



# 人工智能 神經網絡深度學習

## Deep Learning

### Macau AI Challenge 2019



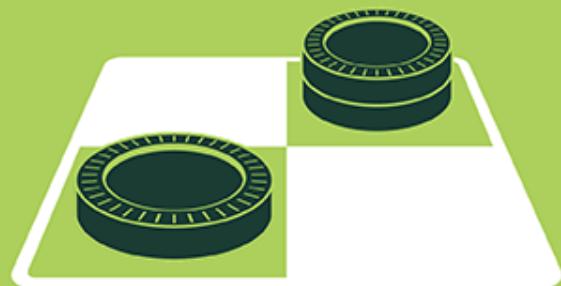
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# What is Deep Learning?

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## ARTIFICIAL INTELLIGENCE

Early artificial intelligence stirs excitement.



## MACHINE LEARNING

Machine learning begins to flourish.



## DEEP LEARNING

Deep learning breakthroughs drive AI boom.



# What is Machine Learning?

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- Arthur Samuel (1959)
  - ◆ Field of study that *gives computers the ability to learn* without being *explicitly programmed*
- Tom Mitchell (1998)
  - ◆ A computer program is said to learn from *experience E* with respect to some *task T* and some performance *measure P*, if its performance on *T*, as measured by *P*, improves with experience *E*

# DEEP LEARNING in **COMPUTER VISION**

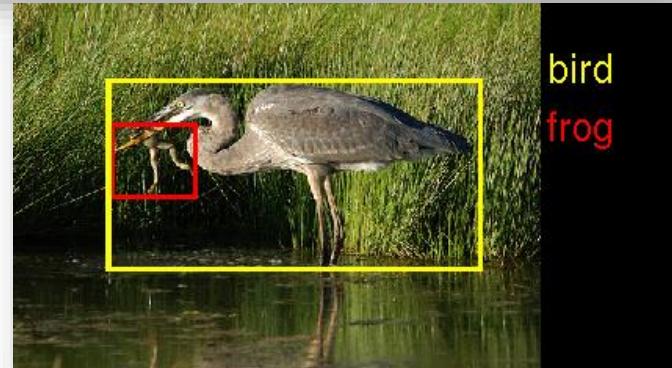
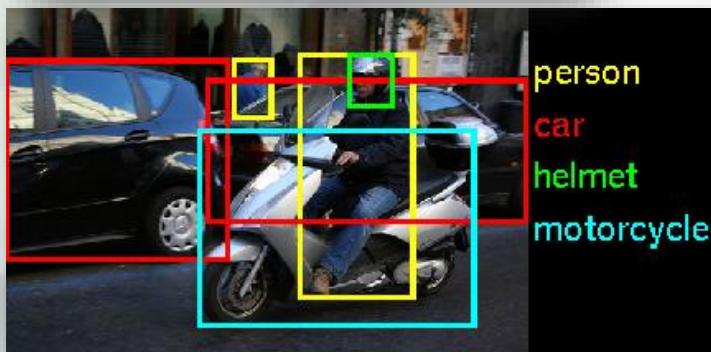
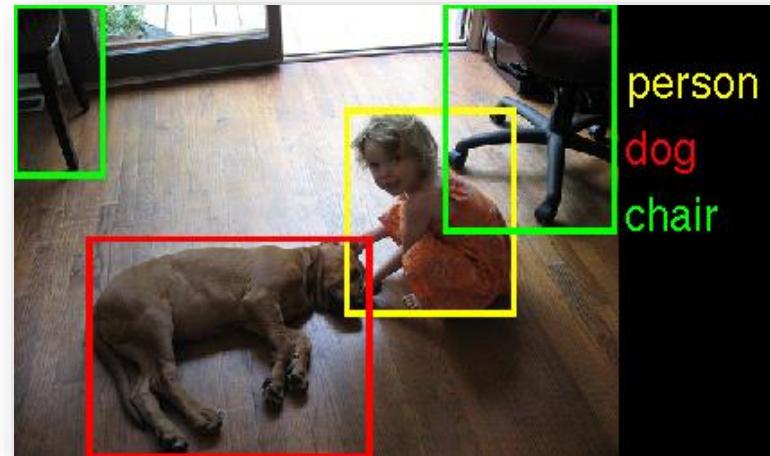


# Deep Learning Success

## Vision

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### Image Recognition

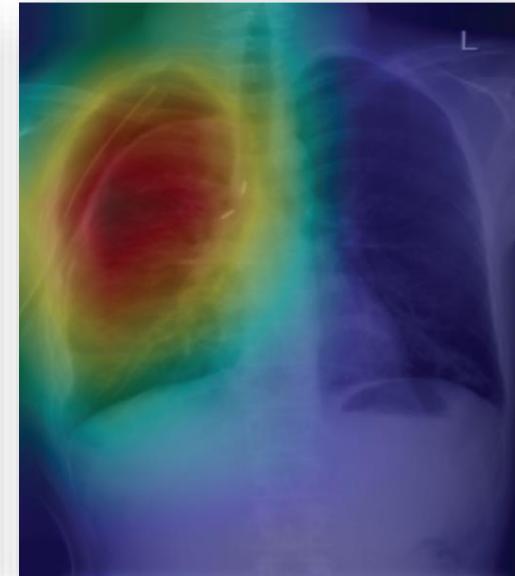
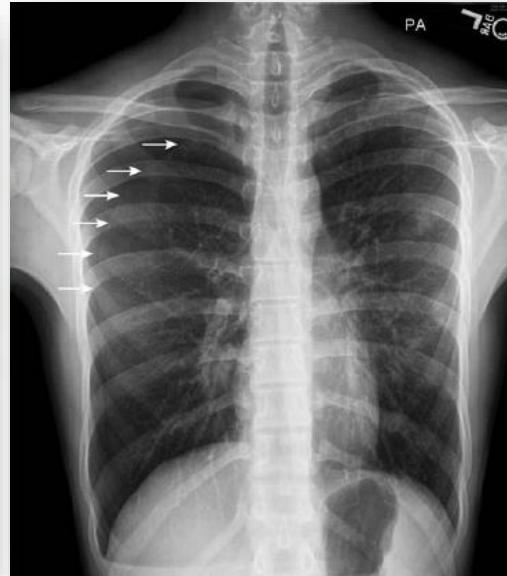


(ILSVRC 2014)

# Deep Learning Success Vision

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## Detection of pneumothorax (氣胸) in X-Ray scans



(Alexander 2018)

# Deep Learning Success

## Vision

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### Image Translation



Input



Output



(Isola 2018)

# Deep Learning Success

## Vision

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### Image Generation



redshank

ant

Monastery



volcano

(Nguyen et al. 2016)

# Why Impressed?

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- Vision is very challenging
  - ◆ For a small  $256 \times 256$  resolution and for 256 pixel values
  - ◆ A total  $2^{524,288}$  of possible images
  - ◆ In comparison, there are about  $10^{24}$  stars in the universe
- Visual object variations
  - ◆ Different viewpoints (視角), scales (尺度), deformations (變形), occlusions (遮擋)
- Semantic object variations
  - ◆ Intra-class variation
  - ◆ Inter-class overlaps



# DEEP LEARNING in **ROBOTICS & AI**



# Deep Learning Success

## Robotics & AI

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### Self-Driving Cars

<https://www.youtube.com/watch?v=-96BEoXJMs0>



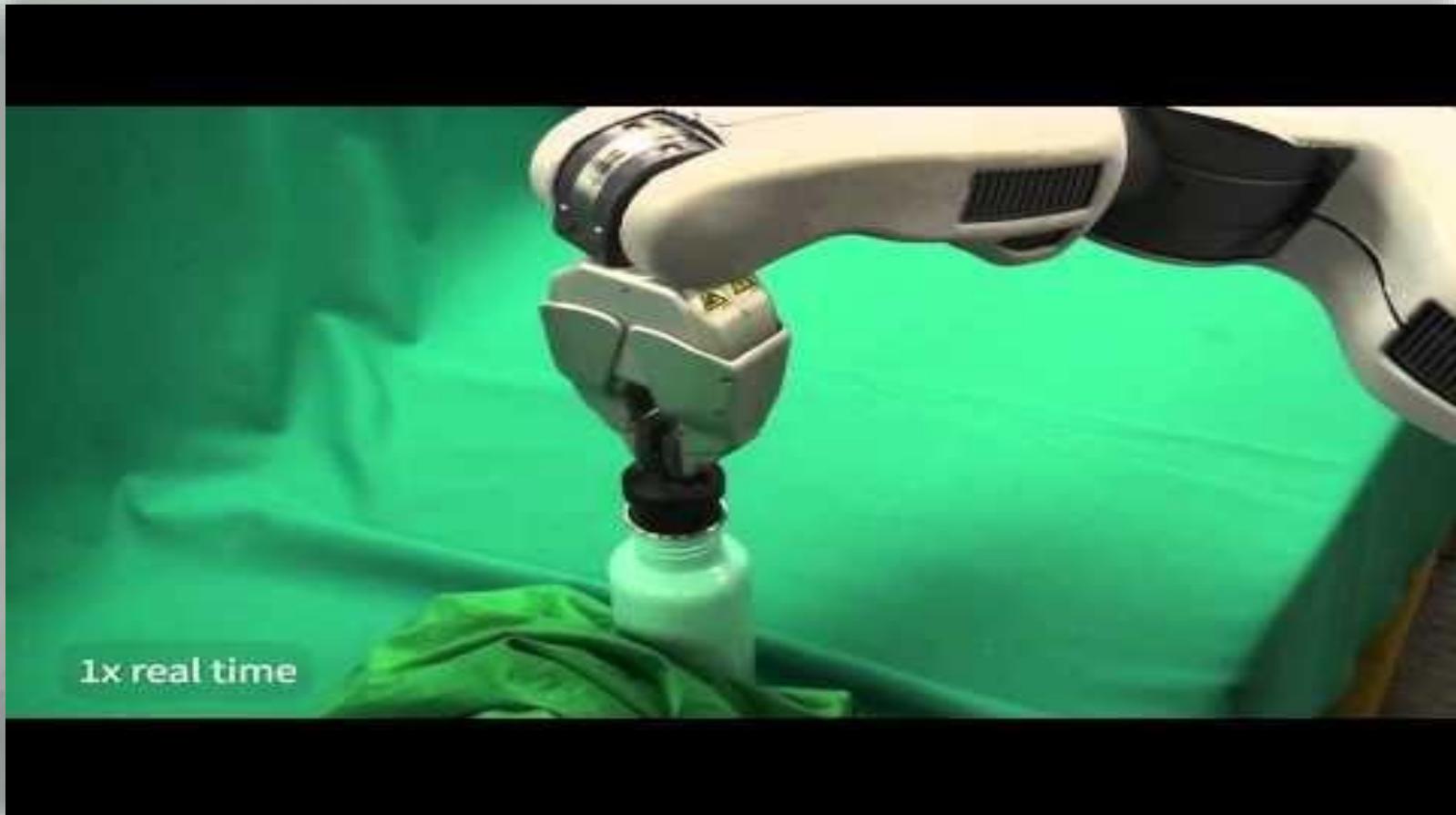
# Deep Learning Success

## Robotics & AI

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### Robots

<https://www.youtube.com/watch?v=2hGngG64dNM>



# Deep Learning Success

## Robotics & AI

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### Game AI – Playing Atari

<https://www.youtube.com/watch?v=V1eYnij0Rnk>



# Deep Learning Success Robotics & AI

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8 March 2016

*AlphaGo*  
vs  
*Lee Sedol*



# Why Impressed?

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- Robotics are considered in controlled environments
  - ◆ *Lab settings, predictable positions, standardized tasks*
- In real life situations:
  - ◆ *Environments constantly change, new tasks need to be learnt without guidance, unexpected factors must be dealt with*
- Game AI
  - ◆ At least  $10^{10^{48}}$  possible GO games. Where do we even start?



# DEEP LEARNING in **LANGUAGE & SPEECH**

# Deep Learning Success Language & Speech

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<http://nlp2ct.cis.umac.mo/NMT/iMT/index.php>

The screenshot shows a web application titled "NLP<sup>2</sup>CT iMT". At the top, there's a neural network diagram with the letters "U" and "T". Below it are two language pairs: "Chinese > Portuguese" and "Portuguese > Chinese". A central "Translate" button is visible. The interface includes a text input field with placeholder text in Chinese and a text output field. At the bottom, there's a "使用說明" (Usage Instructions) section and copyright information: "Copyright© 2016-2018 NLP<sup>2</sup>CT Lab", "Natural Language Processing & Portuguese-Chinese Machine Translation Laboratory", "自然語言處理與中葡機器翻譯實驗室", and "University of Macau 澳門大學".

## Machine Translation

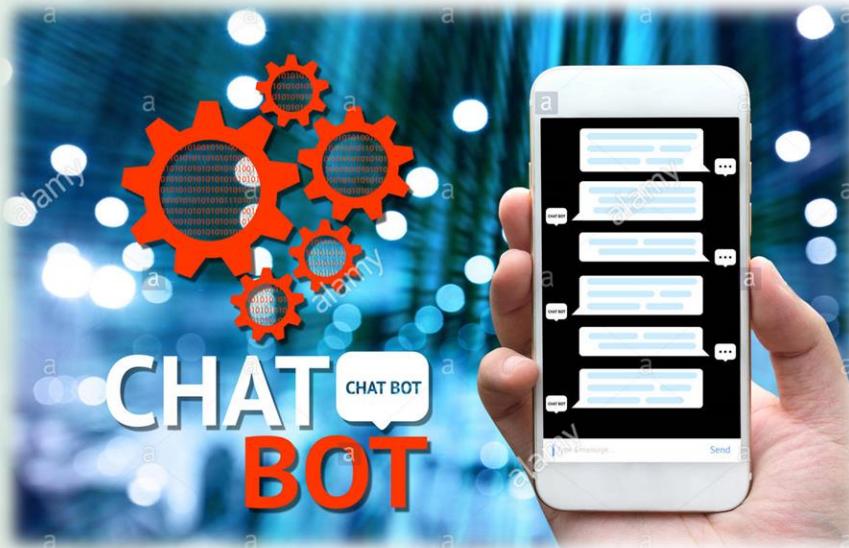
機器翻譯

<https://translate.google.com/>

The screenshot shows the Google Translate website. It features a language selector at the top with "FRENCH" and "CHINESE (TRADITIONAL)" selected. The main area contains the text "Translation" and a "Send feedback" link. At the bottom, there are three circular icons labeled "History", "Saved", and "Community".

# Deep Learning Success Language & Speech

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*Chatbots*



# Deep Learning Success

## Language & Speech

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### Image Captioning & Visual QA



**C:** Two **cats** sit in a room with a **cat**.  
**Q1:** What animal is in the photo? **A:** cat  
**Q2:** What are the cats doing? **A:** looking out window  
**Q3:** Are these cats sitting or outside? **A:** inside  
**Q4:** What are the cats looking at? **A:** window  
**GTQ:** What animals are shown?  
**GTQ:** How many cats are there?



**C:** A **train** sitting on the **tracks**.  
**Q1:** What is the yellow object? **A:** train  
**Q2:** Is this train moving or coming? **A:** going  
**Q3:** Is the train in or outside? **A:** outside  
**Q4:** Where is the train? **A:** station  
**GTQ:** What color are the train doors on the right?  
**GTQ:** What shape are the windows?



**C:** Three **people** are playing with a **large** frisbee.  
**Q1:** Who is holding the frisbee? **A:** boy  
**Q2:** What kind of game are they playing?  
**A:** frisbee  
**Q3:** What is the man in the blue shirt holding?  
**A:** frisbee  
**Q4:** What color is the frisbee? **A:** blue



**C:** A **cat** laying on a **bed** with a **pillow** and a pillow.  
**Q1:** What is on top of the suitcase? **A:** cat  
**Q2:** Is the cat inside or inside? **A:** inside  
**Q3:** What kind of cat is on the left? **A:** gray  
**Q4:** Where is the **cat**? **A:** suitcase  
**Q5:** What is on the left of the suitcase?  
**A:** cat

# Why Impressed?

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- NLP is an extremely complex task

## 《季姬擊雞記》

季姬寂，集雞，雞即棘雞。棘雞饑噦，季姬及箕稷濟雞。雞既濟，躋姬笈，季姬忌，急咷雞，雞急，繼圾幾，季姬急，即籍箕擊雞，箕疾擊幾伎，伎即齧，雞噦集幾基，季姬急極屐擊雞，雞既殛，季姬激，即記《季姬擊雞記》。

# Why Impressed?

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- NLP is an extremely complex task
  - ◆ *Synonym* (同義): “campus/university”, “beautiful/pretty”, “answer/reply”
  - ◆ *Ambiguities* (歧義): “I made her duck”
- NLP is very high dimensional
  - ◆ Assuming 100K English words, we need to train 100K classifiers, with quite sparse data for most of them
- Beating NLP feels the closest to achieving true AI
  - ◆ Although true AI probably goes beyond NLP, Vision, Robotics,..., alone

# DEEP LEARNING in **MUSIC & ARTS**

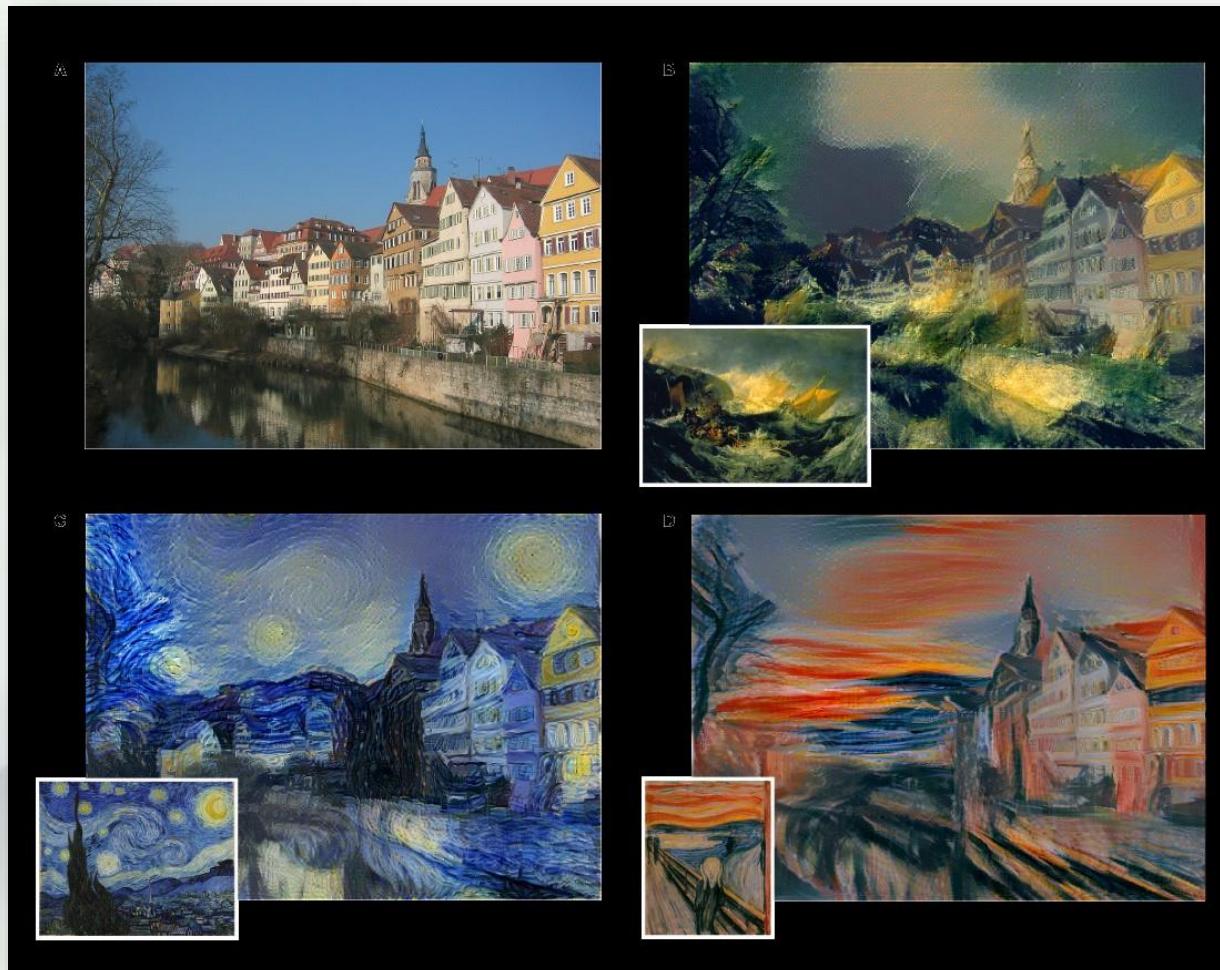


# Deep Learning Success

## Music & Arts

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### Style Transfer



# Deep Learning Success

## Music & Arts

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### Style Transfer



Original Photo

Example Photo

Result

# Deep Learning Success Music & Arts

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## Handwriting

He dismissed the idea

when the network is primed

with a real sequence

the samples mimic

the writer's style

prison welfare Officer complement

when the network is primed

with a real sequence

the samples mimic

the writer's style

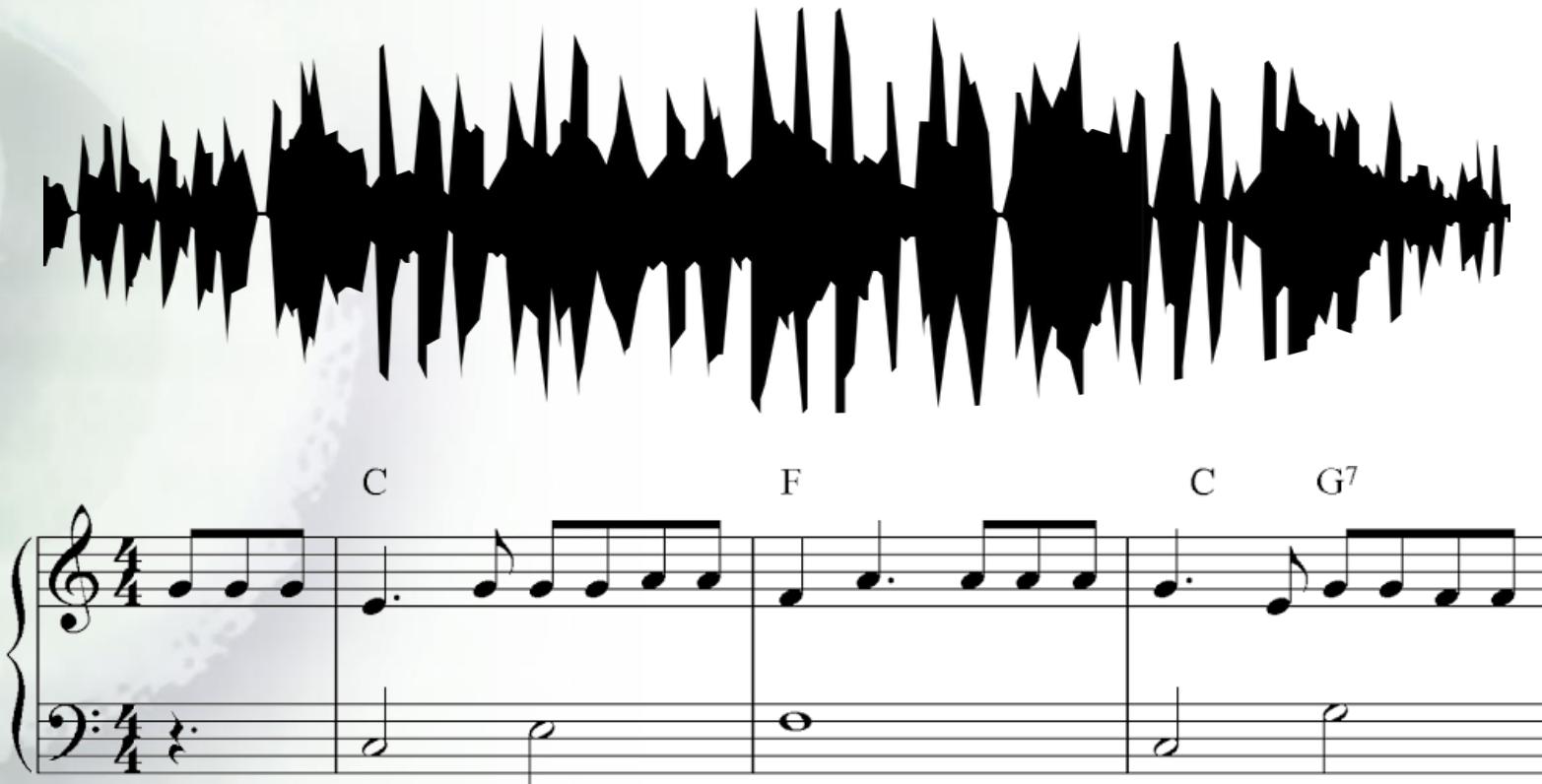
(Graves 2013)

# Deep Learning Success

## Music & Arts

NLP<sup>2</sup>CT Research Group

### Music Generation



# Why Impressed?

NLP<sup>2</sup>CT Research Group

- Music, painting, writing, etc. are uniquely human
  - ◆ *Difficult to model*
  - ◆ *Even more difficult to evaluate*
- If machines can generate novel pieces even resembling art, they must have understand something about “*beauty*”, “*harmony*”, etc.
- Have they really learned to generate **new art?**
  - ◆ *Or do they just fool us with their tricks?*

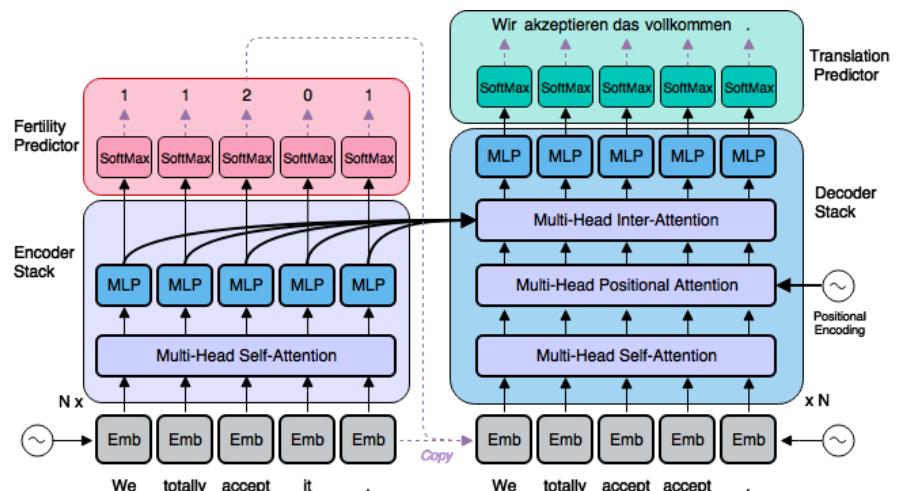
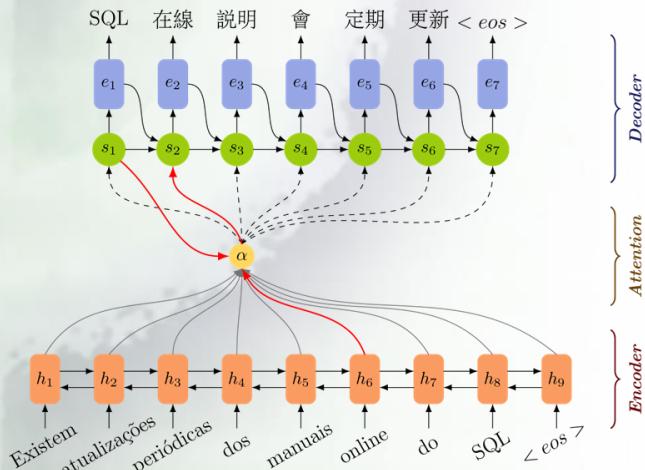
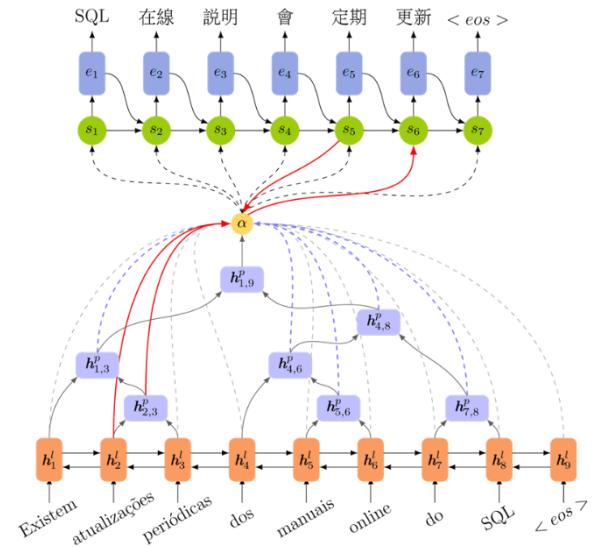
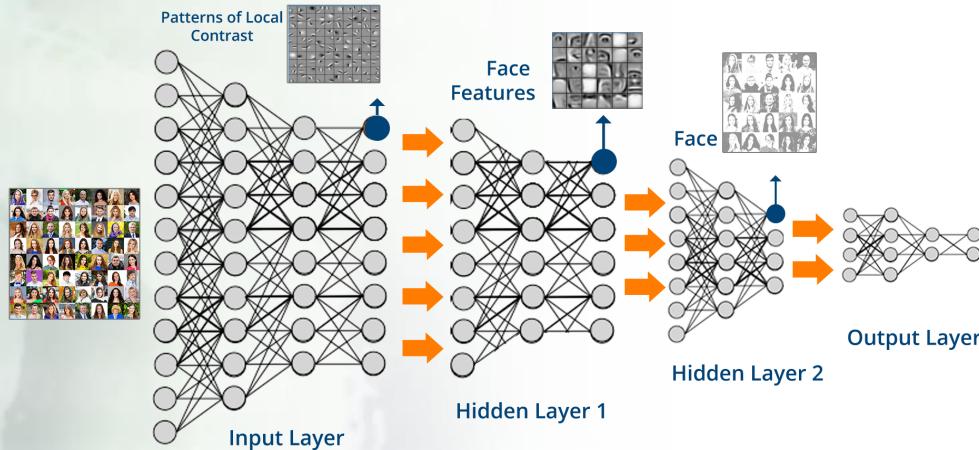


# THE MAGIC BEHIND THE STORIES

## DEEP NEURAL NETWORKS

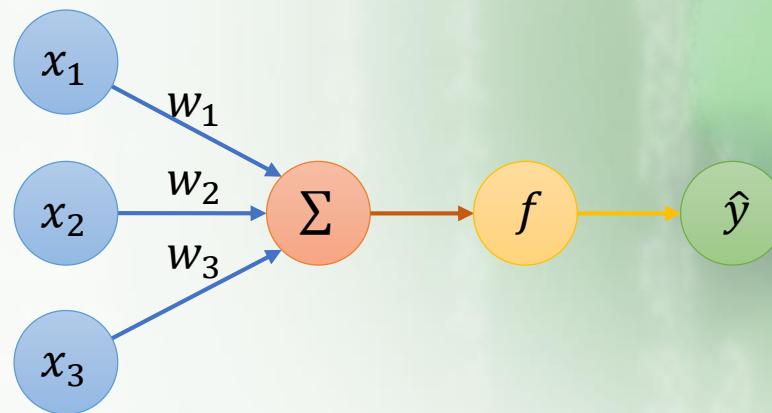
# Deep Neural Networks

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# NEURAL NETWORKS

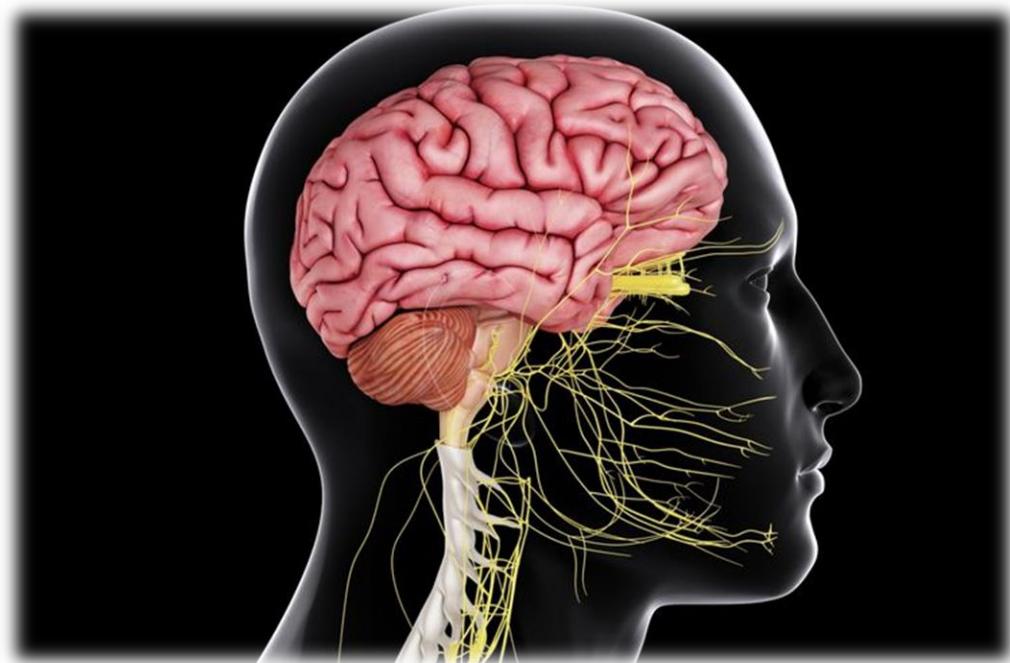
## THE NEURON (神經元)



# Nervous System

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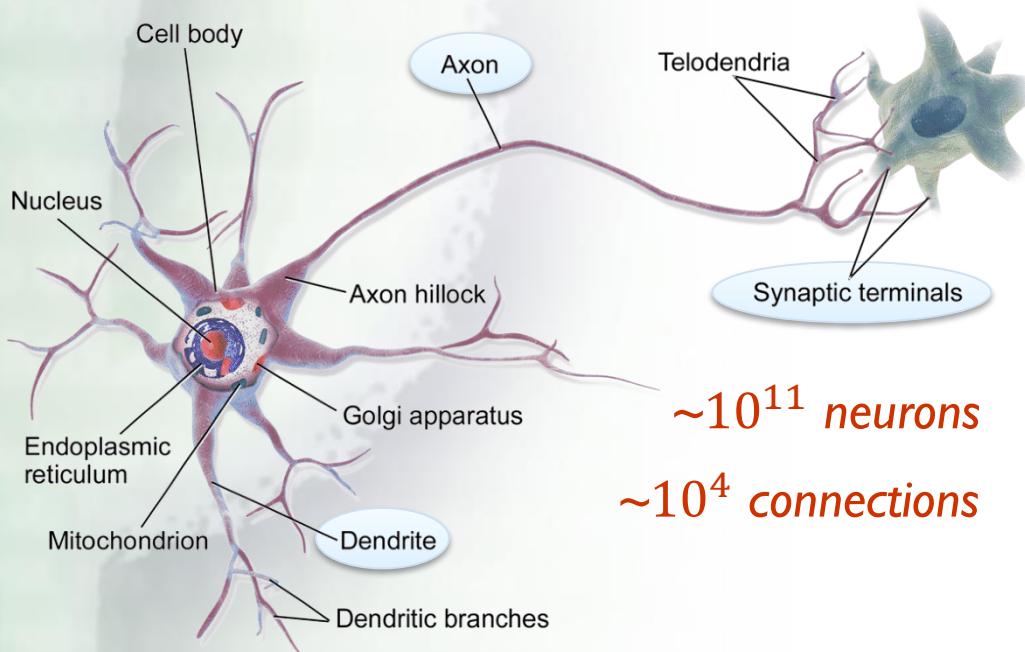
- The **nervous system** (神經系統) coordinates the actions of an animal
- Brain ← → <sup>messages</sup> body parts
- The **basic unit** of the nervous system is the **neuron** (神經元)



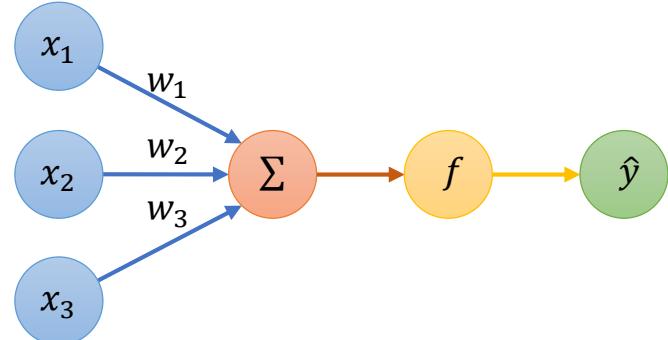
# Neurons

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- A neuron receives input from other *neurons* (generally thousands) from its *synapses* (突觸)
- Inputs are approximately *summed*
- When the input exceeds a *threshold* the neuron *sends an electrical spike* that travels from the body, down the *axon* (軸突), to the next neuron(s)

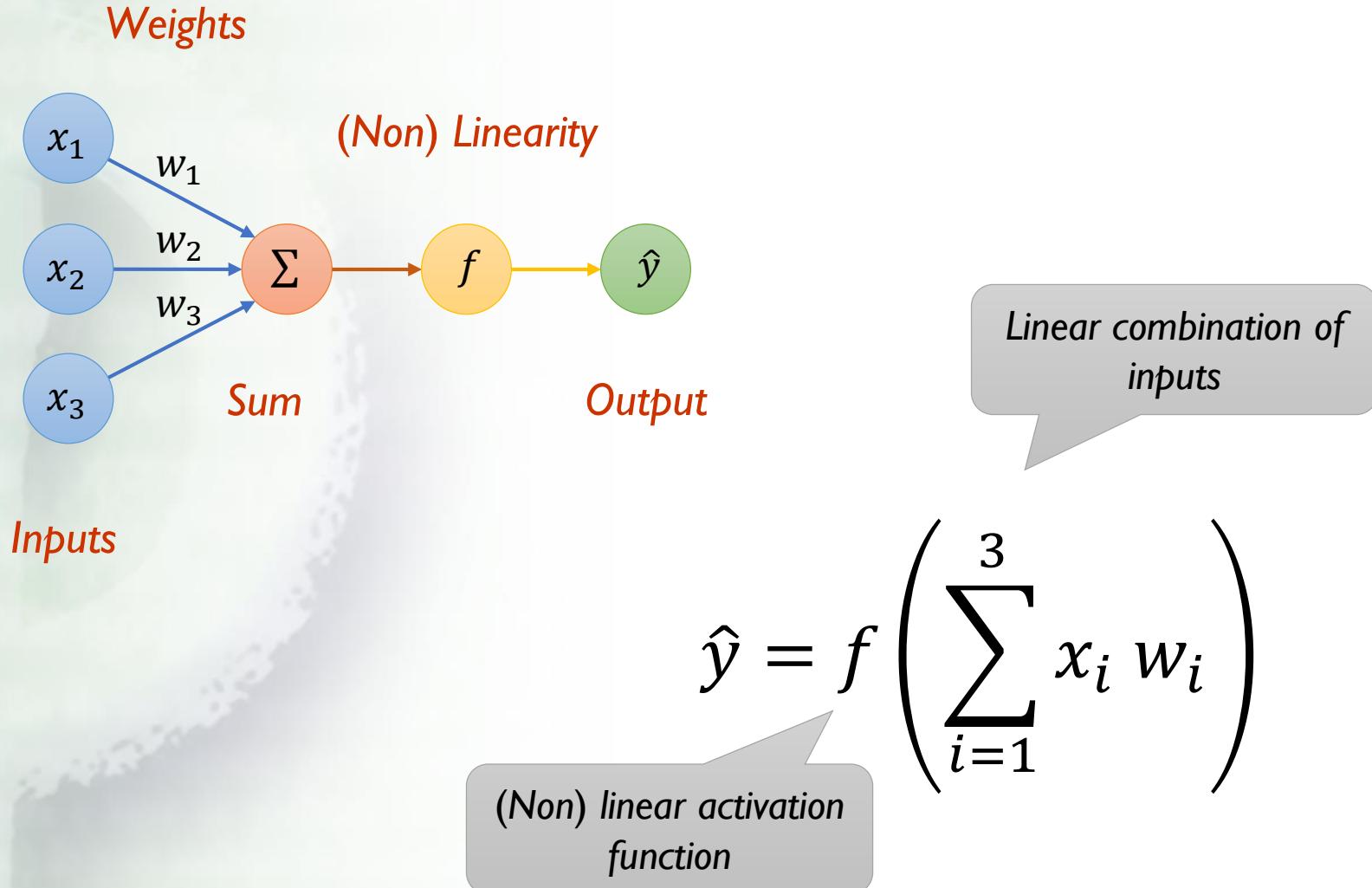


$\sim 10^{11}$  neurons  
 $\sim 10^4$  connections



# The Perceptron

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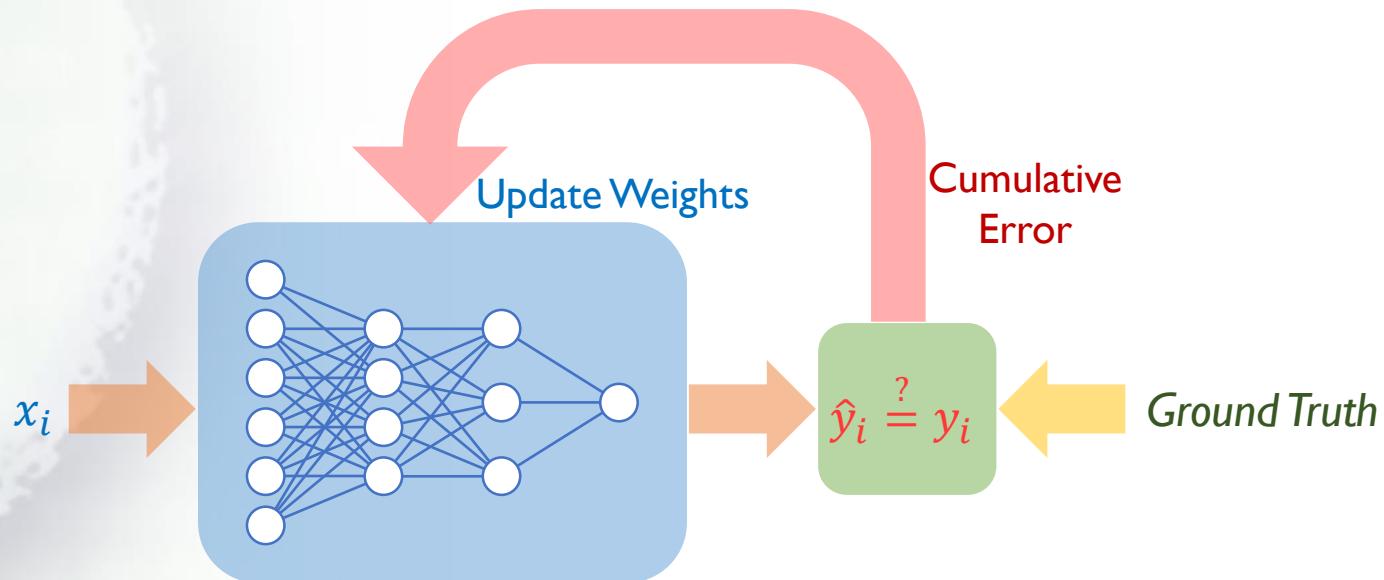


# Supervised Learning

(監督學習)

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- *Training by example*, i.e., priori known desired output for each input pattern
- Particularly useful for *feedforward networks* (前饋網路)

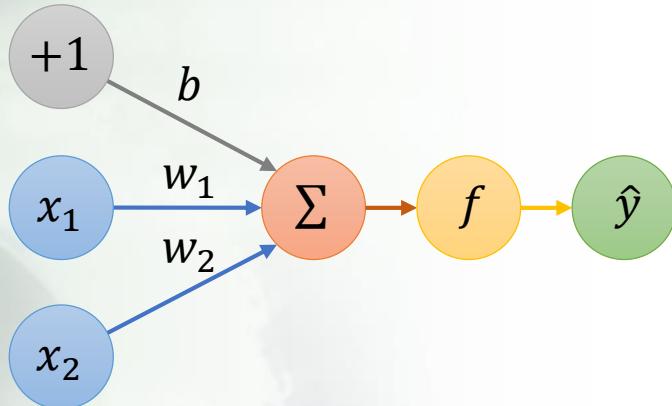


Training data:  $\mathcal{D} = \langle x_1, y_1 \rangle, \dots, \langle x_m, y_m \rangle$

# Supervised Learning

## An Example

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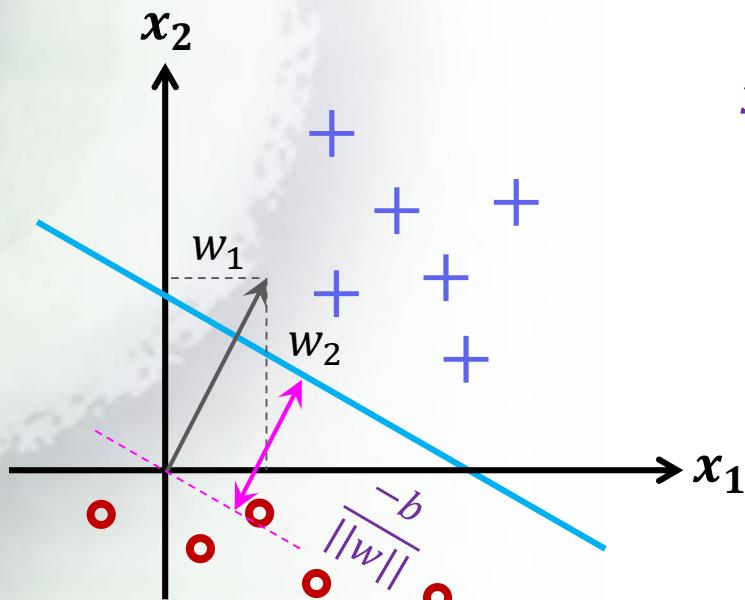


$$\hat{y} = f \left( b + \sum_{i=1}^2 x_i w_i \right)$$

$$f(s) = \begin{cases} +1 & \text{if } s > 0 \\ -1 & \text{otherwise} \end{cases}$$

$$x_1 w_1 + x_2 w_2 + b = 0$$

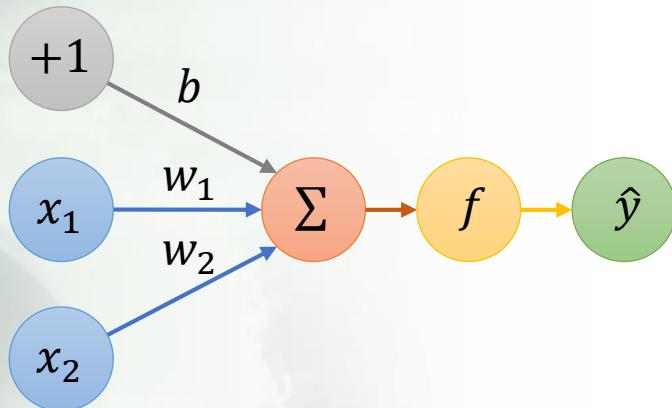
$$x_2 = -\frac{w_1}{w_2} x_1 - \frac{b}{w_2}$$



# Supervised Learning

## An Example

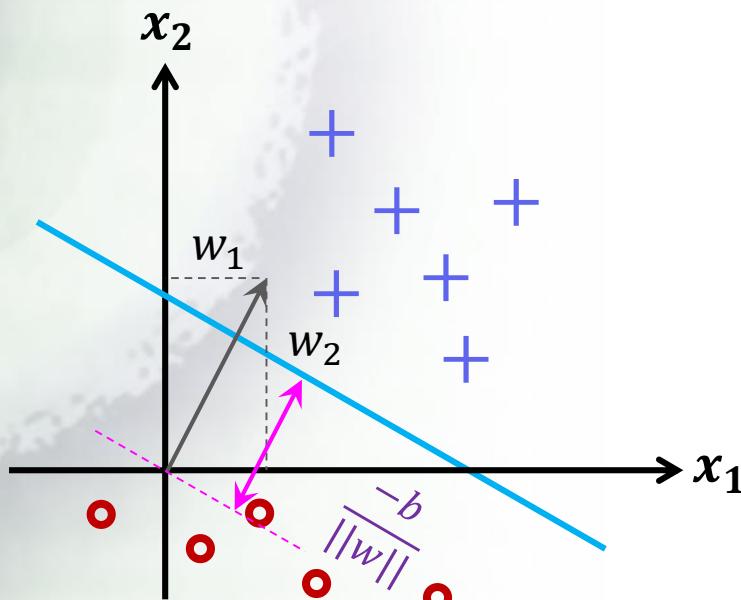
NLP<sup>2</sup>CT Research Group



*Update the weights*

$$w_i(t + 1) = w_i(t) + \Delta w_i(t)$$

$$\Delta w_i(t) = y \cdot x_i$$



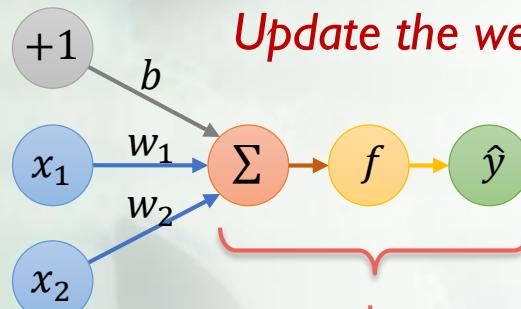
$$b(t + 1) = b(t) + \Delta b(t)$$

$$\Delta b(t) = \begin{cases} 0 & \text{if } \hat{y} = y \\ y & \text{otherwise} \end{cases}$$

# Supervised Learning

## An Example

NLP<sup>2</sup>CT Research Group



*Update the weights*

$$w_i(t + 1) = w_i(t) + \Delta w_i(t)$$

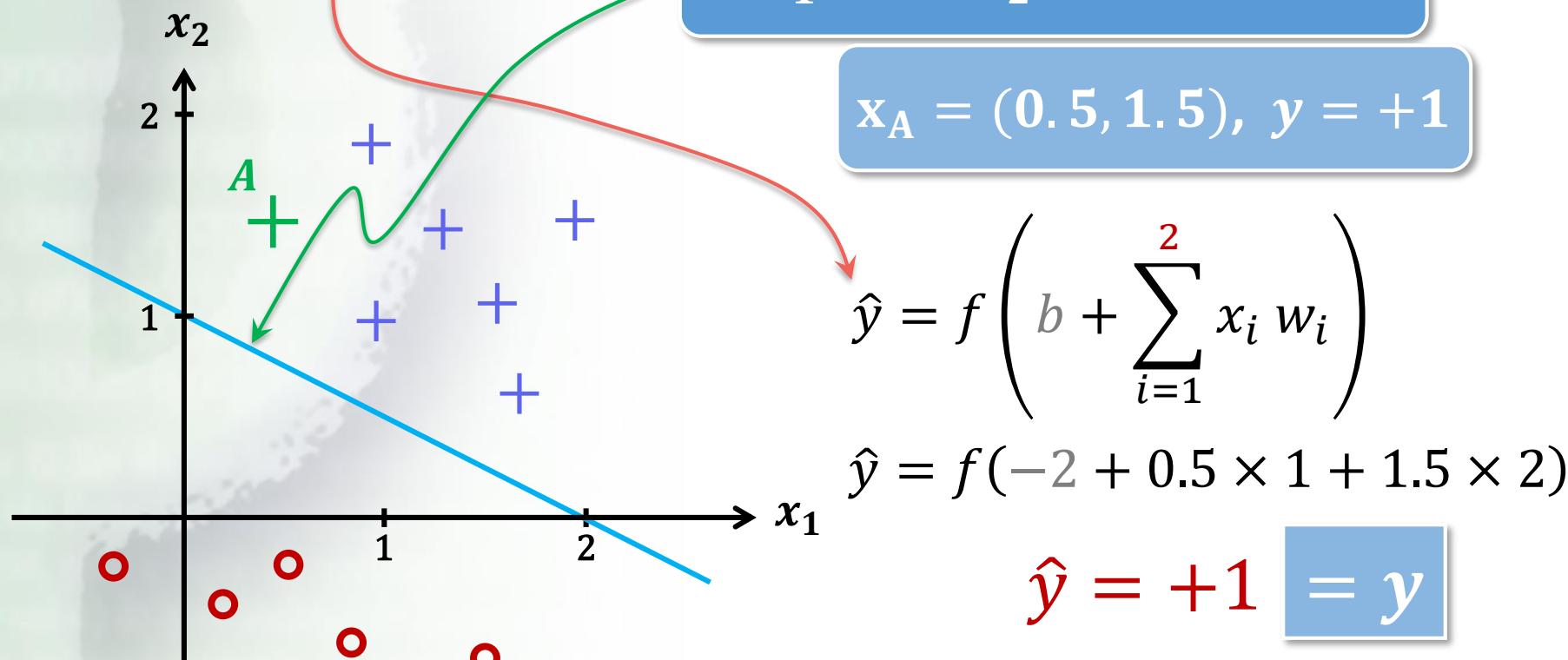
$$\Delta w_i(t) = y \cdot x_i$$

$$b(t + 1) = b(t) + \Delta b(t)$$

$$\Delta b(t) = \begin{cases} 0 & \text{if } \hat{y} = y \\ y & \text{otherwise} \end{cases}$$

$w_1 = 1, w_2 = 2, b = -2$

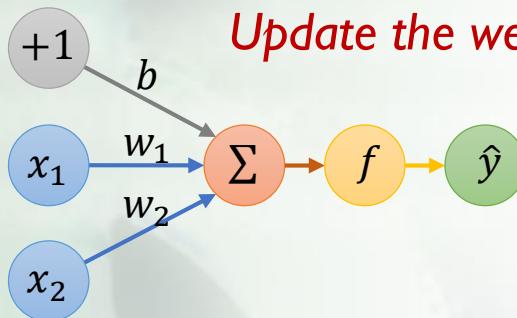
$x_A = (0.5, 1.5), y = +1$



# Supervised Learning

## An Example

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$$w_i(t + 1) = w_i(t) + \Delta w_i(t)$$

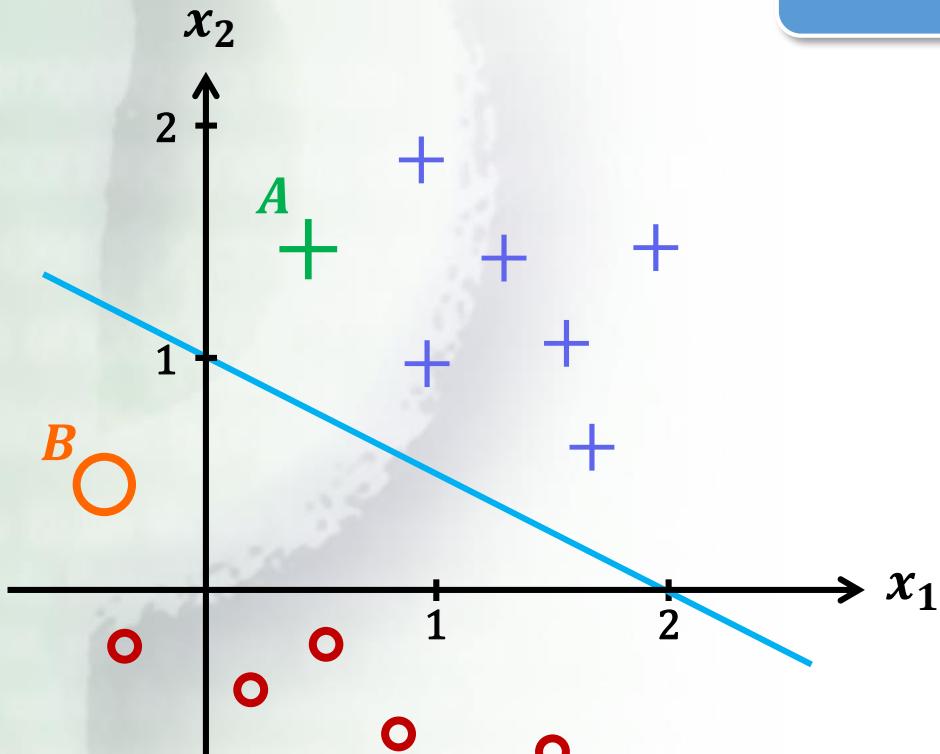
$$\Delta w_i(t) = y \cdot x_i$$

$b(t + 1) = b(t) + \Delta b(t)$

$$\Delta b(t) = \begin{cases} 0 & \text{if } \hat{y} = y \\ y & \text{otherwise} \end{cases}$$

$w_1 = 1, w_2 = 2, b = -2$

$x_B = (-0.5, 0.5), y = -1$



$$\hat{y} = f\left(b + \sum_{i=1}^2 x_i w_i\right)$$

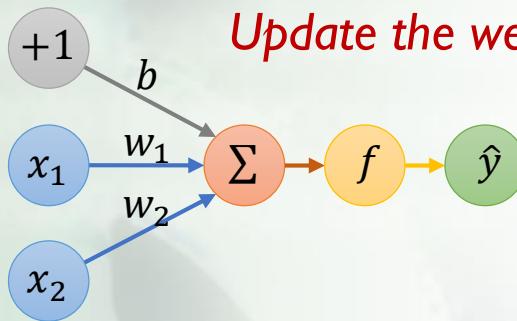
$$\hat{y} = f(-2 - 0.5 \times 1 + 0.5 \times 2)$$

$\hat{y} = -1 = y$

# Supervised Learning

## An Example

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*Update the weights*

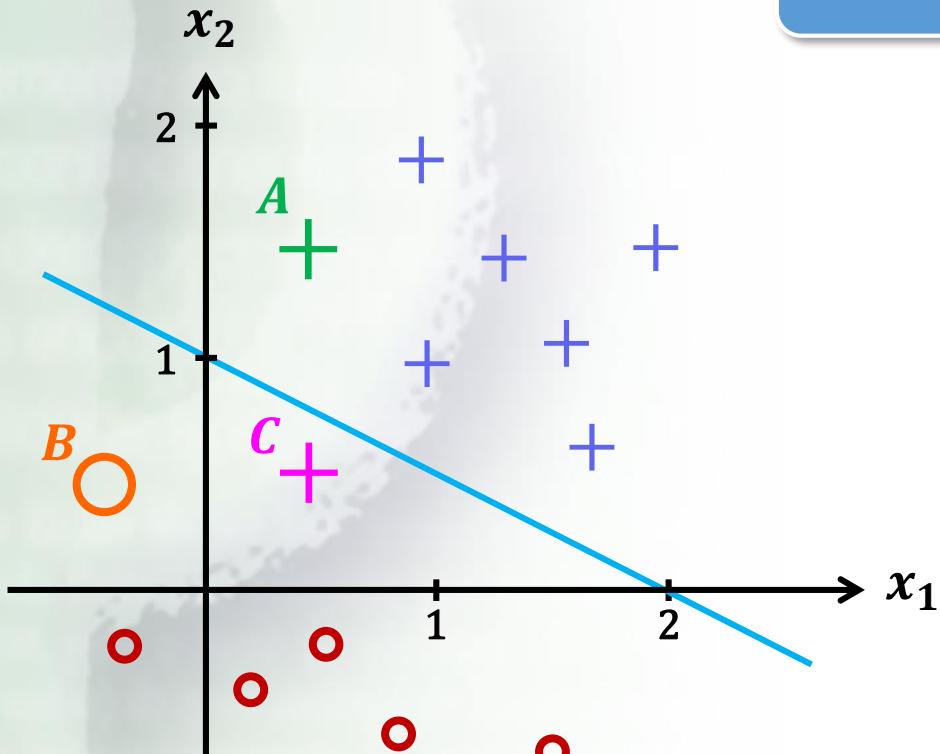
$$w_i(t+1) = w_i(t) + \Delta w_i(t)$$

$$\Delta w_i(t) = y \cdot x_i$$

$$b(t+1) = b(t) + \Delta b(t)$$

$$\Delta b(t) = \begin{cases} 0 & \text{if } \hat{y} = y \\ y & \text{otherwise} \end{cases}$$

$$w_1 = 1, w_2 = 2, b = -2$$



$$\mathbf{x}_c = (0.5, 0.5), y = +1$$

$$\hat{y} = f\left(b + \sum_{i=1}^2 x_i w_i\right)$$

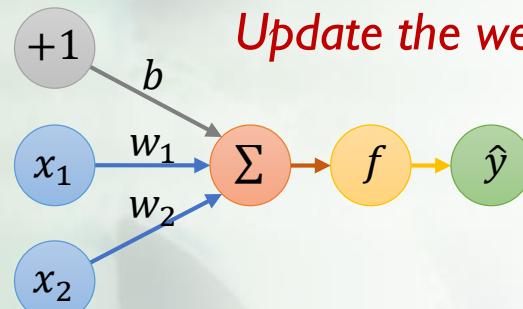
$$\hat{y} = f(-2 + 0.5 \times 1 + 0.5 \times 2)$$

$$\hat{y} = -1 \neq y$$

# Supervised Learning

## An Example

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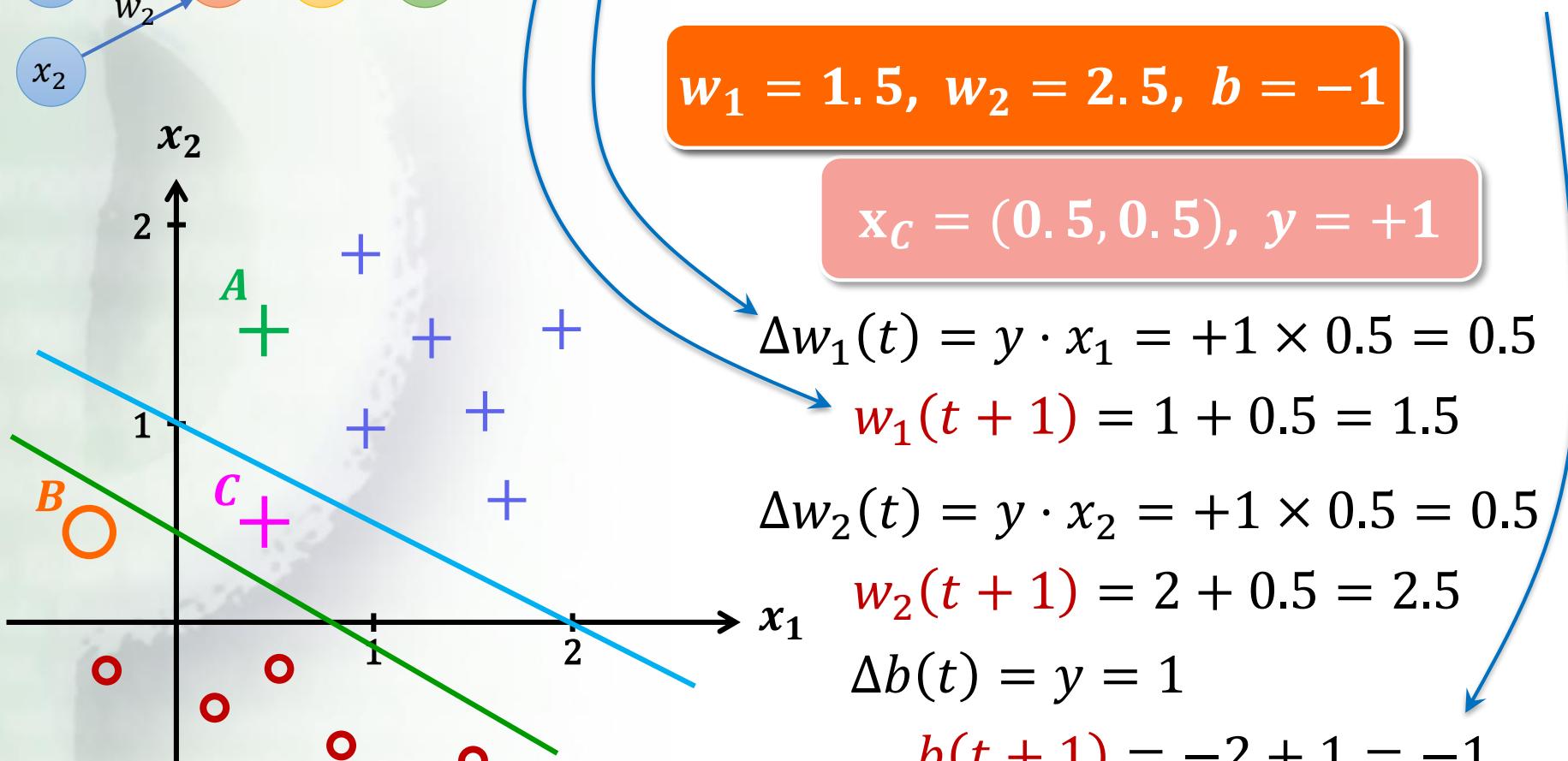
$$w_i(t+1) = w_i(t) + \Delta w_i(t)$$

$$\Delta w_i(t) = y \cdot x_i$$

$$b(t+1) = b(t) + \Delta b(t)$$

$$\Delta b(t) = \begin{cases} 0 & \text{if } \hat{y} = y \\ y & \text{otherwise} \end{cases}$$

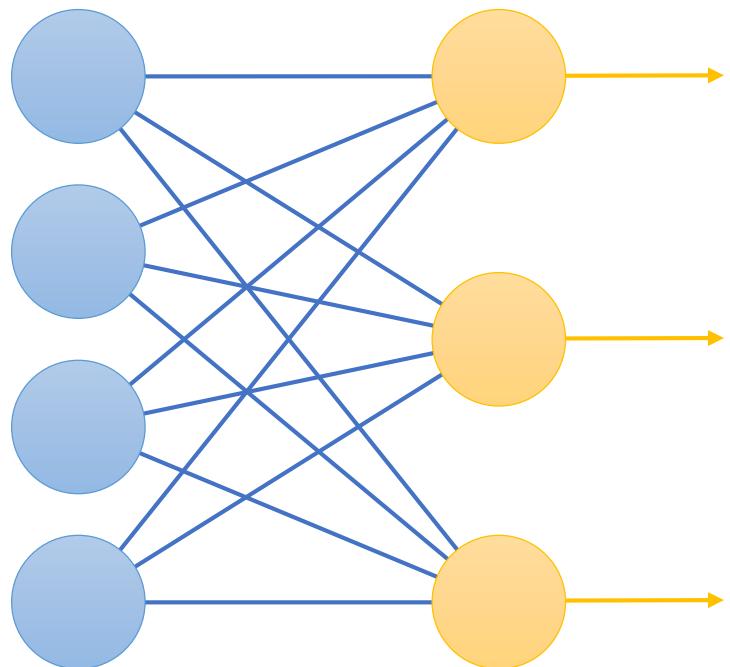
$$w_1 = 1.5, w_2 = 2.5, b = -1$$



# From Perceptron To a Neural Network

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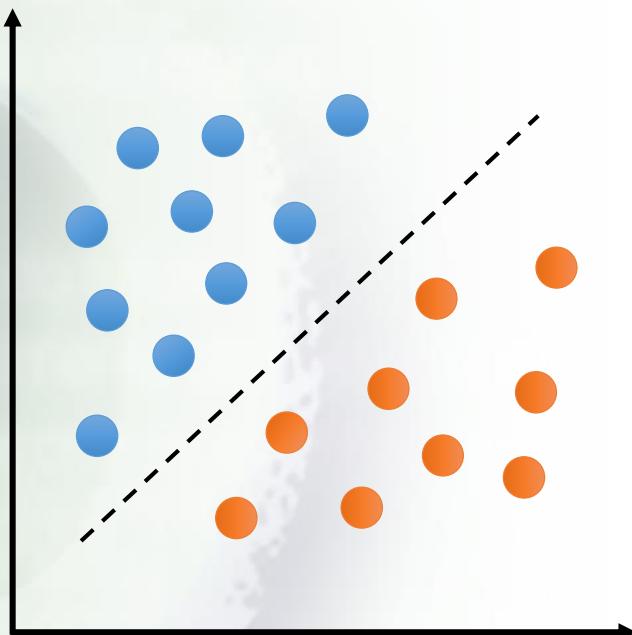
- One *perceptron* = one *decision*
- What about *multiple decisions*?
  - ◆ e.g. *Digit classification, letter classification*
- Stack as *many outputs* as the possible *outcomes* into a layer
  - ◆ *Neural network*
- Use *one layer* as input to the *next layer*
  - ◆ *Multi-layer perceptron (MLP)*  
– 多層感知神經網絡)



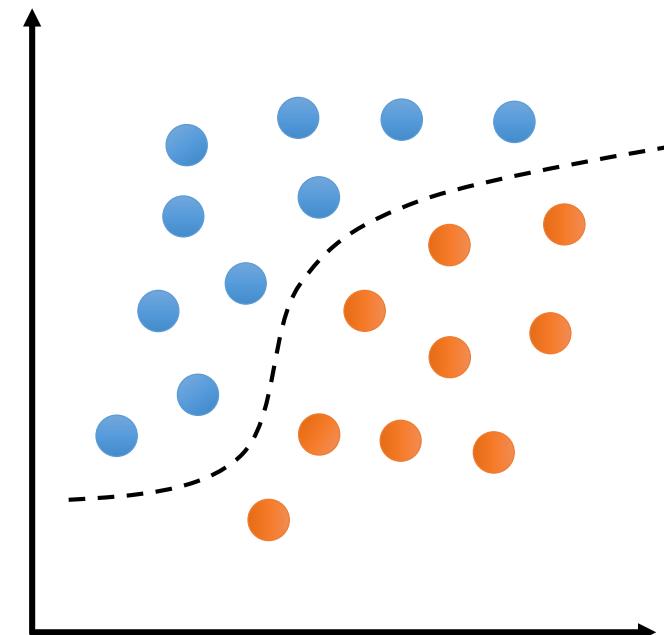
# Linear vs Non-linear

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Linear Separable



Linear Non-separable



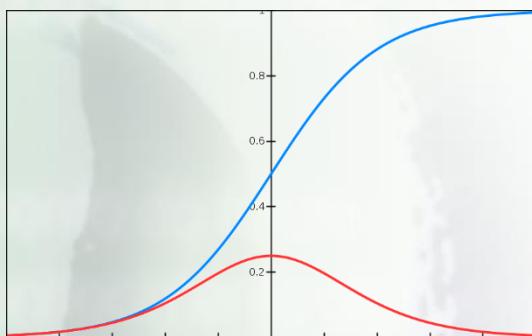
$$\hat{y} = f\left(\sum_{i=1}^m x_i w_i\right) = \begin{cases} +1 & \text{if } z = \sum_{i=1}^m x_i w_i > 0 \\ -1 & \text{otherwise} \end{cases}$$

$f(\cdot) = \text{Signum}$

# Common Activation Functions

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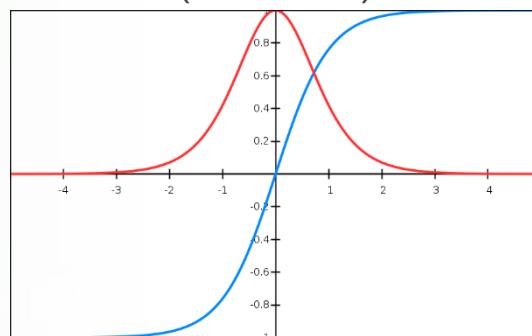
Sigmoid Function



$$f(z) = \frac{1}{1 + e^{-z}}$$

$$f'(z) = f(z)(1 - f(z))$$

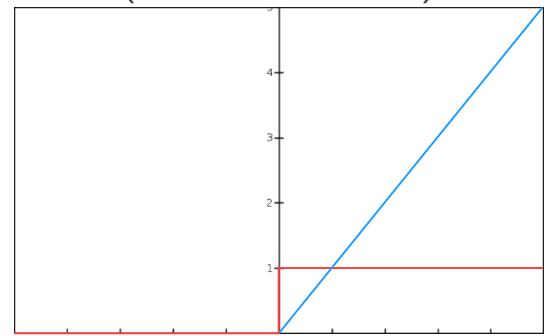
Hyperbolic Tangent  
(雙曲正切)



$$f(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$

$$f'(z) = 1 - f(z)^2$$

Rectified Linear Unit (ReLU)  
(線性整流函數)



$$f(z) = \max(0, z)$$

$$f'(z) = \begin{cases} 1 & \text{if } z > 0 \\ 0 & \text{otherwise} \end{cases}$$

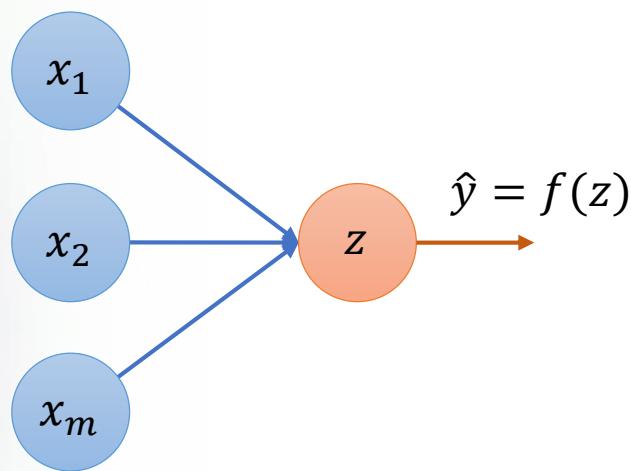
All activation functions are non-linear

# BUILDING NEURAL NETWORK WITH PERCEPTRON



# The Perceptron Simplified

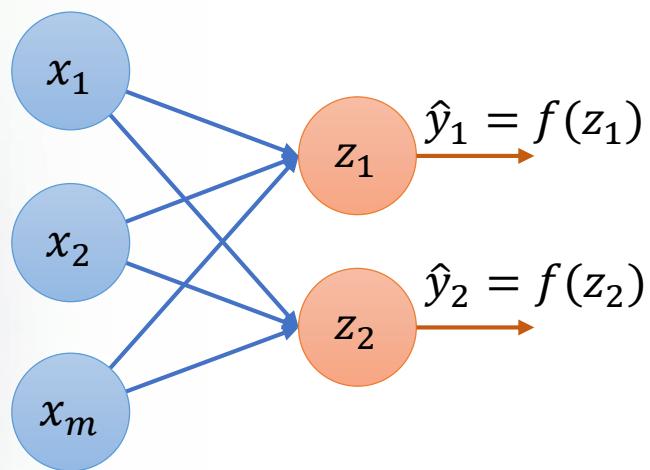
NLP<sup>2</sup>CT Research Group



$$z = w_0 + \sum_{i=1}^m x_i w_i$$

# Multi Output Perceptron

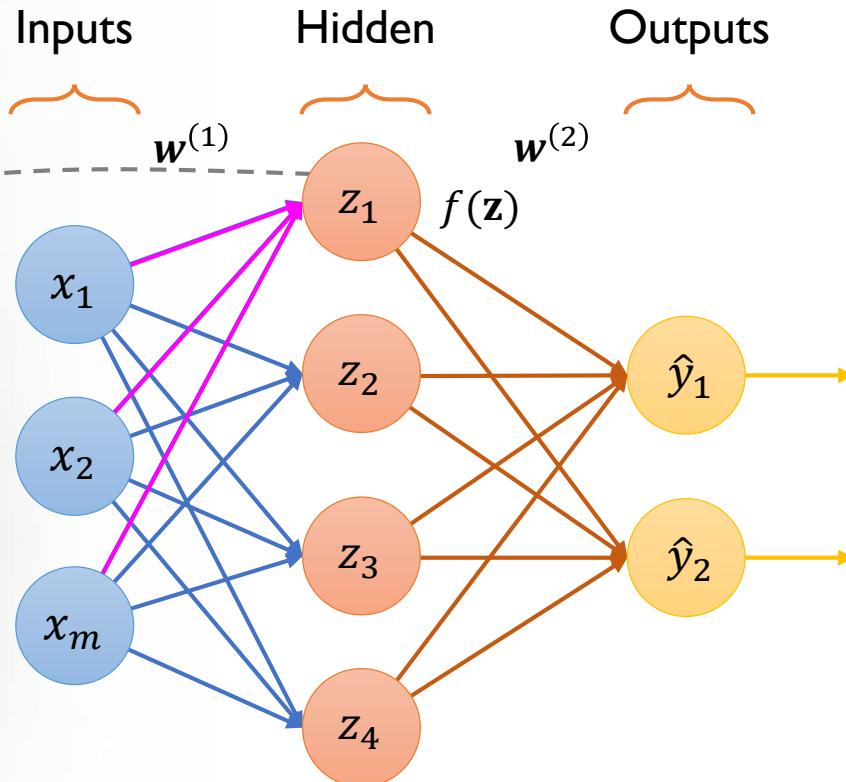
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$$z_j = w_{0,j} + \sum_{i=1}^m x_i w_{i,j}$$

# Single Layer Network

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$$\begin{aligned} z_1 &= w_{0,1}^{(1)} + \sum_{i=1}^m x_i w_{i,1}^{(1)} \\ &= w_{0,1}^{(1)} + x_1 w_{1,1}^{(1)} \\ &\quad + x_2 w_{2,1}^{(1)} + x_3 w_{3,1}^{(1)} \end{aligned}$$

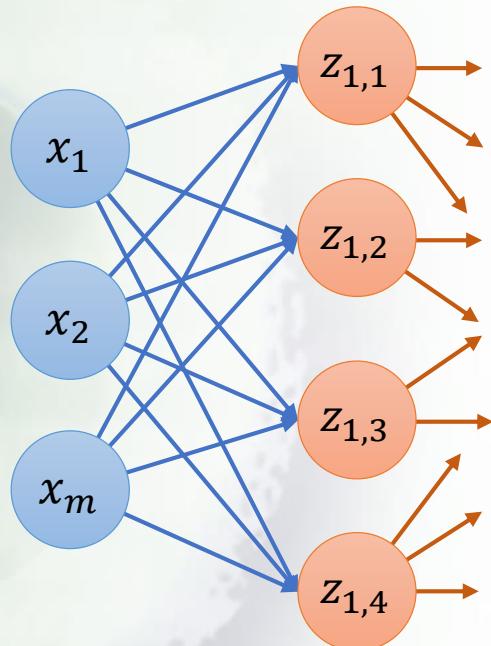
$$z_j = w_{0,j}^{(1)} + \sum_{i=1}^m x_i w_{i,j}^{(1)}$$

$$y_j = w_{0,j}^{(2)} + \sum_{i=1}^m x_i w_{i,j}^{(2)}$$

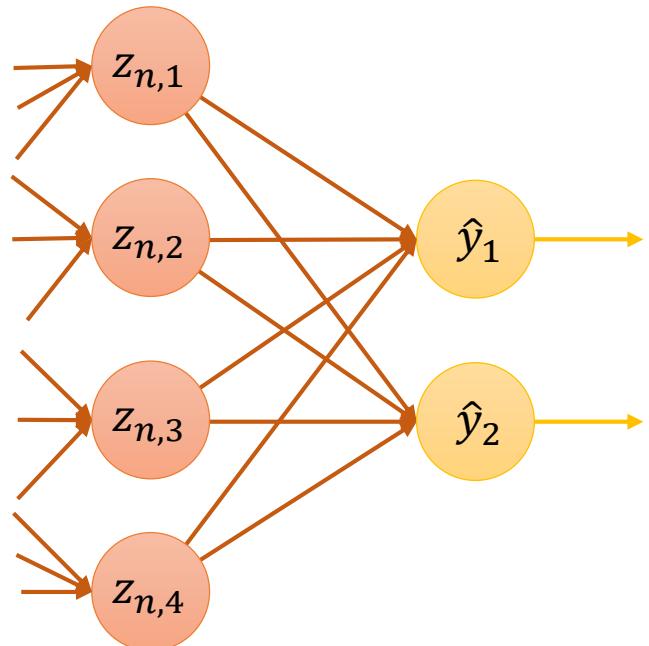
# Deep Neural Network

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*n-Layers*



...



$$z_j = w_{0,j}^{(k)} + \sum_{i=1}^{m_{k-1}} f(z_{k-1}, i) w_{i,j}^{(k)}$$



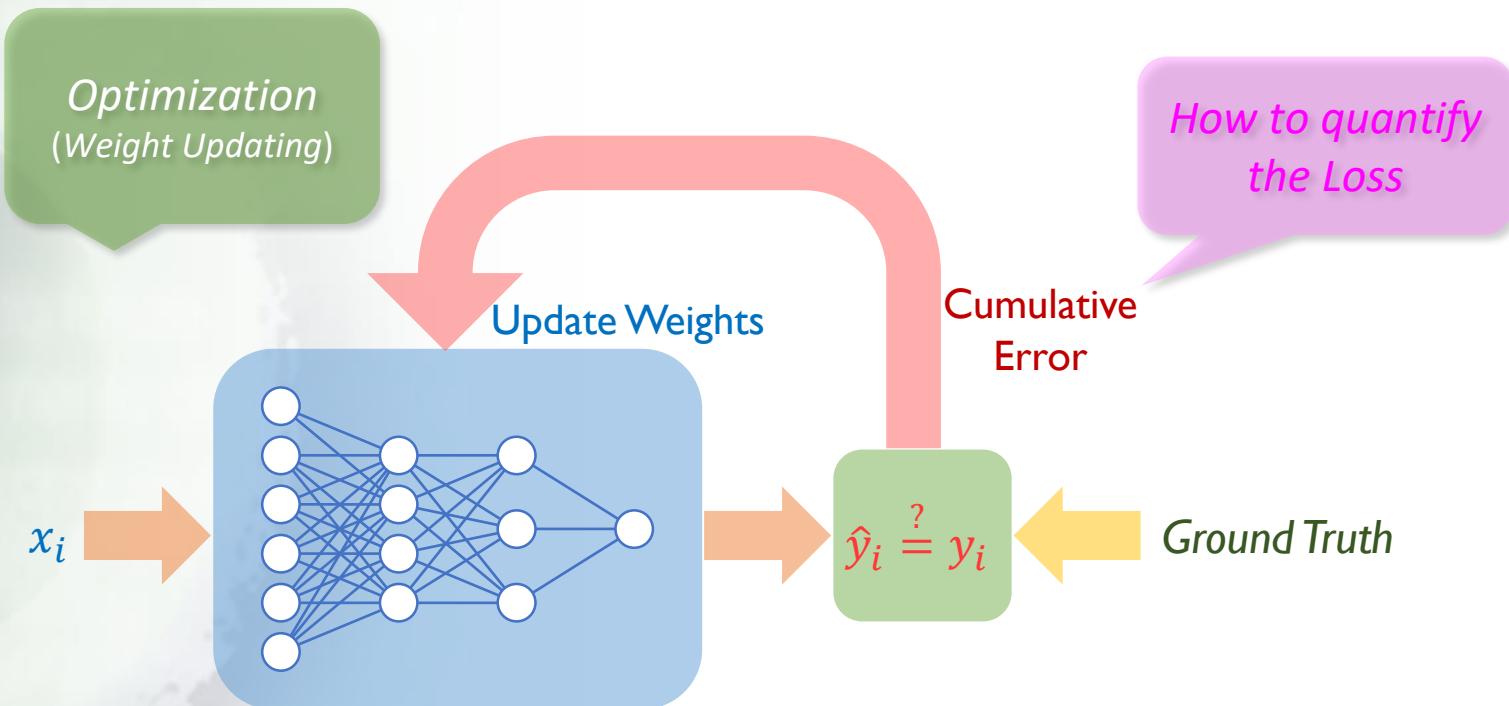
# **TRAINING THE MODEL**

## **LOSS FUNCTION & OPTIMIZATION**

# Training Algorithm

## The Deep Networks

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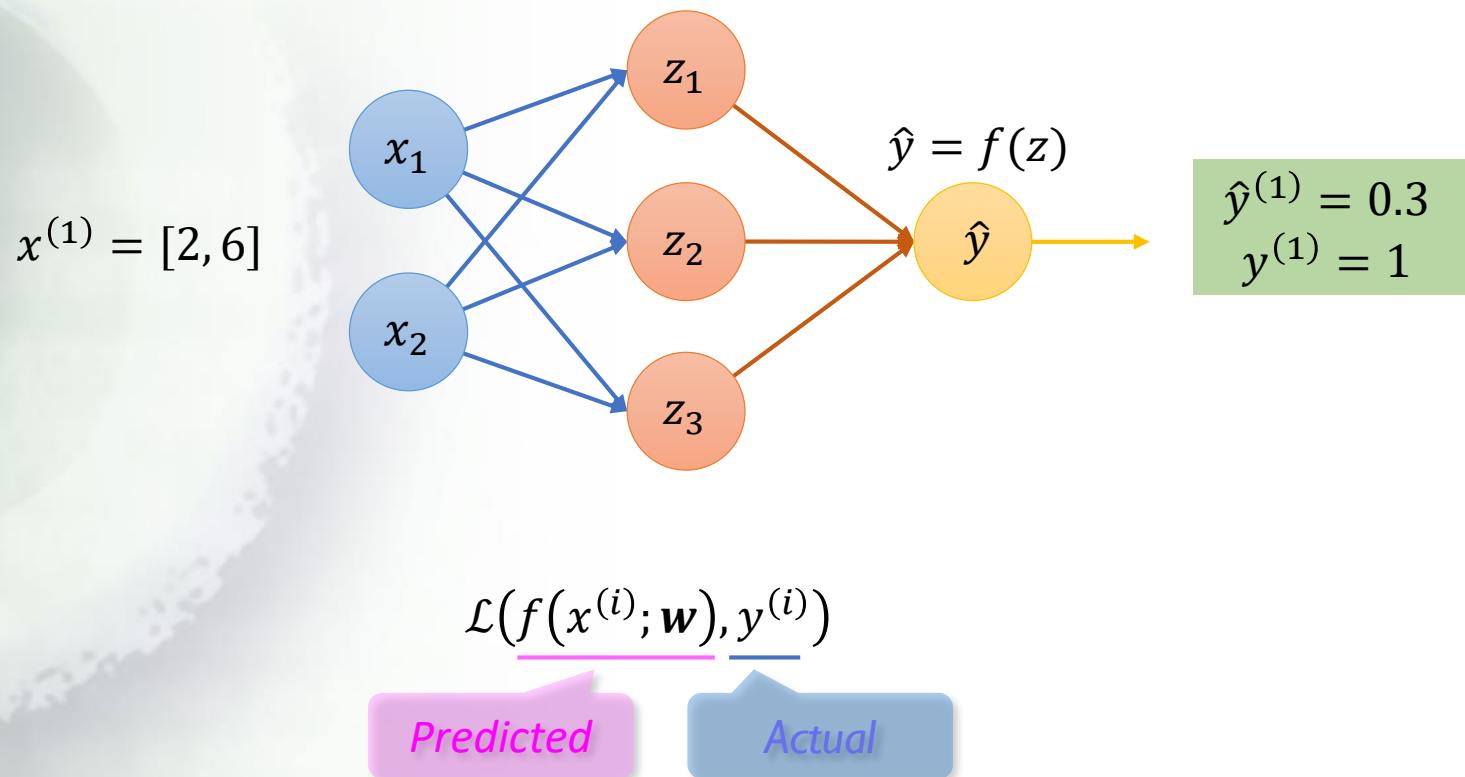


Training data:  $\mathcal{D} = \langle x_1, y_1 \rangle, \dots, \langle x_m, y_m \rangle$

# Quantifying Loss

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- The *loss* of network measures the cost incurred from *incorrect predictions*

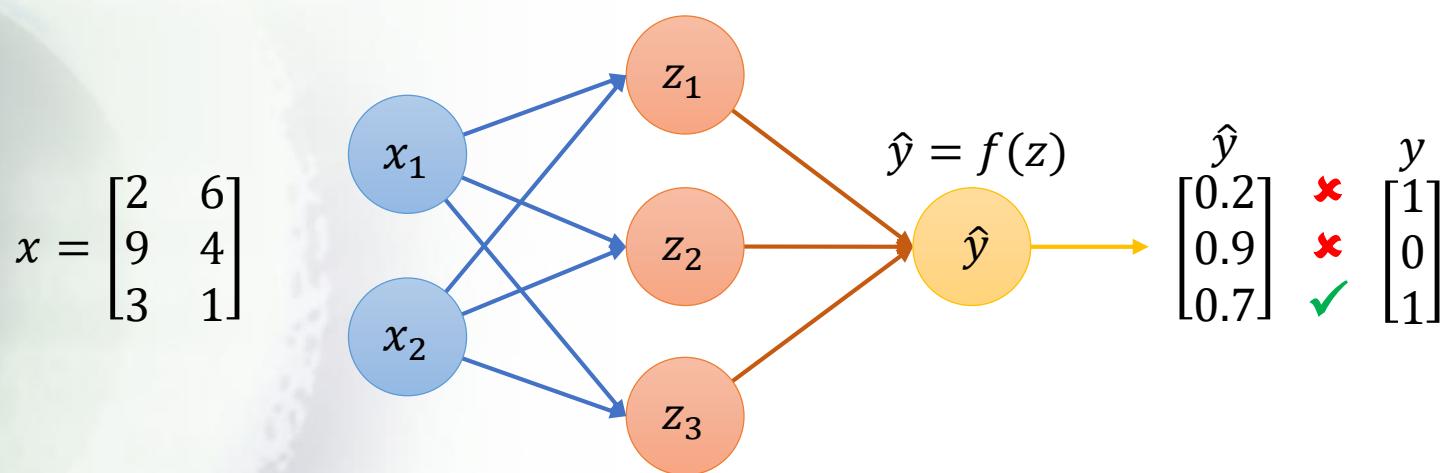


# Quantifying Loss

## Empirical Loss

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- The empirical loss (經驗損失) measures the total loss over the entire dataset



As known as:

- Objective Function
- Cost Function
- Empirical Risk

$$\mathcal{J}(\theta) = \frac{1}{n} \sum_{i=1}^n \mathcal{L}(f(x^{(i)}; \mathbf{w}), y^{(i)})$$

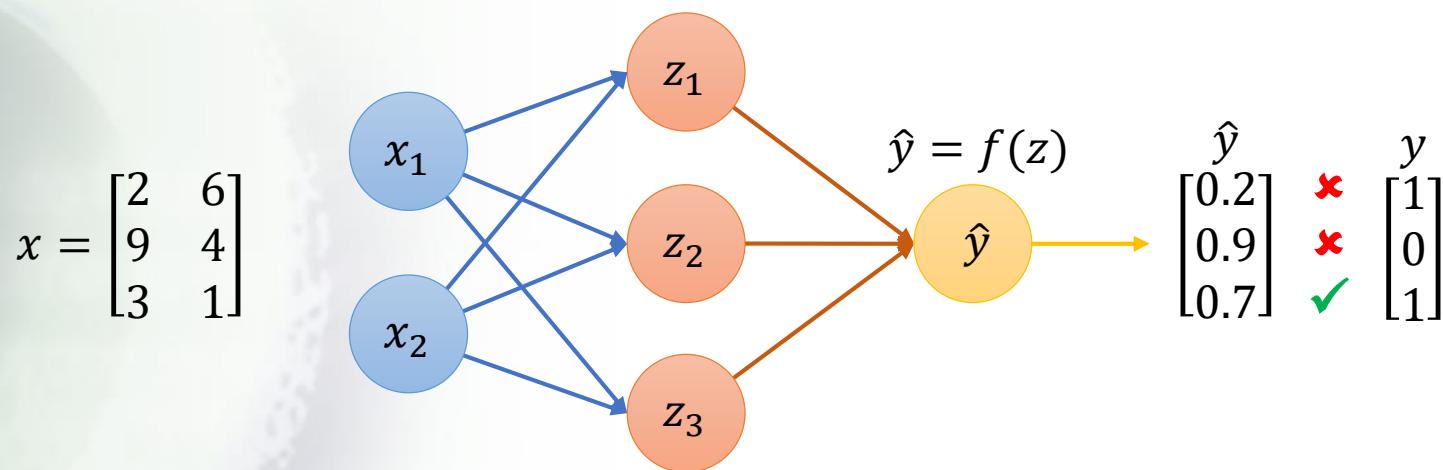
*Predicted*      *Actual*

# Quantifying Loss

## Binary Cross Entropy Loss

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- *Cross Entropy Loss (交叉熵) can be used with models that output a probability between 0 and 1*



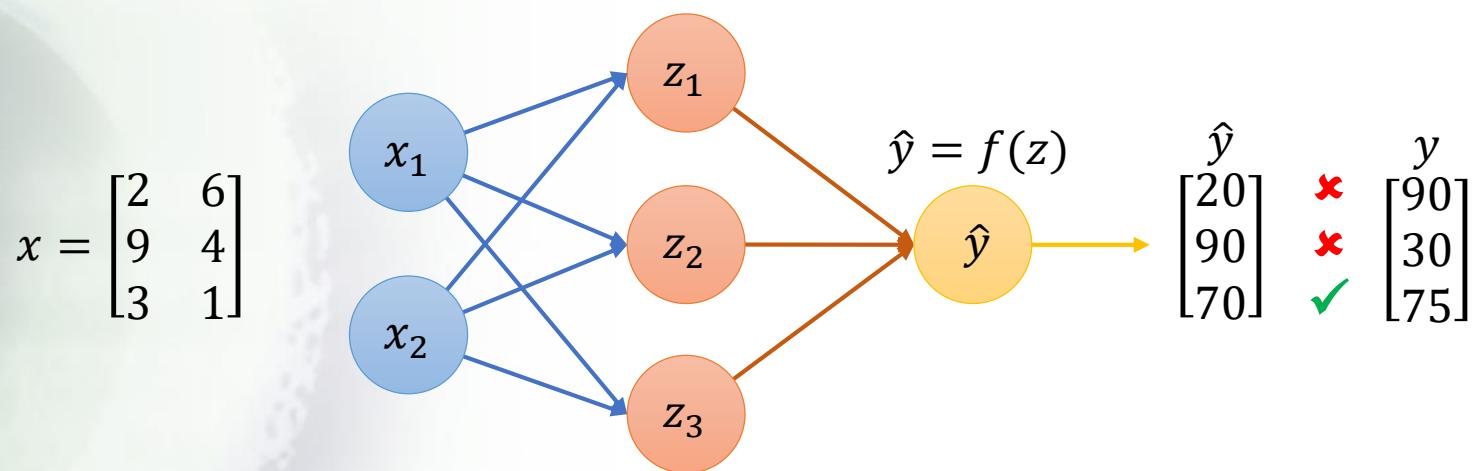
$$\mathcal{J}(\theta) = \frac{1}{n} \sum_{i=1}^n \underbrace{y^{(i)} \log(f(x^{(i)}; \mathbf{w}))}_{\text{Predicted}} + \underbrace{(1 - y^{(i)}) \log(1 - f(x^{(i)}; \mathbf{w}))}_{\text{Actual}}$$

# Quantifying Loss

## Mean Squared Error Loss

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- Mean Squared Error Loss (均方差) can be used with regression models that output continuous real numbers

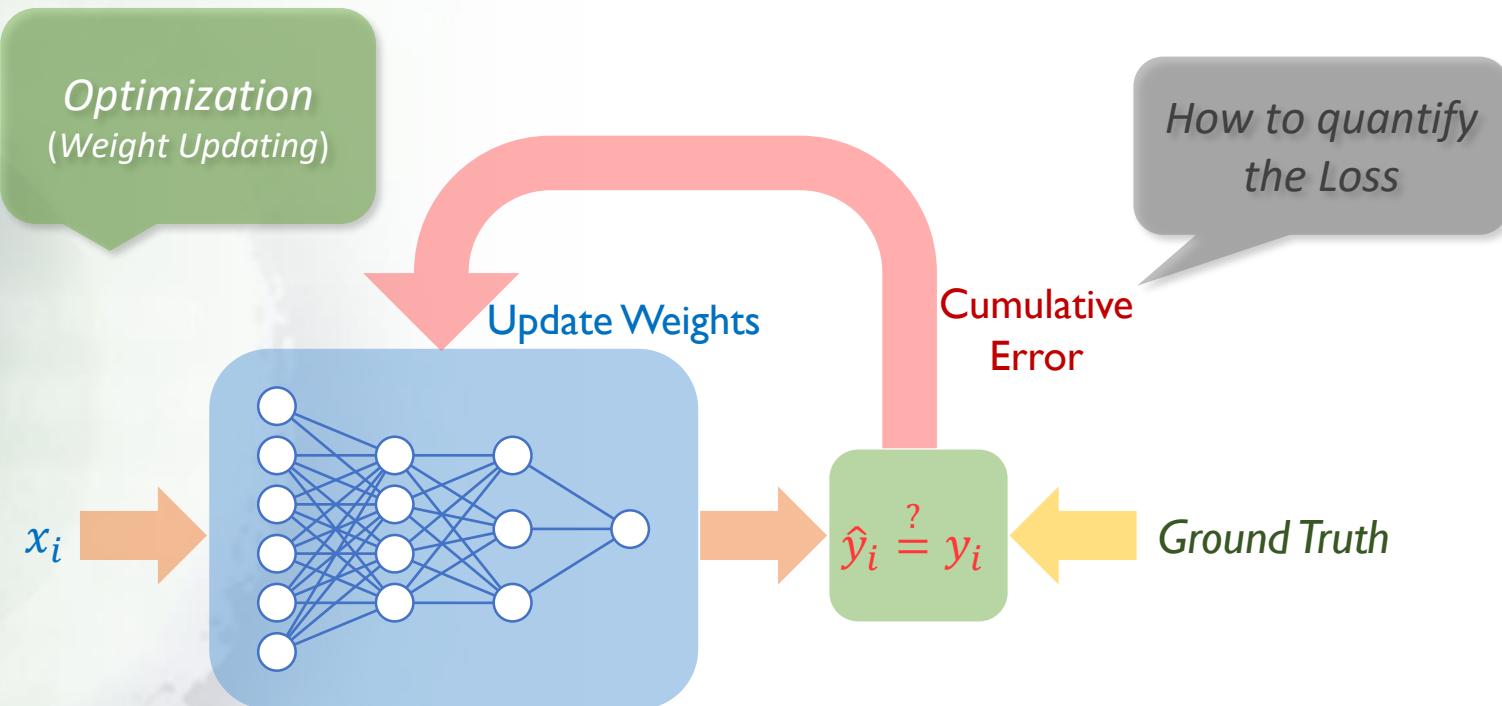


$$\mathcal{J}(\theta) = \frac{1}{n} \sum_{i=1}^n \left( \underbrace{y^{(i)}}_{\text{Actual}} - \underbrace{f(x^{(i)}; \mathbf{w})}_{\text{Predicted}} \right)^2$$

# Training Algorithm

## The Deep Networks

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Training data:  $\mathcal{D} = \langle x_1, y_1 \rangle, \dots, \langle x_m, y_m \rangle$

# Loss Optimization

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- We want to find the *network weights* that achieve the *lowest loss*

$$\boldsymbol{\theta}^* = \operatorname{argmin}_{\boldsymbol{\theta}} \frac{1}{n} \sum_{i=1}^n \mathcal{L}(f(x^{(i)}; \boldsymbol{\theta}), y^{(i)})$$

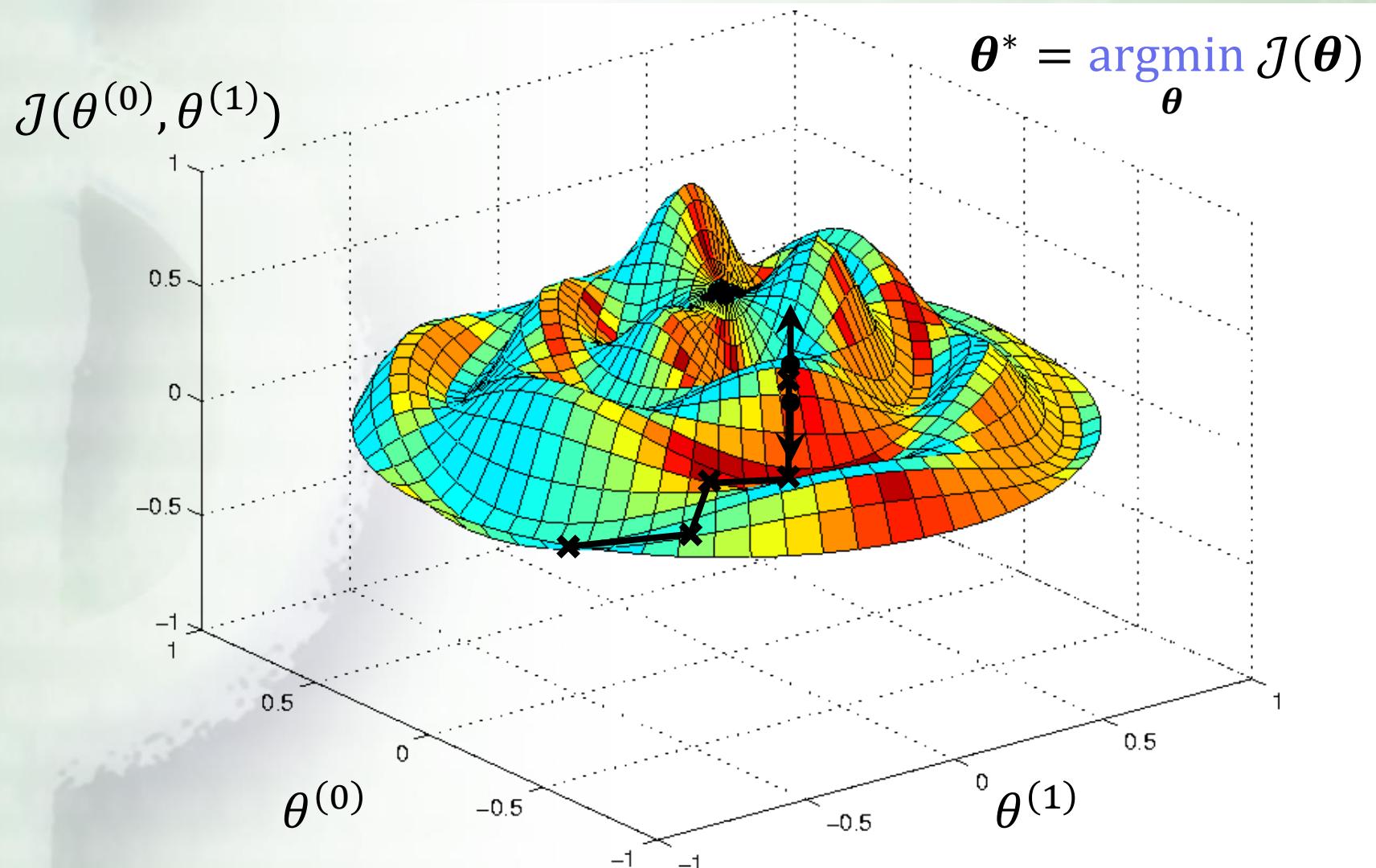
$$\boldsymbol{\theta}^* = \operatorname{argmin}_{\boldsymbol{\theta}} \mathcal{J}(\boldsymbol{\theta})$$



$$\boldsymbol{\theta} = \{\theta^{(0)}, \theta^{(1)}, \dots\}$$

# Loss Optimization

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# Gradient Descent

# 梯度下降

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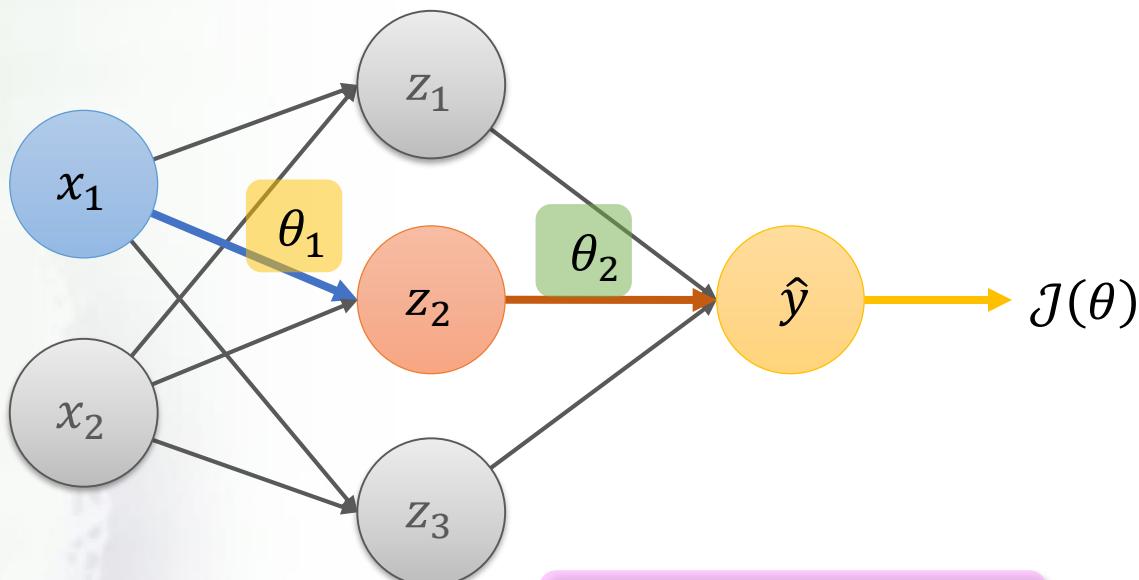
## Algorithm

- Initialize weights **randomly**  $\sim \mathcal{N}(0, \sigma^2)$
- Loop until convergence
  - ◆ Compute gradient:  $\frac{\partial J(\theta)}{\partial \theta}$
  - ◆ Update **weights**:  $\theta \leftarrow \theta - \alpha \frac{\partial J(\theta)}{\partial \theta}$
- Return weights

# Compute Gradients

## Backpropagation (反向傳播)

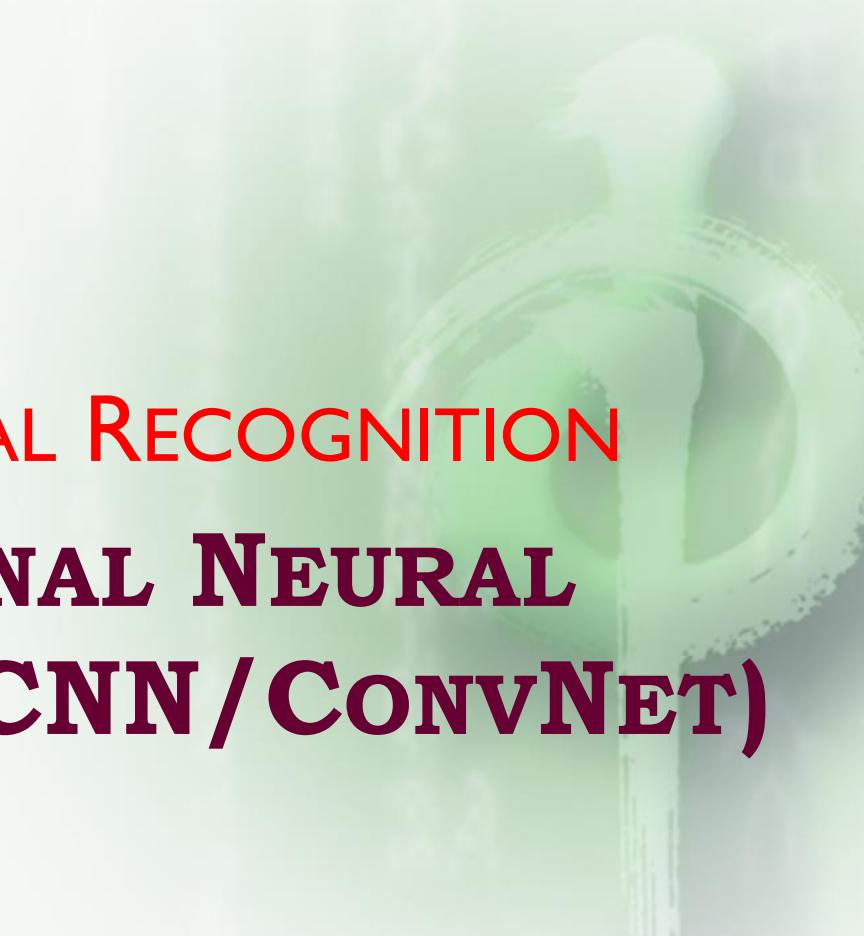
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Chain Rule (連鎖法則)!

$$\frac{\partial J(\theta)}{\partial \theta_2} = \frac{\partial J(\theta)}{\partial \hat{y}} \times \frac{\partial \hat{y}}{\partial \theta_2}$$

$$\frac{\partial J(\theta)}{\partial \theta_1} = \frac{\partial J(\theta)}{\partial \hat{y}} \times \frac{\partial \hat{y}}{\partial \theta_1} = \frac{\partial J(\theta)}{\partial \hat{y}} \times \frac{\partial \hat{y}}{\partial z_2} \times \frac{\partial z_2}{\partial \theta_1}$$

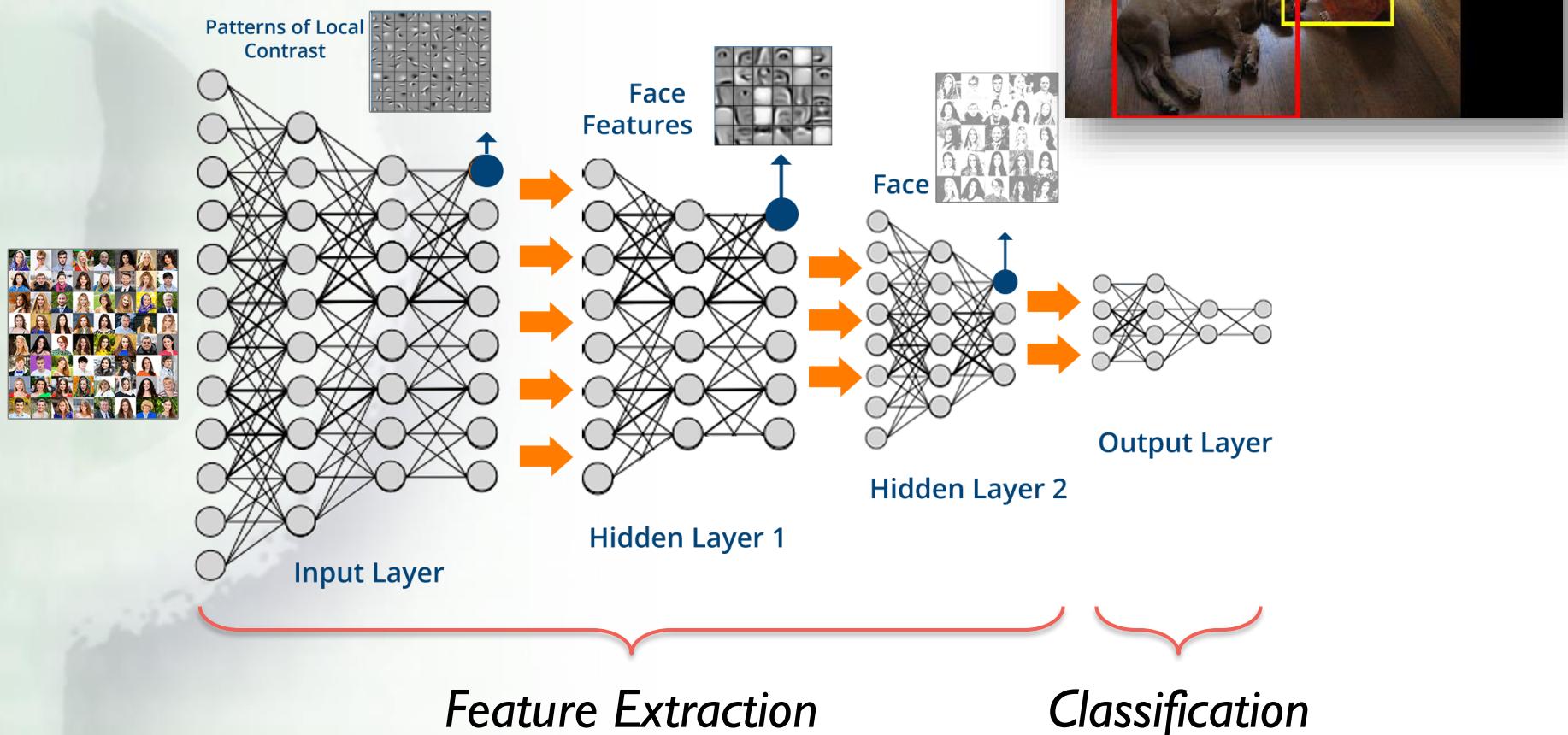


**DEEP NN FOR VISUAL RECOGNITION**

**CONVOLUTIONAL NEURAL  
NETWORKS (CNN/ConvNet)**

# Visual Recognition

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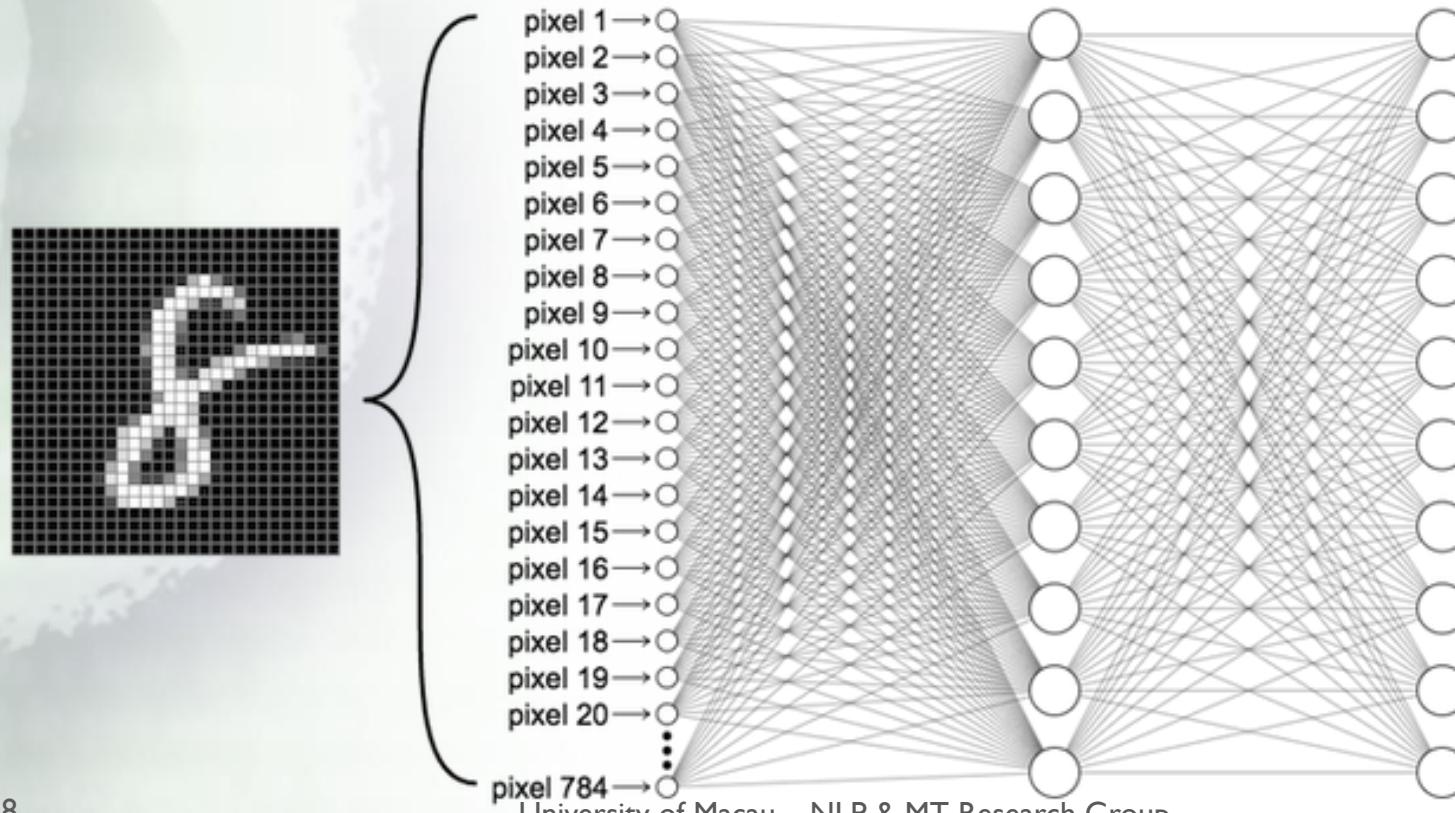


# CNN or ConvNet

## Convolutional Neural Network

NLP<sup>2</sup>CT Research Group

- CNN is the *extension* of traditional *Multi-layer Perceptron*, based on 3 ideas:
  - ◆ Sparse connectivity

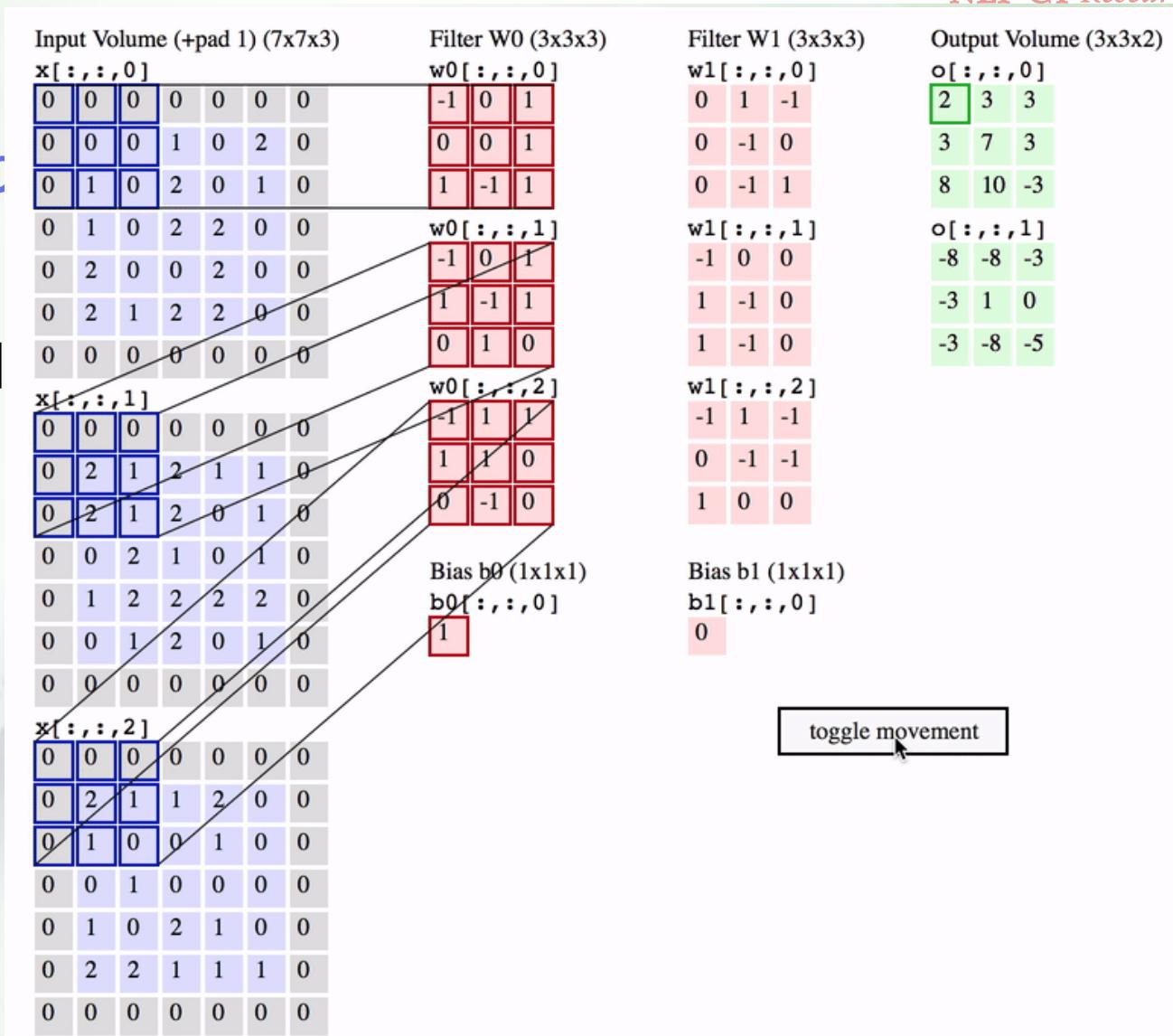


# Convolutional Neural Network

## CNN or ConvNet

NLP<sup>2</sup>CT Research Group

- CNN is Perceptron
  - ◆ Sparse
  - ◆ Shared

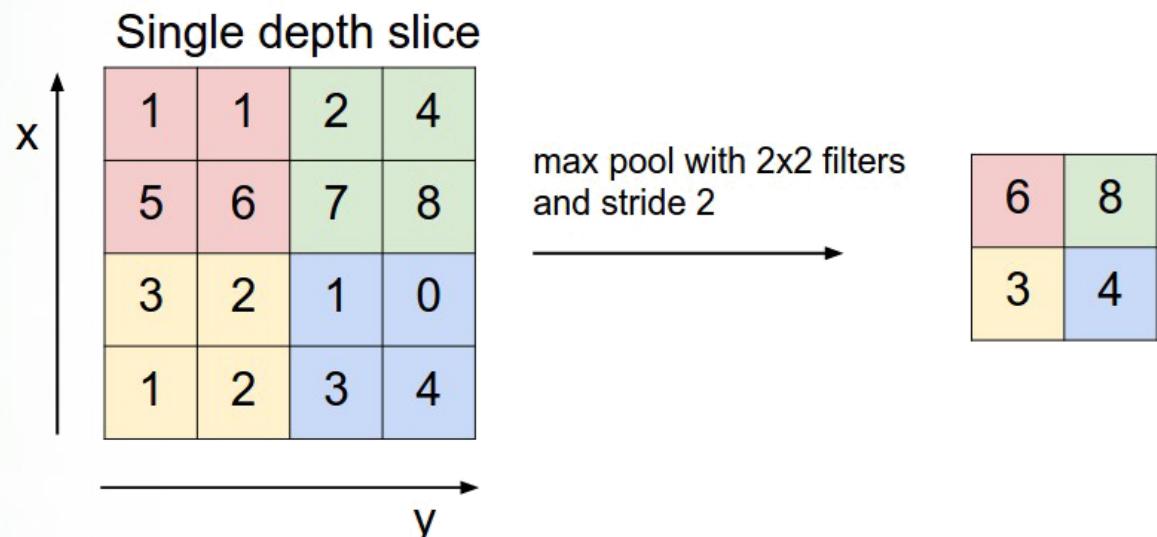


# Convolutional Neural Network

## CNN or ConvNet

NLP<sup>2</sup>CT Research Group

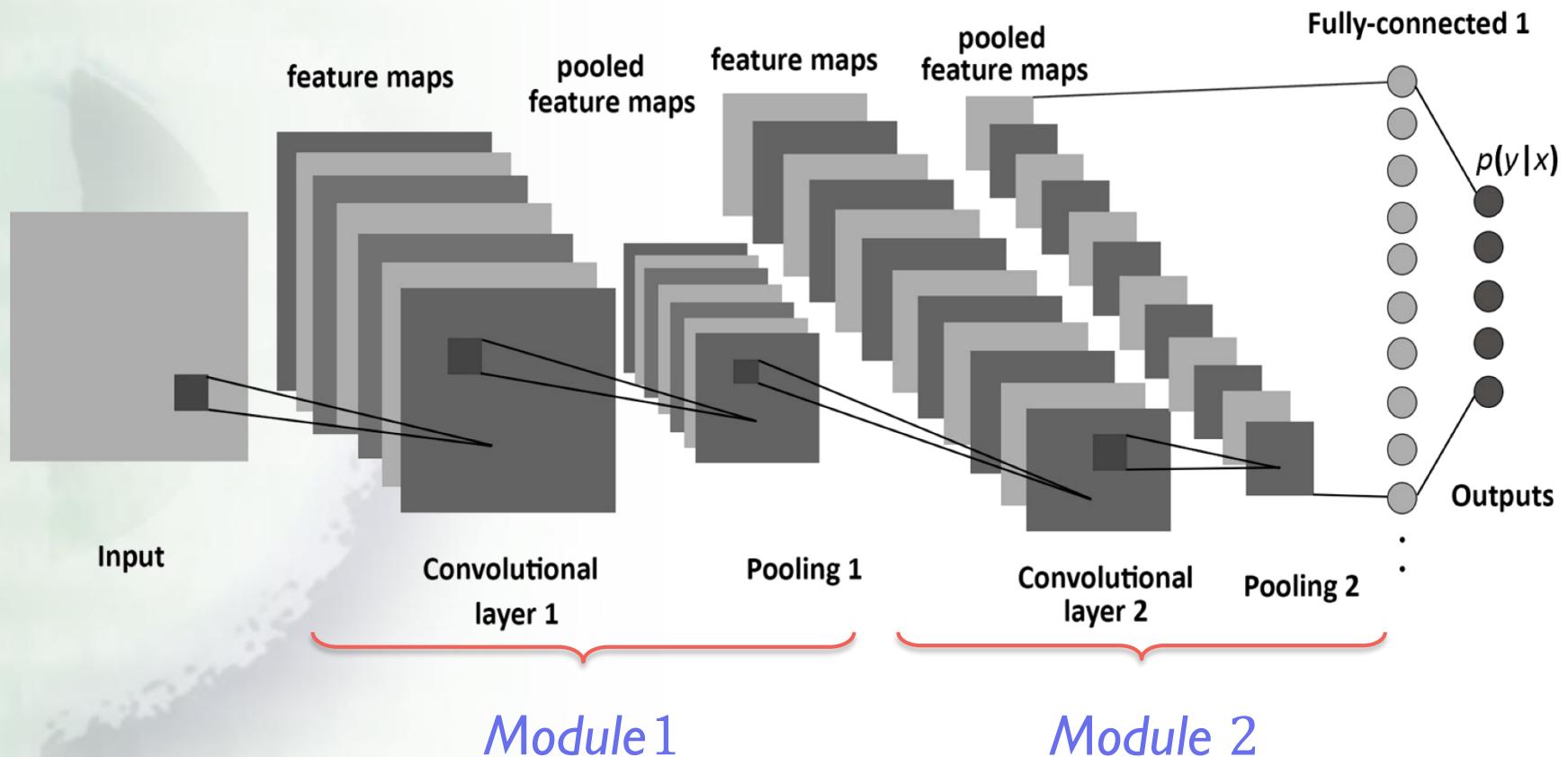
- CNN is the *extension* of traditional *Multi-layer Perceptron*, based on 3 ideas:
  - ◆ Sparse connectivity
  - ◆ Shared weights
  - ◆ Spatial/temporal sub-sampling



# Convolutional Neural Network

## The Architecture

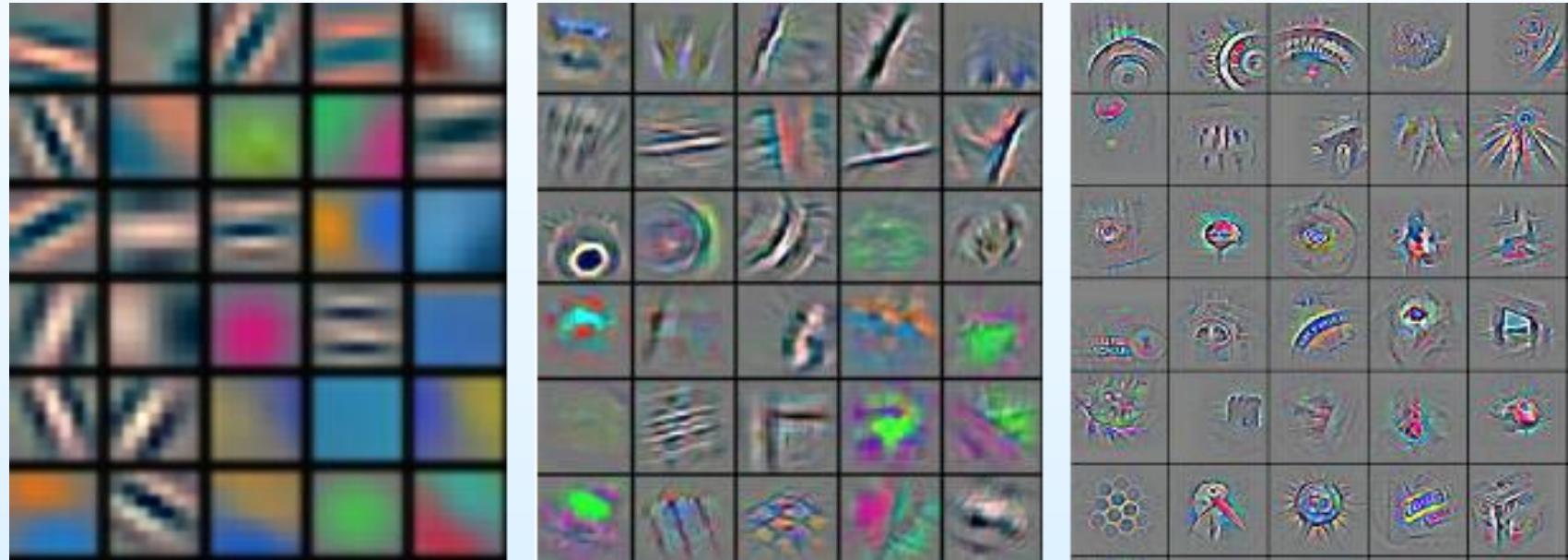
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# Convolutional Neural Network

## The Architecture

NLP<sup>2</sup>CT Research Group



Input

Convolutional  
layer 1

Pooling 1

Convolutional  
layer 2

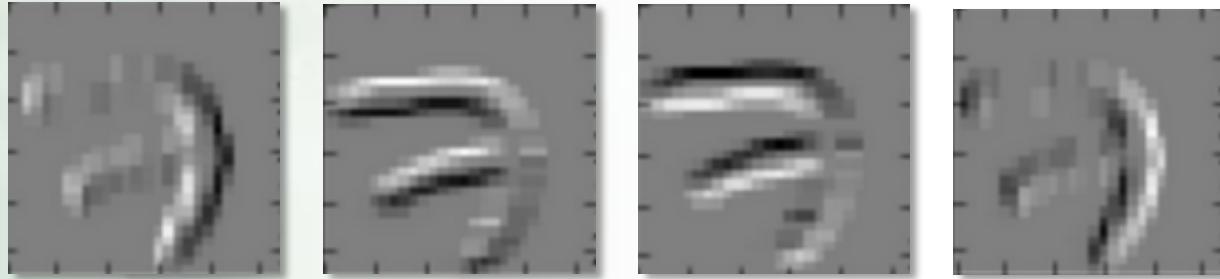
Pooling 2

Module 1

Module 2

# The Filters

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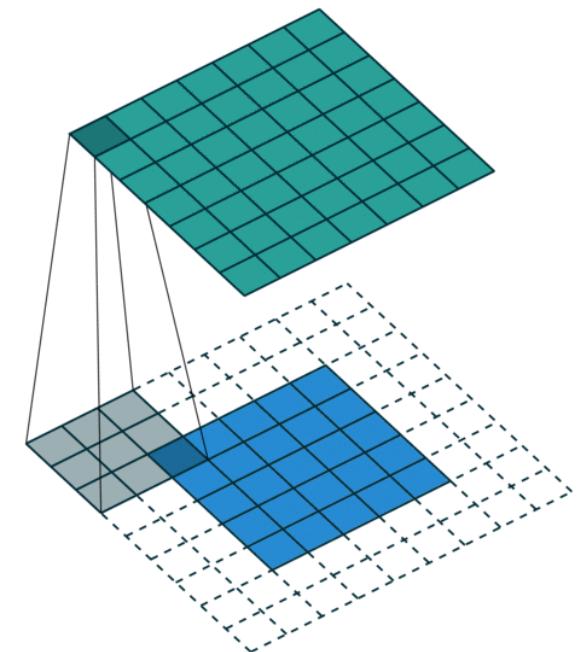


-1	1	0
-1	1	0
-1	1	0

-1	-1	-1
1	1	1
0	0	0

0	0	0
1	1	1
-1	-1	-1

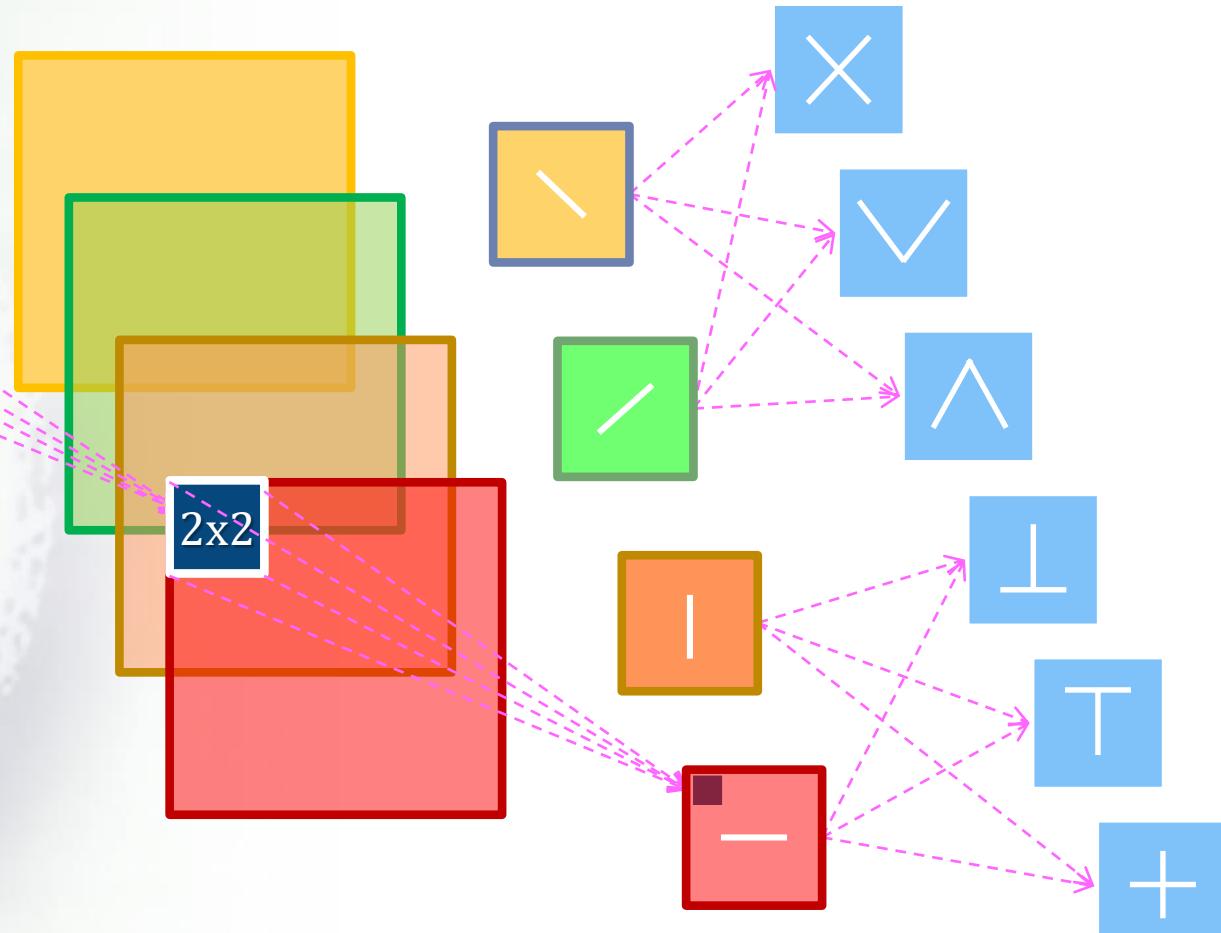
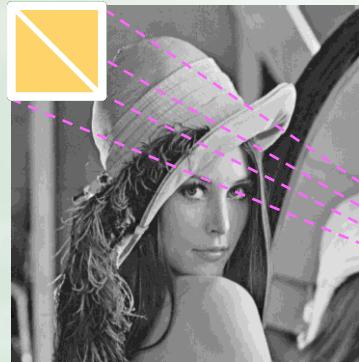
0	1	-1
0	1	-1
0	1	-1



# ConvNet

## The Whole Process

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Convolution + ReLU

Sub-sampling

Convolution + ReLU

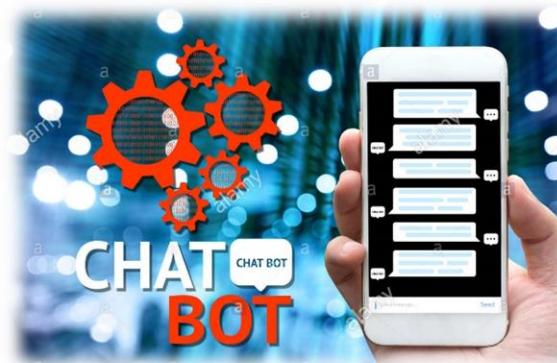
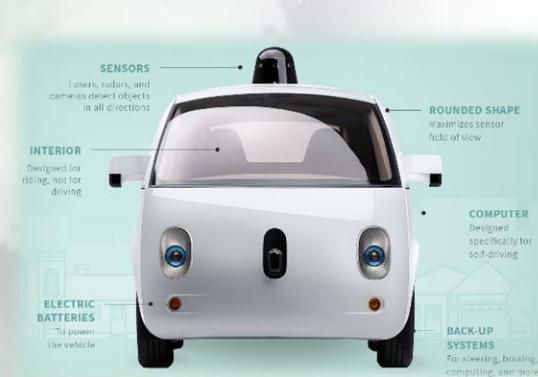
# TAKE AWAY FROM **THIS LECTURE**



# Main Points

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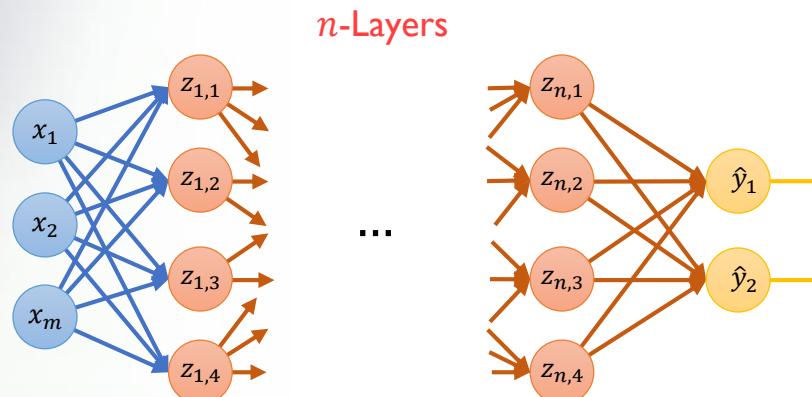
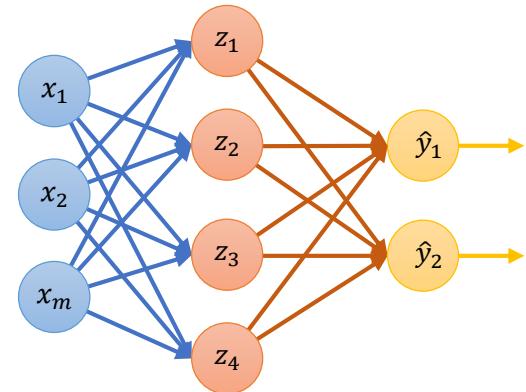
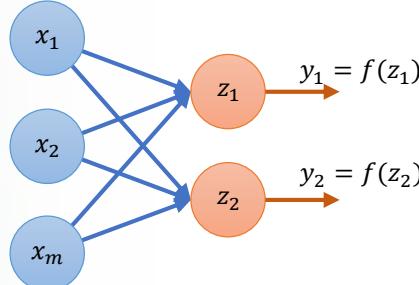
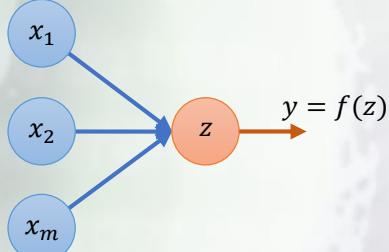
- What is Deep Learning? Its successfully story
  - ◆ *Very Deep Neural Network*
  - ◆ *Vision, Robotics, Game AI, Language & Speech, Music & Arts*



# Main Points

NLP<sup>2</sup>CT Research Group

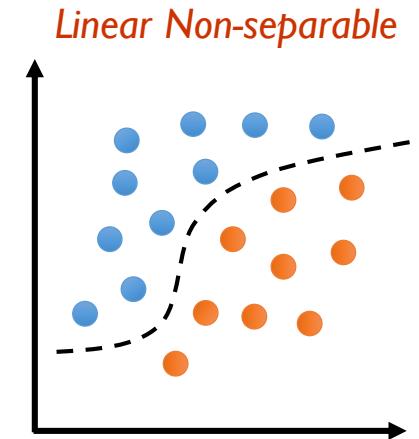
- What is Artificial Neural Network?
  - ◆ From Neuron → Perceptron → Single Layer Network → Multiple Layer Network → Deep Network



# Main Points

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- Activation functions
  - ◆ *Signum, Sigmoid, Tangent, ReLU*
- Loss functions
  - ◆ *Empirical Loss, Cross Entropy Loss, Mean Squared Loss*
- Optimization
  - ◆ *Backpropagation, Chain Rule*
- Training Algorithm
- Deep Model
  - ◆ *Convolutional Neural Network*





HANDS ON EXPERIMENT

# DIGITS CLASSIFICATION WITH ConvNET

# Digits Classification

## MNIST Handwritten Digits

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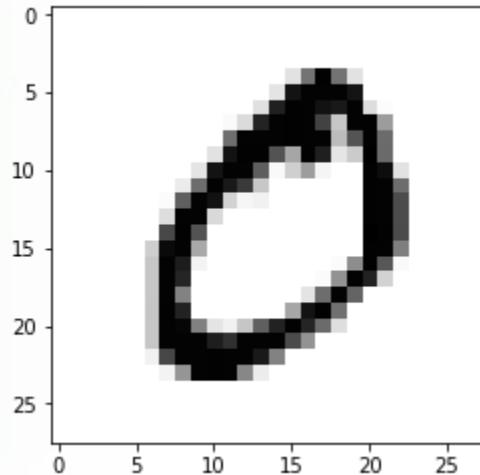


Dataset: <http://yann.lecun.com/exdb/mnist/>

# Digits Classification

## The Image

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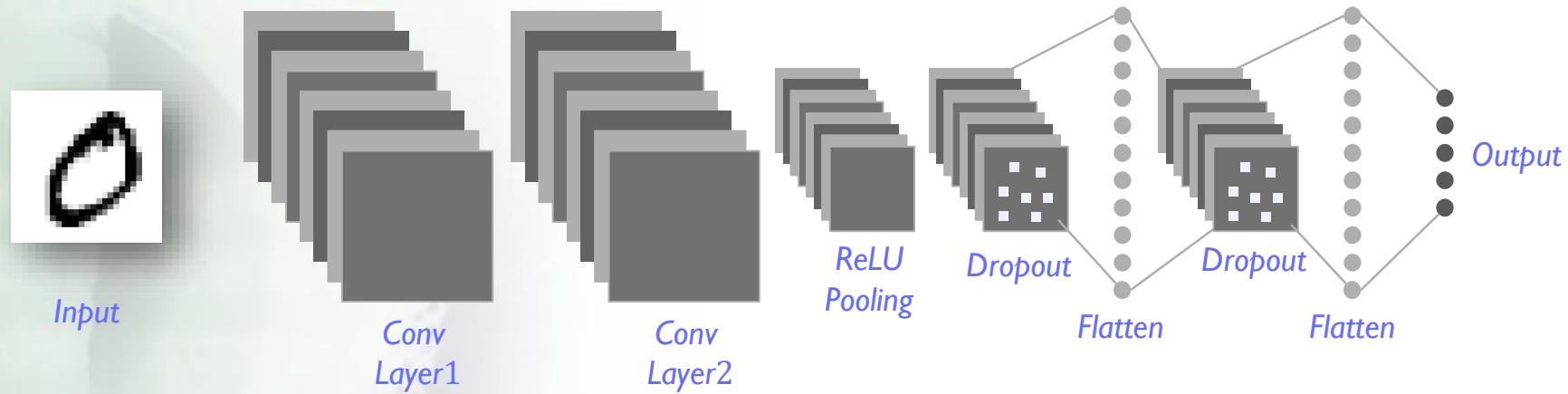
$28 \times 28$

Monochrome (1-channel)

# Digits Classification

## The ConvNet Model

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# Digits Classification

## The Notebook

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### Download the Notebook

[https://drive.google.com/open?id=1S\\_dePZu9L4tQMkOsI2W0VKmOx8GmUN9u](https://drive.google.com/open?id=1S_dePZu9L4tQMkOsI2W0VKmOx8GmUN9u)



# Digits Classification

## References

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- Learn from the champion is the best way to success
  - ◆ <http://blog.kaggle.com/category/winners-interviews/>
- There are many winner interviews we can learn for competition methods and tricks
  - ◆ <https://ndres.me/kaggle-past-solutions/>
  - ◆ <http://shujianliu.com/kaggle-winning-code.html>

# Acknowledgements

NLP<sup>2</sup>CT Research Group

Some of the presentation contents are derived from the following materials:

- *An Introduction to Neural Network*, Ben Kröse & Patrick Smagt
- *Introduction to Neural Networks and Deep Learning*, Efstratios Gavves
- *Introduction to Deep Learning*, Alexander Amini
- *Convolutional Neural Networks (CNNs)*, Deeplizard