100 milliseconds.) When a process blocks before using its entire time quantum, its time quantum is reduced by 5 milliseconds, but its priority remains the same. What type of process (CPU-bound or I/O-bound) does the regressive round-robin scheduler favor? Explain.
- **5.17** Consider the following set of processes, with the length of the CPU burst given in milliseconds:

<b>Process</b>	Burst Time	<b>Priority</b>
$P_1$	5	4
$P_2$	3	1
$P_3$	1	2
$P_4$	7	2
$P_5$	4	3

The processes are assumed to have arrived in the order  $P_1$ ,  $P_2$ ,  $P_3$ ,  $P_4$ ,  $P_5$ , all at time o.

- a. Draw four Gantt charts that illustrate the execution of these processes using the following scheduling algorithms: FCFS, SJF, nonpreemptive priority (a larger priority number implies a higher priority), and RR (quantum = 2).
- b. What is the turnaround time of each process for each of the scheduling algorithms in part a?
- c. What is the waiting time of each process for each of these scheduling algorithms?
- d. Which of the algorithms results in the minimum average waiting time (over all processes)?
- **5.18** The following processes are being scheduled using a preemptive, priority-based, round-robin scheduling algorithm.

<b>Process</b>	<b>Burst Time</b>	<b>Priority</b>	<u>Arrival</u>
$P_{\scriptscriptstyle 1}$	8	15	О
$P_2$	3	20	О
$P_3$	4	20	20
$P_4$	4	20	25
$P_5$	5	5	45
$P_6$	5	15	55

Each process is assigned a numerical priority, with a higher number indicating a higher relative priority. The scheduler will execute the highest-priority process. For processes with the same priority, a round-robin scheduler will be used with a time quantum of 10 units. If a process is preempted by a higher-priority process, the preempted process is placed at the end of the queue.

- a. Show the scheduling order of the processes using a Gantt chart.
- b. What is the turnaround time for each process?
- c. What is the waiting time for each process?
- **5.19** The nice command is used to set the nice value of a process on Linux, as well as on other UNIX systems. Explain why some systems may allow any user to assign a process a nice value >= 0 yet allow only the root (or administrator) user to assign nice values < 0.
- **5.20** Which of the following scheduling algorithms could result in starvation?
- a. First-come, first-served
- b. Shortest job first
- c. Round robin
- d. Priority
- **5.21** Consider a variant of the RR scheduling algorithm in which the entries in the ready queue are pointers to the PCBs.
- a. What would be the effect of putting two pointers to the same process in the ready queue?
- b. What would be two major advantages and two disadvantages of this scheme?
- c. How would you modify the basic RR algorithm to achieve the same effect without the duplicate pointers?

- **5.22** Consider a system running ten I/O-bound tasks and one CPU-bound task. Assume that the I/O-bound tasks issue an I/O operation once for every millisecond of CPU computing and that each I/O operation takes 10 milliseconds to complete. Also assume that the context-switching overhead is 0.1 millisecond and that all processes are long-running tasks. Describe the CPU utilization for a round-robin scheduler when:
- a. The time quantum is 1 millisecond
- b. The time quantum is 10 milliseconds
- **5.23** Consider a system implementing multilevel queue scheduling. What strategy can a computer user employ to maximize the amount of CPU time allocated to the user's process?
- **5.24** Consider a preemptive priority scheduling algorithm based on dynamically changing priorities. Larger priority numbers imply higher priority. When a process is waiting for the CPU (in the ready queue, but not running), its priority changes at a rate  $\alpha$ . When it is running, its priority changes at a rate  $\beta$ . All processes are given a priority of  $\alpha$ 0 when they enter the ready queue. The parameters  $\alpha$  and  $\beta$  can be set to give many different scheduling algorithms.
- a. What is the algorithm that results from  $\beta > \alpha > 0$ ?
- b. What is the algorithm that results from  $\alpha < \beta < 0$ ?
- **5.25** Explain the how the following scheduling algorithms discriminate either in favor of or against short processes:
- a. FCFS
- b. RR
- c. Multilevel feedback queues
- **5.26** Provide a specific circumstance that illustrates where rate-monotonic scheduling is inferior to earliest-deadline-first scheduling in meeting process deadlines?
- **5.27** Consider two processes,  $P_1$  and  $P_2$ , where  $p_1 = 50$ ,  $t_1 = 25$ ,  $p_2 = 75$ , and  $t_2 = 30$ .
- a. Can these two processes be scheduled using rate-monotonic scheduling? Illustrate your answer using a Gantt chart such as the ones in Figure 5.21–Figure 5.24.
- b. Illustrate the scheduling of these two processes using earliest-deadline-first (EDF) scheduling.