

# 60-141-02 LECTURE 7: FILE PROCESSING

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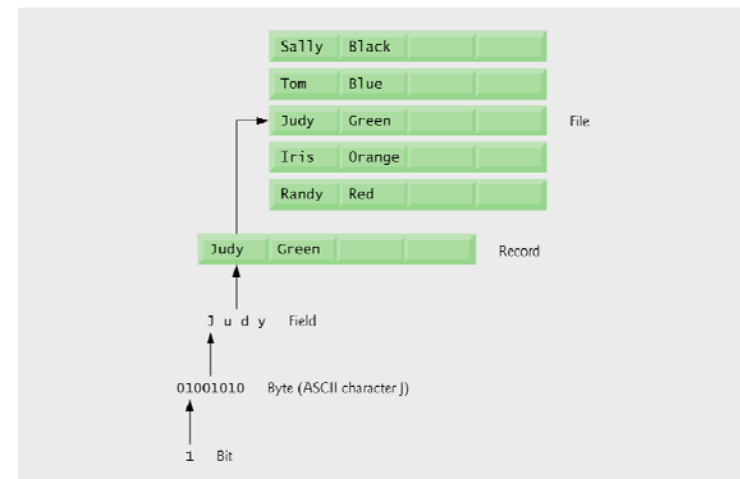
## Outline

- Concept of File
- File Types
- Sequential-Access Files and Techniques
- Random-Access Files and Techniques

## Data Hierarchy

- Bit – smallest data item
  - ▣ Either value of 0 or 1
- Byte – 8 bits
  - ▣ Used to store a character such as decimal digits, letters, and special symbols
- Field – group of characters conveying meaning
  - ▣ Example: your name
- Record – group of related fields
  - ▣ Represented by a struct
  - ▣ Example: In a payroll system, a record for a particular employee includes his/her identification number, name, address, etc.
- File – group of related records
  - ▣ Example: a payroll file
- Database – the group of related files

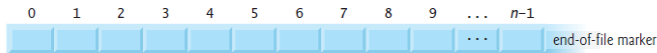
## Data hierarchy



## Concept of File

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- Storage of data in variables and arrays is **temporary**
  - ▣ Data is lost when a program terminates.
- Files are used for **permanent** retention of data.
  - ▣ Files are stored on secondary storage devices (hard drive, CDs, DVDs,...)
- C views each file as a sequential stream of bytes.
- Each file ends either with an **end-of-file marker** or at a specific byte number recorded in a system-maintained, administrative data structure.



## Concept of File ...

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- In order to communicate with a file it is necessary, first, to **open** a channel to the device where the file is located (or will be located, once created).
- When the program is finished with the file, it is necessary to **close** the channel.
- All required information concerning the file attributes is contained in a C-defined data structure called **FILE** (in `<stdio.h>`)
  - ▣ **FILE \* filePtr ;** // pointer to struct that will hold file attributes
  - ▣ Channels may be re-opened and closed, multiple times
  - ▣ A FILE pointer may be re-assigned to different files

## Concept of File ...

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- Files need to be **opened** before use.
  - ▣ Associate a "**file handler**" to each file
  - ▣ Modes: read, write, or append
- File input/output functions use the file handler (*not* the filename).
- Need to **close** the file after use.
- Basic file handling functions:
  - ▣ **fopen()**, **fclose()**, **fscanf()**, **fprintf()**.

## Files and Streams

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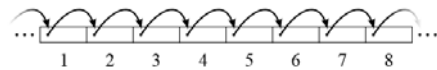
- When a file is opened, a **stream** is associated with it.
  - ▣ Streams provide communication channels between files and programs.
- Opening a file returns a pointer to a FILE structure that contains information used to process the file.
  - ▣ File control block (**FCB**) : File Name String, File Offset (Bytes), Access Mode (R,W,B,+)
- Standard streams (automatically open when program execution begins)
  - ▣ Standard input (Using file pointers **stdin**)
    - Enables a program to read data from the keyboard
  - ▣ Standard output (Using file pointers **stdout**)
    - Enables a program to print data on the screen
  - ▣ Standard error (Using file pointers **stderr**)

# File Types

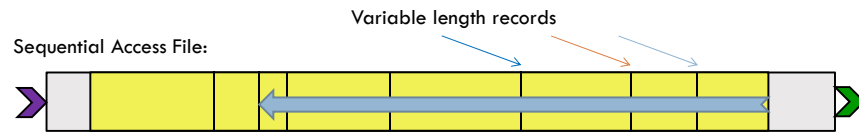
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## Sequential-Access File

- Data read in one direction starting from the beginning.



- Usually variable length records

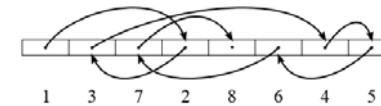


# File Types ...

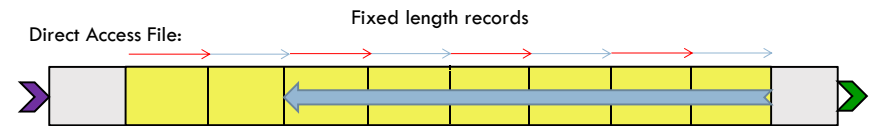
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## Random-Access File

- Allows operations to 'seek' or move the read/write head to a particular bit position.



- Must be fixed length records



## Sequential Access File

# Sequential Access File

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- Often called a text file
- Records can only be accessed sequentially, one after another, from beginning to end.
- Cannot be modified without the risk of destroying other data.

Example 1 – employee list  
Bonnel#Jacob  
Carlisle#Donald  
Eberg#Jack  
Hou#Chang

Example 2 – memo  
To all employees:  
  
Effective January 1, 2009, the cost of dependent coverage will increase from \$35 to \$38.50 per month.

Jefferson Williams  
Insurance Manager

Example 3 – report  
ABC Industries Sales Report

State	Sales
California	15000
Montana	10000
Wyoming	7000

-----  
Total sales: \$32000

## Sequential Access Techniques

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- **fopen**
  - ▢ opens a file in the desired mode of operation
  - ▢ Return NULL means “no file exists”
- **fclose**
  - ▢ closes the file and flushes buffers
- **fprintf / fscanf**
  - ▢ similar to printf and scanf, only use a FILE pointer as a first parameter to indicate where the data is being streamed to / from.
  - ▢ returns number of parameters outputted/ inputted, or failure of operation
- **rewind**
  - ▢ sets the file position to the beginning of the file of the given **stream**.

## Sequential Access File Techniques

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- **WRITING (Output) - Creating a sequential access file**
  - ▢ Request to create the file (open)
  - ▢ Check if the file was actually created
  - ▢ Write something to the file
  - ▢ Close the file (and save)
- **READING (Input) – Reading a sequential access file**
  - ▢ Request to open an existing file
  - ▢ Check if the file was actually opened
  - ▢ Check if there is something to read!
  - ▢ Read something from the file into a variable
  - ▢ Close the file

## File I/O (Header)

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- **Step 0:** Include **stdio.h**.

```
#include <stdio.h>

int main()
{
    ...

    return 0;
}
```

## File I/O (File Pointer)

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- **Step 1:** Declare a **file handler (file pointer)** as **FILE \*** for each file.

```
int main()
{
    FILE *inputfile = NULL;
    FILE *outputfile = NULL;
    FILE *currentfile = NULL;

    ...

    return 0;
}
```

## File I/O (Open)

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### □ Step 2: Open file using `fopen()`.

```
int main()
{
    FILE *inputfile = NULL;
    FILE *outputfile = NULL;
    FILE *currentfile = NULL;

    inputfile = fopen("Names.txt", "r");
    outputfile = fopen("marks.dat", "w");
    currentfile = fopen("logFile.txt", "a");
    ...
    return 0;
}
```

There can be many files opened at the same time, each using its own FILE structure and file pointer.

## File I/O (Open)

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### □ Step 2: Open file using `fopen()`.

```
int main()
{
    FILE *inputfile = NULL;
    FILE *outputfile = NULL;
    FILE *currentfile = NULL;

    inputfile = fopen("Names.txt", "r");
    outputfile = fopen("marks.dat", "w");
    currentfile = fopen("logFile.txt", "a");
    ...
    return 0;
}
```

Associate a file handler for every file to be used.

## File I/O (Open)

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### □ Step 2: Open file using `fopen()`.

```
int main()
{
    FILE *inputfile = NULL;
    FILE *outputfile = NULL;
    FILE *currentfile = NULL;

    inputfile = fopen("Names.txt", "r");
    outputfile = fopen("marks.dat", "w");
    currentfile = fopen("logFile.txt", "a");
    ...
    return 0;
}
```

*Mode*  
r : read  
w : write  
a : append

File name

Warning: The "w" mode overwrites the file, if it exists.

## File I/O (Open)

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### □ Step 2: Open file using `fopen()`.

Mode	Description
<b>r</b>	Open an <u>existing</u> file for <u>reading</u> only
<b>w</b>	Create a file for writing only. If the file currently exists, destroy its contents <u>before</u> writing to it.
<b>a</b>	Open an existing file or create a file for writing at the <u>end of the file</u> .
<b>r+</b>	Open an <u>existing</u> file for <u>update</u> , including <u>both</u> reading and writing.
<b>w+</b>	Create a file for <u>update</u> use (reading and writing). If the file already exists, destroy its current contents before writing.
<b>a+</b>	Append: Open or create a file for <u>update</u> – writing is done at the end of the file.

## File I/O (Error Check)

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- **Step 3:** Check if file is opened successfully.

```
int main()
{
    FILE *inputfile;

    inputfile = fopen("Names.txt", "r");

    if (inputfile == NULL)
    {
        printf("Unable to open input file.\n");
        return 1;
    }
    ...
    return 0;
}
```

File handler becomes **NULL** when an `fopen()` error occurs.

## File I/O (Error Check)

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- **Step 3:** Check if file is opened successfully.
- What can go wrong?
  - Opening a file for writing when no disk space is available (or exceeded your quota)
  - Opening a file from a path that does not exist
  - Opening a file to which you have no proper permissions
  - Opening a file whose content is corrupted
  - Sharing violation, when someone else is writing to the file at the same time! (Exclusive lock or data overwriting may result)

## File I/O (read a file)

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- **Step 4a:** Use **fscanf()** for input.

```
/* Assuming "Names.txt" contains a
   list of names. Read in each name, and keep count
   how many names there are in the file. */
char name[MAXLEN];
int count = 0;

while ( fscanf(inputfile, "%s", name) == 1 )
{
    count++;
    printf("%d. %s\n", count, name);
}

printf("\nNumber of names read: %d\n", count);
```

## File I/O (read)

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- **Step 4a:** Use **fscanf()** for input.

```
/* Assuming "Names.txt" contains a
   list of names. Read in each name, and keep count
   how many names there are in the file. */
char name[MAXLEN];
int count = 0;

while ( fscanf(inputfile, "%s", name) == 1 )
{
    count++;
    printf("%d. %s\n", count, name);
}

printf("\nNumber of names read: %d\n", count);
```

Requires the **file handler**, not the file name.

## File I/O (read)

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□ **Step 4a:** Use **fscanf()** for input.

```
/* Assuming "Names.txt" contains a
   list of names. Read in each name, and keep count
   how many names there are in the file. */
char name[MAXLEN];
int count = 0;

while ( fscanf(inputfile, "%s", name) == 1 )
{
    count++;
    printf("%d. %s\n", count, name);
}

printf("\nNumber of names read: %d\n", count);
```

Other parameters: like ordinary scanf().

## File I/O (read)

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□ **Step 4a:** Use **fscanf()** for input.

```
/* Assuming "Names.txt" contains a
   list of names. Read in each name, and keep count
   how many names there are in the file. */
char name[MAXLEN];
int count = 0;

while ( fscanf(inputfile, "%s", name) == 1 )
{
    count++;
    printf("%d. %s\n", count, name);
}

printf("\nNumber of names read: %d\n", count);
```

fscanf() returns the number of input items converted and assigned successfully.

## File I/O (read)

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□ **Step 4a:** Use **fscanf()** for input.

```
/* Assuming "Names.txt" contains a
   list of names. Read in each name, and keep count
   how many names there are in the file. */
char name[MAXLEN];
int count = 0;

while ( fscanf(inputfile, "%s", name) == 1 )
{
    count++;
    printf("%d. %s\n", count, name);
}

printf("\nNumber of names read: %d\n", count);
```

Used to check if a read or assignment error occurred, or end of input file has been reached.

## File I/O (read)

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□ To check for **end-of-file** (or any other **input error**):

- check that the **number of items** converted and assigned successfully is **equal** to the **expected** number of items.

```
while ( fscanf(inpfile, "%s %f", name, &mark) == 2 ) {
    ...
}
```

□ Check for end of file marker (EOF)

```
fscanf(inputfile, "%s", name);
while (!feof(inputfile)) {
    ...
    fscanf(inputfile, "%s", name);
}
```

## File I/O (read)

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□ **Step 4a:** Use **fscanf()** for input.

```
/* Assuming "Names.txt" contains a
   list of names. Read in each name, and keep count
   how many names there are in the file. */
char name[MAXLEN];
int count = 0;

while ( fscanf(inputfile, "%s", name) == 1 )
{
    count++;
    printf("%d. %s\n", count, name);
    fscanf(inputfile, "%s", name);
}

printf("\nNumber of names: %d\n", count);
```

## File I/O (Create a file)

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□ **Step 4b:** Use **fprintf()** for output.

```
/* The output file "names_marks.dat" will contain
   the list of names and corresponding marks. */
FILE *outfile = NULL;
outfile = fopen("names_marks.dat", "w");

if (outfile == NULL){
    printf("Error opening output file.\n");
    return 1;
}

...
if ( fprintf(outfile, "%s %f\n", name, mark) <= 0 ){
    printf("Error writing to output file.\n");
    return 1;
}
```

## File I/O (Create a file)

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□ **Step 4b:** Use **fprintf()** for output.

```
/* The output file "names_marks.dat" will contain
   the list of names and corresponding marks. */
FILE *outfile = NULL;
outfile = fopen("names_marks.dat", "w");

if (outfile == NULL){
    printf("Error opening output file.\n");
    return 1;
}

...
if ( fprintf(outfile, "%s %f\n", name, mark) <= 0 ){
    printf("Error writing to output file.\n");
    return 1;
}
```

File handler not the file name.

## File I/O (Create a file)

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□ **Step 4b:** Use **fprintf()** for output.

```
/* The output file "names_marks.dat" will contain
   the list of names and corresponding marks. */
FILE *outfile = NULL;
outfile = fopen("names_marks.dat", "w");

if (outfile == NULL){
    printf("Error opening output file.\n");
    return 1;
}

...
if ( fprintf(outfile, "%s %f\n", name, mark) <= 0 ){
    printf("Error writing to output file.\n");
    return 1;
}
```

Other parameters: like ordinary printf().



## File I/O (Create a file)

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- **Step 4b:** Use **fprintf()** for output.

```
/* The output file "names_marks.dat" will contain
the list of names and corresponding marks. */
FILE *outfile = NULL;
outfile = fopen("names_marks.dat", "w");

if (outfile == NULL){
    printf("Error opening output file.\n");
    return 1;
}

...
if ( fprintf(outfile, "%s %f\n", name, mark) <= 0 ){
    printf("Error writing to output file.\n");
    return 1;
}
```

**fprintf()** returns the number of characters written out successfully, or negative if an error occurs.

## File I/O (Close)

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- **Step 5:** Close file using **fclose()**

```
int main()
{
    /*** etc ***/

    printf("\n");
    printf("Number of names read: %d\n", count);

    fclose(inputfile);
    fclose(outfile);

    return 0;
}
```

## File I/O (Close)

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- **Step 5:** Close file using **fclose()**

```
int main()
{
    /*** etc ***/

    printf("\n");
    printf("Number of names read: %d\n", count);

    fclose(inputfile);
    fclose(outfile);

    return 0;
}
```

• Clears input buffer.

• Flushes output buffer.

• **fclose()** fails when the file was not opened successfully.

File handler not the file name.

## Rewind

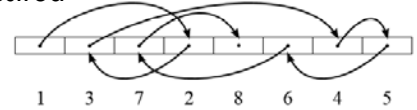
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- There are two ways of re-reading a sequential file
  - Close the file and then re-open it
    - considered quite inefficient
  - Rewind the file to the beginning (reset the file offset value in the FCB) while leaving it open
    - void rewind(FILE \*stream)**
- Example : **rewind( cFPtr ) ;**
  - sets the file position to the beginning of the file of the given **stream**.

## Random Access File

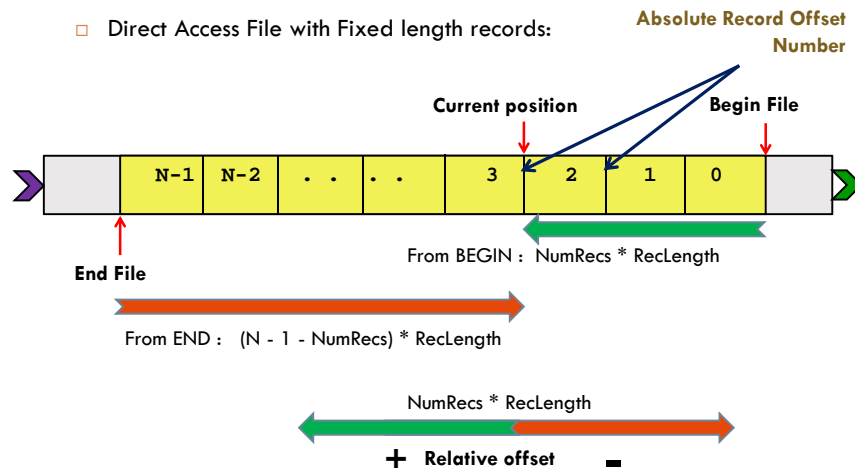
C File processing

## Random-Access File

- Also called **Direct Access File**
  - Read/Write operation can be performed directly at the position (within the file) desired
- 
- Must be fixed length records → enable data
    - To be inserted in a file without destroying other data in the file.
    - To be updated or deleted without rewriting the entire file.
    - To be accessed directly and quickly without searching through other records.
  - Appropriate for systems that require rapid access to specific data (airline reservation systems, banking systems)

## Concept of Direct Access File

- Direct Access File with Fixed length records:



## Random Access Techniques

- **fopen** : open a file- specify how its opened (read/write) and type (binary/text)
- **fclose** : close an opened file
- **fread**: read from a file
- **fwrite**: write to a file
- **fseek**: move a file pointer to somewhere in a file.
- **ftell**: tell you where the file pointer is located.

## fopen () - Making File Connections

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- `cfPtr1 = fopen( "MyNewFileName.dat", "wb" ) ;`
  - ▣ open for writing
- `fPtr2 = fopen( "MyOldFileName.dat", "rb" ) ;`
  - ▣ open for reading
- C supports three types of fixed length file transactions, called **binary modes**:
  - ▣ Read binary (rb), Write binary (wb) and Append binary (ab)
- **Binary files** are very similar to arrays of structures.
- Binary files have two features that distinguish them from text files:
  - ▣ You can instantly use any structure in the file.
  - ▣ You can change the contents of a structure anywhere in the file.

## fopen () - Making File Connections ...

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Mode	Description (all files are <u>binary</u> )
<b>rb</b>	Open an <u>existing</u> file for reading only
<b>wb</b>	Create a file for writing only. If the file currently exists, destroy its contents <u>before</u> writing to it.
<b>ab</b>	Open an existing file or create a file for writing at the <u>end of the file</u> .
<b>rb+</b>	Open an existing file for <u>update</u> , including <u>both</u> reading and writing.
<b>wb+</b>	Create a file for <u>update</u> use. If the file already exists, destroy its current contents before writing.
<b>ab+</b>	Append: Open or create a file for <u>update</u> – writing is done at the end of the file.

## fread() and fwrite()

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- The **fread** and **fwrite** function takes four parameters:
  - ▣ A memory address
  - ▣ Number of bytes to read/write per block
  - ▣ Number of blocks to read/write
  - ▣ A file handler
- Reading from a direct access file
  - ▣ `fread(&my_record, sizeof(struct rec), 1, cfPtr);`
  - ▣ Read x bytes (size of rec) from the file cfPtr into memory address &my\_record
- Writing to a direct access file
  - ▣ `fwrite(&my_record, sizeof(struct rec), NumRecs, cfPtr);`

## Example 1

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile = fopen("test.bin","wb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for (counter=1; counter <= 10; counter++) {
        my_record.x= counter;
        fwrite(&my_record,sizeof(struct rec),1,ptr_myfile);
    }
    fclose(ptr_myfile);
    return 0;
}
```

## Example 1

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile=fopen("test.bin","wb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for ( counter=1; counter <= 10; counter++) {
        my_record.x= counter;
        fwrite(&my_record,sizeof(struct rec),1,ptr_myfile);
    }
    fclose(ptr_myfile);
    return 0;
}
```

File handler

File Name

Mode

File handler becomes NULL when an fopen() error occurs.

## Example 1

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile=fopen("test.bin","wb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for ( counter=1; counter <= 10; counter++) {
        my_record.x= counter;
        fwrite(&my_record,sizeof(struct rec),1,ptr_myfile);
    }
    fclose(ptr_myfile);
    return 0;
}
```

## Example 1

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile=fopen("test.bin","wb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for ( counter=1; counter <= 10; counter++) {
        my_record.x= counter;
        fwrite(&my_record,sizeof(struct rec),1,ptr_myfile);
    }
    fclose(ptr_myfile);
    return 0;
}
```

• Clears input buffer.  
• Flushes output buffer.  
• fclose() fails when the file was not opened successfully.

## Example 2

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile=fopen("test.bin","rb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for ( counter=1; counter <= 10; counter++) {
        fread(&my_record,sizeof(struct rec),1,ptr_myfile);
        printf("%d\n",my_record.x);
    }
    fclose(ptr_myfile);
    return 0;
}
```

## Example 1

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile=fopen("test.bin","rb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for ( counter=1; counter <= 10; counter++) {
        fread(&my_record,sizeof(struct rec),1,ptr_myfile);
        printf("%d\n",my_record.x);
    }
    fclose(ptr_myfile);
    return 0;
}
```

## fseek()

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- Seeking a record in a direct access file :  
int **fseek** (FILE \* fp, long offset, int origin) ;
  - **offset** is the number of bytes to move the position indicator
  - **origin** says where to move from
- Three options/constants are defined for **origin**
  - **SEEK\_SET** - move the indicator offset bytes from the **beginning**
  - **SEEK\_CUR** - move the indicator offset bytes from its **current** position
  - **SEEK\_END** - move the indicator offset bytes from the **end**
- The new position, measured in **characters** from the beginning of the file, is obtained by adding offset to the reference position specified by origin.

## Example 1

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```
/* fseek example */
#include <stdio.h>

int main ()
{
    FILE * pFile;
    pFile = fopen ("example.txt" , "wb");
    fputs ("This is an apple." , pFile);
    fseek (pFile , 9 , SEEK_SET );
    fputs (" sam" , pFile);
    fclose (pFile);
    return 0;
}
```

This is a sample

## Example 2

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```
#include<stdio.h>
struct rec {int x,y,z;};
int main() {
    int counter;
    struct rec my_record;
    FILE *ptr_myfile;
    ptr_myfile = fopen("test.bin","rb");
    if (!ptr_myfile) {
        printf("Unable to open file!"); return 1;
    }
    for (counter=9; counter >= 0; counter--) {
        fseek(ptr_myfile,sizeof(struct rec)*counter
            ,SEEK_SET);
        fread(&my_record,sizeof(struct rec),1,ptr_myfile);
        printf("%d\n",my_record.x);
    }
    fclose(ptr_myfile);
    return 0;}

```

Reads file records backward

## Example 3

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```
#include <stdio.h>
struct rec_t {
    int ID ;           // Assume 1 <= ID <= 100
    char Name[50] ;
    double Score ;
}
int main( ) {
    FILE * cfPtr ;
    struct rec_t Rec ;

    cfPtr = fopen( "Score.dat", "wb" ) ;
    while( scanf( "%d", &Rec.ID ) != EOF ) {
        scanf( "%s%lf", Rec.Name, &Rec.Score ) ;

        fseek( cfPtr, (Rec.ID - 1)*sizeof(struct rec_t ),
                SEEK_SET );
        fwrite( &Rec, sizeof(struct rec_t ), 1, cfPtr ) ;
    }
    fclose( cfPtr );
    return 0 ;
}
```

Override file records based on their ID (line)

## ftell ()

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- Get current position in stream

long int **ftell** ( FILE \* stream );

- ▣ Returns number of bytes from the beginning of the file.
- ▣ The first byte of the file is byte 0.
- ▣ If an error occurs, **ftell ()** returns -1.

```
#include <stdio.h>
int main () {
    FILE * pFile;
    long size;
    pFile = fopen("myfile.txt", "rb");
    fseek (pFile, 0, SEEK_END);
    size=ftell (pFile);
    fclose (pFile);
    printf ("Size of myfile.txt: %ld bytes.\n",size);
    return 0;
}
```

Displays size of myfile in bytes

## Sequential vs. Random Access File

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### Sequential Access File

- Opens the file and positions the read/write head at the beginning of the file (except append where it starts writing at the end of the file).
- we may need to move through multiple records before we finally arrive at the file position desired
- To read a given record, all previous records have to be read in order for the read head to be moved to the record required.

### Random Access File

- Can access a record directly.
- Highly structured and well organized.
- Operations such as **fwrite**, **fread** and **fseek** are used.
- We need to keep track of specific byte positions, such as calculate where a given record is located in the file (calculate the byte offset starting at position 0)
- Data can be added easily to a random-access file without destroying other data in the file.

## Lecture 7: Summary

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- File Types
- Sequential Access Files and Techniques
- Random (Direct) Access Files and Techniques
- Reading
  - ▣ Chapter 11: File Processing
    - Moving beyond RAM to include data on persistent storage in the file system.
- Assignment
  - ▣ Deadline of the fourth assignment is **March 25**.
  - ▣ Deadline of the fifth assignment is **March 29**.