

Notes and Excerpts of Type system [1].

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1 Fundamental

“A **Type System** is to prevent the occurrence of *execution errors* during the running of a program”.

1.1 Execution Errors and Safty

There are two kinds of execution errors:

- trapped errors: cause the computation stop immediately.
- untrapped errors: go unnoticed (for a while) and later cause arbitrary behavior.

A program fragment is *safe* if it does not cause untrapped errors to occur.

1.2 Expected properties of type systems

- Type systems should be *decidably verifiable*
- Type systems should be *transparent*
- Type systems should be *enforceable*

1.3 Formalzation

Once a type system if formlized, we can attempt to prove a *type sondness* theorem stating that *well-typed programms* are *well behaved*.

The first step in formalizing a programming language is to describe its syntax. For most languages of interest this reduces to describing the *syntax of types and terms*.

the next step is to define the *scoping rules of the language*.

When this much is settled, one can proceed to define the type rules of languages. These describe a relation *has-type* of the form $M : A$ between terms

M and types A . Some languages also require a relation *subtype-of* of the form $A <: B$ between types, and often a relation *equal-type* of the form $A = B$ of type equivalence.

static typing environments are used to record the types of free variables during the processing of program fragments. The type rules are always formulated with respect to a static environment of the fragment being typechecked. E.g: the has-type relation $M : A$ is associated with a static typing environment Γ that contains information about the free variables of M and A . The relation is written in full as $\Gamma \vdash M : A$.

The final step in formalizing a language is to define its semantics as a relation *has-value* between terms and a collection of *results*.

2 The language of type systems

2.1 Judgements

The description of a type system starts with the description of a collection of formal utterances called judgement. The empty environment is denoted by \emptyset , and the collection of variables x_1, x_2, \dots, x_n declared in Γ is indicated by $dom(\Gamma)$, the domain of Γ .

A typical judgement has the form: $\Gamma \vdash \mathcal{J}$ (\mathcal{J} is an assertion; the free variables of \mathcal{J} are declared in Γ).

The most important judgement, is the **typing judgement**, which asserts that a term M has a type A with respect to a static typing environment for the free variables of M . It has the form:

$$\Gamma \vdash M : A \quad M \text{ has type } A \text{ in } \Gamma$$

Examples

$$\emptyset \vdash true : Bool$$

$true$ has type $Bool$

$$\emptyset, x : Nat \vdash x + 1 : Nat$$

$x + 1$ has type Nat , provided that x has type Nat

$$\Gamma \vdash \diamond$$

Γ is well-formed

2.2 Type rules

A collection of type rules is called a (formal) type system.

$$\frac{\Gamma_1 \vdash \mathcal{J}_1 \dots \Gamma_n \vdash \mathcal{J}_n}{\Gamma \vdash \mathcal{J}}$$

Each type rule is written as a number of *premise* judgements $\Gamma_i \vdash \mathcal{J}_i$ above a horizontal line, with a single *conclusion* judgement $\Gamma \vdash \mathcal{J}$ below the line. The

process gets off the ground by some intrinsically valid judgment (usually $\emptyset \vdash \diamond$, stating that the empty environment is well formed).

For example:

$$\frac{\Gamma \vdash \diamond}{\Gamma \vdash n : Nat} \quad \frac{\Gamma \vdash M : Nat \quad \Gamma \vdash N : Nat}{\Gamma \vdash M + N : Nat}$$

A fundamental rule states that the empty environment is well formed, with no assumptions:

$$\frac{}{\emptyset \vdash \diamond} \text{ (Env } \emptyset \text{)}$$

2.3 Type derivations

A derivation in a given type system is a tree of judgments with leaves at the top and a root at the bottom, where each judgment is obtained from the ones immediately above it by some rule of the system. A **valid judgment** is one that can be obtained as the root of a derivation in a given type system.

For Example:

$$\frac{\frac{}{\emptyset \vdash \diamond} \text{ by (Env } \emptyset \text{)}}{\emptyset \vdash 1 : Nat} \text{ by (Val } n \text{)} \quad \frac{\frac{}{\emptyset \vdash \diamond} \text{ by (Env } \emptyset \text{)}}{\emptyset \vdash 2 : Nat} \text{ by (Val } n \text{)}$$

$$\frac{\emptyset \vdash 1 : Nat \quad \emptyset \vdash 2 : Nat}{\emptyset \vdash 1 + 2 : Nat} \text{ by (Val } + \text{)}$$

In a given type system, a term M is well typed for an environment Γ , if there is a type A such that $\Gamma \vdash M : A$ is a valid judgment; that is, if the term M can be given some type.

The discovery of a derivation (and hence of a type) for a term is called the **type inference problem**.

2.4 First-order Type Systems

2.4.1 pure λ -calculus and typed

The type systems found in most common procedural languages are called **first order**. In type theoretical jargon this means that they lack type parameterization and type abstraction, which are **second order** features.

The purest and most general type system for polymorphism is embodied by a λ -calculus, the minimal first-order type system can be given by untyped λ -calculus, where the untyped λ -abstraction $\lambda.x.M$ represent a function $\lambda(x) \rightarrow M$ (a function of parameter x and result M).

The first-order typed λ -calculus is called system F_1 . The main change from the untyped λ -calculus is the addition of type annotations for λ -abstractions,

using the syntax $\lambda x : A.M$, which present $\lambda(x : A) \rightarrow M$ (in a typed programming language we would likely include the type of the result, but this is not necessary here).

Syntax of System F_1 :

$A, B ::=$	types
$K; K \in Basic$	basic types
$A \rightarrow B$	function types

$M, N ::=$	terms
x	variable
$\lambda x : A.M$	function
$M N$	application

We need only three simple judgments for F_1 :

$\Gamma \vdash \diamond$	Γ is a well-formed environment
$\Gamma \vdash A$	A is well-formed type in Γ
$\Gamma \vdash M : A$	M is well-formed term of type A in Γ

The rule (Env \emptyset) is the only one that does not require assumptions, and rule (Env x), we must assume that the variable x must not be defined in Γ . when $\Gamma, x : A \rightarrow M : B$ has been derived, as in the assumption of (Val Fun), we know that x cannot occur in $dom(\Gamma)$.

Rules of F_1 :

$$\begin{array}{c}
\text{(Env } \emptyset) \qquad \text{(Env } x) \\
\frac{}{\Gamma \rightarrow \diamond} \qquad \frac{\Gamma \rightarrow A \quad x \notin \text{dom}(\Gamma)}{\Gamma, x:A \vdash \diamond} \\
\text{(Type Const)} \qquad \text{(Type Arrow)} \\
\frac{\Gamma \vdash \diamond \quad K \in \text{Basic}}{\Gamma \vdash K} \qquad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \rightarrow B} \\
\text{(Val x)} \qquad \text{(Val Fun)} \qquad \text{(Val Appl)} \\
\frac{\Gamma', x:A, \Gamma'' \vdash \diamond}{\Gamma', x:A, \Gamma'' \vdash x:A} \qquad \frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash \lambda x:A. M : A \rightarrow B} \qquad \frac{\Gamma \vdash M:A \rightarrow B \quad \Gamma \rightarrow N:A}{\Gamma \vdash M \ N : B} \\
\\
\text{(Env } \emptyset) \\
\frac{}{\Gamma \rightarrow \diamond} \\
\text{(Env } x) \\
\frac{\Gamma \rightarrow A \quad x \notin \text{dom}(\Gamma)}{\Gamma, x:A \vdash \diamond} \\
\\
\text{(Type Const)} \qquad \text{(Type Arrow)} \\
\frac{\Gamma \vdash \diamond \quad K \in \text{Basic}}{\Gamma \vdash K} \qquad \frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \rightarrow B} \\
\\
\text{(Val x)} \\
\frac{\Gamma', x:A, \Gamma'' \vdash \diamond}{\Gamma', x:A, \Gamma'' \vdash x:A} \\
\\
\text{(Val Fun)} \\
\frac{\Gamma, x:A \vdash M:B}{\Gamma \vdash \lambda x:A. M : A \rightarrow B} \\
\\
\text{(Val Appl)} \\
\frac{\Gamma \vdash M:A \rightarrow B \quad \Gamma \rightarrow N:A}{\Gamma \vdash M \ N : B} \\
(1)
\end{array}$$

The rule (Type const) and (Type Arrow) construct types. the rule (Val x)

extras an assumption from an environment: We use the notation $\Gamma', x : A, \Gamma''$ to indicate that $x : A$ occurs somewhere in the environment.

Now that we have examined the basic structure of a simple first-order type system, we can begin enriching it to bring it closer to the type structure of actual programming languages. We are going to add a set of rules for each new type construction, following a fairly regular pattern. We begin with some basic data types: the type *Unit*.

Union Type:

$$\begin{array}{c} (TypeUnit) \\ \frac{\Gamma \vdash \diamond}{\Gamma \vdash Unit} \end{array} \quad \begin{array}{c} (ValUnit) \\ \frac{\Gamma \vdash \diamond}{\Gamma \vdash unit : Unit} \end{array} \quad (2)$$

Bool Type:

$$\begin{array}{c} (TypeBool) \\ \frac{\Gamma \vdash \diamond}{\Gamma \vdash Bool} \end{array} \quad \begin{array}{c} (ValTrue)(ValFalse) \\ \frac{\Gamma \vdash \diamond \quad \Gamma \vdash \diamond}{\Gamma \vdash true : Bool \quad \Gamma \vdash false : Bool} \end{array} \quad (3)$$

$$\begin{array}{c} (Val Cond) \\ \frac{\Gamma \vdash M : Bool \quad \Gamma \vdash N_1 : A \quad \Gamma \vdash N_2 : A}{\Gamma \vdash (if_A M \text{ then } N_1 \text{ else } N_2 : A)} \end{array}$$

Nat Type:

$$\begin{array}{c} (TypeNat) \\ \frac{\Gamma \vdash \diamond}{\Gamma \vdash Nat} \end{array} \quad \begin{array}{c} (ValZero)(ValSucc) \\ \frac{\Gamma \vdash \diamond \quad \Gamma \vdash M : Nat}{\Gamma \vdash 0 : Nat \quad \Gamma \vdash succ M : Nat} \end{array} \quad (4)$$

$$\begin{array}{c} (Val Cond) \\ \frac{\Gamma \vdash M : Nat}{\Gamma \vdash pred M : Nat} \end{array} \quad \begin{array}{c} (var IsZero) \\ \frac{\Gamma \vdash M : Nat}{\Gamma \vdash isZero M : Bool} \end{array}$$

References

- [1] Luca Cardelli. Type systems. *ACM Comput. Surv.*, 28(1):263–264, March 1996.